Highly anisotropic two-dimensional metal in monolayer MoOCl₂

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Anisotropy is a general feature in materials. Strong anisotropy could lead to interesting physical properties and useful applications. Here, based on first-principles calculations and theoretical analysis, we predict a stable two-dimensional (2D) material—the monolayer MoOCl₂—and show that it possesses intriguing properties related to its high anisotropy. Monolayer MoOCl₂ can be readily exfoliated from the van der Waals layered bulk, which has already been synthesized. We show that a high in-plane anisotropy manifests in the structural, phononic, mechanical, electronic, and optical properties of monolayer MoOCl₂. The material is a metal with highly anisotropic Fermi surfaces, giving rise to open orbits at the Fermi level, which can be probed in magnetotransport. Remarkably, the combination of high anisotropy and metallic character makes monolayer MoOCl₂ an almost ideal hyperbolic material. It has two very wide hyperbolic frequency windows from 0.41 eV (99 THz) to 2.90 eV (701 THz), and from 3.63 eV (878 THz) to 5.54 eV (1340 THz). The former window has a large overlap with the visible spectrum, and the dissipation for most of this window is very small. The window can be further tuned by the applied strain, such as that at a chosen frequency, a transition between elliptic and hyperbolic character can be induced by strain. Our work discovers a highly anisotropic 2D metal with extraordinary properties, which holds great potential for electronic and optical applications.

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I. INTRODUCTION

Two-dimensional (2D) materials have been attracting tremendous interest because of their fascinating properties, excellent tunability, and promising applications [1–4]. Similar to three-dimensional (3D) crystals, most 2D materials are stabilized in high-symmetry structures, which are usually pre-ferred for minimizing the energy. For example, graphene [5], hexagonal boron nitride [6], H- and T-phase transition metal dichalcogenides [7,8], and most MXenes [9] have threefold rotational symmetry. It follows that their properties should be isotropic in the 2D plane.

There do exist 2D anisotropic materials, such as the black phosphorene [10–12], the similarly structured group-V monolayers [13–15], and 2D group-IV monochalcogenides [16]. It was realized that the anisotropy could be an advantage of these materials for controlling the directional transport of charge carriers or plasmonic waves [17–19] for generating ferroelectricity [20,21], for tuning light absorption with selective polarization [22], etc.

It was proposed that highly anisotropic metals could be good candidates for hyperbolic materials [23,24], which means the real parts of their permittivity tensor elements take different signs [25–27]. Such materials have a special hyperbolic-type light dispersion, which can produce extraordinary optical properties, like all-angle negative refraction

[28–30], subwavelength imaging [31–33], and strongly enhanced spontaneous emission [34-36]. The idea was explored in several 3D layered materials [23,27,37-39], and the class of electride compounds such as Ca2N was predicted to be ideal candidates because of their wide hyperbolic frequency window and suppressed dissipation [24]. For 2D, the aforementioned anisotropic materials such as black phosphorene could work, but they require additional charge doping [19]. The more recent works on 2D MoTe₂ [40] and WTe₂ [41] also predicted their interesting hyperbolic properties. However, the anisotropy in existing 2D materials is not so strong, which limits their possible hyperbolic frequency windows, and the sizable dissipation due to light absorption is also an issue. The situation therefore calls for an exploration of new 2D materials that are intrinsically metallic and possess a high anisotropy.

MoOCl₂ is a typical van der Waals (vdW) layered material. In a recent experiment [42] it was found that the material is a good metal with strong anisotropy in the layer plane, and thin flakes can be easily exfoliated from the bulk sample. Motivated by this finding, in this work we perform a systematic study of MoOCl₂ in the 2D limit based on the first-principles calculations. We show that monolayer MoOCl₂ is stable and has low binding energy to the bulk. The lattice structure has a strong in-plane anisotropy with binding much stronger in one direction than the other. We demonstrate that this strong anisotropy manifests in various physical properties, including the sound speed, the Young's modulus, the electronic band dispersion, the Fermi-surface geometry, the optical properties, and the strain response. Remarkably, we find that monolayer MoOCl₂ is an almost ideal 2D hyperbolic material. It has two wide hyperbolic windows from 0.41 eV (99 THz) to 2.90 eV (701 THz) and from 3.63 eV (878 THz) to 5.54 eV (1340 THz). The former window overlaps with a large portion of the visible light. Moreover, for most part of this window, the absorption is very small. Our work reveals extraordinary properties of monolayer MoOCl₂, which holds great potential for nanoscale electronic, mechanic, and optical applications.

II. CALCULATION METHOD

Our first-principles calculations were based on the density functional theory (DFT), as implemented in the Vienna Ab Initio Simulation Package (VASP) [43,44]. The projector augmented wave (PAW) pseudopotentials were adopted in the calculation [45,46]. The generalized gradient approximation with the Perdew-Burke-Ernzerhof (PBE) realization [47] was used for the exchange-correlation functional. The valence electrons treated in the calculations include Mo $(4d^55s^1)$, O $(2s^22p^4)$, and Cl $(3s^23p^5)$. The kinetic energy cutoff was fixed to 500 eV. For the self-consistent calculations, the Brillouin zone (BZ) integration was performed on a Γ -centered mesh of $21 \times 12 \times 1$ k points. The energy and force convergence criteria were set to be 10^{-7} eV and 0.001 eV/Å, respectively. A vacuum layer with 40 Å thickness was taken to avoid artificial interactions between periodic images, and this layer was subtracted when obtaining the proper permittivity of the monolayer [48,49]. The optical properties were calculated by the WIEN2K package [50,51] (on the level of the random-phase approximation [52]), because with the full potential linear augmented plane-wave approach, WIEN2K typically achieves a better accuracy for optical properties. The muffin tin radii of Mo, O, and Cl are 1.88, 1.70, and 2.14 a.u., respectively. The plane-wave cut-off energy of 230 eV was set by the parameter $R_{\rm mt} \times K_{\rm max} = 7.0$. The k-point mesh for the self-consistent loop was the same as the VASP input, and it was increased to $63 \times 36 \times 1$ for the optical conductivity calculation. The phonon dispersion was calculated by using a 3×3 supercell. The force constants were calculated by VASP, and the preprocess and the postprocess were performed by using the PHONOPY code [53,54]. Nonanalytical term correction (NAC) was considered in treating the long-range interaction of the macroscopic electric field induced by the polarization of the collective ionic motions near the Γ point [55,56].

III. CRYSTAL STRUCTURE, PHONON, AND MECHANICAL PROPERTIES

The experimental realization of bulk MoOCl₂ was reported more than 50 years ago by using the chemical vapor transport method [57]. Bulk MoOCl₂ is a vdW layered material with NbOCl₂-type crystal structure (space group C2/m), as depicted in Fig. 1(a). Each layer in the structure consists of three atomic layers: the central layer contains Mo and O atoms, whereas the upper and the lower layers are of Cl atoms. The



FIG. 1. (a) Crystal structure of bulk $MoOCl_2$. (b) Side and top views of monolayer $MoOCl_2$. (c) Brillouin zone of monolayer $MoOCl_2$. The crystal structures were illustrated by using the VESTA software [58].

properties of bulk $MoOCl_2$ have been studied in Ref. [42]. In this work we shall focus on $MoOCl_2$ in the monolayer form.

Because of the weak vdW bonding, we expect that a single layer of $MoOCl_2$ can be readily exfoliated from the bulk sample. To have a quantitative assessment, we calculate the interlayer binding energy, defined as

$$E_b = (E_{\rm ML} + E_{n-1} - E_n)/A,$$
 (1)

where E_{ML} , E_{n-1} , and E_n are the energies of monolayer, (n-1) layers, and n layers, respectively, and A is the in-plane area. Formally, E_b should take the limiting value when napproaches infinity. In practice, for vdW layered materials, E_b typically has a quick saturation after the first few layers. In our calculation we take n = 9, where the convergence is achieved within 0.1 meV/Å². The obtained binding energy for monolayer MoOCl₂ is 18.0meV/Å². This value is comparable to graphene [59,60] (~12 to 24 meV/Å²) and monolayer MoS₂ [61] (~15 meV/Å²), suggesting that monolayer MoOCl₂ can also be obtained via mechanical exfoliation from the bulk sample.

The fully relaxed structure of monolayer MoOCl₂ is illustrated in Fig. 1(b). The structure can be viewed as consisting of parallel Mo-O chains running along the *x* (*a* vector) direction, and these chains are connected by the Cl atoms. It has a rectangular lattice with space group *Pmmm*. The symmetry elements in the group include the twofold rotations C_{2i} along the three orthogonal direction, the inversion, and three mirror planes M_i (i = x, y, z). Clearly, the structure is anisotropic in the 2D plane. The optimized lattice constants are given by a = 3.805 Å and b = 6.561 Å. The detailed atomic positions are listed in Table I.

To investigate the stability of monolayer MoOCl₂, we calculated its phonon spectrum. The obtained result is plotted

TABLE I. Atomic positions in monolayer MoOCl₂ obtained from our calculation. Here the values are given in the form of fractional coordinates. The lattice parameter along z is taken to be 40 Å.

Site	Wyckoff symbol	x	у	z	
Mo ₁	2 <i>p</i>	0.500	0.787	0.500	
O ₁	2n	0.000	0.218	0.500	
Cl ₁	2s	0.500	0.000	0.467	
Cl_2	2t	0.500	0.500	0.473	

in Fig. 2(a). One observes that there is no soft mode in the spectrum, indicating that the structure is dynamically stable. Around the Γ point and at low frequencies, one finds the three acoustic phonon branches [see Fig. 2(b)]. The lower branch is for the out-of-plane acoustic (ZA) phonon modes, which typically has a quadratic dispersion and is regarded as a characteristic for 2D materials [62,63]. The other two branches correspond to the longitudinal acoustic (LA) and transverse acoustic (TA) modes, which have linear dispersions. Their slopes give the sound velocities in the lattice. From the result in Fig. 2(b), we find that the LA and TA phonon velocities along the *x* direction (along the *a* vector)



FIG. 2. (a) Phonon spectrum and phonon DOS for monolayer MoOCl₂. (b) Dispersion of the three acoustic branches near the Γ point.

are 15.35 and 2.99 km/s, whereas the corresponding values for the y direction (along the b vector) are 2.95 and 2.91 km/s. One observes that the LA phonon velocity along x is more than 5 times larger than that along y. As the LA phonon velocity reflects the bonding strength in that direction, this large anisotropy indicates that the monolayer MoOCl₂ structure is strongly coupled along x, i.e., along the Mo-O chains (for reference, the LA phonon velocity in graphene is 19.9 km/s [64]), whereas the coupling along y, i.e., between the Mo-O chains, is much weaker.

We further investigate the mechanical properties of monolayer MoOCl₂, including the elastic constants (C_{ij}), Young's modulus (Y), and Poisson's ratio (ν). Our results are listed in Table II. One finds that the obtained elastic constants satisfy the mechanical stability criterion for rectangular lattices [66]:

$$C_{11}C_{22} - C_{12}^2 > 0, \qquad C_{66} > 0,$$
 (2)

which further demonstrates that the monolayer is stable. Again, strong anisotropy is observed in the Young's modulus and Poisson's ratio for the two in-plane directions. Notably, Poisson's ratio of monolayer $MoOCl_2$ is much less than most 2D materials [65,67,68], implying that the material could be useful for making flexible devices.

IV. ELECTRONIC STRUCTURE

Next, we investigate the electronic band structure of monolayer MoOCl₂. The result obtained from our DFT calculation is plotted in Fig. 3(a), along with an orbital projected density of states (DOS). The effect of spin-orbit coupling (SOC) on the low-energy bands is found to be small, so the result shown here is without SOC (the result with SOC is presented in the Supplemental Material [69]). From Fig. 3(a), one finds that monolayer MoOCl₂ is a metal. The low-energy states around the Fermi level are mainly from the Mo-4*d* orbitals. The O-2*p* and Cl-3*p* orbitals are located away from the Fermi level (below -3.0 eV).

Importantly, the band dispersion is highly anisotropic. There are four energy bands crossing the Fermi level. One can see that these bands are highly dispersive along the *x* direction (e.g., Γ -*X* and *M*-*Y* paths). In contrast, they become quite flat along the *y* direction (e.g., *X*-*M* and *Y*- Γ paths). This is consistent with our previous analysis that the monolayer is strongly coupled along the Mo-O chains, whereas the interchain coupling in the orthogonal direction is much weaker.

This strong anisotropy is also manifested in the Fermisurface geometry. Figure 3(c) shows the calculated Fermi surfaces (contours). These contours are strongly elongated along the k_y direction, as a result of the weak band dispersion along y. Notably, the strong anisotropy makes several contours cross the BZ boundary, giving rise to open orbits. The signature of such open orbits can be found in the magnetotransport, namely, the magnetoresistance can grow without limit when the applied magnetic field increases [70]. This nonsaturation behavior has been observed in bulk MoOCl₂, which also has open orbits at the Fermi level along k_y [42].

Finally, we mention that there is a saddle point at Γ very close to the Fermi level, as marked by the red arrow in Fig. 3(b). Around the point, the band energy increases (decreases) along the Γ -X (Γ -Y) direction. According to the D_{2h}

TABLE II.	Elastic constants (C_{ij}) ,	Young's modulus (Y) .	, and Poisson'	s ratio (v) of	f monolayer	$MoOCl_2$. The	e standard V	loigt notations are
used, namely,	1-xx, 2-yy, and 6-xy. The	e results for graphene	are also prese	nted as a ref	erence.			

	Elastic constants (N/m)			Young's modulus (N/m)		Poisson's ratio		
	C_{11}	<i>C</i> ₂₂	C_{12}	C_{66}	Y_{10}	Y_{01}	v_{10}	v_{01}
ML-MoOCl ₂	279.3	80.0	2.7	18.5	279.3	80.0	0.034	0.010
Graphene [65]	352.7	352.7	60.9	145.9	342.2	342.2	0.173	0.173

little group at Γ , the band dispersion around this point should be described by

$$E(\mathbf{k}) = \varepsilon_0 + A_x k_x^2 + A_y k_y^2, \qquad (3)$$

where $\varepsilon_0 = -0.010 \text{ eV}$ is the energy of the saddle point. The two parameters A_x and A_y can be extracted from the DFT band dispersion. The results are $A_x = 4.357 \text{ eV} \text{ Å}^2$ and $A_y = -1.779 \text{ eV} \text{ Å}^2$. Their opposite signs conform with the saddlelike band dispersion. This type of band dispersion is another reflection of the strong in-plane anisotropy. Another saddle point can be observed at *Y* at energy 81 meV above the Fermi level. These saddle points contribute to the small peak in the DOS around the Fermi level.

V. HYPERBOLIC OPTICAL PROPERTY

As we mentioned at the very beginning, anisotropic metals naturally possess hyperbolic permittivity. To understand this



FIG. 3. (a) Band structure and orbital projected DOS of monolayer MoOCl₂. The different orbital contributions are indicated by different colors. (b) Low-energy bands near the Fermi level. The arrows indicate two saddle points close to the Fermi level. (c) Fermi surface of monolayer MoOCl₂.

point, we note that from the Drude model, for simple metals the real parts of the permittivity tensor elements take the form of

$$\operatorname{Re}[\varepsilon_{\alpha\beta}(\omega)] = 1 - \frac{\left(\omega_{\alpha\beta}^{p}\right)^{2}}{\omega^{2} + \gamma^{2}},$$
(4)

where γ is the electron life-time broadening, and $\omega_{\alpha\beta}^p$ is the plasma frequency tensor element. The expression in Eq. (4) is an increasing function of ω . It takes negative value at low frequencies and approaches +1 when $\omega \to \infty$. Typically, for good metals, $\omega_{\alpha\beta}^p \gg \gamma$, so Re[$\varepsilon_{\alpha\beta}(\omega)$] crosses zero when $\omega \approx \omega_{\alpha\beta}^p$.

The plasma frequency tensor is an intrinsic band property, given by

$$\left(\omega_{\alpha\beta}^{p}\right)^{2} = -\frac{4\pi e^{2}}{V} \sum_{n,k} 2f_{nk}' \left(\hat{\boldsymbol{e}}_{\alpha} \cdot \frac{\partial E_{n,k}}{\partial \boldsymbol{k}}\right) \left(\hat{\boldsymbol{e}}_{\beta} \cdot \frac{\partial E_{n,k}}{\partial \boldsymbol{k}}\right), \quad (5)$$

where f_{nk} is the equilibrium distribution function, and \hat{e}_{α} (\hat{e}_{β}) is the unit vector along the α (β) direction. For our current 2D system with D_{2h} point group symmetry, only the diagonal elements ω_{xx}^p and ω_{yy}^p are nonvanishing. From (5), we see that each element is proportional to the squared band velocity along x or y averaged on the Fermi surface. Since we know from the band structure of monolayer MoOCl₂ that the dispersion along y is much weaker than along x, we must have

$$\omega_{xx}^p \gg \omega_{yy}^p. \tag{6}$$

It follows that $\text{Re}(\varepsilon_{xx})$ and $\text{Re}(\varepsilon_{yy})$ must cross zero at very different frequencies. Then the frequency window between their zero points defines the hyperbolic window, in which $\text{Re}(\varepsilon_{xx})$ and $\text{Re}(\varepsilon_{yy})$ have opposite signs.

The above analysis is confirmed by our DFT calculation. In Fig. 4(a) we plot both real and imaginary parts of $\varepsilon_{\alpha\alpha}$ ($\alpha =$ x, y). In the calculation we take $\gamma \sim 50 \text{ meV}$, the value extracted from the transport measurement on the bulk sample at 10 K [42]. From the result, one clearly observes that there are two wide hyperbolic windows from 0.41 to 2.90 eV and from 3.63 to 5.54 eV, in which $\text{Re}(\varepsilon_{yy})$ is positive whereas $\operatorname{Re}(\varepsilon_{xx})$ is negative. The former window is even wider than the previously reported one in Ca₂N (from 0.38 eV to 1.40 eV) [24], and it overlaps a large portion with the visible light spectrum. Moreover, one finds that the imaginary parts of the permittivity, which represent dissipation, are quite small for most part of this hyperbolic window (less than 1 from 1.58 eV to 2.30 eV). All these characters are much desired for applications. 2D materials with such ideal hyperbolic properties have not been reported before.

To take a closer look at optical absorption, in Fig. 4(b) we plot the real parts of the optical conductivities. They are



FIG. 4. (a) Real and imaginary parts of permittivity $\varepsilon(\omega)$ of monolayer MoOCl₂. The shaded region indicates the hyperbolic frequency window. (b) Real part of the optical conductivity of monolayer MoOCl₂.

connected with the imaginary parts of $\varepsilon_{\alpha\alpha}$ because of the fundamental relation

$$\varepsilon_{\alpha\beta}(\omega) = 1 + \frac{4\pi i}{\omega} \sigma_{\alpha\beta}(\omega). \tag{7}$$

One can see that because ω_{xx}^p is much larger than ω_{yy}^p , Re(σ_{xx}) has a much stronger Drude peak (i.e., the peak close to zero frequency) than Re(σ_{yy}). However, Re(σ_{xx}) is strongly suppressed above 0.70 eV in Fig. 4(b). In contrast, Re(σ_{yy}) is suppressed between 0.09 and 2.54 eV, and it has a peak around 2.89 eV. By analyzing the band structure, we find this peak corresponds to the interband transitions between two almost parallel bands, as indicated by the arrow in Fig. 3(a). This dramatic difference between Re(σ_{xx}) and Re(σ_{yy}) indicates a strong linear optical dichroism, i.e., for incident lights with different linear polarizations, their absorption spectra will be very different.





FIG. 5. Strain-stress curves for monolayer $MoOCl_2$ for strains applied in *x* and *y* directions.

VI. STRAIN EFFECT

2D materials can usually sustain very large lattice strains (>10%). And strain has been proved to be an effective method to tune the physical properties of 2D materials. Here we calculate the strain-stress relations for monolayer MoOCl₂, and the result is plotted in Fig. 5. One observes that the curves for strains along *x* and *y* directions are quite different, again reflecting the large anisotropy. The critical strain for the *x* direction is about 18% and for the *y* direction is about 15%. The large values of the critical strain demonstrate that monolayer MoOCl₂ is quite flexible.

Then we consider the effect of strain on the physical properties of monolayer MoOCl₂. We shall focus on the hyperbolic optical response below 4.0 eV, as it is the most interesting feature here. (The change in the band structure and the Fermi-surface geometry is presented in the Supplemental Material [69].) Figures 6(a) and 6(b) show the calculated $\operatorname{Re}(\varepsilon_{\alpha\alpha})$ versus the applied uniaxial strains. Here, to simulate the stretching of a 2D sample, the strain is applied along x or v direction, and the structure is fully relaxed in the orthogonal direction. One observes that for tensile strains applied along x [Fig. 6(a)], the hyperbolic window is gradually shifted to lower frequencies. Comparing the unstrained case and the 8% strained case, the hyperbolic window is redshifted from (0.41, 2.90) to (0.10, 3.03) eV. Meanwhile, for strains applied along y [Fig. 6(b)], the change is more pronounced. While the change in $\operatorname{Re}(\varepsilon_{xx})$ is relatively small, the change in $\operatorname{Re}(\varepsilon_{yy})$ is quite large. The $\text{Re}(\varepsilon_{vv})$ curve and its zero point first undergo a redshift at small strains, and then they revert to a blueshift for strains greater than 4%. The hyperbolic window changes from (0.41, 2.90) eV at zero strain to (1.40, 2.50) eV at 8% strain. Thus there is a range of frequencies, e.g., between 0.41 and 1.40 eV, in which the system is hyperbolic at zero strain but changed to elliptic at 8% strain. It follows that by tuning the applied strain, we can completely change the



FIG. 6. Effects of strain on (a, b) $\operatorname{Re}[\varepsilon(\omega)]$ and (c, d) optical conductivity $\operatorname{Re}[\sigma(\omega)]$. (a) and (c) are for strains along x. (b) and (d) are for strains along y.

optical character of the material. This is a great advantage for applications.

In Figs. 6(c) and 6(d) we also plot the variation of $\text{Re}(\sigma_{\alpha\alpha})$ versus the applied strain. The anisotropy (linear dichroism) remains large for these applied strains. Similar to the trend in Fig. 6(c), the change is more pronounced for strains applied along the *y* direction. In Fig. 6(d) one observes that the single interband peak at 2.89 eV starts to split into two and redshift with increasing strain. These results will be useful for tuning the optical absorption of the material and for probing the strain via optical detection.

VII. DISCUSSION AND CONCLUSION

We have revealed monolayer $MoOCl_2$ as a highly anisotropic 2D metal. Such a characteristic is quite unique among the existing 2D materials. Previously, the anisotropic feature has been mainly discussed in black phosphorene and related materials. However, these materials are intrinsically semiconductors, and their degree of anisotropy is not as high as monolayer $MoOCl_2$. From our discussion it is clear that the metallic character is important for its excellent hyperbolic optical property. Besides the properties discussed here, the anisotropy will manifest in many other properties, such as the plasmonic waves, charge and thermal transport, etc. And for 2D materials, there are many well-developed approaches to tune their properties, such as the chemical modification, doping by adsorption, substitution, or gating. They may have a pronounced effect on monolayer MoOCl₂ and change its optical response. We hope our current work can motivate more subsequent studies on this interesting 2D material. In addition, we point out that the bulk MoOCl₂ could also serve as a high-performance 3D hyperbolic material. As shown in the Supplemental Material [69], a large hyperbolic window between 0.39 and 2.57 eV exists for bulk MoOCl₂, and dissipation is low for a large part of this window.

In conclusion, we have proposed a 2D material-the monolayer MoOCl₂. We predict that the monolayer could be easily obtained from the existing bulk sample by mechanical exfoliation. We have systematically investigated its physical properties and revealed the material as a highly anisotropic 2D metal. The anisotropy originates from the underlying lattice structure and manifests in a wide range of properties. The most prominent one uncovered here is the hyperbolic optical property. We show that the material hosts two wide hyperbolic windows from 0.41 and 2.90 eV and from 3.63 to 5.54 eV. The former window overlaps a large part of the visible spectrum and has very little dissipation. Such a characteristic is superior than all existing 2D materials, to our best knowledge. In addition, we demonstrate that the optical property can be effectively tuned by strain, and even a transition between hyperbolic and elliptic characters can be achieved. Our findings establish monolayer MoOCl₂ as a unique addition to the 2D materials family. Its fascinating properties will complement other 2D materials and hold great promise for nanoscale electronic, mechanic, and optical applications.

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