# Interplay between superconductivity and non-Fermi liquid at a quantum critical point in a metal. I. The $\gamma$ model and its phase diagram at T = 0: The case $0 < \gamma < 1$

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(Received 27 April 2020; accepted 1 July 2020; published 30 July 2020)

Near a quantum critical point in a metal, a strong fermion-fermion interaction, mediated by a soft boson, acts in two different directions: it destroys fermionic coherence and it gives rise to an attraction in one or more pairing channels. The two tendencies compete with each other. We analyze a class of quantum critical models, in which momentum integration and the selection of a particular pairing symmetry can be done explicitly, and the competition between non-Fermi liquid and pairing can be analyzed within an effective model with dynamical electron-electron interaction  $V(\Omega_m) \propto 1/|\Omega_m|^{\gamma}$  (the  $\gamma$  model). In this paper, the first in the series, we consider the case T = 0 and  $0 < \gamma < 1$ . We argue that tendency to pairing is stronger, and the ground state is a superconductor. We argue, however, that a superconducting state is highly nontrivial as there exists a discrete set of topologically distinct solutions for the pairing gap  $\Delta_n(\omega_m)$   $(n = 0, 1, 2, ..., \infty)$ . All solutions have the same spatial pairing symmetry, but differ in the time domain:  $\Delta_n(\omega_m)$  changes sign *n* times as a function of Matsubara frequency  $\omega_m$ . The n = 0 solution  $\Delta_0(\omega_m)$  is sign preserving and tends to a finite value at  $\omega_m = 0$ , like in BCS theory. The  $n = \infty$  solution corresponds to an infinitesimally small  $\Delta(\omega_m)$ , which oscillates down to the lowest frequencies as  $\Delta(\omega_m) \propto |\omega_m|^{\gamma/2} \cos[2\beta \log(|\omega_m|/\omega_0)]$ , where  $\beta = O(1)$  and  $\omega_0$  is of order of fermion-boson coupling. As a proof, we obtain the exact solution of the linearized gap equation at T = 0 on the entire frequency axis for all  $0 < \gamma < 1$ , and an approximate solution of the nonlinear gap equation. We argue that the presence of an infinite set of solutions opens up a new channel of gap fluctuations. We extend the analysis to the case where the pairing component of the interaction has additional factor 1/N and show that there exists a critical  $N_{cr} > 1$ , above which superconductivity disappears, and the ground state becomes a non-Fermi liquid. We show that all solutions develop simultaneously once N gets smaller than  $N_{cr}$ .

DOI: 10.1103/PhysRevB.102.024524

# I. INTRODUCTION.

The interplay between superconductivity and pairing near a quantum critical point (QCP) in a metal is a fascinating subject, which attracted substantial attention in the correlated electron community after the discovery of superconductivity (SC) in the cuprates, heavy-fermion and organic materials, and, more recently, Fe-pnictides and Fechalcogenides (see, e.g., Refs. [1–12]). Itinerant QC models, analyzed analytically in recent years include, e.g., models of fermions in spatial dimensions  $D \leq 3$  (Refs. [13–18]), two-dimensional (2D) models near spin-density-wave (SDW) and charge-density-wave (CDW) instabilities (Refs. [19-37]),  $2k_F$  density-wave instability (Ref. [38]), pair-density-wave instability [39,40], q = 0 instabilities toward a Pomeranchuk order instability [34,41–51] and toward circulating currents [52], 2D fermions at a half-filled Landau level [53], generic QC models with different critical exponents [54-62], Sachdev-Ye-Kitaev (SYK) and SYK-Yukawa models [63-67], strong coupling limit of electron-phonon superconductivity [68–72], and even color superconductivity of quarks, mediated by gluon exchange [73]. These problems have also been studied using various numerical techniques [36,74–77].

From theory perspective, the key interest in the pairing near a QCP is due to the fact that an effective dynamic electron-electron interaction  $V(q, \Omega)$ , mediated by a critical collective boson, which condenses at a QCP, provides strong attraction in one or more pairing channels and, at the same time, gives rise to non-Fermi liquid (NFL) behavior in the normal state. The two tendencies compete with each other: fermionic incoherence, associated with NFL behavior, destroys Cooper logarithm and reduces the tendency to pairing, while the opening of a SC gap eliminates the scattering at low energies and reduces the tendency to NFL. To find the winner (SC or NFL), one needs to analyze the set of integral equations for the fermionic self-energy  $\Sigma(\mathbf{k}, \omega)$  and the gap function  $\Delta(\mathbf{k}, \omega)$  for fermions with momentum/frequency ( $\mathbf{k}, \omega$ ) and ( $-\mathbf{k}, -\omega$ ).

We consider the subset of models in which collective bosons are slow modes compared to dressed fermions, for one reason or the other. In this situation, which bears parallels with Eliashberg theory for electron-phonon interaction [78], the self-energy and the pairing vertex can be approximated by their values at the Fermi surface (FS) and computed within one-loop approximation. The self-energy on the FS,  $\Sigma(\mathbf{k}, \omega)$  is invariant under rotations from the point group of the underlying lattice. The rotational symmetry of the gap function  $\Delta(\mathbf{k}_F, \omega)$  and the relation between the phases of  $\Delta(\mathbf{k}_F, \omega)$  on different FS's in multiband systems are model specific. Near a ferromagnetic QCP, the pairing interaction mediated by a soft boson is attractive in the *p*-wave channel. Near an antiferromagnetic QCP, the strongest attraction is in d-wave channel for the case of a single FS and fermionic density near half-filling. For a nearly compensated metal with hole and electron pockets, as in Fe-based superconductors, the two attractive channels near an antiferromagnetic OCP are  $s^{+-}$  and d wave. Near a q = 0 nematic QCP, the pairing interaction, mediated by soft nematic fluctuations, is attractive in all channels: s wave, p wave, d wave, etc. In each particular case, one has to project the pairing interaction into the proper irreducible channel, find the strongest one, and solve for the pairing vertex within a given pairing symmetry. In principle, even after projection, one has to solve an infinite set of coupled equations in momentum space as in a lattice system each irreducible representation contains an infinite set of eigenfunctions. However, near a QCP the pairing is often confined to a narrow range on the FS around special "hot spots." In this situation, the momentum integration near the FS can be carried out exactly, and the set of coupled equations for the self-energy and the gap function reduce to two one-dimensional (1D) equations for  $\Sigma(\omega)$  and  $\Delta(\omega)$ , each with frequency-dependent effective "local" interaction  $V(\Omega)$ (Ref. [22]). The same holds for the cases of s-wave pairing by a soft optical phonon, when momentum dependencies of  $\Sigma$  and  $\Delta$  are not crucial and can be neglected, of non-s-wave pairing, when one eigenfunction gives the dominant contribution to the gap (e.g.,  $\cos k_x - \cos k_y$  for *d*-wave pairing in the cuprates), and for the case when the fermionic density of states is peaked at particular  $\mathbf{k}_F$  due to, e.g., van Hove singularities (see, e.g., [4] and references therein).

Away from a QCP, the effective  $V(\Omega)$  tends to a finite value at  $\Omega = 0$ . In this situation, the fermionic self-energy has a FL form at the smallest frequencies, the equation for  $\Delta(\omega)$  is similar to that in a conventional Eliashberg theory for phonon-mediated superconductivity, and the only qualitative distinction for electronically mediated pairing is that  $V(\Omega)$ by itself changes below  $T_c$  due to feedback from fermionic pairing on collective modes.

At a QCP, the situation becomes qualitatively different because the effective interaction  $V(\Omega)$ , mediated by a critical massless boson, becomes a singular function of frequency: on Matsubara axis  $V(\Omega_m) \propto 1/|\Omega_m|^{\gamma}$  (Fig. 1). The exponent  $\gamma > 0$  depends on the model, ranging from small  $\gamma = 0(\epsilon)$  in models in  $D = 3 - \epsilon$  to  $\gamma \leq 1$  in 2D models at SDW, CDW, and nematic QCP and in Yukawa-SYK model [4,64,65,72,79]. The case  $\gamma = 2$  corresponds to fermions, interacting with a critical Einstein phonon. The set of models with  $V(\Omega_m) \propto$  $1/|\Omega_m|^{\gamma}$  has been nicknamed the  $\gamma$  model, and we will use this notation. We present an (incomplete) set of models in Tables I and II.

In this and subsequent papers, we present comprehensive analysis of the competition between NFL and SC within the  $\gamma$  model. We define the dimensionless  $V(\Omega_m)$  as  $V(\Omega_m) = (\bar{g}/|\Omega_m|)^{\gamma}$ , where  $\bar{g}$  is the effective fermion-boson coupling. This  $\bar{g}$  is the only parameter in the model with the dimension of energy, and we will see that it determines both the magnitude of the gap function  $\Delta(\omega_m)$  and the upper limit

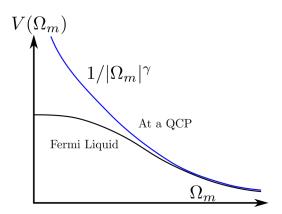


FIG. 1. Frequency dependence of the effective interaction  $V(\Omega_m)$ , mediated by a soft boson, at T = 0. Away from a QCP,  $V(\Omega_m)$  tends to a finite value at  $\Omega_m = 0$ . Right at a QCP, the boson becomes massless, and  $V(\Omega_m)$  diverges as  $1/|\Omega_m|^{\gamma}$ .

of NFL behavior in the normal state, i.e., the scale below which  $\Sigma(\omega_m) > \omega_m$ . We show that the competition between NFL and SC holds for all values of  $\gamma$ , but the physics and the computational analysis are different for the models with  $\gamma < 1$ ,  $\gamma = 1$ ,  $1 < \gamma < 2$ ,  $\gamma = 2$ , and  $\gamma > 2$ , which we consider separately. We show that for all  $\gamma$ , a NFL selfenergy in the normal state does not prevent the formation of bound pairs of fermions at a nonzero onset temperature  $T_p$ . However, we argue that  $T_p$  is generally higher than the actual superconducting  $T_c$ , and at  $T_c < T < T_p$  bound pairs remain incoherent. In this T range various observables, e.g., the spectral function and the density of states, display pseudogap behavior. This holds for all  $\gamma$ , but the width of the pseudogap phase increases with  $\gamma$ . We relate the pseudogap behavior to strong gap fluctuations, but argue that these fluctuations can be viewed as long-wavelength phase fluctuations only in some range  $\bar{T}_p < T < T_p$ . At smaller  $T_c < T < \bar{T}_p$ , pseudogap behavior is predominantly due to the existence of low-energy "longitudinal" gap fluctuations, which change the functional form of  $\Delta(\omega)$  and cause phase slips. We argue that longitudinal gap fluctuations develop a zero mode at  $\gamma = 2$ in which case SC order gets destroyed already at T = 0. Specifically, the presence of a zero mode implies that there exists an infinite number of solutions for  $\Delta(\omega)$ , all with the same condensation energy. In this situation, the ground state is a mixture of different  $\Delta(\omega)$ , each with its own phase. We will argue that  $T_c$  gradually vanishes at  $\gamma \rightarrow 2$  and remains zero at larger  $\gamma$ , while  $T_p$  and  $\overline{T}_p$  stay finite. We show the phase diagram in Fig. 2. Our results present the scenario for the pseudogap, which holds even if for a given solution for  $\Delta(\omega)$  SC stiffness is larger than  $T_c$ , i.e., conventional phase fluctuations are weak. This scenario is complementary to the one in which the smallness of the superfluid stiffness is caused by the closeness to a Mott transition (see, e.g., Ref. [81] and references therein).

#### A. A brief summary of the results of this work

In this paper, the first in the series, we analyze the  $\gamma$  model at T = 0 at  $0 < \gamma < 1$ . For definiteness, we focus on spin-singlet pairing. The analysis of spin-triplet pairing is

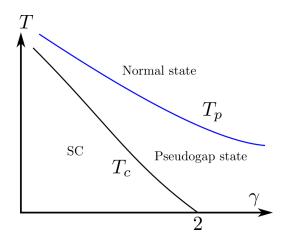


FIG. 2. The phase diagram of the  $\gamma$  model. In this and subsequent papers, we argue that for the pairing near a QCP the onset temperatures for the pairing and for long-range superconducting order,  $T_p$  and  $T_c$ , differ. In-between  $T_p$  and  $T_c$  the system displays pseudogap behavior. The width of the pseudogap region increases with increasing  $\gamma$  and extends to T = 0 for  $\gamma \ge 2$ . The behavior at larger  $\gamma$  requires separate consideration [80].

more involved because of different spin factors in the selfenergy and the pairing vertex [49]. In this paper we discuss even-frequency pairing. The analysis of odd-frequency pairing [82] is more involved and requires a separate consideration.

Our key goal is to understand the interplay between the competing tendencies toward pairing and toward NFL behavior. The first comes from the interaction in the particle-particle channel, the second from the interaction in the particle-hole channel. In the original  $\gamma$  model, both interactions are given by the same  $V(\Omega_m)$ . In order to separate the two tendencies we extend the model and introduce the knob to vary the relative strength of the interaction in the two channels. Specifically, we multiply the pairing interaction by 1/N and treat N as a parameter. For N > 1 (N < 1) the tendency toward pairing decreases (increases) compared to the one toward NFL ground state. The extension to integer N > 1 can be formally justified by extending the model from SU(1) to an SU(N) global symmetry [54]. In our analysis, we use the extension to arbitrary  $N \neq 1$  just as a computational trick to better understand what happens in the physical case of N = 1.

The T = 0 phase diagram of the  $\gamma$  model for  $N \ge 1$  has been studied before [54,58]. It was argued that for any  $\gamma < 1$ there exists a critical  $N_{cr} > 1$ , separating a SC ground state at  $N < N_{cr}$  and a normal, NFL ground state at  $N > N_{cr}$  (see Fig. 3). The physical case N = 1 falls into the SC region.

$$\frac{\text{SC} \quad \text{non-FL ground state}}{N_{cr}(\gamma) \quad N}$$

FIG. 3. The T = 0 phase diagram of the  $\gamma$  model for  $0 < \gamma < 1$  as a function of N. At smaller  $N < N_{cr}$  the ground state is a superconductor. At larger  $N > N_{cr}$  it is a NFL with no superconducting order. The value of  $N_{cr}$  is larger than one.

A similar result has been recently found in the study of the pairing in the SYK-type model [66].

The conventional wisdom holds that  $\Delta(\omega) = 0$  for  $N > N_{cr}$ , is infinitesimally small for  $N = N_{cr}$ , and has a finite value for  $N < N_{cr}$ , i.e., that the linearized gap equation has the solution at  $N = N_{cr}$ , and the nonlinear gap equation has the solution for  $N < N_{cr}$ . We argue that in our case the conventional wisdom fails. Namely, we prove that the linearized equation has a solution not only for  $N = N_{cr}$ , but also for all  $N < N_{cr}$ , including the physical case of N = 1. We obtain the exact solution of the linearized gap equation  $\Delta(\omega_m)$  for all  $N < N_{cr}$ and all  $0 < \gamma \leq 1$ . This  $\Delta(\omega_m)$  oscillates at small  $\omega_m \ll \bar{g}$ as  $\Delta(\omega_m) \propto |\omega_m|^{\gamma/2} \cos(\beta_N \log[(|\omega_m|/\bar{g})^{\gamma} + \phi_N]))$ , where  $\beta_N$ and  $\phi_N$  are particular functions of N (for a given  $\gamma$ ), e.g.,  $\beta_N \propto (N_{cr} - N)^{1/2}$  for  $N \leq N_{cr}$ . At large  $\omega_m \gg \bar{g}$ ,  $\Delta(\omega_m)$ decreases as  $1/|\omega_m|^{\gamma}$  (see Fig. 11).

At small  $\gamma$ , when  $\Delta(\omega_m)$  is a smooth function of frequency, the integral equation for  $\Delta(\omega_m)$  can be approximated by second-order differential equation, whose solution  $\Delta_{\text{diff}}(\omega_m)$ also exists for all  $N \leq N_{cr}$  and can be expressed analytically as a combination of two complex-conjugated hypergeometric functions. This  $\Delta_{\text{diff}}(\omega_m)$  also oscillates at small  $\omega_m$  and decays as  $1/|\omega_m|^{\gamma}$  at large frequencies (see Fig. 9). We show that  $\Delta_{\text{diff}}(\omega_m)$  coincides with the exact  $\Delta(\omega_m)$  to leading order in  $\gamma$ .

We then analyze the nonlinear gap equation. We argue that it has an infinite, discrete set of solutions, specified by integer *n*, which ranges between 0 and  $\infty$ . All solutions have the same spatial gap symmetry (i.e., *s* wave, *d* wave ...). A solution  $\Delta_n(\omega_m)$  changes sign *n* times as a function of frequency. Each  $\Delta_n(\omega_m)$  tends to a finite value at  $\omega_m = 0$ , but the magnitude of  $\Delta_n(0)$  progressively decreases with increasing *n*. At  $n = \infty$ ,  $\Delta_{\infty}(\omega_m)$  is the solution of the linearized gap equation, which has an infinite number of sign changes due to  $\cos[\beta_N \log(|\omega_m|/\bar{g})^{\gamma} + \phi_N]$  oscillations running down to the smallest  $\omega_m$ . The n = 0 solution yields sign preserving  $\Delta_0(\omega)$ .

The existence of an infinite set of  $\Delta_n(\omega)$ , each representing a local minimum of the Luttinger-Ward (LW) functional, opens up a new channel of longitudinal gap fluctuations, which cause phase slips. As long as the set is discrete, there is a single global minimum of the LW functional. In our case, it corresponds to n = 0. Then, at T = 0 the system should display a SC order. Still, the existence of the infinite set of  $\Delta_n(\omega_m)$  is a nontrivial aspect of the pairing at a QCP. In the next paper, we analyze the  $\gamma$  model for  $0 < \gamma < 1$  at a finite T and show explicitly that for any  $N < N_{cr}$  there exists a discrete, infinite set of onset temperatures for the pairing  $T_{p,n}$ and the corresponding eigenfunctions  $\Delta_n(\omega_m)$  change sign *n* times as a function of Matsubara frequency. We argue that at  $T \to 0$ , each  $\Delta_n(\omega_m)$  approaches the *n*th solution of the nonlinear integral gap equation at T = 0. We show that away from a QCP, only solutions with  $n < n_{\text{max}}$  remain, where  $n_{\text{max}}$ decreases with the deviation from a QCP.

We note in passing that a discrete set of solutions for the gap function at T = 0, with different *momentum* dependencies along the FS, has been detected in the analysis of  $\Delta(\mathbf{k}_F)$  near a nematic QCP [51,83]. There, however, the physics is different, and the number of solutions is finite at a QCP. This is similar to our case away from a critical point.

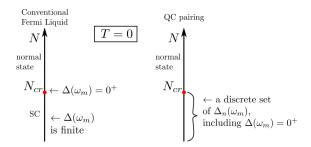


FIG. 4. A phase diagram away from a QCP (left) and at a QCP (right). Away from a QCP, the gap equation has a solution for infinitesimally small  $\Delta(\omega_m)$  at  $N = N_{cr}$ , and for a finite  $\Delta(\omega_m)$  at  $N < N_{cr}$ . At a QCP, a solution with infinitesimally small gap function exists for all  $N \leq N_{cr}$ , and for  $N < N_{cr}$  there is a discrete set of solutions for a finite  $\Delta_n(\omega_m)$ . A gap  $\Delta_n(\omega_m)$  changes sign *n* times as a function of  $\omega_m$ .

#### B. Relevance of the exact solution of the linearized gap equation

The existence of an infinite set of solutions of the nonlinear gap equation is a direct consequence of a highly unusual result that at a QCP the linearized gap equation has a solution not only at  $N = N_{cr}$ , but also for any  $N < N_{cr}$  (see Fig. 4). This is not away from a QCP, where the linearized gap equation as a solution only at  $N = N_{cr}$ . The proof of the existence of the solution for infinitesimally small  $\Delta(\omega_m)$  for all  $N \leq N_{cr}$ , including the original N = 1, is, therefore, the central element of our analysis.

The linearized gap equation can be analyzed at frequencies much smaller and much larger than  $\bar{g}$ . In these two limits, one can truncate the gap equation by keeping only leading terms either in  $\omega_m/\bar{g}$  or in  $\bar{g}/\omega_m$ . The truncated equation can be solved and yields  $\Delta(\omega_m) \propto 1/|\omega_m|^{\gamma}$  at large  $\omega_n$  and  $\Delta(\omega_m) \propto |\omega_m|^{\gamma/2} \cos(\beta_N \log[(|\omega_m|/\bar{g})^{\gamma} + \phi])$  at small  $\omega_m$ , where the phase factor  $\phi$  is a free parameter. The generic task is then to verify whether by fixing  $\phi$  one can find  $\Delta(\omega_m)$ , which smoothly interpolates between small and large  $\omega_m$  (see Fig. 5). The verification would be straightforward if there was a finite-frequency range where both forms were valid and could be made equal by fixing  $\phi$ . In our case, however, the low-frequency and the high-frequency regimes do not overlap, so the only option is to solve the full equation. We present the exact solution  $\Delta_{ex}(\omega_m)$  and show that at small and large

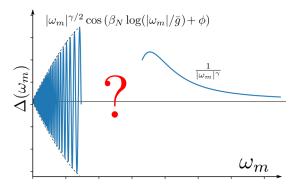


FIG. 5. The gap equation for infinitesimally small  $\Delta(\omega_m)$ . The solution can be found separately at large and small frequencies. There is no guarantee, however, that for any  $N \leq N_{cr}$  there exists the solution, which interpolates between the two limiting forms.

frequencies it reduces to known forms. The analytic expression for  $\Delta_{ex}(\omega_m)$  is rather complex, but one can straightforwardly plot  $\Delta_{ex}(\omega_m)$  for any input parameters (see Fig. 11).

At small  $\gamma$ , where the interaction  $V(\Omega_m) \propto 1/|\Omega_m|^{\gamma}$  is a slowly varying function of frequency, the actual, integral gap equation can be approximated by a differential equation. The latter can be solved analytically, and the solution  $\Delta_{\text{diff}}(\omega_m)$ is a hypergeometric function of  $\omega$ . Using the properties of a hypergeometric function at small and large values of the argument, one can explicitly verify that  $\Delta_{\text{diff}}(\omega_m)$  does interpolate between the known forms at small and large  $\omega_m$  if one properly chooses the value of the phase  $\phi$ .

We show that  $\Delta_{ex}(\omega_m)$  and  $\Delta_{diff}(\omega_m)$  coincide at small  $\gamma$ and use the analytic form of  $\Delta_{diff}(\omega_m)$  to analyze the structure of the gap function at different  $N \leq N_{cr}$ . We demonstrate by the direct comparison that at larger  $\gamma$ ,  $\Delta_{ex}(\omega_m)$  and  $\Delta_{diff}(\omega_m)$ differ qualitatively. We argue that the series for the exact  $\Delta_{ex}(\omega_m)$  contain nonlocal terms, not present for  $\Delta_{diff}(\omega_m)$ . These nonlocal terms are negligible at small  $\gamma$ , but must be kept for  $\gamma = O(1)$ , particularly near  $\omega_m = \omega_{max}$ , which separates oscillating behavior at smaller  $\omega_m$  and  $1/|\omega_m|^{\gamma}$ decay at larger  $\omega_m$ .

#### C. Structure of the paper

The paper is organized as follows. In Sec. II we briefly review the  $\gamma$  model and extend it to  $N \neq 1$ . We present the set of coupled Eliashberg equations for the pairing vertex  $\Phi(\omega_m)$ and the fermionic self-energy  $\Sigma(\omega_m)$  and combine them into the equations for the gap function  $\Delta(\omega_m)$  and the inverse quasiparticle residue  $Z(\omega_m)$ .

In Secs. III and IV we study the linearized gap equation with infinitesimally small  $\Delta(\omega_m)$ . In Sec. III we analyze the truncated gap equation at small and large  $\omega_m$  and obtain the solutions, valid in the corresponding limits. Here, we identify  $N_{cr}$  and show that the solution at small frequencies changes qualitatively between  $N > N_{cr}$  and  $N < N_{cr}$ . In Sec. IV we consider the limit of small  $\gamma$  and approximate the actual integral gap equation by second-order differential equation. We solve the differential equation for all  $\omega_m$  and explicitly show how one can match low-frequency and high-frequency forms by fixing a single phase factor. We show that this only holds for  $N \leq N_{cr}$ , while for  $N > N_{cr}$  the potential solution does not satisfy the condition of normalizability. In Sec. V we obtain the exact solution  $\Delta_{ex}(\omega_m)$  of the full linearized gap equation. We show that it exists for all  $N < N_{cr}$ . The solution  $\Delta_{ex}(\omega_m)$  oscillates at small frequencies and decays as  $1/|\omega_m|^{\gamma}$  at large  $|\omega_m|$ . In Sec. V A we analyze the structure of  $\Delta_{ex}(\omega_m)$  and compare it with  $\Delta_{diff}(\omega_m)$ . We show that the two coincide at the smallest  $\gamma$ , but differ at  $\gamma = O(1)$ . We argue that the difference is due to the fact that  $\Delta_{ex}(\omega_m)$ contain nonlocal terms, not present in  $\Delta_{\text{diff}}(\omega_m)$ . In Sec. VI we consider the nonlinear gap equation. We first analyze the nonlinear differential equation and argue that it has an infinite, discrete set of solutions  $\Delta_{\text{diff},n}(\omega_m)$  for any  $N < N_{cr}$ . The index n = 0, 1, 2, ... specifies the number of times  $\Delta_{\text{diff},n}(\omega_m)$ changes sign as a function of frequency. The solution with n=0 is sign preserving. The solution  $n \to \infty$  coincides with the solution of the linearized differential equation. We conjecture that the actual, nonlinear integral gap equation

also possesses an infinite, discrete set of topologically distinct solutions  $\Delta_n(\omega_m)$ . Section VII presents the summary of our results.

#### D. Brief outline of subsequent papers

In the next paper in the series (Paper II [84]) we consider the linearized gap equation for the same range  $0 < \gamma < 1$ at a finite *T* and show that there exists an infinite discrete set of critical temperatures  $T_{p,n}$  for the pairing instability, all within the same pairing symmetry. The corresponding eigenfunction  $\Delta_n(\omega_m)$  changes sign *n* times as a function of discrete Matsubara frequency  $\omega_m = \pi T(2m + 1)$ . We argue that at  $T \rightarrow 0$  these finite-*T* solutions become  $\Delta_n(\omega_m)$ , which we find here in the T = 0 analysis.

For  $\gamma < 1$ , the sign-preserving solution  $\Delta_0(\omega_m)$  has the largest condensation energy and the largest  $T_{p,0}$ . Still, the presence of an infinite set of  $\Delta_n(\omega_m)$  at T = 0 is not only highly unusual, but creates a new channel of "longitudinal" gap fluctuations. In subsequent papers we will focus on the physical case N = 1 and extend the analysis at T = 0 to  $\gamma > 1$ 1. We will show that the set  $\Delta_n(\omega)$  becomes more dense with increasing  $\gamma$  and eventually becomes continuous at  $\gamma = 2$ . For this special  $\gamma$ , all solutions of the nonlinear gap equation have equal condensation energy, and long-range superconducting order at T = 0 gets destroyed upon averaging over different solutions, each with its own phase. We will corroborate this by the analysis of the gap equation for the same  $\gamma$  at finite T and obtain the phase diagram, shown in Fig. 2. Later, we will show [80] that the system behavior is rather special for larger  $\gamma$ , particularly for  $\gamma > 3$ .

## II. y MODEL

We consider itinerant fermions at the onset of a long-range order in either spin or charge channel. At the critical point, the propagator of a soft boson becomes massless and mediates singular interaction between fermions. We follow earlier works [1,13,14,22,23,27,45,54,55,58,59,85] and assume that this interaction is attractive in at least one pairing channel and that a pairing boson can be treated as slow mode compared to a fermion, i.e., at a given momentum q, typical fermionic frequency is much larger than typical bosonic frequency. This is the case for a conventional phonon-mediated superconductivity, where for  $q \sim k_F$  a typical fermionic frequency is of order  $E_F$ , while typical bosonic frequency is of order Debye frequency  $\omega_D$ . The ratio  $\delta_E = \omega_D/E_F$  is the small parameter for Eliashberg theory of phonon-mediated superconductivity. This theory allows one to obtain a set of coupled integral equations for frequency-dependent fermionic self-energy and the pairing vertex. By analogy, the theory of electronic superconductivity, mediated by soft collective bosonic excitations in spin or charge channel, is also often called Eliashberg theory. We will use this convention.

Within the Eliashberg approximation, one can explicitly integrate over the momentum component perpendicular to the Fermi surface (for a given pairing symmetry) and reduce the pairing problem to a set of coupled integral equations for frequency-dependent self-energy  $\Sigma(\omega_m)$  and the pairing vertex  $\Phi(\omega_m)$  with effective frequency-dependent dimensionless interaction  $V(\Omega) = (\bar{g}/|\Omega|)^{\gamma}$ . This interaction gives rise to NFL form of the self-energy in the normal state and, simultaneously, gives rise to the pairing.

At T = 0, the coupled Eliashberg equations for the pairing vertex and the fermionic self-energy are, in Matsubara formalism,

$$\Phi(\omega_m) = \frac{\bar{g}^{\gamma}}{2} \int d\omega'_m \frac{\Phi(\omega'_m)}{\sqrt{\tilde{\Sigma}^2(\omega'_m) + \Phi^2(\omega'_m)}} \frac{1}{|\omega_m - \omega'_m|^{\gamma}},$$
  
$$\tilde{\Sigma}(\omega_m) = \omega_m + \frac{\bar{g}^{\gamma}}{2} \int d\omega'_m \frac{\tilde{\Sigma}(\omega'_m)}{\sqrt{\tilde{\Sigma}^2(\omega'_m) + \Phi^2(\omega'_m)}} \frac{1}{|\omega_m - \omega'_m|^{\gamma}},$$
  
(1)

where  $\tilde{\Sigma}(\omega_m) = \omega_m + \Sigma(\omega_m)$ . In these equations, both  $\Sigma(\omega_m)$ and  $\Phi(\omega_m)$  are real functions. Observe that we define  $\Sigma(\omega_m)$ with the overall plus sign and without the overall factor of *i*.<sup>1</sup> In the normal state ( $\Phi \equiv 0$ ),

$$\tilde{\Sigma}(\omega_m) = \omega_m + \omega_0^{\gamma} |\omega_m|^{1-\gamma} \operatorname{sgn}\omega_m, \qquad (2)$$

where  $\omega_0 = \bar{g}/(1 - \gamma)^{1/\gamma}$ . At small  $\gamma$ ,  $\omega_0 = \bar{g}e$ .

The superconducting gap function  $\Delta(\omega_m)$  is defined as a real function

$$\Delta(\omega_m) = \omega_m \frac{\Phi(\omega_m)}{\tilde{\Sigma}(\omega_m)} = \frac{\Phi(\omega_m)}{1 + \Sigma(\omega_m)/\omega_m}.$$
 (3)

The equation for  $\Delta(\omega_m)$  is readily obtained from (1):

$$\Delta(\omega_m) = \frac{\bar{g}^{\gamma}}{2} \int d\omega'_m \frac{\Delta(\omega'_m) - \Delta(\omega_m)\frac{\omega_m}{\omega_m}}{\sqrt{(\omega'_m)^2 + \Delta^2(\omega'_m)}} \frac{1}{|\omega_m - \omega'_m|^{\gamma}}.$$
 (4)

This equation contains a single function  $\Delta(\omega_m)$ , but for the cost that  $\Delta(\omega_m)$  appears also in the right-hand side, which makes Eq. (4) less convenient for the analysis than Eqs. (1).

Equations (1)–(4) describe color superconductivity [73] and pairing in 3D [ $\gamma = 0_+, V(\Omega_m) \propto \log |\omega_m|$ ], spin- and charge-mediated pairing in  $D = 3 - \epsilon$  dimensions [13,14,54], and superconductivity in graphene [86] [ $\gamma = O(\epsilon) \ll 1$ ], a 2D pairing [38] with interaction peaked at  $2k_F$  ( $\gamma = \frac{1}{4}$ ), pairing at a 2D nematic/Ising-ferromagnetic QCP [41,46,49]  $(\gamma = \frac{1}{3})$ , pairing at a 2D  $(\pi, \pi)$  SDW QCP [19,22,23,26] and an incommensurate CDW QCP [31,87] ( $\gamma = \frac{1}{2}$ ), dispersionless fermions randomly interacting with an Einstein phonon [64–66] and a spin-liquid model for the cuprates [27] ( $\gamma =$ 0.7), a 2D pairing mediated by an undamped propagating boson ( $\gamma = 1$ ), pairing in several Fe-based superconductors [76] ( $\gamma = 1.2$ ), and even the strong coupling limit of phononmediated superconductivity for either dispersion-full [68-71] or dispersionless [64,65] fermions ( $\gamma = 2$ ). The pairing models with parameter dependent  $\gamma$  have been analyzed as well

<sup>&</sup>lt;sup>1</sup>In obtaining the Eliashberg equations we assumed that states away from the Fermi surface are irrelevant for the pairing and NFL behavior, and extended the integration over momenta to infinite limits. In this situation, fermionic self-energy  $\Sigma(\omega_m)$  is real. If the momentum integration over  $k - k_F$  holds in finite and nonsymmetric limits,  $\Sigma(\omega_m)$  has both real and imaginary components. The same holds in SYK-type models at a nonzero chemical potential (see Ref. [101] and references therein).

TABLE I. Examples of the pairing near quantum critical point in 2D.

$V(a, \mathbf{O})$	$V(\Omega_{i}) = \int da V(a_{i} \Omega_{i})$	 γ
$V(q, s_m)$	$\mathbf{v}\left(\mathbf{s}\mathbf{z}_{m}\right) = \int uq  \mathbf{v}\left(q, \mathbf{s}\mathbf{z}_{m}\right)$	Y
$\frac{1}{q^2 + \Gamma \frac{ \Omega_m }{q}}$	$\frac{1}{ \Omega_m ^{2/3}}$	$\frac{2}{3}$
7		
1	1	1
$q^2 + \Gamma  \Omega_m $	$ \Omega_m ^{1/2}$	$\frac{1}{2}$
$\frac{1}{a^2+\Omega^2}$	$\frac{1}{ \Omega_{-} }$	1
- ///		
$\frac{1}{\Omega_m^2}$	$\frac{1}{\Omega_m^2}$	2
1	1	0.6
$ \Omega_m ^{0.6}$	$ \Omega_m ^{0.6}$	0.0
	$\frac{1}{ \Omega_m ^{1.2}}$	1.2
	1	$q + 1 = q$ $\frac{1}{q^2 + \Gamma  \Omega_m } \qquad \frac{1}{ \Omega_m ^{1/2}}$ $\frac{1}{q^2 + \Omega_m^2} \qquad \frac{1}{ \Omega_m }$ $\frac{1}{\Omega_m^2} \qquad \frac{1}{\Omega_m^2}$ $\frac{1}{ \Omega_m ^{0.6}} \qquad \frac{1}{ \Omega_m ^{0.6}}$ $1$

(Refs. [24,55]). The case  $\gamma = 0$  describes a BCS superconductor. We list some of the model in Tables I and II.

A justification of Eliashberg theory for electronically mediated superconductivity (e.g., the reasoning to neglect vertex corrections) is case specific. For Ising-nematic fluctuations (the case  $\gamma = \frac{1}{3}$ ), vertex corrections are small in  $\bar{g}/E_F$ , which is a small parameter of the theory [34,47,88]. In other cases, e,g., in SYK models, a small parameter for Eliashberg approximation is 1/N, where N is the number of of fermionic flavors [63–67]. For several 2D models, the corrections to Eliashberg approximation for the self-energy in the normal state are logarithmically singular and in the absence of the pairing would change the system behavior at the smallest frequencies [23,28,29]. One-loop logarithmic corrections just change  $\gamma$  but keep the model intact (Ref. [23]), but higherorder corrections go beyond the  $\gamma$  model (Refs. [28,29]). Here, we assume that the onset temperature for the pairing  $T_p$  is larger, at least numerically, than the scale at which corrections to Eliashberg approximation become relevant, and stick with the Eliashberg theory. Recent Quantum Monte Carlo (QMC) calculations for superconductivity, mediated by antiferromagnetic spin fluctuations [75] and Ising-nematic fluctuations [88], have found the onset of superconductivity

TABLE II. Examples of the pairing near quantum critical point in 3D.

Model/order	$V(q, \Omega_m)$	$V(\Omega_m) = \int d^2 q  V(q, \Omega_m)$	γ
Ising-nematic order	1	$\log \frac{1}{ \Omega_m }$	0+
Ising FM	$\frac{1}{q^2 + \Gamma \frac{ \Omega_m }{q}}$	$ \Omega_{\mathcal{B}}  _{\Omega_{m}} $	01
SDW/CDW order	1	. 1	0.
	$\frac{1}{q^2 + \Gamma  \Omega_m }$	$\log \frac{1}{ \Omega_m }$	0+
Hot-spot models			
Anisotropic Ising nematic	$\frac{1}{q^{2+\epsilon} + \Gamma \frac{ \Omega_m }{ q }}$	$\frac{1}{ \Omega_m ^{\frac{\epsilon}{3+\epsilon}}}$	$\frac{\epsilon}{3+\epsilon}$
Anisotropic	$\frac{1}{q^{2+\epsilon}+\Gamma \Omega_m }$	$\frac{1}{ \Omega_m ^{\frac{\epsilon}{2+\epsilon}}}$	$\frac{\epsilon}{2+\epsilon}$
SDW/CDW			

at a temperature, almost identical to the one in the  $\gamma$  model with  $\gamma = \frac{1}{2}$  and  $\frac{1}{3}$ , respectively, without vertex corrections. Normal-state QMC calculations for the models with antiferromagnetic and Ising-nematic fluctuations also found [88] very good agreement with the behavior of the  $\gamma$  models with  $\gamma = \frac{1}{2}$  and  $\frac{1}{3}$ . A good agreement has been also found between QMC calculations of superconducting  $T_c$  for electron-phonon interaction and the  $\gamma$  model with  $\gamma = 2$  (Ref. [89]), for couplings smaller than the Fermi energy.

In this paper, we consider the set of  $\gamma$  models with  $0 < \gamma < 1$ . The analysis for  $\gamma \ge 1$  requires separate consideration because of divergencies in the right-hand side of both equations in Eq. (1) [but not in Eq. (4)] and will be presented in subsequent papers.

Notice that for any  $\gamma > 0$  the pairing interaction  $V(\Omega)$  decays at large frequencies as  $1/|\Omega|^{\gamma}$ . A simple experimentation shows that the integrals in Eqs. (1) and (4) are then convergent in the ultraviolet. The only exceptions are the BCS case  $\gamma = 0$ , when  $V(\Omega)$  is just a constant, and the case  $V(\Omega_m) \propto \log |\omega_m|$ , i.e.,  $\gamma = 0_+$  (color superconductivity/pairing in 3D, Refs. [14,73]). For these two cases one needs to set the upper cutoff of frequency integration at some  $\Lambda$  to cut ultraviolet divergence. We discuss the limit  $\gamma \rightarrow 0$  in Appendix A.

The full set of Eliashberg equations for electron-mediated pairing contains also the equation describing the feedback from the pairing on the bosonic propagator. This feedback is small by  $\delta_E$  in the case of electron-phonon interaction, but is generally not small when the pairing is mediated by a collective mode because the dispersion of a collective mode may change qualitatively below  $T_p$ . The most known example of this kind is the transformation of Landau overdamped spin collective mode in the normal state to a propagating mode (often called a resonance mode) below the onset of d-wave pairing mediated by antiferromagnetic spin fluctuations (see, e.g., Refs. [90-93]). To avoid additional complications, we do not include this feedback explicitly into our consideration. In general, the feedback from the pairing makes bosons less incoherent and can be modeled by assuming that  $\gamma$  moves toward a larger value as T decreases (e.g., from  $\gamma = \frac{1}{2}$  to  $\gamma = 1$  for the case of antiferromagnetic fluctuations and from  $\gamma = \frac{1}{3}$  to  $\gamma = \frac{2}{3}$  for the case of Ising-nematic fluctuations).

The coupled equations for  $\Phi$  and  $\tilde{\Sigma}$  [Eq. (1)] describe the interplay between the two competing tendencies: one toward superconductivity, specified by  $\Phi$ , and the other toward incoherent non-Fermi-liquid behavior, specified by  $\tilde{\Sigma}$ . The competition between the two tendencies is encoded in the fact that  $\tilde{\Sigma}$  appears in the denominator of the equation for  $\Phi$ and  $\Phi$  appears in the denominator of the equation for  $\tilde{\Sigma}$ . In more physical terms, a self-energy  $\tilde{\Sigma}$  is an obstacle to Cooper pairing, while if  $\Phi$  is nonzero, it reduces the strength of the self-energy and moves the system back into a FL regime.

In Eq. (1) the couplings in the particle-particle and particlehole channels have the same magnitude  $\bar{g}$ . To study the interplay, it is convenient to have a parameter, which would increase either the tendency toward NFL or toward pairing. With this in mind, we multiply the coupling in the particleparticle channel by a factor 1/N, i.e., set it to be  $\bar{g}^{\gamma}/N$  instead of  $\bar{g}^{\gamma}$ , and keep the coupling in the particle-hole channel intact. For N < 1, the tendency toward pairing is enhanced, for N > 1 the tendency toward NFL effectively gets larger. We will treat *N* as a free parameter, but use the extension as just a way to see the relevant physics more clearly, as our ultimate goal is to understand system behavior in the physical case of N = 1. We note in passing that the extension to integer N > 1can be formalized by extending the original model to matrix SU(*N*) model [54].

The modified equations for  $\Phi(\omega_m)$  and  $\tilde{\Sigma}(\omega_m)$  are

$$\Phi(\omega_m) = \frac{\bar{g}^{\gamma}}{2N} \int d\omega'_m \frac{\Phi(\omega'_m)}{\sqrt{\tilde{\Sigma}^2(\omega'_m) + \Phi^2(\omega'_m)}} \frac{1}{|\omega_m - \omega'_m|^{\gamma}},$$
(5)

$$\tilde{\Sigma}(\omega_m) = \omega_m + \frac{\bar{g}^{\gamma}}{2} \int d\omega'_m \frac{\tilde{\Sigma}(\omega'_m)}{\sqrt{\tilde{\Sigma}^2(\omega'_m) + \Phi^2(\omega'_m)}} \frac{1}{|\omega_m - \omega'_m|^{\gamma}},$$
(6)

and the equation for  $\Delta(\omega_m)$  becomes

$$\Delta(\omega_m) = \frac{\bar{g}^{\gamma}}{2N} \int d\omega'_m \frac{\Delta(\omega'_m) - N\Delta(\omega_m)\frac{\omega_m}{\omega_m}}{\sqrt{(\omega'_m)^2 + \Delta^2(\omega'_m)}} \frac{1}{|\omega_m - \omega'_m|^{\gamma}}.$$
(7)

Below we will occasionally refer to the equation for  $\Phi(\omega_m)$ as the gap equation, notwithstanding that the gap equation is given by Eqs. (7). Indeed, once we know  $\Phi(\omega_m)$  and  $\tilde{\Sigma}(\omega_m)$ , we also know  $\Delta(\omega_m) = \Phi(\omega_m)\omega_m/\tilde{\Sigma}(\omega_m)$ . We will be searching for normalized solutions for  $\Phi(\omega_m)$ and  $\Delta(\omega_m)$ . Physically, the normalizability of a solution follows from the requirement that the free energy of a superconductor should be free from divergencies. The free energy of a superconductor  $F_{SC}$  can be obtained by either applying Hubbard-Stratonovich formalism [62] or by using a generic Luttinger-Ward-Eliashberg expression [78,94], and explicitly integrating over momentum, approximating the density of states by its value at the Fermi level [61,85]. The result is

$$\frac{F_{\rm SC}}{N_0} = -\int d\omega_m \frac{\omega_m^2}{\sqrt{\omega_m^2 + \Delta^2(\omega_m)}} \\
- \frac{\bar{g}^{\gamma}}{4} \int d\omega_m d\omega'_m \frac{\omega_m \omega'_m + \frac{1}{N} \Delta(\omega_m) \Delta(\omega'_m)}{\sqrt{\omega_m^2 + \Delta^2(\omega_m)} \sqrt{(\omega'_m)^2 + \Delta^2(\omega'_m)}} \\
\times \frac{1}{|\omega_m - \omega'_m|^{\gamma}}.$$
(8)

The gap equation (7) is obtained from the condition  $\delta F_{\rm SC}/\delta \Delta(\omega_m) = 0.$ 

The condensation energy  $E_c$  is the difference between  $F_{SC}$ , with  $\Delta(\omega_m)$  satisfying the Eliashberg equation (7), and  $F_n$  (the free energy for  $\Delta = 0$ ). Using Eq. (8) and the gap equation (7) we obtain [95,96]

$$\frac{E_c}{N_0} = -\int d\omega_m \frac{|\omega_m|}{\sqrt{1+D^2(\omega_m)}} (\sqrt{1+D^2(\omega_m)} - 1)^2 - \frac{\bar{g}^{\gamma}}{4} \int \frac{d\omega_m d\omega'_m}{\sqrt{1+D^2(\omega_m)}\sqrt{1+D^2(\omega'_m)}} \times (\sqrt{1+D^2(\omega_m)} - \sqrt{1+D^2(\omega'_m)})^2 \left(\frac{1}{|\omega_m - \omega'_m|} - \frac{1}{|\omega_m + \omega'_m|}\right),$$
(9)

where  $D(\omega_m) = \Delta(\omega_m)/\omega_m$ . Note that both terms in the righthand side of (9) are negative, hence, the condensation energy is negative for *any* solution of the gap equation  $\Delta(\omega_m)$ .

To understand whether or not the ground state at T = 0is a NFL state or a superconducting state, we first consider infinitesimally small  $\Phi(\omega_m)$ . The corresponding equation is obtained by neglecting  $\Phi$  in the denominator of Eq. (5) and using (2) for  $\tilde{\Sigma}$ :

$$N\Phi(\omega_m) = \frac{1-\gamma}{2} \int d\omega'_m \frac{\Phi(\omega'_m)}{|\omega'_m|^{1-\gamma} |\omega_m - \omega'_m|^{\gamma}} \frac{1}{1 + \left(\frac{|\omega'_m|}{\omega_0}\right)^{\gamma}}.$$
(10)

This is an equation for an eigenfunction of a linear operator, in which *N* plays a role of the eigenvalue. Observe that the fermion-boson coupling  $\bar{g}$  appears only in the last term in the denominator, via  $\omega_0 \propto \bar{g}$ . Without this term, the right-hand side of (10) is marginal by power counting (the total exponent in the denominator is  $1 - \gamma + \gamma = 1$ ). Then, once we rescale frequency to  $\bar{\omega}_m = \omega_m/\omega_0$ , the equation for  $\Phi(\bar{\omega}_m)$  becomes fully universal:

$$\Phi(\bar{\omega}_m) = \frac{1-\gamma}{2N} \int d\bar{\omega}'_m \frac{\Phi(\bar{\omega}'_m)}{|\bar{\omega}'_m|^{1-\gamma} |\bar{\omega}_m - \bar{\omega}'_m|^{\gamma}} \frac{1}{1+|\bar{\omega}'_m|^{\gamma}}.$$

The same is true for the linearized equation for  $\Delta(\omega_m)$ :

$$\Delta(\omega_m) = \frac{\bar{g}^{\gamma}}{2N} \int d\omega'_m \frac{\Delta(\omega'_m) - N\Delta(\omega_m)\frac{\omega_m}{\omega_m}}{|\omega'_m|} \frac{1}{|\omega_m - \omega'_m|^{\gamma}}.$$
(12)

(11)

Using  $\bar{g}^{\gamma} = \omega_0^{\gamma}(1-\gamma)$  and introducing again  $\bar{\omega}_m = \omega_m/\omega_0$ , we reexpress (12) as

$$\Delta(\bar{\omega}_m) = \frac{1-\gamma}{2N} \int d\bar{\omega}'_m \frac{\Delta(\bar{\omega}'_m) - N\Delta(\bar{\omega}_m)\frac{\bar{\omega}'_m}{\bar{\omega}_m}}{|\bar{\omega}'_m|} \frac{1}{|\bar{\omega}_m - \bar{\omega}'_m|^{\gamma}}.$$
(13)

For infinitesimal  $\Phi$  and  $\Delta$ ,  $\Delta(\omega_m) = \Phi(\omega_m)/[1 + (\omega_0/|\omega_m|)^{\gamma}]$  or, equivalently,  $\Delta(\bar{\omega}_m) = \Phi(\bar{\omega}_m)/(1 + |\bar{\omega}_m|^{-\gamma})$ .

A conventional wisdom, borrowed from BCS theory, would imply that Eqs. (11) and (13) must have solutions at a single critical  $N_{cr}$ , separating NFL and superconducting states, assuming that such critical  $N_{cr}$  exists. By the same logic, the full nonlinear gap equation has no solution at  $N > N_{cr}$ , while at  $N < N_{cr}$ , the solution  $\Phi(\omega_m)$  [or  $\Delta(\omega_m)$ ] has a finite magnitude, which increases with  $N_{cr} - N$ .

We show below that the situation in the  $\gamma$  model is different. Specifically, we show that critical  $N_{cr}$  exists, but the linearized gap equation has a solution not only at  $N = N_{cr}$ , but for all  $N < N_{cr}$ . Furthermore,  $N = N_{cr}$  turns out to be a multicritical point of the  $\gamma$  model (for  $0 < \gamma < 1$ ), below which there exists an infinite number of solutions of the full nonlinear gap equation  $\Delta_n(\omega_m)$ . The magnitude of the *n*th solution initially increases as  $e^{-A_n/\sqrt{N_{cr}-N}}$ , where the factor  $A_n$ depends on the number *n* of the solution.

In the next four sections we discuss the linearized gap equation [Eqs. (11) and (13)]. We return to the nonlinear gap equation in Sec. VI. To verify that a potential solution of the linearized gap equation is normalizable, we will need to analyze the free energy (8) order  $\Delta^2$ . Expanding in Eq. (8) we obtain

$$F_{SC} = F_{norm} + \frac{N_0}{2N} J(\Delta, N),$$

$$J(\Delta, N) = N \int d\bar{\omega}_m \frac{\Delta^2(\bar{\omega}_m)(1 + |\bar{\omega}_m|^{\gamma})}{|\bar{\omega}_m|^{1+\gamma}} - \frac{1-\gamma}{2} \int d\omega_m d\omega'_m \frac{\Delta(\omega_m)\Delta(\omega'_m)}{|\bar{\omega}_m||\bar{\omega}'_m|} \frac{1}{|\bar{\omega}_m - \bar{\omega}'_m|^{\gamma}}.$$
(14)

The linearized gap equation (13) is obtained by varying this  $F_{SC}$  over  $\Delta(\omega_m)$ . A solution is normalizable if the variation  $\delta J(\Delta, N)/\delta N$  is finite, i.e., if

$$\int d\bar{\omega}_m \frac{\Delta^2(\bar{\omega}_m)(1+|\bar{\omega}_m|^{\gamma})}{|\bar{\omega}_m|^{1+\gamma}} \tag{15}$$

is nondivergent. In terms of  $\Phi(\bar{\omega}_m)$ , the same integral is

$$\int d\bar{\omega}_m \frac{\Phi^2(\bar{\omega}_m)}{|\bar{\omega}_m|^{1-\gamma}(1+|\bar{\omega}_m|^{\gamma})}.$$
(16)

#### III. LIMITS OF SMALL AND LARGE $\omega_m$

We begin with the analysis of the truncated gap equation at small and large frequencies. The analysis can be done most straightforwardly for Eq. (11) for the pairing vertex. At large  $|\bar{\omega}_m| \gg 1$ , we can pull out the external  $\bar{\omega}_m$  from the integral in the right-hand side of (10) and obtain

$$\Phi(\bar{\omega}_m) = \frac{1}{|\bar{\omega}_m|^{\gamma}} \frac{1-\gamma}{N} \int_0^{O(|\bar{\omega}_m|)} d\bar{\omega}'_m \frac{\Phi(\bar{\omega}'_m)}{|\bar{\omega}'_m|^{1-\gamma}} \frac{1}{1+|\bar{\omega}'_m|^{\gamma}}.$$
(17)

Substituting  $\Phi(\bar{\omega}'_m) \propto 1/|\bar{\omega}_m|^{\gamma}$  into the right-hand side of (17) we find that the integral converges at  $|\bar{\omega}'_m| = O(1)$ . This shows that pulling out  $\bar{\omega}_m$  from the integral is justified when  $|\bar{\omega}_m| \gg$  1. The outcome is that at large frequencies

$$\Phi(\bar{\omega}_m) = \frac{C_\infty}{|\bar{\omega}_m|^{\gamma}}.$$
(18)

In this limit,  $\Phi(\bar{\omega}_m) = \Delta(\bar{\omega}_m)$ , hence, we also have

$$\Delta(\bar{\omega}_m) = \frac{C_\infty}{|\bar{\omega}_m|^{\gamma}}.$$
(19)

In the opposite limit of small  $\bar{\omega}_m$  we assume and then verify that one can truncate (11) by replacing  $1/(1 + |\bar{\omega}'_m|^{\gamma})$ 

in the right-hand side by the upper cutoff at  $|\bar{\omega}'_m| = O(1)$ . The precise value of the cutoff frequency will play no role, and we set the cutoff at  $|\bar{\omega}'_m| = 1$ . The equation for the pairing vertex then becomes

$$\Phi(\bar{\omega}_m) = \frac{1-\gamma}{2N} \int_{-1}^1 d\bar{\omega}'_m \frac{\Phi(\bar{\omega}'_m)}{|\bar{\omega}'_m|^{1-\gamma} |\bar{\omega}_m - \bar{\omega}'_m|^{\gamma}}.$$
 (20)

Below, we analyze this equation for different N.

### A. Large N

We first consider the limit of large *N*. The effective coupling constant in Eq. (20) scales as 1/N, hence, the solution with a nonzero  $\Phi(\omega_m)$  emerges only if the smallness of the coupling is compensated by a large value of the frequency integral in the right-hand side of (20). This is what happens in a BCS superconductor (the case  $\gamma = 0$ ). There, the pairing kernel scales as  $1/|\bar{\omega}_m|$ ,  $\Phi(\bar{\omega}_m) = \Phi$  is independent of the running fermionic frequency, and the integral  $\int_{-1}^{1} d\bar{\omega}'_m \Phi/|\bar{\omega}'_m|$  is logarithmically singular. The logarithm compensates for the smallness of the coupling 1/N, and superconductivity emerges already for arbitrary weak attraction. A way to see this is to compute the pairing vertex in the presence of an infinitesimally small initial  $\Phi_0$ . At T = 0 this has to be done at a nonzero total incoming bosonic frequency  $\Omega_{\text{tot}} = \bar{\Omega}_{\text{tot}}/\omega_0$  to avoid divergencies. The result for a BCS superconductor is well known: to logarithmic accuracy

$$\Phi(\bar{\Omega}_{\text{tot}}) = \Phi_0 \left( 1 + \frac{1}{N} \log \frac{1}{|\bar{\Omega}_{\text{tot}}|} + \frac{1}{N^2} \log^2 \frac{1}{|\bar{\Omega}_{\text{tot}}|} + \cdots \right)$$
$$= \frac{\Phi_0}{1 - \frac{1}{N} \log \frac{1}{|\bar{\Omega}_{\text{tot}}|}}.$$
(21)

The ratio  $\Phi(\bar{\Omega}_{tot})/\Phi_0$  (the pairing susceptibility) diverges at  $|\Omega_{tot}| = \omega_0 e^{-N}$  and becomes negative at smaller  $|\Omega_{tot}|$ , indicating that the normal state is unstable toward pairing. [In a more accurate description, the pole in  $\Phi(\Omega_{tot})$  moves from the lower to the upper half-plane of complex frequency [97]].

For a nonzero  $\gamma$ , the pairing kernel is the function of both internal  $\bar{\omega}'_m$  and external  $\bar{\omega}_m$ :

$$K(\bar{\omega}, \bar{\omega}'_m) = \frac{1}{|\bar{\omega}'_m|^{1-\gamma} |\bar{\omega}_m - \bar{\omega}'_m|^{\gamma}}.$$
 (22)

If we set the external  $\bar{\omega}_m$  to zero, we find that  $K(0, \bar{\omega}'_m) = 1/|\bar{\omega}'_m|$  is marginal, like in BCS theory. Then, if we add  $\Phi_0$  and compute  $\Phi(\bar{\omega}_m)$  perturbatively, the series will be logarithmical, like in the BCS case. In distinction to BCS, however, each logarithmical integral  $\int d\bar{\omega}'_m/|\bar{\omega}'_m|$  runs between the upper cutoff at  $|\bar{\omega}'_m| = 1$  and the lower cutoff at  $|\bar{\omega}'_m| \sim |\bar{\omega}_m|$ . Because the lower cutoff is finite at a finite  $\bar{\omega}_m$ , we can safely set  $\Omega_{\text{tot}} = 0$ . Summing up logarithmical series we then obtain

$$\Phi(\bar{\omega}_m) = \Phi_0 \left( 1 + \frac{1-\gamma}{N} \log \frac{1}{|\bar{\omega}_m|} + \frac{(1-\gamma)^2}{2N^2} \log^2 \frac{1}{|\bar{\omega}_m|} + \cdots \right)$$
$$= \Phi_0 \left( \frac{1}{|\bar{\omega}_m|} \right)^{\frac{1-\gamma}{N}}.$$
(23)

We see that the pairing susceptibility remains finite and positive for all finite  $\omega_m$ , even when  $\Omega_{tot} = 0$ . Redoing calculations at a finite  $\Omega_{tot}$  we find the same result as in Eq. (23), but with  $|\bar{\omega}_m|$  replaced by max( $|\bar{\omega}_m|$ ,  $\bar{\Omega}_{tot}$ ). The implication is that at a finite  $\gamma$ , summing up logarithms does not give rise to the divergence of the pairing susceptibility, i.e., within a logarithmic approximation, the system at T = 0 remains in the normal state.

The difference between logarithmic approximation at  $\gamma =$ 0 and at a finite  $\gamma$  can be also understood by expressing the flow of  $\Phi(\bar{\omega}_m, \bar{\Omega}_{tot})$  under external  $\Phi_0$  in terms of renormalization-group- (RG-) type differential equation. In BCS theory one can resum logarithmical ladder series by selecting a cross section in the middle with the smallest running frequency  $\bar{\omega}_m$  and integrate over frequencies larger than  $\bar{\omega}_m$  in the cross sections on both sides of the selected one. One such integration gives  $\Phi(\bar{\omega}_m)$ , another gives the pairing susceptibility  $\Phi(\bar{\omega}_m)/\Phi_0$ . Combining and differentiating over  $L = \log 1/\bar{\Omega}_{tot}$ , we obtain the RG equation  $d\Phi(L)/dL =$  $\Phi^2(L)/N\Phi_0$ , with the boundary condition  $\Phi(0) = \Phi_0$ . The solution of this equation is Eq. (21). For a nonzero  $\gamma$ , the logarithmical integral in a given cross section is cut not by an external bosonic  $\Omega_{tot}$ , but by the external fermionic frequency in the neighboring cross section. A simple experimentation shows that in this case the corresponding RG equation is  $d\Phi(L)/dL = \Phi(L)/N$ , where now  $L = \log(1/|\bar{\omega}_m|)$ . The solution of this equation is Eq. (23).

We now go beyond perturbation theory and analyze Eq. (20) without the  $\Phi_0$  term. Our first observation is that  $\Phi(\bar{\omega}_m) \propto (1/|\bar{\omega}_m|)^{(1-\gamma)/N}$ , which we found by summing up logarithms, does satisfy Eq. (20) at  $|\bar{\omega}_m| \ll 1$ . Indeed, substituting this form into (20) we find that it is satisfied because, to leading order in 1/N,

$$\int_0^\infty \frac{dx}{|x|^{(1-\gamma)(N+1)/N}} \frac{1}{|1-x|^{\gamma}} = \frac{N}{1-\gamma}.$$
 (24)

We next observe that there is another possibility to compensate for the 1/N smallness of the coupling constant in Eq. (20), by choosing  $\Phi(\bar{\omega}_m) \propto (1/|\bar{\omega}_m|)^{\gamma-(1-\gamma)/N}$ , such that the integral over  $\bar{\omega}'_m$  in the right-hand side of (20) almost diverges at small  $\bar{\omega}'_m$ . Indeed, substituting this form into (20) we find that the equation is satisfied because to leading order in 1/N,

$$\int_0^\infty \frac{dx}{|x|^{1-(1-\gamma)/N}} \frac{1}{|1-x|^{\gamma}} = \frac{N}{1-\gamma}.$$
 (25)

Note that the factor *N* now comes from small  $|x| \ll 1$ . This implies that this solution could not be obtained within a conventional logarithmic approximation or, equivalently, from the RG equation, as the latter assumes that the logarithms, which sum up into the anomalous power-law form, come from internal frequencies, which are much larger than the external one.

The solution of (20) at large *N* is then the combination of the two power-law forms

$$\Phi(\bar{\omega}_m) = \frac{C_A}{|\bar{\omega}_m|^{(1-\gamma)/N}} + \frac{C_B}{|\bar{\omega}_m|^{\gamma-(1-\gamma)/N}}.$$
 (26)

The overall factor does not matter because  $\Phi(\omega_m)$  is defined up to a constant factor, but the ratio  $C_A/C_B$  is a free parameter at this moment.

We now argue that while both terms in Eq. (26) satisfy (20), only one component represents the normalized solution and should be kept. Indeed, substituting  $\Phi(\bar{\omega}_m)$  into (16) and using the fact find that for large N,  $(1 - \gamma)/N \ll \gamma/2$ , we find that the integral in Eq. (16) is finite for the first term in Eq. (26) but diverges for the second one. We then have to drop this term and keep only the  $C_A$  term in Eq. (26).

The full gap equation (11) has the solution if  $\Phi(\bar{\omega}_m) = C_A/|\bar{\omega}_m|^{(1-\gamma)/N}$  at small  $\bar{\omega}_m$  and  $\Phi(\bar{\omega}_m) = C_\infty/|\bar{\omega}_m|^{\gamma}$  at large  $\bar{\omega}_m$  can be smoothly connected (see Fig. 5). We show below that these two limiting forms cannot be connected, i.e., the linearized equation for the pairing vertex does not have a solution.

# B. Arbitrary N

The analysis of the truncated equation for the pairing vertex can be straightforwardly extended to arbitrary *N*. Like before, we use the fact that the kernel in Eq. (20) is marginal and search for power-law solution of (20) in the form  $\Phi(\bar{\omega}_m) \propto$  $1/|\bar{\omega}_m|^{\gamma(1/2+b)}$ , where *b* needs to be obtained self-consistently (we pulled out  $\gamma$  in the exponent for future convenience). Substituting into (20) and evaluating the integral we find that *b* is the solution of

$$\epsilon_b = N, \tag{27}$$

where

$$\epsilon_b = \frac{1 - \gamma}{2} \frac{\Gamma(\gamma/2 - \gamma b)\Gamma(\gamma/2 + \gamma b)}{\Gamma(\gamma)} \left(1 + \frac{\cos(\pi\gamma b)}{\cos(\pi\gamma/2)}\right).$$
(28)

The integral in the right-hand side of (20) is evaluated using the identities

$$\int_0^\infty \frac{dy}{y^a (1+y)^{\gamma}} = \frac{\Gamma(1-a)\Gamma(\gamma+a-1)}{\Gamma(\gamma)},$$

$$\int_0^1 \frac{dy}{y^a (1-y)^{\gamma}} = \frac{\Gamma(1-a)\Gamma(1-\gamma)}{\Gamma(2-a-\gamma)},$$

$$\int_1^\infty \frac{dy}{y^a (y-1)^{\gamma}} = \frac{\Gamma(a+\gamma-1)\Gamma(1-\gamma)}{\Gamma(a)}.$$
(29)

We plot  $\epsilon_b$  in Fig. 6(a). At small  $\gamma$ ,

$$\epsilon_b \approx \frac{4}{\gamma} \frac{1}{1 - 4b^2}.\tag{30}$$

We see that  $\epsilon_b$  is an even function of b, i.e., if b is a solution, then -b is also a solution. This implies that

$$\Phi(\bar{\omega}_m) = \Phi(z) = \frac{C_A}{z^{1/2-b}} + \frac{C_B}{z^{1/2+b}},$$
(31)

where

$$z = |\bar{\omega}_m|^{\gamma} = \left(\frac{|\omega_m|}{\omega_0}\right)^{\gamma}.$$
 (32)

At large N,  $b \approx 1/2 - (1 - \gamma)/(N\gamma)$  and (31) coincides with (26). As N increases, b gets smaller. As long as it remains positive, the second term in the right-hand side of (31) has to be dropped as it does not satisfy the normalization condition [the integral in Eq. (16) diverges for  $\Phi(\bar{\omega}_m) \propto 1/(z^{1/2+b})$ ]. Then, at small z,

$$\Phi(z) = \frac{C}{z^{1/2-b}}, \quad \Delta(z) = C z^{1/2+b}.$$
 (33)

This is very similar to the case of large N.

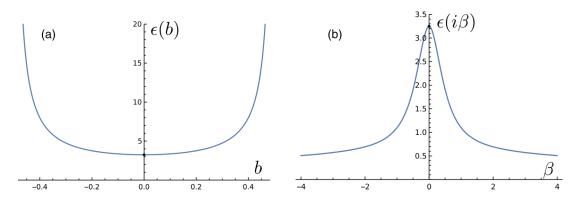


FIG. 6. The function  $\epsilon(b)$  from Eq. (28) for real *b* (left panel) and imaginary *b* (right panel) for  $\gamma = 0.6$ . The solution of the truncated gap equation at small  $\bar{\omega}$  exists if the equation  $\epsilon(b) = N$  has a solution.

We next observe that for any  $0 < \gamma \leq 1$ , there exists a critical  $N_{cr}$ , for which b = 0. It is given by

$$N_{cr} = \epsilon_0 = \frac{\frac{\pi}{2}(1-\gamma)}{\sin\frac{\pi}{2}(1-\gamma)} \frac{\pi}{\Gamma(\gamma)} \frac{\left(1-\cos\frac{\pi\gamma}{2}\right)^{-1}}{\Gamma^2(1-\gamma/2)}$$
$$= \frac{1-\gamma}{2} \frac{\Gamma^2(\gamma/2)}{\Gamma(\gamma)} \left(1+\frac{1}{\cos(\pi\gamma/2)}\right). \tag{34}$$

We plot  $N_{cr}$  vs  $\gamma$  in Fig. 7. We see that  $N_{cr} > 1$  for all  $\gamma < 1$ . At small  $\gamma$ , we have, from (30),  $N_{cr} \approx 4/\gamma$ . At  $\gamma \rightarrow 1$ ,  $N_{cr} \rightarrow 1$ .

Right at  $N = N_{cr}$ , the two terms in Eq. (31) become equal, i.e., one solution of (20) is  $\Phi(z) \propto 1/\sqrt{z}$ . On a more careful look, we find that  $\Phi(z) \propto \log z/\sqrt{z}$ is also the solution. Indeed, substituting this form into (20) and using  $\int dy |y|^{\gamma/2-1} |y-1|^{-\gamma} \log |y| = 0$  and  $[(1 - \gamma)/2N_{cr}] \int dy |y|^{\gamma/2-1} |y-1|^{-\gamma} = 1$ , we find that this equation is satisfied, i.e.,

$$\frac{1-\gamma}{2N_{cr}}\int d\omega'_m \frac{\log|\omega'_m|}{|\omega'_m|^{1-\gamma/2}|\omega_m - \omega'_m|^{\gamma}} = \frac{\log|\omega_m|}{|\omega_m|^{\gamma/2}}.$$
 (35)

The full solution of (20) at  $N = N_{cr}$  is then

$$\Phi(z) = \frac{1}{\sqrt{z}} (C_A \log z + C_B)$$
(36)

or, equivalently,

$$\Phi(z) = \frac{C}{\sqrt{z}} (\log z + \phi), \quad \Delta(z) = C\sqrt{z} (\log z + \phi). \quad (37)$$

This  $\Phi(z)$  contains two free parameters: *C* and  $\phi$ . The overall factor *C* is irrelevant for the solution of the linearized equation, but  $\phi$  is a free parameter, which we can vary in a hope that at a particular value of  $\phi$ ,  $\Phi(z)$  and  $\Delta(z)$  interpolate smoothly between small-*z* and large-*z* limits. This gives an indication that  $N = N_{cr}$  may be the onset for superconductivity.

We now move to  $N < N_{cr}$ . There is no solution of (27) for real *b*, consistent with the conventional wisdom that the linearized gap equation has no solution inside the superconducting phase. However, it turns out that the solution of (20) still exists, but for imaginary  $b = i\beta_N$ , i.e., with complex exponents  $1/2 \pm i\beta_N$ . Indeed, for a generic  $b = i\beta$ ,

$$\epsilon_{i\beta} = \frac{\frac{\pi}{2}(1-\gamma)}{\sin\frac{\pi}{2}(1-\gamma)} \frac{\pi}{\Gamma(\gamma)} \frac{(\cosh\pi\gamma\beta - \cos\pi\gamma/2)^{-1}}{\Gamma[1-\gamma/2(1+2i\beta)]\Gamma[1-\gamma/2(1-2i\beta)]}$$
$$= \frac{1-\gamma}{2} \frac{|\Gamma[\gamma/2(1+2i\beta)]|^2}{\Gamma(\gamma)} \left(1 + \frac{\cosh(\pi\gamma\beta)}{\cos(\pi\gamma/2)}\right)$$
(38)

is real, even, and monotonically decreases with increasing  $\beta$  from its maximum value  $\epsilon_0 = N_{cr} > 1$  to 0, i.e., Eq. (27) has a solution for  $N < N_{cr}$  at some nonzero  $\beta = \beta_N$ . We plot  $\epsilon_{i\beta}$  in Fig. 6(b). At small  $\gamma$ ,

$$\epsilon_{i\beta} \approx \frac{4}{\gamma} \frac{1}{1+4\beta^2} \tag{39}$$

and

$$\beta_N = \frac{1}{2} \sqrt{\frac{N_{cr} - N}{N}} \tag{40}$$

up to corrections of order  $\gamma$ . Solutions with complex exponents have been recently found in several other physics problems [98–100]. For superconductivity, the solution with

complex exponents has been found in Ref. [22] for the  $\gamma$  model with  $\gamma = \frac{1}{2}$ .

At N slightly below  $N_{cr}$ ,  $\beta_N \propto \sqrt{N_{cr} - N}$  for all  $\gamma < 1$ . For N = 1,  $\beta_{N=1} \approx \gamma^{-1/2}(1 - [(15 - \pi^2)/24]\gamma + O(\gamma^2))$  for small  $\gamma$ ,  $\beta_{N=1} \approx 1.268$  for  $\gamma = \frac{1}{2}$ , and  $\beta_{N=1} \rightarrow 0.792$  for  $\gamma \rightarrow 1$ . For N = O(1), but  $N \neq 1$ , the behavior at  $\gamma < 1$  is very similar, but for  $\gamma \rightarrow 1$ ,  $\beta_N$  diverges as  $\beta_N \approx 0.561(1/N)^{1/(1-\gamma)}$ .

Combining the contributions with  $\beta_N$  and  $-\beta_N$ , we obtain

$$\Phi(z) = \frac{C}{2} \frac{1}{\sqrt{z}} \left( \frac{e^{i\phi}}{z^{i\beta_N}} + \frac{e^{-i\phi}}{z^{-i\beta_N}} \right) = \frac{C}{\sqrt{z}} \cos\left(\beta_N \log z + \phi\right),$$
(41)

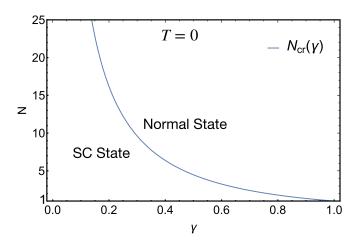


FIG. 7. The critical  $N_{cr}$  [for which Eq. (28) has a solution for b = 0] as a function of  $\gamma$ . At small  $\gamma$ ,  $N_{cr} \approx 4/\gamma$ . At  $\gamma \rightarrow 1$ ,  $N_{cr} \rightarrow 1$ .

where  $\phi$  is a free parameter. We see that  $\Phi(z)$  oscillates on a logarithmical scale down to the lowest frequencies. Such an oscillating solution could not be obtained perturbatively, starting from  $\Phi(z) = \Phi_0$ , because within perturbation theory  $\Phi(z)$  remains of the same sign as  $\Phi_0$ .

We also see that  $\overline{\Phi} = \Phi(z)\sqrt{z} \propto e^{i(\beta_N \log z + \phi)} + \text{c.c.}$  has the form of a wave function of a free fermion if we associate  $x = \log z$  with the coordinate. In terms of x, the integral in Eq. (16) is expressed as  $\int dx \,\overline{\Phi}(x)^2$ , and is nothing but the norm of the eigenfunction of continuum spectrum. The norm can be made finite by adding infinitesimal  $e^{-\delta|x|}$  to the integral, after which it converges. The addition of  $e^{-\delta|x|}$  also makes the integral in Eq. (16) convergent for  $\Phi(z)$  at  $N = N_{cr}$ , however, for  $N > N_{cr}$ , the integral in Eq. (16) still diverges for one term in Eq. (31). We discuss the normalizability for  $N > N_{cr}$  and  $N \leq N_{cr}$  in more detail in Appendix C.

The gap function  $\Delta(z)$  behaves as

$$\Delta(z) = C\sqrt{z}\cos\left(\beta_N\log z + \phi\right). \tag{42}$$

We see that the solution of the truncated equation for the pairing vertex at small z again has two free parameters. In Eq. (42) these are the overall factor C and the phase  $\phi$ . The overall factor is irrelevant, but the phase  $\phi$  is a free parameter, which we can vary to verify whether at some particular  $\phi$ ,  $\Delta(z)$  interpolates between (42) and (19).

We emphasize that a free parameter for  $\Delta(z)$  at small z [or, equivalently, for  $\Phi(z)$ ] exists both at  $N = N_{cr}$  and  $N < N_{cr}$ . By conventional wisdom, one would expect that the linearized gap equation has the solution only for one value of N, which in our case is expectedly  $N = N_{cr}$  (see Fig. 4). However, the presence of a free parameter for all  $N \leq N_{cr}$  hints that our case may go against the conventional wisdom.

Matching the limiting forms of an integral equation is a nontrivial procedure as in general one should find a finite-frequency range where the two forms coincide. This cannot be implemented in our case because the regions, where the integral equation can be truncated, do not overlap. Because of this, we will be searching for the solution of (11) without specifically looking at the limits of small and large  $\bar{\omega}_m$ .

# IV. THE CASE $\gamma \ll 1$ : THE LINEARIZED GAP EQUATION AS THE DIFFERENTIAL EQUATION

We first consider the limit  $\gamma \ll 1$ , when the pairing interaction  $(\bar{g}/|\Omega_m|)^{\gamma}$  is a shallow function of frequency, and reduce the linearized integral gap equation to the differential equation, for which we obtain the exact analytical solution  $\Delta_{\text{diff}}(\omega_m)$ , which for convenience of notations we present below as a function of z, defined in Eq. (32). We show that a generic normalized solution exists for  $N \leq N_{cr}$ . At small  $\omega_m$ ,  $\Delta_{\text{diff}}(z)$  coincides with the solution of the truncated gap equation. It oscillates as a function of  $\beta_N \log z + \phi$ . For arbitrary  $\phi$ ,  $\Delta_{\text{diff}}(z)$  tends to a constant at large z, instead of decaying as 1/z. However, for a certain value of  $\phi$  the constant term cancels out, and the gap function has the correct asymptotic behavior at high frequencies. In other words, by fixing the phase in the oscillations of  $\Delta_{\text{diff}}(z)$  at small z one recovers the correct form of sign preserving  $\Delta_{\text{diff}}(z)$  at large frequencies.

To obtain the differential equation, we return to the linearized equation for the pairing vertex  $\Phi(\omega_m)$  [Eq. (11)], and use the fact that for small  $\gamma$ , the integral in the right-hand side of (10) comes from internal  $\omega'_m$ , which are either substantially larger or substantially smaller than external  $\omega_m$ . We then split the integral over  $\omega'_m$  into two parts: in one we approximate  $|\omega_m - \omega'_m|$  by  $|\omega'_m|$ , in the other by  $|\omega_m|$ . The equation for  $\Phi(\omega_m) = \Phi(z)$  then simplifies to

$$\Phi(z) = \frac{1 - \gamma}{N\gamma} \left[ \int_{z}^{\infty} dy \frac{\Phi(y)}{y(1+y)} + \frac{1}{z} \int_{0}^{z} dy \frac{\Phi(y)}{1+y} \right].$$
(43)

Differentiating this equation twice over z and replacing  $\Phi(z)$  by  $\Delta(z) = \Phi(z)z/(1+z)$ , we obtain second-order differential gap equation

$$(\Delta_{\text{diff}}(z)(1+z))'' = -\frac{N_{cr}}{4N} \frac{\Delta_{\text{diff}}(z)}{z^2},$$
 (44)

where  $(...)'' = d^2(...)/dz^2$  and we used the fact that for small  $\gamma$ ,  $N_{cr} \approx 4/\gamma$ . This  $\Delta_{\text{diff}}(z)$  has to be real and satisfy the boundary conditions at small and large *z*. At large *z* we have from (19)

$$\lim_{z \to \infty} \Delta_{\text{diff}}(z) = C_{\infty}/z.$$
(45)

At small z we have from (33), (37), and (42)

$$\lim_{z \to 0} \Delta_{\text{diff}}(z) = \begin{cases} C_A z^{1/2+b}, & \text{for } N > N_{cr} \\ C z^{1/2} (\log z + \phi), & \text{for } N = N_{cr} \\ C z^{1/2} \cos(\beta_N \log z + \phi), & \text{for } N < N_{cr}. \end{cases}$$
(46)

We recall that  $\Delta_{\text{diff}}(z)$ , which satisfies the boundary condition (46), is normalizable in the sense that the corresponding condensation energy is finite.

A similar differential gap equation has been obtained in Ref. [17] for  $\gamma \ll 1$  and  $N \sim N_{cr}$ . These authors, however, set by hand a UW cutoff at some z = O(1). In our case, the differential equation (44) is valid for all z and the solution must recover 1/z behavior at high frequencies [see (45)].

Before we proceed with the analysis of Eqs. (44)–(46), a remark is in order. The integral equation (43) is "nonlocal"

in the sense that the integrals in the right-hand side are determined by all y, not only  $y \approx z$ . The differential equation (44), on the other hand, is local: both right- and left-hand sides of (44) contain  $\Delta_{\text{diff}}(z)$  with the same z. However, the boundary conditions (45) and (46) imply that once we fix the asymptotic form of  $\Delta_{\text{diff}}(z)$  at  $z \to 0$ , we also determine the constant  $C_{\infty}$  in the asymptotic form at  $z \to \infty$ . Let us take z large enough such that the asymptotic form of  $\Delta_{\text{diff}}(z)$  holds. From Eq. (44) we obtain for such z,  $C_{\infty} = \frac{N_{cr}}{4N} \int_0^{\infty} \Delta_{\text{diff}}(y) dy/y$ . One can verify that the main contribution to this integral comes from  $y \ll z$ , i.e., the prefactor in  $\Delta_{\text{diff}}(z)$  for  $z \to \infty$  is determined by the form of  $\Delta_{\text{diff}}(z)$  for much smaller z, roughly by  $z \leq 1$ , for which  $\Delta_{\text{diff}}(z)$  is close to its form at small z. In this sense, the nonlocality of the initial integral equation (44) reflects itself in the boundary conditions (45) and (46) for the local differential gap equation. We also emphasize that (44) is a second-order differential equation, hence,  $\Delta_{\text{diff}}(z)$  is fully determined by the two boundary conditions (45) and (46).

We now analyze Eqs. (44)–(46) separately for  $N < N_{cr}$ ,  $N = N_{cr}$ , and  $N > N_{cr}$ . We will show that the solution exists for all  $N \leq N_{cr}$ .

A.  $N < N_{cr}$ 

We use Eq. (40) and reexpress  $N_{cr}/(4N)$  as  $N_{cr}/(4N) = \beta_N^2 + 1/4$ . Equation (44) then becomes

$$(\Delta_{\rm diff}(z)(1+z))'' = -\left(\beta_N^2 + \frac{1}{4}\right) \frac{\Delta_{\rm diff}(z)}{z^2}.$$
 (47)

At  $z \ll 1$  (i.e., at  $\omega \ll \omega_0$ ) Eq. (44) simplifies to

$$(\Delta_{\text{diff}}(z))'' = -\left(\beta_N^2 + \frac{1}{4}\right) \frac{\Delta_{\text{diff}}(z)}{z^2}.$$
 (48)

The solution of this equation is the combination of two powerlaw functions  $\Delta_{\text{diff}}(z) \propto z^{-1/2 \pm i\beta_N}$ . Combining the two, we obtain the expected result

$$\Delta_{\text{diff}}(z) = C z^{1/2} \cos(\beta_N \log z + \phi). \tag{49}$$

At  $z \gg 1$ , we have

$$(\Delta_{\text{diff}}(z)z)'' = -\left(\beta_N^2 + \frac{1}{4}\right)\frac{\Delta_{\text{diff}}(z)}{z^2}.$$
 (50)

The solution of (50) is

$$\Delta_{\text{diff}}(z) = \frac{1}{\sqrt{z}} \left[ A_1 J_1\left(\sqrt{\frac{4\beta_N^2 + 1}{z}}\right) + A_2 Y_1\left(\sqrt{\frac{4\beta_N^2 + 1}{z}}\right) \right],\tag{51}$$

where  $J_1$  and  $Y_1$  are Bessel and Neumann functions. At small value of the argument (or large *z*)  $J_1(p) \approx p$  and  $Y_1(p) \approx 1/p$ . Substituting into (51) we find that to satisfy the boundary condition (45) we must set  $A_2 = 0$ . Then,

$$\Delta_{\text{diff}}(z) = \frac{A_1}{\sqrt{z}} J_1\left(\sqrt{\frac{4\beta_N^2 + 1}{z}}\right).$$
(52)

A similar result has been obtained in Ref. [17].

At arbitrary z, the solution of (47) is expressed via hypergeometric function

$$\Delta_{\text{diff}}(z) = C\sqrt{z} \operatorname{Re}\left(e^{i\phi} z^{i\beta_N} {}_2F_1\left[\frac{1}{2} + i\beta_N, \frac{3}{2} + i\beta_N, 1 + 2i\beta_N, -z\right]\right).$$
(53)

At small z,  ${}_{2}F_{1}[..., -z] \approx 1$ , and  $\Delta_{\text{diff}}(z)$  is the same as in Eq. (49). At  $z \gg 1$ , we use large z asymptotic of the hypergeometric function

$${}_{2}F_{1}[1/2+i\beta_{N},3/2+i\beta_{N},1+2\beta_{N},-z] = z^{-1/2-i\beta_{N}} \left\{ \frac{\Gamma(1+2i\beta_{N})}{\Gamma(3/2+i\beta_{N})\Gamma(1/2+i\beta_{N})} \left[ 1 + \left(\frac{1}{4} + \beta_{N}^{2}\right)\frac{\log z}{z} \right] + O\left(\frac{1}{z}\right) \right\}$$
(54)

and obtain that for arbitrary  $\phi$ ,  $\Delta_{\text{diff}}(z)$  tends to a constant, which is inconsistent with the boundary condition (45) (see Fig. 8 left panel). However, for a particular  $\phi = \phi_N$ , where

$$\phi_N = \arctan \frac{\text{Re}L}{\text{Im}L}, \quad \text{where } L = \frac{\Gamma(1+2i\beta_N)}{\Gamma(1/2+i\beta_N)\Gamma(3/2+i\beta_N)},$$
(55)

the constant term in  $\Delta(z)$  vanishes (along with  $\log z/z$  correction), and  $\Delta_{\text{diff}}(z)$  does scale as 1/z at large z, consistent with (45) (see Fig. 8 right panel).

At small  $\beta_N$ , the solution of (55) is  $\phi_N = \pi/2 - 0.77259\beta_N$ . At  $\beta_N = 1$ ,  $\phi_N = \pi/2 - 0.93251$ , and at large  $\beta_N$ ,  $\phi_N \approx 3\pi/4 - \beta_N \log 4$ . Once  $\phi = \phi_N$  is fixed, one can also express the prefactor  $A_1$  in Eq. (51) in terms of *C* in Eq. (49), e.g.,  $A_1 = C(\pi\beta_N)^{1/2}$  for  $\beta_N \gg 1$ .

Analyzing Eq. (53) further, we note that both the location and the width of the crossover between small-z and large-z behavior of  $\Delta_{\text{diff}}(z)$  depend on N (Fig. 9). For  $N \leq N_{cr}$ ,  $\beta_N \propto (N_{cr} - N)^{1/2}$  is small. In this situation  $\Delta_{\text{diff}}(z)$ 

oscillates as a function of  $\log z$  up to  $z \sim e^{-1/\beta_N}$ , then monotonically increases up to  $z \sim 0.2$ , passes through a maximum, and decays as 1/z at larger frequencies [Fig. 9(a)]. For N such that  $\beta_N = O(1)$ , logarithmic oscillations extend to z = O(1), and at larger z,  $\Delta_{\text{diff}}(z) \propto 1/z$  [Fig. 9(b)]. For N = O(1),  $\beta_N \approx 0.5(N_{cr}/N)^{1/2}$  is large because  $N_{cr} \approx$  $4/\gamma \gg 1$ . In this situation, logarithmic oscillations of  $\Delta(z) =$  $C\sqrt{z}\cos(\beta_N \log z/4 + 3\pi/4)$ , persist up to z = O(1), and at larger z there is a range  $1 < z < \beta_N^2$ , where  $\Delta_{\text{diff}}(z)$  again oscillates as  $\Delta_{\text{diff}}(z) \approx C \cos(3\pi/4 - 2\beta_N/\sqrt{z})/z^{1/4}$ . These oscillations persist up to  $z \sim (\beta_N)^2 \sim 1/\gamma$ , i.e., up to  $\omega =$  $\omega_{\text{max}} \sim \overline{g}e^{|\log \gamma|/\gamma}$ . At larger z,  $\Delta_{\text{diff}}(z) \propto 1/z$  [Fig. 9(c)].

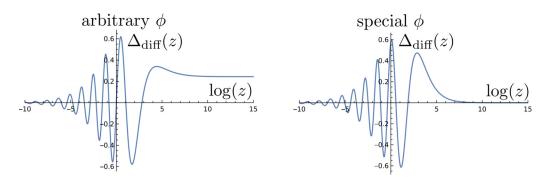


FIG. 8. The solution of the differential gap equation (53) for arbitrary  $\phi$  (left panel) and for particular  $\phi = \phi_N$  given by Eq. (55) (right panel). In the last case  $\Delta_{\text{diff}}(z)$  satisfies both boundary conditions at small and at large *z*.

Oscillations at small z are best seen if we use the logarithmical variable

$$x = \log z = \log \left(\frac{|\omega_m|}{\bar{g}}\right)^{\gamma},\tag{56}$$

while oscillations at z > 1 are best seen if we plot  $\Delta_{\text{diff}}(z)$  as a function of  $1/\sqrt{z}$ . We present both plots in Fig. 9(d).

The existence of a large intermediate range  $1 < z < \beta_N^2$ for  $\beta_N^2 \gg 1$  can be inferred already from Eq. (51). Indeed, in this range the argument of the Bessel function  $y = 2\beta_N/\sqrt{z}$ is large,  $J_1(y) \propto y^{-1/2} \cos(y - 3\pi/4)$ , and  $\Delta(z)$  displays an oscillating behavior with the period set by  $1/\sqrt{z}$  rather than by log *z*. The scale  $z \sim \beta_N^2$  also shows up in the expansion of  $\Delta_{\text{diff}}(z)$  in both 1/z and z. Expanding in 1/z, we find

$$\Delta_{\text{diff}}(z) \propto \frac{1}{z} \left[ 1 - \frac{\beta_N^2}{2z} + O\left(\frac{1}{z^2}\right) \right]. \tag{57}$$

The expansion in z yields

$$\Delta_{\text{diff}}(z) \approx C \frac{\sqrt{z}}{(1+z)^{3/4}} \cos\left(\frac{3\pi}{4} + \beta_N Q(z)\right) f\left(\frac{z}{\beta_N^2}\right), \quad (58)$$

where f(0) = 1 and

$$Q(z) = \log \frac{z}{4} - \frac{z}{2} + \frac{3z^2}{16} - \frac{5z^3}{48} + \frac{19z^4}{256} + \dots$$
 (59)

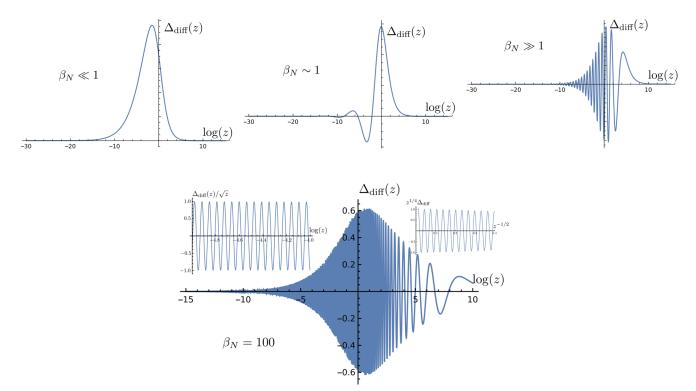


FIG. 9. The solution of the differential gap equation (53) for various  $\beta_N$ . For  $\beta_N \ll 1$  (a)  $\Delta_{\text{diff}}(z)$  oscillates as a function of  $\log z$  up to  $z \sim e^{-1/\beta_N}$  and decays as 1/z at z > 1. For  $\beta_N = O(1)$  (b) logarithmic oscillations extend to z = O(1) and, at larger z,  $\Delta_{\text{diff}}(z) \propto 1/z$ . For  $\beta_N \gg 1$  (c) logarithmic oscillations persist up to z = O(1), and at larger  $z \Delta_{\text{diff}}(z)$  again oscillates as  $\Delta_{\text{diff}}(z) \propto \cos(3\pi/4 - 2\beta_N/\sqrt{z})/z^{1/4}$ . These oscillations persist up to  $z \sim (\beta_N)^2 \gg 1$ . At larger z,  $\Delta_{\text{diff}}(z) \propto 1/z$ . (d) A closer look at oscillations at  $z \ll 1$  and  $1 \ll z \ll \beta_N^2$  for large  $\beta_N$  (small  $\gamma$ ).

We see that oscillations extend to z > 1. At  $1 \ll z \ll \beta_N^2$ , the form of Q(z) is determined by comparison with (51). We have in this range  $Q(z) \approx -2/\sqrt{z}$ . Then,  $\beta_N Q(z)$  becomes of order one at  $z \sim \beta_N^2$ , where the argument of  $f(z/\beta_N^2)$  also becomes of order one. This sets  $z \sim \beta_N^2$  as the scale where Eqs. (58) and (57) match.

The scale  $z \sim \beta_N^2$  [or, equivalently,  $\omega_m \sim \omega_{\max} \sim g(c/\gamma)^{1/\gamma}$ , c = O(1)] is also the scale at which the boundary condition at  $z \to \infty$  becomes relevant and the phase  $\phi$  gets locked at  $\phi = \phi_N$ . To see this, we substituted  $\Phi_{\text{diff}}(z) = \Delta_{\text{diff}}(z)(1+z)/z$  back into the right-hand side of (43) and evaluated the integrals. We found that  $\Phi_{\text{diff}}(z) \propto z^{-1/2} \cos(\beta_N \log z + \phi)$  at z < 1 and  $\Phi_{\text{diff}}(z) \propto z^{-1/4} \cos(2\beta_N/\sqrt{z} + \phi)$  at  $1 < z < \beta_N^2$  are reproduced for any  $\phi$ , and the corresponding integrals are confined to internal  $y \sim z$  in Eq. (43) (for  $1 < z < \beta_N^2$  the integrals are expressed via Fresnel C function, which have to be expanded to appropriate order). However, for  $z > \beta_N^2$ , Eq. (43) is reproduced only if we set  $\phi = \phi_N$ .

# **B.** $N = N_{cr}$

We now analyze the form of  $\Delta_{\text{diff}}(z)$  at  $N = N_{cr}$ , where, we expect, the normalized solution for  $\Delta_{\text{diff}}$  first to appear. This analysis requires the same extra care as we exercised in Sec. III B, when we analyzed the truncated gap equation. Namely, we need to take the limit  $\beta_N \rightarrow 0$  rather than just set  $\beta_N = 0$ . To take the limit properly, we reexpress Eq. (53) as

$$\Delta_{\text{diff}}(z) = C_1 S(\beta_N, z) + C_2 S(-\beta_N, z), \tag{60}$$

where

$$S(\beta_N, z) = z^{i\beta_N - 1/2} {}_2F_1[1/2 + i\beta_N, 3/2 + i\beta_N, 1 + 2i\beta_N, -z],$$
(61)

and  $C_1$  and  $C_2$  are two independent free parameters. Expanding to linear order in  $\beta_N$ , we obtain

$$\Delta_{\text{diff}}(z) = C_1^* S(0, z) + C_2^* S'(0, z), \tag{62}$$

where the derivative is with respect to  $i\beta_N$ . As long as  $\beta_N$  is nonzero,  $C_1^*$  and  $C_2^*$  are two independent parameters. The functions S(0, z) and S'(0, z) are expressed in terms of Meijer G function and tend to finite values at large z, with subleading term of order 1/z. Then,  $\Delta_{\text{diff}}(z) = a + b/z$  at large z. A constant a vanishes once we choose  $C_2^*/C_1^* = 1.294$ . For this particular  $C_2^*/C_1^*$ ,  $\Delta_{\text{diff}}(z)$  from (60) satisfies the boundary condition at  $z \to \infty$ . This implies that the solution does indeed exist at  $N = N_{cr} - 0$ . At small z,  $S(0, z) \approx \sqrt{z}$ ,  $S'(0, z) \approx \log z \sqrt{z}$ , hence  $\Delta(z) \propto \log z \sqrt{z}$ , consistent with (46). Computing the subleading terms and using  $C_2^*/C_1^* = 1.294$ , we obtain at z < 1

$$\Delta_{\text{diff}}(z) = C\sqrt{z} \left(1 - \frac{3z}{4}\right) \left(\log\frac{z}{2.165} - \frac{z}{4} + O(z^2)\right).$$
(63)

In Fig. 10 we show  $\Delta_{\text{diff}}(z)$  over the whole range of z. We see that  $\Delta_{\text{diff}}(z)$  does not oscillate. It monotonically increases with z at small z, passes through a maximum at  $z \sim 0.2$ , and then decreases as 1/z. We will study the consequences of this behavior in Sec. VI, where we analyze the nonlinear gap equation. A similar behavior has been obtained in Ref. [17].

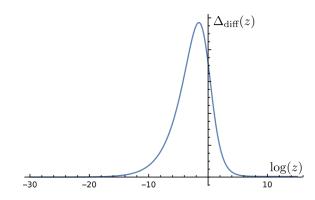


FIG. 10. The gap function  $\Delta_{\text{diff}}(z)$  for  $N = N_{cr} - 0$  ( $\beta_N \rightarrow 0$ ). The function is sign preserving. It scales as  $\log z \sqrt{z}$  at small *z*, passes through a maximum at  $z \sim 0.2$ , and decreases as 1/z at z > 1.

These authors, however, put a hard UV cutoff  $\Delta'(z) = 0$  at the maximum (at z = 0.2 in our case), and only analyzed the range z < 0.2.

C.  $N > N_{cr}$ 

At  $N > N_{cr}$ , the exponent  $b_N = ((N - N_{cr})/4N)^{1/2}$  is a real number, and the solution of (50) is

$$\Delta_{\text{diff}}(z) = C_1 S[b_N, z] + C_2 S[-b_N, z], \tag{64}$$

where

$$S[b_B, z] = x^{b_N + 1/2} {}_2F_1 \left[ \frac{1}{2} + b_N, \frac{3}{2} + b_N, 1 + 2b_N, -z \right].$$
(65)

The boundary conditions at  $z \gg 1$  and  $z \ll 1$  [Eqs. (45) and (46)] set two conditions on the prefactors:

$$C_{2} = 0 \text{ and}$$

$$\frac{C_{2}}{C_{1}} = -\frac{\Gamma(3/2 - b_{N})\Gamma(1/2 - b_{N})\Gamma(1 + 2b_{N})}{\Gamma(3/2 + b_{N})\Gamma(1/2 + b_{N})\Gamma(1 - 2b_{N})}.$$
(66)

These two conditions are incompatible for any  $b_N$ , hence, there is no normalized solution of the gap equation for  $N > N_{cr}$ .

#### D. Larger y

At larger  $\gamma$ , the approximations used to reduce the integral equation for the pairing vertex to Eq. (43) are not justified. If we formally extend (43) to  $\gamma \leq 1$ , we still obtain Eq. (44), but with  $N_{cr}^{\text{diff}} = 4(1 - \gamma)/\gamma$  instead of the actual  $N_{cr}$  given by (34). At small  $\gamma$ ,  $N_{cr}^{\text{diff}} \approx N_{cr} \approx 4/\gamma$ , but for larger  $\gamma$ , they differ. The difference becomes crucial for  $\gamma \rightarrow 1$ , where  $N_{cr}^{\text{diff}}$ tends to zero, while the actual  $N_{cr}$  approaches 1. This can be partly corrected by (i) expressing the differential equation in terms of  $\beta_N$ , as in Eq. (47), and using the correct expression for  $\beta_N$  in terms of  $\gamma$  and N, and (ii) modifying the derivation of the differential equation by adding the contribution from internal  $\omega' \approx \omega$ , as we show in Appendix B. With this additional contribution, the differential equation for  $N \leq N_{cr}$ remains the same as Eq. (47), but z is rescaled to  $\bar{z} = z/d$ , where  $d = 4(1 - \gamma)/(N\gamma(4\beta_N^2 + 1))$ . For small  $\gamma$ ,  $d \approx 1$ , but for  $\gamma \rightarrow 1$  and  $N \rightarrow 1$ ,  $d = (1 - \gamma)/(\beta_N^2 + 1/4)$ . In this limit  $z = (|\omega_m|/g)(1 - \gamma)$  vanishes, but  $\bar{z} \approx (|\omega_m|/g)(\beta_N^2 + 1/4)$  remains finite.

Still, the assumption that the approximation of the actual integral gap equation by the differential one can be rigorously justified only for small  $\gamma$ , when the pairing interaction is a weak function of frequency. At larger  $\gamma = O(1)$  one must analyze the actual, integral gap equation. This is what we will do next.

# V. EXACT SOLUTION OF THE LINEARIZED GAP EQUATION

In this section we prove that for any  $\gamma$  in the interval  $0 < \gamma < 1$ , the linearized gap equation has the solution for any  $N \leq N_{cr}$ . We obtain the exact analytical solution that satisfies Eq. (11) and the normalization condition (15). The analysis is somewhat involved, so we discuss the details in Appendix C and here list the computational steps and present the final result.

We start by rewriting Eq. (11) as eigenvalue/eigenfunction equation of a linear integral operator

$$N\Phi(\bar{\omega}_m) = \frac{1-\gamma}{2} \int_{-\infty}^{\infty} \frac{\Phi(\bar{\omega}'_m) d\bar{\omega}'}{|\bar{\omega}_m - \bar{\omega}'_m|^{\gamma} |\bar{\omega}'_m|^{1-\gamma}} \frac{1}{1+|\bar{\omega}'_m|^{\gamma}}.$$
 (67)

We then introduce a set of functions

$$\Phi_{\beta}(\Omega_m) \equiv \frac{|\Omega_m|^{i\beta\gamma+\delta_{\Omega_m}}}{|\Omega_m|^{\gamma/2}},\tag{68}$$

which obey the orthogonality condition

$$\int \frac{d\Omega_m}{|\Omega_m|^{1-\gamma}} \Phi_{\beta}^* \Phi_{\beta'} = \int dy \, e^{-i\gamma(\beta-\beta')y} = \frac{2\pi}{\gamma} \delta(\beta-\beta'), \quad (69)$$

and define the function  $b_{\beta}$  as

$$b_{\beta} = \frac{1}{2\epsilon_{\beta}} \int \frac{d\Omega_m}{|\Omega_m|^{1-\gamma}} \Phi_{\beta}(\Omega_m) \Phi(\Omega_m).$$
(70)

Multiplying both sides of Eq. (67) by  $\Phi_{\beta}(\Omega_m)$  and integrating over  $d\Omega_m/|\Omega_m|^{1-\gamma}$ , we obtain the equation for  $b_{\beta}$ :

$$Nb_{\beta} = i \int_{-\infty}^{\infty} \frac{\epsilon_{i\beta'}}{\sinh\left[\pi\left(\beta' - \beta + i0\right)\right]} b_{\beta'} d\beta', \qquad (71)$$

where  $\epsilon_{i\beta}$  is defined in Eq. (38). The normalizability condition for  $\Phi(\bar{\omega}_m)$  for given N and  $\gamma$  is expressed in terms of  $b_\beta$  as the nondivergence of the integral

$$\int_{-\infty}^{\infty} \left[ 1 - \frac{1}{N} \epsilon_{\beta'} \right] \epsilon_{\beta'} b_{\beta'} b_{\beta'}^* d\beta'.$$
 (72)

We solve Eq. (71) subject to (72) in Appendix C and use (70) and (69) to extract  $\Phi(\bar{\omega}_m)$  and  $\Delta(\bar{\omega}_m) = \Phi(\bar{\omega}_m)/[1 + (\bar{\omega}_m)^{-\gamma}]$ . We find that there is no normalizable solution for

 $N > N_{cr}$ , but for  $N \leq N_{cr}$ , the normalizable solution does exist. The solution  $\Delta_{ex}(z)$  is expressed as

$$\Delta_{\rm ex}(z) = \int_{-\infty}^{\infty} \frac{\sinh(\pi\beta_N) e^{-i\beta \log z - \frac{i}{2}I(\beta)} d\beta}{\sqrt{\cosh[\pi(\beta - \beta_N)]} \cosh[\pi(\beta + \beta_N)]}.$$
 (73)

Here,  $\beta_N$  is the same as before (the solution of  $\epsilon_{\beta_N} = N$ ), and the function  $I(\beta)$  is given by

$$I(\beta) = \int_{-\infty}^{\infty} d\beta' \log \left| 1 - \frac{1}{N} \epsilon_{\beta'} \right| [\tanh(\pi(\beta' - \beta)) - \tanh(\pi\beta')].$$
(74)

The gap function  $\Delta_{ex}(z)$  likely cannot be represented by a simple analytical formula, but can be straightforwardly computed numerically. We plot  $\Delta_{ex}(z)$  for different  $\gamma$  and N = 1 in Fig. 11. To show both the oscillations at small z and the power-law behavior at larger z, we use the logarithmical variable  $x = \log(\bar{\omega}_m)^{\gamma} = \log z$ , defined in Eq. (56).

# Exact $\Delta_{ex}(z)$ vs $\Delta_{diff}(z)$

In Fig. 12 we compare the exact  $\Delta_{ex}(z)$  for N = 1 and various  $\gamma$  with the solution of the differential equation  $\Delta_{diff}(z)$  (both are expressed in terms of  $x = \log z$ ). We see that the two essentially coincide for small  $\gamma$  and remain close to each other for  $\gamma = O(1)$ , but the discrepancy increases with increasing  $\gamma$ . To understand where the discrepancy comes from, we expanded the exact  $\Delta_{ex}(z)$  in powers of z at small z and in powers of 1/z at large z and compared with the expansion of  $\Delta_{diff}$ . We present the details of the calculations in Appendix D and here list the results. The expansion of  $\Delta_{ex}(z)$  in z holds in

$$\Delta_{\text{ex}}(z) = \frac{1}{1+z} \operatorname{Re} \sum_{n=0}^{\infty} e^{(i\beta_N \log z + \phi_N)} C_n^{<} z^{n+1/2} + \sum_{n,m=0}^{\infty} D_{n,m}^{<} z^{(n+b_m/\gamma+1/2)}.$$
(75)

The expansion of  $\Delta_{ex}(z)$  in 1/z holds in

$$\Delta_{\rm ex}(z) = \sum_{n=0}^{\infty} C_n^{>} z^{-(n+1)} + \sum_{n,m=0}^{\infty} D_{n,m}^{>} z^{-[n+2(m+1)/\gamma+1]}.$$
 (76)

The real  $b_m$  in Eq. (75) satisfy  $\epsilon_{b_m/\gamma} = N$ . There are no solutions for  $|b_m| < \gamma/2$ , but there are solutions for larger *b* (see Fig. 13). A given  $b_m$  is in the interval  $\gamma/2 + 2m < b_m < \gamma/2 + 2(m+1)$ . The crossover between the two regimes is at  $z = z_{\text{max}} = (\omega_{\text{max}}/\omega_0)^{\gamma}$ . This is the scale at which oscillating behavior of  $\Delta_{\text{ex}}(z)$  crosses over to 1/z behavior.

The expansion of  $\Delta_{\text{diff}}(z)$  yields

$$\Delta_{\text{diff}}(z) = \frac{1}{1+z} \text{Re} \sum_{n=0}^{\infty} e^{(i\beta_N \log z + \phi_N)} \tilde{C}_n^< z^{n+1/2}$$
(77)

for the expansion in z, and

$$\Delta_{\rm diff}(z) = \sum_{n=0}^{\infty} \tilde{C}_n^{>} z^{-(n+1)}$$
(78)

for the expansion in 1/z.

We see that the expansion of  $\Delta_{\text{diff}}(z)$  is a regular expansion in powers of either z or 1/z. The coefficients  $\tilde{C}_n^<$  and  $\tilde{C}_n^>$  can

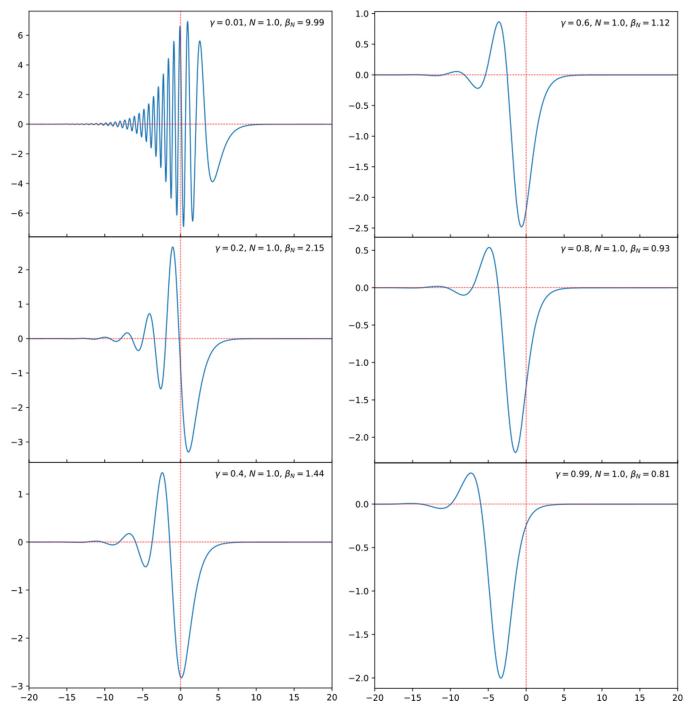


FIG. 11. The exact solution of the linearized gap equation  $\Delta_{ex}(x)$  as a function of  $x = \log (|\omega_m|/\omega_0)^{\gamma}$  for N = 1 and various  $\gamma$  from the interval  $0 < \gamma < 1$ . For all  $\gamma$ ,  $\Delta_{ex}(x)$  oscillates at small frequencies with the period set by x, and decays as  $(\omega_0/|\omega_m|)^{\gamma} = e^{-x}$  at large x.

be easily obtained by expanding the hypergeometric function either in z or in 1/z [see Eqs. (58) and (59)]. The expansion of  $\Delta_{\text{ex}}(z)$  is more complex and contains two types of terms: the  $C_n$  series and  $D_{n,m}$  series. The  $C_n^>$  series in Eq. (75) are local terms in the sense that in the direct perturbative expansion of the gap equation (7) they come from  $\omega'_m \sim \omega_m$  in the integral in Eq. (7). The  $D_{n,m}^<$  series describe nonlocal corrections, for which the integral over  $\omega'$  is determined by  $\omega_{\max} \gg \omega_m$ . Examining perturbation series, we find that the coefficients  $C_n^<$  can be obtained analytically and are given by

$$C_n^{<} = C_0^{<} \left[ I_n \prod_{m=1}^n \frac{1}{I_m - 1} \right], \tag{79}$$

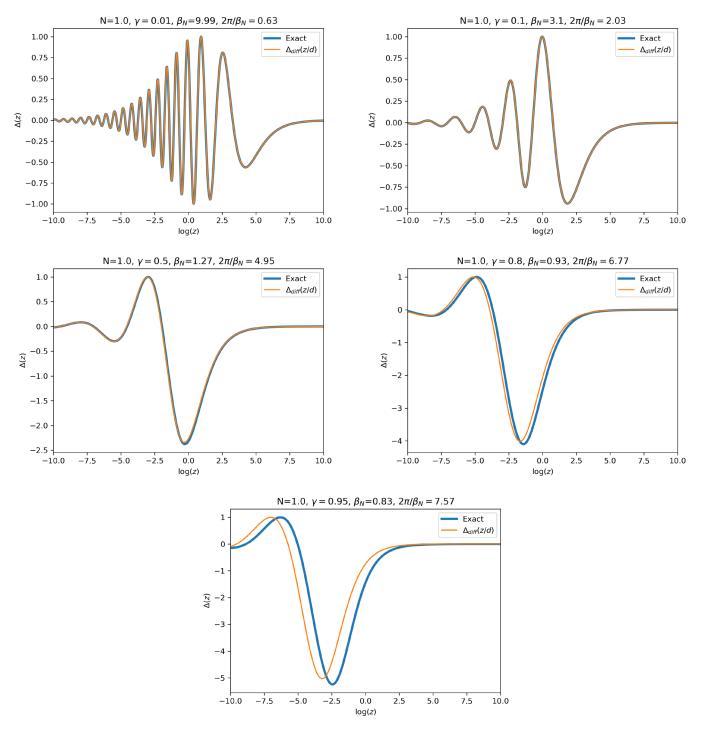


FIG. 12. The exact  $\Delta_{ex}(x)$  and the solution of the differential gap equation  $\Delta_{diff}(x)$  [Eq. (53)] as functions of  $\log z = \log[(|\omega_m|/\omega_0)^{\gamma}]$ . For  $\Delta_{diff}$ , we rescaled z to  $\overline{z} = z/d$ , where  $d = 4(1 - \gamma)/(N\gamma(4\beta_N^2 + 1))$  (see Appendix B).

where

$$I_{m} = \frac{1-\gamma}{2N} Q(m,\gamma,\beta_{N}),$$

$$Q(m,\gamma,\beta_{N}) = \frac{\Gamma[(m+1/2)\gamma+i\beta_{N}\gamma]\Gamma[(1/2-m)\gamma-i\beta_{N}\gamma]}{\Gamma(\gamma)}$$

$$+\Gamma(1-\gamma) \left(\frac{\Gamma[(m+1/2)\gamma+i\beta_{N}\gamma]}{\Gamma[1-(1/2-m)\gamma+i\beta_{N}\gamma]} + \frac{\Gamma[(1/2-m)\gamma-i\beta_{N}\gamma]}{\Gamma[1-(m+1/2)\gamma-i\beta_{N}\gamma]}\right).$$
(80)

The series do not converge absolutely because at large *n*,  $C_n^< \propto 1/n$ , but each term in the series can be easily calculated. At small  $\gamma$  and N = 1, when  $\beta_N \approx (N_{cr}/4N) \gg 1$ , the  $C_n^<$ series in Eq. (75) reproduce, order by order, the expansion of  $\Delta_{\text{diff}}(z)$  [Eqs. (58) and (59)]. The same holds for the expansion in 1/z: the  $C_n^>$  series in Eq. (76) reproduce the expansion in Eq. (57). The *D* terms are small for  $\gamma \ll 1$  by a combination of two factors: (i) the prefactors are relatively small, e.g.,  $D_{0,0}^> \approx -C_0^> \gamma/4$ , and (ii)  $b_m \approx 2(m+1)$ , hence, the nonlocal terms contain additional small factors  $z^{2m/\gamma}$  in the expansion

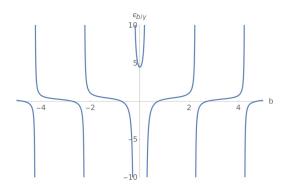


FIG. 13. The function  $\epsilon_{b/\gamma}$ , Eq. (28), for  $\gamma = 0.5$ .

in z and and  $(1/z)^{-2m/\gamma}$  in the expansion in 1/z. As the consequence, for small  $\gamma$ ,  $\Delta_{\text{ex}}(z)$  and  $\Delta_{\text{diff}}(z)$  coincide, up to small corrections, as Fig. 12(a) demonstrates.

At larger  $\gamma = O(1)$ , the nonlocal terms become relevant, particularly near  $z_{\text{max}}$ , where  $\Delta_{\text{ex}}(z)$  crosses over to 1/z behavior. Numbers wise,  $\Delta_{\text{diff}}(z)$  still agrees reasonably well with  $\Delta_{\text{ex}}(z)$ , as Fig. 12 shows, but qualitatively the exact  $\Delta_{\text{ex}}(z)$  is different from  $\Delta_{\text{diff}}(z)$ .

#### VI. NONLINEAR GAP EQUATION

We now analyze the full nonlinear gap equation (7). We argue that it has an infinite, discrete set of solutions, which can be specified by topological index n, and the limit  $n \rightarrow \infty$  corresponds to the solution of the linearized gap equation.

#### A. Qualitative reasoning

We begin with qualitative reasoning. We assume that for any finite *n*, the gap function  $\Delta_n(\omega_m)$  tends to a finite value at  $\omega_m = 0$ , while at  $\omega_m > \omega_n^*$ ,  $\Delta_n(\omega_m)$  is small and has the same dependence on  $\omega_m$  as  $\Delta_{\infty}(\omega_m) = \Delta_{\text{ex}}(\omega_m)$ . We further assume that  $\omega_n^*$  is the only relevant scale for  $\Delta_n(\omega_m)$ , i.e.,  $\Delta_n(\omega_m)$  saturates at  $\omega_m < \omega_n^*$ . This reasoning has two implications. First,  $\Delta_n(\omega_n^*) \sim \omega_n^*$ . Second,  $\Delta_n(\omega_m)$  must satisfy the modified linearized gap equation, in which the lower limit of integration over positive and negative  $\omega_m'$  is set at a frequency of order  $\omega_n^*$ . Because  $\Delta_{\text{ex}}(\omega_m)$  is the solution for  $\omega_n^* = 0$ , the modified linearized gap equation has a solution if

$$\int_0^{\omega_n^*} d\omega_m' \frac{\Delta_{ex}(\omega_m')}{\omega_m'} = 0.$$
(81)

These two conditions determine  $\omega_n^*$  and  $\Delta_n(\omega_n^*)$ . Below we use the variable *z* instead of  $\omega_n$  and define  $z_n^* = (\omega_n^*/\omega_0)^{\gamma}$ . In these variables,  $\Delta_n(0) \sim \Delta_n(z_n^*) \sim \omega_0(z_n)^{1/\gamma}$ .

For  $N \leq N_{cr}$ ,  $\Delta_{ex}(z)$  behaves at z < 1 as  $C\sqrt{z} \cos(\beta_N \log z + \phi_N)$ , where  $\beta_N \propto (N_{cr} - N)^{1/2}$  is small and  $\phi_N = \pi/2 - 0.773\beta_N$ . Evaluating the integral in Eq. (81) and reexpressing the result in terms of *z*, we obtain

$$\sin[\beta_N(\log z^* - 2.77)] = 0. \tag{82}$$

This equation has a discrete set of solutions for  $z^* \ll 1$ : log  $z^* = 2.77 - \pi (n+1)/\beta_N$ , where n = 0, 1, 2, ..., i.e.,

$$z_n^* \propto e^{-\pi (n+1)/\beta_N}.$$
(83)

All  $z_n^*$ , including  $z_0^*$ , are exponentially small at small  $\beta_N$ . The gap functions  $\Delta_n(0) \sim \omega_0 (z_n^*)^{1/\gamma}$  are also exponentially small:

$$\Delta_n(0) \propto \omega_0 e^{-\pi (n+1)/(\gamma \beta_N)}.$$
(84)

One can easily verify that  $\Delta_n(z)$  changes sign *n* times between z = 0 and  $\infty$ .

The result  $z_n^* \propto e^{-\pi n/\beta_N}$  at  $n \gg 1$  also follows from a generic reasoning that  $\Delta_{ex}(z)$  should have an extremum at  $z \sim z_n^*$  to match with a constant  $\Delta_n(z) \approx \Delta_n(0)$  for smaller z. We note, however, that the presence of an extremum in  $\Delta_{ex}(z)$  by itself does not guarantee that the solution of the nonlinear gap equation exists. Indeed,  $\Delta_{ex}(z)$  for  $N = N_{cr}$  has a maximum at z = O(1), but there is no solution with a finite  $\Delta(z)$  for this N.

When  $\beta_N \gg 1$  [e.g., for  $\gamma \ll 1$  and N = O(1)], we can still apply the same reasoning for large enough *n*, when  $z_n^*$  is exponentially small in *n*. However, for n = O(1),  $z_n^* \ge 1$ , and we should use appropriate expression for  $\Delta_{ex}(z)$  in Eq. (81). Replacing  $\Delta_{ex}(z)$  by  $\Delta_{diff}(z)$  as the two are very close, and using the asymptotic form of  $\Delta_{diff}(z)$  for z > 1:  $\Delta_{diff}(z) \sim (1/\sqrt{z})J_1(2\beta_N/\sqrt{z})$ , we obtain the condition on  $z_n^*$  in the form

$$J_0\left(\frac{2\beta_N}{\sqrt{z_n^*}}\right) = O(1). \tag{85}$$

This equation has a discrete set of solutions  $z_n^* = 4\beta_N^2 s_n$ , where where  $s_n$  is a decreasing function of n. The corresponding  $\Delta_n(z_n^*) \sim \Delta_n(0) \sim \omega_0(\beta_N^2)^{1/\gamma}$ . For small  $\gamma$ ,  $\beta_N^2 \approx$  $1/\gamma$ , hence,  $\Delta_n(0) \sim \omega_0(1/\gamma)^{1/\gamma}$  (Refs. [14,58,60,62]). This analysis holds up to  $n = n_{\text{max}}$ , for which  $z_{n_{\text{max}}}^* = O(1)$ . For larger n,  $z_n^*$  are given by Eq. (83). Still,  $\Delta_n(z)$  changes sign n times between z = 0 and  $\infty$ .

Note that at small  $\gamma$ ,  $\Delta_n(0) \propto \omega_0 (z_n^*)^{1/\gamma}$  rapidly evolves from  $\Delta_n(0) \ll \omega_0$  when  $z_n^* < 1$  to  $\Delta_n(0) \gg \omega_0$  when  $z_n^* > 1$ . In Ref. [60] we solved the nonlinear gap equation at small  $\gamma$ and N = 1 for sign preserving  $\Delta_0(z)$ . We obtained  $\Delta_0(0) =$  $0.885\bar{g}(1/1.4458\gamma)^{1/\gamma} \equiv 0.326\omega_0(1/1.4458\gamma)^{1/\gamma}$ , consistent with our estimate. The number 1.4458 comes from the fact that at small  $\gamma$ , the more explicit form of (85) is  $J_0(2\beta_N/\sqrt{z_0^*}) = O(\gamma)$  (Refs. [14,58,60,62]).

#### **B.** Nonlinear differential equation

We now corroborate qualitative reasoning by more direct analysis in which we derive and solve the nonlinear differential gap equation. To derive this equation, we again depart from the original integral equations for the pairing vertex and the self-energy [Eqs. (5) and (6)] or, equivalently, from the nonlinear gap equation (7) and approximate the integral over  $\omega'_m$  in the left-hand side of (5) by the integrals over  $\omega'_m \gg \omega_m$  and  $\omega'_m < \omega_m$ , each time neglecting either  $\omega'_m$  or  $\omega_m$  in the pairing interaction. However, for a finite  $\Delta(\omega_m)$ we have to take into account the fact that the self-energy has a more complex form in the presence of  $\Delta$ . In particular, one can easily verify that if  $\Delta(\omega_m)$  tends to a finite value at  $\omega_m = 0$ , as we assume to be the case,  $\Sigma(\omega_m)$  acquires a Fermiliquid form  $\Sigma(\omega_m) \sim \omega_m (\omega_0 / \Delta(0))^{\gamma}$  at the smallest  $\omega_m$ . The non-Fermi liquid  $\Sigma(\omega_m) = |\omega_m|^{1-\gamma} \omega_0^{\gamma} \operatorname{sign} \omega_m = \omega_m/z$  is recovered at frequencies for which  $|\omega_m| \gg \Delta(\omega_m)$ . These two limiting forms of the self-energy can be combined into the

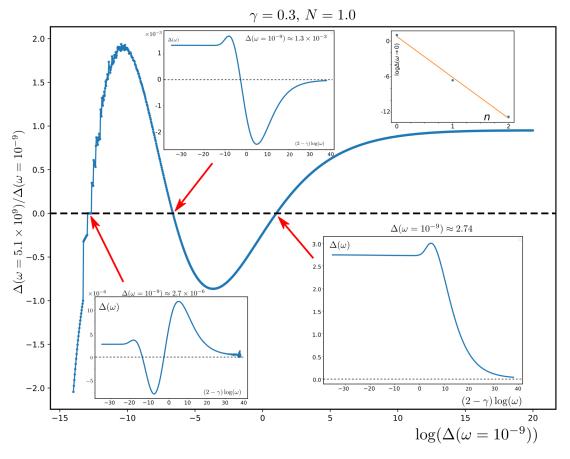


FIG. 14. The ratio of  $\Delta(\omega \to \infty)/\Delta(\omega \to 0)$  vs log  $\Delta(\omega \to 0)$ .

interpolation formula

$$\Sigma(\omega_m) = \omega_m \frac{\omega_0^{\gamma}}{\left[\omega_m^2 + \Delta^2(\omega_m)\right]^{\gamma/2}}.$$
(86)

If we include this self-energy and then follow the same derivation for the linearized differential gap equation, we would obtain

 $\left[\bar{\Delta}(z)\left(z+\frac{1}{[1+D^2(z)]^{\gamma/2}}\right)\right]'' = -\frac{N_{cr}}{4Nz^2}\frac{\bar{\Delta}(z)}{\sqrt{1+D^2(z)}}, \quad (87)$ 

where 
$$\Delta(z) = \Delta(z)/\omega_0$$
 and  $D(z) = \Delta(z)/z^{1/2}$ . There is a second complication, however. One can verify that a at nonzero  $\Delta(0)$ , the right-hand side of the actual, nonlinear integral gap equation (7) (with the self-energy contribution kept in the left-hand side) has a regular expansion in powers of  $\omega_m^2$ . Indeed, a direct expansion in  $\omega_m$  yields

$$\frac{\bar{g}^{\gamma}}{2N} \int d\omega'_m \frac{\Delta(\omega'_m)}{\sqrt{(\omega'_m)^2 + \Delta^2(\omega'_m)}} \frac{1}{|\omega_m - \omega'_m|^{\gamma}} = A + B\omega_m^2,$$
(88)

where A and B are given by convergent integrals

$$A = \frac{\bar{g}^{\gamma}}{2N} \int d\omega'_{m} \frac{\Delta(\omega'_{m})}{\sqrt{(\omega'_{m})^{2} + \Delta^{2}(\omega'_{m})}} \frac{1}{|\omega'_{m}|^{\gamma}},$$
  

$$B = -\frac{\gamma(\gamma+1)}{2} \frac{\bar{g}^{\gamma}}{2N} \int \frac{d\omega'_{m}}{\sqrt{(\omega'_{m})^{2} + \Delta^{2}(\omega'_{m})}} \frac{d\omega'_{m}}{(\Delta(\omega_{m}) + \sqrt{(\omega'_{m})^{2} + \Delta^{2}(\omega'_{m})})} \frac{1}{|\omega'_{m}|^{\gamma}}.$$
(89)

The combination of Eqs. (86) and (88) then implies that at small  $\omega_m$ ,  $\Delta(\omega_m)$  is a regular function of frequency  $\Delta(\omega_m) = \Delta_0 + C\omega_m^2$ , as is expected in a Fermi-liquid regime. Equivalently,  $\bar{\Delta}(z) = \bar{\Delta}_0 + \bar{C} z^{2/\gamma}$ . This regular expansion of  $\bar{\Delta}(z)$  is not reproduced by Eq. (87). The reason can be easily understood by analyzing how the  $\omega_m^2$  term appears in the

right-hand side of (88): to get it one has to keep both  $\omega'_m$  and  $\omega_m$  in the interaction, i.e., go beyond the approximation used to derive (87). A simple experimentation shows that to reproduce regular behavior of the gap function at small frequencies, one has to multiply the right-hand side of (87) by the factor  $[\sqrt{1+D^2(z)}-|D(z)|]^{1+\gamma}$ . This factor reduces

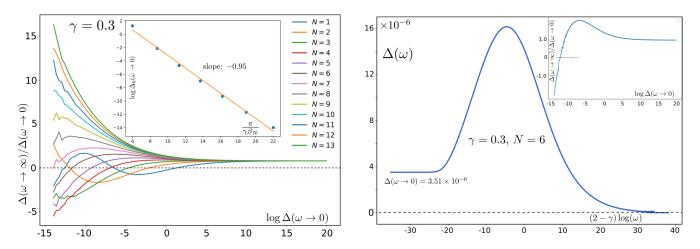


FIG. 15. Left: the ratio of  $\Delta(\omega \to \infty)/\Delta(\omega \to 0)$  vs log  $\Delta(\omega \to 0)$  for different N. The inset shows  $\Delta_0$  vs  $\frac{\pi}{\gamma\beta_N}$ , the best fit has the slope of -0.95. Right:  $\Delta_0$  vs z for  $\gamma = 0.3$  and  $N = 6 \approx N_{cr}/2$ .

to 1 when D(z) is small, but gives additional  $z^{(1+\gamma)/\gamma}$  when D(z) is large. With this extra factor, the nonlinear differential gap equation takes the form

$$\begin{bmatrix} \bar{\Delta}(z) \left( z + \frac{1}{[1+D^2(z)]^{\gamma/2}} \right) \end{bmatrix}'' = -\frac{N_{cr}}{4Nz^2} \frac{\bar{\Delta}(z)}{\sqrt{1+D^2(z)}} (\sqrt{1+D^2(z)} - |D(z)|)^{1+\gamma}.$$
 (90)

One can verify that at small z, the solution of this equation, if it exists, has an asymptotic form  $\overline{\Delta}(z) = \overline{\Delta}(0) + \overline{C}z^{2/\gamma}$ , consistent with asymptotic form of the solution of the actual integral equation.

The boundary conditions for (90) are a finite  $\Delta(0)$  and  $\Delta(z) \propto 1/z$  at  $z \to \infty$ . The last condition is the same as for the linearized gap equation. According to our qualitative reasoning, this equation should have an infinite, discrete set of solutions  $\Delta_n(z)$ .

We solve Eq. (90) numerically. We set  $\Delta(0)$  to some value, which we vary. For a generic  $\Delta(0)$ ,  $\Delta(z)$  evolves with z toward some constant at  $z \to \infty$ . We verify whether for some particular  $\Delta(0)$ , a constant vanishes and  $\Delta(z)$  decays as 1/z. We show the result in Fig. 14 for  $\gamma = 0.3$  and N = 1 ( $N_{cr}/N \approx$ 13,  $\beta_n \approx 1.75$ ). We plot the ratio of  $\Delta(z \to \infty)/\Delta(0)$  vs  $\Delta(0)$ . We see that for a generic  $\Delta(0)$ ,  $\Delta(\infty)$  remains finite, but there is a discrete set of  $\Delta_n(0)$ , for which  $\Delta(\infty)$  vanishes. With our numerical accuracy we can clearly identify three such  $\Delta_n(0)$ . In three insets in Fig. 14 we show  $\Delta(z)$  for these three  $\Delta_n(0)$ . We see that the gap function changes sign *n* times as a function of z (n = 0, 1, 2), precisely as we anticipated.

In the top right inset in Fig. 14 we plot  $\Delta_n(0)$  vs *n* for N = 1 and  $\gamma = 0.3$ . We clearly see that  $\Delta_n(0)$  has exponential dependence on *n*. We fitted the results to Eq. (83). The best fit yields  $\beta_N \approx 1.51$ . This is quite consistent with the actual value  $\beta_N \approx 1.75$  [we note that Eq. (83) is by itself only valid for  $\beta_N < 1$ ].

In the left panel of Fig. 15 we collect the results for  $\gamma = 0.3$  and different *N* between N = 1 and  $N_{cr}$ , the inset shows a comparison of log  $\Delta_0$  against  $\frac{\pi}{\gamma\beta_n}$ , where  $\beta_N = 0.5(N_{cr}/N - 1)^{1/2}$ . We see that the agreement is quite good: the theoretical

slope is -1 [see Eq. (84)] and the fitting of numerical data yields the slope -0.95.

Finally, in the right panel of Fig. 15 we plot  $\Delta_0$  vs z for  $N \approx N_{cr}/2$ , where  $\Delta_0(0)$  is already very small. We see that the gap function flattens at  $z^* \sim (\Delta_0(0))^{\gamma}$ , which is much smaller than the scale at which  $\Delta_0(z)$  has a broad maximum. This is again consistent with our qualitative reasoning.

In the subsequent publication (Paper II [84]) we collaborate the T = 0 analysis with the analysis of the linearized gap equation at a finite T. We show that for  $N < N_{cr}$ , there exists a discrete set of onset pairing temperatures  $T_{p,n}$ , and the eigenfunction  $\Delta_n(\omega_m)$  changes sign n times as a function of Matsubara frequency. We argue that these  $\Delta_n(\omega_m)$  grow in magnitude below  $T_{p,n}$ , but preserve the number of sign changes, and at T = 0 coincide with  $\Delta_n(\omega_m)$ , which we found here.

We note in passing that  $\Delta_n(\omega_m)$  has a maximum at  $\omega \sim \omega_0 \sim \bar{g}$ . The reason for the maximum is that at T = 0, a nonzero  $\Delta_n(\omega_m)$  emerges only at  $N < N_{cr}$ , and  $\Delta_n(0)$ , including n = 0, scale with  $N_{cr} - N$ . Meanwhile, the onset temperature for the pairing into the n = 0 state,  $T_{p,0}$ , is of order  $\omega_0$ . The gap structure reflects this discrepancy:  $\Delta_n(\omega_m)$  at the lowest frequencies scales with  $N_{cr} - N$ , but the gap at  $\omega_m \sim \omega_0$  scales with  $\omega_0$ . This gives rise to a maximum at  $\omega_m \sim \omega_0$ .

#### VII. CONCLUSIONS

In this paper we analyzed the competition between two opposing trends in the behavior of interacting fermions near a quantum critical point in a metal: non-Fermi-liquid physics and pairing. Both trends are captured within the model of fermions interacting by exchanging soft-bosonic fluctuations of an order parameter, which condenses at the critical point. The non-Fermi-liquid behavior is the result of strong, nonanalytic self-energy due to boson-mediated scattering near the Fermi surface, the pairing is due to strong attraction in at least one pairing channel, provided by the same soft-boson exchange. We considered a class of quantum critical models with an effective dynamical electron-electron interaction  $V(\Omega_m) \propto 1/|\Omega_m|^{\gamma}$  (the  $\gamma$  model). In this paper, the first in the

series, we considered the case  $0 < \gamma < 1$  and restricted the analysis to T = 0. The limit  $\gamma = 0$  corresponds to BCS theory without the upper cutoff, but for all finite  $\gamma$  the interaction drops off at large  $\Omega$  and the pairing problem is ultraviolet convergent. To parametrically separate the tendencies toward non-Fermi liquid and pairing, we extended the model and introduced the parameter 1/N as additional overall factor in the pairing interaction only. At large N, the tendency toward non-Fermi-liquid normal state at T = 0 is stronger by N, at small N the tendency toward pairing is stronger by 1/N.

Our analysis brings two conclusions. First, we found that there indeed exists a critical  $N = N_{cr}$ , separating non-Fermi-liquid normal state at larger N and superconducting state at smaller N. The critical  $N_{cr} > 1$  for all  $\gamma < 1$ , such that the original N = 1 model is superconducting at T = 0. Second, we found that the system behavior for  $N < N_{cr}$  is rather unconventional in the sense that there exists an infinite set of solutions of the nonlinear gap equation  $\Delta_n(\omega_m)$ ,  $n = 0, 1, 2, \ldots$ , all within the same pairing symmetry. The solutions are topologically distinct:  $\Delta_n(\omega_m)$  changes sign n times as a function of Matsubara frequency  $\omega_m$ . All  $\Delta_n$ emerge at  $N = N_{cr}$ , and for  $N \leq N_{cr}$  their magnitudes scale as  $\Delta_n \propto e^{-\pi (n+1)/\gamma \beta_N}$ , where  $\beta_N \propto (N_{cr} - N)^{1/2}$ . The end point of the set,  $\Delta_{\infty}(\omega_m)$ , is the solution of the linearized gap equation at T = 0. We obtained the exact analytical solution of the linearized gap equation at T = 0 and also a highly accurate simple approximate solution for all  $N \leq N_{cr}$ . In the subsequent paper we analyze the linearized gap equation at a finite T and show that there is an infinite set of the onset temperatures for the pairing  $T_{p,n}$ , and the corresponding eigenfunctions change sign n times as functions of  $\omega_m$ . We argue that these topologically distinct gap functions grow in magnitude below the corresponding  $T_{p,n}$ , but largely preserve the functional forms and at T = 0 become  $\Delta_n$  that we found in this work.

The sign-preserving solution with n = 0 has the largest condensation energy and is the global minimum of the condensation energy  $E_c$ . All other solutions are local minima. Still, the presence of the infinite set of  $\Delta_n(\omega_m)$ , including the solution of the linear gap equation for all  $N \leq N_{cr}$ , is a highly nontrivial feature of the pairing at a QCP. In subsequent publications we extend the T = 0 analysis to  $\gamma > 1$  and argue that the local minima become more closely spaced with increasing  $\gamma$  form a continuous set for  $\gamma = 2$ . We argue that in this case all solutions have equal condensation energy, and superconducting order gets destroyed already at T = 0. As the consequence, the true superconducting  $T_c$  terminates at  $\gamma = 2$ , while the onset temperature for the pairing  $T_{p,0} = T_p$ remains finite (see Fig. 2). The two temperatures then must be different for all  $0 < \gamma \leq 2$ , including  $0 < \gamma < 1$ , which we considered here. In-between  $T_c$  and  $T_p$ , the system displays pseudogap behavior. We emphasize again that the root to this highly unusual behavior is the existence of the solution of the linearized gap equation for all N, rather than only at  $N = N_{cr}$ .

#### ACKNOWLEDGMENTS

We thank I. Aleiner, B. Altshuler, E. Berg, D. Chowdhury, L. Classen, K. Efetov, R. Fernandes, A. Finkelstein, E. Fradkin, A. Georges, S. Hartnol, S. Karchu, S. Kivelson, I. Klebanov, A. Klein, R. Laughlin, S.-S. Lee, G. Lonzarich, D. Maslov, F. Marsiglio, M. Metlitski, W. Metzner, A. Millis, D. Mozyrsky, C. Pepan, V. Pokrovsky, N. Prokofiev, S. Raghu, S. Sachdev, T. Senthil, D. Scalapino, Y. Schattner, J. Schmalian, D. Son, G. Tarnopolsky, A-M Tremblay, A. Tsvelik, G. Torroba, E. Yuzbashyan, J. Zaanen, and particularly R. Combescot, Y. Wang, and Y. Wu for useful discussions. The work by A.V.C. was supported by the NSF-DMR Grant No. 1834856.

## APPENDIX A: CASE OF SMALL y AND A FINITE-FREQUENCY CUTOFF

The limit  $\gamma \to 0$  of the  $\gamma$  model attracted a lot of attention from various subcommunities in physics [13,14,16–18,54,60,73] and has been analyzed in both Eliashberg-type and renormalization group approaches. This limit requires extra care because strictly at  $\gamma = 0$ , the interaction  $V(\Omega) \propto |\Omega|^{-\gamma}$  becomes frequency independent, and the pairing problem becomes equivalent to BCS, but without the upper cutoff for the interaction. Meanwhile, for any nonzero  $\gamma$ , the gap equation converges at  $\omega > \omega_{\max} \propto g e^{|\log \gamma|/\gamma}$ . More explicit calculation yields  $\omega_{\max} \sim g(1/1.446)^{1/\gamma} e^{|\log \gamma|/\gamma}$  (Refs. [14,58,60,62]). This  $\omega_{\max}$  remains finite at any nonzero  $\gamma$ , but grows with decreasing  $\gamma$  faster than the exponent.

In this Appendix we consider how the gap equation gets modified if we introduce the upper cutoff for  $V(\Omega_m)$  at  $\Omega_m = \Lambda$ , i.e., modify  $V(\Omega_m) = (\bar{g}/|\Omega_m|)^{\gamma}$  to

$$V(\Omega_m) = \left(\frac{\bar{g}}{|\Omega_m|}\right)^{\gamma} \left[1 - \left(\frac{|\Omega_m|}{\Lambda}\right)^{\gamma}\right]$$
(A1)

for  $|\Omega_m| < \Lambda$ , and  $V(\Omega_m) = 0$  for  $|\Omega_m| > \Lambda$ . We consider  $\gamma$  for which  $\Lambda \ll \omega_{\max}$ . In this case we can expand  $V(\Omega)$  to first order in  $\gamma$  and express it for  $\Omega_m < \Lambda$  as  $V(\Omega) = \gamma \log (\Lambda/|\Omega|)$ .

The normal-state self-energy is  $\Sigma(\omega_m) = \gamma \omega_m \log \Lambda / |\omega_m|$ . Substituting these  $V(\Omega)$  and  $\Sigma(\omega_m)$  into the equation for the pairing vertex, we obtain

$$\Phi(\omega_m) = \frac{\gamma}{2N} \int \frac{d\omega'_m \log \frac{\Lambda}{|\omega_m - \omega'_m|}}{|\omega'_m| (1 + \gamma \log \frac{\Lambda}{|\omega'_m|})}.$$
 (A2)

Introducing logarithmic variables  $x = \log \Lambda / |\omega_m|$ ,  $x' = \log \Lambda / |\omega'_m|$  and restricting with the contributions from  $\omega'_m \gg \omega_m$  and  $\omega'_m \ll \omega_m$ , as we did in the derivation of (43), we reduce (A2) to

$$\Phi(x) = \frac{\gamma}{N} \left[ \int_0^x dx' \frac{x' \Phi(x')}{1 + \gamma x'} + \frac{x}{1 + \gamma x} \int_x^\infty dx' \Phi(x') \right].$$
(A3)

Differentiating twice over x and introducing  $y = \gamma x$ , we obtain

$$(\Phi'(y)(1+y)^2)' = -\frac{\Phi(y)}{N\gamma}.$$
 (A4)

The boundary conditions are  $\Phi(y = 0) = 0$  [ $\Phi(\omega_m)$  must vanish at  $\omega_m = \Lambda$ ] and  $\Phi(y = \infty) = 0$ , i.e.,  $\Phi(\omega_m = 0) = 0$ . The last condition is set to avoid the divergence in Eq. (A2) at

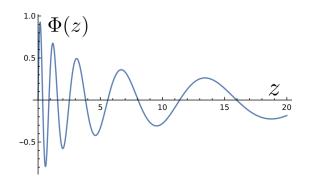


FIG. 16. The function  $\Phi(y)$  from Eq. (A5).

vanishing  $\omega'_m$ . The solution of (A4) is

$$\Phi(y) = \frac{C}{\sqrt{1+y}} \cos\left(\phi + \sqrt{\frac{1}{N\gamma} - \frac{1}{4}} \log(1+y)\right).$$
 (A5)

This  $\Phi(y)$  satisfies the boundary condition  $\Phi(y = \infty) = 0$  provided  $1/N\gamma > \frac{1}{4}$ , i.e.,  $N < N_{cr} = 4/\gamma$ . To satisfy the other boundary condition, we choose  $\phi = \pi/2$ .

We plot  $\Phi(y)$  in Fig. 16 for N = 1 and  $\gamma = 0.01$ . We see that  $\Phi(y)$  is an oscillating function of y for  $y \ll 1$  and an oscillating function of log y for  $y \gg 1$ . For  $y \ll 1$  we have, restoring the units of frequency,

$$\Phi(\omega_m) = -C \, \sin\left(\gamma \sqrt{\frac{1}{N\gamma} - \frac{1}{4}} \log \frac{\Lambda}{|\omega_m|}\right). \tag{A6}$$

The largest frequency for oscillations is  $\omega_0^* \sim \Lambda e^{-\gamma \sqrt{1/(N\gamma)-1/4}}$ . This scale determines the magnitude of the gap  $\Delta_0(0)$ . For  $N\gamma \ll 1$ ,  $\omega_0^* \sim \Lambda e^{-\frac{\pi}{2}\sqrt{N/\gamma}}$ . The ratio  $\gamma/N$  plays the role of a dimensionless coupling  $\lambda$ , and  $\Delta_0$  can be cast into  $\Delta_0(0) \sim \Lambda e^{-\frac{\pi}{2\sqrt{\lambda}}}$ . This agrees with Refs. [14,73]. Comparing  $\Delta_0(0)$  obtained with and without the cutoff at  $\Lambda$ , we see that the analysis of the  $\gamma$  model without the upper cutoff is valid as long as  $g(1/1.446\gamma)^{1/\gamma} \ll \Lambda e^{-\gamma \sqrt{1/N\gamma-1/4}}$ . At smaller  $\Lambda$ ,  $\Delta_0(0) \sim \Lambda e^{-\gamma \sqrt{1/N\gamma-1/4}}$ . We emphasize that even in this case there are an infinite number of solutions

$$\Delta_n(0) \sim \Lambda e^{-\frac{\pi}{2\sqrt{\lambda}}} e^{-\frac{n\pi}{\sqrt{\lambda}}}.$$
 (A7)

This is valid up to  $n \sim 1/\sqrt{N\gamma}$ . For larger *n*, relevant *y* in Eq. (A4) become larger than one, and the formula for  $\Delta_n(0)$  changes.

At small  $\gamma$  and N = O(1), the ratio  $\Sigma(\omega)/\omega$  is small at  $\omega \sim \omega_0^*$ , both when  $\Lambda$  is finite and when it is set to infinity. In the first case, the ratio is of order  $\sqrt{\gamma}$ , in the second it is of order  $\gamma$ . This implies that for the computations  $\Delta_0$ , the self-energy can be safely neglected. Once self-energy is neglected, one can easily obtain the differential gap equation for the full  $V(\Omega_m)$  in Eq. (A1), without expanding it to first order in  $\gamma$ .

This allows us to study the crossover between the behavior at finite and infinite  $\Lambda$ .

Introducing, as before,  $z = (|\omega_m|/\omega_0)^{\gamma}$  and anticipating that relevant  $\omega_m \gg \omega_0$ , we find that the equation for  $\Delta_{\text{diff}}(z)$  for  $z \gg 1$  and  $V(\Omega_m)$  given by (A1), is the same as when  $\Lambda = \infty$ , i.e.,

$$(\Delta_{\rm diff}(z)z)'' = -\frac{1}{N\gamma} \frac{\Delta_{\rm diff}}{z^2}.$$
 (A8)

However, now  $\Delta_{\text{diff}}(z)$  has to satisfy the boundary condition  $\Delta_{\text{diff}}(\Lambda^*) = 0$ , where  $\Lambda^* = (\Lambda/\omega_0)^{\gamma}$ . The proper solution of (A8) is

$$\Delta_{\text{diff}}(z) \propto \frac{1}{\sqrt{z}} \left[ J_1 \left( \frac{2}{(N\gamma z)^{1/2}} \right) Y_1 \left( \frac{2}{(N\gamma \Lambda^*)^{1/2}} \right) - Y_1 \left( \frac{2}{(N\gamma z)^{1/2}} \right) J_1 \left( \frac{2}{(N\gamma \Lambda^*)^{1/2}} \right) \right].$$
(A9)

In the limit  $N\gamma\Lambda^* \ll 1$ , we use

$$J_1(x) \approx \sqrt{\frac{2}{\pi x}} \cos(x - 3\pi/4),$$
  
$$Y_1(x) \approx \sqrt{\frac{2}{\pi x}} \sin(x - 3\pi/4)$$
(A10)

and obtain

$$\Delta_{\text{diff}}(z) \propto \frac{1}{z^{1/4}} \sin\left(\frac{2}{(N\gamma\Lambda^*)^{1/2} - \frac{2}{(N\gamma z)^{1/2}}}\right).$$
 (A11)

In original variables  $\omega_m$  and  $\Lambda$ , this reduces, to the leading order in  $\gamma$ , to

$$\Delta_{\text{diff}}(\omega_m) \propto \frac{C}{|\omega_m|^{\gamma/4}} \sin\left(\sqrt{\frac{\gamma}{N}}\log\frac{|\omega_m|}{\Lambda}\right).$$
 (A12)

This coincides with (A6), up to subleading terms.

In the opposite limit  $N\gamma \Lambda^* \gg 1$ , we use  $J_1(x \ll 1) \sim x$ ,  $Y_1(x \ll 1) \sim 1/x$ , where  $x = 2/\sqrt{N\gamma \Lambda^*}$ . Keeping only  $Y_1(x)$ , we obtain from (A9) that the dependence on  $\Lambda$  disappears, and

$$\Delta_{\text{diff}}(z) \propto \frac{1}{\sqrt{z}} J_1\left(\frac{2}{(N\gamma z)^{1/2}}\right). \tag{A13}$$

This coincides with Eq. (52).

The same result can be obtained from the RG equations [14,17]. One has to write a set of two RG equations for the two-fermion pairing vertex g and the effective four-fermion pairing interaction u. Both depend on the logarithmic scale  $L_n = \log \Lambda / |\omega_n|$ . To leading order in  $\gamma$ , the equations for the running g(L) and u(L) are

$$g' = \gamma g, \quad u' = u^2 + g.$$
 (A14)

The initial condition is

$$g(0) = \left(\frac{\bar{g}}{\Lambda}\right)^{\gamma} \frac{\gamma}{N}, \quad u(0) = 0.$$
 (A15)

The solution of (A14) is  $g(L) = g(0)e^{\gamma L}$  and

$$u(L) = g^{1/2}(0)e^{\gamma L/2} \frac{J_1\left(\frac{2g^{1/2}(0)}{\gamma}e\gamma L/2\right)Y_1\left(\frac{2g^{1/2}(0)}{\gamma}\right) - Y_1\left(\frac{2g^{1/2}(0)}{\gamma}e\gamma L/2\right)J_1\left(\frac{2g^{1/2}(0)}{\gamma}\right)}{J_0\left(\frac{2g^{1/2}(0)}{\gamma}e\gamma L/2\right)Y_1\left(\frac{2g^{1/2}(0)}{\gamma}\right) - Y_0\left(\frac{2g^{1/2}(0)}{\gamma}e\gamma L/2\right)J_1\left(\frac{2g^{1/2}(0)}{\gamma}\right)}.$$
(A16)

For  $g(0)/\gamma^2 = (\bar{g}/\Lambda)^{\gamma}(1/N\gamma) \gg 1$  (i.e., for small  $\gamma$  and finite  $\Lambda$ ), relevant values of the arguments of Bessel and Neumann functions are large, and using (A10) we find that  $\gamma$  cancels out, and

$$u(L) = g^{1/2}(0) \tan \left[ g^{1/2}(0)L \right].$$
 (A17)

The four-fermion vertex formally diverges at the set of  $\omega_n^* = \Lambda e^{-\pi/[2g^{1/2}(0)]}e^{-n\pi/g^{1/2}(0)}$ . Using  $g(0) \approx \lambda$ , valid when  $\Lambda$  is finite and  $\gamma \to 0$ , and associating the corresponding  $\omega_n^*$  with  $\Delta_n(0)$ , we reproduce (A7).

In the opposite limit  $g(0)/\gamma^2 \ll 1$ , valid when  $\gamma$  is kept finite and  $\Lambda$  is set to be sufficiently large, we again use that at small x,  $Y_1(x) \gg J_1(x)$ . Keeping only  $Y_1(2g_0^{1/2}/\gamma)$  in Eq. (A16), we obtain

$$u(L) = g^{1/2}(0)e^{\gamma L/2} \frac{J_1(\frac{2g^{1/2}(0)}{\gamma}e\gamma L/2)}{J_0(\frac{2g^{1/2}(0)}{\gamma}e\gamma L/2)}.$$
 (A18)

The four-fermion vertex now diverges at the set of zeros of  $J_0(p)$ , where

$$p = \frac{2g^{1/2}(0)}{\gamma} \left(\frac{\Lambda}{|\omega_m|}\right)^{\gamma/2} = \frac{2}{(N\gamma z)^{1/2}},$$
 (A19)

where, as before,  $z = (\omega_0/|\omega_m|)^{\gamma}$  [we used that for small  $\gamma$ ,  $\bar{g}^{\gamma} = \omega_0^{\gamma}(1-\gamma) \approx \omega_0^{\gamma}$ ]. We see that now  $\Lambda$  cancels out, and the condition  $J_0[2/(N\gamma z)^{1/2}] = 0$  gives the same set of  $z_n^*$  as for the case when  $\Lambda$  is infinite.

# APPENDIX B: DIFFERENTIAL GAP EQUATION FOR ARBITRARY y

The derivation of the differential gap equation (43) from the original integral equation is only justified for small  $\gamma$ . In this Appendix we modify the derivation and show that for N =1 the modified gap function  $\Delta_{\text{diff}}(\omega_m)$  shows qualitatively the same behavior as the exact  $\Delta(\omega_m)$  even for  $\gamma \leq 1$ .

Specifically, we add to the right-hand side of (43) the additional contribution from  $\omega' \sim \omega$ , where the interaction is strongly peaked at  $\gamma \rightarrow 1$ . There is some uncertainty with this contribution as we have to specify the range of integration around  $\omega' = \omega$ . We choose this range to be  $|\omega' - \omega| \leq a_{\gamma}\omega$  and keep  $a_{\gamma}$  as a parameter. We assume that for small  $\gamma$ ,  $a_{\gamma} \rightarrow 0$ , but for  $\gamma \leq 1$ ,  $a_{\gamma} = O(1)$ . Adding this contribution to the right-hand side of Eq. (43) we find that it becomes

$$\Phi(z)\frac{d+z}{1+z} = \frac{1-\gamma}{N\gamma} \left[ \int_{z}^{\infty} dy \frac{\Phi(y)}{y(1+y)} + \frac{1}{z} \int_{0}^{z} dy \frac{\Phi(y)}{1+y} \right],$$
(B1)

where  $d = 1 - a_{\gamma}^{1-\gamma}/N$ . Introducing  $\Delta$  instead of  $\Phi$ , rescaling z to  $\bar{z} = z/d$ , and differentiating twice over  $\bar{z}$  we obtain differential gap equation in the same form as in Eq. (47):

$$(\Delta_{\text{diff}}(\bar{z})(1+\bar{z}))'' = -\left(\beta_N^2 + \frac{1}{4}\right) \frac{\Delta_{\text{diff}}(\bar{z})}{\bar{z}^2}, \qquad (B2)$$

once we identify

$$\frac{1-\gamma}{N\gamma - a_{\gamma}^{1-\gamma}} = \beta_N^2 + \frac{1}{4}.$$
 (B3)

Hence, the solution is given by Eq. (53) with  $\overline{z}$  instead of z.

Equation (B3) determines  $a_{\gamma}$ . At small  $\gamma$ ,  $\beta_N^2 + 1/4 \approx 1/(N\gamma)$ , hence, *a* is small and  $\bar{z} \approx z$ . However, for larger  $\gamma$ ,  $a_{\gamma} = O(1)$ , and the rescaling  $z \to \bar{z}$  is essential. Figure 12 shows that it brings  $\Delta_{\text{diff}}(z)$  closer to the exact  $\Delta_{ex}(z)$ .

We note in this regard that for N = 1 and  $\gamma \to 1$ ,  $a_{\gamma}^{1-\gamma}/N \approx 1 + (1-\gamma) \log a_{\gamma}$ , and the right-hand side of (B3) becomes of order one if we choose a = O(1). This is consistent with the fact that  $\beta_{N=1}$  tends to a finite value  $\beta_{N=1} \approx$ 0.79 at  $\gamma \to 1$ . Also, the rescaling factor  $d = 1 - a^{1-\gamma}/N \approx$  $-(1-\gamma) \log a_{\gamma}$ , hence for a = O(1),  $\bar{z} = z/d$  becomes of order  $|\omega_m|/g$ . As the consequence, the modified  $\Delta_{\text{diff}}(\omega_m)$ evolves at a nonsingular  $\omega_m \sim g$ . This is consistent with the behavior of  $\Delta_{\text{ex}}(\omega_m)$  at  $\gamma \to 1$  and N = 1 [see Fig. 12(e)].

# APPENDIX C: EXACT SOLUTION OF THE LINEARIZED GAP EQUATION: THE COMPUTATIONAL DETAILS

In this Appendix we present the full details of the computations, leading to the formula for the exact solution of Eq. (11). The presentation in this Appendix is self-contained in the sense that it does not use specific notations, introduced in the main text.

# 1. Reformulation of Eq. (11)

We write Eq. (11) as

$$E\Phi(\Omega) = \frac{1-\gamma}{2} \int_{-\infty}^{\infty} \frac{\Phi(\omega)d\omega}{|\omega - \Omega|^{\gamma} |\omega|^{1-\gamma}} \frac{1}{1+|\omega|^{\gamma}}$$
(C1)

and use the latter *E* instead of *N* to emphasize that this is a continuous variable.<sup>2</sup>

We introduce a set of functions

$$\Phi_{\beta}(\Omega) = \frac{|\Omega|^{2i\beta+\delta_{\Omega}}}{|\Omega|^{\gamma/2}},$$
(C2)

where  $\beta$  changes from  $-\infty$  to  $+\infty$  and  $\delta_{\Omega} = +0 \operatorname{sign}(1 - |\Omega|)$  is a convergence factor. It is clear that

$$\int \Phi_{\beta}(\omega) \Phi_{\beta}^{*}(\Omega) \frac{d\beta}{2\pi} = \frac{1}{2} |\Omega|^{1-\gamma} \delta(|\Omega| - |\omega|).$$

We now denote

$$a_{\beta} = \frac{1}{2} \int \frac{d\Omega}{|\Omega|^{1-\gamma}} \Phi_{\beta}(\Omega) \Phi(\Omega), \qquad (C3)$$

multiply (C1) by  $\Phi_{\beta}(\Omega)$ , and integrate it over  $\frac{d\Omega}{|\Omega|^{1-\gamma}}$ . We obtain

$$\begin{split} Ea_{\beta} &= \frac{1-\gamma}{2} \frac{1}{2} \int d\omega \frac{\phi(\omega)}{|\omega|^{1-\gamma}} \frac{1}{1+|\omega|^{\gamma}} \int \frac{\Phi_{\beta}(\Omega) d\Omega}{|\Omega|^{1-\gamma} |\Omega-\omega|^{1-\gamma}} \\ &= \frac{\epsilon_{\beta}}{2} \int d\omega \frac{\Phi(\omega) \Phi_{\beta}(\omega)}{|\omega|^{1-\gamma}} \frac{1}{1+|\omega|^{\gamma}}, \end{split}$$

where

$$\epsilon_{\beta} = \frac{1 - \gamma}{2} \frac{|\Gamma(\gamma/2 + 2i\beta)|^2}{\Gamma(\gamma)} \left(1 + \frac{\cosh(2\pi\beta)}{\cos(\pi\gamma/2)}\right). \quad (C4)$$

<sup>2</sup>The method, presented in this Appendix, will also work for a generalized version of Eq. (C1) with  $\frac{1}{1+|\omega|^{\alpha}}$  instead of  $\frac{1}{1+|\omega|^{\gamma}}$ .

From the definition (C3) [we also use the fact that  $\Phi(\omega)$  is taken to be an even function of frequency] we find

$$\int a_{\beta} \Phi_{\beta}^{*}(\omega) \frac{d\beta}{2\pi} = \frac{1}{2} \int \frac{d\Omega}{|\Omega|^{1-\gamma}} \Phi(\Omega) \int \Phi_{\beta}(\Omega) \Phi_{\beta}^{*}(\omega) \frac{d\beta}{(2\pi)}$$
$$= \frac{1}{2} \Phi(\omega).$$

We then have

$$Ea_{\beta} = \epsilon_{\beta} \int \frac{d\beta'}{2\pi} a_{\beta'} \int d\omega \frac{\Phi_{\beta'}^{*}(\omega)\Phi_{\beta}(\omega)}{|\omega|^{1-\gamma}} \frac{1}{1+|\omega|^{\gamma}}.$$

We now compute the integral

$$\int d\omega \frac{\Phi_{\beta'}^*(\omega)\Phi_{\beta}(\omega)}{|\omega|^{1-\gamma}} \frac{1}{1+|\omega|^{\alpha}}$$
$$= 2\int_0^\infty \frac{d\omega}{\omega} \frac{\omega^{2i(\beta-\beta')+\delta_\omega}}{1+\omega^{\gamma}} = \frac{2}{\gamma} \int_{-\infty}^\infty dx \frac{e^{ix\frac{2}{\gamma}(\beta-\beta')+x\delta_x}}{1+e^x}$$

where  $\delta_x = -0 \operatorname{sign}(x)$ . At  $x \to \infty$  the integral perfectly converges with or without the convergence factor. At  $x \to -\infty$  we do need the convergence factor, and we assume that  $\delta_x$  is a small positive number. Evaluating the integral we then obtain

$$\frac{2}{\gamma} \int_{-\infty}^{\infty} dx \frac{e^{ix\frac{z}{\gamma}(\beta-\beta'-i0)}}{1+e^x} = -\frac{2}{\gamma} \frac{i\pi}{\sinh\left(\frac{2\pi}{\gamma}(\beta-\beta'-i0)\right)} \quad (C5)$$

and

$$Ea_{\beta} = -i\epsilon_{\beta} \frac{1}{\gamma} \int_{-\infty}^{\infty} \frac{a_{\beta'} d\beta'}{\sinh\left(\frac{2\pi}{\gamma}(\beta - \beta' - i0)\right)}$$

We now substitute  $2\beta/\gamma = k$ , and use  $a_k$  instead of  $a_\beta$ . Then,

$$Ea_k = -\frac{i}{2}\epsilon_{\gamma k/2} \int_{-\infty}^{\infty} \frac{a_{k'}dk'}{\sinh\left[\pi\left(k - k' - i0\right)\right]}.$$
 (C6)

Introducing the new function  $b_k$  via

$$a_k = b_k \epsilon_{\gamma k/2},\tag{C7}$$

we obtain from (C6) the equation for  $b_k$  in the form

$$Eb_k = \frac{i}{2} \int_{-\infty}^{\infty} \frac{\epsilon_{\gamma k'/2}}{\sinh\left[\pi \left(k' - k + i0\right)\right]} b_{k'} dk'.$$
(C8)

### **2.** Functional $F[\phi]$

For an infinitesimally small  $\Phi(\omega)$ , the free-energy difference between the states with  $\Phi(\omega) = 0$  and  $\Phi(\omega) \neq 0$  is given by

$$\frac{F[\Phi]}{N_0\omega_0} = \frac{1}{2} \int \frac{\Phi_{\omega}^2}{|\omega|^{1-\gamma}(1+|\omega|^{\gamma})} d\omega - \frac{1-\gamma}{4E} \\ \times \int \frac{\Phi_{\omega}\Phi_{\omega'}d\omega\,d\omega'}{|\omega|^{1-\gamma}(1+|\omega|^{\gamma})|\omega-\omega'|^{\gamma}|\omega'|^{1-\gamma}(1+|\omega'|^{\gamma})},$$
(C9)

where we defined  $\Phi(\omega_m) \equiv \Phi_{\omega}$  to shorten the notations. Taking the first variation of this functional we obtain Eq. (C1) This functional defines our space of functions, namely, we must only consider the functions for which  $F[\phi]$  is finite.

#### 3. Normalizability condition

Now, let us take  $\Phi_{\omega}^{E}$  to be the solution of (C1) with  $E \neq 1$ . Then,

$$F\left[\Phi_{\omega}^{\epsilon}\right] = N_0 \bar{g}^2 (1-\gamma)^{-2/\gamma} \frac{1-E}{2} \int_{-\infty}^{\infty} \frac{\Phi_{\omega}^2}{|\omega|^{1-\gamma} (1+|\omega|^{\gamma})} d\omega.$$
(C10)

We now use

$$\Phi_{\omega} = 2 \int a_{\beta} \Phi_{\beta}^*(\omega) \frac{d\beta}{2\pi},$$

where  $\Phi_{\beta}(\omega)$  is defined in Eq. (C2). According to (C5), we have

$$\int \frac{\Phi_{\omega}^2 d\omega}{|\omega|^{1-\gamma} (1+|\omega|^{\gamma})} = -\frac{4i}{\pi\gamma} \int \frac{a_{\beta} a_{\beta'}^* d\beta \, d\beta'}{\sinh\left[\frac{2\pi}{\gamma} (\beta-\beta'-i0)\right]}$$

Substituting into (C10) and using

$$k = 2\beta/\gamma$$
,

we obtain

$$\frac{F[a_k^E]}{N_0\omega_0} = -\gamma(1-E)\frac{i}{2\pi}\int \frac{a_k a_{k'}^* dk \, dk'}{\sinh\left[\pi(k-k'-i0)\right]}.$$
 (C11)

Using (C7), we rewrite (C11) in terms of  $b_k$  as

$$\frac{F[b_k^E]}{N_0\omega_0} = -\gamma(1-E)\frac{i}{\pi}\int \frac{\epsilon_{\gamma k/2}\epsilon_{\gamma k'/2}}{\sinh\left[\pi\left(k-k'-i0\right)\right]}b_k b_{k'}^* dk\,dk'.$$

It can be reexpressed as

$$\frac{F[b_k^E]}{N_0\omega_0} = -\gamma(1-E)\frac{i}{\pi}\int\epsilon_{\gamma k/2}\epsilon_{\gamma k'/2}\left[\frac{1}{\sinh\left[\pi\left(k-k'+i0\right)\right]}\right.\\\left.+2i\delta(k-k')\right]b_k b_{k'}^*dk\,dk'.$$

Comparing to (C8), we finally obtain

$$\frac{F\left[b_{k}^{E}\right]}{N_{0}\bar{g}^{2}(1-\gamma)^{-2/\gamma}\gamma} = -\frac{E(1-E)}{\pi}\int\left[1-\frac{1}{E}\epsilon_{\gamma k'/2}\right] \times \epsilon_{\gamma k'/2}b_{k'}b_{k'}^{*}dk'.$$
(C12)

Equation (C12) defines a norm for our solutions. If we manage to solve Eq. (C8) and find  $b_k$  for a given *E*, we will need to verify whether for these  $b_k$ ,  $F[b_k^E]$  is finite. This will give us the spectrum.

## 4. Solution of Eq. (C8)

We now show that the normalizable solution of Eq. (C8) exists for all  $E < \epsilon_0$ . We obtain  $b_k$  and use them to obtain the pairing vertex  $\Phi(\omega_m)$  and the gap function  $\Delta(\omega_m)$ . For this purpose we need to first find symmetry properties of  $b_k$  in Eq. (C8).

#### a. $b_k vs b_{-k}$

Equation (C1) is real. So, (i) if an eigenfunction is complex, its complex conjugate must also be an eigenfunction with the same eigenvalue. The eigenvalue then is double degenerate. (ii) If an eigenvalue is nondegenerate, then the eigenfunction must be real (more precisely, it may have a trivial  $\omega$ -independent phase.) If the eigenfunction of Eq. (C1) is real, then, according to Eqs. (C2) and (C3), we have

$$a_k = a_{-k}^*.$$

As the function  $\epsilon_{\gamma k/2}$  is real and symmetric under the change  $k \rightarrow -k$  we have

$$b_k = b_{-k}^*. \tag{C13}$$

# b. Periodicity

Equation (C8) has an obvious, but important, property. If we change  $k \rightarrow k + i$  the right-hand side will turn into itself, but with the opposite sign. So, we must have

$$b_{k+in} = (-1)^n b_k.$$
 (C14)

It means, in particular, that a solution of (C8) is periodic with the period 2i.

#### c. Analytical properties

Let us consider Eq. (C8) for arbitrary complex k, keeping k' to be real. This way we define the analytical function  $b_k$ . The integral perfectly converges as long as  $\text{Im}k \neq in$  for integer n. So, the function  $b_k$  can only have singularities on the lines Imk = in. It cannot have poles on these lines, as in this case the integral in the right-hand side of (C8) would not converge.

Let us return to Eq. (C8) and use it to define an analytic function  $B_k$ :

$$B_k = \frac{i}{2E} \int_{-\infty}^{\infty} \frac{\epsilon_{\gamma k'/2}}{\sinh \left[\pi (k'-k)\right]} b_{k'} dk'$$
$$= \frac{i}{2\pi E} \sum_{n=-\infty}^{\infty} (-1)^n \int_{-\infty}^{\infty} \frac{\epsilon_{\gamma k'/2} b_{k'}}{k'-k+in} dk'$$

(we assume  $E \neq 0$ ). The function  $b_k$  is related to  $B_k$  as

$$b_k = \lim_{\delta \to 0} B_{k-i\delta}, \quad \text{Im}k = 0, \quad \delta > 0.$$
(C15)

Using the fact that both  $\epsilon_{\gamma k/2}$  and  $1/\sinh(\pi k)$  are analytic in narrow strip below the real axis, we can express  $B_k$  as

$$B_k = \frac{i}{2E} \int_{-\infty-i\tilde{\delta}}^{\infty-i\tilde{\delta}} \frac{\epsilon_{\gamma k'/2}}{\sinh\left[\pi(k'-k)\right]} B_{k'} dk', \quad \tilde{\delta} > 0.$$
(C16)

This formula must be understood in the sense that to compute  $b_k = \lim_{\delta \to 0} B_{k-i\delta}$ , for real *k*, we must first take the limit  $\tilde{\delta} \to 0$  and then  $\delta \to 0$ , in which case *k* in the right-hand side of (C16) is below the integration path. The function  $B_k$  obviously satisfies the periodicity condition (C14). It is also clear from (C16) that  $B_k$  has branch cuts along the lines Imk = in.

Let us now compute  $\lim_{\delta \to 0} (B_{k+in+i\delta} - B_{k+in-i\delta})$ , for real k and positive  $\delta$ . By Sokhotski-Plemelj theorem,  $\frac{1}{x-x_0-i0} = P \frac{1}{x-x_0} + i\pi \delta(x-x_0)$ . Hence,

$$\lim_{\delta \to 0} (B_{k+in+i\delta} - B_{k+in-i\delta})$$
  
=  $-(-1)^n \frac{1}{E} \epsilon_{\lambda k/2} b_k = -\frac{1}{E} \epsilon_{\lambda k/2} B_{k+in-i\delta}, \quad \delta \to 0, \quad \delta > 0$ 

which means that

$$B_{k+in+i0} = \left(1 - \frac{1}{E}\epsilon_{\gamma k/2}\right)B_{k+in-i0}.$$
 (C17)

This equation is a particular case of the Riemann-Hilbert problem.

Here, one has to distinguish between the following two cases:

(i)  $E > \max \epsilon_{\gamma k/2} = \epsilon_0$ : in this case the expression  $1 - \frac{1}{E} \epsilon_{\gamma k/2}$  does not change sign.

(ii)  $0 < E < \epsilon_0$ : in this case the expression  $1 - \frac{1}{E} \epsilon_{\gamma k/2}$  changes sign twice at  $k = \pm k_E$ , where

$$\epsilon_{\gamma k_E/2} = E. \tag{C18}$$

We consider the two cases separately.

*Case (i).*  $E > \epsilon_0$ : In this case we take the logarithms of the both sides of Eq. (C17), we then get

$$\log B_{k+in+i0} - \log B_{k+in-i0} = \log \left(1 - \frac{1}{E} \epsilon_{\gamma k/2}\right).$$

So, the analytic function  $\Phi_k = \log B_k$  has branch cuts along the lines Imk = in with the jumps given by above formula. According to Sokhotski-Plemelj theorem we have

$$\log B_k = \frac{1}{2\pi i} \sum_{n=-\infty}^{\infty} \int_{-\infty}^{\infty} \frac{\log\left(1 - \frac{1}{E}\epsilon_{\gamma k'/2}\right)}{k' - k + in} dk' + F_k$$
$$= \frac{1}{2i} \int_{-\infty}^{\infty} \log\left(1 - \frac{1}{E}\epsilon_{\gamma k'/2}\right) \coth[\pi (k' - k)] dk' + F_k,$$

where the analytic function  $F_k$  has no branch cuts or singularities, so it must be a polynomial. In order to find this polynomial, we notice that the first term in the right-hand side does not change if we shift  $k \rightarrow k + in$ , while  $B_k$  must acquire a factor of  $(-1)^n$  and  $\log B_k$  must acquire extra  $\pm i\pi n$ . There are only two polynomials that have this property, they are are  $\pm \pi k$ , so there are two solutions:

$$B_k = e^{\pm \pi k + \frac{1}{2i} \int_{-\infty}^{\infty} \log\left(1 - \frac{1}{E} \epsilon_{\gamma k'/2}\right) \coth[\pi (k'-k)] dk'}.$$
 (C19)

Correspondingly, there are two solutions for  $b_k$ :

$$b_{k}^{\pm} = B_{k-i0} = e^{\pm \pi k + \frac{1}{2i} \int_{-\infty}^{\infty} \log\left(1 - \frac{1}{E} \epsilon_{\gamma k'/2}\right) \coth[\pi(k' - k + i0)] dk'},$$
(C20)

where k is now real.

Notice that we have two solutions. One can check that they are indeed complex conjugated. Now, we need to check whether the solutions (C20) are normalizable. From (C20) we find

$$b_k b_k^* = e^{\pm 2\pi k} e^{\frac{1}{2i} \int_{-\infty}^{\infty} \log(1 - \frac{1}{E} \epsilon_{\gamma k'/2}) \{ \operatorname{coth}[\pi(k' - k + i0)] - \operatorname{coth}[\pi(k' - k - i0)] \} dk'}$$
  
=  $e^{\pm 2\pi k} e^{-\frac{1}{2i} \int_{-\infty}^{\infty} \log(1 - \frac{1}{E} \epsilon_{\gamma k'/2}) 2i\delta(k - k') dk'} = \cosh^2(\pi k) e^{-\log(1 - \frac{1}{E} \epsilon_{\gamma k/2})}.$ 

As the consequence,

$$\frac{F[b_k^E]}{N_0\bar{g}^2(1-\gamma)^{-2/\gamma}\gamma} = -\frac{E(1-E)}{\pi}\int e^{2\pi k}\epsilon_{\gamma k/2}dk.$$

The last integral diverges. The divergence implies that the solutions with  $E > \epsilon_0$  are not normalizable and are not the part of the spectrum.

*Case (ii)*.  $0 < E < \epsilon_0$ : In this case there are two points (C18) where the right-hand of (C17) changes sign. To avoid ambiguity of log(-1), we first rewrite (C17) as

$$B_{k+in+i0} = \Theta(k-k_E)\Theta(k+k_E) \left| 1 - \frac{1}{E} \epsilon_{\gamma k/2} \right| B_{k+in-i0}$$

where  $\Theta$  is the step function [ $\Theta(x < 0) = -1$  and  $\Theta(x > 0) = 1$ ]. Now, we take the log of both sides:

$$\log B_{k+in+i0} - \log B_{k+in-i0} = \log \left| 1 - \frac{1}{E} \epsilon_{\gamma k/2} \right| + \log \Theta(k - k_E) + \log \Theta(k + k_E).$$

The log  $\Theta(k - k_E)$  is zero for  $k > k_E$  and either  $+i\pi$  or  $-i\pi$  for  $k < k_E$ . Let us introduce two yet unknown functions  $\chi_n$  and  $\xi_n$ , which have values  $\pm 1$ , depending on n, and rewrite the equation above as

$$\log B_{k+in+i0} - \log B_{k+in-i0} = \log \left| 1 - \frac{1}{E} \epsilon_{\gamma k/2} \right| + i\pi \chi_n \frac{1}{2} [1 - \Theta(k - k_E)] + i\pi \xi_n \frac{1}{2} [1 - \Theta(k + k_E)]$$

The Sokhotski-Plemelj theorem now gives

$$\log B_{k} = \frac{1}{2\pi i} \sum_{n=-\infty}^{\infty} \int_{-\infty}^{\infty} \frac{\log \left|1 - \frac{1}{E} \epsilon_{\gamma k'/2}\right|}{k' - k + in} dk' + \frac{1}{2} \sum_{n=-\infty}^{\infty} \chi_{n} \int_{-\infty}^{\infty} \frac{\frac{1}{2} [1 - \Theta(k - k_{E})]}{k' - k + in} dk' + \frac{1}{2} \sum_{n=-\infty}^{\infty} \xi_{n} \int_{-\infty}^{\infty} \frac{\frac{1}{2} [1 - \Theta(k + k_{E})]}{k' - k + in} dk' + F_{k}.$$

This can be reexpressed as

$$\log B_{k} = \frac{1}{2i} \int_{-\infty}^{\infty} \log \left| 1 - \frac{1}{E} \epsilon_{\gamma k'/2} \right| \coth[\pi (k' - k)] dk' + \frac{1}{2} \int_{-\infty}^{k_{E}} \sum_{n = -\infty}^{\infty} \frac{\chi_{n}}{k' - k + in} dk' + \frac{1}{2} \int_{-\infty}^{-k_{E}} \sum_{n = -\infty}^{\infty} \frac{\xi_{n}}{k' - k + in} dk' + F_{k}.$$

According to (C14), the difference  $\log B_{k+im} - \log B_k$  should be equal to  $i\pi m$  independent on k. For  $B_k$  from (C21) we have

$$\log B_{k+im} - \log B_k = \frac{1}{2} \int_{-\infty}^{k_E} \sum_{n=-\infty}^{\infty} \frac{\chi_{n+m} - \chi_n}{k' - k + in} dk' + \frac{1}{2} \int_{-\infty}^{-k_E} \sum_{n=-\infty}^{\infty} \frac{\xi_{n+m} - \xi_n}{k' - k + in} dk' + F_{k+im} - F_k$$

In order for this expression to be independent of k, the functions  $\chi_n$  and  $\xi_n$  must be independent of n and  $F_k$  must be equal to  $\pm \pi k + c$ , where c is an arbitrary complex constant. We then denote  $\chi_n = \chi$ ,  $\xi_n = \xi$ , where  $\chi = \pm 1$ ,  $\xi = \pm 1$ . The signs of  $\chi$  and  $\xi$  can be chosen independently. After this, we obtain

$$\log B_{k} = \frac{1}{2i} \int_{-\infty}^{\infty} \log \left| 1 - \frac{1}{E} \epsilon_{\gamma k'/2} \right| \coth \left[ \pi (k' - k) \right] dk' + \chi \frac{\pi}{2} \int_{-\infty}^{k_{E}} \coth[\pi (k' - k)] dk' + \xi \frac{\pi}{2} \int_{-\infty}^{-k_{E}} \coth[\pi (k' - k)] dk' \pm \pi k + c.$$
(C21)

Now, we can find the function  $b_k$ :

$$b_{k} = B_{k-i0} = \exp\left[\frac{1}{2i} \int_{-\infty}^{\infty} \log\left|1 - \frac{1}{E} \epsilon_{\gamma k'/2}\right| \coth[\pi (k' - k + i0)] dk' \pm \pi k + c + \chi \frac{\pi}{2} \int_{-\infty}^{k_{E}} \{\coth[\pi (k' - k + i0)] - \coth[\pi (k' + i0)]\} dk' + \xi \frac{\pi}{2} \int_{-\infty}^{-k_{E}} \{\coth[\pi (k' - k + i0)] - \coth(\pi k')\} dk'\right]$$
(C22)

(we redefined the constant c).

Now, we need to check if the solution (C22) is normalizable. For this, we need to verify whether the integral in Eq. (C12) converges at large |k|. This requires us to compute the real part of the exponent in Eq. (C22) for  $|k| \gg k_E$ . We do this on term

by term basis:

$$\begin{aligned} &\operatorname{Re}\left[\frac{1}{2i}\int_{-\infty}^{\infty}\log\left|1-\frac{1}{E}\epsilon_{\gamma k'/2}\right|\operatorname{coth}[\pi(k'-k+i0)]dk'\pm\pi k+c\right] = -\frac{1}{2}\log\left|1-\frac{1}{E}\epsilon_{\gamma k/2}\right|\pm\pi k+\operatorname{Re}c \\ &\operatorname{Re}\left[\chi\frac{\pi}{2}\int_{-\infty}^{k_{E}}\left\{\operatorname{coth}[\pi(k'-k+i0)]-\operatorname{coth}[\pi(k'+i0)]\right\}dk'\right] \\ &=\frac{\chi}{2}\log\left|\frac{\sinh[\pi(k'-k)]}{\sinh(\pi k')}\right|_{-\infty}^{k_{E}} = \frac{\chi}{2}\log\left|\frac{\sinh[\pi(k_{E}-k)]}{\sinh(\pi k_{E})}\right| - \chi\frac{\pi}{2}k \to \chi\frac{\pi}{2}(|k|-k) \quad \text{for } |k| \to \infty, \end{aligned}$$
$$\begin{aligned} &\operatorname{Re}\left[\xi\frac{\pi}{2}\int_{-\infty}^{-k_{E}}\left\{\operatorname{coth}[\pi(k'-k+i0)]-\operatorname{coth}(\pi k')\right\}dk'\right] \\ &=\frac{\xi}{2}\log\left|\frac{\sinh[\pi(k'-k)]}{\sinh(\pi k')}\right|_{-\infty}^{-k_{E}} = \frac{\xi}{2}\log\left|\frac{\sinh[\pi(k_{E}+k)]}{\sinh(\pi k_{E})}\right| - \xi\frac{\pi}{2}k \to \xi\frac{\pi}{2}(|k|-k) \quad \text{for } |k| \to \infty. \end{aligned}$$

Combining, we find that the real part of the exponent in Eq. (C22) is

$$-\frac{1}{2}\log\left|1-\frac{1}{E}\epsilon_{\gamma k/2}\right| \pm \pi k + \pi \frac{\chi+\xi}{2}(|k|-k).$$

We see that if we chose  $\chi = \xi = -1$ , and the minus sign for the term  $\pm \pi k$ , we get

$$-\frac{1}{2}\log\left|1-\frac{1}{E}\epsilon_{\gamma k/2}\right|-\pi|k|.$$

This guarantees the convergence of the integral in Eq. (C12).

With this choice of the constants, the function  $b_k$  becomes

$$b_{k} = \exp\left[\frac{1}{2i}\int_{-\infty}^{\infty}\log\left|1 - \frac{1}{E}\epsilon_{\gamma k'/2}\right| \coth[\pi(k' - k + i0)]dk' - \pi k - \frac{\pi}{2}\int_{-k_{E}}^{k_{E}} \{\coth[\pi(k' - k + i0)] - \coth[\pi(k' + i0)]\}dk' - \pi \int_{-\infty}^{-k_{E}} \{\coth[\pi(k' - k + i0)] - \coth(\pi k')\}dk'\right],$$
(C23)

where, we remind,  $k_E$  is defined in Eq. (C18).

Notice the following: (i) The normalizability of  $b_k$  is due to the  $e^{-\pi |k|}$  asymptotic. This absolute value |k| comes from the contribution from the branch cut of the log. This holds only for  $E < \epsilon_0$ . (ii) There exists only one normalizable solution. This means that this  $b_k$  must satisfy the condition (C13). One can check explicitly that it is indeed so.

## 5. Pairing vertex $\Phi(\omega_m)$

We now use  $b_k$  from Eq. (C23) to compute the function  $\Phi(\omega_m)$ . We recall that  $\Phi(\omega_m) \equiv \Phi(\omega)$  is expressed via  $b_k$  as

$$\Phi(\omega) = 2 \int_{-\infty}^{\infty} a_{\beta} \Phi_{\beta}^{*}(\omega) \frac{d\beta}{2\pi} = \frac{\gamma}{2\pi} \int_{-\infty}^{\infty} b_{k} \epsilon_{\gamma k/2} \Phi_{\gamma k/2}^{*}(\omega) dk.$$
(C24)

We show that this expression is equivalent to

$$\Phi(\omega) = \frac{1+|\omega|^{\gamma}}{|\omega|^{\gamma/2}} f(\log|\omega|^{\gamma}), \tag{C25}$$

where

$$f(x) = \int_{-\infty}^{\infty} b_k e^{-ikx} dk.$$
 (C26)

To prove this, we employ the following trick: use

$$\epsilon_{\beta} \Phi_{\beta}(\omega) = \frac{2}{1-\gamma} \int_{-\infty}^{\infty} \frac{\Phi_{\beta}(\Omega) d\Omega}{|\Omega|^{1-\gamma} |\Omega - \omega|^{\gamma}}$$

and write

$$\Phi(\omega) = \frac{1}{\pi} \frac{\gamma}{1-\gamma} \int_{-\infty}^{\infty} \frac{d\Omega}{|\Omega|^{1-\gamma} |\Omega-\omega|^{\gamma}} \int b_k \Phi_{\gamma k/2}^*(\Omega) dk$$

or

$$\Phi(\omega) = \frac{1}{\pi} \frac{\gamma}{1-\gamma} \int_{-\infty}^{\infty} \frac{d\Omega}{|\Omega|^{1-\gamma/2} |\Omega-\omega|^{\gamma}} f(\log(|\Omega|^{\gamma})), \quad \text{where} \quad f(x) = \int_{-\infty}^{\infty} b_k e^{-ikx} dk.$$

Comparing this expression with Eq. (C1), we recover (C25). Notice that this proof does not use an explicit form of the function  $b_k$ . It does, however, explicitly uses the fact that  $\Phi(\omega)$  is the solution of (67).<sup>3</sup>

The gap function  $\Delta(\omega)$  is expressed as

$$\Delta(\omega) = |\omega|^{\gamma/2} f(\log |\omega|^{\gamma}). \tag{C27}$$

#### **6.** Summary: The steps to obtain the pairing vertex $\Phi(\Omega)$ and the gap function $\Delta(\omega)$ for $E < \epsilon_0$

The strategy is the following:

(1) Evaluate the integral

$$I(k) = \frac{1}{2} \int_{-\infty}^{\infty} \log \left| 1 - \frac{1}{E} \epsilon_{\gamma k'/2} \right| \tanh[\pi (k' - k)] dk'.$$
(C28)

Notice, that it is real and antisymmetric.

(2) Construct the function

$$b_k = \frac{\sinh(\pi k_E)e^{-iI(k)}}{\sqrt{\cosh[\pi(k-k_E)]\cosh[\pi(k+k_E)]}}.$$
(C29)

(3) Compute

$$f(x) = \int_{-\infty}^{\infty} b_k e^{-ikx} dk.$$
 (C30)

(4) The exact solutions of the linearized equations for the pairing vertex and the gap function are

$$\Phi_{\text{ex}}(\omega_m) = (1 + |\omega|^{-\gamma}) f(\log |\Omega|^{\gamma}), \quad \Delta_{\text{ex}}(\omega_m) = |\omega|^{\gamma/2} f(\log |\Omega|^{\gamma}).$$
(C31)

## APPENDIX D: ASYMPTOTIC EXPANSION AT SMALL AND LARGE FREQUENCIES

To understand the structure of  $\Delta_{ex}(\omega_m)$  it is instructive to expand it at small and large  $\omega_m$ . One can do this in two ways: expand in the formulas for the exact solution, or perturbatively expand the gap equation (10) order by order in  $\omega_m$  or  $1/\omega_m$ .

For shortness, we present here the details of the direct perturbative expansion. The point of departure for the expansion in  $\omega_m$  is the solution of (10) without the last term  $1/(1 + |\bar{\omega}'_m|^{\gamma})$ :  $\Phi(\omega_m) = \text{Re}\Phi_0(\omega_m)$ , where

$$\Phi_0(\omega_m) = \frac{C_0^<}{\sqrt{|\bar{\omega}_m|^{\gamma}}} e^{i\phi + \beta_N \log |\bar{\omega}_m|^{\gamma}}$$
(D1)

and, we remind,  $\bar{\omega}_m = \omega_m/\omega_0$  and  $\beta_N$  is the solution of  $\epsilon(\beta) = N$ , where  $\epsilon(\beta)$  is given by (38).

Let us expand the right-hand side of (10) in  $\omega_m$  and express  $\Phi(\omega_m)$  as  $\operatorname{Re}[\Phi_0(\omega_m) + \delta\Phi(\omega_m)]$ . The equation for  $\delta\Phi(\omega_m)$  is  $\delta\Phi(\omega_m) - \frac{1-\gamma}{2N} \int d\omega'_m \frac{\delta\Phi(\omega'_m)}{|\omega'_m|^{1-\gamma}|\omega_m - \omega'_m|^{\gamma}} = -\frac{1-\gamma}{2N\omega_0^{\gamma}} \int d\omega'_m \frac{\Phi_0(\omega'_m)}{|\omega'_m|^{1-2\gamma}} \left(\frac{1}{|\omega_m - \omega'_m|^{\gamma}} - \frac{1}{|\omega'_m|^{\gamma}}\right) + \frac{1-\gamma}{2N\omega_0^{\gamma}} \int d\omega'_m \frac{\Phi_0(\omega'_m)}{|\omega'_m|^{1-\gamma}}.$ (D2)

There are two types of terms in the right-hand side of (D2). The first term is local in the sense that the integral over  $\omega'_m$  is ultraviolet and infrared convergent and is determined by  $\omega'_m$  comparable to  $\omega_m$ . Evaluating this term, we obtain

$$-\frac{1-\gamma}{2\omega_0^{\gamma}N}\int d\omega_m' \frac{\Phi_0(\omega_m')}{|\omega_m'|^{1-2\gamma}} \left(\frac{1}{|\omega_m-\omega_m'|^{\gamma}}-\frac{1}{|\omega_m'|^{\gamma}}\right) = -|\bar{\omega}|^{\gamma}\Phi_0(\omega_m)I_1,$$
(D3)

where

$$I_{1} = \frac{1-\gamma}{2N} \int_{-\infty}^{\infty} dy \, y^{(3\gamma/2-1+i\beta_{N}\gamma)} \left(\frac{1}{|1-y|^{\gamma}} - \frac{1}{|y|^{\gamma}}\right) = \frac{1-\gamma}{2N} \frac{\Gamma(3\gamma/2+i\beta_{N}\gamma)\Gamma(-\gamma/2-i\beta_{N}\gamma)}{\Gamma(\gamma)} + \frac{\Gamma(1-\gamma)\frac{1-\gamma}{2N} \left(\frac{\Gamma(3\gamma/2+i\beta_{N}\gamma)}{\Gamma(1+\gamma/2+i\beta_{N}\gamma)} + \frac{\Gamma(-\gamma/2-i\beta_{N}\gamma)}{\Gamma(1-3\gamma/2-i\beta_{N}\gamma)}\right)$$
(D4)

and the integration variable  $y = \omega'_m / \omega_m$ .

<sup>3</sup>There is another way to prove (C26) which does not use the fact that  $\Phi(\omega)$  is a solution of (67), instead it uses the analytical properties of the function  $B_k$ .

#### 1. Local corrections

Let us momentarily keep only the local term in the right-hand side of (D2). We label the corresponding portion of  $\delta \Phi(\omega_m)$  as  $\delta \Phi_L(\omega_m)$ . The functional form of  $\delta \Phi_L(\omega_m)$  is determined by (D3):  $\delta \Phi_L(\omega_m) = |\bar{\omega}|^{\gamma} \Phi_0(\omega_m)C_1$ . Substituting into (D2), we find the relation between  $C_1$  and  $I_1$ :

$$C_1^{<} = C_0^{<} \left( \frac{I_1}{I_1 - 1} \right). \tag{D5}$$

This analysis can be straightforwardly extended to higher orders in the expansion in  $|\bar{\omega}|^{\gamma}$ . At each other we extract infrared convergent contribution in the source term by subtracting from  $1/|\omega'_m - \omega_m|^{\gamma}$  a proper number of terms $(1/|\omega'_m|^{\gamma}, \omega_m^2/|\omega'_m|^{\gamma+2},$  etc.) to make the remaining integral over  $\omega'_m$  ultraviolet convergent, and solve for  $\delta \Phi_L(\omega_m)$  induced by such source terms. The result is

$$\delta \Phi_L(z) = \Phi_0(z) \sum_{n=1}^{\infty} C_n^< z^n, \tag{D6}$$

where, we remind,  $z = |\bar{\omega}_m|^{\gamma}$ , and

$$C_n^{<} = C_0^{<} \left[ I_n \prod_{m=1}^n \frac{1}{I_m - 1} \right], \tag{D7}$$

where

$$I_{m} = \frac{1-\gamma}{2N} \mathcal{Q}(m,\gamma,\beta_{N}),$$

$$\mathcal{Q}(m,\gamma,\beta_{N}) = \frac{\Gamma[(m+1/2)\gamma + i\beta_{N}\gamma]\Gamma[(1/2-m)\gamma - i\beta_{N}\gamma]}{\Gamma(\gamma)}$$

$$+ \Gamma(1-\gamma) \left(\frac{\Gamma[(m+1/2)\gamma + i\beta_{N}\gamma]}{\Gamma[1-(1/2-m)\gamma + i\beta_{N}\gamma]} + \frac{\Gamma[(1/2-m)\gamma - i\beta_{N}\gamma]}{\Gamma[1-(m+1/2)\gamma - i\beta_{N}\gamma]}\right).$$
(D8)

Note than  $C_n^<$  are complex numbers. Combining  $\Delta \Phi_L$  and  $\Phi_0$ , we obtain the total local contribution to the pairing vertex  $\Phi_L = \text{Re} [\Phi_0 + \delta \Phi_L]$  as

$$\Phi_L(z) = \operatorname{Re}\left[e^{i(\beta_N \log z + \phi)} \sum_{n=0}^{\infty} C_n^{<} z^{n-1/2}\right].$$
(D9)

Expressing (D9) as the equation for the local contribution to the gap function  $\Delta_L(z) = z\Phi_L(z)/(1+z)$ , we obtain the first term in Eq. (75).

At small  $\gamma$  and N = O(1),  $\beta_N \approx \frac{1}{\sqrt{N\gamma}}(1 - N\gamma/8) \gg 1$ . Evaluating  $I_n$  in two orders in  $1/\beta_N$ , we find a simple expression

$$I_n = 1 + 2i\frac{n}{\beta_N} - 3\left(\frac{n}{\beta_N}\right)^2.$$
 (D10)

Substituting this  $I_n$  into (D7) and then into (D6), using the relation between  $\Phi$  and  $\Delta$ , and exponentiating the series to order  $z^3$ , we obtain, up to corrections of order  $z/\beta_N^2$ ,

$$\Delta_L(z) = C_0^{<} \frac{z^{1/2}}{(1+z)^{3/4}} \cos\left[\phi + \beta_N Q_1(z)\right], \qquad (D11)$$

where  $Q_1(z) = \log z - z/2 + 3z^2/16 + \cdots$ . Equation (D11) and the expansion of  $Q_1(z)$  coincide with those for  $\Delta_{\text{diff}}(z)$ , Eqs. (58) and (59). This explicitly confirms that for  $\gamma \ll 1$ ,  $\Delta(\omega_m) \approx \Delta_L(\omega_m) \approx \Delta_{\text{diff}}(\omega_m)$ .

## 2. Nonlocal corrections

We now look at the other terms, which we had to add and subtract at each order to make local contributions ultraviolet convergent. At the leading order in the expansion the additional term in the right-hand side of Eq. (D2) is

$$\frac{1-\gamma}{2N\omega_0^{\gamma}} \int d\omega'_m \frac{\Phi_0(\omega'_m)}{|\omega'_m|^{1-\gamma}} = \frac{1-\gamma}{N\gamma} C_0^{<} e^{i\phi} \int_0^\infty dx \, x^{i\beta_N - 1/2}.$$
(D12)

This integral is ultraviolet divergent, but the divergence is fictitious because the actual  $\Phi(\omega_m)$  must drop as  $1/|\omega_m|^{\gamma}$  at large  $|\omega_m|$ . Accordingly, we cut ultraviolet divergencies at some  $|\omega_m| = \omega_{\text{max}}$ , which, we argue below, is roughly where x' changes sign. The separation into local and nonlocal terms obviously holds only for  $|\omega_m| < \omega_{\text{max}}$ . The nonlocal term (D12) induces another set of corrections to the bare  $\Phi(\omega_m) = \text{Re}\Phi_0(\omega_m)$ . We label the corresponding nonlocal part of the full  $\Phi(\omega_m)$  as  $\Phi_{nL}(\omega_m)$ . By construction,  $\Phi_{nL}(\omega_m)$  is real. Substituting (D12) into (D2), adding the complex-conjugated term, and adding and subtracting  $1/|\omega'_m|^{\gamma}$  to/from  $1/|\omega_m - \omega'_m|^{\gamma}$ , we obtain the equation for  $\Phi_{nL}(\omega_m)$  in the form

$$\Phi_{nL}(\omega_m) - \frac{1-\gamma}{2N} \int d\omega'_m \frac{\Phi_{nL}(\omega'_m)}{|\omega'_m|^{1-\gamma}} \left(\frac{1}{|\omega_m - \omega'_m|^{\gamma}} - \frac{1}{|\omega'_m|^{\gamma}}\right)$$
  
= K, (D13)

where

$$K = \frac{1 - \gamma}{N} \int_0^{\omega_{\text{max}}} d\omega_m \left(\frac{\Phi_{nL}(\omega_m)}{|\omega_m|^{\gamma}} - \frac{\text{Re}\Phi_0(\omega_m)}{\omega_0^{\gamma}}\right). \quad (D14)$$

We first notice that Eq. (D13) without the *K* term does have the solution  $\Phi_{nL}(\omega_m) = E_{0,0}^{<} |\omega_m|^{(b_0 - \gamma/2)}$ , where  $b_0$  is the solution of  $\epsilon_{b_0/\gamma} = N$  in the interval  $[\gamma/2, \gamma/2 + 2]$ . For  $b_0$ within this range, the integral in the left-hand side of (D13) does not diverge in the infrared and ultraviolet limits. To satisfy (D13) one needs to satisfy also the condition K = 0. Substituting our trial solution for  $\Phi_{nL}(\omega_m)$  and  $\text{Re}\Phi_0(\omega_m)$  into (D14), we find that the condition K = 0 is satisfied for some particular ratio  $E_{0,0}^{<}/C_0^{<}$ .

This analysis can be extended to higher orders in the perturbation theory. The nonlocal terms in the righthand side of the equation for the pairing vertex appear in the form  $A_{10} + A_{11}|\bar{\omega}_m|^{\gamma} + A_{12}\bar{\omega}_m^{2\gamma} + \cdots + A_{20}\bar{\omega}_m^2 + A_{21}|\bar{\omega}_m|^{2+\gamma} + A_{22}|\bar{\omega}_m|^{2+2\gamma} + \cdots + A_{30}\bar{\omega}_m^4 + \cdots$ , where all  $A_{ij}$  contain  $C_0^{<}$  as the overall factor. To cancel all these nonlocal terms, we choose  $\Phi_{nL}(\omega_m)$  in the form

$$\Phi_{nL}(\omega_m) = \frac{1}{|\bar{\omega}|^{\gamma/2}} \sum_{n,m=0}^{\infty} D_{n,m} |\bar{\omega}|^{b_m + \gamma n},$$
 (D15)

where  $\gamma/2 + 2m < b_m < \gamma/2 + 2(m+1)$ . The terms with m > 0 are solutions of the same equation (D14), but with

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the proper number of terms subtracted from  $1/|\omega_m - \omega'_m|^{\gamma}$ and added to the *K* term in the right-hand side, to make the integral over  $\omega'_m$  in the left-hand side ultraviolet convergent. We see from Fig. 13 that there is one  $b_n$  in each interval that satisfies (D14). Substituting this form into the equation for the pairing vertex and collecting nonlocal terms, we find a set of coupled algebraic equations for  $D_{n,m}$  with  $A_{ij}$  playing the role of source terms. The precise forms of these equations cannot be obtained within perturbative expansion in  $\omega_m$ , and we just have to assume that the solution  $D_{n,m}$  exists. Reexpressing (D15) as the equation for  $\Delta_{nL}$  instead of  $\Phi_{nL}$ , we reproduce  $D_{n,m}^{\zeta}$  series in Eq. (75).

Combining (D9) and (D15) we see that the right-hand side of Eq. (75) is the sum of local and nonlocal contributions

$$\Delta(z) = \Delta_L(z) + \Delta_{nL}(z).$$
(D16)

At the largest  $\omega_m$  one can expand in 1/z and use  $\Delta_0(z) = C_0^>/z$  as the zero-order solution. The expansion is straightforward and yields Eq. (76).

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