

Magnon damping in the zigzag phase of the Kitaev-Heisenberg- Γ model on a honeycomb latticeR. L. Smit¹, S. Keupert¹, O. Tsypliyatye¹, P. A. Maksimov^{2,3}, A. L. Chernyshev² and P. Kopietz¹¹*Institut für Theoretische Physik, Universität Frankfurt, Max-von-Laue Strasse 1, 60438 Frankfurt, Germany*²*Department of Physics and Astronomy, University of California, Irvine, California 92697, USA*³*Bogoliubov Laboratory of Theoretical Physics, Joint Institute for Nuclear Research, 141980 Dubna, Moscow region, Russia*

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We calculate magnon dispersions and damping in the Kitaev-Heisenberg model with an off-diagonal exchange Γ and isotropic third-nearest-neighbor interaction J_3 on a honeycomb lattice. This model is relevant to a description of the magnetic properties of iridium oxides α -Li₂IrO₃ and Na₂IrO₃, and Ru-based materials such as α -RuCl₃. We use an unconventional parametrization of the spin-wave expansion, in which each Holstein-Primakoff boson is represented by two conjugate Hermitian operators. This approach gives us an advantage over the conventional one in identifying parameter regimes where calculations can be performed analytically. Focusing on the parameter regime with the zigzag spin pattern in the ground state that is consistent with experiments, we demonstrate that one such region is $\Gamma = K > 0$, where K is the Kitaev coupling. Within our approach, we are able to obtain explicit analytical expressions for magnon energies and eigenstates and go beyond the standard linear spin-wave theory approximation by calculating magnon damping and demonstrating its role in the dynamical structure factor. We show that the magnon damping effects in both Born and self-consistent approximations are very significant, underscoring the importance of nonlinear magnon coupling in interpreting broad features in the neutron-scattering spectra.

DOI: [10.1103/PhysRevB.101.054424](https://doi.org/10.1103/PhysRevB.101.054424)**I. INTRODUCTION**

Magnetic materials that combine electronic correlations with strong spin-orbit coupling attract significant interest as a promising source of topological Mott insulators, exotic spin liquids, and unusual magnetically ordered states [1]. Due to the crystal field effects, strongly entangled spin and orbital degrees of freedom generically result in the low-energy effective pseudospin models with bond-dependent anisotropic-exchange interactions [1–6]. In the last decade, a considerable theoretical and experimental effort has been devoted to bringing about a physical realization of the Kitaev spin liquid with fractionalized excitations [7–21], originally proposed for the tricoordinated honeycomb lattice with bond-dependent Ising-like interactions [22]. Other studies of the anisotropic-exchange models have revealed a multitude of unconventional ordered states [7,23–29], order-by-disorder effects [30–32], and non-Kitaev spin-liquid states [33–39] in various lattice geometries.

Strong Kitaev-like bond-dependent couplings between effective pseudospins-1/2 have been identified in iridium oxides, such as α -Li₂IrO₃ and Na₂IrO₃, α -RuCl₃, and other materials [40–48]. In these systems, magnetic ions form the two-dimensional honeycomb lattices stacked along the [111] direction. The magnetic ions in the honeycomb layers are surrounded by an octahedral environment of ligands, which provide exchange pathways facilitating direction-dependent couplings between the pseudospins. Importantly, a realistic modeling of these compounds necessitates significant couplings beyond the Kitaev-like ones, such as the isotropic Heisenberg and off-diagonal exchange interactions that are

allowed by the lattice symmetry [5–7,40]. In the theoretical modeling and in real materials, these couplings appear to be disruptive to the spin-liquid state of the pure Kitaev model in favor of the states that are magnetically ordered, leaving a concrete realization of such a spin liquid state elusive as of yet [6].

One school of thought advocates a “proximate” spin-liquid scenario for α -RuCl₃ and similar systems [49,50]. In a nutshell, while the ground state of a material may be magnetically ordered, its excitation spectrum is largely associated with a quantum-disordered spin-liquid state that is nearby in the phase diagram. This logic seemed to be strongly supported by an observation of the broad features in the neutron-scattering dynamical structure factor of α -RuCl₃. At first glance, these features are hard to reconcile with a response of a magnetically ordered state, which typically yields sharp peaks associated with magnon excitations. One concern for the proximate spin liquid scenario is that it is necessarily restricted to a close vicinity of the pure Kitaev phases, which occupy a small fraction of the phase diagram of the general anisotropic-exchange model, according to the numerical estimates [51–54].

A different scenario for the broad features in the spectrum of α -RuCl₃ has been put forward in Ref. [55], where it was suggested that the single-magnon excitations at higher energies are short-lived due to strong coupling to, and decay into, the two-magnon continua of the lower-energy magnons. This scenario was also argued to be applicable to a vastly wider regions of the parameter space of the anisotropic-exchange model—roughly speaking, to the entire phase diagram except where the off-diagonal exchange terms are artificially suppressed [55].

The scenario of Ref. [55] has advocated the importance of the anharmonic couplings in the spin-wave Hamiltonian, which in turn lead to the broad features in the magnon spectrum. Such broadening effects are well documented, theoretically and experimentally, in several representatives of the ordered magnets that include some iconic frustrated magnets, such as triangular- and kagome-lattice ones [56–62], collinear and noncollinear antiferromagnets in external field [63–69], spin-phonon coupled systems [70], ferromagnets [71,72], and others [73–79]. In many of them, the noncollinearity of the ordered states, whether due to geometric frustration or field-induced, was crucial for the anharmonic terms to occur [73]. The persistence of such terms in the *collinear* states of the anisotropic-exchange magnets is due to the omnipresent off-diagonal couplings that make such anharmonic terms virtually unavoidable, regardless of the region of the phase diagram and the type of magnetic order assumed by the ground state [55,80].

It turned out that an explicit calculation of the magnon decay rates in the zigzag phase of the general Kitaev-Heisenberg- Γ model is a challenging problem. Thus, in Ref. [55], the authors have *estimated* the effects of magnon broadening in α -RuCl₃ using a simplified form of the anharmonic coupling, which will be referred to as the “constant matrix element approximation” in this work. In spite of this approximation, the results of Ref. [55] have shown a rather remarkable similarity to the experimentally observed features in the neutron-scattering dynamical structure factor of α -RuCl₃ and to the numerical exact diagonalization results in small clusters.

The present work advances the study of Ref. [55] in several directions. We are able to find a parameter space for which calculations of magnon damping can be performed microscopically, without the simplifying approximations of Ref. [55]. For that, we use an unconventional formulation of the spin-wave theory (SWT) that is based on the parametrization of each Holstein-Primakoff boson in terms of two conjugate Hermitian operators. The Hermitian field parametrization is noteworthy in its own right as it proved to be useful for classifying different types of quantum fluctuations in certain classes of magnetically ordered systems [81–85]. This approach gives clear criteria that allow us to identify relations between parameters of the anisotropic-exchange model that permit a rigorous analytic solution for the magnon eigenenergies, eigenfunctions, and matrix elements for the calculation of the damping. One such relation that defines a nontrivial line in the parameter space is $\Gamma = K > 0$, where K is the Kitaev coupling and Γ is the off-diagonal exchange. Although various special symmetry relations have been previously identified in the parameter space of the Kitaev-Heisenberg- Γ model [9], the line $\Gamma = K$ along which magnon spectrum can be calculated analytically by solving biquadratic equations has not been noticed before.

We focus on the regime $\Gamma = K > 0$ with an additional third-nearest-neighbor Heisenberg interaction J_3 , which is often invoked in the description of real materials [40,55]. The J_3 term stabilizes the zigzag-ordered ground state for the considered model in a wide parameter space that includes part of the $\Gamma = K$ line. This ground state is also consistent with experiments in a broad sense, as it is found in several materials of interest

[6,7,86]. While our choice of parameters is not the same as is typically used to describe α -RuCl₃ [40,55], it allows us to confirm in a quantitative manner the validity of the claims that were put forward in Ref. [55]. Specifically, it gives us an opportunity to demonstrate that strong anharmonicities in the magnon description indeed persist throughout the phase diagram of the general anisotropic-exchange model.

We go beyond the standard linear SWT approximation by obtaining explicit expressions for the anharmonic terms and by using them to calculate magnon damping. The damping is calculated in the leading-order Born approximation, which inevitably contains van Hove singularities of the two-magnon continuum [73]. To regularize them and to go beyond the Born approximation, we use the self-consistent approach based on the solution of the imaginary part of the Dyson’s equation, referred to as the iDE approach, see Refs. [57,68,71]. For the representative values of the model parameters, the magnon damping in both Born and self-consistent iDE approximations is significant, leading to characteristic broad features in the dynamical structure factor. This quantitative result of the present work confirms the assertion of Ref. [55] that in the anisotropic-exchange model, anharmonic interactions can lead to large decay rates such that some of the magnon branches cease to be well-defined quasiparticles. These results underscore the importance of taking into account the nonlinear magnon coupling in interpreting broad features in the neutron-scattering spectra for the general anisotropic-exchange model. For example, the continuum of excitations far from the low-energy region could potentially be described and is a good test-bed for a two-dimensional extension of the recently emerged approaches to this problem in one dimension, such as in Refs. [87–90] or [91–93].

In addition, having performed the decay rate calculations using explicit analytical expressions for the matrix elements of the magnon couplings, we are also able to verify the validity of the constant matrix element approximation of Ref. [55], in which the momentum dependence of such magnon vertices was neglected. While the momentum dependencies of the Born-approximation damping differ rather significantly between these approaches, the agreement becomes more quantitative within the self-consistent iDE approximation, in agreement with the logic of Ref. [55]. Still, there are clear differences near certain high-symmetry points where magnon decays are suppressed by the symmetry requirements, or enhanced due to matrix elements. These features are lost within the constant matrix element approximation of Ref. [55]. We also note that the order-of-magnitude estimates of Ref. [55] have likely provided a lower bound on the damping rates of magnons in α -RuCl₃, and the actual effect of broadening for their model parameters may have been even more significant.

Lastly, while the zigzag phase within the full anisotropic-exchange model on the honeycomb lattice generally requires a four-sublattice description, we have found that the same logic that yields the reduction of the eigenvalue problem to solving biquadratic equations along the $\Gamma = K$ line also allows us to reformulate the problem in the two-sublattice language. For that alternative formulation, we were able to derive a fully analytic form of the Bogoliubov eigenvalues, see Appendix B. For some points along the same $\Gamma = K$ line, a conventional

SWT approach can be used, with the details of it to be published elsewhere [94].

The rest of this paper is organized as follows. In Sec. II, we introduce the model and basic notations and present the classical phase diagram of the model in several projections. In Sec. III, we discuss the classical zigzag ground state and derive an effective interacting boson model describing fluctuations around this ground state using the Holstein-Primakoff transformation [95]. In Sec. IV, we use an unconventional parametrization of the magnon operators in terms of Hermitian operators to show that on the special line in parameter space $\Gamma = K$ the magnon dispersions can be calculated analytically by solving simple biquadratic equations. In Sec. V, we compute the magnon damping on the special line $\Gamma = K$ in the Born and self-consistent iDE approximations. We also compare our results to the approximate approach of [55]. In Sec. VI, we calculate and plot the corresponding dynamical structure factor and the neutron scattering intensity. In Sec. VII, we summarize our main results and present our conclusions. To make this work self-contained, we have added four appendices. In Appendix A, we review the conventional algorithm for constructing multiflavor Bogoliubov transformations [96,97]. In Appendix B, we discuss some details of the two-sublattice approach, and in Appendix C we give additional technical details about the calculation of magnon damping in the zigzag state. Finally, in Appendix D, we provide additional numerical results for the magnon damping and the dynamic structure factor for different parameters of the Kitaev-Heisenberg- Γ model.

II. MODEL

A realistic spin model for the iridium oxides, α -RuCl₃, and other materials containing all relevant nearest-neighbor couplings allowed by symmetry is given by the following effective spin Hamiltonian [6,12]:

$$\begin{aligned} \mathcal{H} = & J \sum_{\langle ij \rangle} \mathbf{S}_i \cdot \mathbf{S}_j + K \sum_{\alpha} \sum_{\langle ij \rangle_{\alpha}} S_i^{\alpha} S_j^{\alpha} \\ & + \sum_{\alpha\beta\gamma} \Gamma_{\beta\gamma}^{\alpha} \sum_{\langle ij \rangle_{\alpha}} S_i^{\beta} S_j^{\gamma} - \sum_i \mathbf{h} \cdot \mathbf{S}_i, \end{aligned} \quad (1)$$

where the \mathbf{S}_i are (pseudo)spin $S = 1/2$ operators localized at the sites \mathbf{R}_i of a honeycomb lattice, $\langle ij \rangle$ enumerates all distinct pairs of the nearest-neighbor sites \mathbf{R}_i and \mathbf{R}_j of the lattice, and the labels $\alpha, \beta, \gamma \in \{x, y, z\}$ numerate the three link vectors $\mathbf{d}_x, \mathbf{d}_y,$ and \mathbf{d}_z which connect a given lattice site to its nearest neighbors, as shown in Fig. 1. The second term in the right-hand side of Eq. (1) is the nearest-neighbor Kitaev interaction. In this term $\langle ij \rangle_{\alpha}$ enumerates all distinct pairs of the nearest neighbors whose distance vector $\mathbf{R}_i - \mathbf{R}_j$ is parallel to \mathbf{d}_{α} , and $S_i^{\alpha} = \mathbf{e}_{\alpha} \cdot \mathbf{S}_i$, $\alpha = x, y, z$ are the components of the spin operators in the laboratory frame, with Cartesian basis vectors $\{\mathbf{e}_x, \mathbf{e}_y, \mathbf{e}_z\} \equiv \{\hat{x}, \hat{y}, \hat{z}\}$ shown in the right part of Fig. 1. The third term on the right-hand side of Eq. (1) is the symmetric off-diagonal exchange interaction, which arises from spin-orbit coupling of the underlying electronic model. The nonzero matrix elements of the tensor $\Gamma_{\beta\gamma}^{\alpha}$ are

$$\Gamma_{yz}^x = \Gamma_{zy}^x = \Gamma_{zx}^y = \Gamma_{xz}^y = \Gamma_{xy}^z = \Gamma_{yx}^z = \Gamma. \quad (2)$$

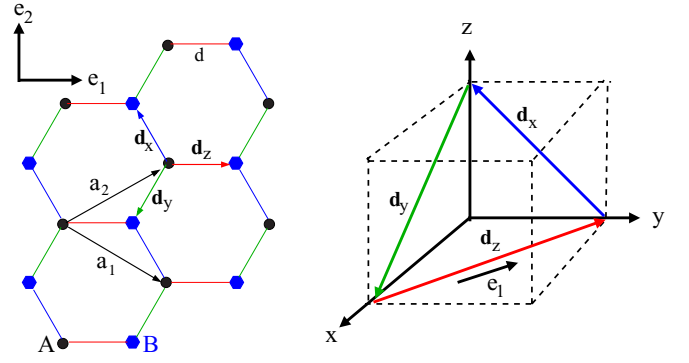


FIG. 1. (Left) The honeycomb lattice can be divided into two triangular sublattices labeled A and B with the nearest-neighbor distance d . The underlying Bravais lattice has two sites per unit cell. We choose a basis $\{\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3\}$ such that \mathbf{e}_1 is parallel to the horizontal links and \mathbf{e}_3 (which is the [111] direction or the underlying cubic lattice and is not shown in the figure) is perpendicular to the plane of the lattice. Nearest neighbors are connected by the vectors $\mathbf{d}_z = d\mathbf{e}_1$, $\mathbf{d}_x = d[-\frac{1}{2}\mathbf{e}_1 + \frac{\sqrt{3}}{2}\mathbf{e}_2]$ and $\mathbf{d}_y = d[-\frac{1}{2}\mathbf{e}_1 - \frac{\sqrt{3}}{2}\mathbf{e}_2]$. We use the same color coding as Ref. [12]. A possible choice for the primitive vectors is $\mathbf{a}_1 = \mathbf{d}_z - \mathbf{d}_x = d[\frac{3}{2}\mathbf{e}_1 - \frac{\sqrt{3}}{2}\mathbf{e}_2]$ and $\mathbf{a}_2 = \mathbf{d}_z - \mathbf{d}_y = d[\frac{3}{2}\mathbf{e}_1 + \frac{\sqrt{3}}{2}\mathbf{e}_2]$. Note that $\mathbf{d}_x + \mathbf{d}_y + \mathbf{d}_z = 0$, $\mathbf{a}_1 + \mathbf{a}_2 = 3\mathbf{d}_z = 3d\mathbf{e}_1$, and $\mathbf{a}_2 - \mathbf{a}_1 = \mathbf{d}_x - \mathbf{d}_y = \sqrt{3}d\mathbf{e}_2$. (Right) In the materials of interest, the honeycomb lattice lies in the plane perpendicular to the [111] direction. The link vectors $\mathbf{d}_x, \mathbf{d}_y,$ and \mathbf{d}_z connecting nearest neighbors of the honeycomb lattice are parallel to the diagonals of the faces of the cube marked by dashed lines. We use the same color coding as in the left figure. The labeling of the link vectors corresponds to the spin components in the Kitaev interaction.

Finally, the last term in Eq. (1) is the Zeeman-interaction, where the gyromagnetic tensor is assumed to be diagonal and we have absorbed the values of its diagonal elements into the definition of the components of dimensionless magnetic field \mathbf{h} .

Since for generic values of the couplings the model (1) does not have any continuous symmetries, it is reasonable to expect that at low temperatures the system will exhibit a long-range magnetic order, at least for large spin S . In the limit $S \rightarrow \infty$, where the spin operators can be treated as classical three-component vectors of length S , the possible lowest-energy spin configurations of the model (1) have been discussed by several authors [5,12]. Depending on the values of the parameters $J, K,$ and Γ , different spin configurations in the classical ground state are realized, as illustrated in Fig. 2 using three different projections of the three-dimensional parameter space onto a plane.

In this work, we shall focus on the zigzag phase, which is realized in the low-temperature regime of the iridium oxides and ruthenates [6]. In this regime, the magnetic ground state further reduces the discrete translational symmetry of the honeycomb lattice, so that four inequivalent sublattices are necessary to describe the discrete translational symmetry of the system. This implies that in the zigzag phase the spectrum of spin-wave excitations has four different branches, which have been obtained numerically [55] using the algorithm

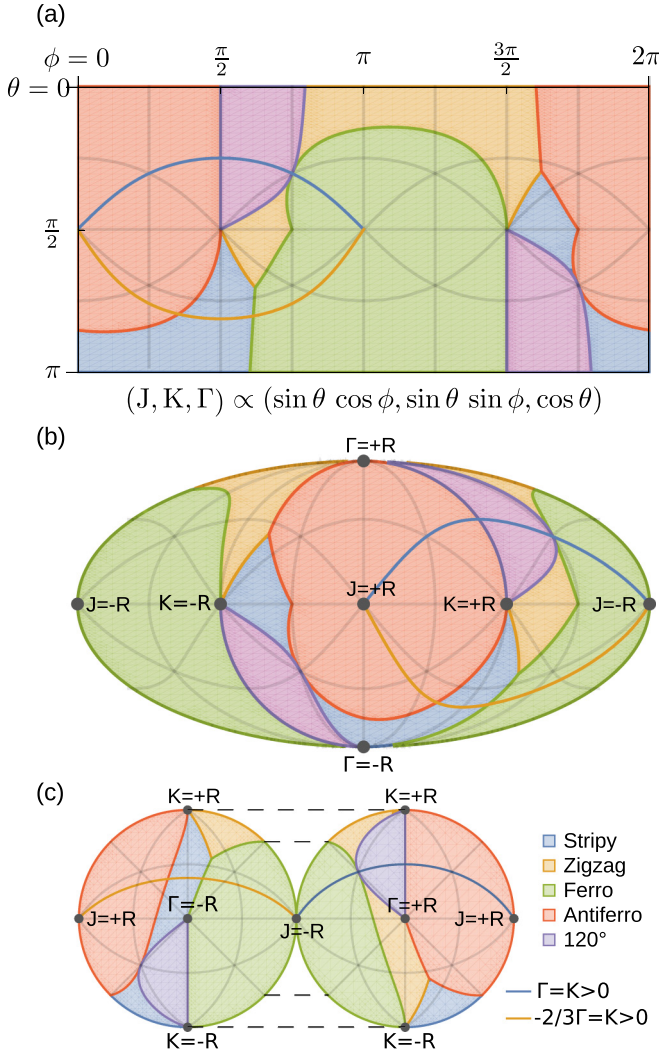


FIG. 2. Three equivalent representations of the classical phase diagram of the Kitaev-Heisenberg- Γ model for vanishing external magnetic field, omitting incommensurate phases. The three-dimensional parameter space is spanned by J , K , and Γ . Since the phase diagram depends only on the relative energy scales, we set $J/R = \sin \vartheta \cos \varphi$, $K/R = \sin \vartheta \sin \varphi$, and $\Gamma/R = \cos \vartheta$, where $R^2 = J^2 + K^2 + \Gamma^2$. The angles ϑ and φ parametrize the surface of the unit sphere, which we project onto the plane in three different ways: (a) equirectangular projection, (b) Mollweide projection, and (c) depiction of the upper ($\Gamma > 0$) and the lower ($\Gamma < 0$) hemisphere. The blue line represents the curve $\Gamma = K$, while the orange line represents $\Gamma = -3/2K$ with $K > 0$.

developed by Colpa [96] (see also Refs. [97–99]) that we summarize in Appendix A.

III. MAGNON HAMILTONIAN IN THE ZIGZAG STATE

A. Classical ground states

To set up the spin-wave expansion, we should first identify the spin configuration in the classical ground state. In this limit, we treat the spin operators S_i as classical vectors and minimize the resulting classical Hamiltonian. Therefore it is convenient to work with the coordinate representation of the spins in the crystallographic basis, where the S_i are

represented by the column vectors

$$\begin{pmatrix} \mathbf{e}_x \cdot \mathbf{S}_i \\ \mathbf{e}_y \cdot \mathbf{S}_i \\ \mathbf{e}_z \cdot \mathbf{S}_i \end{pmatrix} = \begin{pmatrix} S_i^x \\ S_i^y \\ S_i^z \end{pmatrix}, \quad (3)$$

which we call again S_i for a notational simplicity. Then the Kitaev-Heisenberg- Γ Hamiltonian can be written as

$$\begin{aligned} \mathcal{H} &= \sum_{\alpha} \sum_{(ij)_{\alpha}} \mathbf{S}_i^T H_{\alpha} \mathbf{S}_j - \sum_i \mathbf{h} \cdot \mathbf{S}_i \\ &= \sum_{\alpha} \sum_{\mathbf{R} \in A} \mathbf{S}_{\mathbf{R}}^T H_{\alpha} \mathbf{S}_{\mathbf{R}+d_{\alpha}} - \sum_{\mathbf{R}} \mathbf{h} \cdot \mathbf{S}_{\mathbf{R}}, \end{aligned} \quad (4)$$

where in the second line the symbol $\sum_{\mathbf{R} \in A}$ denotes summation over all sites of the A sublattice (see Fig. 1) and the 3×3 matrices H_{α} are defined by

$$H_{\alpha} = J \mathbb{1} + K \mathbf{e}_{\alpha} \mathbf{e}_{\alpha}^T + \sum_{\beta \gamma} \Gamma_{\beta \gamma}^{\alpha} \mathbf{e}_{\beta} \mathbf{e}_{\gamma}^T, \quad (5)$$

or more explicitly

$$H_x = \begin{pmatrix} J+K & 0 & 0 \\ 0 & J & \Gamma \\ 0 & \Gamma & J \end{pmatrix}, \quad (6a)$$

$$H_y = \begin{pmatrix} J & 0 & \Gamma \\ 0 & J+K & 0 \\ \Gamma & 0 & J \end{pmatrix}, \quad (6b)$$

$$H_z = \begin{pmatrix} J & \Gamma & 0 \\ \Gamma & J & 0 \\ 0 & 0 & J+K \end{pmatrix}. \quad (6c)$$

Introducing the site-dependent effective magnetic field

$$\mathbf{B}_{\mathbf{R}} = \mathbf{h} - \sum_{\alpha} H_{\alpha} \mathbf{S}_{\mathbf{R}+d_{\alpha}}, \quad (7)$$

where the upper sign in $\mathbf{S}_{\mathbf{R}+d_{\alpha}}$ should be taken for $\mathbf{R} \in A$ and the lower sign for $\mathbf{R} \in B$, the conditions for the extremum of the classical energy can be written as [100]

$$\mathbf{S}_{\mathbf{R}} \times \mathbf{B}_{\mathbf{R}} = 0, \quad (8)$$

which means that for each lattice site \mathbf{R} the effective field $\mathbf{B}_{\mathbf{R}}$ must be aligned with $\mathbf{S}_{\mathbf{R}}$. To obtain an explicit analytical solution of the system (8) of nonlinear equations we have to make further simplifying assumptions. Here we restrict ourselves to the spin configurations satisfying

$$\mathbf{S}_{\mathbf{R}+d_{\alpha}} = T_{\alpha} \mathbf{S}_{\mathbf{R}} \quad \text{for } \mathbf{R} \in A, \quad (9)$$

where the 3×3 matrices T_{α} parametrize the relative orientation of the neighboring spins and depend only on the displacements d_{α} connecting the spins $\mathbf{S}_{\mathbf{R}}$ and $\mathbf{S}_{\mathbf{R}+d_{\alpha}}$. This restriction does not allow for the incommensurate spiral phase, which we ignore in the following analysis as it never crosses the line of our interest $\Gamma = K > 0$ and thus does not interfere with our analysis, see supplementary notes of Ref. [55]. Renaming $\mathbf{R} + d_{\alpha} \rightarrow \mathbf{R}$, the condition (9) can alternatively be written as

$$\mathbf{S}_{\mathbf{R}-d_{\alpha}} = T_{\alpha}^{-1} \mathbf{S}_{\mathbf{R}}, \quad \text{for } \mathbf{R} \in B, \quad (10)$$

which is valid for all sites \mathbf{R} belonging to the B sublattice shown in Fig. 1. For simplicity, we shall from now on consider

only the case of vanishing external magnetic field $\mathbf{h} = 0$. Then, for the A sublattice, Eq. (8) reduces to

$$\mathbf{S}_R = \pm S \frac{\sum_{\alpha} H_{\alpha} \mathbf{S}_{R+d_{\alpha}}}{\left| \sum_{\alpha} H_{\alpha} \mathbf{S}_{R+d_{\alpha}} \right|} = \pm S \frac{\sum_{\alpha} H_{\alpha} T_{\alpha} \mathbf{S}_R}{\left| \sum_{\alpha} H_{\alpha} T_{\alpha} \mathbf{S}_R \right|}, \quad (11)$$

and, for the B sublattice, to

$$\mathbf{S}_R = \pm S \frac{\sum_{\alpha} H_{\alpha} \mathbf{S}_{R-d_{\alpha}}}{\left| \sum_{\alpha} H_{\alpha} \mathbf{S}_{R-d_{\alpha}} \right|} = \pm S \frac{\sum_{\alpha} H_{\alpha} T_{\alpha}^{-1} \mathbf{S}_R}{\left| \sum_{\alpha} H_{\alpha} T_{\alpha}^{-1} \mathbf{S}_R \right|}. \quad (12)$$

Keeping in mind that the classical energy can be written as

$$\mathcal{H}_0 = \pm S \sum_{R \in A} \left| \sum_{\alpha} H_{\alpha} \mathbf{S}_{R+d_{\alpha}} \right| = \pm S \sum_{R \in A} \left| \sum_{\alpha} H_{\alpha} T_{\alpha} \mathbf{S}_R \right|, \quad (13)$$

it is clear that we should choose the minus sign in Eqs. (11) and (12) to minimize the energy. Note that on the A sublattice, the spin \mathbf{S}_R must be an eigenvector of the matrix $\sum_{\alpha} H_{\alpha} T_{\alpha}$, while on the B sublattice \mathbf{S}_R must be an eigenvector of $\sum_{\alpha} H_{\alpha} T_{\alpha}^{-1}$. To construct the minimum of the energy, let λ_{\max} be the eigenvalue of the matrix $\sum_{\alpha} H_{\alpha} T_{\alpha}$ with the largest absolute value. Then the classical ground-state energy can be written as

$$\mathcal{H}_0 = -\frac{N}{2} S^2 |\lambda_{\max}|. \quad (14)$$

To classify possible ground states, note that by successively applying these transformations to the six spins at the corners of a hexagon we obtain the holonomy condition

$$T_x^{-1} T_y T_z^{-1} T_x T_y^{-1} T_z = I, \quad (15)$$

where I is the three-dimensional identity matrix. If we require that the discrete lattice rotational symmetry should not be broken, these conditions can be satisfied in five inequivalent ways [12]: (a) ferromagnetic state: $(T_x, T_y, T_z) = (I, I, I)$; (b) antiferromagnetic state: $(T_x, T_y, T_z) = (-I, -I, -I)$; (c) zigzag states: $(T_x, T_y, T_z) = (I, I, -I)$ or $(I, -I, I)$ or $(-I, I, I)$; (d) stripy states: $(T_x, T_y, T_z) = (I, -I, -I)$ or $(-I, I, -I)$ or $(-I, -I, I)$; and (e) 120° state: $(T_x, T_y, T_z) = (I, R_{120}, R_{120}^2)$, where R_{120} represents a 120° rotation around the $[111]$ direction.

B. Zigzag state

In the rest of this work, we shall focus on the parameter regime where the magnetization in the classical ground state forms a zigzag pattern with $(T_x, T_y, T_z) = (\mathbb{1}, 1, -1)$ as illustrated in Fig. 3. Then, the neighboring spins connected by \mathbf{d}_z are antiparallel, while the neighboring spins connected by \mathbf{d}_x and \mathbf{d}_y are parallel. This state, which is realized in some iridates and α -RuCl₃, breaks the discrete translational symmetry of the honeycomb lattice, and requires a four-sublattice description. We label the sublattices by a, b, c , and d , as shown in Fig. 3. The local moments \mathbf{S}_i in the zigzag state are

$$\mathbf{S}_i = S \mathbf{m}_i, \quad \mathbf{m}_i = \zeta_i \mathbf{n}_3, \quad (16)$$

where $\zeta_i = 1$ for the sites \mathbf{R}_i on the sublattices a and d , and $\zeta_i = -1$ on the sublattices b and c , and \mathbf{n}_3 is the normalized

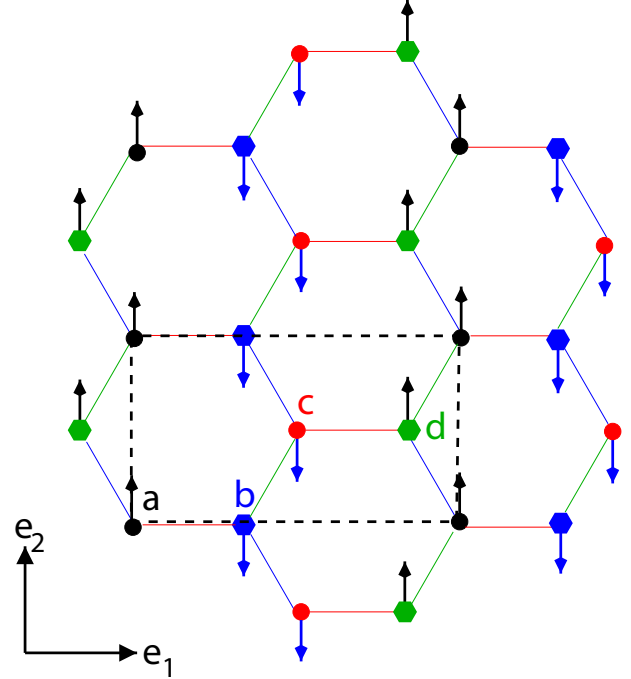


FIG. 3. Spin configuration in the zigzag state $\mathbf{m}_i = \zeta_i \mathbf{n}_3$ where $\zeta_i = 1$ on sublattices a and d and $\zeta_i = -1$ on sublattices b and c . It turns out that in the special case $\Gamma = K > 0$, where the magnon spectrum can be calculated analytically as discussed in Sec. IV B, the local moments are $\mathbf{m}_i = \zeta_i \mathbf{e}_2$, i.e., the magnetization lies in the plane of the lattice and points in the direction of the stripes. The dashed rectangle marks the choice of the unit cell of the lattice with a four-site basis, with the primitive vectors $\mathbf{a}'_1 = 3d\mathbf{e}_1$ and $\mathbf{a}'_2 = \sqrt{3}d\mathbf{e}_2$. The associated reciprocal lattice basis is $\mathbf{b}'_1 = \frac{2\pi}{3d}\mathbf{e}_1$ and $\mathbf{b}'_2 = \frac{2\pi}{\sqrt{3}d}\mathbf{e}_2$.

eigenvector of the matrix

$$\sum_{\alpha} H_{\alpha} T_{\alpha} = H_x + H_y - H_z = \begin{pmatrix} J + K & -\Gamma & \Gamma \\ -\Gamma & J + K & \Gamma \\ \Gamma & \Gamma & J - K \end{pmatrix} \quad (17)$$

whose eigenvalue λ_3 has the largest magnitude. The eigenvalues of the matrix (17) are

$$\lambda_1 = J + K + \Gamma, \quad (18a)$$

$$\lambda_2 = J - \frac{\Gamma}{2} + \frac{R}{2}, \quad (18b)$$

$$\lambda_3 = J - \frac{\Gamma}{2} - \frac{R}{2}, \quad (18c)$$

with

$$R = \sqrt{4K^2 - 4K\Gamma + 9\Gamma^2} = \sqrt{(2K - \Gamma)^2 + 8\Gamma^2}. \quad (19)$$

The corresponding normalized eigenvectors in the crystallographic basis are

$$\mathbf{n}_1 = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -1 \\ 0 \end{pmatrix} = \frac{1}{\sqrt{2}} (\mathbf{e}_x - \mathbf{e}_y), \quad (20a)$$

$$\mathbf{n}_2 = \frac{1}{\sqrt{2+r^2}} \begin{pmatrix} 1 \\ 1 \\ r \end{pmatrix} = \frac{1}{\sqrt{2+r^2}} (\mathbf{e}_x + \mathbf{e}_y + r\mathbf{e}_z), \quad (20b)$$

$$\mathbf{n}_3 = \frac{\text{sign}(s)}{\sqrt{2+s^2}} \begin{pmatrix} 1 \\ 1 \\ s \end{pmatrix} = \frac{\text{sign}(s)}{\sqrt{2+s^2}} (\mathbf{e}_x + \mathbf{e}_y + s\mathbf{e}_z), \quad (20c)$$

where

$$r = \frac{2K + 3\Gamma - R}{2K - 3\Gamma + R} = -\frac{3\Gamma + 2K - R}{3\Gamma - 2K - R} \quad (21)$$

and

$$s = \frac{2K + 3\Gamma + R}{2K - 3\Gamma - R} = -\frac{3\Gamma + 2K + R}{3\Gamma - 2K + R} = -\frac{2}{r}. \quad (22)$$

For later reference, we note that

$$r + s = 1 - 2K/\Gamma, \quad (23)$$

and hence

$$K + \Gamma(r + s) = \Gamma - K. \quad (24)$$

Recall that the local magnetization in the classical ground state is parallel to the eigenvector whose eigenvalue has the largest magnitude. In the zigzag phase, this is \mathbf{n}_3 ; the corresponding classical ground-state energy is simply

$$\mathcal{H}_{\text{cl}} = -\frac{N}{2} S^2 |\lambda_3|. \quad (25)$$

For $\Gamma \rightarrow 0$, we may expand

$$R = 2|K| - \Gamma \text{sign}K + \mathcal{O}(\Gamma^2), \quad (26)$$

so that

$$s \sim \frac{2K + 2|K| + 3\Gamma - \Gamma \text{sign}K + \mathcal{O}(\Gamma^2)}{2K - 2|K| - 3\Gamma + \Gamma \text{sign}K + \mathcal{O}(\Gamma^2)}. \quad (27)$$

For positive K , this expression diverges as $-2K/\Gamma \rightarrow \mp\infty$ for $\Gamma \rightarrow \pm 0$. In this limit, $r \rightarrow 0$ so that the eigenvector \mathbf{n}_2 reduces to $(\mathbf{e}_x + \mathbf{e}_y)/\sqrt{2}$, while the eigenvector \mathbf{n}_3 , which gives the direction of the magnetization, approaches \mathbf{e}_z . On the other hand, for $K < 0$ the parameter s vanishes for $\Gamma \rightarrow 0$ while r approaches $\mp\infty$ for $\Gamma \rightarrow \pm 0$; the local magnetization lies then in the crystallographic xy plane. Using the relation

$$\begin{aligned} rs &= \left(\frac{3\Gamma + 2K - R}{3\Gamma - 2K - R} \right) \left(\frac{3\Gamma + 2K + R}{3\Gamma - 2K + R} \right) \\ &= \frac{(3\Gamma + 2K)^2 - R^2}{(3\Gamma - 2K)^2 - R^2} = \frac{16K\Gamma}{-8K\Gamma} = -2, \end{aligned} \quad (28)$$

one easily verifies that $\mathbf{n}_1 \times \mathbf{n}_2 = \mathbf{n}_3$, so that $\{\mathbf{n}_1, \mathbf{n}_2, \mathbf{n}_3\}$ form a right-handed basis with the third axis \mathbf{n}_3 matching the direction of the local magnetization in the zigzag phase.

In Sec. IV B, we will show that for $\Gamma = K > 0$ the magnon spectrum can be calculated analytically, which will enable us to calculate the magnon damping. In this case, $r = 1$ and $s = -2$ so that the direction of the classical magnetization is

$$\mathbf{n}_3 = \frac{1}{\sqrt{6}} \begin{pmatrix} -1 \\ -1 \\ 2 \end{pmatrix}, \quad (29)$$

which is the coordinate representation of the vector \mathbf{e}_2 pointing along the direction of the zigzag pattern shown in Fig. 3.

Hence, for $\Gamma = K > 0$, the magnetic moments lie in the plane of the honeycomb lattice and point in the direction of the zigzag pattern. Note that \mathbf{e}_2 can be combined with another unit vector \mathbf{e}_1 in the plane of the honeycomb lattice that is perpendicular to the zigzag pattern, and with a third unit vector \mathbf{e}_3 that is perpendicular to the plane of the honeycomb lattice to form a basis $\{\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3\}$, which matches the geometry of the lattice. The relation between this honeycomb basis and the crystallographic basis $\{\mathbf{e}_x, \mathbf{e}_y, \mathbf{e}_z\}$ is

$$\mathbf{e}_x = -\frac{\mathbf{e}_1}{\sqrt{2}} - \frac{\mathbf{e}_2}{\sqrt{6}} + \frac{\mathbf{e}_3}{\sqrt{3}}, \quad (30a)$$

$$\mathbf{e}_y = \frac{\mathbf{e}_1}{\sqrt{2}} - \frac{\mathbf{e}_2}{\sqrt{6}} + \frac{\mathbf{e}_3}{\sqrt{3}}, \quad (30b)$$

$$\mathbf{e}_z = \sqrt{\frac{2}{3}} \mathbf{e}_2 + \frac{\mathbf{e}_3}{\sqrt{3}}. \quad (30c)$$

The inverse transformations are

$$\mathbf{e}_1 = \frac{-\mathbf{e}_x + \mathbf{e}_y}{\sqrt{2}}, \quad (31a)$$

$$\mathbf{e}_2 = \frac{-\mathbf{e}_x - \mathbf{e}_y + 2\mathbf{e}_z}{\sqrt{6}}, \quad (31b)$$

$$\mathbf{e}_3 = \frac{\mathbf{e}_x + \mathbf{e}_y + \mathbf{e}_z}{\sqrt{3}}. \quad (31c)$$

From Eq. (31b), it is obvious that \mathbf{n}_3 in Eq. (29) can indeed be identified with \mathbf{e}_2 .

C. Projection onto local reference frames

In this section, we consider a general case of the zigzag state with a finite magnetic field. To derive the spin-wave spectrum, we express spin operators in terms of canonical boson operators using the Holstein-Primakoff transformation [95]. Therefore we project the operators S_i onto the right-handed basis $\{\mathbf{t}_{i1}, \mathbf{t}_{i2}, \mathbf{m}_i\}$ with the third direction

$$\mathbf{m}_i = \zeta_i \mathbf{n}_3 \quad (32)$$

matching the direction defined by the local magnetization in the zigzag state given in Eq. (16). The transverse basis vectors \mathbf{t}_{i1} and \mathbf{t}_{i2} are not unique and are defined only up to a local $U(1)$ gauge transformation [100,101]. The most general choice of the transverse basis vectors is

$$\mathbf{t}_{i1} = \mathbf{n}_1 \cos \phi - \mathbf{n}_2 \sin \phi, \quad (33a)$$

$$\mathbf{t}_{i2} = \zeta_i [\mathbf{n}_1 \sin \phi + \mathbf{n}_2 \cos \phi], \quad (33b)$$

where \mathbf{n}_1 and \mathbf{n}_2 are defined in Eqs. (20a) and (20b) and the angle ϕ is arbitrary. The factor ζ_i is introduced such that our local basis is right-handed. The corresponding spherical basis vectors are

$$\mathbf{t}_i^p = \mathbf{t}_{i1} + i p \mathbf{t}_{i2} = e^{ip\zeta_i\phi} (\mathbf{n}_1 + ip\zeta_i \mathbf{n}_2), \quad p = \pm. \quad (34)$$

To derive the expansion in powers of $1/S$, we project spin operators onto our local basis,

$$S_i = S_i^{\parallel} \mathbf{m}_i + S_i^{\perp}, \quad (35)$$

with the transverse part given by

$$\mathbf{S}_i^\perp = \frac{1}{2} \sum_{p=\pm} S_i^{-p} \mathbf{t}_i^p. \quad (36)$$

Then the spin components are bosonized using the Holstein-Primakoff transformation [95],

$$S_i^+ = \sqrt{2S} \sqrt{1 - \frac{a_i^\dagger a_i}{2S}} a_i \approx \sqrt{2S} \left[a_i - \frac{a_i^\dagger a_i a_i}{4S} \right], \quad (37a)$$

$$S_i^- = \sqrt{2S} a_i^\dagger \sqrt{1 - \frac{a_i^\dagger a_i}{2S}} \approx \sqrt{2S} \left[a_i^\dagger - \frac{a_i^\dagger a_i^\dagger a_i}{4S} \right], \quad (37b)$$

$$S_i^\parallel = S - a_i^\dagger a_i, \quad (37c)$$

where a_i and a_i^\dagger are canonical boson operators satisfying the usual commutation relations $[a_i, a_j^\dagger] = \delta_{ij}$. To express our Hamiltonian (1) in terms of the Holstein-Primakoff bosons it is convenient to write it in the form

$$\mathcal{H} = \frac{1}{2} \sum_{ij,\alpha} \left[J_{ij}^\alpha S_i^\alpha S_j^\alpha + \sum_{\beta\gamma} \Gamma_{\beta\gamma,ij}^\alpha S_i^\beta S_j^\gamma \right] - \sum_i \mathbf{h} \cdot \mathbf{S}_i, \quad (38)$$

where $J_{ij}^\alpha = J^\alpha(\mathbf{R}_i - \mathbf{R}_j)$ and $\Gamma_{\beta\gamma,ij}^\alpha = \Gamma_{\beta\gamma}^\alpha(\mathbf{R}_i - \mathbf{R}_j)$ are only finite if $\mathbf{R}_i - \mathbf{R}_j$ connect nearest-neighbor sites on the honeycomb lattice, with

$$J^\alpha(\mathbf{R}_i - \mathbf{R}_j = \pm \mathbf{d}_\mu) = J + \delta_{\alpha\mu} K, \quad (39a)$$

$$\Gamma_{\beta\gamma}^\alpha(\mathbf{R}_i - \mathbf{R}_j = \pm \mathbf{d}_\mu) = \delta_{\alpha\mu} \Gamma_{\beta\gamma}^\alpha. \quad (39b)$$

Substituting the decomposition (35) into Eq. (38) and setting $S_i^\parallel = S - a_i^\dagger a_i$, our spin Hamiltonian can be written as

$$\mathcal{H} = \mathcal{H}_0 + \mathcal{H}_{2\parallel} + \mathcal{H}_{4\parallel} + \mathcal{H}_\perp + \mathcal{H}_{\perp\perp}, \quad (40)$$

with

$$\mathcal{H}_0 = \frac{S^2}{2} \sum_{ij,\alpha} \left[J_{ij}^\alpha m_i^\alpha m_j^\alpha + \sum_{\beta\gamma} \Gamma_{\beta\gamma,ij}^\alpha m_i^\beta m_j^\gamma \right] - S \sum_i \mathbf{h} \cdot \mathbf{m}_i, \quad (41)$$

$$\begin{aligned} \mathcal{H}_{2\parallel} = & -\frac{S}{2} \sum_{ij,\alpha} \left[J_{ij}^\alpha m_i^\alpha m_j^\alpha + \sum_{\beta\gamma} \Gamma_{\beta\gamma,ij}^\alpha m_i^\beta m_j^\gamma \right] \\ & \times (a_i^\dagger a_i + a_j^\dagger a_j) + \sum_i \mathbf{h} \cdot \mathbf{m}_i a_i^\dagger a_i, \end{aligned} \quad (42)$$

$$\begin{aligned} \mathcal{H}_\perp = & \frac{1}{2} \sum_{ij,\alpha} \left[J_{ij}^\alpha (\mathbf{S}_i^\perp \cdot \mathbf{e}_\alpha) (\mathbf{S}_j^\perp \cdot \mathbf{e}_\alpha) \right. \\ & \left. + \sum_{\beta\gamma} \Gamma_{\beta\gamma,ij}^\alpha (\mathbf{S}_i^\perp \cdot \mathbf{e}_\beta) (\mathbf{S}_j^\perp \cdot \mathbf{e}_\gamma) \right], \end{aligned} \quad (43)$$

$$\begin{aligned} \mathcal{H}_{\perp\perp} = & - \sum_{ij} S_i^\perp \cdot \left\{ \delta_{ij} \mathbf{h} - \sum_\alpha [J_{ij}^\alpha \mathbf{e}_\alpha m_j^\alpha \right. \\ & \left. + \sum_{\beta\gamma} \Gamma_{\beta\gamma,ij}^\alpha \mathbf{e}_\beta m_j^\gamma] (S - a_j^\dagger a_j) \right\}. \end{aligned} \quad (44)$$

Within these notations, the condition (8) for a spin configuration to be in the classical ground state can be written as

$$\mathbf{m}_i \times \left\{ \mathbf{h} - S \sum_{j,\alpha} \left[J_{ij}^\alpha \mathbf{e}_\alpha m_j^\alpha + \sum_{\beta\gamma} \Gamma_{\beta\gamma,ij}^\alpha \mathbf{e}_\beta m_j^\gamma \right] \right\} = 0. \quad (45)$$

Using this condition, the part $\mathcal{H}_{\perp\perp}$ of the Hamiltonian which mixes longitudinal and transverse fluctuations simplifies to

$$\mathcal{H}_{\perp\perp} = - \sum_{ij,\alpha} S_i^\perp \cdot \left[J_{ij}^\alpha \mathbf{e}_\alpha m_j^\alpha + \sum_{\beta\gamma} \Gamma_{ij}^{\alpha\beta\gamma} \mathbf{e}_\beta m_j^\gamma \right] a_j^\dagger a_j. \quad (46)$$

If this term does not vanish by symmetry, it generates cubic interactions of the Holstein-Primakoff bosons in the leading order in the $1/S$ expansion.

D. Quadratic boson Hamiltonian

From now on, we set $\mathbf{h} = 0$ again. After substituting the spin projections in the local basis $\{\mathbf{t}_i^+, \mathbf{t}_i^-, \mathbf{m}_i\}$ of the zigzag state into the general formulas given in the previous section, the spin-wave dispersions in the zigzag state can be obtained from the part \mathcal{H}_2 of the Hamiltonian that is quadratic in the boson operators. For the explicit calculation of \mathcal{H}_2 , the following identity for the sum over a function of the nearest-neighbor sites on the honeycomb lattice is useful,

$$\begin{aligned} \sum_{(ij)} f(\mathbf{R}_i, \mathbf{R}_j) &= \frac{1}{2} \sum_{\mu=x,y,z} \left[\sum_{\mathbf{R}_i \in A} f(\mathbf{R}_i, \mathbf{R}_i + \mathbf{d}_\mu) \right. \\ & \left. + \sum_{\mathbf{R}_i \in B} f(\mathbf{R}_i, \mathbf{R}_i - \mathbf{d}_\mu) \right] \\ &= \sum_{\mu=x,y,z} \sum_{\mathbf{R}_i \in A} f(\mathbf{R}_i, \mathbf{R}_i + \mathbf{d}_\mu), \end{aligned} \quad (47)$$

where $\mathbf{R}_i \in A$ means all sites of the sublattice $A = a \cup c$ and $\mathbf{R}_i \in B$ means all sites of the sublattice $B = b \cup d$. The quadratic contribution $\mathcal{H}_{2\parallel}$ to the longitudinal part of the bosonized Hamiltonian defined in Eq. (42) is easily obtained:

$$\mathcal{H}_{2\parallel} = -FS \sum_{\mathbf{R}} a_{\mathbf{R}}^\dagger a_{\mathbf{R}}, \quad (48)$$

with

$$F = J + K(m_x^2 + m_y^2 - m_z^2) + 2\Gamma(m_y m_z + m_z m_x - m_x m_y). \quad (49)$$

The calculation of the corresponding transverse part $\mathcal{H}_{2\perp}$ is more involved. For simplicity, we use the gauge $\phi = 0$ for the transverse basis where the transverse spherical basis vectors are simply $\mathbf{t}_i^p = \mathbf{n}_1 + ip\zeta_i \mathbf{n}_2$. In the zigzag state, the expansion of the transverse part of the spin operators is

$$S_i^\perp = \frac{1}{2} \sum_p S_i^{-p} \mathbf{n}^p \quad \text{for } \mathbf{R}_i \in a \cup d, \quad (50a)$$

$$= \frac{1}{2} \sum_p S_i^p \mathbf{n}^p \quad \text{for } \mathbf{R}_i \in b \cup c, \quad (50b)$$

where we introduced the site-independent spherical basis vectors

$$\mathbf{n}^p = \mathbf{n}_1 + ip\mathbf{n}_2, \quad (51)$$

Decomposing the transverse part \mathcal{H}_\perp of the spin Hamiltonian defined in Eq. (43) into contributions from the three types of interactions,

$$\mathcal{H}_\perp = \mathcal{H}_\perp^J + \mathcal{H}_\perp^K + \mathcal{H}_\perp^\Gamma, \quad (52)$$

we then obtain for the Heisenberg part (J term),

$$\mathcal{H}_\perp^J = \frac{J}{2} \sum_p \sum_{\mathbf{R} \in A} [S_{\mathbf{R}}^p S_{\mathbf{R}+d_x}^{-p} + S_{\mathbf{R}}^p S_{\mathbf{R}+d_y}^{-p} + S_{\mathbf{R}}^p S_{\mathbf{R}+d_z}^{-p}]. \quad (53)$$

To explicitly write down the transverse contribution of the Kitaev term in the zigzag state, we separate the contributions from the four sublattices,

$$\begin{aligned} \mathcal{H}_\perp^K = \frac{1}{8} \sum_{pp'} \left\{ \sum_{\mathbf{R} \in a} [K_{xx}^{\bar{p}\bar{p}'} S_{\mathbf{R}}^p S_{\mathbf{R}+d_x}^{p'} + K_{yy}^{\bar{p}\bar{p}'} S_{\mathbf{R}}^p S_{\mathbf{R}+d_y}^{p'} + K_{zz}^{\bar{p}\bar{p}'} S_{\mathbf{R}}^p S_{\mathbf{R}+d_z}^{p'}] + \sum_{\mathbf{R} \in c} [K_{xx}^{pp'} S_{\mathbf{R}}^p S_{\mathbf{R}+d_x}^{p'} + K_{yy}^{pp'} S_{\mathbf{R}}^p S_{\mathbf{R}+d_y}^{p'} + K_{zz}^{pp'} S_{\mathbf{R}}^p S_{\mathbf{R}+d_z}^{p'}] \right. \\ \left. + \sum_{\mathbf{R} \in b} [K_{xx}^{pp'} S_{\mathbf{R}}^p S_{\mathbf{R}-d_x}^{p'} + K_{yy}^{pp'} S_{\mathbf{R}}^p S_{\mathbf{R}-d_y}^{p'} + K_{zz}^{pp'} S_{\mathbf{R}}^p S_{\mathbf{R}-d_z}^{p'}] + \sum_{\mathbf{R} \in d} [K_{xx}^{\bar{p}\bar{p}'} S_{\mathbf{R}}^p S_{\mathbf{R}-d_x}^{p'} + K_{yy}^{\bar{p}\bar{p}'} S_{\mathbf{R}}^p S_{\mathbf{R}-d_y}^{p'} + K_{zz}^{\bar{p}\bar{p}'} S_{\mathbf{R}}^p S_{\mathbf{R}-d_z}^{p'}] \right\}, \quad (54) \end{aligned}$$

where we have defined

$$K_{\alpha\beta}^{pp'} = K(\mathbf{e}_\alpha \cdot \mathbf{n}^p)(\mathbf{e}_\beta \cdot \mathbf{n}^{p'}). \quad (55)$$

and the superscripts \bar{p} and \bar{p}' stand for $-p$ and $-p'$. Similarly, the contribution from the off-diagonal exchange term to the transverse part of the spin Hamiltonian can be written as

$$\begin{aligned} \mathcal{H}_\perp^\Gamma = \frac{1}{8} \sum_{pp'} \left\{ \sum_{\mathbf{R} \in a} [\Gamma_{yz}^{\bar{p}\bar{p}'} S_{\mathbf{R}}^p S_{\mathbf{R}+d_x}^{p'} + \Gamma_{zx}^{\bar{p}\bar{p}'} S_{\mathbf{R}}^p S_{\mathbf{R}+d_y}^{p'} + \Gamma_{xy}^{\bar{p}\bar{p}'} S_{\mathbf{R}}^p S_{\mathbf{R}+d_z}^{p'}] + \sum_{\mathbf{R} \in c} [\Gamma_{yz}^{pp'} S_{\mathbf{R}}^p S_{\mathbf{R}+d_x}^{p'} + \Gamma_{zx}^{pp'} S_{\mathbf{R}}^p S_{\mathbf{R}+d_y}^{p'} + \Gamma_{xy}^{pp'} S_{\mathbf{R}}^p S_{\mathbf{R}+d_z}^{p'}] \right. \\ \left. + \sum_{\mathbf{R} \in b} [\Gamma_{yz}^{pp'} S_{\mathbf{R}}^p S_{\mathbf{R}-d_x}^{p'} + \Gamma_{zx}^{pp'} S_{\mathbf{R}}^p S_{\mathbf{R}-d_y}^{p'} + \Gamma_{xy}^{pp'} S_{\mathbf{R}}^p S_{\mathbf{R}-d_z}^{p'}] + \sum_{\mathbf{R} \in d} [\Gamma_{yz}^{\bar{p}\bar{p}'} S_{\mathbf{R}}^p S_{\mathbf{R}-d_x}^{p'} + \Gamma_{zx}^{\bar{p}\bar{p}'} S_{\mathbf{R}}^p S_{\mathbf{R}-d_y}^{p'} + \Gamma_{xy}^{\bar{p}\bar{p}'} S_{\mathbf{R}}^p S_{\mathbf{R}-d_z}^{p'}] \right\}, \quad (56) \end{aligned}$$

where we have defined

$$\Gamma_{\alpha\beta}^{pp'} = \Gamma[(\mathbf{e}_\alpha \cdot \mathbf{n}^p)(\mathbf{e}_\beta \cdot \mathbf{n}^{p'}) + (\mathbf{e}_\beta \cdot \mathbf{n}^p)(\mathbf{e}_\alpha \cdot \mathbf{n}^{p'})]. \quad (57)$$

To obtain the corresponding Hamiltonian $\mathcal{H}_{2\perp} = \mathcal{H}_{2\perp}^J + \mathcal{H}_{2\perp}^K + \mathcal{H}_{2\perp}^\Gamma$, which is quadratic in the boson operators, we approximate the spherical components of the spin operators by the leading terms in the Holstein-Primakoff transformation, $S_i^+ \approx \sqrt{2S}a_i$ and $S_i^- \approx \sqrt{2S}a_i^\dagger$, see Eqs. (37a) and (37b). Then the resulting quadratic boson Hamiltonian can be block-diagonalized by transforming to the momentum space on each of the four sublattices separately,

$$a_{\mathbf{R}} = \sqrt{\frac{4}{N}} \sum_{\mathbf{k}} e^{i\mathbf{k}\cdot\mathbf{R}} a_{\mathbf{k}}, \quad \mathbf{R} \in a, \quad (58a)$$

$$= \sqrt{\frac{4}{N}} \sum_{\mathbf{k}} e^{i\mathbf{k}\cdot\mathbf{R}} b_{\mathbf{k}}, \quad \mathbf{R} \in b, \quad (58b)$$

$$= \sqrt{\frac{4}{N}} \sum_{\mathbf{k}} e^{i\mathbf{k}\cdot\mathbf{R}} c_{\mathbf{k}}, \quad \mathbf{R} \in c, \quad (58c)$$

$$= \sqrt{\frac{4}{N}} \sum_{\mathbf{k}} e^{i\mathbf{k}\cdot\mathbf{R}} d_{\mathbf{k}}, \quad \mathbf{R} \in d, \quad (58d)$$

where the momentum sums are over the reduced (magnetic) Brillouin zone associated with one of the four sublattices containing $N/4$ lattice sites. Note that the coordinates of the sites of different sublattices can be transformed into each other

by shifting by a vector that is not a primitive vector of the Bravais lattice,

$$\mathbf{R}_b = \mathbf{R}_a + \mathbf{d}_z, \quad (59a)$$

$$\mathbf{R}_c = \mathbf{R}_a + \mathbf{d}_z - \mathbf{d}_x = \mathbf{R}_a + \mathbf{a}_1, \quad (59b)$$

$$\mathbf{R}_d = \mathbf{R}_a + \mathbf{d}_x, \quad (59c)$$

where the subscripts indicate the sublattice and the shift vectors \mathbf{d}_x , \mathbf{d}_z , and \mathbf{a}_1 are defined in the caption of Fig. 1. As a consequence, we should distinguish four types of periodic δ functions,

$$\delta_a(\mathbf{k}) = \frac{4}{N} \sum_{\mathbf{R}_a} e^{i\mathbf{k}\cdot\mathbf{R}_a} = \sum_{\mathbf{G}} \delta_{\mathbf{k},\mathbf{G}}, \quad (60a)$$

$$\delta_b(\mathbf{k}) = \frac{4}{N} \sum_{\mathbf{R}_b} e^{i\mathbf{k}\cdot\mathbf{R}_b} = \sum_{\mathbf{G}} \delta_{\mathbf{k},\mathbf{G}} e^{i\mathbf{G}\cdot\mathbf{d}_z}, \quad (60b)$$

$$\delta_c(\mathbf{k}) = \frac{4}{N} \sum_{\mathbf{R}_c} e^{i\mathbf{k}\cdot\mathbf{R}_c} = \sum_{\mathbf{G}} \delta_{\mathbf{k},\mathbf{G}} e^{i\mathbf{G}\cdot\mathbf{a}_1}, \quad (60c)$$

$$\delta_d(\mathbf{k}) = \frac{4}{N} \sum_{\mathbf{R}_d} e^{i\mathbf{k}\cdot\mathbf{R}_d} = \sum_{\mathbf{G}} \delta_{\mathbf{k},\mathbf{G}} e^{i\mathbf{G}\cdot\mathbf{d}_x}, \quad (60d)$$

where \mathbf{G} are the reciprocal lattice vectors of the honeycomb lattice associated with the a -sublattice, i.e., $e^{i\mathbf{R}_a\cdot\mathbf{G}} = 1$. It follows that the Fourier components of the operators $a_{\mathbf{k}}$, $b_{\mathbf{k}}$,

c_k , and d_k defined via Eq. (58) have the following periodicity properties:

$$a_{k+G} = a_k, \quad (61a)$$

$$b_{k+G} = e^{-iG \cdot d_z} b_k, \quad (61b)$$

$$c_{k+G} = e^{-iG \cdot a_1} c_k, \quad (61c)$$

$$d_{k+G} = e^{-iG \cdot d_x} d_k. \quad (61d)$$

These nontrivial phase factors are crucial for the correct treatment of umklapp scattering in our calculation of magnon damping presented in Sec. V.

In the momentum space, the total quadratic part of the boson Hamiltonian is of the form

$$\begin{aligned} \mathcal{H}_2 = \mathcal{H}_{2\parallel} + \mathcal{H}_{2\perp} = & \sum_k \sum_{mn} \left\{ A_k^{mn} a_{km}^\dagger a_{kn} \right. \\ & \left. + \frac{1}{2} [B_k^{mn} a_{km}^\dagger a_{-kn}^\dagger + (B_k^{nm})^* a_{-km} a_{kn}] \right\}, \quad (62) \end{aligned}$$

where the labels $m, n \in \{a, b, c, d\}$ refer to the four sublattices and we have set $a_{ka} = a_k$, $a_{kb} = b_k$, $a_{kc} = c_k$, and $a_{kd} = d_k$. In general, the hermiticity of the Hamiltonian implies that the matrix \mathbf{A}_k with elements $[A_k]^{mn} = A_k^{mn}$ is Hermitian, i.e.,

$$A_k^{mn} = (A_k^{nm})^*, \quad \text{or} \quad \mathbf{A}_k = \mathbf{A}_k^\dagger. \quad (63)$$

Moreover, the symmetry under relabeling $\mathbf{k} \rightarrow -\mathbf{k}$ in the off-diagonal terms implies

$$B_k^{mn} = B_{-k}^{nm}, \quad \text{or} \quad \mathbf{B}_k = \mathbf{B}_{-k}^T. \quad (64)$$

In the zigzag state with the local moment given by $\mathbf{m}_i = \zeta_i \mathbf{n}_3$ and general transverse basis vectors given by Eqs. (33a) and (33b), the nonzero elements of the matrices given above are

$$A_k^{aa} = A_k^{bb} = A_k^{cc} = A_k^{dd} = \lambda, \quad (65a)$$

$$A_k^{ad} = (A_k^{da})^* = A_k^{cb} = (A_k^{bc})^* = \alpha_k, \quad (65b)$$

$$A_k^{ab} = (A_k^{ba})^* = \beta_k, \quad (65c)$$

$$A_k^{cd} = (A_k^{dc})^* = \beta_{-k}^*, \quad (65d)$$

and

$$B_k^{ab} = B_{-k}^{ba} = B_k^{cd} = B_{-k}^{dc} = \mu_k, \quad (66a)$$

$$B_k^{ad} = B_{-k}^{da} = \nu_k, \quad (66b)$$

$$B_k^{cb} = B_{-k}^{bc} = \nu_{-k}^*, \quad (66c)$$

where

$$\begin{aligned} \lambda = & -S \left[J + K \frac{2-s^2}{2+s^2} + \frac{2\Gamma}{2+s^2} (2s-1) \right] \\ = & S \left[-J + K \frac{2-r^2}{2+r^2} + \Gamma \frac{r(4+r)}{2+r^2} \right], \quad (67) \end{aligned}$$

$$\alpha_k = S \left[J + \frac{K}{4} \frac{4+r^2}{2+r^2} + \Gamma \frac{r}{2+r^2} \right] (e^{ik \cdot d_x} + e^{ik \cdot d_y}), \quad (68)$$

$$\beta_k = -S e^{2i\phi} \left[\frac{K}{2} \frac{r^2}{2+r^2} + \frac{\Gamma}{2} \frac{4+r^2}{2+r^2} \right] e^{ik \cdot d_z}, \quad (69)$$

$$\mu_k = S \left[J + \frac{K-\Gamma}{2} \frac{r^2}{2+r^2} \right] e^{ik \cdot d_z}, \quad (70)$$

$$\begin{aligned} v_k = & S e^{2i\phi} \left\{ \left[\frac{K}{4} \frac{r^2}{2+r^2} - \Gamma \frac{r}{2+r^2} \right] (e^{ik \cdot d_x} + e^{ik \cdot d_y}) \right. \\ & \left. + i \frac{K-\Gamma r}{2} \sqrt{\frac{2}{2+r^2}} (e^{ik \cdot d_x} - e^{ik \cdot d_y}) \right\}. \quad (71) \end{aligned}$$

The parameters r and $s = -2/r$ are functions of K and Γ as given in Eqs. (21) and (22). Note that for $K = \Gamma r$, the last term in Eq. (71) vanishes so that $v_k = \nu_{-k}^*$ for $\phi = 0$. It turns out that on this special surface in the parameter space, the spin-wave spectrum can be obtained analytically for all \mathbf{k} , as will be discussed in Sec. IV B. We conclude that in the zigzag state the matrices \mathbf{A}_k and \mathbf{B}_k defined via the quadratic spin-wave Hamiltonian \mathcal{H}_2 in Eq. (62) have the following structure:

$$\begin{aligned} \mathbf{A}_k = & \left(\begin{array}{cc|cc} A_k^{aa} & A_k^{ab} & 0 & A_k^{ad} \\ (A_k^{ab})^* & A_k^{bb} & A_k^{bc} & 0 \\ \hline 0 & (A_k^{bc})^* & A_k^{cc} & A_k^{cd} \\ (A_k^{ad})^* & 0 & (A_k^{cd})^* & A_k^{dd} \end{array} \right) \\ = & \left(\begin{array}{cc|cc} \lambda & \beta_k & 0 & \alpha_k \\ \beta_k^* & \lambda & \alpha_k^* & 0 \\ \hline 0 & \alpha_k & \lambda & \beta_{-k}^* \\ \alpha_k^* & 0 & \beta_{-k} & \lambda \end{array} \right) \quad (72) \end{aligned}$$

and

$$\begin{aligned} \mathbf{B}_k = & \left(\begin{array}{cc|cc} 0 & B_k^{ab} & 0 & B_k^{ad} \\ B_{-k}^{ab} & 0 & B_k^{bc} & 0 \\ \hline 0 & B_{-k}^{bc} & 0 & B_k^{cd} \\ B_{-k}^{ad} & 0 & B_{-k}^{cd} & 0 \end{array} \right) \\ = & \left(\begin{array}{cc|cc} 0 & \mu_k & 0 & \nu_k \\ \mu_{-k} & 0 & \nu_k^* & 0 \\ \hline 0 & \nu_{-k}^* & 0 & \mu_k \\ \nu_{-k} & 0 & \mu_{-k} & 0 \end{array} \right). \quad (73) \end{aligned}$$

E. Including third-nearest-neighbor exchange

A more realistic model of the spin-orbit coupled iridium oxides and α -RuCl₃ also takes into account an isotropic third-nearest-neighbor Heisenberg exchange interaction J_3 connecting spins on the opposite corners in the hexagons of the honeycomb lattice. Then we should add the following term to our Hamiltonian in Eq. (1):

$$\mathcal{H}^{J_3} = \frac{J_3}{2} \sum_{\alpha} \left[\sum_{R \in A} \mathbf{S}_R \cdot \mathbf{S}_{R+\delta_{\alpha}} + \sum_{R \in B} \mathbf{S}_R \cdot \mathbf{S}_{R-\delta_{\alpha}} \right], \quad (74)$$

where the vectors $\delta_{\alpha} = -2\mathbf{d}_{\alpha}$ connect the opposite sites of the hexagons. The classical ground-state energy of the zigzag state is then given by

$$\frac{\mathcal{H}_0}{NS^2} = -\frac{|\lambda_3|}{2} - \frac{3}{2} J_3 = -\frac{1}{2} \left| J - \frac{\Gamma}{2} - \frac{R}{2} \right| - \frac{3}{2} J_3, \quad (75)$$

where λ_3 is given in Eq. (18c). Hence, a positive J_3 stabilizes the zigzag state, similarly to the consideration of Ref. [55]. It turns out, that the structure of the matrices \mathbf{A}_k and \mathbf{B}_k given in Eqs. (72) and (73) above does not change with J_3 ; we simply have to redefine the diagonal matrix element λ of \mathbf{A}_k

as follows:

$$\lambda = S \left[3J_3 - J + K \frac{2-r^2}{2+r^2} + \Gamma \frac{r(4+r)}{2+r^2} \right], \quad (76)$$

and replace the off-diagonal element μ_k of the matrix \mathbf{B}_k by

$$\begin{aligned} B_k^{ab} &= B_{-k}^{ba} = B_k^{cd} = B_{-k}^{dc} = \mu_k \\ &= S \left[J + \frac{K-\Gamma}{2} \frac{r^2}{2+r^2} \right] e^{ik \cdot d_z} \\ &\quad + SJ_3 (e^{-2ik \cdot d_x} + e^{-2ik \cdot d_y} + e^{-2ik \cdot d_z}). \end{aligned} \quad (77)$$

Note that the additional contribution to the matrix element μ_k involving J_3 does not violate the symmetry $\mu_{-k} = \mu_k^*$.

F. Cubic boson Hamiltonian

For the calculation of the magnon damping in the zigzag state presented in Sec. V, we also need the cubic part \mathcal{H}_3 of the boson Hamiltonian, which can be obtained from $\mathcal{H}_{\perp\perp}$ given in Eq. (46) by expanding the transverse components of the spin operators to linear order in the Holstein-Primakoff bosons. In real space, we obtain

$$\begin{aligned} \mathcal{H}_3 &= -\frac{\sqrt{2S}}{2} \left\{ \sum_{R \in a} a_R^\dagger (V_x \rho_{R+d_x}^d + V_y \rho_{R+d_y}^d - V_z \rho_{R+d_z}^b) \right. \\ &\quad \left. - \sum_{R \in c} c_R^\dagger (V_x \rho_{R+d_x}^b + V_y \rho_{R+d_y}^b - V_z \rho_{R+d_z}^d) \right\} \end{aligned}$$

$$\begin{aligned} \mathcal{H}_3 &= \frac{\sqrt{2S}}{2} \sqrt{\frac{4}{N}} \sum_{k_1 k_2 k_3} \sum_G \delta_{k_1+k_2+k_3, G} \left\{ -[(V_x e^{iG \cdot d_x - i(k_2+k_3) \cdot d_x} + V_y e^{iG \cdot d_x - i(k_2+k_3) \cdot d_y}) d_{-k_1}^\dagger + V_z^* e^{iG \cdot d_z - i(k_2+k_3) \cdot d_z} b_{-k_1}^\dagger] a_{-k_2}^\dagger a_{k_3} \right. \\ &\quad + [(V_x^* e^{iG \cdot a_1 + i(k_2+k_3) \cdot d_x} + V_y^* e^{iG \cdot a_1 + i(k_2+k_3) \cdot d_y}) c_{-k_1}^\dagger + V_z e^{i(k_2+k_3) \cdot d_z} a_{-k_1}^\dagger] b_{-k_2}^\dagger b_{k_3} \\ &\quad + [(V_x^* e^{iG \cdot d_z - i(k_2+k_3) \cdot d_x} + V_y^* e^{iG \cdot d_z - i(k_2+k_3) \cdot d_y}) b_{-k_1}^\dagger + V_z e^{iG \cdot d_x - i(k_2+k_3) \cdot d_z} d_{-k_1}^\dagger] c_{-k_2}^\dagger c_{k_3} \\ &\quad \left. - [(V_x e^{i(k_2+k_3) \cdot d_x} + V_y e^{i(k_2+k_3) \cdot d_y}) a_{-k_1}^\dagger + V_z^* e^{iG \cdot a_1 + i(k_2+k_3) \cdot d_z} c_{-k_1}^\dagger] d_{-k_2}^\dagger d_{k_3} + \text{H.c.} \right\}. \end{aligned} \quad (80)$$

In the second line, we use $\mathbf{k}_2 + \mathbf{k}_3 = \mathbf{G} - \mathbf{k}_1$, $\mathbf{a}_1 = \mathbf{d}_z - \mathbf{d}_x$, $\mathbf{a}_2 = \mathbf{d}_z - \mathbf{d}_y$, $\mathbf{d}_x - \mathbf{d}_y = \mathbf{a}_2 - \mathbf{a}_1$, and $e^{iG \cdot (\mathbf{a}_1 \pm \mathbf{a}_2)} = 1$ to simplify the phase factors as follows:

$$e^{iG \cdot d_x - i(k_2+k_3) \cdot d_x} = e^{i(G-k_2-k_3) \cdot d_x} = e^{ik_1 \cdot d_x}, \quad (81a)$$

$$\begin{aligned} e^{iG \cdot d_x - i(k_2+k_3) \cdot d_y} &= e^{iG \cdot (d_x - d_y) + ik_1 \cdot d_y} \\ &= e^{iG \cdot (a_2 - a_1) + ik_1 \cdot d_y} = e^{ik_1 \cdot d_y}, \end{aligned} \quad (81b)$$

$$e^{iG \cdot d_z - i(k_2+k_3) \cdot d_z} = e^{i(G-k_2-k_3) \cdot d_z} = e^{ik_1 \cdot d_z}. \quad (81c)$$

The phases of the three terms in the third line of Eq. (80) can be simplified as follows:

$$\begin{aligned} e^{iG \cdot a_1 + i(k_2+k_3) \cdot d_x} &= e^{iG \cdot (a_1 + d_x) - ik_1 \cdot d_x} \\ &= e^{iG \cdot d_x} e^{-ik_1 \cdot d_x}, \end{aligned} \quad (82a)$$

$$\begin{aligned} e^{iG \cdot a_1 + i(k_2+k_3) \cdot d_y} &= e^{iG \cdot (a_1 + d_y) - ik_1 \cdot d_y} \\ &= e^{iG \cdot (a_2 + d_y) - ik_1 \cdot d_y} \\ &= e^{iG \cdot d_y} e^{-ik_1 \cdot d_y}, \end{aligned} \quad (82b)$$

$$e^{i(k_2+k_3) \cdot d_z} = e^{iG \cdot d_z} e^{-ik_1 \cdot d_z}, \quad (82c)$$

$$\begin{aligned} &- \sum_{R \in b} b_R^\dagger (V_x^* \rho_{R-d_x}^c + V_y^* \rho_{R-d_y}^c - V_z^* \rho_{R-d_z}^a) \\ &+ \sum_{R \in d} d_R^\dagger (V_x \rho_{R-d_x}^a + V_y \rho_{R-d_y}^a - V_z \rho_{R-d_z}^c) + \text{H.c.} \end{aligned} \quad (78)$$

where $\rho_R^a = d_R^\dagger a_R$, $\rho_R^b = b_R^\dagger b_R$, $\rho_R^c = c_R^\dagger c_R$ and $\rho_R^d = d_R^\dagger d_R$ are the number operators of the Holstein-Primakoff bosons in the four sublattices a , b , c , and d , and

$$V_x = \frac{e^{i\phi} \text{sgns}}{\sqrt{2+s^2}} \left[\frac{K-\Gamma s}{\sqrt{2}} + i \frac{\Gamma-K}{\sqrt{2+r^2}} \right], \quad (79a)$$

$$V_y = \frac{e^{i\phi} \text{sgns}}{\sqrt{2+s^2}} \left[-\frac{K-\Gamma s}{\sqrt{2}} + i \frac{\Gamma-K}{\sqrt{2+r^2}} \right], \quad (79b)$$

$$V_z = \frac{2e^{i\phi} \text{sgns}}{\sqrt{2+s^2} \sqrt{2+r^2}} (\Gamma - K). \quad (79c)$$

Defining the Fourier transform to momentum space as in Eq. (58) and carefully keeping track of the phase factors associated with the umklapp scattering using Eq. (60), we obtain

and in the fourth line we can write

$$\begin{aligned} e^{iG \cdot d_z - i(k_2+k_3) \cdot d_x} &= e^{iG \cdot (d_z - d_x) + ik_1 \cdot d_x} \\ &= e^{iG \cdot a_1} e^{ik_1 \cdot d_x}, \end{aligned} \quad (83a)$$

$$\begin{aligned} e^{iG \cdot d_z - i(k_2+k_3) \cdot d_y} &= e^{iG \cdot (d_z - d_y) + ik_1 \cdot d_y} \\ &= e^{iG \cdot a_2} e^{ik_1 \cdot d_y}, \end{aligned} \quad (83b)$$

$$\begin{aligned} e^{iG \cdot d_x - i(k_2+k_3) \cdot d_z} &= e^{iG \cdot (d_x - d_z) + ik_1 \cdot d_z} \\ &= e^{-iG \cdot a_1} e^{ik_1 \cdot d_z} = e^{iG \cdot a_1} e^{ik_1 \cdot d_z}, \end{aligned} \quad (83c)$$

where in the last line we have used the fact that $2\mathbf{a}_1$ is a vector of the Bravais lattice so that $e^{-2iG \cdot a_1} = 1$. Finally, to simplify the phases in the last line of Eq. (80), we use $1 = e^{iG \cdot (\mathbf{a}_1 - \mathbf{a}_2)} = e^{-iG \cdot d_x} e^{iG \cdot d_y}$ and hence $e^{iG \cdot d_x} = e^{iG \cdot d_y}$ to write

$$e^{i(k_2+k_3) \cdot d_x} = e^{iG \cdot d_x} e^{-ik_1 \cdot d_x}, \quad (84a)$$

$$e^{i(k_2+k_3) \cdot d_y} = e^{iG \cdot d_y} e^{-ik_1 \cdot d_y}, \quad (84b)$$

$$= e^{iG \cdot d_x} e^{-ik_1 \cdot d_y}, \quad (84b)$$

$$\begin{aligned}
e^{iG \cdot a_1 + i(k_2 + k_3) \cdot d_z} &= e^{iG \cdot a_1 + i(G - k_1) \cdot d_z} \\
&= e^{iG \cdot (a_1 + d_z)} e^{-ik_1 \cdot d_z} \\
&= e^{iG \cdot (-a_1 + d_z)} e^{-ik_1 \cdot d_z} \\
&= e^{iG \cdot d_x} e^{-ik_1 \cdot d_z}. \tag{84c}
\end{aligned}$$

Defining

$$\begin{aligned}
V_k &= \frac{\sqrt{2S}}{2} (V_x e^{ik \cdot d_x} + V_y e^{ik \cdot d_y}) \\
&= \frac{\sqrt{2S}}{2} \frac{e^{i\phi} \text{sgns}}{\sqrt{2+s^2}} \left[\frac{K - \Gamma s}{\sqrt{2}} (e^{ik \cdot d_x} - e^{ik \cdot d_y}) \right. \\
&\quad \left. + i \frac{\Gamma - K}{\sqrt{2+r^2}} (e^{ik \cdot d_x} + e^{ik \cdot d_y}) \right], \tag{85}
\end{aligned}$$

$$\begin{aligned}
U_k &= \frac{\sqrt{2S}}{2} V_z e^{ik \cdot d_z} \\
&= \sqrt{2S} \frac{e^{i\phi} \text{sgns}}{\sqrt{2+s^2} \sqrt{2+r^2}} (\Gamma - K) e^{ik \cdot d_z}, \tag{86}
\end{aligned}$$

we finally obtain the cubic part of the boson Hamiltonian in the zigzag state,

$$\begin{aligned}
\mathcal{H}_3 &= \sqrt{\frac{4}{N}} \sum_{k_1 k_2 k_3} \sum_G \delta_{k_1 + k_2 + k_3, G} \\
&\times \left\{ -[V_{k_1} d_{-k_1}^\dagger + U_{-k_1}^* b_{-k_1}^\dagger] a_{-k_2}^\dagger a_{k_3} \right. \\
&+ e^{iG \cdot d_z} [V_{k_1}^* c_{-k_1}^\dagger + U_{-k_1} a_{-k_1}^\dagger] b_{-k_2}^\dagger b_{k_3} \\
&+ e^{iG \cdot a_1} [V_{-k_1} b_{-k_1}^\dagger + U_{k_1} d_{-k_1}^\dagger] c_{-k_2}^\dagger c_{k_3} \\
&\left. - e^{iG \cdot d_x} [V_{-k_1} a_{-k_1}^\dagger + U_{k_1}^* c_{-k_1}^\dagger] d_{-k_2}^\dagger d_{k_3} + \text{H.c.} \right\}. \tag{87}
\end{aligned}$$

Note that the Umklapp processes associated with the nonzero vectors \mathbf{G} of the reciprocal lattice involve nontrivial phase factors. Below we shall calculate the magnon damping in the special case $\Gamma = K > 0$ where $r = 1$ and $s = -2$. Then $U_k = 0$, while V_k reduces to

$$V_k = -\frac{\sqrt{6S}}{4} K (e^{ik \cdot d_x} - e^{ik \cdot d_y}), \tag{88}$$

where we have chosen the gauge $\phi = 0$ for simplicity, so that $V_{-k} = V_k^*$.

IV. MAGNON SPECTRUM IN THE ZIGZAG STATE FOR $\Gamma = K$

To obtain the magnon spectrum, we should diagonalize the quadratic part \mathcal{H}_2 of our boson Hamiltonian in Eq. (62). Due to the anomalous terms involving the matrix \mathbf{B}_k , this requires a multiflavor generalization of the Bogoliubov transformation. A general algorithm for constructing such a transformation has been described by Colpa [96] and by Blaizot and Ripka [97], see also more recent discussions in Refs. [98,99]. We provide a careful review of this algorithm in Appendix A where we also point out some mathematical subtleties [98].

For a general boson Hamiltonian of the type (62) with f different boson flavors, Colpa's algorithm transforms the Hamiltonian to a diagonal $2f \times 2f$ matrix containing magnon energies ω_{kn} as well as negative magnon energies $-\omega_{kn}$, where

$n = 1, \dots, f$ labels the magnon bands. In the zigzag phase of the Kitaev-Heisenberg- Γ model, the number of boson flavors is $f = 4$, so one has to deal with 8×8 matrices to calculate the magnon spectrum. Although this can be done numerically, the size of the matrices is too large for performing analytic calculations beyond the standard linear SWT in a reasonable amount of time. In this section, we will show that we can avoid this doubling of the flavor dimension by using the Hermitian-field parametrization of the SWT developed in Refs. [81–85]. Another advantage of this approach is that it allows us to identify special regimes in the parameter space of the model where the calculation of the magnon spectrum simplifies. In fact, we will demonstrate below that for $\Gamma = K > 0$ and arbitrary J and J_3 , magnon spectrum can be obtained fully analytically, which will enable us to calculate magnon damping and the dynamical structure factor for $\Gamma = K$ in Sec. V.

A. Hermitian field parametrization of spin fluctuations

At this point, it is advantageous to work with the Euclidean action associated with the quadratic boson-Hamiltonian (62),

$$\begin{aligned}
S_2 &= \beta \sum_K \sum_{mn} \left\{ (A_k^{mn} - i\omega \delta_{mn}) \bar{a}_{K_m} a_{K_n} \right. \\
&\quad \left. + \frac{1}{2} [B_k^{mn} \bar{a}_{K_m} \bar{a}_{-K_n} + (B_k^{mn})^* a_{-K_m} a_{K_n}] \right\}, \tag{89}
\end{aligned}$$

where a_{K_m} are now complex variables labeled by the momentum-energy index $K = (\mathbf{k}, i\omega)$ and the sublattice index m . Here, $i\omega$ is the bosonic Matsubara frequency, β is inverse temperature, and $\sum_K = \sum_{\mathbf{k}} \sum_{\omega}$. For each complex field a_{K_m} , we now introduce a pair of real fields X_{K_m} and P_{K_m} by setting

$$a_{K_m} = \frac{1}{\sqrt{2}} [X_{K_m} + iP_{K_m}], \tag{90a}$$

$$\bar{a}_{-K_m} = \frac{1}{\sqrt{2}} [X_{K_m} - iP_{K_m}], \tag{90b}$$

where X_{K_m} and P_{K_m} are the Fourier components of real fields that satisfy

$$X_{-K_m} = X_{K_m}^*, \quad P_{-K_m} = P_{K_m}^*. \tag{91}$$

In terms of these new variables, the quadratic part of our spin-wave action can be written as

$$\begin{aligned}
S_2 &= \frac{\beta}{2} \sum_K \sum_{mn} [T_k^{mn} P_{-K_m} P_{K_n} + V_k^{mn} X_{-K_m} X_{K_n} \\
&\quad + 2(\omega \delta^{mn} + W_k^{mn}) X_{-K_m} P_{K_n}], \tag{92}
\end{aligned}$$

where T_k^{mn} , V_k^{mn} , and W_k^{mn} are the matrix elements of the $f \times f$ matrices \mathbf{T}_k , \mathbf{V}_k , and \mathbf{W}_k defined by

$$\mathbf{T}_k = \mathbf{A}_k^R - \mathbf{B}_k^R, \tag{93a}$$

$$\mathbf{V}_k = \mathbf{A}_k^R + \mathbf{B}_k^R, \tag{93b}$$

$$\mathbf{W}_k = -\mathbf{A}_k^I + \mathbf{B}_k^I, \tag{93c}$$

where we introduced

$$\mathbf{A}_k^R = \frac{\mathbf{A}_k + \mathbf{A}_{-k}^*}{2} = \frac{\mathbf{A}_k + \mathbf{A}_{-k}^T}{2}, \tag{94a}$$

$$\mathbf{A}_k^I = \frac{\mathbf{A}_k - \mathbf{A}_{-k}^*}{2i} = \frac{\mathbf{A}_k - \mathbf{A}_{-k}^T}{2i}, \tag{94b}$$

$$\mathbf{B}_k^R = \frac{\mathbf{B}_k + \mathbf{B}_{-k}^*}{2} = \frac{\mathbf{B}_k + \mathbf{B}_k^\dagger}{2}, \quad (94c)$$

$$\mathbf{B}_k^I = \frac{\mathbf{B}_k - \mathbf{B}_{-k}^*}{2i} = \frac{\mathbf{B}_k - \mathbf{B}_k^\dagger}{2i}. \quad (94d)$$

Our notation is motivated by the theory of coupled oscillators in classical mechanics [102], in which the analog of \mathbf{T}_k is associated with the kinetic energy of the system and the analog of \mathbf{V}_k describes potential energy in the harmonic approximation. Note that the matrix \mathbf{W}_k can alternatively be written as

$$\mathbf{W}_k = \mathbf{W}_{k,+} + \mathbf{W}_{k,-}, \quad (95)$$

with

$$\mathbf{W}_{k,+} = \frac{\mathbf{W}_k + \mathbf{W}_{-k}^T}{2} = \mathbf{B}_k^I, \quad (96a)$$

$$\mathbf{W}_{k,-} = \frac{\mathbf{W}_k - \mathbf{W}_{-k}^T}{2} = -\mathbf{A}_k^I. \quad (96b)$$

In these notations, our action (92) can be written in a more symmetric form

$$\begin{aligned} S_2 = & \frac{\beta}{2} \sum_K \sum_{mn} [T_k^{mn} P_{-Km} P_{Kn} + V_k^{mn} X_{-Km} X_{Kn} \\ & + (\omega \delta^{mn} + W_{k,-}^{mn})(X_{-Km} P_{Kn} - P_{-Km} X_{Kn}) \\ & + W_{k,+}^{mn}(X_{-Km} P_{Kn} + P_{-Km} X_{Kn})]. \end{aligned} \quad (97)$$

The symmetry of the fields under the relabeling $K \rightarrow -K$ and $m \leftrightarrow n$ implies

$$T_k^{mn} = T_{-k}^{nm} \quad \text{or} \quad \mathbf{T}_k = \mathbf{T}_{-k}^T, \quad (98a)$$

$$V_k^{mn} = V_{-k}^{nm} \quad \text{or} \quad \mathbf{V}_k = \mathbf{V}_{-k}^T, \quad (98b)$$

$$W_{k,\pm}^{mn} = \pm W_{-k,\pm}^{nm} \quad \text{or} \quad \mathbf{W}_{k,\pm} = \pm \mathbf{W}_{-k,\pm}^T. \quad (98c)$$

In addition, the hermiticity of the underlying Hamiltonian implies

$$T_k^{mn} = (T_k^{nm})^* \quad \text{or} \quad \mathbf{T}_k = \mathbf{T}_k^\dagger, \quad (99a)$$

$$V_k^{mn} = (V_k^{nm})^* \quad \text{or} \quad \mathbf{V}_k = \mathbf{V}_k^\dagger, \quad (99b)$$

$$W_{k,\pm}^{mn} = \pm (W_{k,\pm}^{nm})^* \quad \text{or} \quad \mathbf{W}_{k,\pm} = \pm \mathbf{W}_{k,\pm}^\dagger. \quad (99c)$$

Hence, the matrices \mathbf{T}_k , \mathbf{V}_k , and $\mathbf{W}_{k,+}$ are Hermitian, while $\mathbf{W}_{k,-}$ is antiHermitian. Combining the relations given above, we see that all matrix elements satisfy

$$T_k^{mn} = (T_{-k}^{mn})^* \quad \text{or} \quad \mathbf{T}_k = \mathbf{T}_{-k}^*, \quad (100a)$$

$$V_k^{mn} = (V_{-k}^{mn})^* \quad \text{or} \quad \mathbf{V}_k = \mathbf{V}_{-k}^*, \quad (100b)$$

$$W_{k,\pm}^{mn} = (W_{-k,\pm}^{mn})^* \quad \text{or} \quad \mathbf{W}_{k,\pm} = \mathbf{W}_{-k,\pm}^*. \quad (100c)$$

In the compact matrix notation, our quadratic spin-wave action (97) can be written as

$$\begin{aligned} S_2[X, P] = & \frac{\beta}{2} \sum_K [X_K^\dagger \mathbf{V}_k X_K + P_K^\dagger \mathbf{T}_k P_K \\ & + X_K^\dagger (\mathbf{W}_k + \omega) P_K + P_K^\dagger (\mathbf{W}_k^\dagger - \omega) X_K] \\ = & \frac{\beta}{2} \sum_K (X_{-K}^T, P_{-K}^T) \begin{pmatrix} \mathbf{V}_k & \mathbf{W}_k + \omega \\ \mathbf{W}_k^\dagger - \omega & \mathbf{T}_k \end{pmatrix} \begin{pmatrix} X_K \\ P_K \end{pmatrix}, \end{aligned} \quad (101)$$

where we have defined the four-component column vectors

$$X_K = \begin{pmatrix} X_{Ka} \\ X_{Kb} \\ X_{Kc} \\ X_{Kd} \end{pmatrix}, \quad P_K = \begin{pmatrix} P_{Ka} \\ P_{Kb} \\ P_{Kc} \\ P_{Kd} \end{pmatrix}. \quad (102)$$

After the analytic continuation to real frequencies ($\omega = -i\omega \rightarrow -i\omega$), the spin-wave dispersions can be obtained from the roots of the equation

$$\det \begin{pmatrix} \mathbf{V}_k & \mathbf{W}_k - i\omega \\ \mathbf{W}_k^\dagger + i\omega & \mathbf{T}_k \end{pmatrix} = 0. \quad (103)$$

At first sight, it seems that one has to calculate the determinant of the $2f \times 2f$ -matrix in order to obtain the f magnon bands, as in Colpa's algorithm [96]. However, we can reduce the dimension of the matrices by performing Gaussian integration over the P field. The resulting effective action for the X field is

$$S_2[X] = \frac{\beta}{2} \sum_K \sum_{mn} X_{-K}^m [\mathbf{G}_0^{-1}(K)]^{mn} X_K^n, \quad (104)$$

where the inverse Gaussian propagator of the X field is given by

$$\begin{aligned} \mathbf{G}_0^{-1}(\mathbf{k}, i\omega) = & \mathbf{V}_k - (\mathbf{W}_k + \omega) \mathbf{T}_k^{-1} (\mathbf{W}_k^\dagger - \omega) \\ = & \mathbf{V}_k + (\omega - \mathbf{A}_k^I + \mathbf{B}_k^I) \mathbf{T}_k^{-1} (\omega - \mathbf{A}_k^I - \mathbf{B}_k^I). \end{aligned} \quad (105)$$

The matrix in the right-hand side of Eq. (105) is the so-called Schur complement of the block \mathbf{V}_k in the matrix

$$\begin{pmatrix} \mathbf{V}_k & \mathbf{W}_k + \omega \\ \mathbf{W}_k^\dagger - \omega & \mathbf{T}_k \end{pmatrix}. \quad (106)$$

After the analytic continuation to real frequencies ($i\omega \rightarrow \omega$), the inverse propagator matrix in Eq. (105) becomes

$$\begin{aligned} \mathbf{G}_0^{-1}(\mathbf{k}, \omega) = & \mathbf{V}_k - (\omega + i\mathbf{W}_k) \mathbf{T}_k^{-1} (\omega - i\mathbf{W}_k^\dagger) \\ = & \mathbf{V}_k - (\mathbf{W}_k - i\omega) \mathbf{T}_k^{-1} (\mathbf{W}_k^\dagger + i\omega) \\ = & \mathbf{V}_k - (\omega - i\mathbf{A}_k^I + i\mathbf{B}_k^I) \mathbf{T}_k^{-1} (\omega - i\mathbf{A}_k^I - i\mathbf{B}_k^I). \end{aligned} \quad (107)$$

Note that Eq. (107) can also be obtained directly from Eq. (103) using general formula for the determinant of a block matrix,

$$\det \begin{pmatrix} \mathbf{A} & \mathbf{B} \\ \mathbf{C} & \mathbf{D} \end{pmatrix} = \det(\mathbf{A} - \mathbf{B}\mathbf{D}^{-1}\mathbf{C}) \det \mathbf{D}. \quad (108)$$

Given that the matrix \mathbf{A}_k^I is antiHermitian, while \mathbf{V}_k , \mathbf{T}_k and \mathbf{B}_k^I are Hermitian, it is obvious that for the real frequencies the inverse propagator matrix $\mathbf{G}_0^{-1}(\mathbf{k}, \omega)$ is Hermitian, so that it can be diagonalized by means of a unitary transformation. Then the spin-wave dispersions can be obtained from the roots of the equation

$$\det \mathbf{G}_0^{-1}(\mathbf{k}, \omega) = 0. \quad (109)$$

Obviously, the calculation of the magnon spectrum simplifies if the matrix \mathbf{W}_k vanishes. In this case, the inverse propagator

of the X field is simply

$$\mathbf{G}_0^{-1}(\mathbf{k}, i\omega) = \mathbf{V}_k + \omega^2 \mathbf{T}_k^{-1}, \quad (110)$$

so that Eq. (109) reduces to

$$\det(\mathbf{V}_k - \omega^2 \mathbf{T}_k^{-1}) = 0, \quad (111)$$

or equivalently

$$\det(\mathbf{T}_k \mathbf{V}_k - \omega^2) = 0. \quad (112)$$

In summary, by expressing each Holstein-Primakoff boson in terms of two Hermitian operators, we can reduce the calculation of the energy bands of a general f -flavor boson Hamiltonian of the type (62) to the calculation of a determinant of a Hermitian $f \times f$ matrix. This is in contrast with the conventional algorithm [96–99] reviewed in Appendix A, within which one has to solve a generalized eigenvalue equation involving a non-Hermitian $2f \times 2f$ matrix $\mathbb{M}_k^{\text{dyn}}$, see Eq. (A43). Another advantage of the Hermitian field parametrization is that it allows one to identify special regimes in which calculations of the magnon spectrum simplifies, significantly easier than in the conventional approach. In fact, the next section shows that within the Hermitian field approach, we can identify previously unnoticed special surfaces in the parameter space of the Kitaev-Heisenberg- Γ model, on which the magnon spectrum and eigenstates of the Hamiltonian can be calculated analytically. This enables us to go beyond the linear SWT and calculate magnon damping in this regime.

B. Analytically solvable magnon spectrum in the zigzag state

At this point, it is convenient to work with the gauge $\phi = 0$ in the definition (33) of the local transverse basis. Then the matrix element β_k in Eq. (69) has the symmetry $\beta_k = \beta_{-k}^*$ so that the matrix \mathbf{A}_k defined in Eq. (72) can be written as

$$\mathbf{A}_k = \left(\begin{array}{cc|cc} \lambda & \beta_k & 0 & \alpha_k \\ \beta_k^* & \lambda & \alpha_k^* & 0 \\ \hline 0 & \alpha_k & \lambda & \beta_k \\ \alpha_k^* & 0 & \beta_k^* & \lambda \end{array} \right). \quad (113)$$

Keeping in mind that $\alpha_k = \alpha_{-k}^*$, the antisymmetric part \mathbf{A}_k^I of the matrix \mathbf{A}_k vanishes in the zigzag state, so that $\mathbf{W}_k = \mathbf{B}_k^I$. On the other hand, for $\phi = 0$, the function v_k defined in Eq. (71) has a part v_{k2} violating the symmetry $v_k = v_{-k}^*$. To isolate this part, we write

$$v_k = v_{k1} + i v_{k2}, \quad (114)$$

with

$$\begin{aligned} v_{k1} &= \frac{v_k + v_{-k}^*}{2} \\ &= S \left[\frac{K}{4} \frac{r^2}{2+r^2} - \Gamma \frac{r}{2+r^2} \right] (e^{ik \cdot d_x} + e^{ik \cdot d_y}) \end{aligned} \quad (115)$$

and

$$\begin{aligned} v_{k2} &= \frac{v_k - v_{-k}^*}{2i} \\ &= S \frac{K - \Gamma r}{2} \sqrt{\frac{2}{2+r^2}} (e^{ik \cdot d_x} - e^{ik \cdot d_y}). \end{aligned} \quad (116)$$

The two parts of the matrix $\mathbf{B}_k = \mathbf{B}_k^R + i\mathbf{B}_k^I$ are, therefore,

$$\mathbf{B}_k^R = \frac{\mathbf{B}_k + \mathbf{B}_{-k}^*}{2} = \left(\begin{array}{cc|cc} 0 & \mu_k & 0 & v_{k1} \\ \mu_k^* & 0 & v_{k1}^* & 0 \\ \hline 0 & v_{k1} & 0 & \mu_k \\ v_{k1}^* & 0 & \mu_k^* & 0 \end{array} \right) \quad (117)$$

and

$$\mathbf{B}_k^I = \frac{\mathbf{B}_k - \mathbf{B}_{-k}^*}{2i} = \left(\begin{array}{cc|cc} 0 & 0 & 0 & v_{k2} \\ 0 & 0 & -v_{k2}^* & 0 \\ \hline 0 & -v_{k2} & 0 & 0 \\ v_{k2}^* & 0 & 0 & 0 \end{array} \right) = \mathbf{W}_k. \quad (118)$$

Then the matrices \mathbf{T}_k and \mathbf{V}_k are given by

$$\begin{aligned} \mathbf{T}_k &= \mathbf{A}_k - \mathbf{B}_k^R \\ &= \left(\begin{array}{cc|cc} \lambda & \beta_k - \mu_k & 0 & \alpha_k - v_{k1} \\ \beta_k^* - \mu_k^* & \lambda & \alpha_k^* - v_{k1}^* & 0 \\ \hline 0 & \alpha_k - v_{k1} & \lambda & \beta_k - \mu_k \\ \alpha_k^* - v_{k1}^* & 0 & \beta_k^* - \mu_k^* & \lambda \end{array} \right) \end{aligned} \quad (119)$$

and

$$\begin{aligned} \mathbf{V}_k &= \mathbf{A}_k + \mathbf{B}_k^R \\ &= \left(\begin{array}{cc|cc} \lambda & \beta_k + \mu_k & 0 & \alpha_k + v_{k1} \\ \beta_k^* + \mu_k^* & \lambda & \alpha_k^* + v_{k1}^* & 0 \\ \hline 0 & \alpha_k + v_{k1} & \lambda & \beta_k + \mu_k \\ \alpha_k^* + v_{k1}^* & 0 & \beta_k^* + \mu_k^* & \lambda \end{array} \right). \end{aligned} \quad (120)$$

Now, the crucial point is that for $K = \Gamma r$, the matrix element v_{k2} and hence the matrix \mathbf{W}_k vanishes for all momenta. In these case, $v_k = v_{-k}^*$ and the spin-wave dispersions can be obtained from Eq. (112). The explicit solution of this biquadratic equation gives the squares of the magnon dispersions,

$$(\omega_{\mathbf{k},\pm}^+)^2 = \lambda^2 + |\alpha_k + \beta_k|^2 - |\mu_k + v_k|^2 \pm \sqrt{2|\alpha_k + \beta_k|^2(2\lambda^2 - |\mu_k + v_k|^2) + 2\text{Re}[(\alpha_k + \beta_k)^2(\mu_k^* + v_k^*)^2]}, \quad (121)$$

$$(\omega_{\mathbf{k},\pm}^-)^2 = \lambda^2 + |\alpha_k - \beta_k|^2 - |\mu_k - v_k|^2 \pm \sqrt{2|\alpha_k - \beta_k|^2(2\lambda^2 - |\mu_k - v_k|^2) + 2\text{Re}[(\alpha_k - \beta_k)^2(\mu_k^* - v_k^*)^2]}. \quad (122)$$

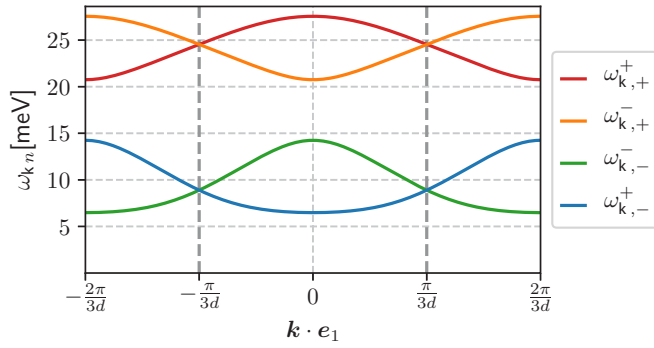


FIG. 4. Dispersions of the four magnon branches in the Kitaev-Heisenberg- Γ model given by Eqs. (121) and (122) for the model parameters $S = 1/2$, $J = -12$ meV, $K = \Gamma = 7$ meV, and $J_3 = 3$ meV. We show a cut through $\mathbf{k} = 0$ along \mathbf{e}_1 perpendicular to the zigzag stripes, see Fig. 3. The thicker dashed lines mark the boundary of the first magnetic Brillouin zone. Although the individual functions $\omega_{\mathbf{k},\pm}^+$ and $\omega_{\mathbf{k},\pm}^-$ are not periodic within the first Brillouin zone, the full magnon spectrum is.

With r given by Eq. (21), the condition $K = \Gamma r$, under which the spin-wave spectrum can be calculated analytically, can be written as

$$\frac{\Gamma}{K} = \frac{1}{r} = \frac{2K - 3\Gamma + \sqrt{4K^2 - 4K\Gamma + 9\Gamma^2}}{2K + 3\Gamma - \sqrt{4K^2 - 4K\Gamma + 9\Gamma^2}}. \quad (123)$$

For negative K , this equation has only one trivial solution, $\Gamma = 0$, but for $K > 0$ two nontrivial solutions exist,

$$\Gamma = K \quad \text{and} \quad \Gamma = -\frac{3}{2}K. \quad (124)$$

In Fig. 4, we plot the magnon dispersions (121) and (122) for a representative set of parameters satisfying $\Gamma = K > 0$. In the projected representations of the three-dimensional parameter space of the Kitaev-Heisenberg- Γ model in Fig. 2, the parameters satisfying $\Gamma = K$ and $\Gamma = -3K/2$ with $K > 0$ are represented by the blue and orange lines, respectively. However, one should keep in mind that we have assumed that the zigzag state is the classical ground state. Therefore, the only meaningful parts of these lines are the ones which overlap with the zigzag phase. As one can see in Fig. 2, for the $\Gamma = -3K/2$ line this condition is not met anywhere, while for the $\Gamma = K > 0$ line there is a single point that touches the zigzag phase, which corresponds to $\Gamma = K = -J$. Fortunately, adding the experimentally relevant third-nearest-neighbor coupling $J_3 > 0$ to our model, the stability region of the zigzag phase is extended, while this extra term does not invalidate our analytic calculation of the magnon spectrum. In Fig. 5, we show the phase diagram of the Kitaev-Heisenberg- Γ model for representative values of $\tilde{J}_3/\sqrt{\Gamma^2 + K^2 + J^2}$ in the same projection as in Fig. 2(b) to demonstrate the expansion of the zigzag region for $J_3 > 0$.

The underlying physical reason for the simplifications in the calculation of the magnon spectrum for $\Gamma = K$ is because in this case the magnetization lies in the plane of the honeycomb lattice and is aligned with the direction \mathbf{e}_2 of the zigzag pattern, as was pointed out in Sec. III B, see Eq. (29). The fact that in this case the magnon spectrum can be obtained by solving a biquadratic equation suggests that for $\Gamma = K$ it must

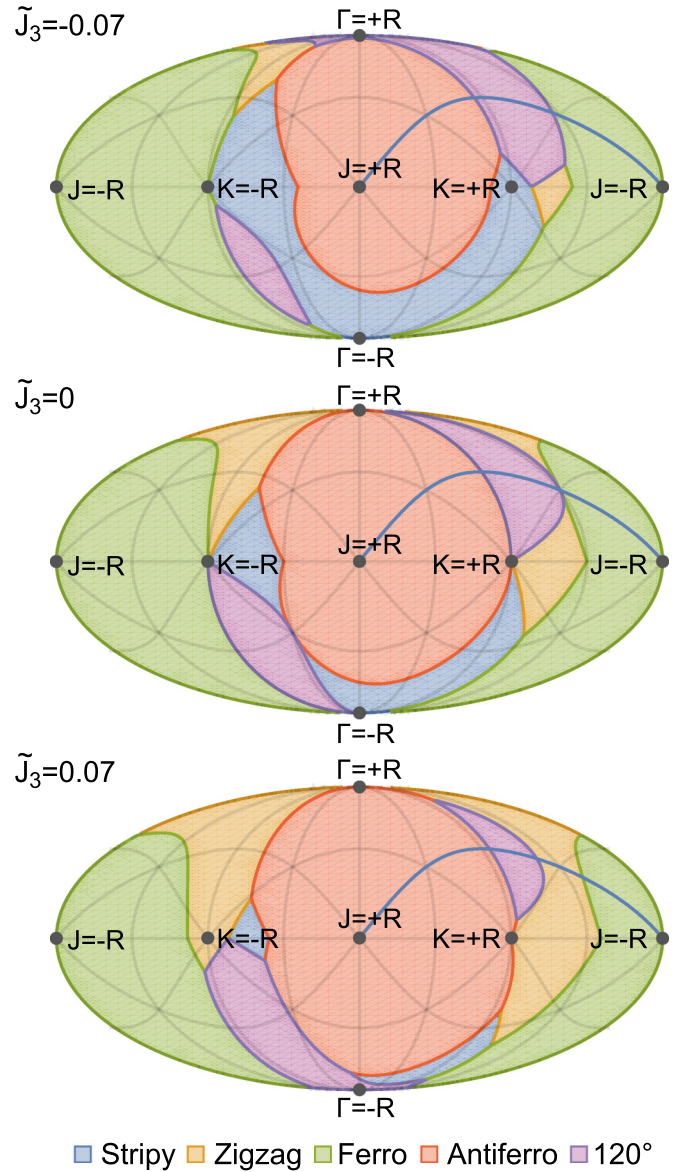


FIG. 5. Phase diagram of the Kitaev-Heisenberg- Γ model for representative values of $\tilde{J}_3 = J_3/\sqrt{\Gamma^2 + K^2 + J^2}$. Note that the zigzag phase is stabilized for $J_3 > 0$. The blue line corresponds to $\Gamma = K > 0$, which has a finite overlap with the zigzag phase for $J_3 > 0$.

be possible to set up the spin-wave expansion such that the magnon spectrum can be obtained from two magnon bands defined in the full Brillouin zone of the honeycomb lattice. In Appendix B, we show that this is indeed the case, because one can simplify the spin Hamiltonian to the two-sublattice structure already in real space. Then, one needs only two bosonic flavors in order to block-diagonalize the quadratic magnon Hamiltonian in momentum space. In the following, we do not follow this path and continue with the original four-sublattice formulation for the sake of generality.

V. MAGNON DAMPING IN THE ZIGZAG STATE FOR $\Gamma = K > 0$

In this section, we will present a fully microscopic calculation of the magnon damping of the Kitaev-Heisenberg- Γ

model with additional next-nearest-neighbor exchange J_3 on the $\Gamma = K > 0$ line. Note that in Ref. [55], matrix elements that determine magnon damping have not been calculated microscopically, but have been estimated on the basis of reasonable analogies with similar models. Here we show that for $\Gamma = K > 0$, we can perform such calculations explicitly and in a fully microscopic fashion because in this case the magnon spectrum and all relevant matrix elements can be obtained analytically.

A. Strategy

Let us briefly summarize our strategy. The first step is to explicitly construct the multflavor Bogoliubov transformation that diagonalizes the quadratic magnon Hamiltonian. In principle, this can be done numerically using the algorithm developed by Colpa [96], see also Refs. [97–99]. Fortunately, for $\Gamma = K > 0$, we can construct the Bogoliubov transformation analytically, which considerably simplifies the numerical effort for the calculation of magnon damping. Here we present a new algorithm to calculate the relevant four-flavor Bogoliubov transformation involving only Hermitian 4×4 matrices. Then, we express the cubic part of the Hamiltonian given in Eq. (87) in terms of the Bogoliubov operators, thus obtaining decay vertices explicitly, and finally calculate the damping of magnons using perturbation theory. In the earlier work on the generalized Kitaev-Heisenberg model by Winter *et al.* [55], the magnon damping was calculated by approximating momentum-dependent vertices in the cubic part of the Hamiltonian by a single momentum-independent constant. For $\Gamma = K > 0$, this approximation can be eliminated because explicit analytic expressions for the magnon dispersions and all momentum dependent interaction vertices are available. We compare the results of the two methods at the end of the section for a representative set of parameters. We also calculate the transverse components of the magnetic structure factor and the neutron scattering intensities to demonstrate the effect of the magnon lifetime on them.

B. Construction of the multflavor Bogoliubov transformation

To diagonalize the quadratic part S_2 of the magnon action defined in Eq. (89), we first express this action in terms of the Hermitian fields defined in Eq. (90), then decouple the momentum modes by means of a series of canonical transformations, and finally transform back to new complex fields which completely diagonalize the action. To carry out this program it is convenient to use block matrix notations and write the quadratic magnon action S_2 defined in Eq. (89) as

$$S_2 = \frac{\beta}{2} \sum_K \begin{pmatrix} \mathbf{a}_K \\ \bar{\mathbf{a}}_{-K} \end{pmatrix}^\dagger \begin{pmatrix} \mathbf{A}_K - i\omega & \mathbf{B}_K \\ \mathbf{B}_K^\dagger & \mathbf{A}_{-K}^T + i\omega \end{pmatrix} \begin{pmatrix} \mathbf{a}_K \\ \bar{\mathbf{a}}_{-K} \end{pmatrix}, \quad (125)$$

where the four-component vector

$$\mathbf{a}_K = \begin{pmatrix} a_K \\ b_K \\ c_K \\ d_K \end{pmatrix} \quad (126)$$

contains the four flavors of the Holstein-Primakoff magnons introduced in Eq. (58).

1. Parametrization in terms of Hermitian fields

To begin, we express each complex field in terms of two real fields as in Eq. (90). For our four-flavor theory, the transformation can be written in a matrix form as

$$\begin{pmatrix} \mathbf{a}_K \\ \bar{\mathbf{a}}_{-K} \end{pmatrix} = \mathbb{N} \begin{pmatrix} \mathbf{X}_K \\ \mathbf{P}_K \end{pmatrix}. \quad (127)$$

Here we have defined the 8×8 matrix

$$\mathbb{N} = \frac{1}{\sqrt{2}} \begin{pmatrix} \mathbf{1} & i\mathbf{1} \\ \mathbf{1} & -i\mathbf{1} \end{pmatrix}, \quad (128)$$

where $\mathbf{1}$ is the 4×4 identity matrix. Then the action S_2 in Eq. (125) can be written as

$$S_2 = \frac{\beta}{2} \sum_K \begin{pmatrix} \mathbf{X}_{-K}^T & \mathbf{P}_{-K}^T \end{pmatrix} \begin{pmatrix} \mathbf{V}_k & \omega \\ -\omega & \mathbf{T}_k \end{pmatrix} \begin{pmatrix} \mathbf{X}_K \\ \mathbf{P}_K \end{pmatrix}, \quad (129)$$

with

$$\mathbf{V}_k = \mathbf{A}_k + \mathbf{B}_k, \quad (130a)$$

$$\mathbf{T}_k = \mathbf{A}_k - \mathbf{B}_k. \quad (130b)$$

Here we have used that for $\Gamma = K > 0$, the matrix \mathbf{W}_k that encodes the imaginary parts of the matrices \mathbf{A}_k and \mathbf{B}_k and is defined in Eq. (93c) vanishes identically. This is the key for the following diagonalization as it simplifies the calculation significantly. Note also that the 4×4 matrices \mathbf{V}_k and \mathbf{T}_k are Hermitian.

2. Transformation to normal modes

We now follow the theories of coupled oscillators [102] and phonons [103] and perform a series of canonical transformations to decouple degrees of freedom with different momenta. As a first step, we define new fields such that the “kinetic energy matrix” \mathbf{T}_k is transformed to the identity matrix. Since \mathbf{T}_k is Hermitian, we can construct a Hermitian matrix $\mathbf{T}_k^{1/2}$ with the property $(\mathbf{T}_k^{1/2})^2 = \mathbf{T}_k$. Therefore we diagonalize \mathbf{T}_k via a unitary transformation,

$$\mathbf{U}_k^\dagger \mathbf{T}_k \mathbf{U}_k = \mathbf{D}_k \quad \text{diagonal}, \quad (131)$$

and define the square root $\mathbf{D}_k^{1/2}$ of \mathbf{D}_k in terms of the square roots of the diagonal elements of \mathbf{D}_k such that $(\mathbf{D}_k^{1/2})^2 = \mathbf{D}_k$. The matrix $\mathbf{T}_k^{1/2}$ is then defined by

$$\mathbf{T}_k^{1/2} = \mathbf{U}_k \mathbf{D}_k^{1/2} \mathbf{U}_k^\dagger. \quad (132)$$

Note that the inverse of $\mathbf{T}_k^{1/2}$ is given by

$$\mathbf{T}_k^{-1/2} \equiv (\mathbf{T}_k^{1/2})^{-1} = \mathbf{U}_k \mathbf{D}_k^{-1/2} \mathbf{U}_k^\dagger, \quad (133)$$

An explicit expression for $\mathbf{T}_k^{1/2}$ in our four-flavor case is given in Eq. (C2) of Appendix C. With the canonical transformation

$$\begin{pmatrix} \mathbf{X}_K \\ \mathbf{P}_K \end{pmatrix} = \begin{pmatrix} \mathbf{T}_k^{1/2} & 0 \\ 0 & \mathbf{T}_k^{-1/2} \end{pmatrix} \begin{pmatrix} \tilde{\mathbf{X}}_K \\ \tilde{\mathbf{P}}_K \end{pmatrix}, \quad (134)$$

the “kinetic energy matrix” in the action (129) is transformed to the identity matrix,

$$S_2 = \frac{\beta}{2} \sum_K \begin{pmatrix} \tilde{\mathbf{X}}_{-K}^T & \tilde{\mathbf{P}}_{-K}^T \end{pmatrix} \begin{pmatrix} \tilde{\mathbf{V}}_k & \omega \\ -\omega & \mathbf{1} \end{pmatrix} \begin{pmatrix} \tilde{\mathbf{X}}_K \\ \tilde{\mathbf{P}}_K \end{pmatrix}, \quad (135)$$

where the transformed ‘‘potential energy matrix’’ is

$$\tilde{\mathbf{V}}_k = \mathbf{T}_k^{1/2} \mathbf{V}_k \mathbf{T}_k^{1/2}. \quad (136)$$

By construction, $\tilde{\mathbf{V}}_k$ is Hermitian, so that it can be diagonalized by means of another unitary matrix \mathbf{S}_k

$$\mathbf{S}_k \tilde{\mathbf{V}}_k \mathbf{S}_k^\dagger = \mathbf{\Omega}_k^2 \text{ diagonal}, \quad (137)$$

where the elements of the diagonal matrix $\mathbf{\Omega}_k$ are the magnon energies, see Eq. (C8) of Appendix C. An explicit expression for \mathbf{S}_k for the discussed case is given in Eq. (C6). With the canonical transformation

$$\begin{pmatrix} \tilde{\mathbf{X}}_K \\ \tilde{\mathbf{P}}_K \end{pmatrix} = \begin{pmatrix} \mathbf{S}_k \mathbf{\Omega}_k^{1/2} & 0 \\ 0 & \mathbf{S}_k \mathbf{\Omega}_k^{-1/2} \end{pmatrix} \begin{pmatrix} \mathbf{X}'_K \\ \mathbf{P}'_K \end{pmatrix}, \quad (138)$$

our quadratic magnon action (135) assumes the form

$$S_2 = \frac{\beta}{2} \sum_K (\mathbf{X}'_{-K}, \mathbf{P}'_{-K}) \begin{pmatrix} \mathbf{\Omega}_K & \omega \\ -\omega & \mathbf{\Omega}_K \end{pmatrix} \begin{pmatrix} \mathbf{X}'_K \\ \mathbf{P}'_K \end{pmatrix}. \quad (139)$$

As a result, the fluctuations with different momenta are now decoupled.

3. Complete diagonalization via complex fields

Finally, we use the inverse transformation of Eq. (127) to introduce a new four-component complex field \mathbf{b}_K via

$$\begin{pmatrix} \mathbf{X}'_K \\ \mathbf{P}'_K \end{pmatrix} = \mathbb{N}^{-1} \begin{pmatrix} \mathbf{b}_K \\ \bar{\mathbf{b}}_{-K} \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} \mathbf{1} & \mathbf{1} \\ -i\mathbf{1} & i\mathbf{1} \end{pmatrix} \begin{pmatrix} \mathbf{b}_K \\ \bar{\mathbf{b}}_{-K} \end{pmatrix}. \quad (140)$$

With this transformation, our quadratic magnon action is completely diagonalized

$$S_2 = \frac{\beta}{2} \sum_K \begin{pmatrix} \mathbf{b}_K \\ \bar{\mathbf{b}}_{-K} \end{pmatrix}^\dagger \begin{pmatrix} \mathbf{\Omega}_K - i\omega & 0 \\ 0 & \mathbf{\Omega}_K + i\omega \end{pmatrix} \begin{pmatrix} \mathbf{b}_K \\ \bar{\mathbf{b}}_{-K} \end{pmatrix}. \quad (141)$$

The described chain of the canonical transformations defines the multiflavor Bogoliubov transformation and can be expressed in terms of a single block matrix \mathbb{T}_k as,

$$\begin{pmatrix} \mathbf{a}_K \\ \bar{\mathbf{a}}_{-K} \end{pmatrix} = \mathbb{T}_k \begin{pmatrix} \mathbf{b}_K \\ \bar{\mathbf{b}}_{-K} \end{pmatrix}, \quad (142)$$

where

$$\begin{aligned} \mathbb{T}_k &= \mathbb{N} \begin{pmatrix} \mathbf{T}_k^{1/2} \mathbf{S}_k \mathbf{\Omega}_k^{-1/2} & 0 \\ 0 & \mathbf{T}_k^{-1/2} \mathbf{S}_k \mathbf{\Omega}_k^{1/2} \end{pmatrix} \mathbb{N}^{-1} \\ &= \begin{pmatrix} \mathbf{Q}_k & \mathbf{R}_k \\ \mathbf{R}_k & \mathbf{Q}_k \end{pmatrix}, \end{aligned} \quad (143)$$

with the 4×4 matrices \mathbf{Q}_k and \mathbf{R}_k are given by

$$\mathbf{Q}_k = \frac{1}{2} [\mathbf{T}_k^{1/2} \mathbf{S}_k \mathbf{\Omega}_k^{-1/2} + \mathbf{T}_k^{-1/2} \mathbf{S}_k \mathbf{\Omega}_k^{1/2}], \quad (144)$$

$$\mathbf{R}_k = \frac{1}{2} [\mathbf{T}_k^{1/2} \mathbf{S}_k \mathbf{\Omega}_k^{-1/2} - \mathbf{T}_k^{-1/2} \mathbf{S}_k \mathbf{\Omega}_k^{1/2}]. \quad (145)$$

Note that in the case considered here the matrices \mathbf{Q}_k and \mathbf{R}_k satisfy $\mathbf{Q}_k = \mathbf{Q}_{-k}^*$ and $\mathbf{R}_k = \mathbf{R}_{-k}^*$, so that the parametrization (143) agrees with the general structure of the transformation matrix \mathbb{T}_k of a multiflavor Bogoliubov transformation given Eq. (A25) of Appendix A. The explicit expressions for the 4×4 matrices $\mathbf{L}_k = \mathbf{T}_k^{1/2} \mathbf{S}_k$ and $\mathbf{Y}_k = \mathbf{T}_k^{-1/2} \mathbf{S}_k$ are given in Eqs. (C9) and (C10) of Appendix C. Moreover, in Appendix B, we use the procedure described above to calculate

magnon spectrum of the Kitaev-Heisenberg- Γ model for $\Gamma = K > 0$ in an alternative two-sublattice approach where $\mathbf{T}_k^{1/2}$ and \mathbf{S}_k are only 2×2 matrices.

C. Transformation of the cubic interaction

For the calculation of magnon damping, we have to express the Euclidean action associated with the cubic Hamiltonian \mathcal{H}_3 given in Eq. (87) in terms of the components of the Bogoliubov fields \mathbf{b}_K and $\bar{\mathbf{b}}_K$, which diagonalize the quadratic part of the action. For $\Gamma = K > 0$, the Euclidean action associated with \mathcal{H}_3 in terms of the original Holstein-Primakoff fields is given by

$$\begin{aligned} S_3 &= \beta \sqrt{\frac{4}{N}} \sum_{K_1 K_2 K_3} \sum_{\mathbf{G}} \delta_{\mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3, \mathbf{G}} \delta_{\omega_1 + \omega_2 + \omega_3, 0} \\ &\quad \{ -V_{k_1} [\bar{d}_{-K_1} \bar{a}_{-K_2} a_{K_3} + d_{K_1} a_{K_2} \bar{a}_{-K_3}] \\ &\quad + e^{i\mathbf{G} \cdot \mathbf{d}_c} V_{k_1}^* [\bar{c}_{-K_1} \bar{b}_{-K_2} b_{K_3} + c_{K_1} b_{K_2} \bar{b}_{-K_3}] \\ &\quad + e^{i\mathbf{G} \cdot \mathbf{a}_1} V_{k_1} [\bar{b}_{-K_1} \bar{c}_{-K_2} c_{K_3} + b_{K_1} c_{K_2} \bar{c}_{-K_3}] \\ &\quad - e^{i\mathbf{G} \cdot \mathbf{d}_s} V_{k_1}^* [\bar{a}_{-K_1} \bar{d}_{-K_2} d_{K_3} + a_{K_1} d_{K_2} \bar{d}_{-K_3}] \}. \end{aligned} \quad (146)$$

Defining the eight-component field

$$(\phi_K^\mu) = \begin{pmatrix} \phi_K^1 \\ \phi_K^2 \\ \phi_K^3 \\ \phi_K^4 \\ \phi_K^5 \\ \phi_K^6 \\ \phi_K^7 \\ \phi_K^8 \end{pmatrix} = \begin{pmatrix} a_K \\ b_K \\ c_K \\ d_K \\ \bar{a}_{-K} \\ \bar{b}_{-K} \\ \bar{c}_{-K} \\ \bar{d}_{-K} \end{pmatrix}, \quad (147)$$

where the index $\mu \in \{1, 2, 3, 4, 5, 6, 7, 8\}$ labels the eight different field types, we can write the cubic part of the action in tensor notation

$$\begin{aligned} S_3 &= \beta \sqrt{\frac{4}{N}} \sum_{K_1 K_2 K_3} \sum_{\mathbf{G}} \delta_{\mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3, \mathbf{G}} \delta_{\omega_1 + \omega_2 + \omega_3, 0} \\ &\quad \times \frac{1}{3!} \sum_{\mu\nu\lambda} \Gamma^{\mu\nu\lambda}(\mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3) \phi_{K_1}^\mu \phi_{K_2}^\nu \phi_{K_3}^\lambda. \end{aligned} \quad (148)$$

The vertex $\Gamma^{\mu\nu\lambda}(\mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3)$ is fully symmetric with respect to the exchange of any of its three index pairs. In Eqs. (C13)–(C16) of Appendix C, we list the 48 nonzero index combinations. Next, we express S_3 in terms of the Bogoliubov fields \mathbf{b}_K and $\bar{\mathbf{b}}_{-K}$ defined via the transformation (142), which we write as

$$\phi_K^\mu = \sum_{\mu'} \mathbb{T}_k^{\mu\mu'} \psi_K^{\mu'}, \quad (149)$$

where the 8×8 transformation matrix \mathbb{T}_k is defined in Eq. (143) and

$$(\psi_K^{\mu'}) = \begin{pmatrix} \mathbf{b}_K \\ \bar{\mathbf{b}}_{-K} \end{pmatrix} \quad (150)$$

is an eight-component field that contains Bogoliubov bosons \mathbf{b}_K and their conjugates $\bar{\mathbf{b}}_{-K}$. Then, the cubic part of the action

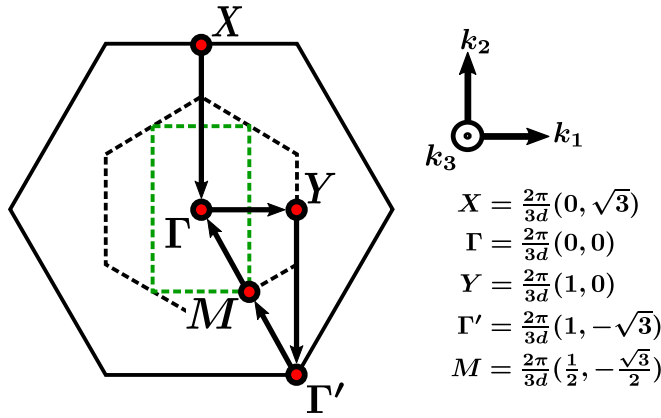


FIG. 6. The momentum-space path used in our numerical evaluation of the magnon damping. The dashed black hexagon indicates the Brillouin zone of the honeycomb lattice. The dashed green rectangle indicates the first magnetic Brillouin zone of the zigzag state, see Fig. 3. The component k_1 is perpendicular to the zigzag stripes.

for $\text{Im} \Sigma_n^{(c)}(\mathbf{k}, \omega + i0^+)$ is always finite so this term does not contribute to the magnon damping. Therefore, at $T = 0$, we obtain for the magnon damping in the lowest-order (Born) approximation

$$\begin{aligned} \gamma_{kn} &= -\text{Im} \Sigma_n^{(b)}(\mathbf{k}, \omega_{kn} + i0^+) \\ &= \frac{\pi}{2} \frac{4}{N} \sum_{\mathbf{k}'} \sum_{n'm} |\tilde{\Gamma}^{n+4n'm}(-\mathbf{k}, \mathbf{k}', \mathbf{G}_2 + \mathbf{k} - \mathbf{k}')|^2 \\ &\quad \times \delta(\omega_{kn} - \omega_{\mathbf{k}'n'} - \omega_{\mathbf{G}_2 + \mathbf{k} - \mathbf{k}'m}). \end{aligned} \quad (163)$$

E. Numerical evaluation of the damping

In the thermodynamic limit ($N \rightarrow \infty$), the momentum sums can be converted to the integrals over the first Brillouin zone. Furthermore, one can omit the reciprocal lattice vector \mathbf{G}_2 in Eq. (163) because S_2 in Eq. (125) and S_3 in Eq. (148) are invariant if one shifts one of the summation momenta by a reciprocal lattice vector. Equation (163) can then be written as

$$\begin{aligned} \gamma_{kn} &= \frac{\pi}{2} \int_{\text{BZ}} \frac{d^2 k'}{V_{\text{BZ}}} \sum_{n'm} |\tilde{\Gamma}^{n+4n'm}(-\mathbf{k}, \mathbf{k}', \mathbf{k} - \mathbf{k}')|^2 \\ &\quad \times \delta(\omega_{kn} - \omega_{\mathbf{k}'n'} - \omega_{\mathbf{k} - \mathbf{k}'m}), \end{aligned} \quad (164)$$

where V_{BZ} is the area of the first magnetic Brillouin zone marked by the dashed green line in Fig. 6. We evaluate this expression for a representative momentum \mathbf{k} cut along the path shown in Fig. 6. Note that for the calculations of the neutron-scattering structure factor in the next section, we also choose a finite out-of-plane momentum component $k_3 = \sqrt{3}\pi/d$.

For the numerical calculations, we use a representative set of the model parameters

$$S = \frac{1}{2}, \quad (165a)$$

$$J = -12 \text{ meV}, \quad (165b)$$

$$K = \Gamma = 7 \text{ meV}, \quad (165c)$$

$$J_3 = 3 \text{ meV}. \quad (165d)$$

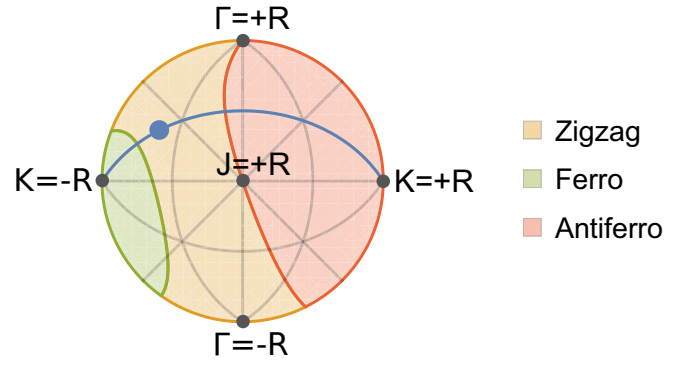


FIG. 7. Phase diagram of the Kitaev-Heisenberg- Γ model for $J^2 + K^2 + \Gamma^2 = 242 \text{ meV}^2$ with additional third-nearest-neighbor Heisenberg exchange $J_3 = 3 \text{ meV}$. We use the same parametrization and projection as in Fig. 2(c). We highlight the line $\Gamma = K > 0$ and the point (165) in the parameter space, for which the magnon damping is calculated.

This set of parameters is shown in Fig. 7 as a blue dot along the $K = \Gamma$ line. The integration procedure for the two-dimensional integral over the Brillouin zone was implemented using the standard routines in MATHEMATICA with the δ function in Eq. (164) represented as a Lorentzian of width $w = \frac{3}{4}|J| \times 10^{-3}$, which is much smaller than all the characteristic features produced by the calculation.

The resulting magnon damping in Born approximation is plotted in Fig. 8. The overall magnon decay rates are rather significant. The most striking features are the peaks

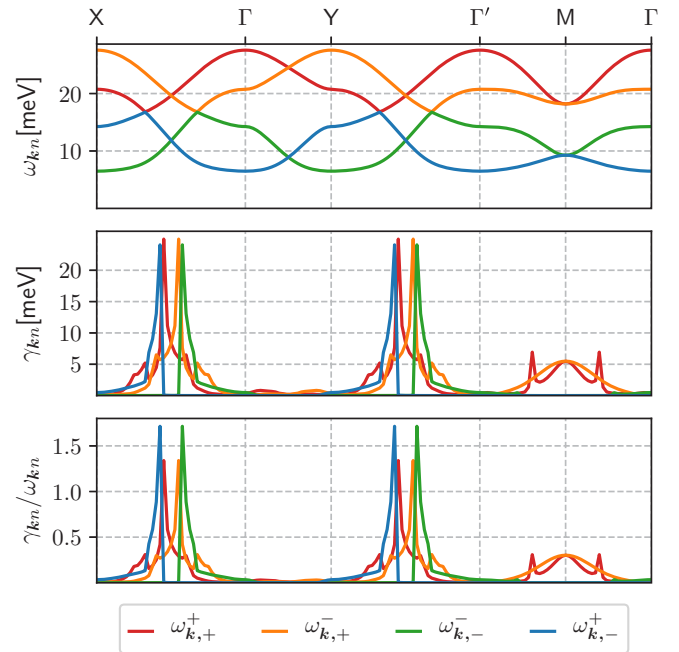


FIG. 8. Magnon damping in the Born approximation (164). The panels show the magnon energies ω_{kn} (top), the magnon damping γ_{kn} (middle), and the relative magnon damping γ_{kn}/ω_{kn} (bottom) for all four magnon branches. The model parameters are given in Eqs. (165) and the momentum path is shown in Fig. 6. The color coding of the damping is the same as for the magnon energies.

between the X and Γ points and between the Y and Γ' points that occur in a proximity of the magnon band crossings. These features are due to the van Hove singularities in the density of two-magnon states that are also enhanced by the decay matrix elements, which facilitate transitions between the nearby branches. It is also interesting to note that the lower magnon bands experience as much of a damping as the upper ones, despite the naive expectation for them having less kinematic phase space for decays. Such van Hove singularities are expected [73] and need to be regularized, as we do in the next section.

F. Beyond Born approximation: self-consistent imaginary Dyson equation

Given the well-pronounced van Hove singularities and that the Born-approximation damping shown in Fig. 8 is comparable to the magnon energies in large regions of the Brillouin zone, the validity of the Born approximation can be questioned. A simple way to go beyond Born approximation and regularize singularities is to self-consistently take into account the imaginary part of the self-energy of the initial-state magnon, the damping of which we calculate. This procedure amounts to solving the Dyson's equation for the self-energy and retaining only its imaginary part (hence the abbreviation iDE) in a self-consistency loop [57,68,71],

$$\gamma_{kn} = -\text{Im} \Sigma_n(\mathbf{k}, \omega_{kn} + i\gamma_{kn}). \quad (166)$$

In practice, this can be achieved by iterating the recursion relation

$$\begin{aligned} \gamma_{kn}^{(i)} &= \frac{\pi}{2} \int_{\text{BZ}} \frac{d^2k'}{V_{\text{BZ}}} \sum_{n'm} |\tilde{\Gamma}^{n+4n'm}(-\mathbf{k}, \mathbf{k}', \mathbf{k} - \mathbf{k}')|^2 \\ &\times \delta(\omega_{kn} + i\gamma_{kn}^{(i-1)} - \omega_{k'n'} - \omega_{k-k'm}), \end{aligned} \quad (167)$$

until $\gamma_{kn}^{(i)}$ converges. Here, the δ function with the complex argument is a shorthand for a Lorentzian. For the given model parameters, it took about 30 iterations for $\gamma_{kn}^{(i)}$ to converge at all points along the momentum path shown in Fig. 6. The resulting self-consistent iDE results for damping are presented in Fig. 9.

The van Hove singularities are regularized by the iDE procedure. We note that for the range of momenta between the Γ and Y points, i.e., for the momenta perpendicular to the spin magnetization, the damping rate is comparatively small. In the other parts of the momentum path, one finds that some of the magnons are still significantly damped with the typical damping rate ~ 0.2 of the magnon energies. This implies that the neutron scattering experiments will show well-defined magnon branches in some regions of the momentum space as well as broadened excitation continua in the others. These are the characteristic features observed in α -RuCl₃. We will further elaborate on this discussion in Sec. VI where we present our results for the dynamical structure factor and the neutron scattering intensity.

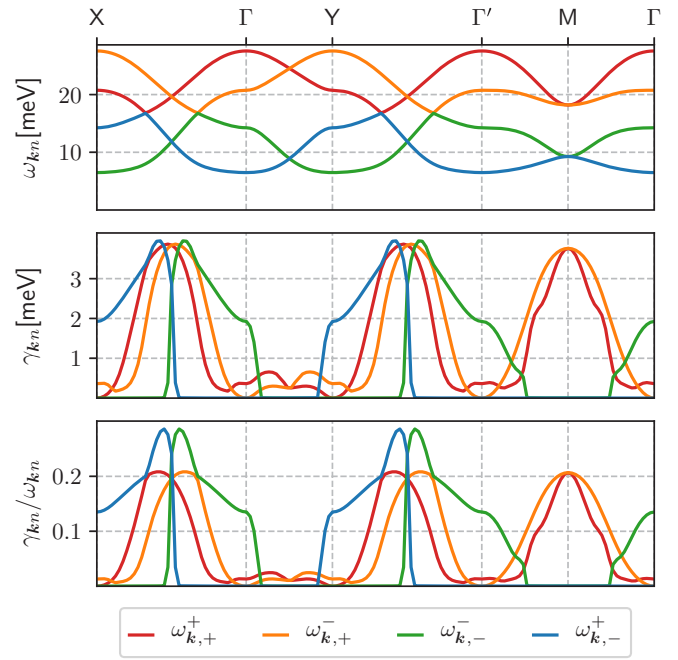


FIG. 9. Same as in Fig. 8 in the self-consistent iDE approximation (166).

G. Comparison with the constant matrix element approximation

While the preceding discussion outlines a fully analytical approach and demonstrates its power for the problem of magnon damping, it is applicable only along the special $\Gamma = K$ line in the parameter space. A general set of parameters of the same model would require numerical diagonalization and manipulations with the transformation matrix from Eq. (142) to obtain damping rate at the potentially prohibitive computational cost. Therefore, it would be useful to have a justifiable approximate method that is less technically demanding, but is able to produce magnon damping that is qualitatively correct or at least give an overall reasonable estimate of the effect.

Such a method has been proposed in Ref. [55], which is referred to as the “constant matrix element” approximation. In this approximation, the momentum dependence of the magnon interaction is accounted for in an effective way by a coupling strength $V_{\text{eff}}^{(3)}$ and a phenomenological average momentum dependence f as defined later in the text. Having an explicit analytic solution presented in this work offers us an opportunity to verify the overall validity and expose possible shortcomings of the constant matrix element approximation of Ref. [55] for the same Kitaev-Heisenberg- Γ model and for the same set of parameters. Let us briefly describe the nature of this approximation.

The first step is to find the three-magnon coupling strength. The Holstein-Primakoff bosonization yields the three-boson Hamiltonian \mathcal{H}_3 in Eq. (78). For the $\Gamma = K$ line and in the zigzag phase, the real-space three-magnon coupling for bonds x, y, z are given by

$$|V_x^{(3)}| = |V_y^{(3)}| = \frac{\sqrt{6SK}}{4}, \quad |V_z^{(3)}| = 0, \quad (168)$$

see also Eq. (88). Introducing the sum of these real-space vertices over nearest bonds yields the overall scale

$$V_{\text{eff}}^{(3)} = |V_x^{(3)}| + |V_y^{(3)}| + |V_z^{(3)}| = \frac{\sqrt{6SK}}{2}, \quad (169)$$

that can be used as a definition of the three-magnon coupling strength. This definition is consistent with the one previously used in Ref. [55].

Then, one can redefine the symmetrized three-magnon vertex function $\tilde{\Gamma}^{\mu\nu\lambda}(\mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3)$ introduced in Sec. VC

$$\tilde{\Gamma}^{\mu\nu\lambda}(\mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3) \equiv V_{\text{eff}}^{(3)} \tilde{\Phi}^{\mu\nu\lambda}(\mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3), \quad (170)$$

where the dimensionless vertices $\tilde{\Phi}^{\mu\nu\lambda}(\mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3)$ include all the necessary transformations and symmetrizations of Eq. (152) and $V_{\text{eff}}^{(3)}$ is the three-magnon coupling strength introduced in Eq. (169). Note that such a redefinition is independent of whether the vertex is derivable analytically or requires a numerical diagonalization of \mathcal{H}_2 via a generalized Bogoliubov transformation (142), which is needed to transform the Holstein-Primakoff three-magnon Hamiltonian (78) to the cubic Hamiltonian for the magnon quasiparticles in the form of Eq. (151). Substituting the parametrization (170) for the interaction vertices into the lowest Born approximation decay rate given in Eq. (163) we obtain

$$\gamma_{kn} = \frac{|V_{\text{eff}}^{(3)}|^2}{2} \frac{4\pi}{N} \sum_{\mathbf{k}'} \sum_{n'm} \delta(\omega_{kn} - \omega_{\mathbf{k}'n'} - \omega_{\mathbf{k}-\mathbf{k}'m}), \quad (171)$$

$$\times |\tilde{\Phi}^{n+4n'm}(-\mathbf{k}, \mathbf{k}', \mathbf{k} - \mathbf{k}')|^2,$$

with the three-magnon coupling explicitly factored out. Then, it is tempting to relate the decay rate to the on-shell two-magnon density of states (DoS)

$$D_{kn} = D_{\mathbf{k}}(\omega_{kn}) = \frac{4\pi}{N} \sum_{\mathbf{k}'} \sum_{n'm} \delta(\omega_{kn} - \omega_{\mathbf{k}'n'} - \omega_{\mathbf{k}-\mathbf{k}'m}), \quad (172)$$

which quantifies the overlap of the single-magnon excitations of the branch n with the two-magnon continuum along the energies $\omega = \omega_{kn}$ and characterizes the kinematic phase space for decays of the n th mode.

The main idea of the constant matrix element approach is exactly that: to approximate the decay rate (171) as proportional to the on-shell two-magnon DoS (172),

$$\gamma_{kn} \approx \frac{f}{2} |V_{\text{eff}}^{(3)}|^2 D_{kn}, \quad (173)$$

where the constant f is used as a phenomenological parameter. This parameter can be thought of as a result of the averaging of the dimensionless vertex,

$$f = \langle |\tilde{\Phi}^{n+4n'm}(-\mathbf{k}, \mathbf{k}', \mathbf{k} - \mathbf{k}')|^2 \rangle, \quad (174)$$

where brackets represent averaging over all momenta. This approximation leads to a drastic simplification, because all one now needs for the decay rate calculation are the magnon energies ω_{kn} from the harmonic theory and the three-magnon coupling scale $V_{\text{eff}}^{(3)}$, skipping the need for costly calculation and manipulation of the eigenvectors and vertices altogether.

There are two justifications for the use of this approximation. First, the singularities in the Born decay rates are

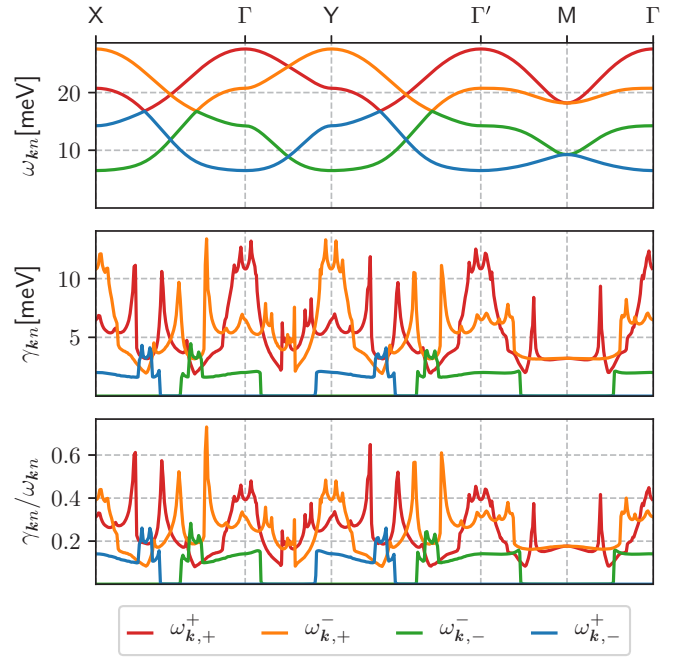


FIG. 10. Same as in Fig. 8 in the constant matrix element Born approximation (166). The three-magnon coupling strength is $V_{\text{eff}}^{(3)} = 6.06$ meV and the parameter $f = 0.2$. To be compared with Fig. 8.

always due to the corresponding van Hove singularities in the two-magnon DoS [73], although their strength can be reduced or magnified by the matrix element effect in the “full-vertex” calculation of Eq. (171). This relation should already make the results of Eq. (173) similar to that of Eq. (171). Second, the self-consistent iDE approach of Eq. (167) involves an effective averaging over the decay vertex, thus suggesting that the constant matrix element approximation in combination with the iDE should give a better agreement with the iDE results of Eq. (167) obtained with the full vertex.

The iDE scheme, described in Sec. VF, as applied to the constant matrix element approximation, is given by the self-consistent equation

$$\gamma_{kn} = \frac{f}{2} |V_{\text{eff}}^{(3)}|^2 D_{\mathbf{k}}(\omega_{kn} + i\gamma_{kn}), \quad (175)$$

where the δ function with the complex argument is a shorthand for a Lorentzian as before.

The only remaining problem is the educated choice of the phenomenological parameter f . Ref. [55] has considered Kitaev-Heisenberg- Γ - J_3 model for the choice of parameters associated with the description of α -RuCl₃ [6]. In that work, the constant f has been estimated to be $f \approx 1/9$ on the basis of a comparison with the constant matrix element calculations for the Born decay rates (173) in the honeycomb-lattice XXZ model in external field, for which magnon decay rates have been calculated fully microscopically in Ref. [69]. The present work allows us to determine the f -parameter based on the damping calculation directly for the Kitaev-Heisenberg- Γ model, albeit in a different part of the phase diagram.

The results of the constant matrix element approach for the Born and self-consistent iDE approximations are shown in Figs. 10 and 11, respectively. We use the same set of

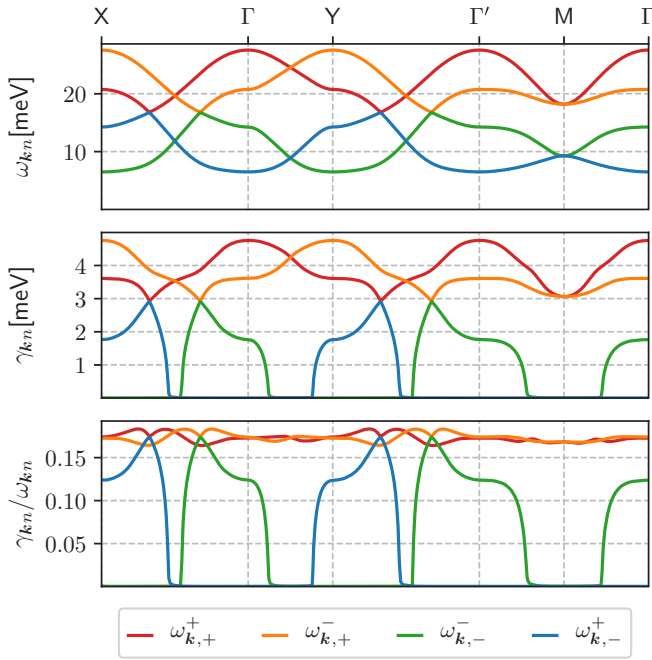


FIG. 11. Same as in Fig. 10 in the constant matrix element iDE approximation of Eq. (175). To be compared with Fig. 9.

parameters (165) as for the results in Figs. 8 and 9. For $S=1/2$ and $K=7$ meV, the three-magnon coupling strength in Eq. (169) is $V_{\text{eff}}^{(3)}=6.06$ meV. Comparisons with the overall values of the decay rates in Figs. 8 and 9 suggest an estimate for the f -parameter near $f \approx 0.2$, somewhat higher than estimated in Ref. [55].

The results of the Born approximation constant matrix element approach in Fig. 10 correctly reproduce some of the qualitative features of the “full vertex” calculations in Fig. 8. As expected, they include positions of the van Hove singularities as well as the regions where magnon modes are stable because decays are kinematically forbidden for them, e. g., the region for the lower modes between Γ and Y points. However, some other qualitative and quantitative features are not properly reproduced. For instance, in the full-vertex results of Fig. 8, there is a clear enhancement of the singularities due to the matrix element effect in the proximity of the magnon band crossings along the X - Y and Γ - Y directions. Another inconsistency is in the lack of a suppression of decays near Γ and Y points for the upper modes that is missing in Fig. 10 but is obvious in Fig. 8. It clearly stems from the symmetries of interaction vertex that are missing in the constant matrix element approximation. Lastly, the overall decay rate of the upper modes is higher in the constant matrix element approximation than it is in a full-vertex calculations.

Some of these differences are mitigated within the self-consistent iDE approximation, with the overall agreement of Figs. 11 and 9 becoming more quantitative, in accord with the expectations of Ref. [55]. The overall scale of the damping is similar to the full-vertex result, although the constant matrix element approach continues to overestimate the damping of the upper modes and underestimates the damping of the lower modes. Similarly to the Born approximation, there is also a

lack of decay suppression near the high-symmetry Γ and Y points.

Last but not the least, we also note that the phenomenological f parameter in the present analysis is larger than in Ref. [55], $f \approx 1/5$ versus $f \approx 1/9$. Therefore the calculations of Ref. [55] have likely provided a lower bound on the damping rates of magnons in α - RuCl_3 , while the actual effect of broadening for the model parameters of that work may have been even more significant.

VI. DYNAMICAL STRUCTURE FACTOR AND NEUTRON SCATTERING INTENSITY

Having obtained the magnon energies and the dampings, we can calculate the dynamical structure factor $S^{\alpha\beta}(\mathbf{k}, \omega)$, which determines the experimentally measured neutron scattering intensity,

$$\mathcal{I}(\mathbf{k}, \omega) = F^2(\mathbf{k}) \sum_{\alpha\beta} (\delta_{\alpha\beta} - k_\alpha k_\beta / k^2) S^{\alpha\beta}(\mathbf{k}, \omega), \quad (176)$$

where $F(\mathbf{k})$ is the material-dependent form factor and the dynamical structure factor is defined as the Fourier transform of the two-spin correlation function,

$$\begin{aligned} S^{\alpha\beta}(\mathbf{k}, \omega) &= \int_{-\infty}^{\infty} \frac{dt}{2\pi} \frac{1}{N} \sum_{ij} \langle S_i^\alpha(t) S_j^\beta(0) \rangle e^{-ik \cdot (\mathbf{R}_i - \mathbf{R}_j) + i\omega t} \\ &= \int \frac{dt}{2\pi} \langle S_{-\mathbf{k}}^\alpha(t) S_{\mathbf{k}}^\beta(0) \rangle e^{i\omega t}. \end{aligned} \quad (177)$$

Here we have introduced the Fourier components of the spin operators via

$$\mathbf{S}_{\mathbf{k}}(t) = \frac{1}{\sqrt{N}} \sum_i \mathbf{S}_i(t) e^{-ik \cdot \mathbf{R}_i}. \quad (178)$$

The superscripts α and β label the three Cartesian components of the spins in the honeycomb basis $\{\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3\}$, which is aligned with the geometry of the honeycomb lattice, see Figs. 1 and 3. Staying within the leading order in $1/S$, we consider only the components of the structure factor transverse to the magnetization; the longitudinal components can be neglected because they are of the higher order in $1/S$. To calculate the transverse components for $\Gamma = K > 0$ in the zigzag state, we note that in this case the magnetization of the ordered moments is aligned with the direction \mathbf{e}_2 of the zigzag pattern, so that the basis $\{\mathbf{n}_1, \mathbf{n}_2, \mathbf{n}_3\}$ defined in Eq. (20), onto which the spin operators are projected, is related to the honeycomb basis $\{\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3\}$ defined in Eq. (31) via

$$\mathbf{n}_1 = -\mathbf{e}_1, \quad \mathbf{n}_2 = \mathbf{e}_3, \quad \mathbf{n}_3 = \mathbf{e}_2. \quad (179)$$

To calculate the dynamical structure factor within our spin-wave expansion, we express the transverse components of $\mathbf{S}_{\mathbf{k}}$ in terms of the local spin frame defined via Eqs. (32) and (33). Choosing the gauge $\phi = 0$ for the transverse basis, we obtain for the two components transverse to the

magnetization,

$$\begin{aligned} S_k^1 &= \mathbf{e}_1 \cdot \mathbf{S}_k = -\mathbf{n}_1 \cdot \mathbf{S}_k = -\frac{1}{\sqrt{N}} \sum_i e^{-ik \cdot \mathbf{R}_i} \mathbf{n}_1 \cdot \mathbf{S}_i \\ &= -\frac{1}{\sqrt{N}} \left[\sum_{i \in a,d} e^{-ik \cdot \mathbf{R}_i} \mathbf{t}_{i1} \cdot \mathbf{S}_i + \sum_{i \in b,c} e^{-ik \cdot \mathbf{R}_i} \mathbf{t}_{i1} \cdot \mathbf{S}_i \right], \end{aligned} \quad (180a)$$

$$\begin{aligned} S_k^3 &= \mathbf{e}_3 \cdot \mathbf{S}_k = \mathbf{n}_2 \cdot \mathbf{S}_k = \frac{1}{\sqrt{N}} \sum_i e^{-ik \cdot \mathbf{R}_i} \mathbf{n}_2 \cdot \mathbf{S}_i \\ &= \frac{1}{\sqrt{N}} \left[\sum_{i \in a,d} e^{-ik \cdot \mathbf{R}_i} \mathbf{t}_{i2} \cdot \mathbf{S}_i - \sum_{i \in b,c} e^{-ik \cdot \mathbf{R}_i} \mathbf{t}_{i2} \cdot \mathbf{S}_i \right]. \end{aligned} \quad (180b)$$

Next, we approximate the spin components in the local reference frames by the Holstein-Primakoff transformation (37) to the leading order,

$$\mathbf{t}_{i1} \cdot \mathbf{S}_i \approx \frac{\sqrt{2S}}{2} (a_i + a_i^\dagger), \quad (181a)$$

$$\mathbf{t}_{i2} \cdot \mathbf{S}_i \approx \frac{\sqrt{2S}}{2i} (a_i - a_i^\dagger), \quad (181b)$$

and obtain

$$\begin{aligned} S_k^1 &= -\frac{1}{\sqrt{N}} \sum_{i \in a,d} e^{-ik \cdot \mathbf{R}_i} \frac{\sqrt{2S}}{2} (a_i + a_i^\dagger) \\ &\quad - \frac{1}{\sqrt{N}} \sum_{i \in b,c} e^{-ik \cdot \mathbf{R}_i} \frac{\sqrt{2S}}{2} (a_i + a_i^\dagger), \end{aligned} \quad (182a)$$

$$\begin{aligned} S_k^3 &= \frac{1}{\sqrt{N}} \sum_{i \in a,d} e^{-ik \cdot \mathbf{R}_i} \frac{\sqrt{2S}}{2i} (a_i - a_i^\dagger) \\ &\quad - \frac{1}{\sqrt{N}} \sum_{i \in b,c} e^{-ik \cdot \mathbf{R}_i} \frac{\sqrt{2S}}{2i} (a_i - a_i^\dagger). \end{aligned} \quad (182b)$$

Using the sublattice Fourier transform (58) of the Holstein-Primakoff bosons, we obtain

$$\begin{aligned} S_k^1 &= -\frac{\sqrt{2S}}{4} (a_k + a_{-k}^\dagger + b_k + b_{-k}^\dagger + c_k + c_{-k}^\dagger + d_k + d_{-k}^\dagger) \\ &\equiv -\frac{\sqrt{2S}}{4} \sum_\mu \phi_k^\mu, \end{aligned} \quad (183a)$$

$$\begin{aligned} S_k^3 &= \frac{\sqrt{2S}}{4i} (a_k - a_{-k}^\dagger - b_k + b_{-k}^\dagger - c_k + c_{-k}^\dagger + d_k - d_{-k}^\dagger) \\ &\equiv \frac{\sqrt{2S}}{4i} \sum_\mu \sigma_\mu \phi_k^\mu, \end{aligned} \quad (183b)$$

where the symbols $\sigma_\mu = \pm 1$ determine the signs of the field components according to the following rule

$$\sigma_1 = \sigma_4 = \sigma_6 = \sigma_7 = 1, \quad (184a)$$

$$\sigma_2 = \sigma_3 = \sigma_5 = \sigma_8 = -1. \quad (184b)$$

Therefore, the off-diagonal part of the transverse structure factor is

$$\begin{aligned} S^{13}(\mathbf{k}, \omega) &= -\int \frac{dt}{2\pi} e^{i\omega t} \langle S_{-k}^1(t) S_k^3(0) \rangle \\ &= -\int \frac{dt}{2\pi} e^{i\omega t} \frac{2S}{16i} \sum_{\mu\nu} \sigma_\nu \langle \phi_{-k}^\mu(t) \phi_k^\nu(0) \rangle \\ &= -\frac{2S}{16i} \sum_{\mu\nu} \sigma_\nu \sum_{\mu'\nu'} \mathbb{T}_{-k}^{\mu\mu'} \mathbb{T}_k^{\nu\nu'} \\ &\quad \times \int \frac{dt}{2\pi} e^{i\omega t} \langle \psi_{-k}^{\mu'}(t) \psi_k^{\nu'}(0) \rangle. \end{aligned} \quad (185)$$

Here, $\mathbb{T}_k^{\mu\mu'}$ are the components of the 8×8 transformation matrix \mathbb{T}_k given in Eq. (142) and the components of the operators ψ_k^μ contain Bogoliubov bosons associated with the four magnon bands,

$$(\psi_k^\mu) = \begin{pmatrix} \psi_k^1 \\ \psi_k^2 \\ \psi_k^3 \\ \psi_k^4 \\ \psi_k^5 \\ \psi_k^6 \\ \psi_k^7 \\ \psi_k^8 \end{pmatrix} = \begin{pmatrix} b_{k1} \\ b_{k2} \\ b_{k3} \\ b_{k4} \\ b_{-k1}^\dagger \\ b_{-k2}^\dagger \\ b_{-k3}^\dagger \\ b_{-k4}^\dagger \end{pmatrix}. \quad (186)$$

Recall that the range of the field-type labels μ and ν is $\{1, 2, \dots, 8\}$, while the band label n assumes values in the range $\{1, 2, 3, 4\}$. The transformation to the Bogoliubov bosons (186) block-diagonalizes the expectation values and we obtain

$$\begin{aligned} S^{13}(\mathbf{k}, \omega) &= -\frac{2S}{16i} \sum_{\mu\nu} \sum_n \sigma_\nu \int \frac{dt}{2\pi} e^{i\omega t} \\ &\quad \times [\mathbb{T}_{-k}^{\mu n+4} \mathbb{T}_k^{vn} \langle b_{kn}^\dagger(t) b_{kn}(0) \rangle \\ &\quad + \mathbb{T}_{-k}^{\mu n} \mathbb{T}_k^{v n+4} \langle b_{-kn}(t) b_{-kn}^\dagger(0) \rangle]. \end{aligned} \quad (187)$$

The time integrals can be expressed in terms of the retarded magnon Green functions,

$$\begin{aligned} &\int \frac{dt}{2\pi} e^{i\omega t} \langle b_{kn}^\dagger(t) b_{kn}(0) \rangle \\ &= \frac{1}{e^{-\beta\omega} - 1} \frac{1}{\pi} \text{Im} G_n(\mathbf{k}, -\omega + i0^+), \end{aligned} \quad (188a)$$

$$\begin{aligned} &\int \frac{dt}{2\pi} e^{i\omega t} \langle b_{-kn}(t) b_{-kn}^\dagger(0) \rangle \\ &= \frac{1}{e^{-\beta\omega} - 1} \frac{1}{\pi} \text{Im} G_n(\mathbf{k}, \omega + i0^+). \end{aligned} \quad (188b)$$

At $T = 0$, the expectation value in Eq. (188a) vanishes, leaving only

$$S^{13}(\mathbf{k}, \omega) = \frac{1}{\pi} \sum_n W_{kn}^{13} \text{Im} G_n(\mathbf{k}, \omega + i0^+), \quad (189)$$

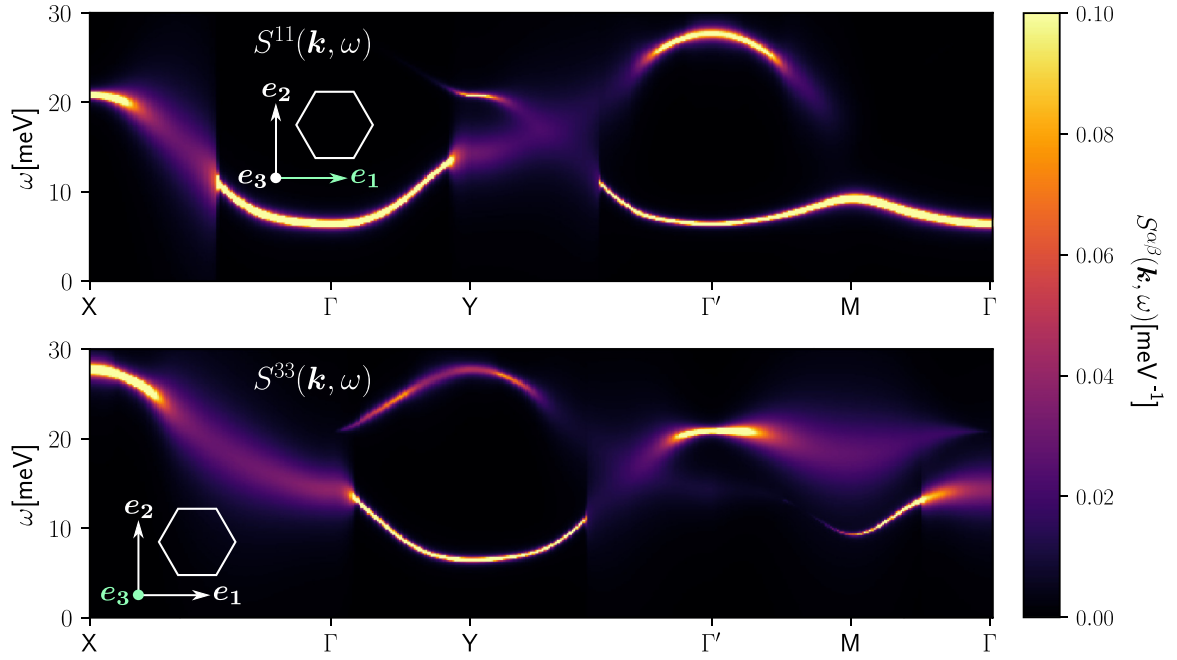


FIG. 12. The diagonal components of the transverse part of the dynamical structure factor $S^{\alpha\beta}(\mathbf{k}, \omega)$ that include magnon lifetime effects in the iDE approximation (167) as given by Eq. (191) in the laboratory frame $\{e_1, e_2, e_3\}$. The momentum \mathbf{k} follows the same representative path as in Fig. 6. [Top (bottom)] $S^{11(33)}(\mathbf{k}, \omega)$. All other components are higher order in the $1/S$ expansion. An artificial broadening of 0.1 meV is included in the imaginary part of the Green function. The plot range is cut at 0.1 meV^{-1} in order to emphasize details of the structure factor.

with

$$W_{kn}^{13} = \frac{S}{8i} \sum_{\mu\nu} \mathbb{T}_{-k}^{\mu\nu} \sigma_\nu \mathbb{T}_k^{\nu n+4}. \quad (190)$$

Analogous calculations for the remaining transverse components of the structure factor lead to

$$S^{\alpha\beta}(\mathbf{k}, \omega) = \frac{1}{\pi} \sum_n W_{kn}^{\alpha\beta} \text{Im} G_n(\mathbf{k}, \omega + i0^+), \quad (191)$$

with the “envelope” functions

$$W_{kn}^{11} = \frac{S}{8} \sum_{\mu\nu} \mathbb{T}_{-k}^{\mu\nu} \mathbb{T}_k^{\nu n+4}, \quad (192a)$$

$$W_{kn}^{13} = (W_{kn}^{31})^* = \frac{S}{8i} \sum_{\mu\nu} \mathbb{T}_{-k}^{\mu\nu} \sigma_\nu \mathbb{T}_k^{\nu n+4}, \quad (192b)$$

$$W_{kn}^{33} = -\frac{S}{8} \sum_{\mu\nu} \sigma_\mu \mathbb{T}_{-k}^{\mu\nu} \sigma_\nu \mathbb{T}_k^{\nu n+4}. \quad (192c)$$

Within our approximations, the imaginary part of the magnon propagator is

$$\text{Im} G_n(\mathbf{k}, \omega + i0^+) \approx \frac{\gamma_{kn}}{(\omega - \omega_{kn})^2 + \gamma_{kn}^2}. \quad (193)$$

In Fig. 12, we plot the diagonal components of the transverse structure factor for the same representative set of the model parameters given in Eq. (165). For the magnon damping γ_{kn} , we used our results obtained within the iDE approach in Sec. VF. Note that within our approximation, the off-diagonal components of the structure factor vanish identically.

To analyze the effect of magnon interactions in the neutron scattering intensity, we normalize the intensity in Eq. (176) by the square of the material-dependent form factor,

$$\begin{aligned} \frac{\mathcal{I}(\mathbf{k}, \omega)}{F^2(\mathbf{k})} &= \sum_{\alpha\beta} (\delta_{\alpha\beta} - k_\alpha k_\beta / k^2) S^{\alpha\beta}(\mathbf{k}, \omega) \\ &= \frac{1}{\pi} \sum_n I_{kn} \frac{\gamma_{kn}}{(\omega - \omega_{kn})^2 + \gamma_{kn}^2}, \end{aligned} \quad (194)$$

where we defined the \mathbf{k} -dependent weights I_{kn} associated with a given magnon band n as

$$I_{kn} = \sum_{\alpha\beta} (\delta_{\alpha\beta} - k_\alpha k_\beta / k^2) W_{kn}^{\alpha\beta}. \quad (195)$$

The intensity defined in Eq. (194) is plotted in Fig. 13. Note that while the in-plane component of the momentum \mathbf{k} follows the same representative path shown in Fig. 6, for the neutron-scattering intensity in Fig. 13, the contour also has a finite out-of-plane component $k_3 = \sqrt{3}\pi/d$ to avoid artificial singularities. One can clearly distinguish sharp excitation branches in wide regions of the \mathbf{k} space, indicating well-defined magnon quasiparticles. However, for a significant range of the \mathbf{k} - ω space, the quasiparticles cease to exist and are replaced instead by a broad continuum of excitations. This result justifies the claim put forward in Ref. [55] that the anharmonic magnon couplings can destroy the quasiparticle character of the magnetic excitation spectrum in the zigzag phase of the Kitaev-Heisenberg- Γ model in a large part of the Brillouin zone. In the same Fig. 13, the lower panel offers a comparison of the effects of the “full-vertex” calculations

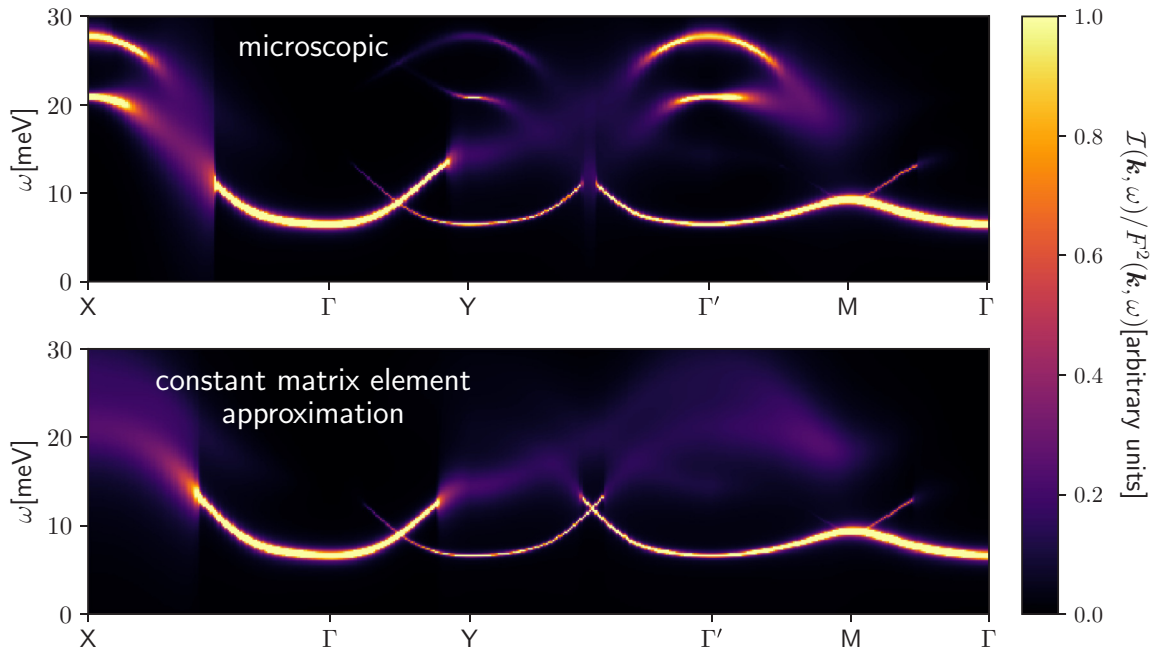


FIG. 13. (Top) Neutron-scattering intensity $\mathcal{I}(\mathbf{k}, \omega)$ normalized by the square of the atomic formfactor as given in Eq. (194) with magnon lifetime effects in the iDE approximation given by Eq. (167). The presented \mathbf{k} path also involves a finite out-of-plane component $k_3 = \sqrt{3}\pi/d$, see the text. (Bottom) Same with the decay rates from the constant matrix element approximation. An artificial broadening of 0.1 meV is included in the imaginary part of the Green function.

of the magnon damping in the iDE approximation with that of the constant matrix element approximation. The damping rates in the latter approach are from Fig. 11. It is again visible that the constant matrix element approximation overestimates the damping of the higher energy magnon branches around the Γ and Y points. The overall form of decays is however very similar, showing a coexistence of the \mathbf{k} - ω regions with well-defined quasiparticles with the regions where they are absent.

VII. SUMMARY AND CONCLUSIONS

The present work advances the studies of the Kitaev-Heisenberg- Γ model in several directions. First of all, we have found a special line in the parameter space of the Kitaev-Heisenberg- Γ model along which the magnon spectrum and all matrix elements needed for the calculation of the magnon damping can be obtained analytically for the physically relevant zigzag phase. This line is defined by $\Gamma = K > 0$, arbitrary nearest-neighbor exchange J , and third-nearest-neighbor exchange J_3 . This enormously reduces the complexity of the evaluation of the perturbative expressions for the magnon damping and has enabled us to calculate the magnon damping in this regime without additional simplifying assumptions. Although special points in the parameter space of the Kitaev-Heisenberg- Γ model characterized by additional symmetries have been identified in the past [9], the fact that on the line $\Gamma = K > 0$ the magnon spectrum and all interaction vertices in the zigzag state can be obtained analytically has not been noticed before. Physically, the origin for the simplifications for $\Gamma = K > 0$ is that on this line the magnetic moments in the zigzag state lie in the plane of the honeycomb lattice and point in the direction of the zigzag pattern.

Next, we would like to emphasize that our explicit calculation of the magnon damping for $\Gamma = K > 0$ within the leading order Born approximation and the self-consistent iDE approach based on the solution of the imaginary part of the Dyson's equation is at the cutting edge of what can be done analytically within spin-wave theory. To carry out this calculation, it was crucial to work with an unconventional parametrization of the spin-wave theory where each Holstein-Primakoff boson is expressed in terms of two conjugate Hermitian operators [81–85]. The advantages of this approach as compared with the conventional procedure outlined in Appendix A are (a) that it simplifies the identification of special points in parameter space where the calculations simplify, (b) that the explicit diagonalization of the quadratic spin-wave Hamiltonian obtained after Holstein-Primakoff transformation can be mapped on the well-known diagonalization procedure for coupled harmonic oscillators [102,103], and (c) that for the implementation of this procedure for a system with f boson flavors one has to manipulate only Hermitian $f \times f$ matrices. In Appendix B, we give another example for the “Hermitian field formulation” of spin-wave theory by calculating the magnon spectrum and the relevant Bogoliubov transformation of the Kitaev-Heisenberg- Γ model for $\Gamma = K > 0$ in a two-sublattice approach. Somewhat surprisingly, we could not find such an explicit analytic construction in the literature, although in this case one only has $f = 2$ boson flavors.

We have demonstrated for the representative values of the model parameters, that the magnon damping in approximations based on the Born and the self-consistent iDE approaches is significant, leading to characteristic broad features in the dynamical structure factor. These results underscore the importance of taking into account the nonlinear

magnon coupling in interpreting broad features in the neutron-scattering spectra for the general Kitaev-Heisenberg- Γ model. The present work thus confirms the assertion of Ref. [55] that anharmonic interactions can lead to large decay rates such that some of the magnon branches cease to be well-defined quasiparticles, as is possibly observed in α -RuCl₃. By focusing our attention on the regime $\Gamma = K > 0$ with an additional third-nearest-neighbor Heisenberg interaction J_3 to stabilize the zigzag-ordered state, we have been able to confirm in a quantitative manner the validity of the claims regarding the importance of the anharmonic magnon coupling terms that were put forward in Ref. [55]. In particular, we have shown that the phenomenological constant matrix element approximation used in Ref. [55] can indeed be used to estimate semiquantitatively the magnitude of the decay rates in a large part of the Brillouin zone. On the other hand, in some parts of the Brillouin zone the momentum-dependence of the interaction vertex is important, so that the constant matrix element approximation cannot reliably predict the order of magnitude of magnon damping and the spectral line-shape of the dynamic structure factor. This is especially true for momenta in the proximity of magnon band crossings along the X - Y and Γ - Y directions. Moreover, as shown in Appendix D, the damping becomes even stronger for all modes in certain areas on the momentum plane when the third-nearest-neighbor exchange interaction is smaller than all other interactions.

Finally, let us emphasize that this work contains technical advances in spin-wave theory that can also be useful for other spin models. First of all, the Hermitian field parametrization of spin-wave theory developed in Sec. VB (see also Appendix B) is an efficient alternative to Colpa's algorithm [96–99] in the magnetically ordered phase of any spin-model with a complicated magnon spectrum consisting of several bands. Moreover, for the calculation of the magnon damping in multiband magnon systems it is crucial to carefully keep track of all phase factors in the interaction vertices generated by umklapp scattering processes. In Sec. III F, we have carefully derived the proper phase factors for the cubic interaction vertices in the zigzag state of the Kitaev-Heisenberg- Γ model. Similar considerations should be used to derive umklapp phase factors in other models with multiple magnon bands.

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APPENDIX A: CONSTRUCTION OF MULTIFLAVOR BOGOLIUBOV TRANSFORMATIONS

In this Appendix, we review the method for reducing the problem of diagonalizing a general f -flavor quadratic boson Hamiltonian of the form [see Eq. (62)]

$$\mathcal{H}_2 = \sum_k \sum_{nm=1}^f \left\{ A_k^{nm} a_{kn}^\dagger a_{km} + \frac{1}{2} [B_k^{nm} a_{kn}^\dagger a_{-km}^\dagger + (B_k^{nm})^* a_{-kn} a_{km}] \right\} \quad (\text{A1})$$

to a $2f$ -dimensional generalized eigenvalue problem. Note that the hermiticity of the Hamiltonian implies that

$$A_k^{nm} = (A_k^{mn})^* \quad (\text{A2})$$

and the symmetry under relabeling $\mathbf{k} \rightarrow -\mathbf{k}$ in the off-diagonal terms implies that the coefficients B_k^{nm} can be chosen such that

$$B_k^{nm} = B_{-k}^{mn}. \quad (\text{A3})$$

For $f = 1$, the Hamiltonian (A1) can be diagonalized by the usual Bogoliubov transformation. For arbitrary f , a general algorithm for diagonalizing this type of Hamiltonian has been constructed by Colpa [96]. A discussion of this algorithm can also be found in the textbook by Blaizot and Ripka [97] and in Refs. [98,99]. Here we review some mathematical subtleties of this treatment, as presented by Maldonado [98], which are often ignored in the literature.

It is convenient to define the f -component column vectors

$$\mathbf{a}_k = \begin{pmatrix} a_{k1} \\ \vdots \\ a_{kf} \end{pmatrix}, \quad \mathbf{a}_k^* = (\mathbf{a}_k^T)^\dagger = \begin{pmatrix} a_{k1}^\dagger \\ \vdots \\ a_{kf}^\dagger \end{pmatrix} \quad (\text{A4})$$

and the adjoint row vectors

$$\mathbf{a}_k^\dagger = (a_{k1}^\dagger \dots a_{kf}^\dagger), \quad \mathbf{a}_k^T = (a_{k1} \dots a_{kf}). \quad (\text{A5})$$

These vectors can be combined to vectors with $2f$ components containing both annihilation and creation operators,

$$\boldsymbol{\phi}_k = \begin{pmatrix} \mathbf{a}_k \\ \mathbf{a}_{-k}^* \end{pmatrix} = \begin{pmatrix} a_{k1} \\ \vdots \\ a_{kf} \\ a_{-k1}^\dagger \\ \vdots \\ a_{-kf}^\dagger \end{pmatrix}, \quad (\text{A6})$$

$$\boldsymbol{\phi}_k^\dagger = (\mathbf{a}_k^\dagger, \mathbf{a}_{-k}^T) = (a_{k1}^\dagger \dots a_{kf}^\dagger, a_{-k1} \dots a_{-kf}). \quad (\text{A7})$$

Then, our quadratic boson Hamiltonian (A1) can be written in a matrix form as follows:

$$\mathcal{H}_2 = \frac{1}{2} \sum_k [\boldsymbol{\phi}_k^\dagger \mathbb{M}_k \boldsymbol{\phi}_k - \text{Tr} \mathbf{A}_k], \quad (\text{A8})$$

where the $2f \times 2f$ matrix \mathbb{M}_k is of the form

$$\mathbb{M}_k = \begin{pmatrix} \mathbf{A}_k & \mathbf{B}_k \\ \mathbf{B}_k^\dagger & \mathbf{A}_{-k}^T \end{pmatrix} = \begin{pmatrix} \mathbf{A}_k & \mathbf{B}_k \\ \mathbf{B}_{-k}^* & \mathbf{A}_{-k}^* \end{pmatrix}, \quad (\text{A9})$$

with the $f \times f$ blocks \mathbf{A}_k and \mathbf{B}_k defined by $[\mathbf{A}_k]^{nm} = A_k^{nm}$ and $[\mathbf{B}_k]^{nm} = B_k^{nm}$. In the second equality in Eq. (A9), we have used the symmetries (A2) and (A3) which imply that

$$\mathbf{A}_k = \mathbf{A}_k^\dagger, \quad (\text{A10})$$

$$\mathbf{B}_k = \mathbf{B}_{-k}^T. \quad (\text{A11})$$

We would like to construct a new set of boson operators b_{k1}, \dots, b_{kf} , which diagonalize the Hamiltonian. We combine these operators and their adjoints b_{kn}^\dagger to form a $2f$ -component column vector with the same structure as ϕ_k in Eq. (A6),

$$\psi_k = \begin{pmatrix} \mathbf{b}_k \\ \mathbf{b}_{-k}^* \end{pmatrix} = \begin{pmatrix} b_{k1} \\ \vdots \\ b_{kf} \\ b_{-k1}^\dagger \\ \vdots \\ b_{-kf}^\dagger \end{pmatrix}. \quad (\text{A12})$$

Let us make the following ansatz for the desired transformation:

$$\phi_k = \mathbb{T}_k \psi_k, \quad (\text{A13})$$

where \mathbb{T}_k is an invertible $2f \times 2f$ matrix. Substituting this ansatz into the Hamiltonian (A8), we obtain

$$\mathcal{H}_2 = \frac{1}{2} \sum_k [\psi_k^\dagger \mathbb{T}_k^\dagger \mathbb{M}_k \mathbb{T}_k \psi_k - \text{Tr} \mathbf{A}_k]. \quad (\text{A14})$$

The transformation matrix \mathbb{T}_k should be constructed such that the matrix

$$\mathbb{D}_k = \mathbb{T}_k^\dagger \mathbb{M}_k \mathbb{T}_k \quad (\text{A15})$$

is diagonal. In addition, the matrix \mathbb{T}_k has to satisfy the following two conditions.

(1) *Boson condition.* The new operators b_{kn} should satisfy canonical bosonic commutation relations. This implies that only those transformations \mathbb{T}_k are allowed, which are pseudo-orthogonal in the sense that

$$\mathbb{T}_k^\dagger \mathbb{G} \mathbb{T}_k = \mathbb{G} = \mathbb{T}_k \mathbb{G} \mathbb{T}_k^\dagger, \quad (\text{A16})$$

where the metric matrix \mathbb{G} has the block structure

$$\mathbb{G} = \begin{pmatrix} \mathbf{1} & 0 \\ 0 & -\mathbf{1} \end{pmatrix}. \quad (\text{A17})$$

Here, $\mathbf{1}$ is the f -dimensional identity matrix.

(2) *Permutation condition.* this condition follows from the fact that the second f components of the vectors ϕ_k and ψ_k cannot be chosen independently of the first f components, because they are related by a permutation as follows:

$$\begin{pmatrix} a_{-k1}^\dagger \\ \vdots \\ a_{-kf}^\dagger \\ a_{k1} \\ \vdots \\ a_{kf} \end{pmatrix} = \begin{pmatrix} 0 & \mathbf{1} \\ \mathbf{1} & 0 \end{pmatrix} \begin{pmatrix} a_{k1} \\ \vdots \\ a_{kf} \\ a_{-k1}^\dagger \\ \vdots \\ a_{-kf}^\dagger \end{pmatrix}. \quad (\text{A18})$$

Introducing the permutation matrix

$$\mathbb{P} = \begin{pmatrix} 0 & \mathbf{1} \\ \mathbf{1} & 0 \end{pmatrix}, \quad (\text{A19})$$

the condition (A18) and the analogous condition for the new boson operators ψ_k imply that

$$\phi_{-k}^* = \mathbb{P} \phi_k, \quad (\text{A20})$$

$$\psi_{-k}^* = \mathbb{P} \psi_k. \quad (\text{A21})$$

Hence,

$$\begin{aligned} \mathbb{P} \mathbb{T}_k \psi_k &= \mathbb{P} \phi_k = \phi_{-k}^* \\ &= \mathbb{T}_{-k}^* \psi_{-k}^* = \mathbb{T}_{-k}^* \mathbb{P} \psi_k, \end{aligned} \quad (\text{A22})$$

which implies

$$\mathbb{P} \mathbb{T}_k = \mathbb{T}_{-k}^* \mathbb{P}. \quad (\text{A23})$$

Using $\mathbb{P}^2 = \mathbf{1}$, this relation can also be written as

$$\mathbb{P} \mathbb{T}_k \mathbb{P} = \mathbb{T}_{-k}^*. \quad (\text{A24})$$

It follows that the matrix \mathbb{T}_k must have the following block structure:

$$\mathbb{T}_k = \begin{pmatrix} \mathbf{Q}_k & \mathbf{R}_k \\ \mathbf{R}_{-k}^* & \mathbf{Q}_{-k}^* \end{pmatrix}, \quad (\text{A25})$$

with two independent $f \times f$ matrices \mathbf{Q}_k and \mathbf{R}_k .

The boson condition (A16) as well as the permutation condition (A23) define two different groups. The intersection of these groups, i.e., the set of matrices \mathbb{T}_k satisfying both conditions (A16) and (A23), defines the group of f -flavor Bogoliubov transformations. We are looking for a matrix of this type, which diagonalizes the matrix \mathbb{M}_k according to Eq. (A15). Note that the matrix \mathbb{M}_k in Eq. (A9) also satisfies the permutation condition (A23). In fact, given the Hamiltonian (62), there is some redundancy in the definition of the matrix \mathbb{M}_k because we can use the commutation relations $a_{km} a_{kn}^\dagger = a_{kn}^\dagger a_{km} + \delta_{nm}$ to rewrite Eq. (A8) in the form

$$\mathcal{H}_2 = \frac{1}{2} \sum_k [\phi_k^\dagger \mathbb{M}'_k \phi_k - \text{Tr} \mathbf{A}_k - \text{Tr} \mathbf{A}'_k], \quad (\text{A26})$$

where now

$$\mathbb{M}'_k = \mathbb{M}_k + \begin{pmatrix} \mathbf{A}'_k & \mathbf{B}'_{k1} \\ \mathbf{B}'_{k2} & -(\mathbf{A}'_{-k})^T \end{pmatrix}. \quad (\text{A27})$$

Here the matrix \mathbb{M}_k is the same as in Eq. (A9), the $f \times f$ matrix \mathbf{A}'_k is arbitrary and the $f \times f$ matrices \mathbf{B}'_{k1} and \mathbf{B}'_{k2} are antisymmetric in the sense that $\mathbf{B}'_{ki} = -(\mathbf{B}'_{-ki})^T$ for $i = 1, 2$, which guarantees that the corresponding contributions in Eq. (A26) cancel after summation. Our choice above, $\mathbf{A}'_k = 0 = \mathbf{B}'_{ki}$, is unique because the matrix \mathbb{M}_k satisfies the permutation condition, which also guarantees that $\mathbb{M}'_k = \mathbb{M}_k$ is Hermitian. Then the Heisenberg equation of motion for the original boson operators can be written as

$$i \partial_t \phi_k = [\phi_k, \mathcal{H}_2] = \mathbb{G} \mathbb{M}_k \phi_k \equiv \mathbb{M}_k^{\text{dyn}} \phi_k, \quad (\text{A28})$$

where we have introduced the dynamical matrix

$$\mathbb{M}_k^{\text{dyn}} = \mathbb{G} \mathbb{M}_k. \quad (\text{A29})$$

Note that this definition differs from what Colpa calls the dynamical matrix in Ref. [96]. The new bosons then satisfy

$$\begin{aligned} i\partial_t \boldsymbol{\psi}_k &= [\boldsymbol{\psi}_k, \mathcal{H}_2] = [\mathbb{T}_k^{-1} \boldsymbol{\phi}_k, \mathcal{H}_2] = \mathbb{T}_k^{-1} [\boldsymbol{\phi}_k, \mathcal{H}_2] \\ &= \mathbb{T}_k^{-1} \mathbb{M}_k^{\text{dyn}} \mathbb{T}_k \boldsymbol{\psi}_k. \end{aligned} \quad (\text{A30})$$

The linear transformation $\boldsymbol{\phi}_k \rightarrow \boldsymbol{\psi}_k = \mathbb{T}_k \boldsymbol{\phi}_k$ maps the matrix \mathbb{M}_k onto $\mathbb{T}_k^\dagger \mathbb{M}_k \mathbb{T}_k$, while the dynamical matrix $\mathbb{M}_k^{\text{dyn}}$ transforms differently, $\mathbb{M}_k^{\text{dyn}} \rightarrow \mathbb{T}_k^{-1} \mathbb{M}_k \mathbb{T}_k$. Mathematically, the different transformation behavior of \mathbb{M}_k and $\mathbb{M}_k^{\text{dyn}}$ is due to the fact that \mathbb{M}_k is the matrix representation of a bilinear form [i.e., a rank (0,2) tensor], while $\mathbb{M}_k^{\text{dyn}}$ represents the linear mapping [..., \mathcal{H}_2], which is a rank (1,1) tensor. Multiplication by the pseudometric \mathbb{G} establishes the transformation between these two objects, similar to the dualization of Lorentz vectors in relativity.

To explicitly calculate the spectrum of \mathcal{H}_2 , we write the transformation matrix \mathbb{T}_k in the form

$$\mathbb{T}_k = (\mathbf{v}_{k1}, \mathbf{v}_{k2}, \dots, \mathbf{v}_{k2f}), \quad (\text{A31})$$

i.e., the columns of the matrix \mathbb{T}_k are identified with the column vectors \mathbf{v}_{ki} , $i = 1, \dots, 2f$. Then the diagonalization condition (A15) can be written as

$$[\mathbb{T}_k^\dagger \mathbb{M}_k \mathbb{T}_k]_{ij} = \mathbf{v}_{ki}^\dagger \mathbb{M}_k \mathbf{v}_{kj} = \delta_{ij} d_{ki}, \quad (\text{A32})$$

where d_{ki} are the diagonal elements of the diagonal matrix \mathbb{D}_k in Eq. (A15). The boson condition (A16) implies that the column vectors \mathbf{v}_{ki} satisfy the pseudo-orthogonality condition

$$\mathbf{v}_{ki}^\dagger \mathbb{G} \mathbf{v}_{kj} = g_{ij}, \quad (\text{A33})$$

where $g_{ij} = \delta_{ij}$ for $i = 1, \dots, f$, and $g_{ij} = -\delta_{ij}$ for $i = f + 1, \dots, 2f$.

To explicitly construct the vectors \mathbf{v}_{ki} with the above properties, it is useful to consider the solutions \mathbf{v}_k of the generalized eigenvalue equation [96],

$$\mathbb{M}_k \mathbf{v}_k = \omega \mathbb{G} \mathbf{v}_k. \quad (\text{A34})$$

Multiplying both sides by \mathbb{G} and using $\mathbb{G}^2 = 1$ makes Eq. (A34) equivalent to the conventional eigenvalue equation for $\mathbb{M}_k^{\text{dyn}} = \mathbb{G} \mathbb{M}_k$,

$$\mathbb{M}_k^{\text{dyn}} \mathbf{v}_k = \omega \mathbf{v}_k. \quad (\text{A35})$$

Although, in general, $\mathbb{M}_k^{\text{dyn}}$ is not Hermitian, let us assume that the eigenvalue equation (A35) indeed has $2f$ linearly independent eigenvectors $\mathbf{v}_{k1}, \dots, \mathbf{v}_{k2f}$ with eigenvalues ω_{kn} . If we can normalize the eigenvectors such that the pseudo-orthogonalization condition (A33) is satisfied, we have by construction

$$\mathbf{v}_{ki}^\dagger \mathbb{M}_k \mathbf{v}_{kj} = \omega_{kj} \mathbf{v}_{ki}^\dagger \mathbb{G} \mathbf{v}_{kj} = \delta_{ij} \omega_{ki} g_{ii}, \quad (\text{A36})$$

so that we may identify $d_{ki} = \omega_{ki} g_{ii}$. Assuming that the Hamiltonian \mathcal{H}_2 describes a stable magnon system, the Hermitian matrix \mathbb{M}_k must be positive definite, which means that

$$\omega_{ki} \mathbf{v}_{ki}^\dagger \mathbb{G} \mathbf{v}_{ki} > 0. \quad (\text{A37})$$

We refer to $\mathbf{v}_{ki}^\dagger \mathbb{G} \mathbf{v}_{ki}$ as pseudonorm of \mathbf{v}_{ki} . It remains to be shown that the solutions of the eigenvalue equation (A35)

can indeed be constructed such that they satisfy the pseudo-orthogonality condition (A33). The hermiticity of \mathbb{M}_k implies that for any two eigenvectors \mathbf{v}_{ki} and \mathbf{v}_{kj} ,

$$0 = (\mathbb{M}_k \mathbf{v}_{ki})^\dagger \mathbf{v}_{kj} - \mathbf{v}_{ki}^\dagger (\mathbb{M}_k \mathbf{v}_{kj}) = (\omega_{ki}^* - \omega_{kj}) \mathbf{v}_{ki}^\dagger \mathbb{G} \mathbf{v}_{kj}, \quad (\text{A38})$$

so that either $\omega_{ki}^* = \omega_{kj}$ or $\mathbf{v}_{ki}^\dagger \mathbb{G} \mathbf{v}_{kj} = 0$. In particular, the eigenvalues of all eigenvectors with $\mathbf{v}_{ki}^\dagger \mathbb{G} \mathbf{v}_{ki} \neq 0$ are real. If all eigenvalues are real and pairwise distinct, the matrix $\mathbb{T}_k^\dagger \mathbb{M}_k \mathbb{T}_k$ is diagonal and by properly normalizing the eigenvectors we can satisfy the pseudo-orthogonality condition (A33). Given the fact that the metric \mathbb{G} has f positive and f negative eigenvalues, this must also be true for the unitarily equivalent matrix $\mathbb{T}_k^\dagger \mathbb{G} \mathbb{T}_k$, so that exactly f eigenvectors can be normalized such that $\mathbf{v}_{ki}^\dagger \mathbb{G} \mathbf{v}_{ki} = 1$ and the remaining f eigenvectors can be normalized such that $\mathbf{v}_{ki}^\dagger \mathbb{G} \mathbf{v}_{ki} = -1$. However, according to Eq. (A37), we have $\omega_{ki} \mathbf{v}_{ki}^\dagger \mathbb{G} \mathbf{v}_{ki} > 0$, so that the eigenvectors \mathbf{v}_{ki} with positive pseudonorm have positive eigenvalues $\omega_{ki} > 0$, while the eigenvectors with negative pseudonorm have negative eigenvalues $\omega_{ki} < 0$.

In case of degeneracy of eigenvalues, the corresponding linearly independent eigenvectors do not necessarily satisfy the pseudo-orthogonality condition (A33). However, by means of a generalized Gram-Schmidt orthogonalization procedure, we can construct linear combinations of the eigenvectors in the degenerate subspaces with the desired pseudo-orthogonalization. For example, if the eigenvectors $\mathbf{v}_{k1}, \dots, \mathbf{v}_{km}$ all have the same eigenvalue, we should replace the first $m - 1$ eigenvectors by

$$\mathbf{v}_{ki} \rightarrow \mathbf{v}_{ki} - \sum_{j=i+1}^m \mathbf{v}_{kj} (\mathbf{v}_{ki}^\dagger \mathbb{G} \mathbf{v}_{kj}), \quad i = 1, \dots, m - 1. \quad (\text{A39})$$

The eigenvalues of $\mathbb{M}_k^{\text{dyn}}$ always appear in pairs: if ω_k is an eigenvalue with eigenvector \mathbf{v}_k , then $-\omega_k^*$ is an eigenvalue with eigenvector $\mathbb{P} \mathbf{v}_{-k}^*$, which follows from the following chain of identities:

$$\begin{aligned} \mathbb{M}_k (\mathbb{P} \mathbf{v}_{-k}^*) &= \mathbb{P} \mathbb{M}_{-k}^* \mathbf{v}_{-k}^* = \mathbb{P} (\omega_{-k} \mathbb{G} \mathbf{v}_{-k})^* \\ &= \omega_{-k}^* \mathbb{P} \mathbb{G} \mathbf{v}_{-k}^* = -\omega_{-k}^* \mathbb{G} (\mathbb{P} \mathbf{v}_{-k}^*). \end{aligned} \quad (\text{A40})$$

After suitable relabeling, the eigenvalues can always be arranged such that $\omega_{kn+i} = -\omega_{-ki}$ and $\mathbf{v}_{kn+i} = \mathbb{P} \mathbf{v}_{-ki}^*$ for $i = 1, \dots, f$. Then the matrix \mathbb{T}_k can be written in terms of column vectors as follows,

$$\mathbb{T}_k = (\mathbf{v}_{k1}, \dots, \mathbf{v}_{kf}, \mathbb{P} \mathbf{v}_{-k1}^*, \dots, \mathbb{P} \mathbf{v}_{-kf}^*), \quad (\text{A41})$$

which satisfies the permutation condition (A23). The diagonalized Hamiltonian can be written as

$$\mathcal{H}_2 = \frac{1}{2} \sum_k \left[\sum_{i=1}^f \omega_{ki} (b_{ki}^\dagger b_{ki} + b_{ki} b_{ki}^\dagger) - \text{Tr} \mathbf{A}_k \right]. \quad (\text{A42})$$

The magnon spectrum can be obtained directly from the positive roots of

$$\det(\mathbb{M}_k^{\text{dyn}} - \omega \mathbb{1}) = 0. \quad (\text{A43})$$

The new boson annihilation operators b_{ki} can be obtained with the help of $\mathbb{T}_k^{-1} = \mathbb{G}\mathbb{T}_k^\dagger\mathbb{G}$ from the components of the first f columns of the matrix \mathbb{T}_k ,

$$b_{ki} = (\mathbb{T}_k^{-1}\boldsymbol{\phi}_k)_i = \sum_{j=1}^f [(\mathbf{v}_{ki}^\dagger)_j a_{kj} - (\mathbf{v}_{ki}^\dagger)_{f+j} a_{-kj}^\dagger], \quad (\text{A44})$$

where $i = 1, \dots, f$.

In case when \mathbb{M}_k is only positive *semidefinite*, the Hamiltonian may still be representable as a sum of number operators, but this requires a careful handling of zero modes that will not be discussed here. The combined eigenvalue/Gram-Schmidt procedure gives an explicit construction for a pseudounitary \mathbb{P} -consistent diagonalization of an arbitrary Hermitian positive definite matrix \mathbb{M}_k as long $\mathbb{G}\mathbb{M}_k$ has only real eigenvalues. However, the generalized Gram-Schmidt procedure presented above is not numerically stable, similarly to the ordinary Gram-Schmidt procedure. One could adapt the known algorithms for unitary diagonalization to use the indefinite form $\mathbf{v}^\dagger\mathbb{G}\mathbf{w}$ as a scalar product, which would require handling edge cases and peculiarities of the particular algorithm used.

In Ref. [96], Colpa takes a different approach. By reducing the problem to a Cholesky decomposition and an ordinary unitary diagonalization, one can make use of the known efficient and/or stable algorithms for these well-researched problems, which are already available in software libraries or computer algebra systems. Colpa's algorithm is executed as follows: (1) find an upper triangular Matrix \mathbb{H}_k with $\mathbb{M}_k = \mathbb{H}_k^\dagger\mathbb{H}_k$ (Cholesky decomposition); (2) find a unitary matrix \mathbb{U}_k such that $\mathbb{L}_k = \mathbb{U}_k^\dagger\mathbb{H}_k\mathbb{G}\mathbb{H}_k^\dagger\mathbb{U}_k$ is diagonal (unitary diagonalization of $\mathbb{H}\mathbb{G}\mathbb{H}^\dagger$); (3) order the columns of \mathbb{U}_k such that \mathbb{L}_k has the signature $(+, \dots, +, -, \dots, -)$; and (4) solve the equation

$\mathbb{H}_k\mathbb{T}_k = \mathbb{U}_k\Omega_k^{1/2}$ with $\Omega_k := \mathbb{G}\mathbb{L}_k$ for the components of \mathbb{T}_k . Note that the fourth step in Colpa's procedure requires only a trivial $2f$ -step recursion because \mathbb{H}_k is triangular.

The algorithm constructed by Colpa is the method of choice when the magnon spectrum and the Bogoliubov transformation are calculated numerically. On the other hand, for the analytic calculation of the magnon spectrum presented in this work, the Hermitian field approach developed in Sec. VB of the main text is more convenient.

In Appendix B, we shall give another application of the Hermitian field approach by diagonalizing the quadratic magnon Hamiltonian for the Kitaev-Heisenberg- Γ model for $\Gamma = K$ using only two sublattices.

APPENDIX B: APPENDIX B: TWO-SUBLATTICE APPROACH FOR $\Gamma = K$

As is mentioned in the last paragraph of Sec. IV, for $\Gamma = K > 0$, it is possible to diagonalize the quadratic magnon Hamiltonian by using only two sublattices A and B of the honeycomb lattice, thus avoiding an additional complexity of the four-sublattice formulation. In this Appendix, we show why and how this two-sublattice approach works and construct the corresponding Bogoliubov transformation using the Hermitian field method developed in Sec. VB.

Let us go back to the derivation of the quadratic magnon Hamiltonian in the zigzag state presented in Sec. III D, where we projected spin operators on each site onto local axes that match the direction of the local magnetization of the zigzag state. In this basis, the contributions from the Kitaev part and the off-diagonal exchange part to the transverse part \mathcal{H}_\perp of the spin Hamiltonian, \mathcal{H}_\perp^K and \mathcal{H}_\perp^Γ , are given in Eqs. (54) and (56). Adding these two contributions, we obtain

$$\begin{aligned} \mathcal{H}_\perp^K + \mathcal{H}_\perp^\Gamma = & \frac{1}{8} \sum_{pp'} \left\{ \sum_{\mathbf{R} \in a} [(K_{xx}^{\bar{p}p'} + \Gamma_{yz}^{\bar{p}p'}) S_{\mathbf{R}}^p S_{\mathbf{R}+d_x}^{p'} + (K_{yy}^{\bar{p}p'} + \Gamma_{zx}^{\bar{p}p'}) S_{\mathbf{R}}^p S_{\mathbf{R}+d_y}^{p'} + (K_{zz}^{\bar{p}p'} + \Gamma_{xy}^{\bar{p}p'}) S_{\mathbf{R}}^p S_{\mathbf{R}+d_z}^{p'}] \right. \\ & + \sum_{\mathbf{R} \in c} [(K_{xx}^{pp'} + \Gamma_{yz}^{pp'}) S_{\mathbf{R}}^p S_{\mathbf{R}+d_x}^{p'} + (K_{yy}^{pp'} + \Gamma_{zx}^{pp'}) S_{\mathbf{R}}^p S_{\mathbf{R}+d_y}^{p'} + (K_{zz}^{pp'} + \Gamma_{xy}^{pp'}) S_{\mathbf{R}}^p S_{\mathbf{R}+d_z}^{p'}] \\ & + \sum_{\mathbf{R} \in b} [(K_{xx}^{pp'} + \Gamma_{yz}^{pp'}) S_{\mathbf{R}}^p S_{\mathbf{R}-d_x}^{p'} + (K_{yy}^{pp'} + \Gamma_{zx}^{pp'}) S_{\mathbf{R}}^p S_{\mathbf{R}-d_y}^{p'} + (K_{zz}^{pp'} + \Gamma_{xy}^{pp'}) S_{\mathbf{R}}^p S_{\mathbf{R}-d_z}^{p'}] \\ & \left. + \sum_{\mathbf{R} \in d} [(K_{xx}^{\bar{p}p'} + \Gamma_{yz}^{\bar{p}p'}) S_{\mathbf{R}}^p S_{\mathbf{R}-d_x}^{p'} + (K_{yy}^{\bar{p}p'} + \Gamma_{zx}^{\bar{p}p'}) S_{\mathbf{R}}^p S_{\mathbf{R}-d_y}^{p'} + (K_{zz}^{\bar{p}p'} + \Gamma_{xy}^{\bar{p}p'}) S_{\mathbf{R}}^p S_{\mathbf{R}-d_z}^{p'}] \right\}. \quad (\text{B1}) \end{aligned}$$

Here the coefficients $K_{\alpha\beta}^{pp'}$ and $\Gamma_{\alpha\beta}^{pp'}$ are defined in Eqs. (55) and (57) of the main text. In general, all these coefficients are complex and the coefficients in the c -sublattice sum (second line) are the complex conjugates of the coefficients in the a -sublattice sum (first line); similarly, the coefficients in the d -sublattice sum (last line) are the complex conjugates of the coefficients in the b -sublattice sum (third line). It turns out, however, that for $\Gamma = K > 0$, the imaginary parts of all coefficients in the sums $K_{\alpha\beta}^{pp'} + \Gamma_{\alpha\beta}^{pp'}$ cancel, so that the coefficients in the a sum are identical to the coefficients in the c sum, while the coefficients in the b -sum match those of the d sum. As a consequence, it is sufficient to work only with two sublattices $A = a \cup c$ and $B = b \cup d$ in this case. By explicitly evaluating the coefficients for $\Gamma = K > 0$ using Eqs. (20) and (51), we obtain

$$\begin{aligned} \mathcal{H}_\perp^K + \mathcal{H}_\perp^\Gamma = & \frac{K}{8} \left\{ \sum_{\mathbf{R} \in A} \left[\frac{3}{2} (S_{\mathbf{R}}^+ S_{\mathbf{R}+d_x}^- + S_{\mathbf{R}}^+ S_{\mathbf{R}+d_y}^-) - 2S_{\mathbf{R}}^+ S_{\mathbf{R}+d_z}^- - \frac{1}{2} (S_{\mathbf{R}}^+ S_{\mathbf{R}+d_x}^+ + S_{\mathbf{R}}^+ S_{\mathbf{R}+d_y}^+) + \text{H.c.} \right] \right. \\ & \left. + \sum_{\mathbf{R} \in B} \left[\frac{3}{2} (S_{\mathbf{R}}^+ S_{\mathbf{R}-d_x}^- + S_{\mathbf{R}}^+ S_{\mathbf{R}-d_y}^-) - 2S_{\mathbf{R}}^+ S_{\mathbf{R}-d_z}^- - \frac{1}{2} (S_{\mathbf{R}}^+ S_{\mathbf{R}-d_x}^+ + S_{\mathbf{R}}^+ S_{\mathbf{R}-d_y}^+) + \text{H.c.} \right] \right\}. \quad (\text{B2}) \end{aligned}$$

Actually, keeping in mind that the nearest-neighbor vectors \mathbf{d}_α connect different sublattices and shifting $\mathbf{R}_b - \mathbf{d}_\alpha = \mathbf{R}_a$ (where the subscript indicates the sublattice) in the second line, we see that the contribution from the two lines in Eq. (B2) are identical, so that in the special case $\Gamma = K > 0$ we may write

$$\mathcal{H}_\perp^K + \mathcal{H}_\perp^\Gamma = \frac{K}{4} \sum_{\mathbf{R} \in A} \left[\frac{3}{2} (S_{\mathbf{R}}^+ S_{\mathbf{R}+d_x}^- + S_{\mathbf{R}}^+ S_{\mathbf{R}+d_y}^-) - 2S_{\mathbf{R}}^+ S_{\mathbf{R}+d_z}^- - \frac{1}{2} (S_{\mathbf{R}}^+ S_{\mathbf{R}+d_x}^+ + S_{\mathbf{R}}^+ S_{\mathbf{R}+d_y}^+) + \text{H.c.} \right]. \quad (\text{B3})$$

In the special case $\Gamma = K$, it is, therefore, possible to diagonalize the quadratic magnon Hamiltonian by introducing only two sublattices, which simplifies the calculation of magnon spectrum and the construction of the Bogoliubov transformation. After expressing spin operators in terms of the Holstein-Primakoff bosons and retaining all terms quadratic in bosons, we find that the Hamiltonian (1) with additional next-next nearest-neighbor Heisenberg exchange J_3 leads to the following quadratic boson Hamiltonian for $\Gamma = K > 0$:

$$\begin{aligned} \mathcal{H}_2 = & (3J_3 - J + 2K)S \sum_{\mathbf{R}} a_{\mathbf{R}}^\dagger a_{\mathbf{R}} + JS \sum_{\mathbf{R} \in A} [a_{\mathbf{R}}^\dagger a_{\mathbf{R}+d_x} + a_{\mathbf{R}}^\dagger a_{\mathbf{R}+d_y} + a_{\mathbf{R}}^\dagger a_{\mathbf{R}+d_z} + \text{H.c.}] + J_3S \sum_{\mathbf{R} \in A} \sum_{\alpha=x,y,z} [a_{\mathbf{R}}^\dagger a_{\mathbf{R}-d_\alpha} + \text{H.c.}] \\ & + KS \sum_{\mathbf{R} \in A} \left[\frac{3}{4} (a_{\mathbf{R}}^\dagger a_{\mathbf{R}+d_x} + a_{\mathbf{R}}^\dagger a_{\mathbf{R}+d_y}) - a_{\mathbf{R}}^\dagger a_{\mathbf{R}+d_z} - \frac{1}{4} (a_{\mathbf{R}}^\dagger a_{\mathbf{R}+d_x} + a_{\mathbf{R}}^\dagger a_{\mathbf{R}+d_y}) + \text{H.c.} \right]. \end{aligned} \quad (\text{B4})$$

Defining

$$a_{\mathbf{R}} = \sqrt{\frac{2}{N}} \sum_{\mathbf{k}} e^{i\mathbf{k} \cdot \mathbf{R}} a_{\mathbf{k}}, \quad \mathbf{R} \in A, \quad (\text{B5a})$$

$$= \sqrt{\frac{2}{N}} \sum_{\mathbf{k}} e^{i\mathbf{k} \cdot \mathbf{R}} b_{\mathbf{k}}, \quad \mathbf{R} \in B, \quad (\text{B5b})$$

where the sums are over the first Brillouin zone of the honeycomb lattice, and N is the total number of lattice sites, we obtain

$$\begin{aligned} \mathcal{H}_2 = & -JS \sum_{\mathbf{k}} \{A(a_{\mathbf{k}}^\dagger a_{\mathbf{k}} + b_{\mathbf{k}}^\dagger b_{\mathbf{k}}) \\ & + [B_{\mathbf{k}} a_{\mathbf{k}}^\dagger b_{\mathbf{k}} - C_{\mathbf{k}} a_{\mathbf{k}}^\dagger b_{-\mathbf{k}}^\dagger + \text{H.c.}]\}, \end{aligned} \quad (\text{B6})$$

where

$$A = 1 - \frac{2K}{J} - \frac{3J_3}{J}, \quad (\text{B7a})$$

$$B_{\mathbf{k}} = -\left(1 + \frac{3K}{4J}\right) \gamma_{\mathbf{k}}^{xy} + \frac{K}{J} \gamma_{\mathbf{k}}^z, \quad (\text{B7b})$$

$$C_{\mathbf{k}} = -\frac{K}{4J} \gamma_{\mathbf{k}}^{xy} + \gamma_{\mathbf{k}}^z + \frac{3J_3}{J} \gamma_{\mathbf{k}}^{(3)}, \quad (\text{B7c})$$

with

$$\gamma_{\mathbf{k}}^{xy} = e^{i\mathbf{k} \cdot \mathbf{d}_x} + e^{i\mathbf{k} \cdot \mathbf{d}_y}, \quad (\text{B8a})$$

$$\gamma_{\mathbf{k}}^z = e^{i\mathbf{k} \cdot \mathbf{d}_z}, \quad (\text{B8b})$$

$$\gamma_{\mathbf{k}}^{(3)} = \frac{1}{3} \sum_{\alpha=x,y,z} e^{-2i\mathbf{k} \cdot \mathbf{d}_\alpha}. \quad (\text{B8c})$$

Obviously, the Hamiltonian (B6) is of the form (A1) with $f = 2$ boson flavors, so that we could use Colpa's algorithm to calculate magnon spectrum. The matrices $\mathbf{A}_{\mathbf{k}}$ and $\mathbf{B}_{\mathbf{k}}$ in this case are given by

$$\mathbf{A}_{\mathbf{k}} = \begin{pmatrix} A_{\mathbf{k}}^{aa} & A_{\mathbf{k}}^{ab} \\ A_{\mathbf{k}}^{ba} & A_{\mathbf{k}}^{bb} \end{pmatrix} = (-JS) \begin{pmatrix} A & B_{\mathbf{k}} \\ B_{\mathbf{k}}^* & A \end{pmatrix}, \quad (\text{B9})$$

$$\mathbf{B}_{\mathbf{k}} = \begin{pmatrix} B_{\mathbf{k}}^{aa} & B_{\mathbf{k}}^{ab} \\ B_{\mathbf{k}}^{ba} & B_{\mathbf{k}}^{bb} \end{pmatrix} = JS \begin{pmatrix} 0 & C_{\mathbf{k}} \\ C_{\mathbf{k}}^* & 0 \end{pmatrix}. \quad (\text{B10})$$

We have not been able to find in the existing literature an explicit analytic construction of the Bogoliubov transformation that would diagonalize the quadratic boson Hamiltonian (B6) in a general case of noncommuting matrices $\mathbf{A}_{\mathbf{k}}$ and $\mathbf{B}_{\mathbf{k}}$. We, therefore, provide an explicit construct of such a transformation using the Hermitian-field method developed in Sec. IV A and in Sec. V B instead of the Colpa's approach.

First of all, we note that in the case of our interest, the matrices satisfy $\mathbf{A}_{\mathbf{k}} = \mathbf{A}_{-\mathbf{k}}^*$ and $\mathbf{B}_{\mathbf{k}} = \mathbf{B}_{-\mathbf{k}}^*$, so that the matrix $\mathbf{W}_{\mathbf{k}}$ defined in Eq. (93c) vanishes identically. Then, the magnon spectrum can be obtained from the roots of [see Eq. (112) of the main text]

$$\det(\mathbf{T}_{\mathbf{k}} \mathbf{V}_{\mathbf{k}} - \omega^2 \mathbf{1}) = 0, \quad (\text{B11})$$

where

$$\mathbf{T}_{\mathbf{k}} = \mathbf{A}_{\mathbf{k}} - \mathbf{B}_{\mathbf{k}} = (-JS) \begin{pmatrix} A & B_{\mathbf{k}} + C_{\mathbf{k}} \\ B_{\mathbf{k}}^* + C_{\mathbf{k}}^* & A \end{pmatrix}, \quad (\text{B12})$$

$$\mathbf{V}_{\mathbf{k}} = \mathbf{A}_{\mathbf{k}} + \mathbf{B}_{\mathbf{k}} = (-JS) \begin{pmatrix} A & B_{\mathbf{k}} - C_{\mathbf{k}} \\ B_{\mathbf{k}}^* - C_{\mathbf{k}}^* & A \end{pmatrix}. \quad (\text{B13})$$

Equation (B11) can be reduced to the biquadratic equation

$$\begin{aligned} 0 = & \left(\frac{\omega^2}{(JS)^2} \right)^2 - 2[A^2 + |B_{\mathbf{k}}|^2 - |C_{\mathbf{k}}|^2] \frac{\omega^2}{(JS)^2} + [A^2 + |B_{\mathbf{k}}|^2 \\ & - |C_{\mathbf{k}}|^2]^2 - (B_{\mathbf{k}} C_{\mathbf{k}}^* - B_{\mathbf{k}}^* C_{\mathbf{k}})^2 - 4A^2 |B_{\mathbf{k}}|^2, \end{aligned} \quad (\text{B14})$$

which has positive roots

$$\omega_{\mathbf{k}\pm} = |J|S \sqrt{A^2 + |B_{\mathbf{k}}|^2 - |C_{\mathbf{k}}|^2 \pm R_{\mathbf{k}}}, \quad (\text{B15})$$

with

$$\begin{aligned} R_{\mathbf{k}} = & \sqrt{4A^2 |B_{\mathbf{k}}|^2 + (B_{\mathbf{k}} C_{\mathbf{k}}^* - B_{\mathbf{k}}^* C_{\mathbf{k}})^2} \\ = & 2\sqrt{A^2 |B_{\mathbf{k}}|^2 - [\text{Im}(B_{\mathbf{k}} C_{\mathbf{k}}^*)]^2}. \end{aligned} \quad (\text{B16})$$

Keeping in mind that in the two-sublattice approach the momentum \mathbf{k} belongs to the first Brillouin zone of the honeycomb lattice (black dashed hexagon in Fig. 6), while in the four-sublattice approach the corresponding first Brillouin zone is only half as large (green dashed rectangle in Fig. 6), we see that the magnon spectrum $\{\omega_{\mathbf{k}+}, \omega_{\mathbf{k}-}\}$ obtained in the

two-sublattice approach is indeed identical to the magnon spectrum $\{\omega_{k+}^+, \omega_{k+}^-, \omega_{k-}^+, \omega_{k-}^-\}$ obtained in the four-sublattice approach, see Eqs. (121) and (122).

To construct the explicit Bogoliubov transformation that diagonalizes the Hamiltonian (B6), we use the Hermitian field algorithm described in Sec. VB, which consists of the following steps: (1) calculate the square root $\mathbf{T}_k^{1/2}$ of the “kinetic energy matrix” \mathbf{T}_k and its inverse $\mathbf{T}_k^{-1/2}$; (2) calculate the transformed “potential energy matrix” $\tilde{\mathbf{V}}_k = \mathbf{T}_k^{1/2} \mathbf{V}_k \mathbf{T}_k^{1/2}$; (3) calculate the unitary matrix \mathbf{S}_k that diagonalizes $\tilde{\mathbf{V}}_k$:

$$\mathbf{S}_k \tilde{\mathbf{V}}_k \mathbf{S}_k^\dagger = \Omega_k^2 \text{ diagonal.} \quad (\text{B17})$$

(4) Then, the two-flavor Bogoliubov transformation to the new operators b_{k1} and b_{k2} that diagonalize the Hamiltonian can be expressed in terms of a single 4×4 block matrix \mathbb{T}_k as follows:

$$\begin{pmatrix} a_k \\ b_k \\ a_{-k}^\dagger \\ b_{-k}^\dagger \end{pmatrix} = \mathbb{T}_k \begin{pmatrix} b_{k1} \\ b_{k2} \\ b_{-k1}^\dagger \\ b_{-k2}^\dagger \end{pmatrix} = \begin{pmatrix} \mathbf{Q}_k & \mathbf{R}_k \\ \mathbf{R}_k & \mathbf{Q}_k \end{pmatrix} \begin{pmatrix} b_{k1} \\ b_{k2} \\ b_{-k1}^\dagger \\ b_{-k2}^\dagger \end{pmatrix}, \quad (\text{B18})$$

where the 2×2 blocks \mathbf{Q}_k and \mathbf{R}_k are given by

$$\mathbf{Q}_k = \frac{1}{2} [\mathbf{T}_k^{1/2} \mathbf{S}_k \Omega_k^{-1/2} + \mathbf{T}_k^{-1/2} \mathbf{S}_k \Omega_k^{1/2}], \quad (\text{B19})$$

$$\mathbf{R}_k = \frac{1}{2} [\mathbf{T}_k^{1/2} \mathbf{S}_k \Omega_k^{-1/2} - \mathbf{T}_k^{-1/2} \mathbf{S}_k \Omega_k^{1/2}]. \quad (\text{B20})$$

Let us now explicitly construct the matrices above for the specific two-flavor Hamiltonian \mathcal{H}_2 given in Eq. (B6). Writing the “kinetic energy matrix” \mathbf{T}_k introduced in Eq. (B12) as

$$\mathbf{T}_k = \begin{pmatrix} a & t_k \\ t_k^* & a \end{pmatrix}, \quad (\text{B21})$$

where $a = -JSA$ and $t_k = -JS(B_k + C_k)$, the eigenvalues and normalized eigenvectors of \mathbf{T}_k are

$$\mathbf{t}_{k+} = \frac{1}{\sqrt{2}} \begin{pmatrix} \lambda_k \\ 1 \end{pmatrix}, \quad \text{eigenvalue } a + |t_k|, \quad (\text{B22})$$

$$\mathbf{t}_{k-} = \frac{1}{\sqrt{2}} \begin{pmatrix} -1 \\ \lambda_k^* \end{pmatrix}, \quad \text{eigenvalue } a - |t_k|, \quad (\text{B23})$$

where we have introduced the phase factor

$$\lambda_k = t_k / |t_k|. \quad (\text{B24})$$

The matrix \mathbf{T}_k is, therefore, diagonalized by the following unitary matrix:

$$\mathbf{U}_k = (\mathbf{t}_{k+}, \mathbf{t}_{k-}) = \frac{1}{\sqrt{2}} \begin{pmatrix} \lambda_k & -1 \\ 1 & \lambda_k^* \end{pmatrix}. \quad (\text{B25})$$

Explicitly,

$$\mathbf{U}_k^\dagger \mathbf{T}_k \mathbf{U}_k = \begin{pmatrix} a + |t_k| & 0 \\ 0 & a - |t_k| \end{pmatrix}. \quad (\text{B26})$$

We conclude that the square root of \mathbf{T}_k and its inverse can be written as

$$\begin{aligned} \mathbf{T}_k^{1/2} &= \mathbf{U}_k \begin{pmatrix} \sqrt{a + |t_k|} & 0 \\ 0 & \sqrt{a - |t_k|} \end{pmatrix} \mathbf{U}_k^\dagger \\ &= \begin{pmatrix} x_k & z_k \\ z_k^\dagger & x_k \end{pmatrix}, \end{aligned} \quad (\text{B27})$$

$$\mathbf{T}_k^{-1/2} = \frac{1}{\sqrt{a^2 - |t_k|^2}} \begin{pmatrix} x_k & -z_k \\ -z_k^* & x_k \end{pmatrix}, \quad (\text{B28})$$

where

$$x_k = \frac{1}{2} [\sqrt{a + |t_k|} + \sqrt{a - |t_k|}], \quad (\text{B29})$$

$$z_k = \frac{\lambda_k}{2} [\sqrt{a + |t_k|} - \sqrt{a - |t_k|}], \quad (\text{B30})$$

and we have used $x_k^2 - |z_k|^2 = \sqrt{a^2 - |t_k|^2}$. Writing

$$\mathbf{V}_k = \begin{pmatrix} a & v_k \\ v_k^* & a \end{pmatrix}, \quad (\text{B31})$$

where $v_k = -JS(B_k - C_k)$, the transformed “potential energy matrix” can be written as

$$\tilde{\mathbf{V}}_k = \mathbf{T}_k^{1/2} \mathbf{V}_k \mathbf{T}_k^{1/2} = \begin{pmatrix} \tilde{a}_k & \tilde{v}_k \\ \tilde{v}_k^* & \tilde{a}_k \end{pmatrix}, \quad (\text{B32})$$

with

$$\begin{aligned} \tilde{a}_k &= a(x_k^2 + |z_k|^2) + (v_k z_k^* + v_k^* z_k) x_k \\ &= (JS)^2 [A^2 + |B_k|^2 - |C_k|^2], \end{aligned} \quad (\text{B33})$$

$$\begin{aligned} \tilde{v}_k &= v_k x_k^2 + v_k^* z_k^2 + 2ax_k z_k \\ &= \lambda_k [a + \text{Re}(v_k \lambda_k^*) + i\sqrt{a^2 - |t_k|^2} \text{Im}(v_k \lambda_k^*)]. \end{aligned} \quad (\text{B34})$$

In terms of the dimensionless coefficients B_k and C_k defined above we can write

$$\begin{aligned} \text{Re}(v_k \lambda_k^*) &= \frac{1}{2} [v_k \lambda_k^* + v_k^* \lambda_k] \\ &= \frac{(JS)^2}{|t_k|} (|B_k|^2 - |C_k|^2) \\ &= |J|S \frac{|B_k|^2 - |C_k|^2}{|B_k + C_k|} \end{aligned} \quad (\text{B35})$$

and

$$\begin{aligned} \text{Im}(v_k \lambda_k^*) &= \frac{1}{2i} [v_k \lambda_k^* - v_k^* \lambda_k] \\ &= \frac{(JS)^2}{i|t_k|} (B_k C_k^* - B_k^* C_k) \\ &= 2|J|S \frac{\text{Im}(B_k C_k^*)}{|B_k + C_k|}. \end{aligned} \quad (\text{B36})$$

By construction, the eigenvalues of the Hermitian matrix $\tilde{\mathbf{V}}_k$ are the squares $\omega_{k\pm}^2$ of the spin-wave dispersions given in Eq. (B15),

$$\omega_{k\pm}^2 = \tilde{a}_k \pm |\tilde{v}_k| = (JS)^2 [A^2 + |B_k|^2 - |C_k|^2] \pm R_k, \quad (\text{B37})$$

where R_k is given in Eq. (B16). To see explicitly that indeed $|\tilde{v}_k| = R_k$, we take the squared absolute value of Eq. (B34) and obtain

$$\begin{aligned} |\tilde{v}_k|^2 &= a^2 [|t_k| + \text{Re}(v_k \lambda_k^*)]^2 + (a^2 - |t_k|^2) [\text{Im}(v_k \lambda_k^*)]^2 \\ &= a^2 [|t_k|^2 + |v_k|^2 + 2\text{Re}(v_k t_k^*)] - [\text{Im}(v_k t_k^*)]^2 \\ &= 4A^2 |B_k|^2 - 4[\text{Im}(B_k C_k^*)]^2 = R_k^2. \end{aligned} \quad (\text{B38})$$

The normalized eigenvectors and eigenvalues of the matrix $\tilde{\mathbf{V}}_k$ are

$$\mathbf{v}_{k+} = \frac{1}{\sqrt{2}} \begin{pmatrix} \tilde{\lambda}_k \\ 1 \end{pmatrix}, \quad \text{eigenvalue } \tilde{a}_k + |\tilde{v}_k| = \omega_{k+}^2, \quad (\text{B39})$$

$$\mathbf{v}_{k-} = \frac{1}{\sqrt{2}} \begin{pmatrix} -1 \\ \tilde{\lambda}_k^* \end{pmatrix}, \quad \text{eigenvalue } \tilde{a}_k - |\tilde{v}_k| = \omega_{k-}^2, \quad (\text{B40})$$

where we have introduced the phase factor

$$\tilde{\lambda}_k = \tilde{v}_k / |\tilde{v}_k|. \quad (\text{B41})$$

With

$$\mathbf{S}_k^\dagger = (\mathbf{v}_{k+}, \mathbf{v}_{k-}) = \frac{1}{\sqrt{2}} \begin{pmatrix} \tilde{\lambda}_k & -1 \\ 1 & \tilde{\lambda}_k^* \end{pmatrix} \quad (\text{B42})$$

we obtain

$$\mathbf{S}_k \tilde{\mathbf{V}}_k \mathbf{S}_k^\dagger = \mathbf{\Omega}_k^2 = \begin{pmatrix} \omega_{k+}^2 & 0 \\ 0 & \omega_{k-}^2 \end{pmatrix}. \quad (\text{B43})$$

With that, all matrices that are necessary to calculate the transformation matrix \mathbb{T}_k in Eq. (B18) are now explicitly constructed.

APPENDIX C: APPENDIX C: TECHNICAL DETAILS OF THE CALCULATION OF THE MAGNON DAMPING FOR $\Gamma = K$

In this Appendix, we give additional technical details of our calculation of the magnon damping for $\Gamma = K$ using the four-sublattice formulation presented in Sec. V.

1. Propagator matrices at $\Gamma = K > 0$

For $\Gamma = K > 0$, the parameters r and s defined in Eqs. (21) and (22) have the values $r = 1$ and $s = -2$. Choosing the gauge angle ϕ introduced in Eq. (33) as $\phi = 0$, the matrix elements of the quadratic Hamiltonian defined in Eqs. (67)–(71) reduce to

$$\lambda = S(-J + 2K + 3J_3), \quad (\text{C1a})$$

$$\alpha_k = S \left(J + \frac{3}{4}K \right) (e^{ik \cdot d_x} + e^{ik \cdot d_y}), \quad (\text{C1b})$$

$$\beta_k = -SK e^{ik \cdot d_z}, \quad (\text{C1c})$$

$$\mu_k = SJ e^{ik \cdot d_z} + SJ_3 \sum_{\alpha=x,y,z} e^{-2ik \cdot d_\alpha}, \quad (\text{C1d})$$

$$\nu_k = -\frac{1}{4}SK (e^{ik \cdot d_x} + e^{ik \cdot d_y}). \quad (\text{C1e})$$

2. Transformation matrices

For the construction of the multiflavor Bogoliubov transformation by means of the Hermitian-field approach of Sec. VB, we have to calculate the square root of the Hermitian matrix \mathbf{T}_k in Eq. (119) for the matrix elements given in Eqs. (C1). From the definition (132), we find that the square

root of the ‘‘kinetic energy matrix’’ has the structure

$$\mathbf{T}_k^{1/2} = \begin{pmatrix} t_{1,k} & t_{3,k} & t_{2,k} & t_{4,k} \\ t_{3,k}^* & t_{1,k} & t_{4,k}^* & t_{2,k} \\ t_{2,k}^* & t_{4,k} & t_{1,k} & t_{3,k} \\ t_{4,k}^* & t_{2,k} & t_{3,k}^* & t_{1,k} \end{pmatrix}. \quad (\text{C2})$$

Defining

$$\eta_{1,k} = \alpha_k + \beta_k - \mu_k - \nu_k, \quad (\text{C3a})$$

$$\eta_{2,k} = \alpha_k - \beta_k + \mu_k - \nu_k, \quad (\text{C3b})$$

the matrix elements of $\mathbf{T}_k^{1/2}$ can be written as

$$t_{1,k} = \frac{1}{4} \{ \sqrt{\lambda - |\eta_{1,k}|} + \sqrt{\lambda + |\eta_{1,k}|} + \sqrt{\lambda - |\eta_{2,k}|} + \sqrt{\lambda + |\eta_{2,k}|} \}, \quad (\text{C4a})$$

$$t_{2,k} = \frac{1}{4} \{ \sqrt{\lambda - |\eta_{1,k}|} + \sqrt{\lambda + |\eta_{1,k}|} - \sqrt{\lambda - |\eta_{2,k}|} - \sqrt{\lambda + |\eta_{2,k}|} \}, \quad (\text{C4b})$$

$$t_{3,k} = \frac{1}{4} \{ -\text{sgn}(\eta_{1,k}) [\sqrt{\lambda - |\eta_{1,k}|} - \sqrt{\lambda + |\eta_{1,k}|}] + \text{sgn}(\eta_{2,k}) [\sqrt{\lambda - |\eta_{2,k}|} - \sqrt{\lambda + |\eta_{2,k}|}] \}, \quad (\text{C4c})$$

$$t_{4,k} = \frac{1}{4} \{ -\text{sgn}(\eta_{1,k}) [\sqrt{\lambda - |\eta_{1,k}|} - \sqrt{\lambda + |\eta_{1,k}|}] - \text{sgn}(\eta_{2,k}) [\sqrt{\lambda - |\eta_{2,k}|} - \sqrt{\lambda + |\eta_{2,k}|}] \}. \quad (\text{C4d})$$

For the notational simplicity, we define

$$\text{sgn}(z) = z/|z| \quad (\text{C5})$$

for any complex number $z \neq 0$. For the unitary matrix \mathbf{S}_k defined in Eq. (137) that diagonalizes the modified ‘‘potential energy matrix’’ $\tilde{\mathbf{V}}_k$, we find

$$\mathbf{S}_k = \frac{1}{2} \begin{pmatrix} s_{1,k} & -s_{1,k} & -s_{2,k} & s_{2,k} \\ -1 & -1 & 1 & 1 \\ -s_{1,k} & s_{1,k} & -s_{2,k} & s_{2,k} \\ 1 & 1 & 1 & 1 \end{pmatrix}, \quad (\text{C6})$$

with

$$s_{1,k} = \text{sgn}(\eta_{2,k}) \text{sgn}(2\lambda \text{Re}\{(-\alpha_k + \beta_k) \text{sgn}(\eta_{2,k}^*)\}) + 2i\sqrt{\lambda^2 - |\eta_{2,k}|^2} \text{Im}\{(-\alpha_k + \beta_k) \text{sgn}(\eta_{2,k}^*)\}, \quad (\text{C7a})$$

$$s_{2,k} = \text{sgn}(\eta_{1,k}) \text{sgn}(2\lambda \text{Re}\{(\alpha_k + \beta_k) \text{sgn}(\eta_{1,k}^*)\}) + 2i\sqrt{\lambda^2 - |\eta_{1,k}|^2} \text{Im}\{(\alpha_k + \beta_k) \text{sgn}(\eta_{1,k}^*)\}. \quad (\text{C7b})$$

The diagonal matrix $\mathbf{\Omega}_k$ introduced in Eq. (137) contains the magnon energies given in Eqs. (121) and (122) with the following ordering:

$$\mathbf{\Omega}_k = \begin{pmatrix} \omega_{k,-}^- & 0 & 0 & 0 \\ 0 & \omega_{k,+}^- & 0 & 0 \\ 0 & 0 & \omega_{k,-}^+ & 0 \\ 0 & 0 & 0 & \omega_{k,+}^+ \end{pmatrix}. \quad (\text{C8})$$

Given the matrices $\mathbf{T}_k^{1/2}$ and \mathbf{S}_k , we can explicitly construct the matrices $\mathbf{L}_k = \mathbf{T}_k^{1/2} \mathbf{S}_k$ and $\mathbf{Y}_k = \mathbf{T}_k^{-1/2} \mathbf{S}_k$, which are the building blocks of the 4×4 matrices \mathbf{Q}_k and \mathbf{R}_k that appear in the 8×8 Bogoliubov transformation matrix \mathbb{T}_k in Eq. (143). We, thus, obtain

$$\mathbf{L}_k = \mathbf{T}_k^{1/2} \mathbf{S}_k = \begin{pmatrix} s_{1,k} \vartheta_k^+ - \vartheta_k^- & -\vartheta_k^- - s_{1,k} \vartheta_k^+ & -\varphi_k^- - s_{2,k} \varphi_k^+ & s_{2,k} \varphi_k^+ - \varphi_k^- \\ s_{1,k} \vartheta_k^{*-} - \vartheta_k^+ & -\vartheta_k^+ - s_{1,k} \vartheta_k^{*-} & \varphi_k^+ + s_{2,k} \varphi_k^{*-} & \varphi_k^+ - s_{2,k} \varphi_k^{*-} \\ \vartheta_k^- - s_{1,k} \vartheta_k^+ & \vartheta_k^- + s_{1,k} \vartheta_k^+ & -\varphi_k^- - s_{2,k} \varphi_k^+ & s_{2,k} \varphi_k^+ - \varphi_k^- \\ \vartheta_k^+ - s_{1,k} \vartheta_k^{*-} & \vartheta_k^+ + s_{1,k} \vartheta_k^{*-} & \varphi_k^+ + s_{2,k} \varphi_k^{*-} & \varphi_k^+ - s_{2,k} \varphi_k^{*-} \end{pmatrix} \quad (\text{C9})$$

and

$$\mathbf{Y}_k = \mathbf{T}_k^{-1/2} \mathbf{S}_k = \begin{pmatrix} q_{2,k}(\vartheta_k^- + s_{1,k} \vartheta_k^+) & q_{2,k}(\vartheta_k^- - s_{1,k} \vartheta_k^+) & q_{1,k}(\varphi_k^- - s_{2,k} \varphi_k^+) & q_{1,k}(\varphi_k^- + s_{2,k} \varphi_k^+) \\ -q_{2,k}(\vartheta_k^+ + s_{1,k} \vartheta_k^{*-}) & -q_{2,k}(\vartheta_k^+ - s_{1,k} \vartheta_k^{*-}) & q_{1,k}(\varphi_k^+ - s_{2,k} \varphi_k^{*-}) & q_{1,k}(\varphi_k^+ + s_{2,k} \varphi_k^{*-}) \\ -q_{2,k}(\vartheta_k^- + s_{1,k} \vartheta_k^+) & -q_{2,k}(\vartheta_k^- - s_{1,k} \vartheta_k^+) & q_{1,k}(\varphi_k^- - s_{2,k} \varphi_k^+) & q_{1,k}(\varphi_k^- + s_{2,k} \varphi_k^+) \\ q_{2,k}(\vartheta_k^+ + s_{1,k} \vartheta_k^{*-}) & q_{2,k}(\vartheta_k^+ - s_{1,k} \vartheta_k^{*-}) & q_{1,k}(\varphi_k^+ - s_{2,k} \varphi_k^{*-}) & q_{1,k}(\varphi_k^+ + s_{2,k} \varphi_k^{*-}) \end{pmatrix}, \quad (\text{C10})$$

where

$$q_{i,k} = \frac{1}{\sqrt{\lambda^2 - |\eta_{i,k}|^2}}, \quad i = 1, 2, \quad (\text{C11})$$

and

$$\varphi_k^+ = \frac{1}{4}(\sqrt{\lambda - |\eta_{1,k}|} + \sqrt{\lambda + |\eta_{1,k}|}),$$

$$\varphi_k^- = \frac{1}{4}(\sqrt{\lambda - |\eta_{1,k}|} - \sqrt{\lambda + |\eta_{1,k}|}) \text{sgn}(\eta_{1,k}), \quad (\text{C12a})$$

$$\vartheta_k^+ = \frac{1}{4}(\sqrt{\lambda - |\eta_{2,k}|} + \sqrt{\lambda + |\eta_{2,k}|}),$$

$$\vartheta_k^- = \frac{1}{4}(\sqrt{\lambda - |\eta_{2,k}|} - \sqrt{\lambda + |\eta_{2,k}|}) \text{sgn}(\eta_{2,k}). \quad (\text{C12b})$$

3. Cubic vertices at $\Gamma = K > 0$

For the calculation of magnon damping in Sec. VD, we need the cubic interaction vertices in the Bogoliubov basis, in which the quadratic part of the bosonized Hamiltonian is diagonal. Therefore we first have to derive the cubic part of the Hamiltonian in the Holstein-Primakoff basis. The corresponding Euclidean action is given in Eq. (146). It is convenient to symmetrize the vertices and write the action in

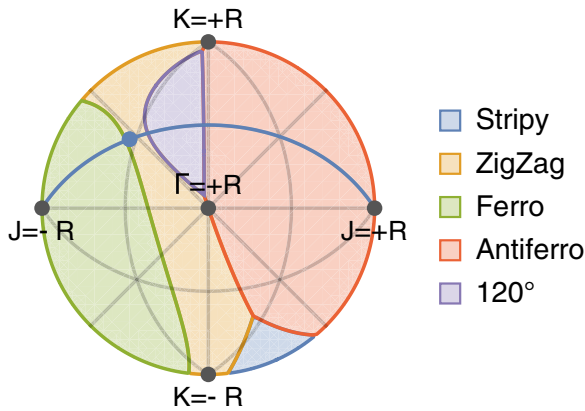


FIG. 14. Phase diagram of the Kitaev-Heisenberg- Γ model for $J^2 + K^2 + \Gamma^2 = 177 \text{ meV}^2$ with additional third nearest-neighbor Heisenberg exchange $J_3 = 0.5 \text{ meV}$. We use the same parametrization and projection as in Fig. 2(c). We highlight the line $\Gamma = K > 0$ and the point (D1) in the parameter space, for which the magnon damping is calculated.

the symmetrized form (148). In this notation, the following 48 vertices are nonzero,

$$\Gamma^{\bar{d}aa}(\mathbf{1}, \mathbf{2}, \mathbf{3}) = \Gamma^{\bar{d}a\bar{a}}(\mathbf{1}, \mathbf{2}, \mathbf{3}) = \Gamma^{da\bar{a}}(\mathbf{1}, \mathbf{2}, \mathbf{3})$$

$$= \Gamma^{\bar{d}aa}(\mathbf{1}, \mathbf{2}, \mathbf{3}) = -V_1, \quad (\text{C13a})$$

$$\Gamma^{\bar{a}da}(\mathbf{1}, \mathbf{2}, \mathbf{3}) = \Gamma^{\bar{a}d\bar{a}}(\mathbf{1}, \mathbf{2}, \mathbf{3}) = \Gamma^{ad\bar{a}}(\mathbf{1}, \mathbf{2}, \mathbf{3})$$

$$= \Gamma^{\bar{a}da}(\mathbf{1}, \mathbf{2}, \mathbf{3}) = -V_2, \quad (\text{C13b})$$

$$\Gamma^{\bar{a}ad}(\mathbf{1}, \mathbf{2}, \mathbf{3}) = \Gamma^{\bar{a}a\bar{d}}(\mathbf{1}, \mathbf{2}, \mathbf{3}) = \Gamma^{aad}(\mathbf{1}, \mathbf{2}, \mathbf{3})$$

$$= \Gamma^{\bar{a}ad}(\mathbf{1}, \mathbf{2}, \mathbf{3}) = -V_3, \quad (\text{C13c})$$

$$\Gamma^{\bar{c}bb}(\mathbf{1}, \mathbf{2}, \mathbf{3}) = \Gamma^{\bar{c}b\bar{b}}(\mathbf{1}, \mathbf{2}, \mathbf{3}) = \Gamma^{cb\bar{b}}(\mathbf{1}, \mathbf{2}, \mathbf{3})$$

$$= \Gamma^{\bar{c}bb}(\mathbf{1}, \mathbf{2}, \mathbf{3}) = e^{i(k_1+k_2+k_3) \cdot d_z} V_1^*, \quad (\text{C14a})$$

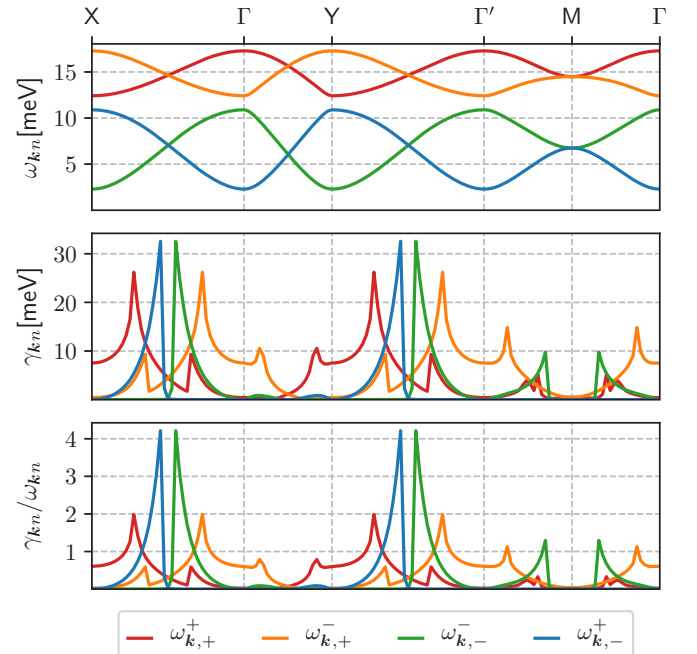


FIG. 15. Magnon damping in the Born approximation (164). (a) Magnon energies ω_{kn} , (b) magnon damping γ_{kn} , and (c) magnon damping rates, γ_{kn}/ω_{kn} , for the model parameters given in Eqs. (D1) and along the momentum path shown in Fig. 6. The color coding is the same as in Fig. 8.

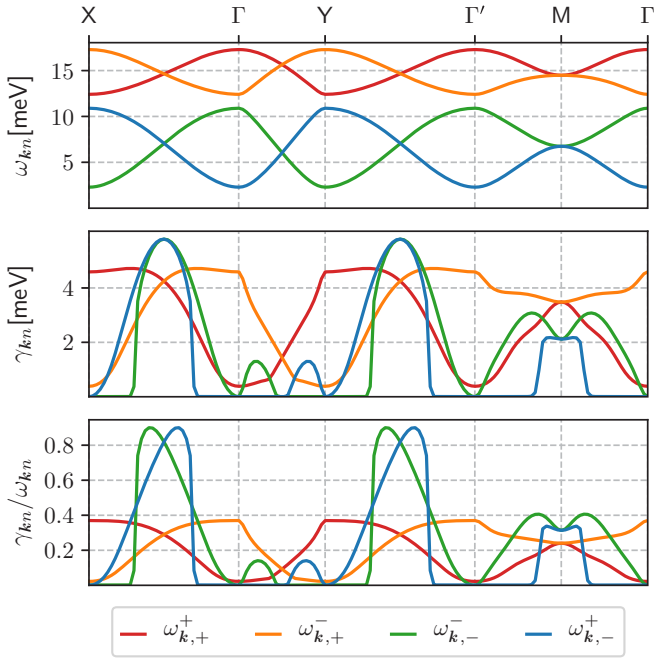


FIG. 16. Same as in Fig. 15 in the self-consistent iDE approximation (166).

$$\begin{aligned} \Gamma^{\bar{b}cb}(\mathbf{1}, \mathbf{2}, \mathbf{3}) &= \Gamma^{b\bar{c}\bar{b}}(\mathbf{1}, \mathbf{2}, \mathbf{3}) = \Gamma^{bc\bar{b}}(\mathbf{1}, \mathbf{2}, \mathbf{3}) \\ &= \Gamma^{\bar{b}cb}(\mathbf{1}, \mathbf{2}, \mathbf{3}) = e^{i(k_1+k_2+k_3)\cdot d_z} V_2^*, \end{aligned} \quad (\text{C14b})$$

$$\begin{aligned} \Gamma^{\bar{b}\bar{b}\bar{c}}(\mathbf{1}, \mathbf{2}, \mathbf{3}) &= \Gamma^{b\bar{b}\bar{c}}(\mathbf{1}, \mathbf{2}, \mathbf{3}) = \Gamma^{b\bar{b}c}(\mathbf{1}, \mathbf{2}, \mathbf{3}) \\ &= \Gamma^{\bar{b}\bar{b}c}(\mathbf{1}, \mathbf{2}, \mathbf{3}) = e^{i(k_1+k_2+k_3)\cdot d_z} V_3^*, \end{aligned} \quad (\text{C14c})$$

$$\begin{aligned} \Gamma^{\bar{b}\bar{c}c}(\mathbf{1}, \mathbf{2}, \mathbf{3}) &= \Gamma^{\bar{b}c\bar{c}}(\mathbf{1}, \mathbf{2}, \mathbf{3}) = \Gamma^{bc\bar{c}}(\mathbf{1}, \mathbf{2}, \mathbf{3}) \\ &= \Gamma^{b\bar{c}c}(\mathbf{1}, \mathbf{2}, \mathbf{3}) = e^{i(k_1+k_2+k_3)\cdot a_1} V_1, \end{aligned} \quad (\text{C15a})$$

$$\begin{aligned} \Gamma^{\bar{c}\bar{b}c}(\mathbf{1}, \mathbf{2}, \mathbf{3}) &= \Gamma^{c\bar{b}\bar{c}}(\mathbf{1}, \mathbf{2}, \mathbf{3}) = \Gamma^{cb\bar{c}}(\mathbf{1}, \mathbf{2}, \mathbf{3}) \\ &= \Gamma^{\bar{c}bc}(\mathbf{1}, \mathbf{2}, \mathbf{3}) = e^{i(k_1+k_2+k_3)\cdot a_1} V_2, \end{aligned} \quad (\text{C15b})$$

$$\begin{aligned} \Gamma^{\bar{c}\bar{c}\bar{b}}(\mathbf{1}, \mathbf{2}, \mathbf{3}) &= \Gamma^{c\bar{c}\bar{b}}(\mathbf{1}, \mathbf{2}, \mathbf{3}) = \Gamma^{c\bar{c}b}(\mathbf{1}, \mathbf{2}, \mathbf{3}) \\ &= \Gamma^{\bar{c}cb}(\mathbf{1}, \mathbf{2}, \mathbf{3}) = e^{i(k_1+k_2+k_3)\cdot a_1} V_3, \end{aligned} \quad (\text{C15c})$$

$$\begin{aligned} \Gamma^{\bar{a}\bar{d}\bar{d}}(\mathbf{1}, \mathbf{2}, \mathbf{3}) &= \Gamma^{\bar{a}d\bar{d}}(\mathbf{1}, \mathbf{2}, \mathbf{3}) = \Gamma^{ad\bar{d}}(\mathbf{1}, \mathbf{2}, \mathbf{3}) \\ &= \Gamma^{\bar{c}\bar{b}\bar{b}}(\mathbf{1}, \mathbf{2}, \mathbf{3}) = -e^{i(k_1+k_2+k_3)\cdot d_x} V_1^*, \end{aligned} \quad (\text{C16a})$$

$$\begin{aligned} \Gamma^{\bar{d}\bar{a}\bar{d}}(\mathbf{1}, \mathbf{2}, \mathbf{3}) &= \Gamma^{\bar{d}a\bar{d}}(\mathbf{1}, \mathbf{2}, \mathbf{3}) = \Gamma^{da\bar{d}}(\mathbf{1}, \mathbf{2}, \mathbf{3}) \\ &= \Gamma^{\bar{d}ad}(\mathbf{1}, \mathbf{2}, \mathbf{3}) = -e^{i(k_1+k_2+k_3)\cdot d_x} V_2^*, \end{aligned} \quad (\text{C16b})$$

$$\begin{aligned} \Gamma^{\bar{d}\bar{d}\bar{a}}(\mathbf{1}, \mathbf{2}, \mathbf{3}) &= \Gamma^{\bar{d}d\bar{a}}(\mathbf{1}, \mathbf{2}, \mathbf{3}) = \Gamma^{d\bar{d}a}(\mathbf{1}, \mathbf{2}, \mathbf{3}) \\ &= \Gamma^{\bar{d}da}(\mathbf{1}, \mathbf{2}, \mathbf{3}) = -e^{i(k_1+k_2+k_3)\cdot d_x} V_3^*, \end{aligned} \quad (\text{C16c})$$

where we have abbreviated the momentum labels \mathbf{k}_i by $i = \mathbf{1}, \mathbf{2}, \mathbf{3}$, and the interaction vertex V_k is defined in Eq. (88). For clarity, we have replaced the superscripts $\mu, \nu, \lambda \in \{1, 2, 3, 4, 5, 6, 7, 8\}$ by the associated field types $\{a, b, c, d, \bar{a}, \bar{b}, \bar{c}, \bar{d}\}$. Note that the cubic vertices are not periodic in the first magnetic Brillouin zone because of the Fourier transformation in (58). The corresponding vertices $\tilde{\Gamma}^{\mu\nu\lambda}(\mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3)$ in the Bogoliubov basis can be obtained from Eq. (152).

APPENDIX D: APPENDIX D: MAGNON DAMPING FOR $\Gamma = K$ AND SMALL $J_3 > 0$

The full analytical calculation of the matrix elements for the magnon-magnon interaction gives deviations in the magnon damping as compared with the constant matrix element approximation near certain symmetry points on the momentum plane, where the single-magnon modes become damped at all energies. This damping becomes even stronger if the values of the third-nearest-neighbor coupling J_3 are smaller than the other microscopic constants. In this Appendix, we present the numerical evaluation of the damping and of the neutron scattering intensity in this regime, for the following values of the microscopic parameters:

$$J = -8 \text{ meV}, \quad (\text{D1a})$$

$$K = \Gamma = 7 \text{ meV}, \quad (\text{D1b})$$

$$J_3 = 0.5 \text{ meV}. \quad (\text{D1c})$$

This set of parameters is shown in Fig. 14 as a blue dot along the $K = \Gamma$ line.

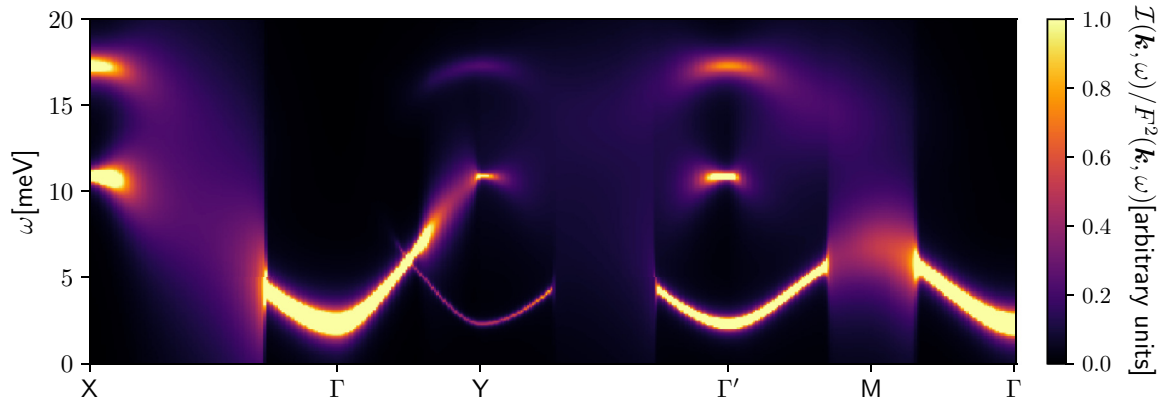


FIG. 17. The neutron-scattering intensity $\mathcal{I}(\mathbf{k}, \omega)$ normalized by the square of the atomic form factor as given in Eq. (194) with magnon lifetime effects in the iDE approximation given by Eq. (167), evaluated for the model parameters given in Eqs. (D1).

The magnon damping evaluated numerically in the Born approximation (as described in Sec. V E) for these parameters is presented in Fig. 15. The magnon damping evaluated numerically using the iDE approach (as described in Sec. V F) is presented in Fig. 16. The neutron scattering intensity evaluated numerically (as described in Sec. VI) is presented in

Fig. 17. The amplitude of the damping increases in the middle of the $X-\Gamma$ and $Y-\Gamma'$ lines as the ratio of J_3 to the other scales K , Γ , and J decreases. It results in very large broadening of the single magnon peaks in these areas of the momentum plane, while the single-magnon modes remain well-defined in all other areas of the momentum plane.

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