Rapid Communications

Electronic correlations and magnetic interactions in infinite-layer NdNiO₂

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The large antiferromagnetic exchange coupling in the parent high- T_c cuprate superconductors is believed to play a crucial role in pairing the superconducting carriers. The recent observation of superconductivity in hole-doped infinite-layer (IL-) NdNiO2 brings to the fore the relevance of magnetic coupling in high-Tc superconductors, particularly because no magnetic ordering is observed in the undoped IL-NdNiO2, unlike in parent copper oxides. Here, we investigate the electronic structure and the nature of magnetic exchange in IL-NdNiO2 using state-of-the-art many-body quantum chemistry methods. From a systematic comparison of the electronic and magnetic properties with isostructural cuprate IL-CaCuO₂, we find that the on-site dynamical correlations are significantly stronger in IL-NdNiO₂ compared to the cuprate analog. These dynamical correlations play a critical role in the magnetic exchange resulting in an unexpectedly large antiferromagnetic nearest-neighbor isotropic Jof 77 meV between the Ni¹⁺ ions within the ab plane. While we find many similarities in the electronic structure between the nickelate and the cuprate, the role of electronic correlations is profoundly different in the two. We further discuss the implications of our findings in understanding the origin of superconductivity in nickelates.

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The recent discovery of superconductivity in hole-doped infinite layer (IL-) NdNiO2 [1] marked a new direction in the efforts to understand the origin of high- T_c (hT_c)/ unconventional superconductivity observed in strongly correlated materials. To date two classes of compounds have been discovered to exhibit the unconventional superconducting behavior: copper oxides (cuprates) and iron-based pnictides/chalcogenides (iron pnictides) with critical temperatures up to 134 K [2-4] and 46 K [5], respectively. Although a T_c of 15 K in $Nd_{0.8}Sr_{0.2}NiO_2$ [1] is rather low, the similarities in the crystal and electronic structures of the parent IL-NdNiO₂ with hT_c cuprate and iron-pnictide compounds, to a first approximation, renders their discovery remarkable. Particularly, it provides another playground for a comparison of the essential physical features that may result in superconductivity.

While phonon mediated attraction is responsible for the formation of Cooper pairs in conventional superconductors [6] is long established, there is a large consensus that the most likely source of the electron gluing attractive potential in unconventional superconductors is provided by the antiferromagnetic (AF) correlations in the ground state (GS) [7–11].

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It is proposed that the inherent strong quantum spin fluctuations take the role of "vibrations" analogous to phonons in conventional superconductors. The parent compounds of hT_c cuprates and pnictides are magnetically ordered with large AF exchange couplings (J). The highest nearest-neighbor $(NN) J_1$ of 250 meV is found in the cuprate Sr₂CuO₃ [12] with considerably smaller farther neighbor couplings [13]. On the other hand, both NN J_1 and next-nearest-neighbor (NNN) J_2 interactions play a vital role in iron pinictides with $J_2 > J_1/2$ [14].

The IL-NdNiO₂ crystallizes in the P4/mmm space group and is isostructural to IL-CaCuO₂ [15]. There are four oxygen atoms surrounding a nickel atom in a square-planar coordination and the rare-earth neodymium sits in the center of a cuboid formed by eight oxygens [see Figs. 1(a) and 1(b)]. A formal +1 oxidation of Ni in IL-NdNiO₂ constitutes a strikingly similar valence 3d manifold as in the Cu²⁺ in cuprate superconductors, where a single hole is localized on the $3d_{x^2-v^2}$ orbital. However, no magnetic ordering is found in IL-NdNiO2 [16], posing questions on the importance of magnetic correlations in the superconducting behavior in IL-Nd_{0.8}Sr_{0.2}NiO_{2.} [17]. A number of electronic structure calculations based on density-functional theory (DFT) have shown that Ni $3d_{x^2-y^2}$ states sit at the Fermi energy level [18–20], with a significant overlap with Nd^{3+} 5d states. It is argued that this close proximity of Nd 5d states results in a self-doping effect which reduces the Ni d-orbital occupancy to below 9. However, recent Nd M-edge x-ray absorption spectroscopic measurements [21] suggest that Nd remains in the +3 valence state, indicating the robustness of +1 oxidation of Ni. Based on the hopping matrix elements derived from DFT band structure calculations, it has been concluded that the magnetic interac-

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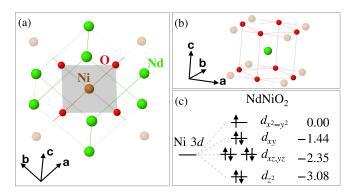


FIG. 1. (a) Square planar NiO₄ plaque and (b) the oxygen environment around the Nd³⁺ in IL-NdNiO₂. (c) Crystal field levels of the Ni¹⁺ as computed from our calculations, and the energies in eV are also shown.

tions between $\mathrm{Ni^{1+}}$ ions are much smaller than in cuprates, ≈ 10 meV [19]. Further, a number of models have been explored based on one-, two-, and multiband Hamiltonians to derive the superconducting properties [17,19,20,22–26]. Nonetheless, the lack of a reliable many-body description of the electronic structure of IL-NdNiO₂ renders it difficult to gauge the importance of different degrees of freedom and design pertinent investigative models to probe the origin of unconventional superconductivity.

In this Rapid Communication, in an effort to resolve this situation, we adopt many-body ab initio calculations to address the following three questions: (1) Is the electronic GS of the parent IL-NdNiO₂ similar to the one in parent hT_c superconducting cuprates? (2) How do the electronic correlations in IL-NdNiO₂ compare with those in IL-CaCuO₂? (3) How strong is the magnetic exchange? To address these fundamental questions, we compute the electronic structure of the Ni¹⁺ ions in the NiO₂ planes, particularly the Ni d-level multiplet structure using state-of-the-art wave-function quantum chemistry methods. We quantify the electronic correlations among the valence and virtual orbitals, by computing the entanglement entropy, and unravel the similarities/differences in the electron interactions in the Ni and Cu compounds. Intriguingly, we find the on-site dynamical correlation is much stronger in IL-NdNiO₂ compared to IL-CaCuO₂. While we predict an AF Heisenberg J of 77 meV in IL-NdNiO₂, the J of 208 meV we compute for IL-CaCuO₂ is very close to \approx 187 meV that is derived from a resonant inelastic x-ray scattering (RIXS) experiment [27].

d-orbital excitations. In Table I the relative energies of the d-orbital excitations obtained from multireference calculations for IL-NdNiO₂ and isostructural IL-CaCuO₂ are shown (for structural details, see Refs. [16,28,29]). These are obtained from a cluster-in-an-embedding calculation. Here, the electronic structure of a (quantum) cluster of atoms carved from the solid is computed with many-body wave-function calculations, while the solid-state environment is represented by the classical electrostatic field, which is computed from a set of point charges fitted to reproduce the Madelung potential within the cluster [30]. We use a single NiO₄ (CuO₄) square plaque along with the nearest-neighbor Ni (Cu) and Nd (Ca) ions in the quantum cluster [see Supplemental Material (SM)

TABLE I. Relative energies (eV) of crystal-field-split $3d^9$ states in IL-NdNiO₂ and isostructural IL-CaCuO₂ obtained from CASSCF and CASSCF+NEVPT2 calculations. NEVPT2 corresponds to the *N*-electron valence perturbation theory [54,55]. Excitation energies derived from RIXS measurement on IL-CaCuO₂ are also shown.

Orbital	NdNiO ₂		CaCuO ₂		
sym.	CASSCF	+NEVPT2	CASSCF	+NEVPT2	RIXS [56]
$\overline{d_{x^2-y^2}}$	0.00	0.00	0.00	0.00	0.00
d_{xy}	1.38	1.44	1.43	1.70	1.64
d_{xz}	2.24	2.35	2.04	2.21	1.95
d_{v_7}	2.24	2.35	2.04	2.21	1.95
d_{yz} d_{z^2}	3.03	3.08	2.61	2.73	2.65

[31] for the basis-set information and other computational details, which includes Refs. [32–53]].

A combination of post Hartree-Fock (HF) complete active space self-consistent field (CASSCF) and multireference perturbation theory (MRPT) methods were employed to systematically capture the electron correlations [49]. A large active space consisting of five Ni (Cu) 3d, all the O 2p orbitals of the NiO (CuO) plaque and the corresponding so-called "double-shell" orbitals [Ni (Cu) 4d and O 3p] plus the semicore Ni (Cu) 3s and unoccupied 4s orbitals, was considered, resulting in a 35 electrons in 36 orbitals—CAS(35,36)SCF correlated calculation. An approximate solver based on density matrix renormalization group (DMRG) theory [42] was used to solve the eigenvalue problem defined within this active space, as conventional deterministic solvers are incapable of handling the resulting large Hilbert spaces. The number of renormalized states (M) was set to 3000 to guarantee convergence of the total energies. We employed the PYSCF quantum chemistry package [57] for all the calculations.

Unlike other many-body computational schemes, our methodology do not use any *ad hoc* parameters to incorporate electron-electron interactions and provides techniques to systematically analyze electron correlation effects. Such *ab initio* calculations offer insights into the electronic structure of correlated solids and go substantially beyond standard density-functional approaches [e.g., see Refs. [58–61] for the 3*d* transition metal (TM) oxides and Refs. [32,62–65] for 5*d* compounds]. The larger active spaces considered in the present work are at the limit of what can be achieved today, and allow us to not only capture all the static and large portions of dynamic correlations but also enable us to understand their significance.

The d-d excitation energies for IL-NdNiO₂ shown in Table I exhibit a similar structure to that of the Cu²⁺ ion in IL-CaCuO₂. Contrary to the commonly accepted crystal field picture for a square planar coordination [66], the out-of-plane d_{z^2} is considerably lower in energy compared to the degenerate $d_{xz,yz}$ levels, as it is in IL-CaCuO₂. The excitation energies we compute for IL-CaCuO₂ reasonably fit with the peak positions in the RIXS spectrum [56] and previous calculations [59]. For IL-NdNiO₂, the crystal field energy splittings we obtain are grossly different from those extracted from Wannier functions derived from band structure calculations [26], evidently displaying the crucial nature of many-body

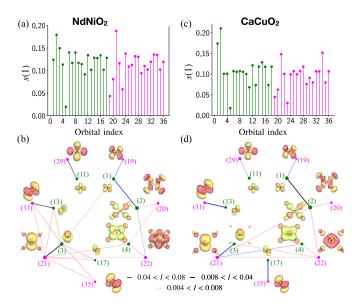


FIG. 2. (a) and (c) Single-orbital entropy $s(1)_i$. (b) and (d) Mutual orbital information $I_{i,j}$ between a few strongly entangled pairs of CASSCF natural orbitals (also shown) for IL-NdNiO₂ and IL-CaCuO₂, respectively. $I_{i,j}$ for all orbital pairs is shown in SM [31]. In (a) and (c) the green and magenta colors represent the two different set of orbitals, occupied (at the HF level) and the corresponding double shell (virtual), respectively. The thicknesses of the black, blue, and pink lines in (b) and (d) denote the strength of $I_{i,j}$, and the size of the dots is proportional to $s(1)_i$.

interactions. A significant difference in the multiplet structure of IL-NdNiO₂ and IL-CaCuO₂ is that the excitations into out-of-plane $d_{xz,yz}$ - and d_{z^2} -like orbitals are \approx 0.4 eV higher in the former. This is a consequence of the presence of bigger Nd³⁺ ions that increase the c-axis lattice parameter in IL-NdNiO₂, 3.28 Å (3.17 Å in IL-CaCuO₂), which further stabilize the out-of-plane orbitals.

To obtain insights into the electronic correlations in the GS of the two compounds, we analyzed their wave functions with the help of the full configuration interaction quantum Monte Carlo (FCIQMC) algorithm [67]. Using spin-adapted FCIQMC [68] from the NECI computer program [69], the GS wave functions within the CAS(35,36) space can be represented as a linear combination of configuration state functions (CSFs) (see SM [31] for details). One hundred million walkers were used to converge the total energies to within 0.1 mHa of the DMRG energies. We find that the GS wave function of IL-NdNiO₂ is more multiconfigurational compared to the IL-CaCuO₂ GS wave function. While the first 1000 CSFs constitute 92.3% weight to the wave function in IL-NdNiO₂, their contribution is 94.6% in IL-CaCuO₂. The first three terms of the wave functions for IL-NdNiO₂ (NNO) and IL-CaCuO₂ (CCO), respectively, expressed in hole excitations from the reference (first) CSF [the subscript corresponds to the natural orbital numbers shown in Figs. 2(b)-2(d)], are

$$\begin{split} \psi_{GS}^{NNO} &= 0.890 |\downarrow_1\rangle + 0.072 |\downarrow_2\uparrow\downarrow_1\rangle + 0.068 |\Box_3\downarrow_1\uparrow\downarrow_{21}\rangle \\ &+ \cdots, \\ \psi_{GS}^{CCO} &= 0.896 |\downarrow_1\rangle + 0.131 |\downarrow_2\uparrow\downarrow_1\rangle + 0.054 |\uparrow_3\downarrow_1\downarrow_{21}\rangle \\ &+ \cdots. \end{split}$$

respectively, where \uparrow/\downarrow , $\uparrow\downarrow$ and \Box represent singly occupied, doubly occupied, and empty orbital states, respectively. While $\approx 80\%$ of the wave function is dominated by the CSF with a hole in the $3d_{x^2-y^2}$ -like orbital in both compounds, the rest of the composition of the wave function is quite different. The contribution from the O 2p charge transfer CSF (second term) is considerably smaller in IL-NdNiO₂, $\approx 0.5\%$, whereas in IL-CaCuO₂ it is $\approx 1.7\%$, over three times larger. The third term indicates a strong dynamic correlation (double excitation) between the $3d_{z^2}$ - and $4d_{z^2}$ -like orbitals in the Ni compound, whereas a single excitation (orbital relaxation) with a smaller weight in the Cu compound.

Electronic correlations. To further analyze the wave functions of the two compounds, we compute the entanglement entropy in them. When a wave function $(|\psi\rangle)$ is represented in a Slater determinant/CSF basis, the electron correlation effects can be quantified by measuring the interaction between any pair of orbitals in which the electrons reside. Starting from the reduced density matrices (RDMs) corresponding to a wave function, computation of the von Neumann entropy of a particular orbital enables the quantification of electron correlations present in a quantum chemical system [46,70,71]. The single orbital entropy, $s(1)_i = -\sum_{\mu} w_{\mu,i} \ln w_{\mu,i}$, quantifies the correlation between the *i*th $(|\mathbf{i}\rangle)$ orbital and the remaining set of orbitals $(|\mathbf{n}\rangle)$ contained in the wave-function expansion. Here, $w_{\mu,i}$ are the eigenvalues of the one-orbital RDM $\rho_{i_0,i_0}^{(1)}$ [46] (see SM [31]). Note that $s(1)_i$ has a maximum value of $\ln 4 \approx 1.39$ when all four possible occupations of an orbital are equally probable. Thus an orbital with a large s(1) experiences strong charge fluctuations, implying a strongly correlated orbital. The total quantum information encoded in the wave function described by an active space is $I_{\text{tot}} = \sum_{i} s(1)_{i}$ and indicates the level of correlation in the wave function. The mutual information, $I_{i,j} = s(2)_{i,j}$ $s(1)_i - s(1)_j$, where $s(2)_{i,j}$ is the two-orbital entropy between i and j, [72,73] illustrates the correlation of an orbital with another, in the embedded environment comprising all other orbitals. We used QCMAQUIS [74] and OPENMOLCAS [75] programs to compute the entropies.

In Fig. 2, $s(1)_i$ and $I_{i,j}$ computed from CAS(35,36)SCF RDMs for IL-NdNiO₂ and IL-CaCuO₂ are shown. From Figs. 2(a) and 2(c) we see $s(1) \gtrsim 0.1$ for all the orbitals, implying their significant contribution to static (or nondynamic) electron correlations. Typically, $s(1) \gtrsim 0.5$ is observed for bonding-antibonding pairs and near-degenerate orbitals, signifying the multireference character of the wave function, whereas $s(1) \lesssim 0.1$ is associated with orbitals important for dynamic correlations [70]. While s(1) in a self-consistent natural orbital basis presents the entanglement between a more compact set of correlated orbitals, s(1) in a self-consistent localized orbital basis also contains information about the orbital mixing in the self-consistent optimization of the orbital basis (see SM [31]).

The mutual information between pairs of orbitals plotted in Figs. 2(b) and 2(d) and Figs. S3 and S4 in SM [31] shows strong entanglement between the valence and their double-shell orbitals. Such strong entanglement is a result of dynamical (here mainly radial) correlation [49] and in the second order the so-called "orbital breathing" effects that manifest when their occupation is allowed to change [76].

While breathing of 3d orbitals has been studied earlier [76], it is interesting to find that radial correlations and breathing effects among O p orbitals are significant. An important observation here is the strong entanglement between Ni (Cu) $3d_{z^2}$ and $4d_{z^2}$ -like orbitals. As we discuss below, this influences the exchange coupling in the two compounds.

It can also be seen that the orbitals No. 1, Ni (Cu) $3d_{x^2-y^2}$ -like, and No. 2, O $2p_{\sigma}$, are strongly entangled, which is the consequence of strong σ -type hybridization between the two, and which plays a crucial role in the magnetic exchange as well as the hole propagation when doped [77].

Now, let us compare the entropy information between IL-NdNiO₂ and IL-CaCuO₂. The total entanglement entropy contained in the GS wave function of NdNiO₂ (4.2) is larger than it is (3.6) for IL-CaCuO₂ indicating that the electron correlations are much more important/stronger in the former, an outcome of the greater multiconfigurational character of the IL-NdNiO₂ GS wave function. Clearly, the radial-correlation and orbital breathing effects are much stronger in the nickel compound. A closer look at Fig. 2 reveals three major differences: (1) The Ni $3d_{z^2}$ - and $4d_{z^2}$ -like orbitals contribute rather significantly to the total entropy, in contrast to the Cu $3d_{7^2}$ - and $4d_{7^2}$ -like orbitals. Interestingly, we see a strong mixing of the Ni (Cu) $3d_{z^2}$ and 4s atomiclike orbitals in the CASSCF natural orbitals, however, this mixing is vastly different in Ni and Cu compounds (see Fig. S2 in SM [31] for the mutual information in the localized orbital basis). In IL-NdNiO2 the Ni 4s atomic orbitals contribute significantly to the GS wave function. This corroborates with the recent reports of the Ni 4s orbital playing a crucial role in the self-doping effect caused by the Nd d manifold [24]. (2) The mutual information between the Ni $3d_{x^2-y^2}$ and O $2p_{\sigma}$ -like orbitals indicates their hybridization is smaller than the corresponding Cu-O d-p hybridization, a key component for the superexchange. This difference would also influence the distribution of additional carriers upon doping. (3) In IL-NdNiO₂, mutual entropy between the Ni $3d_{z^2}$, $4d_{7^2}$ [orbitals No. (3,21)] and Ni 3d t_{2g} manifold [No. (13,31) and No. (17,35)] indicates the presence of dynamical angular correlations as well, which incidentally are invisible (with the current scale) in IL-CaCuO₂.

Exchange interactions. The isotropic exchange J was extracted by mapping the low-energy spin spectrum of the two-magnetic-site cluster onto the Heisenberg model. The two-magnetic-site clusters we employed included two NiO₄ (CuO₄) square units, four neighboring Ni¹⁺ (Cu²⁺) ions, and all adjacent Nd³⁺ (Ca²⁺) ions. To avoid the spin couplings of the two central plaques with the neighboring Ni¹⁺ (Cu²⁺) ions we replaced them with closed-shell Cu¹⁺ (Zn²⁺) total ion potentials. See SM [31] for details. The spin spectrum is computed within the CASSCF+MRPT formalism. The exchange in these systems is primarily of the superexchange type that depends on the virtual hopping of electrons (or holes) through the bridging oxygen and the effective on-site Coulomb repulsion ($U_{\rm eff}$) on the 3d orbitals of the Ni (Cu) ions. To describe these two process accurately, it is essential to incorporate all the Ni (Cu) 3d and the corresponding double-shell 4d (10+10) orbitals as well as the bridging oxygen 2p and 3p(3+3) orbitals in the CASSCF active space. Specifically, it has been recently shown that the orbital breathing effects associated with 3d-4d single excitations are crucial [78]. On top

TABLE II. A comparison of the Heisenberg exchange couplings obtained from *ab initio* many-body calculations for IL-NdNiO₂ and IL-CaCuO₂. All values are given in meV. Positive values correspond to antiferromagnetic exchange.

	Nd	NiO ₂	CaCuO ₂		
	CASSCF	+MRLCC	CASSCF	+MRLCC	
J	48.9	77.6	102.8	208.1	

of the CAS(24,26)SCF calculation, we performed multireference linearized coupled cluster (MRLCC) [52] calculations to capture the remaining correlation effects.

In Table II the computed exchange couplings are shown. The AF J that we compute for IL-CaCuO₂ is in good agreement with the experimental estimates of $J \approx 187$ meV [27]. However, in contrast to the recent reports of J an order of magnitude smaller in IL-NdNiO₂ compared to cuprates [17,19], our calculations find a large nearest-neighbor AF J of 77 meV in IL-NdNiO2, close to half the size in IL-CaCuO2. Given the reduced $3d_{x^2-y^2}$ - $2p_{\sigma}$ hybridization due to larger (Ni-O) distance, evident in the GS wave function and the mutual entropy information, it might be surprising to find such large J. However, a subtle interplay of virtual hopping across the bridging oxygen and the effective on-site correlation U on Ni 3d orbitals is at play. While the decreased d-p hybridization reduces the virtual hopping across the bridging oxygen and lowers the superexchange, J is enhanced due to the relatively smaller U in Ni compared to the U in Cu [79]. Additionally, the strong dynamical correlations we find in IL-NdNiO₂ further reduce the effective U significantly and increase the AF J.

It is remarkable that the J = 48.9 meV that we obtain at the CASSCF level is already about five times larger than $J \approx 10$ meV obtained when a reasonable value of the on-site Coulomb repulsion (U = 5 eV) is plugged into the DFT+Ucalculations [19]. Note that J strongly varies with U and indeed for smaller U one obtains a J similar to what we compute [19]. While the CASSCF calculation includes the essential superexchange processes and the orbital relaxation effects associated with d^8 - p^6 - d^{10} and d^9 - p^5 - d^{10} configurations [80] in the superexchange mechanism [78], the MRLCC calculations capture all the dynamic correlations and polarization effects associated with the nonbridging oxygens and the farther atoms in the quantum cluster. The latter calculation effectively renormalizes (decreases) the Coulomb interaction U on the Ni 3d orbitals, enhancing the J. Hybrid-DFT calculations estimate a J of 26 meV, more than two times larger than other DFT variants [20], reiterating the unreliability of DFT for estimating exchange couplings.

Discussion and conclusions. The superconducting phase in cuprates and iron pnictides occurs in the proximity of the antiferromagnetically ordered state. Given the noticeable overall similarities of the electronic structure of IL-NdNiO₂ with an isostructural cuprate, and our finding of a significant exchange coupling persuade us to conclude that the superconducting state in hole-doped IL-NdNiO₂ is unconventional and is driven by AF fluctuations. One might argue that IL-NdNiO₂ is not antiferromagnetically ordered and hence the superconductivity is unlike in cuprates. It should be noted that

the presence of AF correlations is of importance here but not an ordered state. There could be several possible reasons for the absence of AF ordering. It has been recently proposed that the GS of IL-NdNiO₂ could be close to a frustrated quantum critical point [81]. It has also been suggested that self-doping caused by the presence of Nd 5d states around the Fermi level can create a disordered magnetic lattice resulting in a quantum disordered state [82]. Indeed, it would be very interesting to see how doping would effect the GS of IL-NdNiO₂, given its multiconfigurational nature. Shall a hole be localized onto the O 2p states to form a Zhang-Rice singlet as in the cuprates [77] or is it accommodated on the Ni site? These questions we will address in a subsequent publication.

In conclusion, using state-of-the-art many-body quantum chemistry calculations, we have shown that the electronic structure of the parent IL-NdNiO₂ is similar to IL-CaCuO₂ but with noticeable differences. Primarily, the GS wave function is considerably more multiconfigurational in IL-NdNiO₂ with strong on-site dynamical correlations. These additional correlations stabilize the singlet than the triplet resulting in an

unexpectedly large AF J. With these findings we conclude that the superconductivity observed in IL d^9 nickelates is closely related to the superconductivity in d^9 cuprates, and a starting model Hamiltonian to investigate the superconductivity in IL-NdNiO₂ should consider the strong on-site dynamical correlations and effects of Ni 4s orbitals in addition to Ni $3d_{x^2-y^2}$ and O 2p.

Note added in proof. The dd-exitation energies presented in Table I are in very good agreement with the recent RIXS measurements reported in Ref. [83].

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