Benchmark of density functional theory for superconductors in elemental materials

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Systematic benchmark calculations for elemental bulks are presented to validate the accuracy of density functional theory for superconductors. We developed a method to treat the spin-orbit interaction (SOI) together with the spin fluctuation (SF) and examine their effect on the superconducting transition temperature. We found the following results from the benchmark calculations: (1) The calculations, including SOI and SF, reproduce the experimental superconducting transition temperature (T_c) quantitatively. (2) The effect by SOI is small excepting a few elements, such as Pb, Tl, and Re. (3) SF reduces *Tc*'s, especially for the transition metals, whereas this reduction is too weak to reproduce the *Tc*'s of Zn and Cd. (4) We reproduced the absence of superconductivity for alkaline- (earth) and noble metals. These calculations confirm that our method can be applied to a wide range of materials and implies a direction for the further improvement of the methodology.

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I. INTRODUCTION

The first-principles calculation of the superconducting properties, such as the transition temperature (T_c) and the gap function is of great interest to explore new materials as well as to understand the physical mechanism of known superconductors. Density functional theory for superconductors (SCDFT) [\[1,2\]](#page-12-0) is one of the frameworks for such calculations; this method enables us to perform fully nonempirical simulations in the superconducting phases at a reasonable computational cost. The anisotropic Migdal-Eliashberg (ME) equations $[3]$ and the McMillan's formula $[4,5]$ which is the parametrization of the solution of the ME equations can also be used to estimate T_c . However, to solve the Migdal-Eliashberg equations [\[6\]](#page-12-0), we need to perform the summation of the Matsubara frequencies, and this summation requires a substantial computational cost. Since McMillan's formula involves an adjustable parameter to evaluate the effect of the Coulomb repulsion, the formula cannot compare the T_c 's of a wide range of materials. In SCDFT, we can treat the electron-phonon interaction, the electron-electron repulsion, and the spin-fluctuation- (SF-) mediated interaction [\[7\]](#page-12-0) in a first-principles manner. SCDFT has been applied to various kinds of materials, such as elemental materials (Al, Nb, Mo, Ta, and Pb) $[8]$, MgB₂ $[9]$, graphite intercalations $[10]$, Li under high pressure $[11]$, the H₂ molecule solid $[12]$, hydrogen compounds [\[13\]](#page-12-0), and FeSe [\[14\]](#page-12-0). On the other hand, the methodological improvements have also been proposed to include the anisotropic electron-phonon interaction, plasmons [\[15\]](#page-12-0), spin fluctuation [\[7\]](#page-12-0), and the spin-orbit interaction $(SOI) [16]$ $(SOI) [16]$.

However, the accuracy of the current approximated functional of SCDFT and the effects of SOI and SF have not been verified systematically, although such verification is highly desirable before applying this method to a wide range of materials. Such a high-throughput calculation was performed, for example, in the exploration of low-thermal-conductivity compounds using first-principles calculations together with the materials informatics [\[17\]](#page-12-0). A benchmark is also a useful tool used to find a guideline for improving the theory and approximations of the superconducting density functional. For this purpose, in this paper, we are presenting the benchmark calculations of SCDFT. As benchmark targets, we have chosen the simplest superconducting and nonsuperconducting materials, i.e., elemental materials; each material in this group comprises a single element. The particular computational cost is relatively low because most materials in this group contain only one or two atoms in the unit cell. Moreover, we can see the effects of the chemical difference and the strength of the SOI of each element.

This paper is organized as follows: In Sec. II, we explain the theoretical foundations of SCDFT, including SF and SOI, and in Sec. [III,](#page-2-0) details of the mathematical formulation and the implementation are shown. Next, we list the results together with the numerical condition in Sec. \mathbf{IV} , and present the study discussion in Sec. [V.](#page-9-0) Finally, we summarize the study in Sec. [VI.](#page-11-0)

II. THEORY

In this section, we will explain in detail the SCDFT formulation including plasmon-aided mechanism [\[15\]](#page-12-0), SF effect [\[7\]](#page-12-0), and SOI [\[16\]](#page-12-0). We use the Hartree atomic units throughout the paper. In this paper, we only consider the singlet superconductivity, whereas in Ref. [\[16\]](#page-12-0), both the singlet- and tripletsuperconducting states were considered. Within SCDFT, T_c is obtained as a temperature where the following Kohn-Sham superconducting gap $\Delta_{n\mathbf{k}}$ becomes zero at all the band *n*'s and

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wave-number **k**'s:

$$
\Delta_{n\mathbf{k}} = -\frac{1}{2} \sum_{n'\mathbf{k}'} \frac{K_{n\mathbf{k}n'\mathbf{k}'}(\xi_{n\mathbf{k}}, \xi_{n'\mathbf{k}'})}{1 + Z_{n\mathbf{k}}(\xi_{n\mathbf{k}})}
$$

$$
\times \frac{\Delta_{n'\mathbf{k}'} }{\sqrt{\xi_{n'\mathbf{k}'}^2 + \Delta_{n'\mathbf{k}'}^2}} \tanh\left(\frac{\sqrt{\xi_{n'\mathbf{k}'}^2 + \Delta_{n'\mathbf{k}'}^2}}{2T}\right), \quad (1)
$$

where $\xi_{n\mathbf{k}}$ is the Kohn-Sham eigenvalue measured from the Fermi level (ε_F) at the band index *n* and wave-number **k**. ξ*n***^k** is obtained by solving the following spinor Kohn-Sham equation:

$$
\begin{pmatrix}\n-\frac{\nabla^2}{2} + V_{\uparrow\uparrow}^{KS}(\mathbf{r}) - \varepsilon_{\mathrm{F}} & V_{\uparrow\downarrow}^{KS}(\mathbf{r}) \\
V_{\downarrow\uparrow}^{KS}(\mathbf{r}) & -\frac{\nabla^2}{2} + V_{\downarrow\downarrow}^{KS}(\mathbf{r}) - \varepsilon_{\mathrm{F}}\n\end{pmatrix}\n\begin{pmatrix}\n\varphi_{n\mathbf{k}\uparrow}(\mathbf{r}) \\
\varphi_{n\mathbf{k}\downarrow}(\mathbf{r})\n\end{pmatrix}
$$
\n
$$
= \xi_{n\mathbf{k}}\n\begin{pmatrix}\n\varphi_{n\mathbf{k}\uparrow}(\mathbf{r}) \\
\varphi_{n\mathbf{k}\downarrow}(\mathbf{r})\n\end{pmatrix},
$$
\n(2)

where $\varphi_{n\mathbf{k}\sigma}(\mathbf{r})$ is the σ component of the spinor Kohn-Sham orbital at (n, \mathbf{k}) and $V_{\sigma\sigma'}^{KS}(\mathbf{r})$ is the $\sigma\sigma'$ component of the Kohn-Sham potential with SOI (σ , $\sigma' = \uparrow$, \downarrow). Due to the offdiagonal part of the Kohn-Sham potential, the spin-up state and the spin-down state are hybridized. Therefore, the Kohn-Sham eigenvalue $\xi_{n\mathbf{k}}$ does not have a spin index (σ). The nonlinear gap equation (1) should be solved numerically at each temperature. The integration kernel $K_{n\mathbf{k}n'\mathbf{k}'}(\xi, \xi')$ indicates the superconducting-pair breaking and creating interactions and comprises the following three terms:

$$
K_{n\mathbf{k}n'\mathbf{k}'}(\xi,\xi') \equiv K_{n\mathbf{k}n'\mathbf{k}'}^{ep}(\xi,\xi') + K_{n\mathbf{k}n'\mathbf{k}'}^{ee}(\xi,\xi') + K_{n\mathbf{k}n'\mathbf{k}'}^{sf}(\xi,\xi'),
$$
\n(3)

namely, the electron-phonon, the Coulomb repulsion, and the spin-fluctuation kernel, respectively. However, the renormalization factor $Z_{n\mathbf{k}}(\xi_{n\mathbf{k}})$ comprises only the electron-phonon and spin-fluctuation terms as follows:

$$
Z_{n\mathbf{k}}(\xi) \equiv Z_{n\mathbf{k}}^{ep}(\xi) + Z_{n\mathbf{k}}^{sf}(\xi),\tag{4}
$$

because the Coulomb-repulsion contribution to this factor is already included in the Kohn-Sham eigenvalue ξ*n***k**. The temperature *T* is defined by considering the Boltzmann constant $k_{\rm B} = 1.$

Let us explain each term in the kernel and the renormalization factor below. The electron-phonon kernel *Kep* and renormalization factor Z^{ep} are given by [\[16\]](#page-12-0)

$$
K_{n\mathbf{k}n'\mathbf{k}'}^{ep}(\xi, \xi') = \frac{2}{\tanh[\xi/(2T)] \tanh[\xi'/(2T)]} \sum_{v} |g_{n\mathbf{k}n'\mathbf{k}'}^{v}|^{2}
$$

$$
\times [I(\xi, \xi', \omega_{\mathbf{k}'-\mathbf{k}v}) - I(\xi, -\xi', \omega_{\mathbf{k}'-\mathbf{k}v})], (5)
$$

$$
Z_{n\mathbf{k}}^{ep}(\xi) = \frac{-1}{\tanh[\xi/(2T)]} \sum_{n'\mathbf{k}'v} |g_{n\mathbf{k}n'\mathbf{k}'}^{v}|^{2}
$$

$$
\times [J(\xi, \xi_{n'\mathbf{k}'}, \omega_{\mathbf{k}'-\mathbf{k}v}) + J(\xi, -\xi_{n'\mathbf{k}'}, \omega_{\mathbf{k}'-\mathbf{k}v})], (6)
$$

where $\omega_{\mathbf{q}\nu}$ is the phonon frequency at wave-number **q** and branch v. $I(\xi, \xi', \omega)$ and $J(\xi, \xi', \omega)$ are derived with the Kohn-Sham perturbation theory [\[18\]](#page-12-0) and are written as

follows [\[2\]](#page-12-0):

$$
I(\xi, \xi', \omega) = f_T(\xi) f_T(\xi') n_T(\omega)
$$

$$
\times \left[\frac{e^{\xi/T} - e^{(\xi'+\omega)/T}}{\xi - \xi' - \omega} - \frac{e^{\xi'/T} - e^{(\xi+\omega)/T}}{\xi - \xi' + \omega} \right], (7)
$$

$$
J(\xi, \xi', \omega) = \tilde{J}(\xi, \xi', \omega) - \tilde{J}(\xi, \xi', \omega),
$$
 (8)

$$
\tilde{J}(\xi, \xi', \omega) = -\frac{f_T(\xi) + n_T(\xi)}{\xi - \xi' - \omega} \left[\frac{f_T(\xi') - f_T(\xi - \omega)}{\xi - \xi' - \omega} - \frac{f_T(\xi - \omega) f_T(-\xi' + \omega)}{T} \right],
$$
\n(9)

where $f_T(\xi)$ and $n_T(\omega)$ are the Fermi-Dirac and the Bose-Einstein distribution functions, respectively. The functions $I(\xi, \xi', \omega)$ and $J(\xi, \xi', \omega)$ yield a temperature-dependent retardation effect. The electron-phonon vertex *g* between Kohn-Sham orbitals indexed with (n, \mathbf{k}) and $(n', \mathbf{k} + \mathbf{q})$, and the phonon (q, v) is computed as [\[19\]](#page-12-0)

$$
g_{n\mathbf{k}n'\mathbf{k}+\mathbf{q}}^{v} = \int d^{3}r \sum_{\sigma\sigma'= \uparrow, \downarrow} \varphi_{n'\mathbf{k}+\mathbf{q}\sigma}^{*}(\mathbf{r}) \varphi_{n\mathbf{k}\sigma'}(\mathbf{r})
$$

$$
\times \sum_{\tau} \frac{\eta_{\mathbf{q}\nu}^{\tau} \cdot \delta^{\mathbf{q}\tau} V_{\sigma\sigma'}^{\text{KS}}(\mathbf{r})}{\sqrt{2M_{\tau}\omega_{\mathbf{q}\nu}}}, \qquad (10)
$$

where M_{τ} is the mass of the atom labeled by τ , $\eta_{\text{q}v}^{\tau}$ is the polarization vector of phonon (q, v) and atom τ , and $\delta^{q\tau} V_{\sigma\sigma'}^{KS}(\mathbf{r})$ is the Kohn-Sham potential deformed by the periodic displacement of atom τ and wave-number **q**,

$$
\delta^{\mathbf{q}\tau} V_{\sigma\sigma'}^{\text{KS}}(\mathbf{r}) = \sum_{\mathbf{R}} e^{i\mathbf{q}\cdot\mathbf{R}} \frac{\delta V_{\sigma\sigma'}^{\text{KS}}[\{\mathbf{r}_{\tau\mathbf{R}}\}](\mathbf{r})}{\delta \mathbf{r}_{\tau\mathbf{R}}},\qquad(11)
$$

where \mathbf{r}_{τ} **R** is the position of the atom τ at the cell **R**. We have obtained the deformation potential by the phonon calculation, based on density functional perturbation theory (DFPT) [\[20\]](#page-12-0). The electron-phonon kernel *Kep* is always negative; therefore, it makes a positive contribution in forming the Cooper pair. However, the electron-phonon renormalization factor *Zep* weakens the effect caused by the kernels.

The electron-electron repulsion kernel *Kee* in Eq. (3) is [\[16\]](#page-12-0)

$$
K_{n\mathbf{k}n'\mathbf{k}'}^{ee}(\xi,\xi') = \frac{2}{\pi} \int_0^\infty d\omega \frac{|\xi| + |\xi'|}{(|\xi| + |\xi'|)^2 + \omega^2} V_{n\mathbf{k}n'\mathbf{k}'}^{ee}(i\omega),\tag{12}
$$

where $V_{n\mathbf{k}n'\mathbf{k}'}^{ee}(i\omega)$ is the dynamically screened exchange integral between the Kohn-Sham orbitals (n, \mathbf{k}) and (n', \mathbf{k}') ,

$$
V_{n\mathbf{k}n'\mathbf{k}'}^{ee}(i\omega) = \iint d^3r d^3r' V_{RPA}(\mathbf{r}, \mathbf{r}', i\omega)
$$

$$
\times \rho_{n\mathbf{k}n'\mathbf{k}'}^{(0)}(\mathbf{r}) \rho_{n\mathbf{k}n'\mathbf{k}'}^{(0)*}(\mathbf{r}'), \tag{13}
$$

$$
\rho_{n\mathbf{k}n'\mathbf{k}'}^{(0)}(\mathbf{r}) = \sum_{\sigma=\uparrow,\downarrow} \varphi_{n\mathbf{k}\sigma}^*(\mathbf{r}) \varphi_{n'\mathbf{k}'\sigma}(\mathbf{r}). \tag{14}
$$

In this paper, we have computed the screened Coulomb interaction V_{RPA} by applying the random phase approximation (RPA) [\[21\]](#page-12-0) as

$$
V_{RPA}(\mathbf{r}, \mathbf{r}', i\omega) = \frac{1}{|\mathbf{r} - \mathbf{r}'|} + \iint d^3 r_1 d^3 r_2 V_{RPA}(\mathbf{r}, \mathbf{r}_1, i\omega)
$$

$$
\times \Pi_{\text{KS}}^{00}(\mathbf{r}_1, \mathbf{r}_2, i\omega) \frac{1}{|\mathbf{r}_2 - \mathbf{r}'|}, \tag{15}
$$

where Π_{KS}^{00} is the electronic susceptibility of the Kohn-Sham system (the nonperturbed susceptibility). This electronic susceptibility is the $\alpha = 0$ part of the following susceptibilities of the Kohn-Sham system:

$$
\Pi_{\text{KS}}^{\alpha\alpha}(\mathbf{r}, \mathbf{r}', i\omega) = \sum_{\mathbf{k}\mathbf{k}'nn'} \frac{\theta(-\xi_{n\mathbf{k}}) - \theta(-\xi_{n'\mathbf{k}'})}{\xi_{n\mathbf{k}} - \xi_{n'\mathbf{k}'} + i\omega} \rho_{n\mathbf{k}n'\mathbf{k}'}^{(\alpha)}(\mathbf{r}) \rho_{n\mathbf{k}n'\mathbf{k}'}^{(\alpha)*}(\mathbf{r}'),
$$
\n(16)

where α takes 0, x , y , z , and

$$
\rho_{n\mathbf{k}n'\mathbf{k}'}^{(x)}(\mathbf{r}) = \sum_{\sigma=\uparrow,\downarrow} \varphi_{n\mathbf{k}\sigma}^{*}(\mathbf{r}) \varphi_{n'\mathbf{k}'-\sigma}(\mathbf{r}),\tag{17}
$$

$$
\rho_{n\mathbf{k}n'\mathbf{k}'}^{(y)}(\mathbf{r}) = \sum_{\sigma=\uparrow,\downarrow} \sigma \varphi_{n\mathbf{k}\sigma}^*(\mathbf{r}) \varphi_{n'\mathbf{k}'-\sigma}(\mathbf{r}),\tag{18}
$$

$$
\rho_{n\mathbf{k}n'\mathbf{k}'}^{(z)}(\mathbf{r}) = \sum_{\sigma=\uparrow,\downarrow} \sigma \varphi_{n\mathbf{k}\sigma}^*(\mathbf{r}) \varphi_{n'\mathbf{k}'\sigma}(\mathbf{r}). \tag{19}
$$

The spin susceptibility Π_{KS}^{xx} , Π_{KS}^{yy} , and Π_{KS}^{zz} are used in the spin-fluctuation term later on. Because of the factor $[\theta(-\xi_{n\mathbf{k}}) - \theta(-\xi_{n'\mathbf{k}'})]/(\xi_{n\mathbf{k}} - \xi_{n'\mathbf{k}'} + i\omega)$, these susceptibilities are affected largely by the electronic states in the vicinity of Fermi surfaces.

We propose the SF kernel K^{sf} in Eq. [\(3\)](#page-1-0) and the renormalization Z^{sf} in Eq. [\(4\)](#page-1-0) constructed using the noncollinear spinor wave functions. The following formulation is an extension of those quantities in the collinear magnetism [\[14\]](#page-12-0):

$$
K_{n\mathbf{k}n'\mathbf{k}'}^{sf}(\xi,\xi') = \frac{2}{\pi} \int_0^\infty d\omega \frac{|\xi| + |\xi'|}{(|\xi| + |\xi'|)^2 + \omega^2} \Lambda_{n\mathbf{k}n'\mathbf{k}'}^{sf}(i\omega),\tag{20}
$$

$$
Z_{n\mathbf{k}}^{sf}(\xi) = \frac{1}{\pi} \sum_{n'\mathbf{k}'} \int_0^\infty d\omega \frac{(|\xi| + |\xi_{n'\mathbf{k}'}|)^2 - \omega^2}{[(|\xi| + |\xi_{n'\mathbf{k}'}|)^2 + \omega^2]^2} \Lambda_{n\mathbf{k}n'\mathbf{k}'}^{sf} (i\omega),\tag{21}
$$

where

$$
\Lambda_{n\mathbf{k}n'\mathbf{k}'}^{sf}(i\omega) = \sum_{\alpha=x,y,z} \iint d^3r \, d^3r' \Lambda_{\alpha\alpha}^{sf}(\mathbf{r}, \mathbf{r}', i\omega) \times \rho_{n\mathbf{k}n'\mathbf{k}'}^{(\alpha)}(\mathbf{r}) \rho_{n\mathbf{k}n'\mathbf{k}'}^{(\alpha)*}(\mathbf{r}').
$$
\n(22)

 $\Lambda_{n\mathbf{k}n'\mathbf{k}'}^{sf}$ has a similar form to the screened exchange integral of Eq. (13) , and it involves the summation over the *x*, *y*, and *z* components of the following SF-mediated interaction:

$$
\Lambda_{\alpha\alpha}^{sf}(\mathbf{r}, \mathbf{r}', i\omega) = -\iint d^3 r_1 d^3 r_2 I_{XC}^{\alpha\alpha}(\mathbf{r}, \mathbf{r}_1) \times \Pi^{\alpha\alpha}(\mathbf{r}_1, \mathbf{r}_2, i\omega) I_{XC}^{\alpha\alpha}(\mathbf{r}_2, \mathbf{r}'),
$$
\n(23)

where $\Pi^{\alpha\alpha}$ is the spin susceptibility of the interacting system as [\[22\]](#page-12-0)

$$
\Pi^{\alpha\alpha}(\mathbf{r}, \mathbf{r}', i\omega) = \Pi_{\text{KS}}^{\alpha\alpha}(\mathbf{r}, \mathbf{r}', i\omega) + \iint d^3 r_1 d^3 r_2 \Pi^{\alpha\alpha}(\mathbf{r}, \mathbf{r}_1, i\omega) \times I_{XC}^{\alpha\alpha}(\mathbf{r}_1, \mathbf{r}_2) \Pi_{\text{KS}}^{\alpha\alpha}(\mathbf{r}_2, \mathbf{r}', i\omega).
$$
 (24)

In Eqs. (23) and (24) , the spin-spin interaction is included through the exchange-correlation kernel,

$$
I_{XC}^{\alpha\alpha}(\mathbf{r}, \mathbf{r}') \equiv \frac{\delta^2 E_{XC}}{\delta m_{\alpha}(\mathbf{r}) \delta m_{\alpha}(\mathbf{r}')},\tag{25}
$$

which is the second-order functional derivative of the exchange-correlation energy E_{XC} with respect to the spin density along the α direction m_{α} . We have used the results of the standard density functional calculations of the normal (nonsuperconducting) state to calculate the above-mentioned quantities. Therefore, we have computed T_c by solving the gap equation [\(1\)](#page-1-0) as a postprocess of the calculations of the normal state. The treatment described, known as the decoupling approximation, is known to be reliable when the bandwidth and the superconducting gap energy scales are largely different [\[2\]](#page-12-0).

III. IMPLEMENTATION

In this section, we will explain the practical procedure to perform the calculations explained in the previous section.

A. Evaluation of exchange integrals with Fourier transformation

The exchange integrals with Coulomb interaction part $V_{n\mathbf{k}n'\mathbf{k}'}^{ee}$ of Eq. [\(13\)](#page-1-0) and the SF part $\Lambda_{n\mathbf{k}n'\mathbf{k}'}^{sf}$ of Eq. (22) can be computed efficiently using the Fourier transformation as follows: First, $\rho^{(\alpha)}(\mathbf{r})$ in Eqs. [\(14\)](#page-1-0) and (17)–(19) has the periodicity of the lattice vector **R** together with the phase factor from the Bloch theorem as

$$
\rho_{n\mathbf{k}n'\mathbf{k}'}^{(\alpha)}(\mathbf{r}+\mathbf{R})=e^{i(\mathbf{k}'-\mathbf{k})\cdot\mathbf{R}}\rho_{n\mathbf{k}n'\mathbf{k}'}^{(\alpha)}(\mathbf{r}).
$$
 (26)

Therefore $\rho^{(\alpha)}(\mathbf{r})$ can be expanded with the Fourier components of the reciprocal lattice vectors **G** as

$$
\rho_{n\mathbf{k}n'\mathbf{k}+\mathbf{q}}^{(\alpha)}(\mathbf{r}) = \sum_{\mathbf{G}} e^{i(\mathbf{q}+\mathbf{G})\cdot\mathbf{r}} \tilde{\rho}_{n\mathbf{k}n'\mathbf{k}+\mathbf{q}}^{(\alpha)}(\mathbf{G}),\tag{27}
$$

where $\tilde{\rho}^{(\alpha)}(G)$ is defined by the Fourier transformation of $\rho^{(\alpha)}(\mathbf{r})$ as follows:

$$
\tilde{\rho}_{n\mathbf{k}n'\mathbf{k}+\mathbf{q}}^{(\alpha)}(\mathbf{G}) \equiv \frac{1}{v_{\text{uc}}} \int_{\text{uc}} d^3 r \, e^{-i(\mathbf{q}+\mathbf{G}) \cdot \mathbf{r}} \rho_{n\mathbf{k}n'\mathbf{k}+\mathbf{q}}^{(\alpha)}(\mathbf{r}). \tag{28}
$$

Subsequently, the exchange integral of Eq. (13) is rewritten as

$$
V_{n\mathbf{k}n'\mathbf{k}+\mathbf{q}}^{ee}(i\omega) = \sum_{\mathbf{G}\mathbf{G}'} V_{RPA}^{\mathbf{q}}(\mathbf{G}, \mathbf{G}', i\omega) \tilde{\rho}_{n\mathbf{k}n'\mathbf{k}+\mathbf{q}}^{(0)}(\mathbf{G}) \tilde{\rho}_{n\mathbf{k}n'\mathbf{k}+\mathbf{q}}^{(0)*}(\mathbf{G}'),
$$
\n(29)

where $V_{RPA}^{\mathbf{q}}$ is the Fourier component of the screened Coulomb interaction as follows:

$$
V_{RPA}^{\mathbf{q}}(\mathbf{G}, \mathbf{G}', i\omega)
$$

\n
$$
\equiv \iint d^3r \, d^3r' e^{i(\mathbf{q} + \mathbf{G}) \cdot \mathbf{r}} e^{-i(\mathbf{q} + \mathbf{G}') \cdot \mathbf{r}'} V_{RPA}(\mathbf{r}, \mathbf{r}', i\omega).
$$
 (30)

However, the values of $V_{RPA}(\mathbf{r}, \mathbf{r}, i\omega)$ need not be found since we show that $V_{RPA}^{q}(G, G', i\omega)$ at each **q** can be computed separately using the Bloch theorem as shown below. By

substituting $V_{RPA}(\mathbf{r}, \mathbf{r}', i\omega)$ of Eq. [\(15\)](#page-2-0) into Eq. [\(30\)](#page-2-0), we obtain

$$
V_{RPA}^{\mathbf{q}}(\mathbf{G}, \mathbf{G}', i\omega) = \frac{4\pi \delta_{\mathbf{G}\mathbf{G}'}}{|\mathbf{q} + \mathbf{G}|^2} + \sum_{\mathbf{G}_1} V_{RPA}^{\mathbf{q}}(\mathbf{G}, \mathbf{G}_1, i\omega)
$$

$$
\times \Pi_{\text{KS}}^{00\mathbf{q}}(\mathbf{G}_1, \mathbf{G}', i\omega) \frac{4\pi}{|\mathbf{q} + \mathbf{G}'|^2}
$$

$$
= \left[\frac{|\mathbf{q} + \mathbf{G}'|^2 \delta_{\mathbf{G}\mathbf{G}'} - \Pi_{\text{KS}}^{00\mathbf{q}}(\mathbf{G}, \mathbf{G}', i\omega) \right]^{-1}, \tag{31}
$$

where $\Pi_{KS}^{\alpha\alpha\mathbf{q}}(\mathbf{G}, \mathbf{G}', i\omega)$ is the Fourier component of the susceptibilities of the Kohn-Sham system of Eq. [\(16\)](#page-2-0) given by

$$
\Pi_{\text{KS}}^{\alpha\alpha}\mathbf{q}(\mathbf{G}, \mathbf{G}', i\omega)
$$
\n
$$
\equiv \iint d^3r \, d^3r' e^{i(\mathbf{q} + \mathbf{G}) \cdot \mathbf{r}} e^{-i(\mathbf{q} + \mathbf{G}') \cdot \mathbf{r}'} \Pi_{\text{KS}}^{\alpha\alpha}(\mathbf{r}, \mathbf{r}', i\omega)
$$
\n
$$
= \sum_{\mathbf{k}nn'} \frac{\theta(-\xi_{n\mathbf{k}}) - \theta(-\xi_{n'\mathbf{k} + \mathbf{q}})}{\xi_{n\mathbf{k}} - \xi_{n'\mathbf{k} + \mathbf{q}} + i\omega} \tilde{\rho}_{n\mathbf{k}n'\mathbf{k} + \mathbf{q}}^{\alpha\alpha}(\mathbf{G}) \tilde{\rho}_{n\mathbf{k}n'\mathbf{k} + \mathbf{q}}^{\alpha\beta}(\mathbf{G}'). \tag{32}
$$

In the derivation of Eq. (31) , we used the periodicity of each term with respect to the lattice vector. The factor $[\theta(-\xi_{nk}) \theta(-\xi_{n'k+q})$]/($\xi_{n\mathbf{k}} - \xi_{n'k+q} + i\omega$) in the susceptibilities varies rapidly in the vicinity of Fermi surfaces, and we need a dense **k** grid to compute it accurately, which may require a huge numerical cost. Therefore, we use the reverse interpolation scheme explained in Sec. III.C.1 of Ref. [\[23\]](#page-12-0). In this scheme, we compute the explicitly energy-dependent factor with a dense **k** grid whereas we compute the other parts using a coarse **k** grid because $\tilde{\rho}_{nkn'k+q}^{(\alpha)}(\mathbf{G})\tilde{\rho}_{nkn'k+q}^{(\alpha)*}(\mathbf{G}')$ varies more smoothly than the energy-dependent factor. The SF term can be computed in the same manner at each **q** separately. The Fourier component of the SF-mediated interaction of Eq. [\(23\)](#page-2-0) is

$$
\Lambda_{\mathbf{q}}^{sf,\alpha\alpha}(\mathbf{G},\mathbf{G}',i\omega)
$$

=
$$
-\sum_{\mathbf{G}_1,\mathbf{G}_2} I_{XC}^{\alpha\alpha\mathbf{q}}(\mathbf{G},\mathbf{G}_1)
$$

$$
\times \left[\left(\Pi_{KS}^{\alpha\alpha\mathbf{q}}(\mathbf{G}_1,\mathbf{G}_2) \right)^{-1} - I_{XC}^{\alpha\alpha\mathbf{q}}(\mathbf{G}_1,\mathbf{G}_2) \right]^{-1} I_{XC}^{\alpha\alpha\mathbf{q}}(\mathbf{G}_2,\mathbf{G}'),
$$
(33)

where $I_{XC}^{\alpha\alpha\mathbf{q}}$ is the Fourier component of the exchangecorrelation kernel of Eq. [\(25\)](#page-2-0). In this paper, we employed the local-density approximation (LDA) to describe this kernel as follows: We approximate the exchange-correlation energy as

$$
E_{XC}^{LDA} = \int d^3r \,\varepsilon_{XC}^{hom}[\rho(\mathbf{r}), |\mathbf{m}(\mathbf{r})|] \rho(\mathbf{r}), \tag{34}
$$

where $\rho(\mathbf{r})$ is the electronic charge density and $\varepsilon_{XC}^{hom}(\rho, m)$ is the exchange-correlation energy density of the homogeneous electron gas whose charge and spin density are ρ and *m*. The exchange-correlation kernel in Eq. [\(25\)](#page-2-0) becomes

$$
I_{XC}^{LDA,\alpha\alpha}(\mathbf{r},\mathbf{r}') = \delta(\mathbf{r}-\mathbf{r}')\rho(\mathbf{r})\frac{\partial^2 \varepsilon(\rho(\mathbf{r}),|\mathbf{m}|)}{\partial |\mathbf{m}|\partial |\mathbf{m}|} \left(\frac{m_\alpha}{|\mathbf{m}|}\right)^2\Big|_{\mathbf{m}=\mathbf{m}(\mathbf{r})}.
$$
\n(35)

Since we perform the nonmagnetic calculation in this paper, we take the $m(r) \rightarrow 0$ limit for this kernel. Within LDA, $I_{XC}^{\alpha\alpha\mathbf{q}}(\mathbf{G}, \mathbf{G}')$ does not depend on **q**. This is equivalent to the adiabatic local density approximation [\[22\]](#page-12-0) in time-dependent density functional theory [\[24\]](#page-12-0).

B. Auxiliary gap equation

We have solved the gap equation [\(1\)](#page-1-0) using the auxiliary energy axis [\[23\]](#page-12-0) to capture the rapid change in the explicitly energy-dependent function in the vicinity of Fermi surfaces. In this method, the gap function $\Delta_{n\mathbf{k}}$ depends also on the auxiliary energy; the auxiliary gap function $\tilde{\Delta}_{n\mathbf{k}}(\xi)$ satisfies $\tilde{\Delta}_{n\mathbf{k}}(\xi_{n\mathbf{k}}) = \tilde{\Delta}_{n\mathbf{k}}$. Subsequently, the gap equation [\(1\)](#page-1-0) becomes

$$
\tilde{\Delta}_{n\mathbf{k}}(\xi) = -\frac{1}{2} \int_{-\infty}^{\infty} d\xi' \sum_{n'\mathbf{k}'} D_{n'\mathbf{k}'}(\xi') \frac{K_{n\mathbf{k}n'\mathbf{k}'}(\xi,\xi')}{1 + Z_{n\mathbf{k}}(\xi)}
$$
\n
$$
\times \frac{\tilde{\Delta}_{n'\mathbf{k}'}(\xi')}{\sqrt{\xi'^2 + \tilde{\Delta}_{n'\mathbf{k}'}^2(\xi')}} \tanh\left(\frac{\sqrt{\xi'^2 + \tilde{\Delta}_{n'\mathbf{k}'}^2(\xi')}}{2T}\right),\tag{36}
$$

where $D_{n\mathbf{k}}(\xi)$ is the (n, \mathbf{k}) -resolved density of states. In the same manner, the electron-phonon and SF renormalization factors of Eqs. (6) and (21) become

$$
Z_{n\mathbf{k}}^{ep}(\xi) = \frac{-1}{\tanh[\xi/(2T)]} \int_{-\infty}^{\infty} d\xi' \sum_{n'\mathbf{k}'\nu} D_{n'\mathbf{k}'}(\xi') \left| g_{n\mathbf{k}n'\mathbf{k}'}^{\nu} \right|^2
$$

$$
\times [J(\xi, \xi', \omega_{\mathbf{k}'-\mathbf{k}\nu}) + J(\xi, -\xi', \omega_{\mathbf{k}'-\mathbf{k}\nu})] \qquad (37)
$$

and

$$
Z_{n\mathbf{k}}^{sf}(\xi) = \frac{1}{\pi} \int_{-\infty}^{\infty} d\xi' \sum_{n'\mathbf{k}'} D_{n'\mathbf{k}'}(\xi') \int_{0}^{\infty} d\omega
$$

$$
\times \frac{(|\xi| + |\xi_{n'\mathbf{k}'}|)^2 - \omega^2}{[(|\xi| + |\xi_{n'\mathbf{k}'}|)^2 + \omega^2]^2} \Lambda_{n\mathbf{k}n'\mathbf{k}'}^{sf}(i\omega), \quad (38)
$$

respectively, where we employ the reverse interpolation method again; the (n, \mathbf{k}) -resolved density of states $D_{n\mathbf{k}}(\xi)$ is computed with the dense **k** grid, whereas the other parts are computed on the coarse **k** grid; finally, we combine the parts yielded.

C. Frequency integral

The integration in Eq. [\(12\)](#page-1-0) involves the frequency ω spanning [0, ∞]. Therefore, to perform the integration numerically, we change the variable as follows:

$$
\omega = (|\xi| + |\xi'|) \frac{1+x}{1-x}.
$$
 (39)

Then, Eq. [\(12\)](#page-1-0) becomes

$$
K_{n\mathbf{k}n'\mathbf{k}'}^{ee}(\xi,\xi') = \frac{2}{\pi} \int_{-1}^{1} dx \frac{1}{1+x^2} V_{n\mathbf{k}n'\mathbf{k}'}^{ee} \bigg(i(|\xi| + |\xi'|) \frac{1+x}{1-x} \bigg). \tag{40}
$$

When $|\xi| + |\xi'| = 0$, this integration becomes $V_{n\mathbf{k}n'\mathbf{k}'}^{ee}(0)$. To obtain $V_{n\mathbf{k}n'\mathbf{k}'}^{ee}(i\omega)$ at an arbitrary ω , we first compute $V_{n\mathbf{k}n'\mathbf{k'}}^{ee}$ *ii*ω) at discrete nonuniform points $\omega = 0, \omega_1, \omega_2, \ldots, \infty$ and interpolate them. Using the

FIG. 1. (a) The flow chart to perform the SCDFT calculation for each material in this paper. The indices of steps are the same as those mentioned in Sec. III D. (b) The flow chart of the bisection method used to compute *Tc*.

same transformation as in Eq. [\(39\)](#page-3-0), the frequency integral in the SF renormalization term (38) is performed as follows:

$$
Z_{n\mathbf{k}}^{sf}(\xi) = \frac{1}{\pi} \int_{-\infty}^{\infty} d\xi' \sum_{n'\mathbf{k}'} D_{n'\mathbf{k}'}(\xi') \int_{-1}^{1} dx \frac{-2x}{(|\xi| + |\xi_{n'\mathbf{k}'}|)(1+x^2)^2} \times \Lambda_{n\mathbf{k}n'\mathbf{k}'}^{sf} \left(i(|\xi| + |\xi'|) \frac{1+x}{1-x} \right). \tag{41}
$$

The numerical integration with respect to the variable *x* ranging $[-1, 1]$ can be performed using the Gauss quadrature.

D. Overall procedure

Figure $1(a)$ shows the calculation flow. We employ the following three different wave-number grids to efficiently perform the Brillouin-zone integrals:

Coarse grid. To reduce the computational cost, we use a coarse grid for the wave-number **q** of phonons and susceptibilities. The grid is shifted by half of its step to avoid the singularity at the Γ point. This grid is also used for solving the gap equation.

Medium-density grid. The atomic structure and the charge density are optimized with the self-consistent field calculation using **k** grid denser than the coarse grid. This **k** grid is used to prepare electronic states in the DFPT calculation.

Dense grid. To treat the explicitly energy-dependent factor in the calculations of susceptibilities and the (n, \mathbf{k}) -dependent density of states in the gap equation [\(36\)](#page-3-0), a dense **k** grid is employed.

The overall calculations are performed as follows:

(1) First, we optimize the atomic structure and the charge density using the standard density functional calculation with the medium-density **k** grid. The following calculation is performed on this optimized atomic structure and with the charge density.

(2) We compute the electron-phonon interaction and the frequency of phonons, whose wave-number **q** is on the halfgrid shifted coarse grid. This step is further split into the following two substeps:

(a) The phonon calculation based on DFPT is performed; the electronic states used in this calculation have a wave-number **k** on the medium-density grid.

(b) Electron-phonon vertex of Eq. (10) between the Kohn-Sham orbitals (n, \mathbf{k}) and $(n', \mathbf{k} + \mathbf{q})$ is computed, where the wave-number **k** is on the coarse grid.

(3) Next, we compute the exchange integrals of screened Coulomb and SF-mediated interactions whose transitional momentum **q** is on the half-grid shifted coarse grid. This step is split into the following three substeps:

(a) One-shot DFT calculation on the dense **k** grid is performed. The resulting energy dispersion ξ*n***^k** is later used to compute the explicitly energy-dependent term in the susceptibilities in Eq. (32) .

(b) One-shot DFT calculation on the coarse **k** grid with and without a half-grid shift is performed. These two grids are connected by the transitional momentum **q** on the coarse of a half-grid shifted grid. The resulting Kohn-Sham orbitals will be used to compute $\rho^{(\alpha)}(\mathbf{r})$ of Eqs. [\(14\)](#page-1-0) and $(17)–(19)$ $(17)–(19)$ $(17)–(19)$.

(c) The exchange integrals of screened Coulomb and SF-mediated interactions between the Kohn-Sham orbitals (n, \mathbf{k}) and $(n', \mathbf{k} + \mathbf{q})$ are computed where the wavenumber **k** is on the coarse grid.

(4) The gap equation within SCDFT is solved on the coarse **k** grid at each temperature. Then, T_c is obtained as a minimum temperature where all $\Delta_{n\mathbf{k}}(\xi)$'s vanish.

The transition temperature T_c is found using the bisection method explained in Fig. $1(b)$. Although the initial lower limit of T_c is set to zero, the initial upper limit is set to the T_c estimated by the Bardeen-Cooper-Schrieffer theory [\[25,26\]](#page-12-0) $(2\Delta_0/3.54,$ where Δ_0 is the superconducting gap averaged over Fermi surfaces at 0 K). If there is a finite gap even at this upper limit, although it rarely occurs, we double the initial upper limit; then we repeat the bisection step ten times and find T_c .

IV. RESULT

In this section, we will first explain the numerical condition of this paper, then show the result of the benchmark.

The numerical condition is as follows: We use the DFT code QUANTUM ESPRESSO [\[27\]](#page-12-0) which employs plane waves and pseudopotentials. Perdew-Burke-Ernzerhof's density functional [\[28\]](#page-12-0) based on the generalized gradient approximation (GGA) is used. We use the optimized normconserving pseudopotential [\[29\]](#page-12-0) library provided by Schlipf-Gygi [\[30,31\]](#page-12-0). The energy cutoff for the wave functions of each element is specified using the criteria and the convergence profiles in the standard solid-state pseudopotentials [\[31\]](#page-12-0). We are using the optimized tetrahedron method [\[32\]](#page-12-0) to perform the Brillouin-zone integration. The number of grids along each reciprocal lattice vector is proportional to the length of that vector. Table I presents the above-explained conditions for each element. The SCDFT calculation is performed using the SUPERCONDUCTING TOOLKIT [\[33\]](#page-12-0) software package. We

TABLE I. Structure, cutoff for the plane wave for wave functions, **q**-grid for phonon, and the error of the lattice constant $\langle \Delta a/a_{\rm exp} \rangle \equiv (V_{\rm calc}/V_{\rm exp})^{1/3} - 1$. *V*_{calc} and *V*_{exp} are the calculated and experimental unit-cell volumes, respectively.

	Structure	Cutoff (Ry)	Coarse grid	$\langle \Delta a / a_{\exp} \rangle$ (%)		
Be	hcp	65	$10 \times 10 \times 5$	-0.74		
Na	bcc	90	$6 \times 6 \times 6$	-0.60		
Mg	hcp	65	$7 \times 7 \times 4$	-0.77		
Al	fcc	65	$8 \times 8 \times 8$	-0.90		
K	bcc	120	$5 \times 5 \times 5$	0.61		
Ca	fcc	120	$6 \times 6 \times 6$	-1.20		
Sc	hcp	45	$7 \times 7 \times 4$	-0.49		
Ti	hcp	50	$7 \times 7 \times 4$	-0.28		
$\mathbf V$	bcc	100	$9 \times 9 \times 9$	-1.19		
Cu	fcc	90	$9 \times 9 \times 9$	0.42		
Zn	hcp	90	$8 \times 8 \times 4$	-0.02		
Ga	α -Ga	150	$5 \times 5 \times 4$	1.35		
Rb	bcc	30	$5 \times 5 \times 5$	0.58		
Sr	fcc	30	$5 \times 5 \times 5$	-1.09		
Y	hcp	40	$6 \times 6 \times 3$	0.94		
Zr	hcp	50	$7 \times 7 \times 4$	0.09		
Nb	bcc	90	$8 \times 8 \times 8$	0.53		
Mo	bcc	35	$8 \times 8 \times 8$	0.44		
Tc	hcp	30	$8 \times 8 \times 4$	0.04		
Ru	hcp	35	$8 \times 8 \times 4$	-0.62		
Rh	fcc	35	$8 \times 8 \times 8$	0.73		
Pd	fcc	45	$8 \times 8 \times 8$	1.18		
Ag	fcc	50	$8 \times 8 \times 8$	1.42		
Cd	hcp	45	$7 \times 7 \times 3$	2.32		
In	bct	65	$7 \times 7 \times 7$	1.84		
Sn	β -Sn	50	$7 \times 7 \times 7$	1.72		
Cs	bcc	75	$4 \times 4 \times 4$	1.01		
Ba	bcc	30	$5 \times 5 \times 5$	-0.19		
La	hcp	120	$6 \times 6 \times 3$	0.60		
Hf	hcp	55	$7 \times 7 \times 4$	0.01		
Ta	bcc	50	$8 \times 8 \times 8$	0.79		
W	bcc	50	$8 \times 8 \times 8$	0.62		
Re	hcp	60	$8 \times 8 \times 4$	0.39		
Os	hcp	55	$8 \times 8 \times 4$	1.00		
Ir	fcc	40	$8 \times 8 \times 8$	1.29		
Pt	fcc	60	$8 \times 8 \times 8$	1.15		
Au	fcc	45	$8 \times 8 \times 8$	1.15		
Hg	Trigonal	50	$6 \times 6 \times 6$	6.81		
Tl	hcp	55	$6 \times 6 \times 3$	3.10		
Pb	fcc	35	$6 \times 6 \times 6$	1.77		

have set the medium-density grid twice the density of the coarse grid and set the dense grid twice the density of the medium-density grid, for example, 8^3 , 16^3 , and 32^3 grids were used for the coarse, medium-density, and dense grids, respectively; all for Al. The minimum scale and the number of points of the nonuniform auxiliary energy grid used in the gap equation [\(36\)](#page-3-0) were set to 10^{-7} Ry and 100, respectively. In the calculation of the magnetic exchange-correlation kernel of Eq. [\(25\)](#page-2-0), we ignore the gradient correction. For stabilizing the phonon calculation, the lattice constants and the internal atomic coordinates are optimized; the deviation between the optimized and the experimental lattice constants are listed in

Table [I.](#page-5-0) To compute the susceptibilities in Eq. (32) and solve the gap equation [\(36\)](#page-3-0), we have included $40N_{\text{atom}}$ (20 N_{atom}) empty bands for the calculation with (without) SOI, where *N*atom is the number of atoms per unit cell.

Next, we move onto the results. Figure [2](#page-6-0) shows the experimental T_c (T_c^{exp}) [\[34\]](#page-12-0), the theoretical T_c computed with and without SOI/SF in a periodic-table form. Also, to examine the effects of the electron-phonon interaction, the screened Coulomb repulsion, and the spin fluctuation, we are showing the following quantities in the same figure: The density of states (DOS) at the Fermi level divided by the number of atoms affects the strength of the mean field. Fröhlich's massenhancement parameter,

$$
\lambda = \sum_{\mathbf{q}\nu} \lambda_{\mathbf{q}\nu},\tag{42}
$$

and the averaged phonon frequencies,

$$
\omega_{\ln} = \exp\left[\frac{1}{\lambda} \sum_{\mathbf{q}\nu} \lambda_{\mathbf{q}\nu} \ln(\omega_{\mathbf{q}\nu})\right]
$$
(43)

appear in the conventional McMillan formula [\[4,5\]](#page-12-0),

$$
T_c = \frac{\omega_{\text{ln}}}{1.2} \exp\left[\frac{-1.04(1+\lambda)}{\lambda - \mu^*(1 + 0.62\lambda)}\right],\tag{44}
$$

which has been used to estimate T_c semiempirically with an adjustable parameter μ^* . The (q, ν) -dependent massenhancement parameter $\lambda_{\mathbf{q}\nu}$ is computed as follows:

$$
\lambda_{\mathbf{q}\nu} = \frac{2}{D(0)\omega_{\mathbf{q}\nu}} \sum_{\mathbf{k}nn'} \left| g_{n\mathbf{k}n'\mathbf{k}+\mathbf{q}}^{\nu} \right|^2 \delta(\xi_{n\mathbf{k}}) \delta(\xi_{n'\mathbf{k}+\mathbf{q}}), \tag{45}
$$

where $D(0)$ is the density of states at the Fermi level. We are calculating, here, the Brillouin-zone integral, including two δ functions using the dense **k** grid together with the optimized tetrahedron method [\[32\]](#page-12-0). Because of the double δ function $\delta(\xi_{n\mathbf{k}})\delta(\xi_{n'\mathbf{k}+\mathbf{q}})$, this summation involves the electron-phonon vertices only between the electronic states at the Fermi level. Therefore, Fröhlich's mass-enhancement parameter λ in Eq. (42) indicates the retarded phonon-mediated interaction $(2|g|^2/\omega)$ averaged over Fermi surfaces times the density of state at the Fermi level. Similarly, ω_{ln} indicates the typical frequency of phonons which couples largely with the electronic states at the Fermi level. Therefore, λ and ω_{ln} closely relate to the electron-phonon contribution to T_c . In an analogous fashion to λ , we are showing parameters for the Coulomb repulsion and SF as

$$
\mu_{\rm C} = \frac{1}{D(0)} \sum_{\mathbf{k}\mathbf{k}' m'} K_{n\mathbf{k}n'\mathbf{k}'}^{ee} \delta(\xi_{n\mathbf{k}}) \delta(\xi_{n'\mathbf{k}'}),\tag{46}
$$

and

$$
\mu_s = \frac{1}{D(0)} \sum_{\mathbf{k}\mathbf{k}' n n'} K_{n\mathbf{k} n'\mathbf{k}'}^{sf} \delta(\xi_{n\mathbf{k}}) \delta(\xi_{n'\mathbf{k}'}),\tag{47}
$$

respectively. These parameters are the Coulomb [Eq. [\(12\)](#page-1-0)] and SF [Eq. [\(20\)](#page-2-0)] kernels averaged over Fermi surfaces times the density of states at the Fermi level.

We note that, in Fig. [2,](#page-6-0) some results are absent because of the following reason: Li has a vast unit cell at a low temperature, and it is computationally demanding. Cr, Mn,

FIG. 3. The convergence of calculated T_c (with SF, without SOI), the density of states at the Fermi level, the averaged phonon frequencies ω_{ln} , Fröhlich's mass-enhancement parameter λ , the averaged Coulomb interaction μ _C in Eq. (46), and the averaged SF term μ _s in Eq. (47) with respect to the density of the wave-number grids. We pick up Al, V, Nb, and Pb.

Fe, Co, and Ni show a magnetic order at the low temperature. Therefore, the formalism of the spin fluctuation (23) used in this paper breaks down; the matrix,

$$
\delta_{GG'} - \sum_{G_1} \Pi_{KS}^{\alpha\alpha q} (G, G_1) I_{XC}^{\alpha\alpha q} (G_1, G')
$$
 (48)

FIG. 4. The convergence of the T_c (with SF) with respect to the number of points for the energy integral in Eq. [\(36\)](#page-3-0) for Al, V, Nb, and Pb.

does not become positive definite (Stoner's criterion) for those materials. For Be and Ba, there is no pseudopotential together with SOI in the pseudopotential library used in this paper.

Since we are trying to unify the condition of the calculation for all elements, we leave the result of these two materials together with SOI blank. For Y, Zr, In (with SOI), La, Hf, and Hg, we have obtained imaginary phonon frequencies because of an artificial long-range structure instability. For such cases, we could have not continued the calculation because of the breakdown of the formulations of the electron-phonon kernel [Eq. (5)] and renormalization term [Eq. (6)]. Therefore, we leave the results for those cases blank.

We have checked the convergences of the numerical results with respect to the density of **k**, **q**, and the auxiliary energy grids. For this purpose, we have selected the typical four materials, namely, Al, V, Nb, and Pb. Figure [3](#page-7-0) shows the convergence of calculated T_c (with SF, without SOI), the density of states at the Fermi level, the averaged phonon frequencies ω_{ln} , Fröhlich's mass-enhancement parameter λ , the averaged Coulomb interaction μ _C in Eq. [\(46\)](#page-7-0), and the averaged SF term μ_s in Eq. [\(47\)](#page-7-0) with respect to the density of the wave-number grids. Also, in the convergence check, we have set the medium-density grid twice the density of the coarse grid and have set the dense grid twice the density of the medium-density grid. Although the λ 's of V and Nb oscillate within 4% and 7%, respectively, when we change the density of the coarse grid, other quantities, including T_c ,

TABLE II. Fröhlich's mass-enhancement parameter λ in Eq. [\(42\)](#page-7-0) and the averaged phonon frequencies ω_{ln} in Eq. [\(43\)](#page-7-0) computed in this paper and earlier studies. Numerical conditions, the **q** grid of phonons, the **k** grid of electronic states for the calculation of phonon frequencies, the **k** grid, and the smearing width for the integration in Eq. [\(45\)](#page-7-0) are also written. In Ref. [\[35\]](#page-12-0), only the number of **q** and **k** points in the irreducible Brillouin zone (IBZ) are provided. In this paper and one of the earlier studies [\[36\]](#page-12-0), the tetrahedron method is employed for performing the Brillouin-zone integration in Eq. [\(45\)](#page-7-0).

	λ	ω_{\ln} (K)	q grid	\bf{k} grid (phonon)	k grid (λ)	Smearing (eV)	Functional	Reference
Al (without SOI)	0.402	302	$8 \times 8 \times 8$	$16 \times 16 \times 16$	$32 \times 32 \times 32$	Tetrahedron	GGA	This paper
	0.438		89 in IBZ		1,300 in IBZ	0.272	LDA	$\left[35\right]$
	0.417	314	$8 \times 8 \times 8$	$12 \times 12 \times 12$	$32 \times 32 \times 32$	0.272	LDA	$[15]$
	0.44	270	$8 \times 8 \times 8$	$16 \times 16 \times 16$	$32 \times 32 \times 32$	Tetrahedron	LDA	$\lceil 36 \rceil$
V (without SOI)	1.26	231	$9 \times 9 \times 9$	$18 \times 18 \times 18$	$36 \times 36 \times 36$	Tetrahedron	GGA	This paper
	1.19	245	$8 \times 8 \times 8$	$16 \times 16 \times 16$	$32 \times 32 \times 32$	Tetrahedron	LDA	$\lceil 36 \rceil$
Cu (without SOI)	0.119	216	$9 \times 9 \times 9$	$18 \times 18 \times 18$	$36 \times 36 \times 36$	Tetrahedron	GGA	This paper
	0.14	220	$8 \times 8 \times 8$	$16 \times 16 \times 16$	$32 \times 32 \times 32$	Tetrahedron	LDA	$\lceil 36 \rceil$
Nb (without SOI)	1.24	154	$8 \times 8 \times 8$	$16 \times 16 \times 16$	$32 \times 32 \times 32$	Tetrahedron	GGA	This paper
	1.26	185	$8 \times 8 \times 8$	$16 \times 16 \times 16$	$32 \times 32 \times 32$	Tetrahedron	LDA	$\lceil 36 \rceil$
Mo (without SOI)	0.438	265	$8 \times 8 \times 8$	$16 \times 16 \times 16$	$32 \times 32 \times 32$	Tetrahedron	GGA	This paper
	0.42	280	$8 \times 8 \times 8$	$16 \times 16 \times 16$	$32 \times 32 \times 32$	Tetrahedron	LDA	$\lceil 36 \rceil$
Pd (without SOI)	0.333	145	$8 \times 8 \times 8$	$16 \times 16 \times 16$	$32 \times 32 \times 32$	Tetrahedron	GGA	This paper
	0.35	180	$8 \times 8 \times 8$	$16 \times 16 \times 16$	$32 \times 32 \times 32$	Tetrahedron	LDA	$\lceil 36 \rceil$
Ta (without SOI)	0.923	149	$8 \times 8 \times 8$	$16 \times 16 \times 16$	$32 \times 32 \times 32$	Tetrahedron	GGA	This paper
	0.86	160	$8 \times 8 \times 8$	$16 \times 16 \times 16$	$32 \times 32 \times 32$	Tetrahedron	LDA	$\lceil 36 \rceil$
Tl (without SOI)	1.03	47	$6 \times 6 \times 3$	$12 \times 12 \times 6$	$24 \times 24 \times 12$	Tetrahedron	GGA	This paper
	1.0		$8 \times 8 \times 8$	$24 \times 24 \times 16$	$36 \times 36 \times 24$	0.2	LDA	$[19]$
Tl (with SOI)	0.752	47	$6 \times 6 \times 3$	$12 \times 12 \times 6$	$24 \times 24 \times 12$	Tetrahedron	GGA	This paper
	0.87		$8 \times 8 \times 8$	$24 \times 24 \times 16$	$36 \times 36 \times 24$	0.2	LDA	$\lceil 19 \rceil$
Pb (without SOI)	1.04	67	$6 \times 6 \times 6$	$12 \times 12 \times 12$	$24 \times 24 \times 24$	Tetrahedron	GGA	This paper
	1.20		89 in IBZ		1,300 in IBZ	0.272	LDA	$\left[35\right]$
	1.68	65	$8 \times 8 \times 8$	$16 \times 16 \times 16$	$32 \times 32 \times 32$	Tetrahedron	LDA	$\lceil 36 \rceil$
	1.08		$8 \times 8 \times 8$	$16 \times 16 \times 16$	$32 \times 32 \times 32$	0.2	LDA	$[19]$
	1.24		$8 \times 8 \times 8$	$16 \times 16 \times 16$	$40 \times 40 \times 40$	0.272	LDA	$[3]$
Pb (with SOI)	1.45	58	$6 \times 6 \times 6$	$12 \times 12 \times 12$	$24 \times 24 \times 24$	Tetrahedron	GGA	This paper
	1.56		$8 \times 8 \times 8$	$16 \times 16 \times 16$	$32 \times 32 \times 32$	0.2	LDA	$[19]$

FIG. 5. Experimental and computed T_c 's. For the theoretical value, only the result computed with SOI and SF is represented. The shaded region indicates that the deviation of T_c ($T_c^{\text{SOI+SF}}/T_c^{\text{exp}}$) is less than 20%.

are unchanged. We are showing the convergence of T_c (with SF, without SOI) with respect to the number of points for the energy integral in Eq. (36) in Fig. [4.](#page-8-0) This result shows the T_c 's have converged at the numerical conditions described above.

We compare λ in Eq. [\(42\)](#page-7-0), ω_{ln} in Eq. [\(43\)](#page-7-0), and μ_{C} in Eq. (46) obtained in this paper and earlier studies. Table [II](#page-8-0) shows λ and ω_{\ln} in this paper and earlier studies. Although there are small deviations due to the different exchangecorrelation functional, these quantities are consistent with those of earlier studies except for the case for Pb without SOI; the reported λ 's of Pb without SOI are scattered and different from the experimental value ($\lambda_{\exp} = 1.55$) [\[37\]](#page-12-0). We also confirm that we reproduced the averaged Coulomb interaction μ _C in Eq. [\(46\)](#page-7-0) in the earlier works for Al and Nb; μ _C is 0.251 and 0.429 for Al and Nb, respectively, in this paper whereas those in the earlier studies are 0.236 [\[38\]](#page-12-0) and 0.488 [\[39\]](#page-12-0).

In Fig. 5, we have plotted the experimental T_c together with the T_c calculated the most precisely in this paper by including SOI and SF. We note that since there is no computed data for Be and In with SOI, we are showing the data for them without SOI. Except for Cd, Zn, and V, we have accurately reproduced the experimental T_c . Inside the target elements of this benchmark, the three highest- T_c elements observed experimentally are Nb (9.20 K), Tc (7.77 K), and Pb (7.19 K). Also, the three highest- T_c elements in our calculation are Nb (7.470 K), Tc (6.019 K), and Pb (6.010 K). Therefore, at least, in the elemental metals at ambient pressure, we can predict the highest- T_c materials.

V. DISCUSSION

In this section, we discuss the results shown in the previous section. To check the accuracy of the calculation of the electron-phonon interaction, we will plot in Fig. [6,](#page-10-0) the calculated- and experimental- [\[40\]](#page-12-0) Sommerfeld coefficient γ _S's which are the prefactors of the specific heat at a low temperature,

$$
C_v = \gamma_S T + O(T^3). \tag{49}
$$

This coefficient is estimated using the density of states and Fröhlich's mass-enhancement parameter as follows:

$$
\gamma_{\rm S} = \frac{\pi^2 D(0)}{3} (1 + \lambda). \tag{50}
$$

The calculated γ_s agrees very well with the experimental value. For Re, Pt, and Pb, this agreement becomes improved by including SOI; since these heavy elements have large SOI, this interaction is crucial to reproduce the experimental Sommerfeld coefficient.

We have plotted the computed- and experimental- T_c data contained in Fig. [2](#page-6-0) into Fig. [7](#page-10-0) to visualize the effect by SOI and SF; we can detect the following trends by inspecting this graph: SF always reduces T_c 's for the elemental systems. This reduction becomes significant for the transition metals and is crucial to reproduce the experimental T_c quantitatively. The mechanism of this reduction is explained as follows [\[41,](#page-12-0)[42\]](#page-13-0): For isotropic superconductors, such as elemental materials, ferromagnetic spin fluctuation becomes dominant and aligns the spin of electrons parallel. This effect breaks the singlet Cooper pair in the isotropic superconductors. On the other hand, in cuprates and iron-based superconductors, highly anisotropic antiferromagnetic spin fluctuation becomes dominant and enhances the superconducting gaps with sign changes [\[14\]](#page-12-0). In the transition metals, the effect of SF weakens with the increasing in the period number in the periodic table. For example, μ_s varies 0.722 (V) \rightarrow $0.203(Nb) \rightarrow 0.131(Ta)$, $0.057(Mo) \rightarrow 0.018(W)$, $0.117(Tc)$ \rightarrow 0.057 (Re), 0.122 (Ru) \rightarrow 0.055 (Os), and 0.269 (Rh) \rightarrow 0.108 (Ir). Also, the μ_s of Pd becomes negative; this indicates the formulation of SF breaks down due to the magnetic order. Although the magnetic order is a numerical artifact, this result shows that Pd has larger SF than that of Pt. This trend of SF can be explained as follows [\[42\]](#page-13-0): The electronic orbitals become delocalized with the increasing in the principal quantum number $(3d \rightarrow 4d \rightarrow 5d)$; this delocalization decreases the magnetic exchange-correlation kernel in Eq. [\(25\)](#page-2-0); also, the delocalized orbital has small DOS. Therefore, the elements with the larger period number exhibit smaller SF contribution. We cannot see this trend in the alkaline metals. For these elements, μ_s does not decrease with the increasing in the period number, i.e., this parameter varies 0.213 (Na) \rightarrow 0.270 (K) \rightarrow 0.280 (Rb) \rightarrow 0.427 (Cs). This behavior comes from the increasing in the DOS because of the larger lattice constant (larger atomic radius) for the alkaline metals having the larger period numbers. The effect of SOI is small in most cases, except for Tc, Sn, Re, Tl, and Pb. In these elements, Fröhlich's parameter λ changes drastically by turning on the SOI. For Pb, this enhancement of λ (1.036 \rightarrow 1.453) can be traced back to the three contributions, i.e., the phonon softening (ω_{ln}) decreases from 67 to 58 K), the increased DOS at the Fermi level $(0.527 \text{ eV}^{-1} \rightarrow 0.564 \text{ eV}^{-1})$, and the enhanced deformation potential $\delta^{q\tau}V_{KS}(\mathbf{r})$ due to the SOI term [\[19\]](#page-12-0).

FIG. 6. Theoretical and experimental [\[40\]](#page-12-0) Sommerfeld coefficient γ_S 's. The horizontal axis is the atomic symbol together with the group of the periodic table. Blue crosses and green circles indicate the Sommerfeld coefficient computed with and without SOI whereas magenta "+" with an error bar indicates the experimental value of the Sommerfeld coefficient.

These effects of SOI in Tc, Re, and Tl are opposite ones in Pb and Sn; SOI reduces the electron-phonon coupling as well as T_c in these three materials. We can reproduce the absence of the superconductivity in alkaline, alkaline-earth, and noble metals, except for Pt and Au with SOI and SF; we have observed small finite T_c 's for these two elements; we can reproduce the nonsuperconductivity also in Sc by including SF whereas we observe $T_c = 2.711$ K by ignoring SF. Since Sc has highly localized 3*d* electrons, the SF largely

reduces T_c . For the group-12 elements (Zn and Cd), T_c 's are overestimated even if we include SF. For these materials, the SF effect is small because the *d* orbitals are fully occupied.

Finally, we have tried to find the factor which dominates the accuracy of T_c . In Fig. [8,](#page-11-0) we have plotted the following quantities for the elemental systems with finite T_c : (a) The difference between the experimental T_c (T_c^{exp}) and theoretical T_c computed with SOI and SF ($T_c^{\text{SOI+SF}}$). (b) The ratio be-

FIG. 7. Theoretical and experimental T_c 's. The horizontal axis is the atomic symbol together with the group of the periodic table. Downward (upward) triangles indicate the *Tc*'s computed with (without) SF. Filled (empty) triangles indicate the *Tc*'s computed with (without) SOI. The + indicates the experimental value of *Tc*. The plot which ranges from 0 to 2 K in the upper panel is magnified into the bottom panel.

FIG. 8. We plot the following quantities for the elemental systems which have finite T_c : (a) The difference between the experimental T_c (T_c^{exp}) and the theoretical T_c computed with SOI and SF $(T_c^{\text{SOI+SF}})$. (b) The ratio between T_c^{exp} and $T_c^{\text{SOI+SF}}$. (c) The density of states at the Fermi level. (d) The averaged phonon frequencies $ω$ _{ln}. (e) Fröhlich's mass-enhancement parameter λ. (f) The averaged Coulomb interaction μ _C in Eq. [\(46\)](#page-7-0). (g) The averaged SF term μ _s in Eq. [\(47\)](#page-7-0). The horizontal axis is the atomic symbol together with the group of the periodic table. We note that since there is no computed data for Be and In with SOI, we show the data for them without SOI.

tween T_c^{exp} and $T_c^{\text{SOI+SF}}$. (c) The density of states at the Fermi level. (d) The averaged phonon frequencies ω_{ln} in Eq. [\(43\)](#page-7-0). (e) Fröhlich's mass-enhancement parameter λ in Eq. [\(42\)](#page-7-0). (f) The averaged Coulomb interaction μ ^C in Eq. [\(46\)](#page-7-0). (g) The averaged SF term μ_s in Eq. [\(47\)](#page-7-0). Note that, since there is no computed data for Be and In with SOI, we are presenting data for these two elements without SOI. T_c 's of Zn and Cd (V, Nb, Tc, and Pb) are overestimated (underestimated) in the differential plot (a) whereas those of Zn Cd, W, and Ir (Be, V, and Rh) are overestimated (underestimated) in the ratio plot (b). Therefore, for V, Zn, and Cd, the focus should be on examining the accuracy of T_c ; therefore, we attempted to find features of these three materials from elemental systems. From Fig. 8(g), we can see that V has extremely large μ_s . When the system has large SF, the SF-mediated interaction in Eq. [\(33\)](#page-3-0) changes rapidly because the inverse of the matrix in Eq. [\(48\)](#page-7-0) approaches a singular matrix. Therefore, the SF of such systems needs to computed more precisely, for example, by including the gradient collection into the magnetic exchange-correlation kernel in Eq. [\(25\)](#page-2-0). However, it is difficult to identify the significant difference among Zn, Cd, and other materials. For example, the parameters of Ga are extremely close to those of Zn. However, the calculated T_c of Ga is in good agreement with the experimental one.

By leaving the superconductivity, we can see the following features from Fig. 8: DOS and μ_c are showing very similar behavior. Since μ_C in Eq. [\(46\)](#page-7-0) can be approximated to the DOS times the averaged Coulomb interaction, the synchronicity indicates that the elemental materials in Fig. 8 have nearly the same screened Coulomb repulsion. ω_{ln} has peaks around groups 6–9 on both periods 5 and 6. Additionally, the frequencies at both peaks are extremely close, although the atomic masses of these periods are different; these behaviors can be explained by the following Friedel's theory [\[43\]](#page-13-0). The materials in groups 6–9 have high cohesive energy because of the half-filled *d* orbitals; the high cohesive energy leads to the hardness and the high phonon frequencies of the materials. Since 5*d* orbitals are more delocalized than 4*d* orbitals, the binding energy increases for 5*d* materials. Therefore, the phonon frequency is unchanged because of the cancellation between the atomic mass and the stronger bonding.

VI. SUMMARY

We performed the benchmark calculations of SCDFT using our open-source software package SUPERCONDUCTING TOOLKIT, which uses our method for treating SOI together with SF. We presented benchmark results of superconducting properties calculated by SCDFT for 35 elemental materials together with computational details and discussed the accuracy of the predicted T_c and the effects of SF and SOI on *Tc*. We found that the calculations, including SOI and SF, can quantitatively reproduce the experimental T_c 's. The SF is essential especially for the transition metals; still the effect of SOI is small for elemental systems except for Tc, Sn, Re, Tl, and Pb. We also reproduced the absence of the superconductivity in the alkaline, alkaline-earth, and noble metals. Our result could be used to check the validity of future work, such as the high-throughput calculation for exploring new superconductors. Moreover, the knowledge of this benchmark can be used to improve the methodology of SCDFT. For example, we can focus on Zn and Cd as a target for the next theoretical improvement. It is straightforward to extend the current benchmark calculation into the binary, ternary, and quaternary systems. From such a benchmark, we check systematically the accuracy of SCDFT for the compound superconductors, such as magnesium diboride [\[44\]](#page-13-0), cuprates [\[45\]](#page-13-0), iron-based [\[46\]](#page-13-0), heavy-fermion superconductors [\[47\]](#page-13-0), etc. This type of benchmark calculation should be performed whenever there is room for theoretical improvements so that the applicability of SCDFT can be extended as a universal tool.

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