

Metal-to-metal transition and heavy-electron state in $\text{Nd}_4\text{Ni}_3\text{O}_{10-\delta}$ Bai-Zhuo Li,¹ Cao Wang,² P. T. Yang,^{3,4} J. P. Sun,^{3,4} Ya-Bin Liu,¹ Jifeng Wu,⁵ Zhi Ren,⁵ J.-G. Cheng,^{3,4,6} Guang-Ming Zhang,⁷ and Guang-Han Cao^{1,8,*}¹*Department of Physics, Zhejiang Province Key Laboratory of Quantum Technology and Devices, Interdisciplinary Center for Quantum Information, and State Key Lab of Silicon Materials, Zhejiang University, Hangzhou 310027, China*²*School of Physics & Optoelectronic Engineering, Shandong University of Technology, Zibo 255000, China*³*Beijing National Laboratory for Condensed Matter Physics and Institute of Physics, Chinese Academy of Sciences, Beijing 100190, China*⁴*School of Physical Sciences, University of Chinese Academy of Sciences, Beijing 100190, China*⁵*School of Science, Westlake Institute for Advanced Study, Westlake University, Hangzhou 310064, China*⁶*Songshan Lake Materials Laboratory, Dongguan, Guangdong 523808, China*⁷*State Key Laboratory of Low-Dimensional Quantum Physics and Department of Physics, Tsinghua University, Beijing 100084, China*⁸*Collaborative Innovation Centre of Advanced Microstructures, Nanjing University, Nanjing 210093, China*

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The trilayer nickelate $\text{Nd}_4\text{Ni}_3\text{O}_{10-\delta}$ was investigated by the measurements of x-ray diffraction, electrical resistivity, magnetic susceptibility, and heat capacity. The crystal structure data suggest a higher Ni valence in the inner perovskitelike layer. At ambient pressure the resistivity shows a jump at 162 K, indicating a metal-to-metal transition (MMT). The MMT is also characterized by a magnetic susceptibility drop, a sharp specific-heat peak, and an isotropic lattice contraction. Below ~ 50 K, a resistivity upturn with $\log T$ dependence shows up, accompanied with negative thermal expansion. External hydrostatic pressure suppresses the resistivity jump progressively, coincident with the weakening of the $\log T$ behavior. The low-temperature electronic specific-heat coefficient is extracted to be ~ 150 mJ K⁻² mol-f.u.⁻¹, equivalent to ~ 50 mJ K⁻² mol-Ni⁻¹, indicating an unusual heavy-electron correlated state. The novel heavy-electron state as well as the logarithmic temperature dependence of resistivity is explained in terms of the Ni³⁺-centered Kondo effect in the inner layer of the (NdNiO₃)₃ trilayers.

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Perovskitelike nickelates possess similar crystal and electronic structures to those of high- T_c cuprate superconductors [1]. It was earlier theoretically expected that high- T_c superconductivity could be realized in layered nickelates with NiO₂ sheets [2–4], although opposing ideas were later argued by addressing the differences [5,6]. Very recently, superconductivity at $T_c = 9$ –15 K was reported in Nd_{0.8}Sr_{0.2}NiO₂ single-crystalline thin films deposited on a SrTiO₃ substrate [7]. This finding makes layered nickelates a hot research topic in the condensed matter community [8–18].

Most layered nickelates are structurally related to the Ruddlesden-Popper (RP) series, $L_{n+1}\text{Ni}_n\text{O}_{3n+1}$ (L = lanthanide elements) [19], which contains perovskite-type (LNiO₃) _{n} block layers that are connected with a rocksalt-type LO layer. The average formal Ni valence in $L_{n+1}\text{Ni}_n\text{O}_{3n+1}$ changes with n , being 2+, 2.5+, 2.67+, and 3+, respectively, at $n = 1, 2, 3$, and ∞ . Furthermore, the apical oxygen atoms between the NiO₂ planes can be removed by a topochemical reduction, giving rise to the variant series $L_{n+1}\text{Ni}_n\text{O}_{2n+2}$ [20], with formal Ni valence of 1.5+, 1.33+, and 1+, respectively, for $n = 2, 3$, and ∞ . Note that the Ni valence state in the

trilayer $L_4\text{Ni}_3\text{O}_8$ is mostly close to that in the superconducting nickelate Nd_{0.8}Sr_{0.2}NiO₂. Therefore, the trilayer nickelates seem to be promising with regard to realizing superconductivity in the bulk form.

As the precursor of $L_4\text{Ni}_3\text{O}_8$, $L_4\text{Ni}_3\text{O}_{10}$ belongs to the RP nickelates which contains trilayers of NiO₂ sheets. So far, there are only three members in the family, with $L = \text{La}$, Pr, and Nd, respectively. Zhang and Greenblatt [21] earlier reported the synthesis, structure, and physical properties of $L_4\text{Ni}_3\text{O}_{10-\delta}$. Among them, La₄Ni₃O₁₀ showed a metallic behavior with Pauli paramagnetism. For $L = \text{Pr}$ and Nd, a metal-to-metal transition (MMT) was observed at 145 and 165 K, respectively, from the resistivity measurements. It was later indicated that the oxygen stoichiometry of La₄Ni₃O_{10 \pm δ} influenced the electronic properties [22]. A similar MMT at 140 K was also observed for the as-prepared La₄Ni₃O_{10.02} and reduced La₄Ni₃O_{9.78}, while the oxidized La₄Ni₃O_{10.12} did not show evidence of an MMT. Recently, the MMT in La₄Ni₃O₁₀ was found to be accompanied by a structural response featured by an expansion in the b axis but without any change in the space group [23,24]. Note that the temperature dependence of magnetic susceptibility shows only a gradual decrease at the MMT [22,24,25], in contrast with the obvious jumps in the temperature dependency of resistivity and specific heat [23,24].

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The MMT was earlier attributed to a charge-density-wave (CDW) instability [21] or charge ordering [22]. The tight-binding band structure calculation study suggested two hidden one-dimensional Fermi surfaces which could be responsible for the CDW instability [26]. Recent angle-resolved photoemission spectroscopy (ARPES) measurements [1] on $\text{La}_4\text{Ni}_3\text{O}_{10}$ crystals indicated that, at the MMT, a gap of 20 meV opens in a flat band with strong $d_{3z^2-r^2}$ orbital character, whereas no pseudogap was found in the band with the dominant $d_{x^2-y^2}$ character. Nevertheless, the origin of the MMT remains elusive.

As the third member of $L_4\text{Ni}_3\text{O}_{10}$, $\text{Nd}_4\text{Ni}_3\text{O}_{10}$ has been rarely studied [21,27]. Regarding the resistivity jump, no magnetic anomaly was observable, primarily due to the large magnetic contributions from the Nd^{3+} ions. Another motivation of this work is that Nd^{3+} has the smallest ionic radius among the L^{3+} ions [28]. This gives rise to the smallest tolerance factor, defined by $t = (r_L + r_O)/[\sqrt{2}(r_{\text{Ni}} + r_O)]$ [21], where r_L , r_O , and r_{Ni} are respectively the radii of the L^{3+} , O^{2-} , and Ni^{2+} . The t value would significantly influence the physical properties, like the case in the LNiO_3 system [29,30]. In this paper we study $\text{Nd}_4\text{Ni}_3\text{O}_{10-\delta}$, particularly focusing on the MMT and the low-temperature properties. We found that the MMT is not only identified by the resistivity jump but also characterized by a magnetic susceptibility drop, a specific-heat peak, and a nearly isotropic lattice contraction. Furthermore, compared with the sister compound $\text{La}_4\text{Ni}_3\text{O}_{10}$, the material shows a much larger electronic specific-heat coefficient and an enhanced $\log T$ dependence in the low-temperature resistivity, indicating a novel heavy-fermion correlated electronic state in the title material.

II. EXPERIMENTAL METHODS

$\text{Nd}_4\text{Ni}_3\text{O}_{10-\delta}$ polycrystalline samples were synthesized via high-temperature solid-state reactions. The source materials were Nd_2O_3 (99.997%, Alfa Aesar) and NiO (99.998%, Alfa Aesar), which were mixed in the stoichiometric ratio ($\text{Nd}:\text{Ni} = 4:3$). The ground mixture was first calcined at 1100°C in oxygen atmosphere, holding for 36 h. The result was found to be Nd_2NiO_4 , $\text{Nd}_3\text{Ni}_2\text{O}_{7-\delta}$, and $\text{Nd}_4\text{Ni}_3\text{O}_{10-\delta}$. In order to obtain the single-phase sample of $\text{Nd}_4\text{Ni}_3\text{O}_{10-\delta}$, the intermediate product (being reground and pressed into pellets) was sintered at 1100°C for 48 h in oxygen atmosphere (0.3–0.5 MPa at 1100°C). The oxygen gas was generated by the decomposition of Ag_2O (99.7%, Aladdin) and, together with the sample pellets, an appropriate amount of Ag_2O was placed and sealed in an evacuated silica ampule. After the solid-state reaction, the oxygen pressure was estimated to be 0.1–0.15 MPa at 500°C . Finally, a single-phase sample of $\text{Nd}_4\text{Ni}_3\text{O}_{10-\delta}$ was obtained. The as-prepared sample was found to be stable in air. According to previous literature [21,27], the sample prepared under an oxygen pressure of ~ 0.1 MPa (furnace-cooled to 500°C) has an oxygen deficiency with $\delta \sim 0.15$.

The sample was structurally examined by powder x-ray diffractions (XRD) using a PANalytical diffractometer (Empyrean Series 2) with a monochromatic $\text{CuK}\alpha 1$ radiation. The crystal structures were refined by Rietveld analysis using the GSAS package [31]. The fractional coordinates and the occupancies of the oxygen atoms were fixed according to the

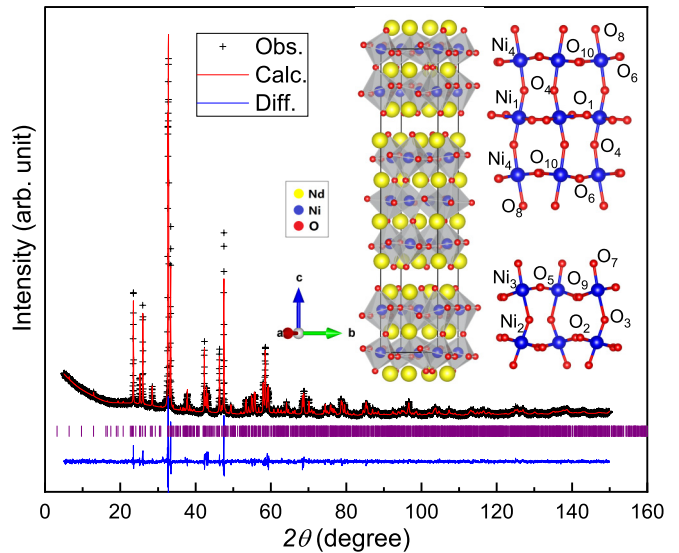


FIG. 1. Powder x-ray diffraction at room temperature and its Rietveld refinement profile of $\text{Nd}_4\text{Ni}_3\text{O}_{10-\delta}$. The insets show the crystal structure with vertex-sharing NiO_6 octahedra.

neutron diffraction result [27], because neutron diffraction in general gives more accurate atomic positions for oxygen.

The temperature dependence of electrical resistivity and heat capacity was measured on a Quantum Design physical properties measurement system (PPMS-9). In the resistivity measurement, the sample pellet was cut into a thin rectangular bar on which four parallel electrodes were made with silver paste. The dc magnetization was measured on a Quantum Design magnetic property measurement system (MPMS3). The high-pressure resistivity was measured with the standard four-probe method in a palm-type cubic anvil cell (CAC) apparatus [32]. The sample employed was denser than the previous one. Glycerol was used as the pressure-transmitting medium. The pressure values were estimated from the pressure-load calibration curve determined by observing the characteristic phase transitions of Bi (2.55, 2.7, 7.7 GPa), Sn (9.4 GPa), and Pb (13.4 GPa) at room temperature. It should be noted that the pressure values inside the CAC exhibit slight variations upon cooling, which has been well characterized in the previous work [32].

III. RESULTS AND DISCUSSION

A. Crystal structure

Figure 1 shows the XRD and its Rietveld-analysis profile for the $\text{Nd}_4\text{Ni}_3\text{O}_{10-\delta}$ sample studied in this paper. The Rietveld refinement was based on the structural model with the space group of $P2_1/a$ and $Z = 4$ [27]. The R factors and the goodness of the refinement are $R_{\text{wp}} = 5.0\%$, $R_p = 3.47\%$, and $\chi^2 = 1.94$, respectively, suggesting reliability of the structural parameters fitted. The unit-cell parameters obtained are $a = 5.36550(6)$ Å, $b = 5.45462(6)$ Å, $c = 27.4186(3)$ Å, and $\beta = 90.318(1)^\circ$, which are consistent with the previous report [$a = 5.3675(2)$ Å, $b = 5.4548(2)$ Å, $c = 27.433(1)$ Å, and $\beta = 90.312(2)^\circ$ [27]].

TABLE I. Bond valence sums (BVS) of Nd and Ni calculated with the related interatomic distances at 300 K in $\text{Nd}_4\text{Ni}_3\text{O}_{10-\delta}$. IL and OL are the abbreviations for inner layer and outer layer, respectively.

Nickel	site	x	y	z	$d(\text{Ni} - \text{O}_{\text{ap}})$		$d(\text{Ni} - \text{O}_{\text{eq}})$				BVS(Ni)						
Ni1 (IL1)	2b	0.0000	0.5000	0.5000	1.945	1.945	1.946	1.979	1.946	1.979	2.64						
Ni2 (IL2)	2a	0.0000	0.0000	0.0000	1.936	1.936	1.949	1.949	1.932	1.932	2.77						
Ni3 (OL1)	4e	-0.0157	0.0146	0.1406	1.994	2.117	1.956	2.042	1.867	1.877	2.58						
Ni4 (OL2)	4e	0.4988	0.0123	0.6409	2.118	1.989	1.882	1.897	1.993	1.951	2.59						
Neodymium	site	x	y	z	$d(\text{Nd} - \text{O}_{\text{up}})$		$d(\text{Nd} - \text{O}_{\text{mid}})$			$d(\text{Nd} - \text{O}_{\text{dn}})$		BVS(Nd)					
Nd1 (OL1)	4e	-0.0062	0.0177	0.3010	2.343		2.832	2.350	2.639	3.194	2.325	2.652	2.472	2.417	2.89		
Nd2 (OL2)	4e	0.5002	0.0111	0.8004	2.335		3.106	2.897	2.440	2.567	2.399	2.391	2.700	2.701	2.58		
Nd3 (IL1)	4e	0.0369	0.0069	0.4312	2.626	3.123	2.862	2.588	2.961	2.373	2.476	3.103	2.345	3.250	2.560	2.681	2.71
Nd4 (IL2)	4e	0.5153	-0.0092	0.9309	2.506	2.859	2.573	2.919	2.263	2.772	3.192	2.642	2.759	2.578	3.025	2.462	2.73

Shown in the inset of Fig. 1 is the crystal structure of $\text{Nd}_4\text{Ni}_3\text{O}_{10-\delta}$, which contains triple perovskite-type block layers in which the vertex-sharing NiO_6 octahedra are distorted, twisted, and tilted. There are four distinct crystallographic sites for Ni atoms; two of them are in the inner layer (IL), and others are in the outer layer (OL). To assess the possible charge-ordering state of $\text{Ni}^{3+} - \text{Ni}^{2+} - \text{Ni}^{3+}$ in the trilayer [22], we calculated the bond valence sum (BVS) [33] for the Ni ions using the formula $\sum \exp(\frac{R_0 - d_{ij}}{0.37})$, where R_0 is 1.654 Å for a $\text{Ni}^{2+} - \text{O}$ bond, and d_{ij} are the measured interatomic distances between Ni cations and the coordinated oxygen anions. As is seen in Table I, the BVS values of the Ni atoms are about 2.6, consistent with the average formal Ni valence in $\text{Nd}_4\text{Ni}_3\text{O}_{10}$. However, there is no tendency of the charge ordering of $\text{Ni}^{3+} - \text{Ni}^{2+} - \text{Ni}^{3+}$. On the contrary, the Ni valence in the IL turns out to be higher. Similar results were reported for $\text{La}_4\text{Ni}_3\text{O}_{10}$ and $\text{Pr}_4\text{Ni}_3\text{O}_{10}$ [23]. Therefore, the possible extreme charge ordering scenario should be the case that the Ni valence in the IL is Ni^{3+} , and correspondingly, the formal Ni valence in the OLs could be 2.5+ for the stoichiometric $\text{Nd}_4\text{Ni}_3\text{O}_{10}$. Note that the interatomic distances between Ni and the apical oxygen d_{ap} are very different in the ILs and OLs. In the OLs, d_{ap} are obviously larger, reflecting a Jahn-Teller-like distortion or an orbital polarization [34]. The higher Ni valence in the ILs seems to be related to the shorter d_{ap} . It is of great interest to have a similar analysis for the low-temperature crystallographic data using neutron diffractions (such a work is underway). We also calculate the BVS values for Nd ions. As listed in Table I, they are reasonably close to the conventional valence of Nd^{3+} , albeit of the difference in coordination number.

B. Electrical resistivity

Figure 2 shows the temperature dependence of resistivity for the as-prepared $\text{Nd}_4\text{Ni}_3\text{O}_{10-\delta}$ polycrystalline sample. The $\rho(T)$ behavior is basically metallic, and no sign of superconductivity is observed down to 0.16 K [see the inset of Fig. 2(a)]. One can immediately see a resistivity jump at $T_{\text{MM}} = 161.3$ K, indicating a MMT which is basically consistent with the previous observation (the transition temperature, defined as the onset of the steep resistivity increase, was actually ~ 155 K rather than 165 K in the previous report [21]).

No thermal hysteresis is obvious, suggesting a second-order transition or a weakly first-order transition. The finite resistivity jump implies a partial band-gap opening at the Fermi level, E_{F} .

Noticeably, the $\rho(T)$ data show an obvious upturn below 50 K, which approximately obeys a logarithmic

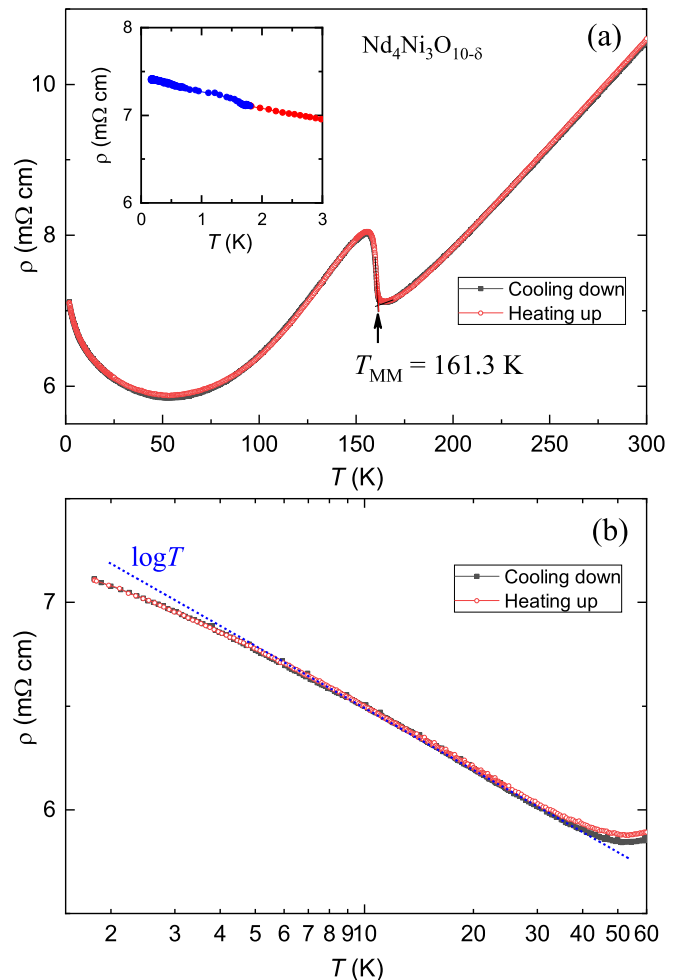


FIG. 2. Temperature (a) and logarithmic temperature (b) dependence of electrical resistivity of the $\text{Nd}_4\text{Ni}_3\text{O}_{10-\delta}$ polycrystalline sample.

temperature dependence, as shown in Fig. 2(b). The result is different from that of its sister compound $\text{La}_4\text{Ni}_3\text{O}_{10-\delta}$, the latter of which shows only a tiny (if not none) resistivity upturn [1,21,22,24,25]. In comparison, the low-temperature $\rho(T)$ curve of $\text{Pr}_4\text{Ni}_3\text{O}_{10.1}$ exhibits a clearer upturn [35]. This trend suggests that the enhanced resistivity upturn in $\text{Nd}_4\text{Ni}_3\text{O}_{10-\delta}$ is associated with either the magnetism of Nd^{3+} ions or the smaller Nd^{3+} ions (compared with La^{3+}). The latter gives rise to a strong lattice distortion and a consequent “more localized” electronic state akin to the case in LNiO_3 [29,30]. In general, the energy level of Nd - $4f$ electrons is far below the E_F , and the effective hybridization with the conduction bands is negligible. Note that a similar logarithmic temperature dependence of resistivity was seen in NdNiO_2 [7] and LaNiO_2 [36] thin films, which was recently interpreted in terms of the Ni-moment-centered Kondo scattering [15]. Such a novel Kondo-like interaction was also theoretically discussed [10,17] and was recently demonstrated by the x-ray spectroscopy and density functional calculations for in NdNiO_2 or LaNiO_2 [8]. For $\text{Nd}_4\text{Ni}_3\text{O}_{10-\delta}$ here, partial Ni- $3d$ electrons possibly become localized below T_{MM} (akin to the site-selective Mottness [37,38]), which carry magnetic moments (see the following analysis). Such magnetic moments could serve as the Kondo-scattering centers, like the case in NdNiO_2 or LaNiO_2 , hence giving rise to the low-temperature resistivity upturn.

C. Magnetic properties

The magnetic measurement data of $\text{Nd}_4\text{Ni}_3\text{O}_{10-\delta}$ were shown in Fig. 3. First of all, the field dependence of magnetization is essentially linear at $T > 30$ K, indicating that the sample is free of ferromagnetic impurities. At 1.8 K, the $M(H)$ curve is Brillouin-function-like, and at the lowest temperature down to 0.4 K, the magnetization almost saturates at $\sim 5 \mu_B$ per formula unit (f.u.). The magnetic moment is severely reduced for the Nd^{3+} ions, since the theoretical ordered moment of a free Nd^{3+} ion is $gJ = 3.27 \mu_B$, equivalent to $13 \mu_B/\text{f.u.}$ for $\text{Nd}_4\text{Ni}_3\text{O}_{10-\delta}$. The reduction of the Nd^{3+} moment is commonly attributed to the crystalline-electric-field (CEF) effect. The CEF effect often leads to a $J_{\text{eff}} = 1/2$ ground state for an odd number of $4f$ electrons. Indeed, the following specific-heat measurement confirms this scenario.

As shown in Fig. 3(b), the temperature dependence of susceptibility of $\text{Nd}_4\text{Ni}_3\text{O}_{10-\delta}$ is Curie-Weiss (CW) like. The CW fit in the temperature range of $165 \text{ K} < T < 300 \text{ K}$ using the formula $\chi = \chi_0 + C/(T + \theta_W)$ yields a temperature-independent term $\chi_0 = 0.0044 \text{ emu/mol-f.u.}$, a Curie constant $C = 6.43 \text{ emu K/mol-f.u.}$, and a paramagnetic Weiss temperature $\theta_W = 40.5 \text{ K}$. With the fitted Curie constant, the effective local magnetic moment is derived to be $3.59 \mu_B/\text{Nd}^{3+}$, close to the theoretical value of $3.62 \mu_B$ for free Nd^{3+} ions. This suggests that the magnetic moment from Ni at $T > T_{\text{MM}}$, if it exists, is negligible. In spite of a significantly high value of θ_W , which means substantial antiferromagnetic interactions between the Nd^{3+} moments, no magnetic transition associated with the Nd^{3+} moment is observed above 0.4 K. This could be due to a frustration effect, since the dominant magnetic coupling seems to be an indirect Ruderman-Kittel-Kasuya-Yosida (RKKY) interaction.

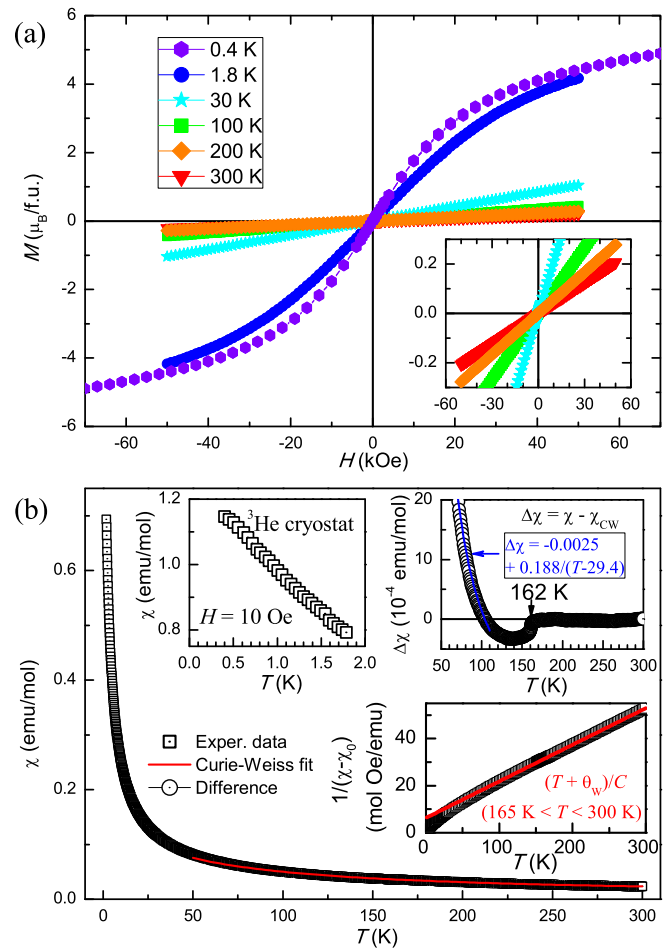


FIG. 3. (a) Magnetic field dependence of magnetization at some fixed temperature for $\text{Nd}_4\text{Ni}_3\text{O}_{10-\delta}$. The inset is a close-up for the high-temperature data, showing the linear dependence. (b) Temperature dependence of magnetic susceptibility under a magnetic field of $H = 10 \text{ kOe}$ for $\text{Nd}_4\text{Ni}_3\text{O}_{10-\delta}$. The left inset shows the susceptibility down to 0.45 K using a He-3 cryostat. The upper right inset plots the result of susceptibility subtraction, $\Delta\chi = \chi - \chi_{\text{CW}}$, where χ_{CW} is the Curie-Weiss fit, which is also shown in the lower right inset with $1/(\chi - \chi_0)$ as the vertical axis.

Finally, χ_0 is remarkably larger than the χ value at 300 K for $\text{La}_4\text{Ni}_3\text{O}_{10}$ ($0.0018 \text{ emu/mol-f.u.}$) [21,22]. The large value χ_0 should be mostly contributed from the exchange-enhanced Pauli paramagnetism, as well as the possible Van Vleck paramagnetism.

To detect a possible change in the magnetic susceptibility at the MMT, we made a subtraction using the CW-fit data as the reference. As shown in the upper-right inset of Fig. 3(b), the subtraction reveals a susceptibility drop of $\sim 3 \times 10^{-4} \text{ emu/mol-f.u.}$. According to the formula of Pauli-paramagnetic susceptibility, $\chi_P = \mu_0 \mu_B^2 N(E_F)$, the susceptibility drop corresponds to a loss of $N(E_F)$ of $\sim 9 \text{ states/eV}$, suggesting a partial gap opening. Note that the susceptibility drop is so far exclusively observed for the MMT in the $\text{La}_4\text{Ni}_3\text{O}_{10}$ family (only a gradual decrease at the MMT was seen for $\text{La}_4\text{Ni}_3\text{O}_{10}$ [22,24,25]). Also noted is that, after the subtraction, the $\chi(T)$ data below 140 K still show a

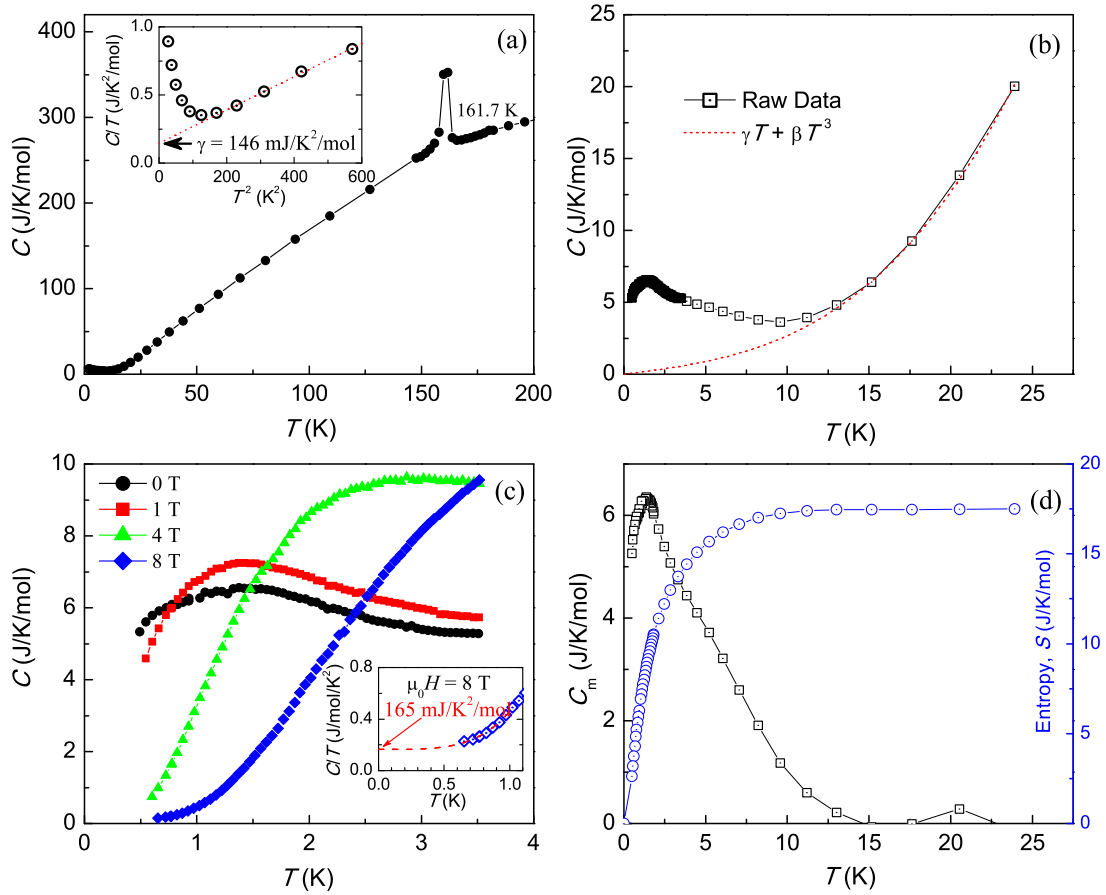


FIG. 4. Temperature dependence of specific heat for $\text{Nd}_4\text{Ni}_3\text{O}_{10-\delta}$. The insets of (a) and (c) plot C/T as functions of T^2 and T , respectively, for extracting the electronic specific-heat coefficient. (b) Extraction of the magnetic contributions which is separately shown in (d). The right axis of (d) shows the magnetic entropy obtained with the formula, $S = \int_0^T (C/T)dT$.

CW-like behavior. This remaining CW term, with an effective local moment of $\sim 1.2 \mu_B/\text{f.u.}$ (fitted with the data from 50 to 120 K), could arise from the partial localized electronic states of Ni-3d electrons. This unusual state resembles the site-selective Mottness in LNiO_3 [38]. As mentioned above, the Ni in the IL shows a higher BVS value, which implies dominant Ni^{3+} oxidation states in the ILs. Remember that NdNiO_3 with Ni^{3+} oxidation states show a metal-to-insulator transition at 201 K [29]. Thus the Ni-3d electronic states in the perovskitelike ILs are likely to be localized below T_{MM} . If this is the case, the MMT in the present system involves an interlayer charge ordering, in addition to the possible charge-transfer gap earlier proposed for LNiO_3 [29,30].

D. Specific heat

Figure 4 shows the temperature dependence of specific heat of the $\text{Nd}_4\text{Ni}_3\text{O}_{10-\delta}$ sample. Remarkably, there is a sharp peak at 161 K, further confirming the bulk nature of the MMT. The entropy associated with the MMT is extracted to be $2.8 \text{ J K}^{-1} \text{ mol-f.u.}^{-1}$, which is about twice of that in $\text{La}_4\text{Ni}_3\text{O}_{10}$ [24]. At $T < 10 \text{ K}$, the specific heat shows an upturn, as is clearly seen in the plot of C/T vs T^2 [inset of Fig. 4(a)]. In the temperature range of $13 \text{ K} < T < 25 \text{ K}$, a linear relation between C/T and T^2 exists,

reflecting the dominant contributions from the electronic part (γT) and the phonon part ($\sim \beta T^3$). The linear fit yields $\gamma = 146 \text{ mJ K}^{-2} \text{ mol-f.u.}^{-1}$ and $\beta = 1.22 \text{ mJ K}^{-4} \text{ mol-f.u.}^{-1}$. The γ value is almost one order of magnitude larger than that in $\text{La}_4\text{Ni}_3\text{O}_{10}$ [24,25], corresponding to an electronic effective mass with $m^*/m_0 \sim 26$ [24,25], which suggests a heavy-electron behavior. Finally, with the formula $\theta_D = [(12/5)NR\pi^4/\beta]^{1/3}$, where N denotes the number of atoms per formula unit ($N = 17$), the Debye temperature θ_D was estimated to be 300 K, in between those of the previous reports (256 K [25] and 384 K [24]) for $\text{La}_4\text{Ni}_3\text{O}_{10}$.

To clarify the specific-heat tail below 10 K, we carried out the specific-heat measurement down to 0.5 K using a He-3 cryostat. The data are shown in Figs. 4(b) and 4(c). There is a broad hump at 0.5–2 K that is commonly attributed to the Schottky anomaly from the Nd^{3+} ions [39,40]. These magnetic contributions were roughly extracted by removing the electronic and phonon parts, as shown in Fig. 4(d). One sees an additional shoulder at around 5 K, which could be due to a complex Schottky anomaly because of the complex CEF. The total magnetic entropy is $17.5 \text{ J K}^{-1} \text{ mol}^{-1}$, not far from the expected value of $4R \ln 2 = 23.1 \text{ J K}^{-1} \text{ mol}^{-1}$ (with four Nd^{3+} ions per formula unit) for a $J_{\text{eff}} = 1/2$ ground state. The result is basically consistent with the low-lying doublet splitting of Nd^{3+} ions.

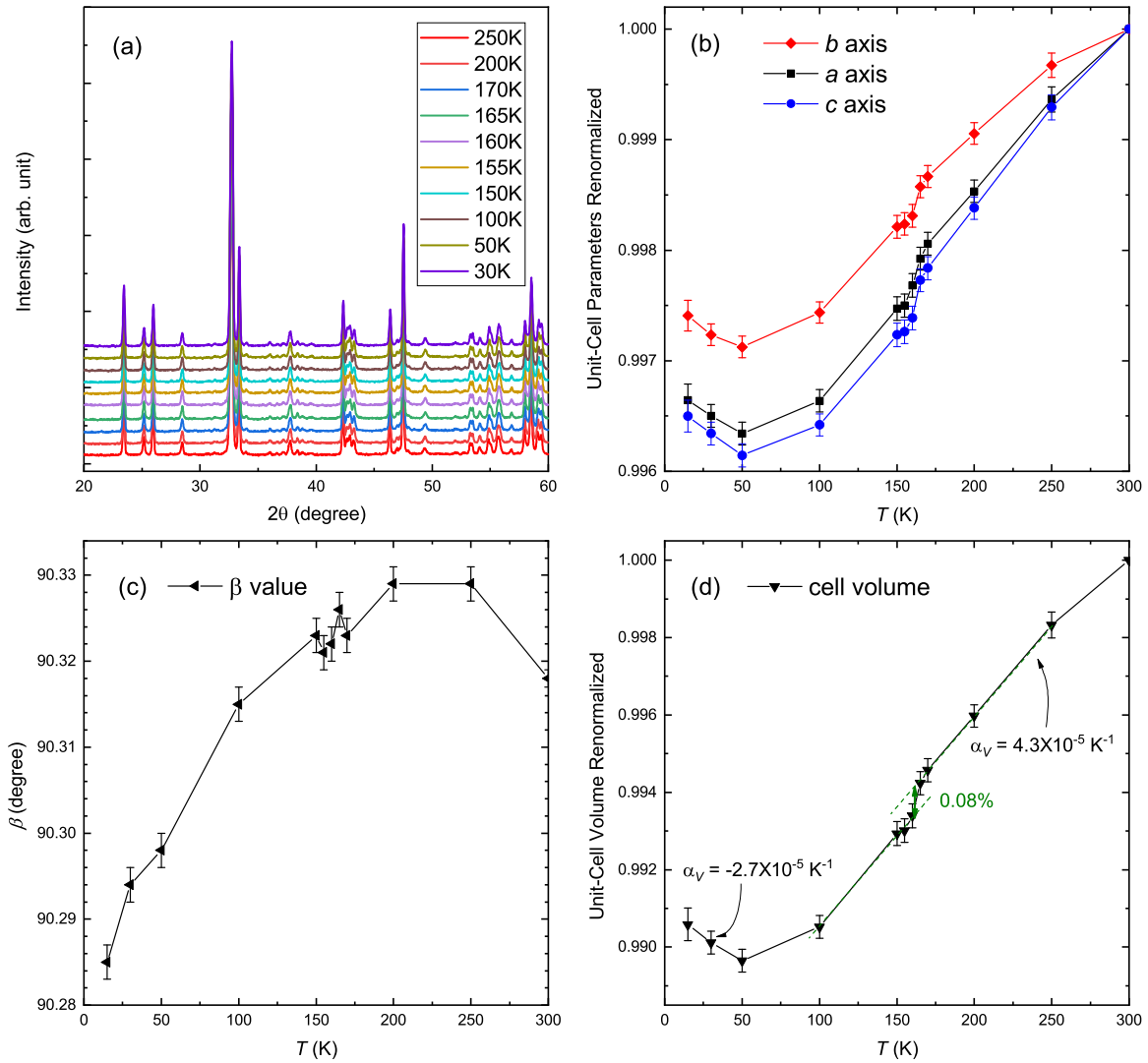


FIG. 5. (a) Low-temperature x-ray diffractions for $\text{Nd}_4\text{Ni}_3\text{O}_{10-\delta}$. (b) Temperature dependence of renormalized lattice parameters a , b , and c , which shows a lattice contraction at 162 K and lattice expansions below 50 K. (c, d) Temperature dependence of the β value and the renormalized unit-cell volume, respectively.

Under magnetic fields, the broad bump shifts to higher temperatures, as shown in Fig. 4(c), further confirming the dominant Schottky-anomaly contribution [39,40]. The specific heat at the lowest temperature of ~ 0.5 K is mostly suppressed under a magnetic field of 8 T. This allows us to extract the electronic specific-heat coefficient additionally. We made a polynomial extrapolation (with roughly assuming that the magnetic contribution at 8-T field is $\sim T^5$) down to zero temperature, which yields $(C/T)_{T \rightarrow 0} \approx 165 \text{ mJ K}^{-2} \text{ mol-f.u.}^{-1}$ [see the inset of Fig. 4(c)]. The result agrees with the γ value obtained above.

E. Low-temperature XRD

To examine the possible structure change at the MMT, we conducted the low-temperature XRD measurement on $\text{Nd}_4\text{Ni}_3\text{O}_{10-\delta}$. The XRD data at 15 K can be well fitted on the basis of the identical crystal structure with the space group of $P2_1/a$, and the data analysis could not tell whether it is the monoclinic-II phase ($Z = 2$) or it remains as the monoclinic-I phase ($Z = 4$) [41]. Figure 5(a) shows the XRD patterns at

various temperatures. They are essentially the same except for slight peak shifts due to the changes in lattice parameters. The subtraction of the XRD data between 150 and 170 K does not show any additional Bragg peaks. A similar result was reported in $\text{Rb}_{1-\delta}\text{V}_2\text{Te}_2\text{O}$ [42] and $\text{La}_4\text{Ni}_3\text{O}_{10}$ using synchrotron radiation [23,24]. Nevertheless, the possible CDW ordering cannot be ruled out due to the limitations of the XRD technique (e.g., XRD normally tells an “average” structure, and it is insensitive to light elements such as oxygen). Electron diffractions and neutron diffractions may be useful to clarify this issue in the future investigations. In particular, studies on the single-crystalline samples would be highly desirable. This is because recent synchrotron x-ray diffraction work on single crystals of $\text{La}_4\text{Ni}_3\text{O}_8$ clearly showed a quasi-two-dimensional charge stripe state [43], even though previous work on the polycrystals observed only a weak lattice response.

The lattice parameters were obtained by the Rietveld analysis, and its temperature dependence is shown in Figs. 5(b)–5(d). At $T \geq 170$ K, the lattice parameters decrease almost linearly with decreasing temperature. The volume expansion

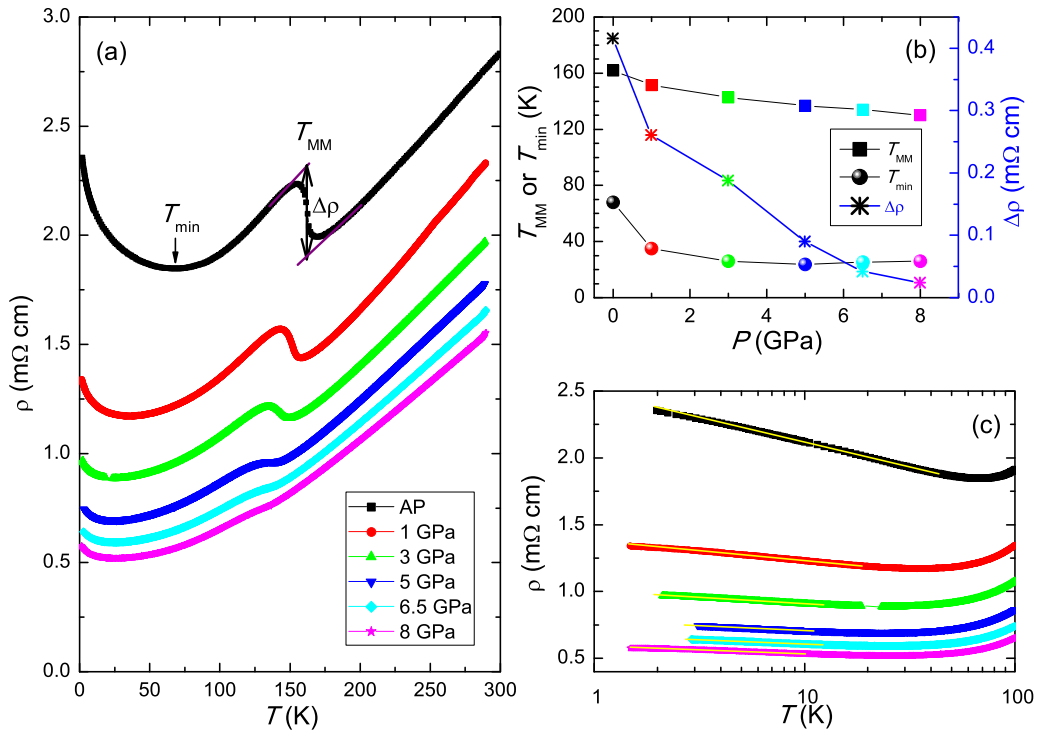


FIG. 6. (a) Temperature-dependent resistivity of $\text{Nd}_4\text{Ni}_3\text{O}_{10-\delta}$ under various hydrostatic pressures up to 8 GPa. (b) The metal-to-metal transition temperature (T_{MM}), the resistivity jump at T_{MM} ($\Delta\rho$, right axis), and the temperature at which the resistivity shows a minimum (T_{\min}) are plotted as functions of pressure. (c) Logarithmic temperature dependence of resistivity at low temperatures and under pressures. The yellow straight lines are guides to the eyes.

coefficient, $\alpha_V = (1/V)(\partial V/\partial T)_P$, is $4.3 \times 10^{-5} \text{ K}^{-1}$. At $T = 162 \text{ K}$, a steep decrease is seen in all the unit-cell dimensions, resulting in a cell volume contraction of 0.08% at the MMT. The result is different from that of $\text{La}_4\text{Ni}_3\text{O}_{10}$, in which only the b axis shows a 0.02% increase at the MMT [23,24]. The different structural response seems to originate with the relatively small tolerance factor in $\text{Nd}_4\text{Ni}_3\text{O}_{10-\delta}$. A smaller tolerance factor tends to bring more severe tilting of the NiO_6 octahedra, which leads to the decrease of lattice parameters.

Note that the lattice parameters *increase* with decreasing temperature below 50 K, indicating an anomalous negative thermal expansion (NTE) with an α_V value of $-2.7 \times 10^{-5} \text{ K}^{-1}$. There are different types of mechanisms that may lead to an NTE [44,45]. In the present case, we note that the NTE phenomenon happens coincidentally with the resistivity upturn. Also, the real magnetic portion of the specific heat seems to be higher than the extracted data shown in Fig. 4(d), if the magnetic entropy conserves. This suggests that magnetic contributions could appear at a higher temperature, in accordance with the thermodynamics of a Kondo system [46]. Thus the NTE observed is possibly associated with the “heavy-fermion” behavior [44].

F. High-pressure study

To study the pressure effect on the MMT and the low-temperature Kondo-like behavior in $\text{Nd}_4\text{Ni}_3\text{O}_{10-\delta}$, we have measured the temperature-dependent resistivity under various hydrostatic pressures up to 8 GPa using another single-phase sample. As shown in Fig. 6(a), the $\rho(T)$ data of $\text{Nd}_4\text{Ni}_3\text{O}_{10-\delta}$

at ambient pressure display a similar temperature dependence, with a jump at $T_{\text{MM}} \approx 162 \text{ K}$. Above the T_{MM} , the resistivity increases linearly with temperature, while below T_{MM} , a broad resistivity minimum centered at $T_{\min} \sim 70 \text{ K}$ appears with an enhanced resistivity upturn following a $\log T$ dependence at low temperatures. Note that the absolute resistivity is remarkably smaller than that of the previous result shown in Fig. 2(a), which is primarily due to the difference in sample density. The lower resistivity generally means less resistance contribution from grain boundaries. Therefore, the resistivity upturn at low temperatures represents an intrinsic property.

Figure 6(b) plots T_{MM} , the resistivity jump $\Delta\rho$, and T_{\min} as functions of pressure P . With increasing P , T_{MM} decreases mildly, from 162 K at 0 GPa to 130 K at 8 GPa, with an initial slope of $dT/dP \approx -10 \text{ K/GPa}$, comparable to that of $\text{La}_4\text{Ni}_3\text{O}_{10}$ (-6.9 K/GPa) [25]. Since high pressure usually stabilizes the smaller-volume phase (i.e., the low-temperature phase), which would lead to an increase in T_{MM} , the suppression of T_{MM} under pressure in $\text{Nd}_4\text{Ni}_3\text{O}_{10-\delta}$ suggests an additional effect that destabilizes the low-temperature phase. A possible mechanism is that the low-temperature phase, which is partially gapped at the Fermi level, tends to be “metallized” by external pressure. Indeed, the resistivity jump $\Delta\rho$ at T_{MM} , which reflects the loss of $N(E_F)$ and the magnitude of the “pseudogap,” is mostly sensitive to pressure, and it diminishes much faster than T_{MM} does. From these trends, it is very likely that the MMT will be eliminated completely at a finite temperature without approaching a quantum critical point. One also notes that T_{\min} decreases rapidly in the low-pressure regime and it tends to remain unchanged ($\sim 26 \text{ K}$) at higher

pressures, similar to the low-temperature $\rho(T)$ behavior of $\text{La}_4\text{Ni}_3\text{O}_{10}$ (with $T_{\min} = 20$ K) [24].

Figure 6(c) shows a semilogarithmic plot for the high-pressure low-temperature resistivity data. At ambient pressure the $\rho(T)$ data exhibit a logarithmic temperature dependence below 50 K. At $P \geq 1$ GPa, the Kondo-like resistivity upturn is remarkably reduced, coincident with the suppression of the MMT. The result suggests that the MMT may be the prerequisite of the Kondo-like electron-correlated behavior, in line with the Ni^{3+} -moment-centered Kondo effect mentioned above. Note that the weak upturn of $\rho(T)$ at higher pressures is very similar to that of $\text{La}_4\text{Ni}_3\text{O}_{10}$ [24]. This suggests that the heavy-electron behavior in $\text{Nd}_4\text{Ni}_3\text{O}_{10-\delta}$ would largely disappear under high pressures.

G. Discussion

From the results above, one sees that the MMT in $\text{Nd}_4\text{Ni}_3\text{O}_{10-\delta}$ bears both similarities and differences with that of its sister compound $\text{La}_4\text{Ni}_3\text{O}_{10}$. Both materials show a resistivity jump, a specific-heat anomaly, and a structural response at the MMT. Nevertheless, unlike $\text{La}_4\text{Ni}_3\text{O}_{10}$, which shows a continuous expansion in the b axis at the MMT [23,24], $\text{Nd}_4\text{Ni}_3\text{O}_{10-\delta}$ exhibits a nearly isotropic lattice contraction. Also, $\text{Nd}_4\text{Ni}_3\text{O}_{10-\delta}$ exclusively exhibits an obvious magnetic susceptibility drop, indicating a significant loss of $N(E_F)$. The result suggests different types of charge ordering and/or CDW among the trilayer nickelate family, primarily due to the relatively low tolerance factor as mentioned above. A similar “ionic size effect” exists in perovskite-type nickelates LNiO_3 [29]. Additional related work shows that, in ultrathin LaNiO_3 films, the strain from the substrates governs the lattice distortion, which produces an emergent charge-ordered ground state [47].

Owing to the higher Ni valence in the IL of the $(\text{NdNiO}_3)_3$ trilayers, as indicated from the BVS value, the inner perovskitelike layer may undergo a metal-to-insulator transition like the case in NdNiO_3 [29,37,38]. Meanwhile, the OLs possibly remain metallic. The resistivity jump and the magnetic susceptibility drop at the MMT support this picture. Additionally, a very recent work on $\text{Pr}_4\text{Ni}_3\text{O}_{10}$ [48] revealed metallic and semiconducting behaviors just below the MMT for electric current flowing in the ab plane and along the c axis, respectively. Considering the similarity between $\text{Pr}_4\text{Ni}_3\text{O}_{10}$ and $\text{Nd}_4\text{Ni}_3\text{O}_{10}$, a similar anisotropy probably exists in $\text{Nd}_4\text{Ni}_3\text{O}_{10}$ also. In this context, the low-temperature state of $\text{Nd}_4\text{Ni}_3\text{O}_{10}$ may be a natural metal/insulator/metal superlattice of nickelate, first proposed by Chaloupka and Khaliullin [3], in which orbital order and possible superconductivity were anticipated.

Importantly, the dominant Ni^{3+} ions in the insulating ILs carry a magnetic moment, which may serve as the Kondo-scattering centers. Note that the itinerant conduction electrons

are also from the Ni-3d electrons, albeit in the OLs. The situation is something like the site-selective Mott phase in LNiO_3 , in which the d electrons on part of the Ni^{3+} ions are localized while the d electrons on other Ni^{3+} ions form a singlet with holes on the surrounding oxygen ions [38]. With this picture in mind, the logarithmic temperature dependence of resistivity below 50 K and the heavy-electron behavior in $\text{Nd}_4\text{Ni}_3\text{O}_{10-\delta}$ can be understood in terms of Kondo scattering.

IV. CONCLUDING REMARKS

In summary, the trilayer nickelate $\text{Nd}_4\text{Ni}_3\text{O}_{10-\delta}$ exhibits a metal-to-metal transition at 162 K, featured by a resistivity jump, a susceptibility drop, a specific-heat peak, and a nearly isotropic lattice contraction. The magnetic susceptibility drop is observed in the trilayer nickelate family. Besides, $\text{Nd}_4\text{Ni}_3\text{O}_{10-\delta}$ shows a distinguishable logarithmic temperature dependence below 50 K. Furthermore, compared with $\text{La}_4\text{Ni}_3\text{O}_{10}$, $\text{Nd}_4\text{Ni}_3\text{O}_{10-\delta}$ possesses a much larger electronic specific-heat coefficient. The heavy-electron behavior is possibly associated with the localized Ni^{3+} states in the inner layer of the $(\text{NdNiO}_3)_3$ block. The different physical properties between $\text{La}_4\text{Ni}_3\text{O}_{10}$ and $\text{Nd}_4\text{Ni}_3\text{O}_{10}$ highlight the role of the tolerance factor in controlling the electronic state as well as the lattice distortion.

At least phenomenologically, the MMT of $\text{Nd}_4\text{Ni}_3\text{O}_{10-\delta}$ bears similarities to those of the Fe-based [49] and Ti-based [50,51] pnictides. The latter are associated with a density-wave instability, and suppression of the density-wave ordering gives rise to superconductivity [49,52,53]. In $\text{Nd}_4\text{Ni}_3\text{O}_{10-\delta}$, external hydrostatic pressure tends to quench the MMT at a finite temperature, and a quantum critical point with emergence of superconductivity is unlikely. Nevertheless, superconductivity could be hopefully realized in the trilayer nickelate through a suitable chemical substitution in the future.

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