


Photocreation of a dark electron-hole pair in a quantum dotShiue-Yuan Shiau *Physics Division, National Center for Theoretical Sciences, Hsinchu 30013, Taiwan*Benoit Eble, Valia Voliotis , and Monique Combescot*Sorbonne Université, CNRS, Institut des NanoSciences de Paris, 75005 Paris, France*

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Photon absorption in a semiconductor produces *bright* excitons that recombine very fast into photons. Here, we show that in a quantum dot set close to a *p*-doped reservoir, this absorption can produce a *dark* duo, i.e., an electron-hole pair that does not emit light. This unexpected effect relies on the fact that the wave function for a hole leaks out of a finite-barrier dot less than for an electron. This difference can render the positively charged trio unstable in the dot by tuning the applied bias voltage in a field-effect device. The unstable trio that would result from photon absorption in a positively charged dot has to eject one of its two holes. The remaining duo can be made dark with a probability close to 100% after a few pumping cycles with linearly polarized photons, in this way engineering long-lived initial states for quantum information processing.

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The demand for memory storage is fueling the search for long-lived qubits. Due to tremendous progress in nanofabrication, semiconductor quantum dots (QDs) are highly promising stationary qubits based on solid state systems, with applications in quantum communication, quantum sensing, and quantum computing nanodevices [1]. When trapped in a QD, a carrier spin or a photocreated electron-hole (*eh*) pair implements qubits that can be coherently initialized, manipulated, and read out using short laser pulses [2–4]. In the quest for robust long-lived qubits, two strong candidates have emerged: (1) the hole spin which has a coherence time as long as a few hundred microseconds, due to its weak hyperfine interaction with nuclear spins [5–8]; and (2) the dark *eh* pair [9] because, being made of same-spin carriers, it cannot recombine. A dark pair, which should be better called a dark “duo” instead of a dark “exciton” for reasons developed in Ref. [10], can stay in a dot for over μs , until it turns bright due to spin relaxation [11,12] or valence band mixing [13–15]. By contrast, a bright duo only lasts a few hundred picoseconds before recombination [16]. While the hole spin in a QD provides a qubit that can be deterministically controlled with high fidelity by using charge-controlled devices [5,17], the only scheme based on dark duos proposed up to now relies on the radiative cascade of a metastable biexciton [12,18].

In this Rapid Communication, we propose a protocol that, in a *p*-doped QD structure, deterministically produces a dark duo through a three-step process, the *ehh* trio serving as an unstable excited state (see Fig. 1): (1) An empty dot is charged with a hole from the nearby *p*-doped reservoir; (2) an *eh* pair is photocreated in this positively charged dot, which would lead to an *ehh* trio; (3) as the *ehh* trio is unstable, one of its two holes tunnels out. The duo that remains in the dot can be dark or bright. If it is dark, the dot becomes transparent to any new incoming photon due to Pauli blocking [19] and stays with its

dark duo; if bright, the duo recombines and the above cycle can continue until the dot ends with a dark duo.

To realize such a cycle, the simplest idea is a device based on a *p-i-n*-type diode, with a layer of dots located at a few ten nanometers from the surface of a *p*-doped reservoir [5,20,21], in order for the hole tunneling rate to be comparable to the spontaneous recombination rate of the *eh* pair in a trio, of the order of ns^{-1} .

Our proposal relies on the idea that the dot having a neutral *eh* pair is positively charged because for finite barrier heights, the wave function for the hole leaks out of the dot less than for an electron [22]. So, the energy cost for a hole to be trapped in a dot is less when the dot is empty than when it contains an *eh* duo. Through an appropriate bias voltage, it is possible to make one hole stable in the dot but not an *ehh* trio: One hole has to leave when an *eh* pair is photocreated in a positively charged dot. Depending on the ejected hole spin, the remaining duo can be bright or dark.

The caveat is that the spin of the tunneling hole is uncontrollable. To overcome this issue, we can repeat the cycle. This demands (i) short pump pulses to avoid stimulated trio recombination, (ii) the time between pulses synced to the cycle time, and (iii) a fast cycle time to reach a high dark-duo probability during the dark-duo lifetime. Another problem is to never end in a state transparent to the laser pulse. This can be done by using linearly polarized photons. Let us now delve into the above physics.

The p-doped structure. The cycle we propose requires a reservoir of holes because holes, far heavier than electrons, leak less out of a finite-barrier dot. This stabilizes the *ehh* trio, but destabilizes the *ehh* trio [22]. By choosing the applied bias voltage such that the photocreated *ehh* trio becomes unstable, one of the two holes has to tunnel out, leaving the dot with a neutral *eh* duo.

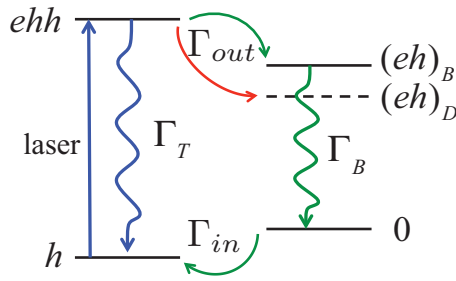


FIG. 1. Creation of a dark duo (red). The pumping laser is tuned on the $h \rightarrow ehh$ resonance. The hole tunneling rates in and out the dot are $\Gamma_{in} \sim \Gamma_{out}$, while $\Gamma_T \sim \Gamma_B$ are the spontaneous recombination rates of the trio and bright duos.

Let ε_e and ε_h be the energies of an electron and a hole in the QD [see Fig. 2(a)]. Due to the Coulomb contribution, its induced energy denoted as γ , we can write the energy of an eh duo as $\varepsilon_{eh} = \varepsilon_e + \varepsilon_h + \gamma_{eh}$, with γ_{eh} negative, and the energy of an ehh trio as $\varepsilon_{ehh} = \varepsilon_e + 2\varepsilon_h + \gamma_{ehh}$. For small dots, carrier correlations are weak, so $\gamma_{ehh} \simeq 2\gamma_{eh} + \gamma_{hh}$. Since in a QD the wave function for a hole extends less than for an electron, two holes repel each other more than one hole attracts an electron, so $|\gamma_{eh}| < \gamma_{hh}$, which leads to

$$0 < \gamma_{ehh} - \gamma_{eh}. \quad (1)$$

The sign of this difference makes one hole stable in the QD, whereas this is not necessarily so for an ehh trio [22]. In a few nanometers thick InAs/GaAs quantum dot, experiments [27–29] give this difference as a few meV.

Bias voltage. We consider a QD close to a p -doped reservoir, the hole tunneling rate being possibly increased by acting on the bias voltage.

(1) Without a photocreated eh pair, the QD is either empty or contains a hole, depending on the hole electrostatic energy \mathcal{W} induced by the bias voltage. For large \mathcal{W} , the QD is empty

[Fig. 2(a)], while below a \mathcal{W}_h^* threshold, a hole tunnels to the dot—which amounts to adding an electron to the reservoir [Fig. 2(b)].

(2) When an eh pair is photocreated, the dot can have an ehh trio, an eh duo, or just an electron [lower panel of Fig. 2(c)]. For \mathcal{W} larger than \mathcal{W}_e^* , the photocreated hole tunnels to the reservoir, and the QD stays with the electron. For \mathcal{W} smaller than \mathcal{W}_{ehh}^* , a hole tunnels to the QD to form a stable ehh trio. In between, $\mathcal{W}_{ehh}^* < \mathcal{W} < \mathcal{W}_e^*$, the QD contains one eh duo, the hole sea being unchanged.

As shown in Ref. [22], these thresholds are ordered as

$$\mathcal{W}_{ehh}^* < \mathcal{W}_h^* < \mathcal{W}_e^*, \quad (2)$$

which follows from the inequality (1).

In the following, we will restrict to $\mathcal{W}_{ehh}^* < \mathcal{W} < \mathcal{W}_h^*$, that is, a bias voltage for which the QD hosts a hole, but once an eh pair is photocreated, one of the two holes has to tunnel out. The $\mathcal{W}_{ehh}^* - \mathcal{W}_h^*$ range, equal to $\gamma_{ehh} - \gamma_{eh}$ (see Ref. [22]), is large enough to experimentally set the bias voltage within this range.

Spin of the tunneling hole. Due to spin-orbit interactions and confinement in a dot with growth axis z , the involved holes are the heavy [30] holes $h_{\pm} = (\pm 1)_z \otimes (\pm 1/2)_z$ with spin $(\pm 1/2)_z$ and orbital symmetry $(\pm 1)_z = (\mp ix + y)/\sqrt{2}$. The h_{\pm} holes being degenerate in the dot and the reservoir, the one that tunnels is a linear combination of h_{\pm} , its creation operator reading in terms of h_{\pm} creation operators b_{\pm}^{\dagger} as

$$b_{\theta}^{\dagger} = \cos \theta b_{+}^{\dagger} + \sin \theta b_{-}^{\dagger}, \quad (3)$$

if we forget the phase factor.

When $\mathcal{W} < \mathcal{W}_h^*$, the h_{θ} hole coming into the empty dot has an unknown θ . So, the probability for the dot to contain a h_{+} or h_{-} hole is $\cos^2 \theta$ or $\sin^2 \theta$, respectively [Fig. 3(a)].

Photon polarization. We irradiate the QD having a h_{θ} hole with photons propagating along the growth axis z . The

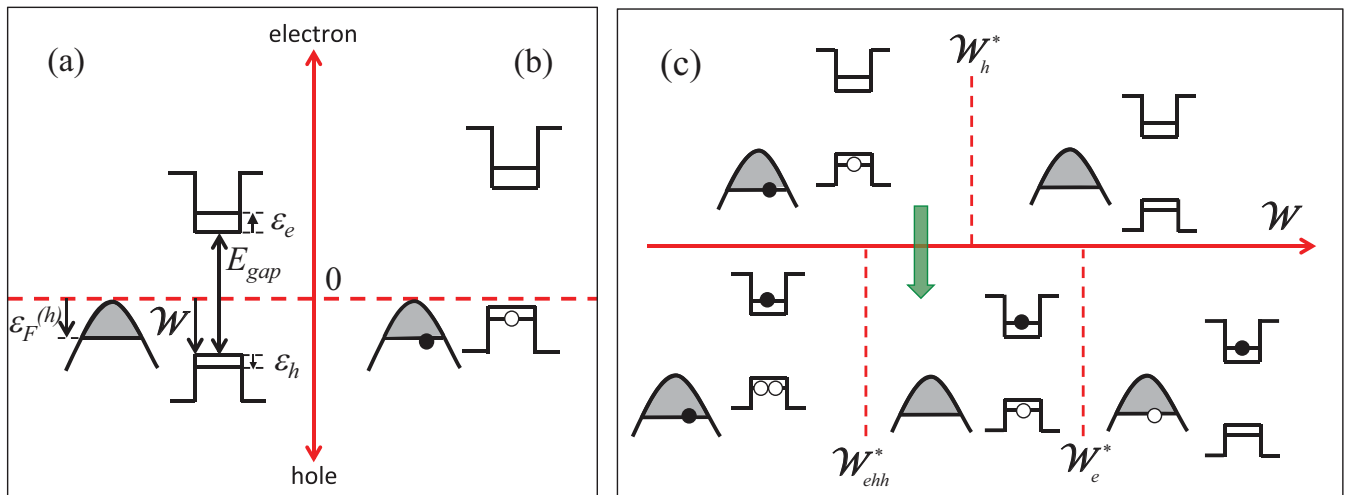


FIG. 2. Without a photocreated eh pair, the QD is empty (a) when the hole energy level is high, while it contains a hole (b) when the hole level is low. Electrons and holes are represented by black and white dots; their energy axes go in opposite directions. The hole electrostatic energy \mathcal{W} can be changed through a bias voltage between the QD and the p -doped reservoir. (c) Occupancies of the QD and the p -doped reservoir as a function of \mathcal{W} without (upper panel) and with (lower panel) a photocreated eh pair. When $\mathcal{W}_{ehh}^* < \mathcal{W} < \mathcal{W}_h^*$, the QD contains a hole before photon absorption but one eh pair only after: Absorbing a photon (green arrow) then goes along with expelling a hole from the dot.

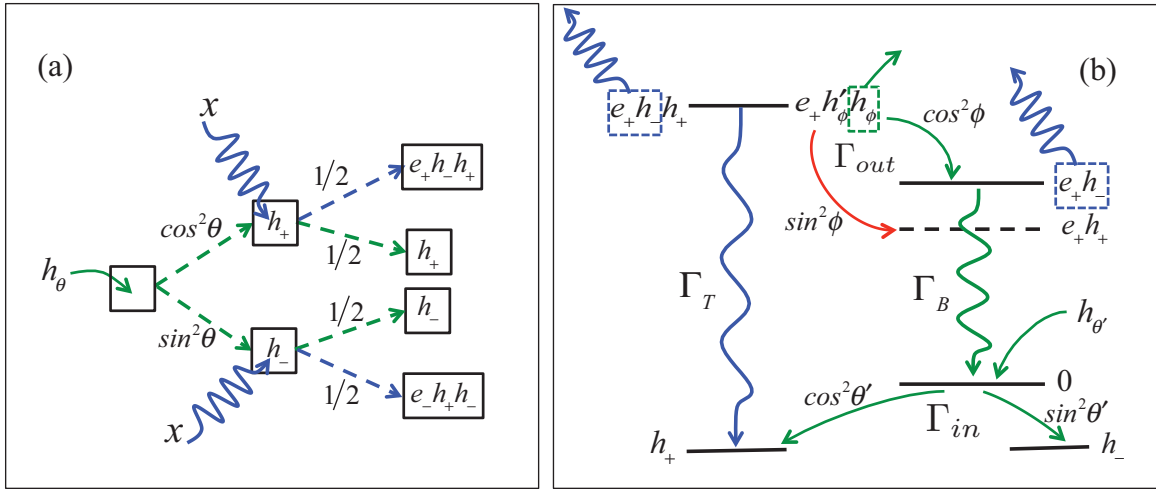


FIG. 3. (a) When a h_θ hole tunnels to the empty dot, the dot hosts a h_+ or h_- hole with a probability $\cos^2\theta$ or $\sin^2\theta$. After irradiated with a short x -photon pulse, the dot either stays unchanged or welcomes a photocreated pair, with an equal probability. This photocreated pair is e_+h_- or e_-h_+ depending on if the dot contains a h_+ or h_- hole. (b) After the end of the pump pulse, the $e_+h_+h_+$ trio either suffers a spontaneous eh recombination with a Γ_T rate, or one of its two holes tunnels out of the dot with a Γ_{out} rate. When the h_ϕ hole tunnels out, the dot has a probability $\sin^2\phi$ to stay with a dark duo e_+h_+ , and $\cos^2\phi$ to stay with a bright duo e_+h_- that recombines with a Γ_B rate. The empty dot then welcomes a h_θ hole and a new cycle can begin when another pulse arrives. This new cycle generates an $e_+h_+h_+$ or $e_-h_+h_-$ trio depending on if the dot contains a h_+ or h_- hole.

coupling between these photons and QD carriers reads

$$W_{ph\text{-dot}} = \Omega_r \sum_{\eta=\pm} a_{-\eta}^\dagger b_\eta^\dagger \alpha_\eta + \text{H.c.}, \quad (4)$$

where a_\pm^\dagger creates a conduction electron with spin $(\pm 1/2)_z$ and α_\pm destroys a photon with circular polarization σ_\pm . The time dependence of the Rabi coupling Ω_r corresponds to a short π pulse in order to obtain an efficient transfer from h to ehh in the dot.

(1) Let us first consider a pulse made of σ_- photons. Such a photon is coupled to an e_+h_- pair, so (i) it does not act on a QD holding a h_- hole due to Pauli blocking: The QD then stays with h_- . (ii) It is absorbed by a QD holding a h_+ hole. However, when $\mathcal{W}_{ehh} < \mathcal{W}$, the $e_+h_-h_+$ trio is unstable, so one of its two holes must tunnel out. The key to go further is to note that the trio state $b_+^\dagger a_+^\dagger b_-^\dagger |v\rangle$, where $|v\rangle$ is the vacuum, also reads

$$(\cos\phi b_+^\dagger + \sin\phi b_-^\dagger) a_+^\dagger (-\sin\phi b_+^\dagger + \cos\phi b_-^\dagger) |v\rangle, \quad (5)$$

regardless of ϕ , as easy to check. So, the hole that tunnels out of the dot can be a h_ϕ hole. The remaining eh pair state,

$$a_+^\dagger (-\sin\phi b_+^\dagger + \cos\phi b_-^\dagger) |v\rangle, \quad (6)$$

then corresponds to a dark duo e_+h_+ with a probability $\sin^2\phi$ and a bright duo e_+h_- with a probability $\cos^2\phi$. This bright duo will recombine; a new hole will tunnel to the empty dot and a new cycle can start again.

(2) The problem is that a dot with a h_- hole is transparent to all incoming σ_- photons, so a dark duo can no longer be produced. To avoid this plight, we can use linearly polarized photons σ_x . A dot with a hole, h_+ or h_- , then has an equal probability to stay unchanged or to absorb a σ_x photon and produce an $e_\pm h_+ h_-$ trio. Therefore, the unique dot state

transparent to σ_x photons is a dot with a dark duo, which then is the only possible final state after many cycles.

Trio evolution. After the end of a pump pulse, the ehh trio can lose either one of its two holes by tunneling out at a Γ_{out} rate, or its eh pair by spontaneous recombination at the Γ_T rate (Fig. 1). The time evolution of the $e_+h_-h_+$ trio follows from $dn_T/dt = -(\Gamma_{out} + \Gamma_T)n_T$. After the tunneling out of a h_ϕ hole [Fig. 3(b)], the time evolution of the remaining duo follows from $dn_D/dt = \sin^2\phi \Gamma_{out} n_T$ if it is dark, and $dn_B/dt = \cos^2\phi \Gamma_{out} n_T - \Gamma_B n_B$ if it is bright, since the bright pair can recombine. In the latter case, a new hole tunnels into the empty dot from the reservoir.

From these rate equations, we can derive the various dot occupancies. As shown in Ref. [22], they read in terms of

$$R = \frac{\Gamma_{out}}{\Gamma_{out} + \Gamma_T}, \quad (7)$$

which results from the competition between hole tunneling and spontaneous eh recombination in a trio.

Dark-duo probability after n cycles. The algebra to derive the dot occupancies is greatly simplified if we consider that the holes which tunnel in and out the dot are ‘‘average’’ holes, that is, $(h_+ + h_-)/\sqrt{2}$. Results for general (h_θ, h_ϕ) holes can be found in Ref. [22].

(1) We then start with a dot that has an equal probability to be occupied by a h_\pm hole, $F_\pm^{(0)} = 1/2$.

(2) After the absorption of a σ_x photon and the evolution of the resulting trio, the dot can contain one of the two dark duos, e_+h_+ or e_-h_- , with a probability $G_\pm^{(1)} = (R/4)F_\pm^{(0)}$ (see Ref. [22]). Accordingly, the dot occupation by a h_\pm hole reduces to $F_\pm^{(1)} = F_\pm^{(0)} - G_\pm^{(1)} = (1 - R/4)F_\pm^{(0)}$.

(3) The second cycle, which starts with a smaller hole occupation, brings an additional dark-duo probability $(R/4)F_\pm^{(1)}$, so the dark-duo occupation becomes

$G_{\pm}^{(2)} = (R/4)(F_{\pm}^{(0)} + F_{\pm}^{(1)})$, while the hole occupation reduces further to $F_{\pm}^{(2)} = (1 - R/4)F_{\pm}^{(1)}$.

(4) Iteration to the n th cycle gives the hole occupation as $F_{\pm}^{(n)} = F_{\pm}^{(0)}(1 - R/4)^n$ and the dark-duo occupation as

$$G_{\pm}^{(n)} = F_{\pm}^{(0)} \frac{R}{4} \sum_{m=0}^{n-1} \left(1 - \frac{R}{4}\right)^m = \frac{1}{2} \left[1 - \left(1 - \frac{R}{4}\right)^n \right]. \quad (8)$$

So, after many cycles, $F_{\pm}^{(n)} \simeq 0$ and $G_{\pm}^{(n)} \simeq 1/2$, which gives the probability $G^{(n)} = G_{+}^{(n)} + G_{-}^{(n)}$ close to 1 to have a dark duo, either $e_{+}h_{+}$ or $e_{-}h_{-}$.

The number of cycles required to reach the stationary regime decreases with increasing R , that is, increasing Γ_{out} , as possibly done by tuning \mathcal{W} close to \mathcal{W}_{ehh}^* . For its maximum value $R = 1$, the probability $G^{(n)}$ to get a dark duo reaches 95% after 10 cycles and 99% after 15 cycles. In addition, to obtain a high dark-duo probability within a span shorter than the dark-duo lifetime, it is necessary to have a short cycle time. For a short pump pulse duration, the cycle time scales as

$$\tau_{\text{cycle}} \sim \frac{1}{\Gamma_{\text{out}}} + \frac{1}{\Gamma_B} + \frac{1}{\Gamma_{\text{in}}}. \quad (9)$$

To estimate Γ_{out} , we use a WKB approximation [32]. For an InAs/GaAs dot having a 1.4 nm size, a hole mass $m_h = 0.41m_0$, a 40 kV/cm electric field, and a 50 meV hole ionization energy, we obtain $\Gamma_{\text{out}} \simeq 1 \text{ ns}^{-1}$. By considering $\Gamma_{\text{out}} \simeq \Gamma_{\text{in}}$ and $\Gamma_T \simeq \Gamma_B$ of the same order of 1 ns^{-1} , we have $R \simeq 1/2$. Then, the time necessary to produce a dark duo with a 90% probability is of the order of a tenth of the bright-duo lifetime [22].

Reading out the dark-duo occupation. We can track the dark-duo occupancy by measuring the number of photons emitted by bright duos, since the trio and duo emission lines are shifted in energy. This nonresonant read-out protocol is easy to implement experimentally. The rate equations for the trio evolution give [22] the probability for bright duos to emit

a photon after n cycles as

$$L_{ph}^{(n)} = 1 - \left(1 - \frac{R}{4}\right)^n, \quad (10)$$

which just corresponds to the dark-duo probability $G^{(n)}$ [see Eq. (8)]. The number of cycles n is related to the total duration of the pulsed excitation sequence τ_L and to the pulse repetition frequency $\omega_L \simeq 1/\tau_{\text{cycle}}$, through $n = \omega_L \tau_L$. Saturation of the bright-duo photon emission constitutes a direct optical signature that the QD contains a dark duo.

Experimental implementation. By using charge-tunable devices, it is possible to control the occupation of confined states at the single carrier level [5,6,20] and to observe sharp luminescence lines corresponding to one neutral pair, one charged pair, and so on, these lines being shifted due to Coulomb interaction.

The fast generation of dark duos through the pumping cycle we propose also requires Γ_{out} to be sizable compared to Γ_T . In realistic p -doped diodes, there is a bias range where emission lines from different charged states can coexist [5]. So, the threshold \mathcal{W}_{ehh}^* can be easily found experimentally. This confirms that the external bias can make the QD-reservoir tunneling rate comparable to the recombination rate, which is exactly the desired regime for the cycle implementation.

Conclusion. The protocol we here propose consists of a set of conceptually simple processes that lead to an unexpected effect: the photocreation of a dark electron-hole pair in a quantum dot.

This prediction physically comes from the difference in leakage of the electron and hole wave functions from a dot having finite barriers. For a bias voltage between the dot and a p -doped reservoir chosen such that a hole is stable in a dot, but not a positively charged trio, the photocreation of an electron-hole pair goes along with the expulsion of a hole from the dot, which can then end with a dark duo. Dark duos are long-lived storage units that cannot recombine into photons. We hope that the present work will stimulate more experiments on dot-based quantum memories.

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