

## Epsilon-Near-Zero Substrate Engineering for Ultrathin-Film Perfect Absorbers

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(Received 28 March 2017; revised manuscript received 26 May 2017; published 12 July 2017)

Efficient suppression of reflection is a key requirement for perfect absorption of light. Recently, it has been shown that reflection can be effectively suppressed utilizing a single ultrathin film deposited on metals or polar materials featuring phonon resonances. The wavelength at which reflection can be fully suppressed is primarily determined by the nature of these substrates and is pinned to particular values near plasma or phonon resonances—the former typically in the ultraviolet or visible and the latter in the infrared. Here, we explicitly identify the required optical properties of films and substrates for the design of absorbing antireflection coatings based on ultrathin films. We find that completely suppressed reflection using films with thicknesses much smaller than the wavelength of light occurs within a spectral region where the real part of the refractive index of the substrate is  $n \lesssim 1$ , which is characteristic of materials with permittivity close to zero. We experimentally verify this condition by using an ultrathin vanadium dioxide film with dynamically tunable optical properties on several epsilon-near-zero materials, including aluminum-doped zinc oxide. By tailoring the plasma frequency of the aluminum-doped zinc oxide, we are able to tune the epsilon-near-zero point, thus achieving suppressed reflection and near-perfect absorption at wavelengths that continuously span the near-infrared and long-wave midinfrared ranges.

DOI: 10.1103/PhysRevApplied.8.014009

### I. INTRODUCTION

Enhancing the absorption of light is of great importance for applications including photovoltaics [1–5], biosensing [6], light detection [7], thermal imaging [8–10], and efficient light emission [11–14]. Unfortunately, materials featuring large optical absorption coefficients tend to be highly reflecting, due to the large impedance mismatch at interfaces with air or other transparent dielectrics, thus limiting the total amount of power absorbed. A well-known approach for suppressing interface reflections involves the use of single- and multilayer dielectric antireflection (AR) coatings [15]; however, these AR coatings are typically at least a quarter wavelength of light in thickness, which can become a limitation at longer wavelengths (e.g., in the mid and far infrared). Another approach involving gradient-index structures suffers from similar thickness limitations [16,17].

An alternative approach to suppress undesired reflections involves “metamaterial” or “plasmonic” absorbers, which feature strong narrow-band absorption resonances [8,18–28]. This type of device typically consists of a metallic back reflector, a thin dielectric spacer, and periodically patterned subwavelength resonators on the front and can achieve complete absorption resulting from critical coupling [29,30]. Nevertheless, such absorbers typically require complex designs and the use of sophisticated lithography techniques, limiting their scalability to large areas.

Recently, it has been shown that near-perfect optical absorption can be achieved using ultrathin lossy coatings on reflecting substrates, circumventing the “quarter-wavelength” lower limit on the thickness of unpatterned AR coatings [13,31–39]. This effect is enabled by the nontrivial phase shifts at the film interfaces, which can be modified by varying the degree of loss (quantified by the extinction coefficient  $\kappa$ ) in the film and substrate. These interface phase shifts contribute to the condition for destructive interference of the reflected light, which can then be achieved for thickness  $d$  much smaller than a quarter of the wavelength in the film.

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Over the past years, several material combinations have been successfully used to achieve near-perfect absorption in ultrathin absorbing coatings across the spectral range from visible to infrared. These include semiconductors like germanium (Ge), silicon (Si), and gallium arsenide, as well as metallic substrates like silver, gold, and aluminum, suitable for applications in the visible [32,33,35,36,39]. For example, Park *et al.* [35] demonstrate 98% absorption within a 12-nm-thin Ge layer on top of a silver substrate at a wavelength of  $\lambda = 625$  nm. Second, phase-transition materials like vanadium dioxide, semiconductors, and dielectrics on polar dielectric materials in their respective reststrahlen band region are suitable for the midinfrared and infrared spectral region [31,34]. Kats *et al.* [31] demonstrate near-perfect reflection and 90% absorption within a 180-nm vanadium dioxide (VO<sub>2</sub>) thin film, which is deposited on a sapphire substrate, at a wavelength of 11.8  $\mu\text{m}$  that corresponds to the reststrahlen band of sapphire. And third, dielectrics on highly doped semiconductors have been demonstrated for applications in the midinfrared [33]. As an example, Schlich and Spolenak [33] use a 165-nm-thin Ge coating, which is transparent in the midinfrared, to almost completely suppress the reflection of light from a highly *n*-doped silicon substrate at 5.6  $\mu\text{m}$ . The thickness of the Ge coating is about half the thickness of a conventional transparent AR coating on a comparable transparent substrate. Note that the effective optical properties of multilayer systems comprising sub-wavelength-thick dielectric and metallic layers can be utilized to create absorbing AR coatings [40].

Despite numerous recent experimental demonstrations of enhanced light absorption in ultrathin lossy films, the parameter space of possible substrate and film combinations has not been fully explored. Especially the spectral region not accessible with metallic and polar substrate materials has to be further explored. In this article, we introduce and experimentally verify a general strategy that facilitates the design of antireflection coatings and near-perfect absorbers based on ultrathin films. We identify that suppressed reflection using films with thicknesses much smaller than the wavelength of light can be achieved only if the real part of the refractive index of the substrate  $n$  is  $\lesssim 1$ , which is most commonly found in the vicinity of phononic or plasmonic resonances, where a crossover of the real permittivity from positive to negative results in an epsilon-near-zero (ENZ) condition [41]. Here, we want to explicitly highlight the use of tunable ENZ materials, such as the transparent conductive oxides, that enable applications at near-infrared wavelengths.

Our experimental verifications utilize subwavelength films of VO<sub>2</sub> grown on phononic and plasmonic substrates. The reversible insulator-to-metal transition in VO<sub>2</sub>, often considered for optical switching [42–44], provides access to a broad range of complex refractive-index values, especially in the mid-IR [45], allowing us to effectively perform

multiple experiments using the same sample. For this reason, VO<sub>2</sub> has been used to demonstrate ultrathin film absorbers in the past, using substrates such as sapphire [31,46] and noble metals [38]. However, these substrate choices tie the wavelength of operation to particular narrow bands.

By adjusting the plasma frequency of aluminum-doped zinc oxide (AZO), one of the most prominent ENZ materials [47–55], we demonstrate that the wavelength of suppressed reflection (and, thus, near-perfect absorption) can be arbitrarily selected within the near- and midinfrared ranges.

## II. MATERIALS AND METHODS

AZO substrates are fabricated using ion implantation. Undoped (001) zinc oxide (ZnO) single crystals are implanted at 500 °C with Al<sup>+</sup> ions with energies ranging from 30 to 350 keV, and various fluences, to achieve homogenous boxlike doping profiles that range 400 nm from the surface into the substrate with constant aluminum concentrations ( $c_{\text{Al}}$ ) ranging from  $1 \times 10^{19}$  to  $1 \times 10^{21}$  cm<sup>-3</sup> and a Gaussian doping tail that ranges an additional 200 nm into the substrate (compare the Appendix). The high-temperature Al<sup>+</sup> implantation is followed by thermal annealing in air for one hour at 700 °C. The annealing is necessary to reduce the number of lattice defects created by ion irradiation and to activate the majority of the aluminum dopants. No long-range diffusion of Al dopants is expected at these temperatures [56,57]. The optical properties of the ZnO and AZO substrates are determined by variable-angle spectroscopic ellipsometry (compare the Appendix).

VO<sub>2</sub> thin films are grown on (001) quartz (SiO<sub>2</sub>), (001) ZnO, and the ion-implanted (001) AZO substrates. The films are grown using radio-frequency magnetron sputtering from a V<sub>2</sub>O<sub>5</sub> target, with the temperature, pressure, and argon gas flow maintained at 450 °C, 5 mTorr, and 40 sccm, respectively. The same VO<sub>2</sub> growth recipe is used for all substrates, initially optimized for sapphire [58]. The resulting VO<sub>2</sub> film thickness is approximately 100 nm on ZnO and AZO and approximately 200 nm on SiO<sub>2</sub>.

The reflectance of each sample is investigated at near-normal incidence in the mid-IR, using a microscope (Bruker Hyperion 2000), attached to a Fourier-transform infrared spectrometer (Bruker Vertex 70). All spectra are collected at temperatures between 30 °C and 100 °C with increments of 1 °C.

## III. RESULTS AND DISCUSSION

### A. Suppression of light reflection

To identify film and substrate combinations that yield zero reflection, we calculate the reflectance of an asymmetric Fabry-Perot-type structure [inset in Fig. 1(a)] comprising a thin film with thickness  $d$  and refractive index  $\tilde{n}_f = n_f + i\kappa_f$ , sandwiched between air ( $\tilde{n}_0 = 1$ ) and a substrate with refractive index  $\tilde{n}_s = n_s + i\kappa_s$ . We

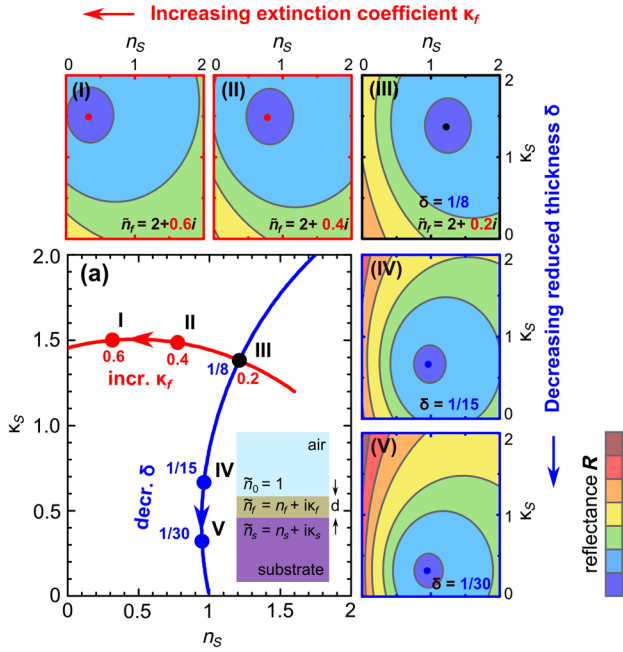


FIG. 1. The reflection of light at an interface between air and certain types of substrate can be completely suppressed by applying a suitable, optically ultrathin coating to the surface. The stack can be treated as an asymmetric Fabry-Perot cavity comprising a uniform thin film with thickness  $d$  and refractive index  $\tilde{n}_f = n_f + i\kappa_f$ , sandwiched between air ( $\tilde{n}_0 = 1$ ) and a substrate with refractive index  $\tilde{n}_s = n_s + i\kappa_s$  (inset in a). (I)–(III) and (III)–(V) Maps of the calculated reflectance  $R$  as a function of  $n_s$  and  $\kappa_s$  for various  $\kappa_f$  and reduced thicknesses of the film  $\delta = (dn_f)/\lambda$ , respectively. A point of zero reflection (marked as colored dots in I–V) occurs for one particular combination of  $n_s$ ,  $\kappa_s$ . (a) For a given complex refractive index of the film, the point of zero reflection follows an index trajectory  $\tilde{n}_s(\delta)$  (blue line). A similar index trajectory  $\tilde{n}_s(\kappa_f)$  (red line) results for a constant reduced thickness  $\delta$  of the film.

consider light incidence perpendicular to the surface from the air side. The reflectance is given by  $R = |r|^2$ , where

$$r = \frac{r_{0,f} + r_{f,s}e^{2i\phi}}{1 + r_{0,f}r_{f,s}e^{2i\phi}}, \quad (1)$$

and  $r_{p,q} = (\tilde{n}_p - \tilde{n}_q)/(\tilde{n}_p + \tilde{n}_q)$  are the Fresnel reflection coefficients for normal incidence as the wave encounters medium  $q$  from medium  $p$ ,  $\tilde{n}_p$  and  $\tilde{n}_q$  are the complex refractive indices of medium  $p$  and  $q$ , respectively, and  $\phi = (2\pi/\lambda)d\tilde{n}_f$  is the complex phase shift accumulated upon wave propagation within the film [59].

Defining  $\delta = dn_f/\lambda$  as a reduced thickness (notice that  $n_f$  is the real part of the film index), a reflection map can be calculated as a function of real ( $n_s$ ) and imaginary ( $\kappa_s$ ) parts of the substrate index by keeping  $n_f$ ,  $\kappa_f$ , and  $\delta$  constant [Figs. 1(I)–1(V)]. Figure 1(III) shows the reflection map for a film with  $\tilde{n}_f = 2 + 0.2i$  and  $\delta = 1/8$ . A point of zero reflection occurs for one particular combination of  $n_s$  and  $\kappa_s$ —in this case at  $\tilde{n}_s \sim 1.21 + 1.38i$  (black dot). Since such a substrate is opaque and no light is reflected, the incident light is completely absorbed. For all other combinations of  $n_s$  and  $\kappa_s$ , light is partially reflected. By decreasing  $\delta$  while keeping the refractive index of the film constant [Figs. 1(III)–1(V)], the point of zero reflection moves in  $n_s - \kappa_s$  parameter space along a curved index trajectory  $\tilde{n}_s(\delta)$  toward  $\tilde{n}_s = 1 + 0i$  [Fig. 1(a), blue line]. Similarly, an index trajectory  $\tilde{n}_s(\kappa_f)$  for zero reflection is obtained for a constant reduced thickness of the film, for instance,  $\delta = 1/8$ , by increasing the extinction coefficient  $\kappa_f$  while keeping  $n_f$  constant [Figs. 1(I)–1(III) and 1(a), red line].

Figures 2(a) and 2(b) summarize combinations of specific film and substrate refractive indices and reduced

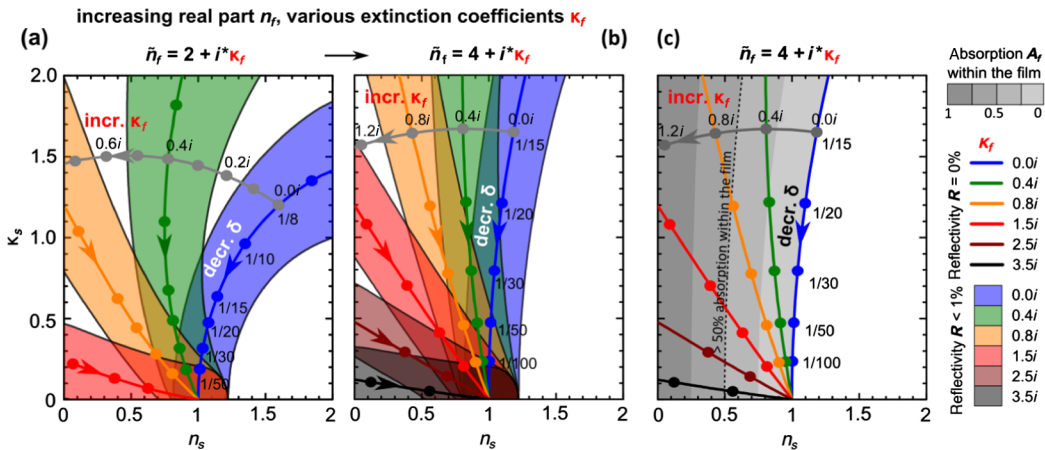


FIG. 2. General strategy to suppress reflection using ultrathin coatings. (a),(b) Zero reflection index trajectories  $\tilde{n}_s(\delta)$  as a function of reduced film thickness  $\delta = d/\lambda$  for various extinction coefficients  $\kappa_f$  of the film. The corresponding colored semitransparent region indicates the refractive-index region for which the reflectivity is smaller than 1%. Index trajectories are shown for  $n_f = 2$  (a) and  $n_f = 4$  (b), respectively. Zero reflection can occur only for films with  $0 \leq \kappa_f < n_f$  and suitable  $\delta$ . For ultrathin films ( $d \ll \lambda$ ), zero reflection almost exclusively occurs on substrates with  $n_s \lesssim 1$  and small optical losses  $0 < \kappa_s \lesssim 2$ . (c) Index trajectories of the point of zero reflection [compare (a)] and grayscale map of the amount of absorbed light  $A_{\text{film}}$  within the film.

film thicknesses that result in the suppression of reflectance. Colored lines indicate the point of zero reflectance in  $n_s - \kappa_s$  space  $\tilde{n}_s(\delta)$  as a function of  $\delta$ , for a given film refractive index  $\tilde{n}_f$ . Gray lines illustrate the  $\kappa_f$  dependence of the point of zero reflectance  $\tilde{n}_s(\kappa_f)$  for a given  $\delta$ . The colored semitransparent areas indicate the complex refractive-index region of the substrate for which the reflectivity is smaller than 1%.

Thus, from Figs. 2(a) and 2(b), the appropriate optical constants and thickness for the substrate and film can be read off for suppressing reflectance for a given wavelength. Note that zero reflection can be achieved only using films with  $\kappa_f < n_f$ . The reflectance is not very sensitive to small changes of the refractive indices of either the film or the substrate [colored semitransparent areas in Figs. 2(a) and 2(b)] and has only a weak dependence on the angle of incidence for small angles  $< 30^\circ$  [36,39,60].

In a cavity comprising an ultrathin-film coating on a substrate, perfect *total* absorption is the complete absorption of light within the film and substrate. This is easily achieved on opaque substrates when the coating leads to a complete suppression of reflected light. Note that perfect *total* absorption is different from perfect absorption within the film or within the substrate. Figure 2(c) shows, in grayscale, the amount of absorbed light within the film ( $A_f$ ) at the point of zero reflection for  $\tilde{n}_f = 4 + i\kappa_f$ . Since no light is reflected,  $A_s = 1 - A_f$  is the amount of light absorbed in the substrate.

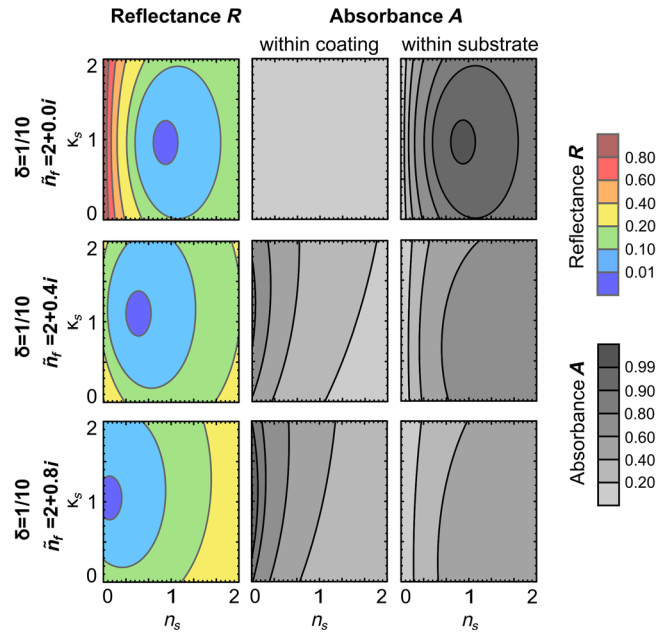


FIG. 3. Maps of the calculated reflectance  $R$ , absorbance within the film, and absorbance within the substrate, of the cavity with  $\tilde{n}_f = 2 + 0.0i$ ,  $\tilde{n}_f = 2 + 0.4i$ , and  $\tilde{n}_f = 2 + 0.8i$  as a function of the real  $n_s$  and imaginary part  $\kappa_s$  of the refractive index of the substrate. The reduced thickness of the film is fixed to  $\delta = 1/10$ .

Figure 3 summarizes three different scenarios: First, if the film is transparent ( $\kappa_f = 0$ ), no light is absorbed within the coating. Thus, the point of zero reflectance in  $n_s - \kappa_s$  space is the same like the point of unity absorption within the opaque substrate. Perfect *total* absorption and perfect absorption within the substrate are the same. Second, if the film is lossy ( $\kappa_f > 0$ ), but the point of zero reflectance is found at  $n_s > 0$ , it is neither possible to reach perfect absorption in the film nor in the substrate, because both maxima are different from the point of zero reflectance. Third, if the film is lossy ( $\kappa_f > 0$ ), and the point of zero reflectance is found at  $n_s = 0$ , perfect absorption within the film is found at the point of zero reflectance. At this point, light is not able to enter the substrate.

In summary, especially Fig. 2 demonstrates that completely suppressed reflection, and thus near-perfect absorption, for near-normal incidence using optically ultrathin coatings ( $\delta \ll 1/4$ ) almost exclusively occurs on substrates with  $n_s \lesssim 1$ . Furthermore, low-loss substrates are needed to minimize the film thickness for which light reflection is completely suppressed. In particular, this is the reason why metals are impractical as substrate materials beyond the visible. Although they are low-index materials, they are also highly lossy in the midinfrared, and suitable AR coatings become very thick.

## B. Substrate materials with $n < 1$

Low-loss noble metals, e.g., Ag and Au, highly doped semiconductors, especially oxide semiconductors like AZO, and polar dielectrics (e.g.,  $\text{SiO}_2$ ) behave like ENZ materials near their plasma frequencies or phonon resonance frequencies. At these frequencies,  $n$  becomes significantly smaller than unity, provided the material is low loss near the ENZ condition. The optical properties of those materials can be described using a Drude (complex permittivity  $\epsilon_D$ ) or Lorentz oscillator model ( $\epsilon_L$ ), respectively [61]:

$$\epsilon_D = \epsilon_\infty \left( 1 - \frac{\omega_p^2}{\omega(\omega + i\Gamma)} \right),$$

$$\epsilon_L = \epsilon_\infty \left( 1 + \frac{\omega_{\text{LO}}^2 - \omega_{\text{TO}}^2}{\omega_{\text{TO}}^2 - \omega^2 - i\omega\gamma} \right). \quad (2)$$

Here,  $\epsilon_\infty$  is the background permittivity, and  $\omega_p$  is the screened plasma frequency, which is proportional to the free-carrier concentration  $N$ :  $\omega_p^2 = Ne^2/(\epsilon_0\epsilon_\infty m^*)$ , where  $e$  is the elementary charge,  $\epsilon_0$  is the vacuum permittivity, and  $m^*$  is the effective mass.  $\Gamma$  is a damping factor associated with the mobility of the charge carriers  $\mu$ :  $\Gamma \propto \mu^{-1}$ . In the Lorentz oscillator model,  $\omega_{\text{LO}}$  and  $\omega_{\text{TO}}$  are the longitudinal optical (LO) and transverse optical (TO) phonon frequencies, respectively, and the damping factor  $\gamma$  is related to the phonon lifetime  $\tau$ :  $\gamma \propto \tau^{-1}$ .

A phonon resonance can be described by a single Lorentz oscillator as shown in Fig. 4(a) for a polar dielectric

material with different values of  $\gamma$ . The coherent oscillation of vibrating bound charges on the atomic lattice of these materials results in a negative permittivity between  $\omega_{LO}$  and  $\omega_{TO}$  with an ENZ condition ( $\epsilon_1 = 0$ ) close to  $\omega_{LO}$  [Fig. 4(b)]. This spectral region of negative permittivity is called the reststrahlen band and is characterized by  $\kappa > n$ , indicating that the insulating material has “metal-like” optical properties in this interval, such as a large reflectance coefficient when light is incident on the material from air. Values of  $n \leq 1$  can be found provided  $\gamma \ll \omega_{TO}$  (low optical losses) within and just before the reststrahlen region, starting at  $\Omega_L^2 \sim (\omega_{TO}^2 - \epsilon_\infty \omega_{LO}^2)(\epsilon_\infty - 1)^{-1}$ . Low optical losses in polar materials are the result of the slow scattering rates of optical phonons, which typically occur on the time scale of picoseconds compared to the faster scattering rate of free-charge-carrier plasmons in highly doped semiconductors [55,64]. Thus, very low  $n$  values can be reached [e.g.,  $\text{Al}_2\text{O}_3$  and  $\text{ZnO}$  in Fig. 4(c)]. According to our strategy in Fig. 2, the suppression of reflection can be achieved with a suitable combination of an ultrathin film and a polar substrate material, at a wavelength that corresponds to a reststrahlen band of the substrate [31,39,46]. However, the feasibility of this approach is limited by the supply of suitable reststrahlen materials [64] that determine the wavelength at which suppressed reflection and near-perfect absorption can be realized.

In metals, doped semiconductors, and conducting oxides, the contribution of free carriers to the optical properties can be described by the Drude model, shown in Fig. 4(a) for different values of  $\Gamma$ . Optical properties typically consistent with metals,  $\kappa > n$ , are found at wavelengths larger than the crossover wavelength at which  $\epsilon_1 = 0$ . Especially for high carrier mobilities (weakly damped systems,  $\Gamma \ll \omega_p$ ), characteristically low  $n$  values of  $\leq 1$  can be found in the vicinity of the crossover wavelength starting at  $\Omega_D^2 \sim \epsilon_\infty \omega_p^2 (\epsilon_\infty - 1)^{-1}$ . Especially when the mobility increases ( $\Gamma$  decreases), losses ( $\epsilon_2$ ) are reduced, and small values of  $n$  can be reached [compare Fig. 4(b)].

In conventional metals,  $N$  is very large ( $N \sim 10^{23} \text{ cm}^{-3}$ ), and the plasma resonance is usually located in the visible or ultraviolet [65]. The region of  $n < 1$  extends far beyond the visible in metals such as Au and Ag, because  $\epsilon_1$  is negative and  $|\epsilon_1| \gg \epsilon_2$ . Although they are ideal substrates for the visible range [32,38], they cannot be used as substrate materials for *ultrathin* coatings to achieve completely suppressed reflection at longer wavelengths, such as the near- and midinfrared, because  $\kappa \gg 1$  [see Figs. 2(a), 2(b), and 4(c)].

The limitations of metals can be circumvented by modifying the free-carrier concentration in semiconductors through impurity doping [66]. The maximum free-carrier concentration that can be achieved by doping is an intrinsic property of each semiconductor, determined by the location

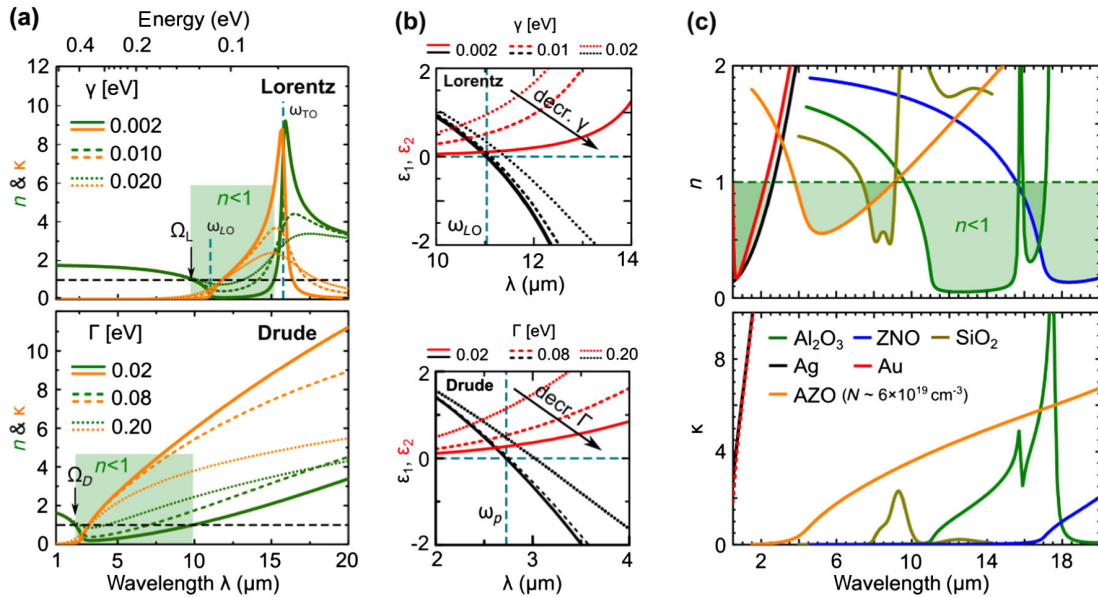


FIG. 4. Epsilon-near-zero substrate materials. (a) Lorentz oscillator model for polar dielectric materials and Drude model refractive indices  $n$  and  $\kappa$  for various damping constants  $\gamma$  and  $\Gamma$ , respectively. The model parameter values are  $\omega_p = 0.46 \text{ eV}$ ,  $\epsilon_\infty = 5$ ,  $\omega_{TO} = 0.079 \text{ eV}$ , and  $\omega_{LO} = 0.112 \text{ eV}$ . (b) Real  $\epsilon_1$  and imaginary part  $\epsilon_2$  of the dielectric function in the vicinity of the crossover wavelength ( $\epsilon_1 = 0$ ). (a),(b) For both models,  $n$  becomes vanishingly small at  $\epsilon_1 \sim 0$  provided the material is low loss, that is, small  $\gamma$  and  $\Gamma$ , respectively. Metal-like optical properties with  $\kappa > n$  are found for wavelengths larger than the crossover wavelength, whereas the region of  $n < 1$  already starts at  $\Omega_{D,L}$ . (c) Real and imaginary parts,  $n$  and  $\kappa$ , of the refractive index of Ag [62], Au [62],  $\text{SiO}_2$  [63],  $\text{Al}_2\text{O}_3$ , ZnO, and AZO ( $N \sim 6 \times 10^{19} \text{ cm}^{-3}$ ). In contrast to the metals,  $\kappa$  of  $\text{SiO}_2$ ,  $\text{Al}_2\text{O}_3$ , ZnO, and AZO is rather small in the spectral region where  $n < 1$ . AZO features a spectral region with  $n < 1$  in the midinfrared that depends on the free-carrier concentration, whereas ZnO is transparent with  $n > 1$  up to  $16 \mu\text{m}$ .

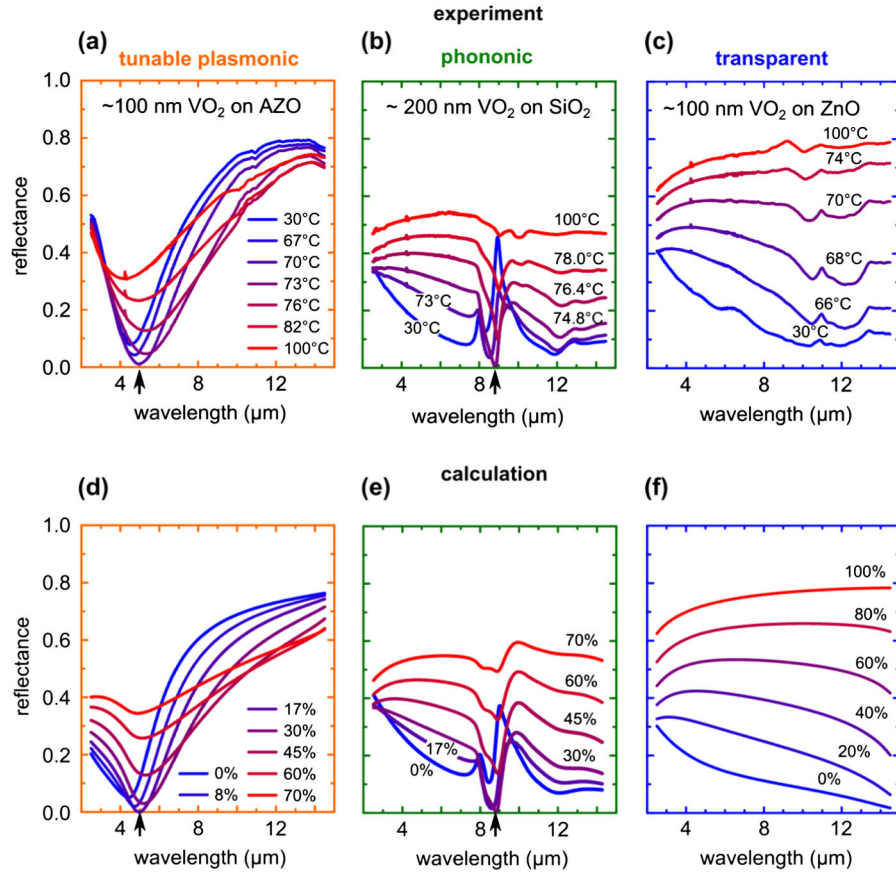


FIG. 5. Experimental demonstration of suppressed reflection in the vicinity of substrate phonon and plasmon resonances using ultrathin coatings. (a)–(c) Measured temperature-dependent mid-IR reflectance cycle of ultrathin (thickness  $d \ll \lambda$ )  $\text{VO}_2$  grown on AZO with  $N \sim 6 \times 10^{19} \text{ cm}^{-3}$  (a),  $\text{SiO}_2$  (b), and  $\text{ZnO}$  (c), respectively. All spectra are measured during a heating cycle. A reflectance minimum (marked with an arrow) occurs for  $\text{VO}_2$  on the AZO substrate in the vicinity of the AZO plasma resonance. A comparable reflectance minimum is found in the reststrahlen band of the  $\text{SiO}_2$  substrate that is bound by the LO and TO phonon between 7.9 and 9.2  $\mu\text{m}$  [64]. No local minimum is observed for  $\text{VO}_2$  on a  $\text{ZnO}$  substrate. (d)–(f) Calculated reflectance of the samples described in (a)–(d) as a function of the fraction of metallic phase in the  $\text{VO}_2$  film.

of the semiconductor band edges with respect to the Fermi-level stabilization energy [67]. In most semiconductors, the achievable free-carrier concentration is orders of magnitude smaller compared to that of metals due to solid-solubility limits and charge compensation. However, oxide semiconductors such as  $\text{ZnO}$  can be heavily doped, e.g., with aluminum, without a significant alteration of their structural properties [68], e.g., phase separation, reaching carrier concentrations of the order of  $N \sim 10^{21} \text{ cm}^{-3}$  [54,55], which establishes plasma resonances in the near- and midinfrared. Furthermore, the plasma frequency in these materials is widely tunable by adjusting the doping density. Low-loss oxide semiconductors are thus a versatile substrate platform for suppressed reflection and near-perfect absorption in the spectral gap between the visible and the infrared.

### C. Experimental verification

The optical properties of our AZO substrate ( $c_{\text{Al}} \sim 1 \times 10^{20} \text{ cm}^{-3}$ ), as determined by spectroscopic

ellipsometry, are shown in yellow in Fig. 4(c). The free-carrier concentration is found to be  $N \sim 6 \times 10^{19} \text{ cm}^{-3}$  in this particular sample. Metal-like optical properties ( $\kappa > n$ ) can be observed above the crossover wavelength  $\lambda \sim 4.4 \mu\text{m}$ , and the spectral region of  $n < 1$  ranges from 3.7 to 9.2  $\mu\text{m}$ . Crossover wavelengths as small as  $\lambda \sim 1.3 \mu\text{m}$  have been reported for highly doped  $\text{ZnO}$  [55]. Thus, AZO is a suitable plasmonic substrate in the spectral gap between the visible and the infrared.

Figures 5(a)–5(c) summarize the experimentally determined temperature-dependent reflectance of  $\text{VO}_2$  films deposited on substrates that are dominated by a plasma resonance (AZO), feature prominent phonon resonances ( $\text{SiO}_2$ ), or are transparent in the midinfrared ( $\text{ZnO}$ ). Figure 5(a) shows the temperature-dependent reflectance of an approximately 100-nm-thin ( $\delta \sim 1/20$  at 5  $\mu\text{m}$ )  $\text{VO}_2$  film deposited on an AZO substrate ( $N \sim 6 \times 10^{19} \text{ cm}^{-3}$ ). At low temperatures, when  $\text{VO}_2$  is still insulating, the reflectance spectra are dominated by the onset of metallic

reflectivity of the AZO substrate. Upon heating, in the vicinity of the phase transition of  $\text{VO}_2$ , a minimal reflectance value of  $R_{\min} = 0.01$  is reached at  $\lambda_{\min} = 5.0 \mu\text{m}$ , which is close to the crossover wavelength of  $\lambda = 4.4 \mu\text{m}$ , and  $T_{\min} = 70^\circ\text{C}$ . At this wavelength, the refractive index of the AZO is  $n_s = 0.56 + 1.09i$ , in agreement with our predictions (Figs. 1 and 2).

The reflectance of a 200 nm ( $\delta \sim 1/19$  at  $\lambda = 8.8 \mu\text{m}$ , comparable to  $\text{VO}_2$  on AZO)  $\text{VO}_2$  film on  $\text{SiO}_2$  shows a similar temperature-dependent behavior [Fig. 5(b)]. At low temperatures, the reflectance spectrum is dominated by the reststrahlen band of  $\text{SiO}_2$  that extends from 7.9 to 9.2  $\mu\text{m}$  [63] [Fig. 4(c)]. Upon heating, the reflectance reaches a minimum  $R_{\min} = 0.005$  at  $\lambda_{\min} = 8.8 \mu\text{m}$  and  $T_{\min} = 73^\circ\text{C}$ . At high temperatures, the reflectance is dominated by the reflectance of the  $\text{VO}_2$  film in the metallic state. At the point of minimal reflection, the refractive index of the  $\text{SiO}_2$  substrate is  $\tilde{n}_3 = 0.47 + 1.19i$ . A comparable reflectance minimum is found for ultrathin  $\text{VO}_2$  films ( $\delta < 1/30$ ) on  $\text{Al}_2\text{O}_3$  within the reststrahlen band of the  $\text{Al}_2\text{O}_3$  substrate that extends from approximately 11 to 15.8  $\mu\text{m}$  [31,46].

No reflectance minimum is observed for a 100-nm-thin  $\text{VO}_2$  film on ZnO, because the ZnO refractive index is  $n > 1$  across the entire wavelength range [Figs. 5(c) and 4(c)]. The high-temperature reflectance of the  $\text{VO}_2$  film grown on AZO and  $\text{SiO}_2$  is significantly lower than for  $\text{VO}_2$  grown

on intrinsic ZnO [compare Figs. 5(a), 5(b), and 5(c)], which we attribute to a lower film quality of  $\text{VO}_2/\text{AZO}$  and  $\text{VO}_2/\text{SiO}_2$ , especially with many extended defects and a void formation at the interface.

Figures 5(d)–5(f) show the calculated reflectance of an ultrathin  $\text{VO}_2$  film on AZO,  $\text{SiO}_2$ , and ZnO, respectively, as a function of the fraction of metallic phase within the  $\text{VO}_2$  film. At room temperature  $\text{VO}_2$  is in the insulating state and the metallic fraction is zero, whereas at high temperatures  $\text{VO}_2$  is entirely in the metallic state. For this purpose, the refractive index of  $\text{VO}_2$  is estimated in the vicinity of the phase transition from experimental data obtained by spectroscopic ellipsometry [31,45] and by effective medium approximation [45,46]. Note that the experimentally obtained refractive-index data [31,45,46] are obtained for high-quality  $\text{VO}_2$  films grown on (001)  $\text{Al}_2\text{O}_3$ . In all cases, the calculated reflectance is in very good agreement with the experimental data. We do note, however, that the measured high-temperature reflectance  $\text{VO}_2$  on AZO and  $\text{SiO}_2$  [Figs. 5(a) and 5(b)] is in agreement with the calculations given a metallic fraction of approximately 70%, instead of the expected 100%. We attribute this discrepancy to a large number of structural defects affecting the optical properties of  $\text{VO}_2$ . Furthermore, the calculations underestimate the reflectance of the  $\text{VO}_2$  films on AZO, especially in the insulating state at short wavelengths

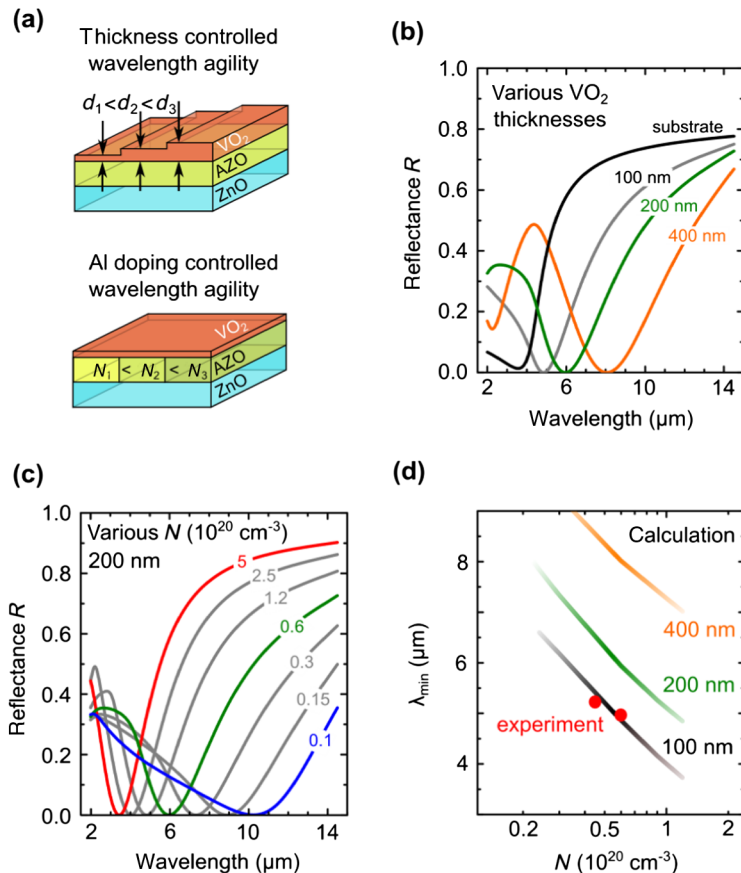


FIG. 6. (a) Wavelength agility of the point of zero reflection can be achieved by varying the  $\text{VO}_2$  film thickness or by changing the Al-dopant concentration of the AZO substrate. (b) Calculated reflectance of thin  $\text{VO}_2$  films on AZO ( $N \sim 6 \times 10^{19} \text{ cm}^{-3}$ ) for different  $\text{VO}_2$  film thicknesses. The wavelength of minimal reflectance  $\lambda_{\min}$  increases with increasing film thickness. (c) Calculated reflectance of a 200-nm  $\text{VO}_2$  film on AZO for different free-carrier concentrations  $N$ .  $\lambda_{\min}$  decreases with increasing  $N$ . (d) Comparison of the experimental and calculated  $\lambda_{\min}$ .

[2–4  $\mu\text{m}$ , Figs. 5(a) and 5(d)]. This can be attributed to both the quality of the  $\text{VO}_2$  film and the assumption of a semi-infinite substrate made in Eq. (1). However, strong interference effects within the AZO layer, which would significantly affect the reflectance, are not supposed, due to the Gaussian doping tail.

#### D. Wavelength tunability

Calculations summarized in Fig. 6 show that, for ultrathin  $\text{VO}_2$  films on an AZO substrate, the wavelength of minimal reflection  $\lambda_{\min}$  can be tuned over a broad range either by changing the thickness of the  $\text{VO}_2$  film [Figs. 6(a) and 6(b)] or by changing the free-carrier concentration  $N$  of the AZO substrate [Figs. 6(a) and 6(c)]. Note that all reflectance spectra are given for a metallic fraction of the  $\text{VO}_2$  film at which the reflectance is the smallest. Increasing the  $\text{VO}_2$  film thickness from 100 to 400 nm and keeping  $N \sim 6 \times 10^{20} \text{ cm}^{-3}$  of the AZO constant,  $\lambda_{\min}$  shifts from 5 to approximately 8  $\mu\text{m}$  [Figs. 6(b) and 6(d)]. Maintaining the film thickness constant at 200 nm and changing  $N$  between 0.1 and  $5 \times 10^{20} \text{ cm}^{-3}$ , accessible by impurity doping [52,54,55], results in the tuning of the minimum reflection point from approximately 10.5 to approximately 3.5  $\mu\text{m}$  [Figs. 5(c) and 5(d)]. Our experimental results are in good agreement with the calculations, resulting in  $\lambda_{\min} = 5.0$  and 5.3  $\mu\text{m}$  for 100 nm  $\text{VO}_2$  films on AZO substrates with  $N \sim 0.45$  and  $0.6 \times 10^{20} \text{ cm}^{-3}$  [Figs. 5(a) and 6(d)], respectively. Our results show that, depending on the  $\text{VO}_2$  film thickness and the doping concentration, the wavelength of minimal reflection can be precisely tuned between the phonon resonance of intrinsic ZnO and the highest plasma wavelength achievable in doped ZnO.

#### IV. CONCLUSIONS

In summary, we introduce a methodical strategy to design highly absorbing antireflection coatings comprising ultrathin films on opaque substrates. The reflection of light can be completely suppressed using a suitable film and substrate combination, if the refractive index of the substrate is  $n \lesssim 1$ , thus close to the epsilon-near-zero condition. We demonstrate both theoretically and experimentally that this condition can be achieved over a wide range of wavelengths by using semiconductors with widely tunable carrier densities, such as AZO. We experimentally verify this approach by using an ultrathin film of vanadium dioxide on an AZO substrate. In this system, the wavelength at which minimal reflection occurs can be tuned over the entire midinfrared range by changing the free-carrier concentration of the AZO substrate. We anticipate that the development of new low-loss epsilon-near-zero substrates in the near- and midinfrared will enable efficient single-layer ultrathin absorbers for photodetection and thermal-emission applications.

#### ACKNOWLEDGMENTS

This work has been partially financed by the Initiative and Networking Fund of German Helmholtz Association, Helmholtz Virtual Institute VH-VI-422 MEMRIOX, by the Office of Naval Research (N00014-16-1-2556; N00014-16-1-2398), Air Force Office of Scientific Research (AFOSR) (FA9550-16-1-0159), and Draper Laboratory: SC001-0000000731. S. Z. acknowledges support from the Singapore Agency of Science, Technology and Research (A\*STAR) National Science Graduate Scholarship. St.R. acknowledges support from the Leipzig graduate school for Natural Sciences BuildMoNa.

#### APPENDIX: ION-BEAM ALUMINUM-DOPED ZINC OXIDE

Aluminum (Al)-doped ZnO substrates (AZO) with various doping concentrations are prepared by multiple-energy Al ion implantation of (001) ZnO. Figure 7(a) shows the individual concentration depth profiles calculated for various energies using the SRIM code [69] and the sum profile. Ion fluences are indicated for a doping concentration of  $c_{\text{Al}} \sim 1 \times 10^{20} \text{ cm}^{-3}$ . The sum doping profile consists of a 400-nm-thick layer with nearly constant Al concentration and a Gaussian tail that extends an additional approximately 200 nm into the substrate.

The high implantation temperature and subsequent annealing at 700 °C for one hour in air is necessary to maintain high crystallinity. The crystal quality after irradiation and after annealing is determined via 1.4 MeV  $\text{He}^+$  Rutherford backscattering spectrometry in the channeling configuration. For the implantation and annealing conditions used, a high crystalline quality (low backscattering yield in the channeling direction) is observed at a nominal dopant concentration as high as  $c_{\text{Al}} \sim 1 \times 10^{20} \text{ cm}^{-3}$ , as shown in Fig. 7(b).

The effective optical properties of the AZO substrate doped with a nominal aluminum concentration of  $c_{\text{Al}} \sim 1 \times 10^{20} \text{ cm}^{-3}$  are well described by a dielectric function consisting of two terms: a single Lorentzian oscillator taking into account the phonon resonance and a Drude term that describes the free-electron contribution caused by  $\text{Al}^+$  doping:

$$\begin{aligned} \epsilon(\omega) &= \epsilon_{\infty} \left( 1 + \frac{\omega_{\text{LO}}^2 - \omega_{\text{TO}}^2}{\omega_{\text{TO}}^2 - \omega^2 - i\omega\gamma} - \frac{\omega_p^2}{\omega(\omega + i\Gamma)} \right) \\ &= \epsilon_{\infty} + \frac{f\omega_o^2}{\omega_o^2 - \omega^2 - i\gamma\omega} - \frac{\epsilon_{\infty}\omega_p^2}{\omega(\omega + i\Gamma)}, \end{aligned} \quad (\text{A1})$$

where  $\epsilon_{\infty} = 3.65$  is the high-energy dielectric constant,  $f = 3.98$  is the phonon oscillator strength,  $\omega_o = 0.051 \text{ eV}$  is the phonon resonance energy,  $\omega_p = 0.28 \text{ eV}$  is the screened plasma energy, corresponding to a crossover wavelength of  $\lambda_p \sim 4.4 \mu\text{m}$ , and  $\gamma = 0.0019 \text{ eV}$ ,



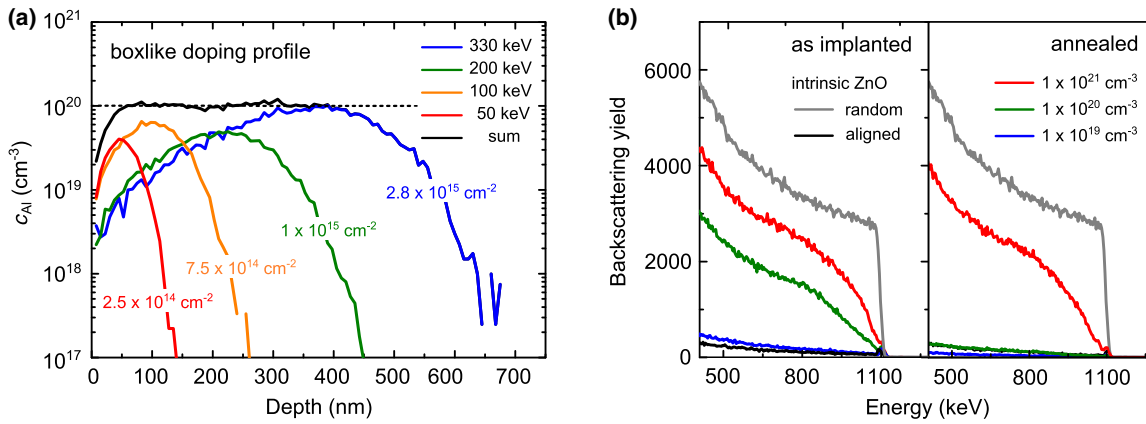


FIG. 7. (a) A boxlike doping profile of Al dopants in ZnO is achieved by multiple energy and fluence ion implantation. (b) Rutherford backscattering spectrometry with  $1.4 \text{ He}^+$  ions in the channeling direction of as implanted and annealed AZO samples for various aluminum-dopant concentrations. The aligned and random spectra of intrinsic ZnO are given for comparison.

$\Gamma = 0.071 \text{ eV}$  are the damping factors related to energy dissipation, e.g., by scattering processes.

$\text{Al}^+$  ion beam doping to a nominal doping concentration of  $c_{\text{Al}} \sim 1 \times 10^{20} \text{ cm}^{-3}$  and subsequent annealing at  $700^\circ\text{C}$  in air for 1 h leads to a free-carrier concentration  $N \sim 0.6 \times c_{\text{Al}} \sim 6 \times 10^{19} \text{ cm}^{-3}$  in this particular sample. The AZO layer is basically transparent in the visible and near-IR spectral range but possesses metal-like optical properties ( $\kappa_s > n_s$ ) above  $\lambda_p \sim 4.4 \mu\text{m}$ . However,  $n_s < 1$  with increasing  $\kappa_s$  from 0.3 to 3.2 is found in the spectral range from  $3.7$  to  $9.2 \mu\text{m}$  [compare Fig. 4(c)].

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