Excitons in Cu₂O: From quantum dots to bulk crystals and additional boundary conditions for Rydberg exciton-polaritons

David Ziemkiewicz[®], Karol Karpiński, Gerard Czajkowski, and Sylwia Zielińska-Raczyńska Institute of Mathematics and Physics, UTP University of Science and Technology, Aleje Professor S. Kaliskiego 7, 85-789 Bydgoszcz, Poland

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We propose schemes for calculation of optical functions of a semiconductor with Rydberg excitons for a wide interval of dimensions. We have started with a zero-dimensional structure (quantum dot), then going to one-dimensional (quantum wire), two-dimensional (quantum wells and wide quantum wells), and finally three-dimensional bulk crystals; our analytical findings are illustrated numerically, showing an agreement with available experimental data. Calculations including exciton-polaritons are performed; the case of a large number of polariton branches is discussed, and obtained theoretical absorption spectra show good agreement with experimental data.

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I. INTRODUCTION

Since 2014 the Rydberg excitons (REs) in cuprous oxide, first observed by Kazimierczuk *et al.* [1], have been the subject of extensive research. Unusual properties of REs [2,3] manifested in their interaction with external fields below [4–7] and above the gap energy [8] in linear and nonlinear [9,10] regimes have been studied, both experimentally [1,11,12] and theoretically [13–15], the list being far from complete.

In recent years, there has been a dedicated effort to describe the spectroscopic and optical properties of REs, and several methods have been applied. Calculations based on group theory have been used to obtain the dependence of the spectra on the geometry of external fields [6,11] for REs up to n =5, while application of the mesoscopic real density-matrix approach (RDMA) has turned out to be fruitful for description of the optical function of semiconductor crystals including REs for the case of indirect interband transitions, as it was shown in the series of papers by Zielińska-Raczyńska et al. [13–15]. This approach has turned out to be very flexible and general; it allows one to obtain detailed description of RE resonances in various external field configurations and for all excitonic states. This, in turn, provides data necessary for potential implementations of REs such as high power excitonic masers [16] and tunable electromodulators [17].

The majority of papers on REs in Cu_2O considered REs in bulk crystals or in plane-parallel slabs with dimensions much greater than the incident wavelength and the effective Bohr radius. However, cuprous oxide nanostructures have recently received attention [18]. It seems that quantum-confined structures with REs may be of interest both to research scientists who would be able to explore uncharted areas of fundamental physics of REs in semiconductors in confined geometry and

Quantum size effects become important when the thickness of the layer L becomes comparable with the de Broglie wavelength of the electrons or holes. The structures with quantumconfinement effects include zero-dimensional quantum dots (QDs), one-dimensional (1D) quantum wires (QWWs), and two-dimensional (2D) quantum wells (QWs)-wide quantum wells (WQWs) ending with three-dimensional (3D) bulk samples. In each case the theoretical description should be different, since the various relations between the optical confinement (characterized by the ratio between wavelength and dimension $\frac{\lambda}{L}$), the quantum-mechanical confinement (the ratio of a size in the growth direction to the effective exciton Bohr radius), and the coherence length have to be taken into account. In the present paper we will discuss the examples of QDs, QWWs, QWs, WQWs, and bulk crystals, assuming in all the cases cylindrical symmetry. We extend the RDMA to examine systems with various dimensionality, and in all cases the analytical expressions for susceptibility will be

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to engineers who might use their unique properties for device applications in the future, paving the way for a whole new class of apparatus such as detectors and optoelectronic switches. The growing interest in optical properties of lowdimensional systems (LDSs), such as quantum wells, wires, and dots, with Rydberg excitons is noticeable [19,20]. Takahata et al. [19] have begun studies on REs in low-dimensional structures, performing observations of nonlocal response of weakly confined REs in plane-parallel Cu₂O films, the thicknesses of which ranging from 16 to 2000 nm, which are much smaller than those from first experiments, i.e., in Refs. [1,11], where the bulk dimension was around $30-50\,\mu\text{m}$. Konzelmann et al. [20] have studied theoretically the optical properties of LDSs with Rydberg excitons, focusing their attention on the impact of confinement potentials on the energy shifts of REs in Cu₂O LDSs. Inspired by these novel LDSs in Cu₂O, we aim to analyze their optical properties, taking into account multiple Rydberg states.

^{*}david.ziemkiewicz@utp.edu.pl

derived, which enable one to calculate the absorption spectra. Moreover, in the bulk system, the role of polaritons, being the superposition of electromagnetic field and quantum coherence modes, will be considered and the influence of their relative contribution on matching the experimental and calculated resonance positions will be presented.

The paper is organized as follows. In Sec. II we recall the basic equations of the RDMA. In Sec. III we explicitly derive the formula for susceptibility for quantum dots, while Sec. IV is devoted to detailed analysis of the case of quantum wires. The formulas derived in Sec. II are applied in Secs. V and VI, which are devoted to presentation of optical properties for Cu₂O quantum wells and wide quantum wells. In Sec. VII we consider the case of bulk crystals, where the optical properties for exciting energies near the fundamental gap are dominated by exciton-polaritons and show the dispersion relation in such a situation. Section VIII contains illustrative numerical results, while a summary and conclusions of our paper are presented in Sec. IX.

II. BASIC EQUATIONS

We will use the real density-matrix approach, applied to systems with reduced dimensionality, showing the phenomenon of Rydberg states. In this approach the optical properties are described by equations for the coherent amplitudes Y_{12} of the electron-hole pair of coordinates $\mathbf{r}_1 = \mathbf{r}_h$ and $\mathbf{r}_2 = \mathbf{r}_e$, and for a pair of conduction and valence bands

$$-i(\hbar\partial_t + \Gamma)Y_{12} + H_{eh}Y_{12} = \mathbf{ME},\tag{1}$$

where **E** is the electric field, Γ is a phenomenological damping coefficient, **M**(**r**) is a smeared-out transition dipole density which depends on the coherence radius $r_0 = (E_g/2\mu\hbar^2)^{-1/2}$ where E_g is the fundamental gap, μ is the reduced effective mass of the electron-hole pair, and **r** is the relative electronhole distance [13]. Specific forms of **M**(**r**) will be defined in subsequent sections.

RDMA, adopted for semiconductors by Stahl and Balslev [21], is a mesoscopic approach [7] which, in the lowest order, neglects all effects from the multiband semiconductor structure, so that the exciton Hamiltonian becomes identical to the two-band effective mass Hamiltonian H_{eh} , which includes the electron and hole kinetic energy, the electron-hole interaction potential, and the confinement potentials [22]. In consequence, the Hamiltonian H_{eh} is given by

$$H_{eh} = E_g + \frac{\mathbf{p}_h^2}{2m_h} + \frac{\mathbf{p}_e^2}{2m_e} + V_{eh}(1,2) + V_h(1) + V_e(2), \quad (2)$$

where the second and the third terms on the right-hand side are the electron and the hole kinetic-energy operators with appropriate effective masses, the fourth term is the electron-hole attraction, and the two last terms are the surface confinement potentials for the electron and hole. The total polarization of the medium is related to the coherent amplitude by

$$\mathbf{P}(\mathbf{R}) = 2\text{Re} \int d^3 r \, \mathbf{M}(\mathbf{r}) Y(\mathbf{R}, \mathbf{r})$$
(3)

where **R** is the center-of-mass coordinate. This, in turn, is used in Maxwell's field equation:

$$c^{2}\nabla^{2}\mathbf{E}(\mathbf{R}) - \epsilon_{b}\ddot{\mathbf{E}} = \frac{1}{\epsilon_{0}}\ddot{\mathbf{P}}(\mathbf{R}).$$
(4)

The excitonic susceptibility χ is then given by

$$\mathbf{P}(\omega, \mathbf{k}) = \epsilon_0 \chi(\omega, \mathbf{k}) \mathbf{E}(\omega, \mathbf{k})$$
(5)

where ω is the frequency of the incident field and the absorption coefficient can be calculated from

$$\alpha = 2 \frac{\hbar \omega}{\hbar c} \operatorname{Im} \sqrt{\epsilon_b + \chi},\tag{6}$$

where ϵ_b is the background dielectric constant. Analyzing an LDS, we will consider cylindrical symmetry of the system with the *z* axis parallel to the incident field. Under this assumption, the constitutive equation (1) for an LDS takes the form

$$\begin{cases} E_g - \hbar\omega - i\Gamma + \frac{p_{ez}^2}{2m_e} + \frac{p_{hz}^2}{2m_h} + \frac{\mathbf{p}_{e\parallel}^2}{2m_e} + \frac{\mathbf{p}_{h\parallel}^2}{2m_h} \\ + V_{eh}[(\boldsymbol{\rho}_e - \boldsymbol{\rho}_h), z_e - z_h] + V_e(z_e) + V_h(z_h) \\ + V_e(\boldsymbol{\rho}_e) + V_h(\boldsymbol{\rho}_h) \end{cases} Y(\boldsymbol{\rho}_e, \boldsymbol{\rho}_h, z_e, z_h) \\ = \mathbf{M}(\boldsymbol{\rho}_e, \boldsymbol{\rho}_h, z_e, z_h) \mathbf{E}(\mathbf{R}), \tag{7}$$

where $V_{e,h}(z_{e,h})$ and $V_{e,h}(\rho_{e,h})$ are the confining potentials in the *z* direction and in *x*-*y* plane, respectively, while V_{eh} is the electron-hole interaction potential. The $\rho = \sqrt{x^2 + y^2}$ is the radial coordinate. The excitonic amplitude *Y*, obtained from Eq. (7), is then inserted into Eq. (3), giving the polarization and, finally, the susceptibility, from which all the optical functions of the system can be calculated.

III. QUANTUM DOTS

Quantum dot systems are semiconductor structures which exhibit a fully discrete spectrum due to the size confinement in all directions. QDs, mostly based on semiconductors like Si, InAs, GaAs, and other II-VI and III-V compounds, have been largely investigated and interpreted (for a review see Ref. [23]).

Among various shapes of QDs (spherical, Gaussian profile, pyramids, etc.) we have chosen the ones characterized by a cylindrical symmetry, in particular a disk with the symmetry axis z, height L, and infinite hard wall potentials for electrons and holes in the x-y plane at the radius R. The incident electromagnetic wave is linearly polarized in the x direction. We assume a parabolic confinement in the z direction and take the lowest electron and hole states in this direction. To derive the linear optical properties of quantum dots we need the simultaneous solutions of the constitutive interband equation (7) and of Maxwell's equations outside and inside the QD, where the excitonic polarization is given by Eq. (3), including the boundary conditions (BCs). In that case, constitutive equation (7) refers to a six-dimensional configuration space $(\mathbf{r}_e, \mathbf{r}_h)$, with appropriate BCs for the motion of electrons and holes, whereas the definition of $P(\mathbf{R})$ (**R** in Maxwell's equations) refers to the excitonic center-of-mass coordinate within the QD. The complexity of the problem can be reduced with some

simplifying assumptions. When the carriers (electron or hole) differ in their effective mass, one possible simplification is to "immobilize" the quasiparticle with a larger mass in the center of the dot, and consider the motion of the other quasiparticle [24]. Contrary to the case of GaAs QDs where the heavier particle was the hole, in Cu₂O it is the electron, so the term $\mathbf{p}_{e\parallel}^2/2m_e$ vanishes in the effective mass Hamiltonian (7). The average position of the electron is in the center of the disk but it is free to move in the *z* direction. Both assumptions, i.e., of the electron bounded at the *z* axis and of the infinite potential for the hole, allow us to obtain analytical expressions for the disk susceptibility. To sum up, in the constitutive equation (7) we omit the term $\mathbf{p}_{e\parallel}^2/2m_e$ while the confinement potentials have the following form:

$$V_{e,h}(z_{e,h}) = \frac{1}{2} m_{e,h} \omega_{e,h}^2 z_{e,h}^2,$$

$$V_h(\rho_h) = \begin{cases} 0 & \text{for } \rho_h \leqslant R, \\ \infty & \text{for } \rho_h > R, \end{cases}$$
(8)

where $1/2\hbar\omega_{e,h}$ are the lowest confinement energies of the electron and hole in the *z* direction. We also use the long-wave approximation, neglecting the spatial distribution of the electromagnetic wave within the quantum disk. The left-hand side operator in Eq. (7) includes two one-dimensional harmonic oscillator Hamiltonians and the two-dimensional Coulomb Hamiltonian. Therefore the solution for the amplitude *Y* is expressed in terms of eigenfunctions:

$$Y_{n_{e}} = \sum_{N_{e}, N_{h}, j, m} c_{n_{e}N_{e}N_{h}, j, \ell} \psi^{(1D)}_{\alpha_{ez, N_{e}}}(z_{e}) \psi^{(1D)}_{\alpha_{hz, N_{h}}}(z_{h}) \psi_{jm}(\rho_{h}, \phi),$$
(9)

where $\psi_{\alpha_{z},N}^{(1D)}(z)$ ($N_{e,h} = 0, 1, ...$) are the quantum oscillator eigenfunctions for electron and hole, respectively,

$$\psi_{\alpha_z,N_{e,h}}^{(1D)}(z) = \pi^{-1/4} \sqrt{\frac{\alpha_z}{2_{e,h}^N N_{e,h}!}} H_N(\alpha_z z) e^{-\frac{\alpha_z^2}{2} z^2},$$
$$\alpha_z = \sqrt{\frac{m\omega_z}{\hbar}},$$
(10)

 $H_N(x)$ are Hermite polynomials, and *m* is the effective mass. In particular, we consider the lowest confinement state $N_e = N_h = 0$. The normalized eigenfunctions of the two-dimensional Coulomb Hamiltonian have different forms,

depending on the sign of the eigenvalue (energy). For the negative energy we obtain

$$\psi_{jm}(\xi,\phi) = C\,\xi^{|m|}\,e^{-\xi/2}M\bigg(m+\frac{1}{2}-\lambda,\,2m+1,\,\xi\bigg)\frac{e^{im\phi}}{\sqrt{2\pi}},$$
(11)

where j and m are the principal and magnetic quantum numbers of the excitonic state,

$$\lambda = \frac{2}{\alpha}, \quad \xi = \alpha \rho, \quad \alpha^2 = -\frac{2m_h}{\hbar^2} a_h^{*2} E,$$

M(a, b, z) is the Kummer function (confluent hypergeometric function) [25], and C is a normalization factor. The eigenfunction, due to the no escape BCs, satisfies the equation

$$\psi_{jm}(\alpha R, \phi) = 0, \tag{12}$$

giving the eigenenergies E_{jn} , j = 0, 1, ..., n = 1, 2, ... In the region of positive eigenenergies, one obtains

$$\psi(\xi) = Ce^{-i\xi}\xi^{|m|}M\bigg(|m| + \frac{1}{2} + i\frac{1}{\alpha}, 2|m| + 1, 2i\xi\bigg).$$
(13)

We use the transition dipole density in the form [7]

$$M(\rho, z_e, z_h, \phi) = \frac{M_0}{2\rho_0^3} \rho \, e^{-\rho/\rho_0} \frac{e^{i\phi}}{\sqrt{2\pi}} \delta(z_e - z_h), \qquad (14)$$

with the integrated strength M_0 and the coherence radius $\rho_0 = r_0/a^*$. The coefficient M_0 and the coherence radius r_0 are connected through the longitudinal-transversal energy Δ_{LT} as [13]

$$(M_0\rho_0)^2 = \frac{4}{3} \frac{\hbar^2}{2\mu} \epsilon_0 \epsilon_b a^* \frac{\Delta_{\rm LT}}{R^*} e^{-4\rho_0}.$$
 (15)

Using the above equations and considering the lowest confinement energies $N_e = N_h = 0$ in the *z* direction, we obtain the expansion coefficients (9) in the form

$$c_{n_e00,j,m} = \frac{\left\langle M(\rho, z_e, z_h, \phi) | \psi_{\alpha_{ez,0}}^{(1D)}(z_e) \psi_{\alpha_{hz,0}}^{(1D)}(z_h) \psi_{jm}(\rho, \phi) \right\rangle}{E_g + W_{e0z} + W_{h0z} + E_{hjn} - \hbar\omega - i\Gamma}.$$
(16)

Inserting Y_{n_e} from Eq. (9) with the above expansion coefficients into Eq. (3) we compute the mean quantum disk susceptibility. Performing integration in Eq. (16) we obtain the following expression for the quantum disk susceptibility:

$$\bar{\chi}_{\text{QD}} = 72 \sum_{j=0,1} \epsilon_b \left(\frac{a_h^*}{L}\right) \frac{\alpha_{ez} \alpha_{hz}}{p} \operatorname{erf}\left(\frac{L\sqrt{p}}{2}\right) \left(1 - \frac{8\nu_j \rho_0}{3(\lambda_j + \rho_0)}\right)^2 \left(\frac{m_h}{\mu}\right) \left(\frac{2}{\lambda_j}\right)^4 \left[\left(1 - \frac{8\nu_j}{3} + \frac{20\nu_j^2}{9}\right)\right]^{-1} \times \frac{\Delta_{\text{LT}} \exp(-4\rho_0)}{E_g + W_{e0z} + W_{h0z} + E_{hjn} - \hbar\omega - i\Gamma},$$
(17)

where

$$lpha_{ez} = rac{1}{a^*} \sqrt{rac{m_e}{\mu}} \sqrt{rac{W_{e0}}{R^*}},$$

$$egin{aligned} lpha_{hz} &= rac{1}{a^*}\sqrt{rac{m_h}{\mu}}\sqrt{rac{W_{h0}}{R^*}}, \ p &= rac{1}{2}ig(lpha_{ez}^2+lpha_{hz}^2ig), \end{aligned}$$

$$W_{e0z} = \left(\frac{\pi a_e^*}{L}\right)^2 R_e^*,$$

$$W_{h0z} = \left(\frac{\pi a_h^*}{L}\right)^2 R_h^*,$$

$$\nu_j = \frac{3(2j+3)}{4(2\mathcal{R}-3)}, \qquad \mathcal{R} = \frac{R}{a_h^*},$$

$$\lambda_j = j + \frac{3}{2} + \frac{3(2j+3)}{4(2\mathcal{R}-3)},$$

$$E_{hj} = -\frac{1}{\lambda_i^2} R_h^*, \qquad (18)$$

where erf (z) is the error function [25]. Using the above expressions, the QD absorption can be calculated from the imaginary part of the susceptibility (17). It can be seen that the above expressions are valid in the negative eigenenergies region. The exciton energies E_{hj} include both the Coulomb energy and the in-plane confinement energy.

IV. QUANTUM WIRES

The next type of considered nanostructures is the quantum wires. In principal they are mostly obtained from intersection of quantum wells, so their main properties are quite similar to those of quantum wells. We choose a quantum wire of cylindrical shape with the radius *R* and the symmetry axis *z*. In the wire geometry, at least in the *x*-*y* section, one cannot separate the relative and the center-of-mass motion, so that the system has a five-dimensional configuration space. In such a case it is hard to solve the RDMA constitutive equations, therefore we use some approximations. As in the case of QDs, we take advantage of the fact that the effective electron mass in Cu₂O is much greater than the hole mass but the electron is still allowed to move in the *z*-axis direction. With this assumption the basic equation in the RDMA approach (7) takes the form

$$\begin{bmatrix} E_{g\ell_e n_e} + \frac{p_z^2}{2\mu} + \frac{p_Z^2}{2(m_e + m_h)} + \frac{\mathbf{p}_h^2}{2m_h} - \frac{e^2}{4\pi\epsilon_0\epsilon_b\sqrt{r_h^2 + z^2}} \\ + V_h(\mathbf{r}_h) - \hbar\omega - i\Gamma \end{bmatrix} Y(\mathbf{r}_h, z, Z) = \mathbf{M}(\mathbf{r}_h, z)\mathbf{E}(Z),$$
(19)

with reduced mass in the *z* direction $\mu^{-1} = m_{ez}^{-1} + m_{hz}^{-1}$. We assume that the field has a component \mathcal{E} in the *z* direction and the transition dipole has a component *M* in the same direction. In what follows we use scaled variables $\mathbf{r}_h = \rho a_h^*$, $z = \zeta a_h^*$, $\mathcal{R} = R/a_h^*$, the confinement potential *V* in the form (8), and the boundary condition

$$Y(\rho = \mathcal{R}) = 0. \tag{20}$$

We will solve the QWW constitutive equation (19) in two limiting cases: for the strong and the weak confinements.

In the case of the strong confinement limit, we assume that the confinement effects are larger than the Coulomb attraction and we use a method analogous to that used in Ref. [7], transforming Eq. (19) into a Lippmann-Schwinger-type equation:

$$\begin{cases} \kappa^{2} - \frac{m_{h}}{\mu} \partial_{\zeta}^{2} - \left(\frac{\partial^{2}}{\partial\rho^{2}} + \frac{1}{\rho} \frac{\partial}{\partial\rho} + \frac{1}{\rho^{2}} \frac{\partial^{2}}{\partial\phi^{2}}\right) + V(\rho) \end{cases} Y$$
$$= \frac{2m_{h}}{\hbar^{2}} a_{h}^{*2} M(\rho, \phi, \zeta) \mathcal{E} + \frac{2}{\sqrt{\rho^{2} + \zeta^{2}}} Y, \qquad (21)$$

where $\kappa^2 = \frac{E_g - \hbar \omega - i\Gamma}{R_h^*}$. Equation (21) can be solved with the help of the appropriate Green's function $G_{n_e}(\rho, \rho'; \zeta, \zeta'; \phi, \phi')$:

$$Y = \frac{2m_h}{\hbar^2} a_h^{*2} GM(\rho, \phi, \zeta) \mathcal{E} + G \frac{2}{\sqrt{\rho^2 + \zeta^2}} Y, \qquad (22)$$

where

$$G_{n_e}(\rho, \rho'; \zeta, \zeta'; \phi, \phi') = \frac{1}{2\pi^2 \mathcal{R}^2} \sum_{\ell=0}^{\infty} e^{i\ell(\phi-\phi')} \sum_{n=1}^{\infty} \frac{J_1\left(\frac{x_{1,n}\rho}{\mathcal{R}}\right) J_1\left(\frac{x_{1,n}\rho'}{\mathcal{R}}\right)}{[J_2(x_{1,n})]^2} \times \int_{-\infty}^{\infty} dk \frac{e^{ik(\zeta-\zeta')}}{(m_h/\mu)k^2 + \kappa_{n_en}^2},$$

$$\kappa_n^2 = \kappa^2 + \left(\frac{x_{1,n}^2}{\mathcal{R}}\right)^2,$$
(23)

 J_1 and J_2 are Bessel functions of first and second order, and $x_{1,n}$ are the zeros of $J_1(x)$. In order to calculate the susceptibility, we choose the following shape for the amplitude *Y*:

$$Y(\rho, z) = Y_0 \frac{\sqrt{2}}{\mathcal{R}} |J_2(x_{1,1})|^{-1} J_1\left(x_{1,1} \frac{\rho}{\mathcal{R}}\right) \\ \times \exp(-\kappa_1 \sqrt{\rho^2 + \zeta^2}) \frac{e^{i\phi}}{\sqrt{2\pi}}.$$
 (24)

The coefficient Y_0 is obtained from Eq. (21). In this case, we use the transition dipole density in the form

$$M(\rho,\phi,\zeta) = \frac{M_0}{\rho_{0h}a^{*3}}\delta(\rho-\rho_{0h})\frac{e^{i\phi}}{\sqrt{2\pi}}\delta(\zeta).$$
 (25)

Using the Green's function (23), one arrives at the following expression for susceptibility:

$$\chi_{\rm QWW} = \frac{2}{\epsilon_0} \frac{2m_h}{\hbar^2 a_h^*} \frac{MGM}{1 - \frac{MGVY}{MY}},\tag{26}$$

where $V = 2/\sqrt{\rho^2 + \zeta^2}$, and

$$MY = Y_0 M_0 |J_2(x_{1,1})|^{-1} J_1\left(x_{1,1} \frac{\rho_{0h}}{\mathcal{R}}\right) \exp\left(-\kappa_1 \rho_{0h}\right),$$

$$MGM = \left(\frac{m_h}{\mu}\right)^{3/2} \epsilon_b \frac{\Delta_{\text{LT}}}{R^*} \frac{1}{\mathcal{R}^2} \sum_{n=1}^{\infty} \left[\frac{J_1\left(\frac{x_{1,n}\rho_{0h}}{\mathcal{R}}\right)}{J_2(x_{1,n})}\right]^2 \frac{1}{\sqrt{\kappa_n^2}},$$

$$MGVY = \frac{4M_0 Y_0}{\mathcal{R}} \sum_{n=1}^{N} \left[\frac{J_1\left(\frac{x_{1,n}\rho_{0h}}{\mathcal{R}}\right)}{[J_2(x_{1,n})]^2 |J_2(x_{1,1})|} \frac{1}{\kappa_n^2} + \int_0^1 du J_1(x_{1,1}u) J_1(x_{1,n}u) e^{-\mathcal{R}\kappa_{mn}u}\right].$$
 (27)

$$Y(r,R) = \sum_{j} \sum_{N} c_{jN} \psi_j(r) \Psi_N(R), \qquad (28)$$

where we adopt the eigenfunctions $\psi_j(r)$ of the 3D Schrödinger equation appropriate for the *p* excitons and for a hard wall confinement potential. The eigenfunction $\Psi_N(R)$ has the form

$$\Psi_{\ell N}(\mathbf{R}) = \frac{\sqrt{2}}{R} \frac{1}{|J_{\ell+1}(x_{\ell,N})|} J_{\ell}\left(x_{\ell,N} \frac{R_{\perp}}{R}\right) \frac{\exp(i\ell\Phi)}{\sqrt{2\pi}}.$$

Then the susceptibility is given by

$$\chi_{\rm QWW} = \epsilon_b \sum_{j=2}^{J} \sum_{N} \frac{f_j^{(3D)} \Delta_{\rm LT} / R^*}{(E_{T \, j \, 10} - E - i\Gamma_j + W_N) / R^*} \langle \Psi_{0N}(\mathbf{R}) \rangle^2,$$
(29)

where $E_{T i 10}$ are the excitonic resonance energies and [13]

$$f_{j}^{(3D)} = \frac{32}{3} \left(\frac{j^{2} - 1}{j^{5}} \right) \exp\left[\rho_{0}^{2} \left(\frac{4}{j^{2}} - 1 \right) \right],$$
$$W_{N} = \frac{\mu}{M} \frac{x_{0,N}^{2}}{\mathcal{R}^{2}},$$
$$\langle \Psi_{\ell N}(\mathbf{R}) \rangle = \frac{1}{\pi R^{2}} \int_{0}^{2\pi} d\Phi \int_{0}^{R} R_{\perp} dR_{\perp} \Psi_{\ell N}(\mathbf{R}).$$

V. QUANTUM WELL REGIME

In the cases of quantum wells and wide quantum wells the higher-order states can be obtained when we consider a "twodimensional" form of the electron-hole potential:

$$V_{eh}(\rho) = -\frac{e^2}{4\pi\epsilon_0\epsilon_b\rho}.$$
(30)

We use the coherent amplitudes *Y* of the form

$$Y(\rho, z_e, z_h, \phi) = \sum_{j,m} \sum_{N_e, N_h} c_{jmN_eN_h} u_{N_e}(z_e) u_{N_h}(z_h) \frac{e^{im\phi}}{\sqrt{2\pi}} \psi_j^{(2D)}(\rho)$$
(31)

with confinement functions u_{N_e} and u_{N_h} . The $\psi_{jm}^{(\text{2D})}(\rho)$ are the eigenfunctions of the Schrödinger equation with the potential (30) and have the form

$$\psi_{jm} = \frac{1}{a^*} \frac{e^{im\phi}}{\sqrt{2\pi}} e^{-2\lambda\rho/a^*} (4\lambda\rho)^m 4\lambda^{3/2} \frac{1}{(2m)!} \frac{[(j+2m)!]^{1/2}}{[j!]^{1/2}} \times M(-j,2|m|+1,4\lambda\rho),$$

$$\lambda = \frac{1}{1+2(j+|m|)},$$
(32)

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corresponding to the eigenvalues

$$\frac{E_{jm}}{R^*} = \varepsilon_{jm} = -\frac{4}{[1+2(j+|m|)]^2},$$
(33)

where a^* and R^* are the excitonic Bohr radius and Rydberg energy, respectively. Note that the energy E_{im} is usually modified with a quantum defect δ , which replaces j with $j - \delta$ [26], shifting mostly low-i states and better reflecting the experimental data [1]. This empirical correction represents a short-range modification of the Coulomb interaction between electron and hole due to the complex band structure of Cu₂O. This, in turn, induces deviations of the exciton binding energies [27]. Following the computation scheme presented above, we use the dipole density (14) in the same form as in QDs. In the considered QW regime the typical wavelength of the input electromagnetic wave is much larger than the QW dimension, so one usually uses the long-wave approximation. Inserting the formulas (30) and (31) into the constitutive equation (7)and the polarization (3), we obtain the effective susceptibility in the form

$$\chi^{(2D)}(\omega) = \sum_{j=0}^{\infty} \sum_{N_e,N_h} \frac{\epsilon_b \Delta_{\text{LT}} a^* f_j^{(2D)} a_{N_e,N_h}}{L(E_g - \hbar\omega + E_j + W_{N_e} + W_{N_h} - i\Gamma_{jN_eN_h})},$$

$$f_{j1}^{(2D)} = 48 \frac{(j+1)(j+2)}{(j+\frac{3}{2})^5} \frac{1}{(1+2\lambda\rho_0)^8} \times \left[F\left(-j,4;3;\frac{4\lambda\rho_0}{1+2\lambda\rho_0}\right) \right]^2,$$

$$E_j = -\frac{4}{(2j+3)^2} R^*,$$

$$a_{N_e,N_h} = \int_{-\infty}^{\infty} u_{N_e}(z) u_{N_h}(z) dz,$$
(34)

where W_{N_e} and W_{N_h} are the eigenvalues of the confinement eigenfunctions, F(a; b; c; z) is the Gauss hypergeometric series [25], and the damping coefficients $\Gamma_{jN_eN_h}$ are specified for any set of quantum numbers. In particular, we use the model presented in Ref. [16], which takes into account a temperature dependence and the effects of phonon scattering [28,29]. All results are calculated for cryogenic temperature (T = 10 K). Again, assuming the infinite steplike confinement potentials $V_{e,h}$ for the electrons and the holes, eigenfunctions of the corresponding Schrödinger equation

$$\left(-\frac{\hbar^2}{2m_{e,h}}\frac{d^2}{dz^2} + V_{e,h}\right)u = W_{N_{e,h}}u$$
(35)

have the form

$$u_{N_{e,h}}(z) = \sqrt{\frac{2}{L}} \sin\left(\frac{N_{e,h}\pi z}{L}\right)$$
(36)

and the eigenvalues are

$$W_{N_e} = \frac{\mu}{m_e} \left(\frac{\pi a^*}{L}\right)^2 N_e^2 R^*,$$
$$W_{N_h} = \frac{\mu}{m_h} \left(\frac{\pi a^*}{L}\right)^2 N_h^2 R^*,$$
(37)

where $N_{e,h} = 1, 2, ...$ Using the above expressions we obtain the final form of the QW effective susceptibility:

$$\chi^{(2D)} = \left(\frac{a^*}{L}\right) \sum_{j,N} \frac{\epsilon_b \Delta_{\rm LT} f_j^{(2D)}}{E_g - \hbar \omega + E_j + W_N - i\Gamma_{jN}},$$

$$j = 0, 1, \dots, \quad N = 1, 2, \dots,$$

$$W_N = \left(\frac{\pi a^*}{L}\right)^2 N^2 R^*.$$
(38)

The imaginary part of Eq. (38) is used to calculate the QW absorption coefficient.

VI. WIDE QUANTUM WELL REGIME

When the thickness L of the considered QW becomes larger than the wavelength of the propagating wave (300 nm), the system enters the wide quantum well regime. The longwave approximation cannot be maintained, but we can use the slowly varying envelope approximation [30–32]. Maxwell's equation for the relevant electric vector component inside the WQW satisfies the equation

$$\frac{d^2E}{dz^2} + f(z)E = 0,$$
 (39)

with

$$f(z) = \frac{\omega^2}{c^2} [\epsilon_b + \chi(z)], \qquad (40)$$

and the susceptibility $\chi(z)$ can be obtained from the constitutive equation (7). Maxwell's equation then reads

$$E(z) = A_1(z)e^{i\beta(z)} + A_2(z)e^{-i\beta(z)},$$
(41)

where

$$\beta(z) = \int [f(z)]^{1/2} dz, \quad A_i = a_i [f(z)]^{-1/4}.$$
(42)

The coefficients a_i are obtained with the help of the Maxwell boundary conditions for the electric field. Thus, the field *E* within the QW allows one to calculate the optical functions in the analytical form, including their dependence on the confinement shape.

For the infinite confinement potential, using the eigenfunctions (37), the space-dependent susceptibility has the form

$$\chi(\omega, z) = \frac{2a^*}{L} \sum_{j,N} \frac{\epsilon_b \Delta_{\rm LT} f_j^{(2D)}}{E_g - \hbar\omega + E_j + W_N - i\Gamma_{jN}} \sin^2 \frac{N\pi z}{L}.$$
(43)

By inserting the susceptibility in such a form into Eq. (40), one obtains

$$\beta(\omega, z) \approx \frac{1 + \frac{1}{2}\chi(z) \left(\sin^2 \frac{N\pi z}{L}\right)^{-1}}{\left[1 + \chi(z)\right]^{1/2}} k_b z, \qquad (44)$$

where $k_b = \sqrt{\epsilon_b \frac{\omega}{c}}$. With the help of $\beta(\omega, z)$ one is able to calculate the electric field. By introducing the notation

$$r_{\infty} = \frac{1 - \sqrt{\epsilon_b} [1 + \chi^{(2d)} / \epsilon_b]}{1 + \sqrt{\epsilon_b} [1 + \chi^{(2d)} / \epsilon_b]},$$
$$\Theta = 2L \sqrt{\epsilon_b} [1 + \chi^{(2d)} / \epsilon_b]$$

one obtains the effective refraction index n_{WOW} :

$$n_{\rm WQW} = \frac{1 - r_{\infty}^2 e^{i\Theta} - r_{\infty}(1 - e^{i\Theta})}{1 - r_{\infty}^2 e^{i\Theta} + r_{\infty}(1 - e^{i\Theta})},$$
(45)

which allows for the calculation of the absorption coefficient:

$$\alpha_{\rm WQW} = 2 \frac{\hbar\omega}{\hbar c} {\rm Im} \, n_{\rm WQW}. \tag{46}$$

VII. THE EXCITON-POLARITON REGIME: GENERALIZED ADDITIONAL BOUNDARY CONDITIONS

When the thickness of the slab exceeds largely the exciton Bohr radius, the system is three-dimensional and some new aspects, in comparison with the above discussed QWs and WQWs, should be accounted for. For QWs and WQWs the assumptions of microscopic boundary conditions for the movement of electrons and holes, combined with a twodimensional Coulomb potential, were sufficient. In the threedimensional case, near the crystal surfaces the quasiparticles move in the repulsing potential of the surfaces, which can be modeled as a hard wall potential. At a certain distance of the surfaces the e-h Coulomb interaction prevails and bound states (excitons) are created. The interaction of excitons with a propagating wave leads to the formation of polariton waves. The combined treatment of the repulsing potential near the surface and the polariton waves in the bulk is difficult due to the different symmetries of the surface potentials and the Coulomb potential, thus a lot of the effort over the past decades has been devoted to the description of excitonpolariton waves in the context of their interaction with crystal surfaces [33]. The problem called the additional boundary conditions (ABCs) has appeared with the discovery of polaritons-joint electromagnetic field-matter quasiparticles, which move in a medium as a superposition of the field and quantum coherence. The simplest version of ABCs relies on two polariton waves propagating in the half-space geometry. When two polariton waves propagate in the crystal and one of them is reflected, one has to determine three amplitudes. The classical electrodynamics yield in this case only two boundary conditions for the electric and magnetic field. Therefore, an additional boundary condition is needed to obtain a sufficient number of equations. The first proposal came from Pekar (Pekar's ABC) [34], which assumed the polarization to be zero at the crystal surface. His ABC was then improved by Hopfield and Thomas [35], who assumed that the polarization vanishes at a certain surface inside the crystal.

The ABC problem becomes more complicated when more than two polaritons can propagate (i.e., in GaAs- and GaAsbased superlattices) or higher excitonic states are involved. Various ABC models, going beyond the above mentioned, have been proposed for this case [36–43]. The Rydberg excitons and polaritons are an exceptional example, in which a huge number of polariton waves can appear. It is well known that Pekar's ABCs are applied for an arbitrary surface (0, L = z), but it is assumed that exciton-polaritons appear at the distance of several Bohr radii from the surface. In the case of j = 25, the critical distance is less then 1 μ m, while for states characterized by smaller j these distances are considerably smaller. Therefore the exciton-polaritons might be observed in structures with the quantum confinement effects [44], providing fine enough spectral resolution [33].

Here we propose a certain modification of the Pekar-Hopfield-Thomas (PHT) model, which is applied for the case of Rydberg exciton-polaritons. Since we will assume that the polarization vanishes at the surface, this will correspond to the "no escape" conditions for electrons and holes, defined by Eq. (36). We start with the polariton dispersion relation (taking into account only P excitons) [10]:

$$\frac{k^{2}}{k_{0}^{2}} - \epsilon_{b} = \chi_{\text{eff}}^{(3\text{D})} = \epsilon_{b} \sum_{j=2}^{N} \times \frac{f_{j}^{(3\text{D})} \Delta_{\text{LT}} / R^{*}}{\left(E_{T j 10} - E - i\Gamma_{j}\right) / R^{*} + (\mu / M_{\text{tot}})(ka^{*})^{2}}$$
(47)

where M_{tot} is the total excitonic mass, and the energies of exciton resonances E_{Tj10} are known. Note the polaritonic contribution $(\mu/M_{\text{tot}})(ka^*)^2$, which shifts the excitonic energies. In order to apply the PHT model, we assume that for exciting energy near a certain exciton resonance E_{Tj10} the biggest contribution to the optical functions comes from two polariton waves with wave vectors $k_1^{(j)}$ and $k_2^{(j)}$, which are the two solutions of Eq. (47) nearest to the axis $E = k_b \hbar c/\sqrt{\epsilon_b}$. With them, we define the partial contributions $\chi_{1,2}^{(j)} = \chi_{\text{eff}}^{(3D)}(E_{Tj10}, E, k_{1,2}^{(j)})$ to the susceptibility and, in accordance with Pekar's model, we assume that the contribution to the exciton polarization coming from these two waves with amplitudes $E_1^{(j)}$ and $E_2^{(j)}$ vanishes at the crystal surface:

$$\chi_1^{(j)} E_1^{(j)} + \chi_2^{(j)} E_2^{(j)} = 0.$$
(48)

The above equation, supplemented with Maxwell's BCs for the electric field, allows for calculating (in the half-space geometry) the amplitudes of the polariton waves. This model can be easily extended to include the polariton waves reflected at the second crystal surface. The partial susceptibilities define the indices of refraction of polariton waves, by the relation

$$\left[n_{1,2}^{(j)}\right]^2 = \chi_2^{(j)} + \epsilon_b.$$
(49)

It follows from the above equation that the polariton waves have different indices of refraction, a property which can be used in separating polariton waves propagating through the crystal.

Finally, to calculate the absorption coefficient it is necessary to introduce an additional summation in Eq. (47) over these partial wave vectors $k_1^{(j)}$ and $k_2^{(j)}$, obtaining susceptibility which is then used in Eq. (6).

VIII. RESULTS OF SPECIFIC CALCULATIONS

We have calculated the absorption from the imaginary part of $\bar{\chi}_{QD}$ defined in Eq. (17), for a Cu₂O QD system, and compare our theoretical predictions with the experimental results by Lee *et al.* [45] In the calculations the two lowest exciton states j = 0, 1 and the lowest confinement states in the *z* direction were accounted for. The parameters used in the calculation are summarized in Table I. The results are shown in Fig. 1. Since the experiments in Ref. [45] were performed for spherical dots, we have slightly changed the

TABLE I. Band parameter values for Cu₂O, masses in freeelectron mass m_0 , R^* calculated from $(\mu/\epsilon_b^2) \times 13\,600$ meV, and $R_{e,h}^* = (m_{e,h}/\mu)R^*$, $a_{e,h}^* = (\mu/m_{e,h})a^*$.

Parameter	Value	Unit	Reference
$\overline{E_g}$	2172.08	meV	[1]
R^*	87.78	meV	
$\Delta_{ m LT}$	1.25×10^{-3}	meV	[28]
m _e	0.99	m_0	[18]
m_h	0.58	m_0	[18]
μ	0.363	m_0	
$M_{\rm tot}$	1.56	m_0	
a^*	1.1	nm	[1]
r_0	0.22	nm	[13]
ϵ_b	7.5		[1]
R_a^*	239.4	meV	
R_h^*	140.25	meV	
a_e^*	0.4	nm	
a_h^*	0.69	nm	
Γ_j	$3.88/j^3$	meV	[1,16]

dimensions, using an effective radius $R = 4/5R_{\text{spherical}}$ and the disk height L = 1.6R. One can see that the contributions from j = 0 and 1 states (dashed lines) overlap, forming a single wide absorption maximum. Our calculated theoretical curves agree very well with the experimental absorption curves from Ref. [45]. We observe the increasing blueshift with decreasing QD radius, and the increasing oscillator strength with lowering the dimensions. As it was observed [46,47] for the case of Cu₂O-based QDs, the excitonic transition energies depend strongly on the lateral extension.

Figure 2 shows the absorption coefficient (6) calculated for the case of a quantum wire, using the susceptibility given by Eq. (26). One can observe multiple excitonic states which diverge towards the higher energy as the wire radius approaches zero, and for small wire radius one may obtain a strong enhancement of the binding energies. The confinement states become more visible at low R. For sufficiently small radius, these lines mix and overlap, producing a complicated



FIG. 1. Absorption spectra of cylindrical quantum dots calculated for four values of the disk radius. Black lines mark the experimental data measured by Lee *et al.* [45].



FIG. 2. The absorption coefficient α of Cu₂O nanowire, calculated from Eq. (29), as a function of the wire radius *R*.

pattern. One can also observe that most of these confinement states are located above the gap energy; lower excitonic states are stronger bounded than the higher ones. These tendencies are in agreement with available experimental and theoretical results for Rydberg states of excitons in GaAs quantum wires [48,49].

As a next step, we consider a quantum well in the form of a plane-parallel slab of Cu₂O. In our calculations, the dimensions in the z direction varied from 20 nm to the micrometer range, which corresponds to structures used in experiments by Takahata et al. [19] (lower limit) and by Kazimierczuk et al. [1] (upper limit). Such dimensions cover the OW, WOW, and exciton-polariton regimes. For any regime the calculations were performed by methods appropriate to the given regime. The limits between these regimes are not sharply defined. For example, the thickness L=200 nm is large compared to the extension of the lowest exciton state (about 4 nm), but small compared to the extension of states with j > 10. Therefore we used the criterion of the relation between the slab thickness and the wavelength of the wave propagating in the crystal, which equals to about 200 nm. We consider the slabs with L < 200 nm as QWs and use the long-wave approximation, which, together with the assumption of infinite confinement potentials, leads to the expression (38) for the effective dielectric susceptibility and, in consequence, to the expression for the absorption coefficient. The absorption line shape resulting from Eq. (6) is shown in the lowest part of Fig. 3. We observe the overlapping of exciton and confinement states. For small *j* and *N* the exciton effect prevails, whereas for large values of N the series of exciton resonances appears below every confinement state. These peaks exhibit strong, roughly parabolic shifts towards higher energy with decreasing L, which is similar to the case of quantum wires and typical for these structures in other semiconductors [50]. Eventually, the lines cross and mix together, creating a complicated spectrum, especially for $E > E_g$. Interestingly, due to the large number of confinement states present only in a thin crystal, the absorption coefficient is decreasing with L. However, the total absorption is still proportional to thickness, as shown in Fig. 4. One can also observe that the absorption discontinuity



FIG. 3. The absorption coefficient α of Cu₂O crystal, calculated from Eq. (38), as a function of crystal thickness. The top and bottom panels show cross sections for thickness L = 900 and 100 nm, respectively.

at the band gap is smeared out and disappears completely for L < 200 nm. The relative amplitude and shape of absorption peaks and the strong mixing of higher states are consistent with experimental observations by Khramtsov *et al.* for the GaAs/GaAlAs quantum wells [51]. For L > 200 nm the long-wave approximation is not valid, and the methods of Sec. VI are used. The effect of confinement decreases, and the maxima related to the exciton states with j = 2, 3... are visible. This effect is also observed in the central part of Fig. 3. When the crystal thickness is considerably larger than the wavelength inside the crystal, the reflection and transmission spectra will be strongly influenced by Fabry-Perot interference. One can



FIG. 4. The absorption coefficient from Fig. 3, for selected values of thickness.



FIG. 5. Polariton dispersion relation calculated from Eq. (47).

observe that the absorption maxima on Fig. 3 exhibit strong mixing for L < 200 nm, which creates a very complicated transmission pattern.

Figure 5 shows the exciton dispersion relation (47), including polaritonic contribution, which is represented by the term $(\mu/M_{tot})(ka^*)^2$ in the denominator. Overall, the inclusion of the polaritons gives a nonlinear shift to the position of the resonances; the higher states, which are closer to E_{a} , are more affected. It should be mentioned that such an effect could explain some discrepancies observed in fitting a simple j^{-2} model to the available experimental data; Fig. 6 shows the comparison between absorption maxima positions measured by Kazimierczuk et al. and our absorption spectrum calculated from Eq. (47) in the large thickness limit, e.g., $L = 34 \ \mu m$ [1]. For our fit, we have used the Rydberg energy R = 91.5 meV and quantum defect $\delta = 0.083$. With these values, we have obtained almost perfect fit to all excitonic peaks for i = 2-25. Note that the quantum defect affects mostly low-energy states but cannot explain the apparent deviation from the j^{-2} relation for high states. This is easily visible in Fig. 7; even with proper fitting values, the standard relation, represented by the straight



FIG. 6. Excitonic absorption in Cu₂O crystal with polaritons calculated from Eqs. (6) and (47), for $L = 34 \,\mu\text{m}$. Black lines are peaks from experimental data by Kazimierczuk *et al.* [1].



FIG. 7. Absorption peaks position comparison between theory with (47) or without polaritons to experimental data by Kazimierczuk *et al.* [1]. Line j^{-2} added for reference.

line, cannot fit all the states. On the other hand, the nonlinear curve provided by the polaritonic relation appears to be a much better fit.

The above indicated agreement can be understood as an indirect proof for existence of polariton waves. Another argument may come from experiment. At the early stage of the research on Wannier-Mott excitons a number of experiments have been performed to manifest the existence of many transverse waves (polaritons) with fixed frequency and polarization, distinguished by the index of refraction (see Broser et al. [52]). In particular, for a CdS crystal, Lebedev et al. [53] observed the simultaneous transmission of two polariton waves through a wedge shaped crystal and spatially separated them. As we have shown above [see Eq. (49)], a similar situation occurs in a Cu₂O crystal: near any exciton resonance energy there are two polariton waves with wave vectors $k_{1,2}^{(j)}$, and different indices of refraction, propagating through the crystal. So we hope that a similar experiment, as for the CdS crystal, can be performed for a Cu₂O crystal, to give an unambiguous proof for the existence of polariton waves.

IX. CONCLUSIONS

Theoretical solutions to model absorption spectra of lowdimensional systems with Rydberg excitons in a wide range of system dimensions are presented. The optical absorption spectra of Cu₂O quantum dots of different sizes-quantum wires, quantum wells, and bulk crystals-are discussed. For each system the calculations were performed using the method appropriate to the considered regime; these approaches allow one to obtain the analytical expression for the susceptibility. Results are compared with available experimental data, showing a good agreement and confirming that a blueshift in the optical spectra is an evidence of the quantum confinement effects. In particular, the calculated spectra of all low-dimensional systems exhibit a smooth transition to the bulk absorption in the limit of large size of the nanostructure. The presented calculations for bulk crystals, performed in microscopic boundary condition approximation for the exciton motion inside a crystal of finite size, are in good agreement with experimental spectra. Thus, we have shown that the existence of polaritons can explain the positions of exciton resonances with a higher accuracy than other existing models.

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