

Photochemically induced acousto-optics in gases

P. Michel,^{1,*} L. Lancia,² A. Oudin,¹ E. Kur,¹ C. Riconda,³ K. Ou,⁴ V.M. Perez-Ramirez,⁴ J. Lee,⁴ and M.R. Edwards⁴

¹*Lawrence Livermore National Laboratory, Livermore, CA 94551, USA*

²*LULI, CNRS, CEA, Sorbonne Université, Ecole Polytechnique, Institut Polytechnique de Paris, F-91128 Palaiseau, France*

³*LULI, Sorbonne Université, CNRS, Ecole Polytechnique, CEA, F-75252 Paris, France*

⁴*Stanford University, Stanford, CA 94305, USA*

 (Received 4 February 2024; revised 31 May 2024; accepted 19 July 2024; published 6 August 2024)

Acousto-optics consists of launching acoustic waves in a medium (usually a crystal) in order to modulate its refractive index and create a tunable optical grating. In this article, we present the theoretical basis of an alternative scheme to generate acousto-optics in a gas, where the acoustic waves are initiated by the localized absorption (and thus gas heating) of spatially modulated UV light, as was demonstrated by Michine and Yoneda [Commun. Phys. 3, 24 (2020)]. We identify the chemical reactions initiated by the absorption of UV light via the photodissociation of ozone molecules present in the gas, and calculate the resulting temperature increase in the gas as a function of space and time. Solving the Euler fluid equations shows that the modulated, isochoric heating initiates a mixed acoustic-entropy wave in the gas, whose high-amplitude density (and thus refractive index) modulation can be used to manipulate a high-power laser. We calculate that diffraction efficiencies near 100% can be obtained using only a few millimeters of gas containing a few percent ozone fraction at room temperature, with UV fluences of less than 100 mJ/cm²—consistent with the experimental measurements. Our analysis suggests possible ways to optimize the diffraction efficiency by changing the buffer gas composition. Gases have optics damage thresholds 2–3 orders of magnitude beyond those of solids; these optical elements should therefore be able to manipulate kilojoule-class lasers.

DOI: [10.1103/PhysRevApplied.22.024014](https://doi.org/10.1103/PhysRevApplied.22.024014)

I. INTRODUCTION

Acousto-optics is a well-known method used to control and shape laser pulses [1]. It consists of launching a sound wave in a medium (usually a crystal), for example using a piezoelectric transducer; the pressure from the wave modulates the refractive index in the crystal, creating a tunable optical grating. The same process can be achieved in a gas. While acousto-optic effects in gases have been known for a long time [2], their potential for high-power lasers and their applications was only recently outlined [3,4]. The key benefit of using gases is their higher optics damage threshold compared to solids, typically by 2–3 orders of magnitude; for example, a damage threshold of 1.6 kJ/cm² was measured in Ref. [3]. Since optics damage is what dictates the physical size (and in large part the cost) of high-power laser facilities, gas optics could be transformational for the areas of high-power lasers and their applications, including inertial confinement fusion (ICF) or inertial fusion energy (IFE). Compared to plasma

optics, which also aim at manipulating high-power lasers beyond the damage threshold of solids [5–11], gases offer the advantage of being generally easier to control; like plasma optics, they can also be transient and recreated at a high repetition rate. This makes them a potentially very attractive solution to the problem of the final optics elements in future IFE facilities, where they could sustain direct exposure to the target and extreme levels of radiation and debris while protecting the rest of the laser chain.

However, because the refractive index of gases is generally close to 1, the maximum index modulation achievable in gases is much smaller than what is routinely done in solids. The length of gas-based diffractive elements must be increased to compensate the small index modulation in order to reach high diffraction efficiencies, which can be challenging for practical applications. For example, an acousto-optic grating was recently demonstrated in air using an ultrasound transducer [4]; achieving 50% diffraction efficiency required propagating through a total of approximately 0.5 m of grating length (seven passes through a 7-cm grating). An alternative technique consists in launching acoustic waves in a gas via the absorption

*Contact author: michel7@llnl.gov

of a spatially modulated “imprint” laser beam; the modulated absorption leads to localized heating of the gas, which launches an acoustic-entropy wave. This scheme, proposed by Michine and Yoneda [3], can generate waves with much larger refractive index modulation amplitudes δn ($\delta n \sim 10^{-5}$ – 10^{-4} versus $\delta n \sim 10^{-7}$ in Ref. [4]), which then leads to higher diffraction efficiencies in much shorter grating sizes: Michine and Yoneda [3] reported a robust 96% efficiency over a 1-cm gas optics at 10-Hz operations. The larger amplitude of the index modulation also considerably increases the bandwidth of the grating: for volumetric transmission gratings, the spectral acceptance (i.e., the maximum bandwidth that can be diffracted by the grating) for a pulse incident at the Bragg angle and assuming a small incidence angle is $\Delta\lambda/\lambda \approx 2\delta n(\Lambda/\lambda)^2$, where $\Delta\lambda$ is the bandwidth, λ is the wavelength, and Λ is the grating wavelength—and the angular acceptance (maximum deviation from the Bragg angle) is $\Delta\theta \approx \delta n\Lambda/\lambda$ [12]. Gratings with very small bandwidths can only diffract near-perfectly collimated and narrow-band (i.e., long-pulse) beams; the very high gas density modulation achievable using photochemically induced acoustic waves allows much higher bandwidths than using transducers, which should expand the range of applications of these optical elements.

In this article, we present a comprehensive description of the physics and chemistry of acousto-optic elements in gases generated by the absorption of a modulated UV “imprint” beam (cf. Fig. 1). Reviewing the large body of work on the cycle of ozone in the atmosphere allowed us to identify the main chemical reactions initiated by the products of the photodissociation of ozone by UV light. We calculate the reaction enthalpies and solve the reaction rate equations coupled with the saturated absorption of the UV light; deriving the fraction of energy available as heat for each reaction based on the relevant timescales gives the heating rate of the gas. We then solve the linear fluid equations using the gas heating as initial conditions; we find that the isochoric heating of the gas by the chemical reactions leads to a mixed acoustic-entropy wave in the gas, where the density and temperature modulations oscillate out of phase. The density—thus refractive index—modulation from the wave turns the gas into a transient optical grating; the index modulation is nonsinusoidal along the modulation direction x and nonuniform along the longitudinal direction z due to the saturated absorption of the UV light by ozone depletion. We calculate the diffraction efficiency of this gas grating and, consistent with Ref. [3], we find that using a mixed oxygen and ozone gas with a few percent ozone fraction and a < 100 -mJ UV imprint beam over a 1-cm² transverse area can generate a Bragg grating that can in principle diffract a kilojoule-class laser beam with nearly 100% efficiency over less than 1 cm. Our theoretical diffraction model is validated against three-dimensional paraxial wave propagation simulations. We also show that replacing oxygen by carbon dioxide as

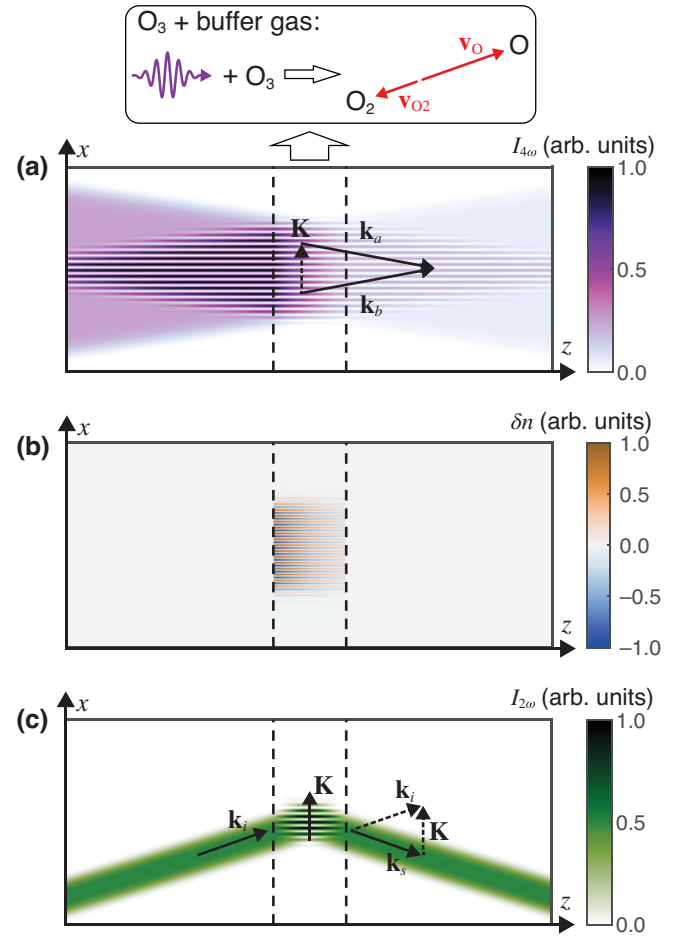


FIG. 1. Illustration of the gas optics mechanism. (a) A spatially modulated imprint laser with its wavelength $\lambda_{UV} \in [200\text{--}300]$ nm gets absorbed in a gas mixture (located between the dashed lines) containing a small fraction of ozone and a buffer gas. The absorption dissociates the ozone molecules, leading to the rapid heating of the surrounding gas in the “bright” UV fringes. (b) The gas heating initiates an acoustic-entropy wave, whose density modulation has an associated refractive index modulation—thus turning the gas into a transmission grating. Using a UV laser with clean phase front and a flat-top intensity profile can in principle achieve a high-quality grating if the gas volume is well contained within the UV modulation volume along z , as illustrated here. (c) A high-power laser beam (with 527-nm wavelength in this illustration) incident on the gas grating at the Bragg angle can be diffracted with efficiencies near 100%.

the buffer gas is expected to increase the performance of the system by almost a factor of 10 (in terms of length of the gas or fraction of ozone), due to more favorable chemical reactions between the ozone photodissociation products and CO₂.

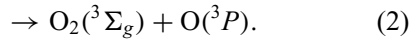
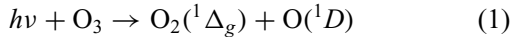
The rest of the article is organized as follows. In Sec. II, we describe the saturated absorption of the UV light by ozone, and the subsequent chemical reactions leading to localized and isochoric gas heating. Section III describes

the dynamics of the acoustic and entropy waves initiated by the gas heating, and the resulting formation of a spatially modulated refractive index in the gas (i.e., an optical grating). Section IV discusses the diffraction efficiency of a laser beam incident onto the grating at the Bragg angle. Finally, Sec. V describes how the scheme can potentially be optimized by using a different buffer gas, e.g., by using CO₂ instead of O₂.

II. UV ABSORPTION AND GAS HEATING

In this section we describe how the absorption of UV light by ozone is converted into heat, both via collisional relaxation of the dissociation products (O and O₂) and via chemical reactions between the products and the surrounding buffer gas. The general setup, similar to Ref. [3], is illustrated in Fig. 1. A spatially modulated UV laser (as can be generated by the interference between two beams, or passing a single beam through a Fresnel biprism) whose wavelength is in the ozone Hartley band ($\lambda \in [200\text{--}300]$ nm) propagates through a gas mixture containing a small fraction of ozone and a buffer gas (e.g., O₂). Upon absorption, each UV photon dissociates one ozone molecule into O and O₂. The dissociation products have translational energies far greater than that of the background gas (assuming room temperature), and will rapidly heat the surrounding gas molecules via collisions. The products also initiate exothermic chemical reactions with the gas, which can contribute significantly to the gas heating, as will be shown below.

The absorption of ultraviolet light by ozone in the Hartley band ($\lambda \in [200\text{--}300]$ nm) is a well-documented process due to its importance in the absorption of UV light by the atmosphere [13]. Absorption of a UV photon in the Hartley band leads to the photodissociation of an ozone molecule into O and O₂, following two channels:



The first channel, often referred to as the “1D channel” in the literature, occurs with 90% probability and leads to the formation of O and O₂ in electronically excited states, with electronic energies of 1.97 and 0.98 eV for O(¹D) and O₂(¹Δ_g), respectively (cf. Table I). The second channel, the “3P channel”, leads to the formation of O and O₂ in their ground electronic states, with a probability of 10% [14].

Since the absorption of one UV photon leads to the dissociation of one ozone molecule, the energy balance for the photodissociation can be written as

$$h\nu = D_0 + E_{\text{el}} + E_T + E_{\text{rot}} + E_{\text{vib}}, \quad (3)$$

TABLE I. Energy partition of the products of the photodissociation of ozone (in electronvolts), from Refs. [15,16]. Here E_{el} , E_T , E_{rot} , and E_{vib} are the electronic, translational, rotational, and vibrational energies.

	O ₂ (¹ Δ _g)	O(¹ D)	O ₂ (³ Σ _g)	O(³ P)
E_{el}	0.98	1.97	0	0
E_T	0.19	0.37	0.74	1.47
E_{rot}	0.17	0	0.59	0
E_{vib}	0.23	0	1.15	0

where $D_0 = 1.05$ eV is the photodissociation energy of ozone, and E_{el} , E_T , E_{rot} , and E_{vib} are the electronic, translational, rotational, and vibrational energies of the products, respectively (the translational and rotational energies of the ozone molecule are approximately 0.04 eV for room temperature, and are thus neglected since they are typically much smaller than the energies of the products). The energy partition for the dissociation products for a UV wavelength of 248 nm ($h\nu = 5$ eV) was measured in Refs. [15,16] and is reported in Table I. Approximately 4 eV of excess energy ($h\nu - D_0$) is available for the products after the 5-eV photon has dissociated the O₃ molecule. For the 1D photodissociation channel, approximately 3 eV go into excited electronic states of the products, leaving 1 eV available as translational, rotational, and vibrational energies. The 3P channel provides 4 eV available as translational, rotational, and vibrational energies.

The first (and most important) mechanism for heating the surrounding gas is the “direct” collisional relaxation of the photodissociation products with the gas molecules. The collision frequency of a fast particle against a background of slow particles (assumed stationary) is given by $\nu_c = \pi(r_{\text{fast}} + r_{\text{slow}})^2 v_{\text{fast}} n_{\text{bgd}}$ [17], with r_{fast} , r_{slow} the kinetic radii of the fast and slow particles, v_{fast} the fast particle velocity, and n_{bgd} the density of the background gas. The kinetic collision diameters of O and O₂ are 2.5 Å [18] and 3.46 Å, respectively. We obtain collision times ($\tau_c = 1/\nu_c$) for the fast dissociation products against the background oxygen gas of 100 and 68 ps for O₂(¹Δ_g) and O(¹D), and 51 and 34 ps for O₂(³Σ_g) and O(³P). The mean free paths $l_c = v_{\text{fast}} \tau_c = 1/[\pi n_{\text{bgd}}(r_{\text{fast}} + r_{\text{slow}})]$ are 0.11 and 0.14 μm for O₂ and O (independent of the velocity for hard sphere collisions, and thus independent of the dissociation channel).

The mean free paths and collision times are thus much shorter than the UV modulation period (Λ about a few tens of micrometers) and the temporal scale of the subsequent acoustic waves ($\tau_s = \Lambda/c_s \sim 100$ ns, with c_s the sound speed in the gas—cf. Sec. III below). We can therefore assume that all the translational and rotational energies of the dissociation products will contribute to heating the gas locally and instantaneously (i.e., isochorically). On the other hand, the dissipation of the vibrational energy is

TABLE II. Summary of all the reactions contributing to the heating of the gas over the few nanosecond timescales relevant to gas optics. Here $\Delta_r H^0$ is the reaction enthalpy, and E_{heat} is the sum of translational and rotational energies of the products, which constitutes the energy available to heat the surrounding gas molecules over the relevant timescales for each reaction.

No.	Reaction	Rate (cm ³ /s)	$-\Delta_r H^0$ (eV)	E_{heat} (eV)	Ref.
0a	$h\nu + \text{O}_3 \rightarrow \text{O}_2(^1\Delta_g) + \text{O}(^1D)$	$k_{0a} = 0.9 \times (3.3 \times 10^{-7})$	0.96	0.73	
0b	$h\nu + \text{O}_3 \rightarrow \text{O}_2 + \text{O}$	$k_{0b} = 0.1 \times (3.3 \times 10^{-7})$	3.95	2.8	
1a	$\text{O}(^1D) + \text{O}_2 \rightarrow \text{O} + \text{O}_2(^1\Sigma_g)$	$k_{1a} = 0.8 \times (3.95 \times 10^{-11})$	0.34	0.29	[19]
1b	$\text{O}(^1D) + \text{O}_2 \rightarrow \text{O} + \text{O}_2(^1\Delta_g)$	$k_{1b} = 0.2 \times (3.95 \times 10^{-11})$	0.99	0.84	[19]
2a	$\text{O}(^1D) + \text{O}_3 \rightarrow 2\text{O}_2$	$k_{2a} = 1.2 \times 10^{-10}$	6.0	4.3	[19]
2b	$\text{O}(^1D) + \text{O}_3 \rightarrow \text{O}_2 + 2\text{O}$	$k_{2b} = 1.2 \times 10^{-10}$	0.9	0.81	[19]
3a	$\text{O}_2(^1\Sigma_g) + \text{O}_3 \rightarrow \text{O} + 2\text{O}_2$	$k_{3a} = 1.2 \times 10^{-11}$	0.53	0.42	[20]
3b	$\text{O}_2(^1\Sigma_g) + \text{O}_3 \rightarrow \text{O}_3 + \text{O}_2$	$k_{3b} = 1 \times 10^{-11}$	1.63	1.16	[20]

typically much slower and is not expected to contribute to gas heating at our timescales; the relaxation rates of $\text{O}_2(^3\Sigma_g)$ were measured to be of the order of μs^{-1} at most [21].

While the direct collisional relaxation of the translational and rotational energies of the products will constitute the main source of heating for the duration of the UV pulse (10 ns in Ref. [3] and in this article), the chemical reactions between the photodissociation products and the surrounding buffer gas, including the “quenching” of the electronic energy for the $1D$ channel, will also be a significant contributor. Table II summarizes all the reactions contributing to the overall heating and energy balance over a typical 10-ns timescale. Other processes, like the radiative de-excitation of the excited electronic states or termolecular (three reactants) reactions, are too slow to contribute to the localized gas heating initiating the acoustic wave. In particular, the regeneration of ozone via $\text{O} + 2\text{O}_2 \rightarrow \text{O}_2 + \text{O}_3$ has a reaction rate of approximately $6 \times 10^{-34} \text{ cm}^6/\text{s}$, which occurs over about a microsecond timescale at room temperature in our conditions. In this table and throughout the rest of the paper, the notation O, O_2 , and O_3 without specification of the electronic state configuration will usually refer to the electronic ground state of the atoms or molecules.

All the reactions in Table II are exothermic, with the reaction enthalpy $\Delta_r H^0 = \Sigma \Delta_f H^0 (\text{products}) - \Sigma \Delta_f H^0 (\text{reactants})$. The formation enthalpies $\Delta_f H^0$ for the atoms and molecules considered here are given in Table III. For consistency with the other reactions, we defined the reaction rate for the photodissociation process as $k_0 = k_{0a} + k_{0b} = \sigma c$, with $\sigma = 1.1 \times 10^{-17} \text{ cm}^2$ the ozone absorption cross section in the center of the Hartley band and c the speed of light.

The reaction energy available to heat the gas, E_{heat} in Table II, is the sum of the translational and rotational energies. In the absence of measurements of the energy partition of the reaction products (between translational, rotational, and vibrational modes) except for the photodissociation process (reaction 0; cf. Table I), we make the assumption of equilibrium equipartition in order to

estimate E_{heat} for all the other reactions, with the vibrational modes activated. The monoatomic O molecule has three modes for translational energy, O_2 (linear molecule with two atoms) has three, two, and two modes for translational, rotational, and vibrational energies, and O_3 (three atoms, nonlinear) has three, three, and six modes for translational, rotational, and vibrational energies. We then use conservation of momentum between the reaction products (neglecting the initial center-of-mass kinetic energy of the reactants, since the products are generated with velocities much higher than the background gas thermal velocity) to calculate the ratio of translational energy between the products, which ultimately gives the “weight” of each energy mode for each of the products. We can then calculate E_{heat} by taking the available energy from the reaction enthalpy and removing the vibrational energy of the polyatomic molecules. For example, for reaction 1a, $\text{O}(^1D) + \text{O}_2 \rightarrow \text{O} + \text{O}_2(^1\Sigma_g)$,

(a) conservation of momentum for the products, $m_{\text{O}} v_{\text{O}} = m_{\text{O}_2} v_{\text{O}_2}$, yields $E_T(\text{O}) = 2E_T(\text{O}_2)$;

(b) equilibrium energy equipartition for O_2 , with three, two, and two modes for translational, rotational, and vibrational energies, respectively, leads to $E_{\text{rot}}(\text{O}_2) = E_{\text{vib}}(\text{O}_2) = \frac{2}{3}E_T(\text{O}_2)$, and thus to the relative energy “weights” [6,3,2,2] for $[E_T(\text{O}), E_T(\text{O}_2), E_{\text{rot}}(\text{O}_2), E_{\text{vib}}(\text{O}_2)]$ with $E_T(\text{O}) + E_T(\text{O}_2) + E_{\text{rot}}(\text{O}_2) + E_{\text{vib}}(\text{O}_2) = -\Delta_r H^0$;

(c) thus, $E_{\text{heat},1a} = -\Delta_r H^0 - E_{\text{vib}}(\text{O}_2) = -\frac{11}{13}\Delta_r H^0 = 0.29 \text{ eV}$.

TABLE III. Electronic energies of the excited levels of O and O_2 considered in this paper (all numbers are expressed in electronvolts). The formation enthalpies $\Delta_f H^0$ are for the ground electronic states; for ozone, $\Delta_f H^0 = 1.48 \text{ eV}$.

$\text{O} (\Delta_f H^0 = 2.58)$	$\text{O}_2 (\Delta_f H^0 = 0)$
$\text{O}(^3P): 0$	$\text{O}_2(^3\Sigma_g): 0$
$\text{O}(^1D): 1.97$	$\text{O}_2(^1\Delta_g): 0.98$
	$\text{O}_2(^1\Sigma_g): 1.63$

For reactions with three products, we assume equipartition for the translational energies of the products [22], such that the ratio of translational energy of one product $E_{T,i}$ to the total for all the products, $E_{T,\text{tot}}$, is given by

$$\frac{E_{T,i}}{E_{T,\text{tot}}} = \frac{1 - m_i/M}{N_p - 1}, \quad (4)$$

where m_i the mass of the product i , M is the sum of the masses of all the products, and N_p is the number of products. For example, for reaction 2b, $\text{O}(^1D) + \text{O}_3 \rightarrow \text{O}_2 + 2\text{O}$, we obtain the relative weights [2, 3, 3] for $[E_T(\text{O}_2), E_T(\text{O}), E_T(\text{O})]$.

In reality the products are not at equilibrium; however, assuming equilibrium equipartition is not expected to introduce a large error on E_{heat} since the only difference will be on the fraction of energy contained in the vibrational modes. For example, assuming equipartition for reaction 0 leads to a $< 10\%$ error on E_{heat} compared to the values in Table I, based on actual measurements.

To estimate the total gas heating, we must calculate the evolution of the different species and reactions for the duration of the UV imprint beam, coupled with the absorption of the UV laser (which is saturated due to the depletion of ozone via photodissociation). We solve the following system of equations numerically, as a function of time and propagation direction z for an arbitrary x (we assume that the UV intensity modulation is along x ; cf. Fig. 1):

$$\begin{aligned} \partial_t[\text{O}_3] = & -(k_{0a} + k_{0b})[h\nu][\text{O}_3] \\ & - (k_{2a} + k_{2b})[\text{O}_3][^1D] \\ & - (k_{3a} + k_{3b})[^1\Sigma_g][\text{O}_3], \end{aligned} \quad (5)$$

$$\begin{aligned} \partial_t[^1D] = & k_{0a}[h\nu][\text{O}_3] \\ & - (k_{1a} + k_{1b})[^1D][\text{O}_2] \\ & - (k_{2a} + k_{2b})[^1D][\text{O}_3], \end{aligned} \quad (6)$$

$$\partial_t[^1\Delta_g] = k_{0a}[h\nu][\text{O}_3] + k_{1b}[^1D][\text{O}_2], \quad (7)$$

$$\begin{aligned} \partial_t[^1\Sigma_g] = & k_{1a}[^1D][\text{O}_2] \\ & - (k_{3a} + k_{3b})[^1\Sigma_g][\text{O}_3], \end{aligned} \quad (8)$$

$$(\partial_t + c\partial_z)[h\nu] = -c\sigma[h\nu][\text{O}_3]. \quad (9)$$

The square brackets denote densities; we also omit the molecule to simplify the notation, e.g., $[^1D]$ refers to the density of $\text{O}(^1D)$, etc. Upon solving Eqs. (5)–(9), we obtain the spatiotemporal (z, t) profiles of densities for all the molecules, as well as the photon density $[h\nu] = I/ch\nu$ with I the UV laser intensity and ν its photon frequency (h is Planck's constant).

Figure 2 shows the laser intensity profile $I(z, t)$ and the ozone density $[\text{O}_3](z, t)$ for typical experimental conditions. The laser propagates towards $z > 0$ and has a square

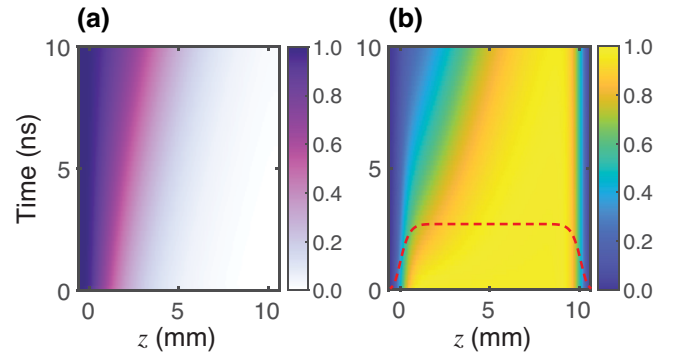


FIG. 2. (a) Laser intensity $I(z, t)/I_0$, and (b) density of ozone $[\text{O}_3](z, t)/[\text{O}_3]_0$ as a function of time and propagation direction z for a 10-ns square UV pulse and an initial laser intensity $I_0 = 1.2 \times 10^7 \text{ W/cm}^2$ (fluence $F_0 = 120 \text{ mJ/cm}^2$). The gas mixture contains 2% of ozone with oxygen as the buffer gas, at atmospheric conditions ($[\text{O}_3]_0 = 5 \times 10^{17} \text{ cm}^{-3}$). The red dashed line in (b) represents the spatial profile of the initial ozone density versus z (in arbitrary units).

temporal profile with 10-ns duration, and the gas volume is assumed to have a spatial density profile for the ozone along z following a super-Gaussian profile of order 8 and full width at half maximum of 1 cm [red lineout in Fig. 2(b)—a well-contained gas density is easy to realize at ambient pressure using a flow tube [3]]. The UV modulation is assumed to have a contrast of one and fill a larger volume than the size of the diffracted beam, so that the grating can be assumed independent of y , with a sharp entrance boundary and Λ constant throughout. After 10 ns, the laser penetrates further into the gas [Fig. 2(a)] due to the depletion of ozone [Fig. 2(b)] that saturates the absorption.

Note that the laser intensity and ozone density can be very well approximated analytically, by noting that the ozone depletion is largely dominated by the laser absorption in Eq. (5) and that the laser transit time through the length of the gas (33 ps for 1 cm of gas) is typically much shorter than the UV pulse duration, which means that the time derivative in Eq. (9) can be neglected. The space-time evolution of the UV intensity and ozone density is therefore dominated by only two coupled equations, $\partial_t[\text{O}_3] = -k_0[h\nu][\text{O}_3]$ and $\partial_z[h\nu] = -\sigma[h\nu][\text{O}_3]$, with $[\text{O}_3]$ and $[h\nu]$ functions of z and t and $k_0 = k_{0a} + k_{0b}$; these coupled equations can be solved analytically—for example, they have the same form as the equations describing nonlinear crossed-beam energy transfer in two spatial dimensions. Following the same derivation described in Ref. [23, Sec. 10.1.3], we obtain the space- and time-dependent solutions for the saturated absorption of the UV laser and ozone density depletion:

$$I(z, t) = I_0 \frac{e^{t/t_{\text{dep}}}}{e^{z/z_{\text{abs}}} - 1 + e^{t/t_{\text{dep}}}}, \quad (10)$$

$$[\text{O}_3](z, t) = [\text{O}_3]_0 \frac{e^{z/z_{\text{abs}}}}{e^{z/z_{\text{abs}}} - 1 + e^{t/t_{\text{dep}}}}. \quad (11)$$

Here $I_0 = I(0, t)$ and $[\text{O}_3]_0 = [\text{O}_3](z, 0)$ are the vacuum UV intensity and the initial ozone density, and $z_{\text{abs}} = 1/\sigma[\text{O}_3]_0$ and $t_{\text{dep}} = h\nu/\sigma I_0$ are the linear UV absorption scale length and ozone depletion timescale.

We can then calculate the total heating rate by summing up the contributions from all the reactions in Table II, according to

$$\begin{aligned} \partial_t U_h = & (0.73k_{0a} + 2.8k_{0b})[h\nu][\text{O}_3] \\ & + (0.29k_{1a} + 0.84k_{1b})[{}^1D][\text{O}_2] \\ & + (4.3k_{2a} + 0.81k_{2b})[{}^1D][\text{O}_3] \\ & + (0.42k_{3a} + 1.16k_{3b})[{}^1\Sigma_g][\text{O}_3], \end{aligned} \quad (12)$$

where U_h is the energy density in eV/cm³ (function of z and t) that can be converted into heat. This rate accounts for both the “direct” heating from collisional relaxation of the photodissociation products discussed above (reactions 0a and 0b), as well as all the other chemical reactions between the products and the surrounding gas.

The local temperature increase over the duration of the UV pulse is then calculated as

$$\Delta T(x, z) = \frac{U_h(x, z)}{[\text{O}_2]c_v(\text{O}_2)}, \quad (13)$$

where $U_h(x, z) = \int_0^\tau \partial_t U_h(x, z, t) dt$ is the total deposited energy density available for heating the gas at the end of the UV pulse (of duration τ), and $[\text{O}_2]$ is the density of the background oxygen with $c_v(\text{O}_2)$ its isochoric heat capacity. We assumed that the ozone fraction was small enough to neglect its contribution (and the contribution of the other reactions’ products) to the heat capacity of the gas. The initial modulated UV intensity profile at $z = 0$ is assumed to be of the form $I(x, z = 0) = I_0[1 + \cos(Kx)]$ for $0 < t < \tau$, with $K = 2\pi/\Lambda$ and Λ the modulation wavelength (i.e., the grating spatial period).

A typical heating profile $\Delta T(x, z)$ is shown in Fig. 3, at the end of a 10-ns UV pulse with average fluence $F_0 = \tau I_0 = 60 \text{ mJ/cm}^2$ and a 2% ozone concentration. Figure 3(b) shows profiles at $z = 1, 3$, and 5 mm. The profiles show evidence of saturation of the gas heating due to ozone depletion: the transverse profile at the beginning of the UV propagation ($z = 1 \text{ mm}$), where heating is maximum (because the UV light has not been fully absorbed yet) is nonsinusoidal, whereas the profile after further propagation becomes sinusoidal again, like the UV transverse intensity profile. Note that the ozone depletion shown in Fig. 2 for a fluence of $1.2 \times 10^7 \text{ W/cm}^2$ corresponds to the $x = 0$ location (modulo λ) in Fig. 3, where $I(x, z = 0) = I_0[1 + \cos(Kx)]$ with $I_0 = 6 \times 10^6 \text{ W/cm}^2$.

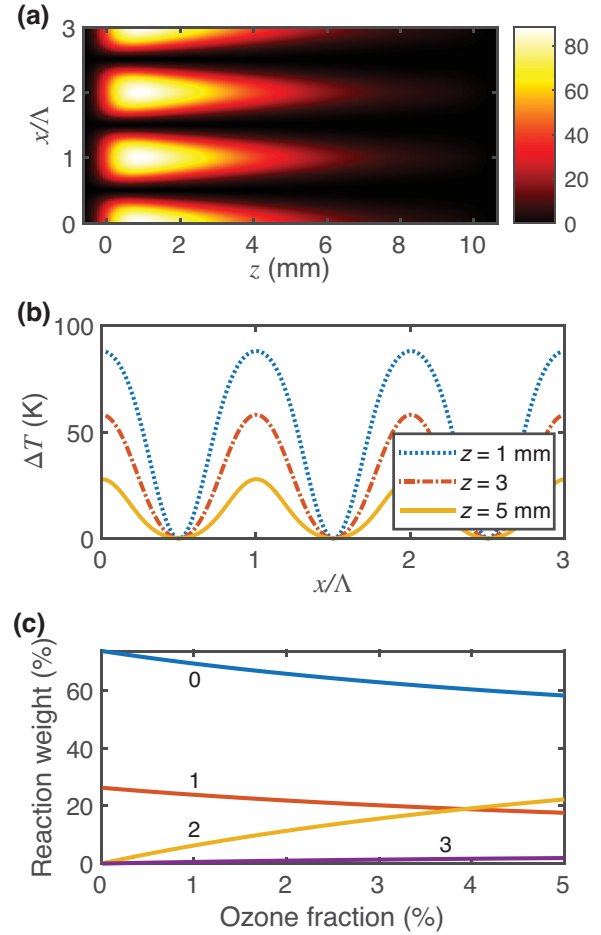


FIG. 3. (a) Temperature increase ΔT (K) for the background O_2 gas at the end of the 10-ns UV pulse for an average UV fluence of 60 mJ/cm^2 (average intensity $I_0 = 6 \times 10^6 \text{ W/cm}^2$) and an initial fraction of ozone of 2%. (b) Temperature profiles at $z = 1, 3$, and 5 mm. (c) Contributions of reactions 0, 1, 2, and 3 from Table II to the total gas heating (integrated in space and over the duration of the UV pulse) as a function of the ozone fraction.

Figure 3(c) shows the contributions of the different reactions from Table II to the total heating under the same UV fluence conditions. The direct heating by collisional relaxation of the photodissociation products (reaction 0) dominates, but the other reactions also contribute significantly; in particular, the contributions of reactions 2 and 3 increase with higher ozone fractions, since ozone is a reactant for these reactions.

The modulated gas heating by the UV beam then sets the initial conditions for the acoustic-entropy wave, as the resulting pressure modulation launches hydrodynamic gas motion upon release, as discussed in the next section.

III. ACOUSTIC-ENTROPY WAVE

To investigate the gas response to the modulated heating, we describe the gas by its fluid quantities ρ , v , and

p (mass density, velocity, and pressure). Because variations along z are dictated by the UV absorption profile, which is of the order of the linear absorption length $z_{\text{abs}} = 1/\sigma[\text{O}_3]_0$, they typically occur over distances much longer than Λ (the characteristic spatial scale along x); for example, for a 2% ozone fraction, we have $z_{\text{abs}} = 1.8$ mm, whereas Λ is typically of the order of a few tens of microns. We can therefore assume that the hydrodynamics is one dimensional along x . The following analysis is assumed to be for a given z , with the initial amplitude of the temperature modulation provided by the analysis from the previous section. We start with the Euler fluid equations

$$\partial_t \rho + \partial_x(\rho v) = 0, \quad (14)$$

$$\partial_t v + (v \partial_x) v = -\frac{\partial_x p}{\rho}. \quad (15)$$

In general, the linearized fluid equations admit three fundamental modes as solutions: the acoustic mode (perturbation in pressure traveling at the sound speed), the entropy mode [an isobaric, zero-frequency (i.e., nonpropagating) perturbation in entropy], and the vorticity mode (characterized by $\nabla \times \mathbf{v} \neq 0$) [24]. For a one-dimensional system like here, the vorticity mode cannot be present. However, since the gas heating described in the previous section is isochoric, the initial conditions for the gas will consist of an initial perturbation in pressure (from the initial increase in temperature) and in entropy (because isochoric heating increases entropy). Therefore, as we will see below, the solution of the fluid equations will consist of the sum of an acoustic mode and an entropy mode.

We linearize the fluid quantities, $\rho = \rho_0 + \rho_1$, etc., and expand the Euler equations to first order:

$$\partial_t \rho_1 + \rho_0 \partial_x v_1 = 0, \quad (16)$$

$$\partial_t v_1 = -\frac{\partial_x p_1}{\rho_0}. \quad (17)$$

The isochoric gas heating resulting from the UV laser absorption creates an initial temperature modulation in the gas, while the density remains constant over the duration of the UV pulse. Once the heating is done, the gas is set in motion adiabatically; its response to the initial temperature disturbance follows $\rho \propto p^{1/\gamma}$, with γ the adiabatic index. Inserting the equation of state into Eq. (16) gives $\partial_t \rho_1 = (\rho_0/\gamma p_0) \partial_t p_1$. Taking the time derivative of Eq. (16), the spatial derivative of Eq. (17), and combining the two gives the usual wave equation for the pressure perturbation:

$$(\partial_t^2 - c_s^2 \partial_x^2) p_1 = 0 \quad (18)$$

with $c_s = (\gamma p_0/\rho_0)^{1/2}$ the sound speed. Using the d'Alembert formula with the initial pressure perturbation

$p_1(x, t=0) = R_s \rho_0 T_1 = R_s \rho_0 \Delta T(x)$, with R_s the specific gas constant and $T_1 = \Delta T(x)$ the modulated temperature increase at the end of the UV pulse calculated in the previous section, immediately gives

$$\frac{p_1(x, t)}{p_0} = \frac{1}{2} \left[\frac{\Delta T(x + c_s t)}{T_0} + \frac{\Delta T(x - c_s t)}{T_0} \right], \quad (19)$$

where T_0 is the initial gas temperature (the 10-ns duration of the UV pulse is treated as an initial impulse compared to the slower timescale of the wave). The pressure perturbation forms the expected standing wave, as the sum of two counterpropagating waves propagating at c_s towards $\pm x$.

Next we solve for the other fluid quantities. We first integrate Eq. (17) with respect to time to get $v_1 = -(1/\rho_0) \int \partial_x p_1 dt$ [with $v_1(t=0) = 0$]. Inserting this into Eq. (16) and using Eq. (18) gives $\rho_1 = p_1/c_s^2 + f(x)$, where f is a constant of integration depending on the initial conditions. Since $\rho_1(t=0) = 0$, we have $f(x) = -p_1(x, t=0)/c_s^2$, which leads to the solution for the density perturbation:

$$\frac{\rho_1(x, t)}{\rho_0} = \frac{1}{2\gamma} \left[\frac{\Delta T(x + c_s t)}{T_0} + \frac{\Delta T(x - c_s t)}{T_0} \right] - \frac{1}{\gamma} \frac{\Delta T(x)}{T_0}. \quad (20)$$

Finally, we can derive the temperature perturbation T_1 via $p_1 = R_s \rho_0 T_1 + R_s \rho_1 T_0$, leading to

$$\begin{aligned} \frac{T_1(x, t)}{T_0} &= \frac{\gamma - 1}{2\gamma} \left[\frac{\Delta T(x + c_s t)}{T_0} + \frac{\Delta T(x - c_s t)}{T_0} \right] \\ &\quad + \frac{1}{\gamma} \frac{\Delta T(x)}{T_0}. \end{aligned} \quad (21)$$

These expressions for the density and temperature modulations follow typical acoustic (standing-)wave behavior, except for the presence of the nonpropagating term $\Delta T(x)$ in the equations. This disturbance is associated with the initial conditions, and corresponds to an “entropy mode.” To shed light on its meaning, we consider the entropy $s = c_v \ln(p) - c_p \ln(\rho) + \text{cst.}$ and linearize it to first order like the other fluid quantities, $s = s_0 + s_1$; the first-order perturbation is

$$s_1 = c_v \left[\frac{p_1}{p_0} - \gamma \frac{\rho_1}{\rho_0} \right] \quad (22)$$

$$= c_v \frac{\Delta T(x)}{T_0}, \quad (23)$$

where we used the definition of the adiabatic index $\gamma = c_p/c_v$ and substituted the expressions from Eqs. (19)–(20) into Eq. (22).

We recognize the nonpropagating term appearing in the density and temperature equations, Eqs. (20)–(21). Physically, this comes from the initial heating of the gas by the

chemical reactions: because the heating is isochoric, the entropy of the gas increases with the temperature, leading to an initial entropy modulation along x at time 0, $s_1(x) = c_v \Delta T(x)/T_0$. Because the initial temperature modulation is positive everywhere (the gas is heated by the reactions), the entropy also increases everywhere. After the heating has taken place, the adiabatic equation of state for the evolution of the gas implies that the entropy must stay constant, $\partial_t s = 0$: therefore, the initial entropy modulation introduced by the rapid chemical heating remains throughout the subsequent evolution of the gas, as a constant, nonpropagating perturbation of density and temperature. Note that the entropy mode does not impact the pressure: indeed, defining $\rho_{1s}/\rho_0 = -\Delta T(x)/\gamma T_0$ and $T_{1s}/T_0 = \Delta T(x)/\gamma T_0$, the density and temperature perturbations associated with the entropy mode, and decomposing the pressure perturbation into acoustic and entropy components, $p = R_s \rho T = R_s(\rho_0 + \rho_{1ac} + \rho_{1s})(T_0 + T_{1ac} + T_{1s})$, we see that the pressure perturbation p_{1s} associated with the entropy mode is equal to $p_{1s} = R_s(\rho_0 T_{1s} + T_0 \rho_{1s}) = 0$.

To summarize, the resulting wave, as described by Eqs. (19)–(23), is a mixed acoustic-entropy wave, composed of a standing acoustic wave (sum of two counterpropagating waves) and a constant, nonpropagating entropy mode $s_1(x)$ with no associated pressure perturbation. If the initial heating of the gas had been adiabatic instead of isochoric, there would have been no initial entropy modulations, and only the standing acoustic wave would have been present. In a system of finite size along x , the two counterpropagating acoustic waves should eventually exit the system, leaving the steady-state entropy mode behind—until the slow diffusion in the gas eventually damps it out [25]. Because the collision mean free path is much smaller than the physical scale of the wave (i.e., its wavelength Λ), diffusion should occur over timescales much greater than those we are considering here. Our analysis is consistent with Ref. [26] when neglecting spatial envelope effects and diffusion [cf. their Eq. (18)—in that paper, the entropy mode was described as a “thermal grating” or “thermon,” which should not be confused with the “thermal gratings” introduced in Ref. [27], where the refractive index modulation is created by the temperature dependence of the index in solids].

An example of hydrodynamic evolution of the gas is shown in Fig. 4 for the initial temperature modulation calculated in the previous section, taken from Fig. 3(b) at $z = 1$ mm. The presence of the entropy mode leads to out-of-phase oscillations between the temperature and density modulations. This is different from a standard standing acoustic wave, with adiabatic initial conditions for the pressure perturbation, where density and temperature oscillate in phase.

The expressions for the fluid quantities, Eqs. (19)–(21), show that, for an initial temperature disturbance $\Delta T(x)$ with spatial period Λ , the maximum amplitude of the

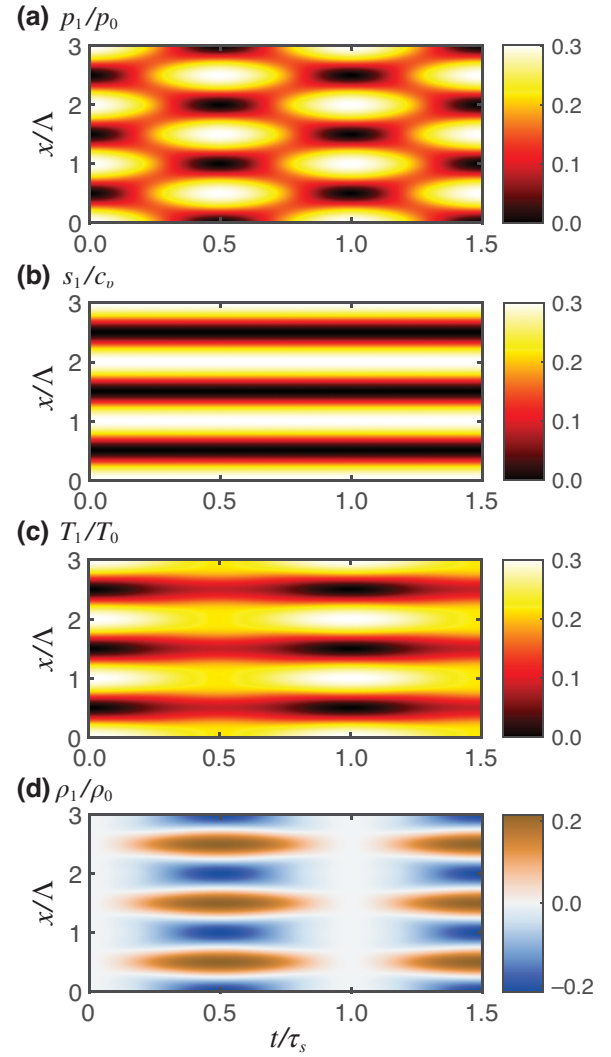


FIG. 4. Acoustic-entropy wave dynamics initiated by an initial gas heating $\Delta T(x)$ taken from Fig. 3(b) at $z = 1$ mm, with $\Delta T_{\max} = 88$ K, i.e., $\Delta T_{\max}/T_0 = 0.3$ for room temperature: (a) pressure perturbation p_1/p_0 , (b) entropy s_1/c_v , (c) temperature T_1/T_0 , and (d) density ρ_1/ρ_0 . Time is normalized to the acoustic period $\tau_s = \Lambda/c_s$ and space to the modulation wavelength Λ .

density modulation is reached at $t = \tau_s/2 + j \tau_s$ ($j \in \mathbb{N}$) with $\tau_s = \Lambda/c_s$ [cf. Fig. 4(d)], with

$$\frac{\rho_1(x, \tau_s/2)}{\rho_0} = \frac{1}{\gamma} \frac{\Delta T(x + \Lambda/2, z) - \Delta T(x, z)}{T_0}. \quad (24)$$

For a sinusoidal temperature modulation, with $\Delta T(x + \Lambda/2) = -\Delta T(x)$, we obtain $\rho_{1,\max}/\rho_0 = (2/\gamma)T_{1,\max}/T_0$. The coefficient $2/\gamma$ is due to the presence of the entropy mode: for a pure acoustic mode, the proportionality coefficient would be $1/(\gamma - 1)$. For oxygen, with $\gamma = 7/5$, the peak density modulation is therefore equal to 10/7 times the initial peak temperature modulation introduced

by the UV laser. The density modulation amplitudes generated by this technique can be up to tens of percents—e.g., it is $\pm 21\%$ in Fig. 4, with $\Delta T_{\max}/T_0 = 0.3$. This very large amplitude is consistent with the measurements from Ref. [3]; it corresponds to an equivalent sound level of about 180 dB, far beyond what piezoelectric transducers can deliver.

Finally, since the index of refraction n of the gas obeys $n - 1 \propto \rho$ (with $n - 1 \ll 1$), we obtain the refractive index modulation at $t = \tau_s/2$ as a function of the initial temperature modulation from Eq. (20):

$$\begin{aligned} \Delta n\left(x, z, \frac{\tau_s}{2}\right) &= (n_0 - 1) \frac{\rho_1(x, z, \tau_s/2)}{\rho_0} \\ &= \frac{n_0 - 1}{\gamma} \frac{\Delta T(x + \Lambda/2, z) - \Delta T(x, z)}{T_0} \end{aligned} \quad (25)$$

with $\Delta T(x, z)$ the temperature modulation derived in the previous section, Eq. (13), and n_0 the background index of the gas. Figure 5 shows the spatial profile of the index modulation $\Delta n(x, z)$ at $t = \tau_s/2$ created with the same conditions as the previous figures. The maximum (peak-to-valley) index modulation amplitude reaches $\Delta n_{\max} \approx 10^{-4}$ under these conditions. Like for the temperature profile in Fig. 3(b), the transverse refractive index profile by the

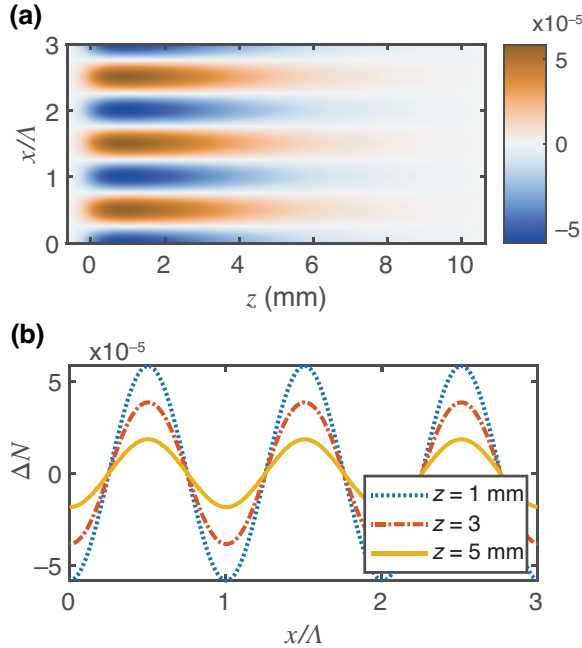


FIG. 5. Refractive index modulation (i.e., optical grating) created at $t = \tau_s/2$ with the same conditions as the previous figures (60 mJ/cm^2 of average UV fluence and 2% ozone concentration). (a) Index modulation $\Delta n(x, z)$; (b) profiles along x taken at $z = 1, 3$, and 5 mm.

entrance of the gas cell ($z = 1 \text{ mm}$), where the UV absorption—and thus the gas heating—is saturated, is generally nonsinusoidal. Here, however, even at $\pm 20\%$ amplitude the density modulations remain largely sinusoidal.

IV. DIFFRACTION OFF THE INDEX MODULATION

We now wish to calculate the diffraction of a laser beam off the optical grating associated with the index modulation $\Delta n(x, z)$ from acoustic and entropy waves derived in the previous section. In general, light incident on a grating (or any structure with a periodic index modulation) along the wave vector $\mathbf{k}_i$ can be diffracted into multiple orders, along the wave vectors $\mathbf{k}_m = \mathbf{k}_i - m\mathbf{K}$, with $m \in \mathbb{N}$ and $|\mathbf{k}_i| = |\mathbf{k}_m| = k$ ($\mathbf{K}$ is the grating wave vector, with $|\mathbf{K}| = K = 2\pi/\Lambda$), allowing constructive interference of the grating's scattering centers (cf. Fig. 6). Limiting diffraction into a single order, in order to use the grating as a mirror, can be achieved by tailoring the shape of the index modulation for “thin” gratings. On the other hand, for “thick” or volumetric gratings, under certain conditions, the scattered energy can be concentrated into the first diffraction order only ($m = 1$). The criteria for defining thin versus volumetric gratings (or “Raman-Nath” versus “Bragg” diffraction [1]) is often described via the parameter $Q = \lambda L/\Lambda^2$, where λ is the diffracted beam's wavelength, L is the grating thickness (along z in our geometry), and Λ is the grating wavelength (along x) [1,28]: for $Q \gg 1$, a beam incident at the Bragg angle onto the grating will diffract almost all of its energy in the first order only, whereas for $Q \leq 1$, the higher-order modes will contain significant energy. A more accurate parameter, taking into account the diffraction efficiency via the index modulation amplitude n_1 , was later introduced by Moharam and Young [29] as

$$\rho = \frac{\lambda^2}{\Lambda^2 n_0 n_1}, \quad (26)$$

where n_0 and n_1 are the background index and the grating's index modulation amplitude, respectively. For example,

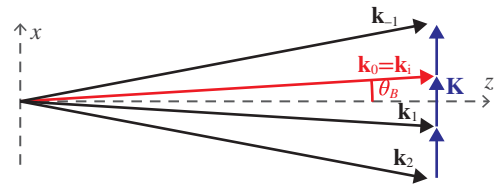


FIG. 6. Geometry of the diffraction of a beam with wave vector $\mathbf{k}_i$ incident onto a grating with wave vector $\mathbf{K}$ at the Bragg angle $\theta_B = \text{asin}(K/2k) = \text{asin}(\lambda/2\Lambda)$. Diffraction off the grating occurs along the directions $\mathbf{k}_m = \mathbf{k}_i - m\mathbf{K}$, $m \in \mathbb{N}$, where m is the diffraction order. If $\rho \gg 1$, with ρ defined in Eq. (26), diffraction occurs in the Bragg regime and most of the energy can be contained in the single $m = 1$ diffraction mode.

for a gas optics volumetric grating like that calculated in the previous section and shown in Fig. 5, we have $n_1 \approx 5 \times 10^{-5}$, $n_0 \approx 1$, so $\rho \gg 1$ (Bragg diffraction regime) requires $\Lambda \ll 140\lambda$. In other words, for a given amplitude of the index modulation, the grating wavelength should not be too large in order to avoid getting significant energy diffracted into higher-order modes.

Estimating the diffraction efficiency of volumetric gratings can be achieved with great accuracy using coupled mode theory [30]. The calculation neglects high-order diffraction modes, which is accurate as long as $\rho \gg 1$ in Eq. (26), and assumes that the incident and first-order diffracted waves have slowly varying amplitudes, leading to the following expression for the total electric field:

$$E(x, y, z, t) = \frac{1}{2}E_i(z, t)e^{i\psi_i} + \frac{1}{2}E_1(z, t)e^{i\psi_1} + \text{c.c.} \quad (27)$$

Here c.c. denotes the complex conjugate, E_i and E_1 are the slowly varying envelopes of the incident ($m = 0$) and $m = 1$ diffraction modes (dependent only on z and slowly varying in time compared to the laser frequency, since the system is assumed infinite along y and translationally invariant along x), and the rapid phases are $\psi_{i,1} = \mathbf{k}_{i,1} \cdot \mathbf{r} - \omega t$. Inserting this into the wave equation ($\nabla^2 + n^2\omega^2/c^2$) $E = 0$ with $n = n_0 + n_1(z) \cos(Kx)$, $n_1 \ll n_0$, taking a paraxial approximation, and collecting the terms $\propto e^{i\psi_i}$ and $\propto e^{i\psi_1}$ leads to the simple system of coupled equations,

$$\partial_z E_i = i \frac{k}{2} \frac{n_1(z)}{n_0} E_1, \quad (28)$$

$$\partial_z E_1 = i \frac{k}{2} \frac{n_1(z)}{n_0} E_i. \quad (29)$$

Here we assumed perfect phase matching, i.e., incidence at exactly the Bragg angle, $\mathbf{k}_i - \mathbf{k}_1 = \mathbf{K}$. Introducing the variables $\zeta = kn_1/2n_0$ and $u = \int_0^z \zeta(v)dv$ (method of the grating integral; cf. Ref. [12]) transforms the coupled equations above into

$$E_i = E_i(0) \cos(u), \quad (30)$$

$$E_1 = iE_i(0) \sin(u). \quad (31)$$

The diffraction efficiency after propagation from $z = 0$ to $z = L$, $\eta = |E_1(L)|^2/|E_i(0)|^2$, can then be expressed as

$$\eta(z) = \sin^2 \left[\frac{k}{2n_0} \int_0^z n_1(z') dz' \right]. \quad (32)$$

For nonsinusoidal index variations along x like those derived in the previous section, Eq. (25), we have to decompose the index modulation into its Fourier series,

$$\Delta n(x, z) = \Delta n_0(z) + \sum_{m=1}^{\infty} n_m(z) \cos(mKx), \quad (33)$$

$$n_m(z) = \frac{2}{\Lambda} \int_0^{\Lambda} \Delta n(x, z) \cos(mKx) dx, \quad (34)$$

where $x = 0$ was chosen as the location of the maximum of $\Delta T(x)$ in order to eliminate the sine functions from the series and keep only the cosines, and $\Delta n_0(z) = (1/\Lambda) \int_0^{\Lambda} \Delta n(x, z) dx$. Since the terms of the Fourier series decomposition correspond to harmonics of $\mathbf{K}$, and thus to the higher-order diffraction modes discussed above ($\mathbf{k}_m = \mathbf{k}_i - m\mathbf{K}$, $m \in \mathbb{N}$), the first-order term of the Fourier series decomposition is the only term contributing to the diffraction into the $m = 1$ mode (Bragg diffraction regime) with $\rho \gg 1$ [31].

In other words, for $\rho \gg 1$, the diffraction formula (32) is valid for arbitrary (nonsinusoidal) index modulations along x , as long as one is careful to extract the first-order mode $n_1(z)$ of the Fourier series decomposition of $\Delta n(x, z)$. The other assumptions from this diffraction model are that we suppose

- (1) a paraxial approximation for the diffracted wave, i.e., the diffracted beam must be near collimated;
- (2) that the angular divergence of the incident beam ($\delta\theta \approx 1/F_{\#}$, where $F_{\#}$ is the beam's F number) must be smaller than the diffraction angle from the incident to the first-order diffracted mode $\theta_{0-1} \approx \lambda/\Lambda$, i.e.,

$$F_{\#} \gg \frac{\Lambda}{\lambda}; \quad (35)$$

- (3) a quasi-monochromatic diffracted wave, with a slowly varying pulse shape compared to the laser frequency;

- (4) a grating that is uniform along y and larger than the size of the diffracted beam.

These conditions are not merely for the validity of the theory, but also represent design constraints for achieving high diffraction efficiencies in experiments. Equation (32) is valid for arbitrary transverse intensity profiles of the diffracted beam, as long as the scales of the variations remain large compared to the laser wavelength. Practically, the diffracted beam should also have a wavelength larger than 300 nm in order to avoid absorption by ozone.

Calculations of the diffraction efficiency for conditions similar to Ref. [3] (diffracted beam with $\lambda = 527$ nm) are shown in Fig. 7(a). Reasonable agreement is found with the experimental measurements, considering the approximations from our model and the experimental unknowns (the exact ozone fraction is not specified in Ref. [3], apart from mentioning that it was in the 1%–10% range). Figure 7(b) shows the maximum amplitude, defined here as half of

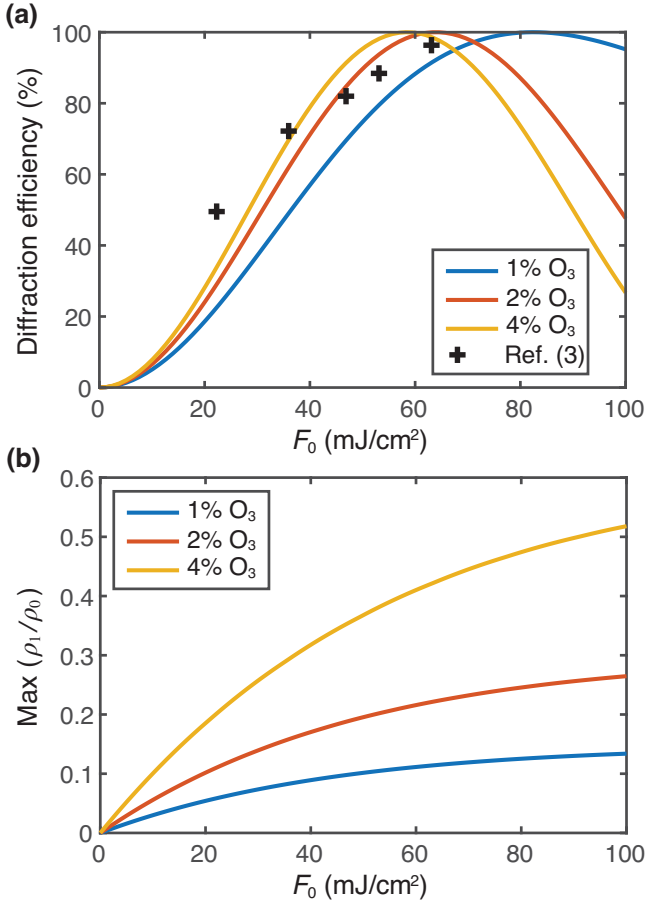


FIG. 7. (a) Diffraction efficiency of a gas optics for conditions similar to Ref. [3], and (b) maximum amplitude of the density modulation by the entrance of the gas optics ($z = 1$ mm in Fig. 2), versus the initial average fluence of the UV laser and for initial ozone fractions of 1%, 2%, and 4%.

peak to valley versus the average initial UV fluence. We have performed simulations of the one-dimensional nonlinear Euler equations, Eqs. (14)–(15), and verified that the expression connecting the index and temperature modulations derived in our linear analysis in Eq. (25), when considering only the first-order mode of the Fourier series of the density ρ_1 , remains valid until approximately $\rho_1/\rho_0 \approx 40\%$ – 50% . Our choice of 4% as the maximum ozone fraction in Fig. 7 corresponds to the maximum ozone fraction for which our model assumptions remain valid.

The diffraction efficiency for a fixed and “low” UV fluence (before the curves reach $\eta = 1$) increases rapidly with ozone fraction up to approximately 1%, beyond which the efficiency becomes less sensitive to the ozone fraction. This is because the near-complete absorption of the UV light over the 1 cm of ozone occurs for an ozone fraction around 1% for these fluences, where absorption saturation by ozone depletion is clearly visible but not sufficient for the laser to “burn through” the 1 cm of gas (cf. Fig. 2). Once the laser is fully absorbed, the effective

diffraction length, which is the length over which most of the gas heating occurs [e.g., approximately 3–4 mm for 2% ozone per Fig. 3(a)], keeps shrinking; the drop in diffraction length almost exactly compensates the increase in the index modulation from increased gas heating; cf. Eq. (32).

The increase in efficiency versus fluence for a fixed ozone fraction can roll over after reaching $\eta = 1$: this is the well-known oscillatory behavior of diffraction efficiency for volumetric transmission gratings, when the grating thickness exceeds the optimum value, leading to $\eta = 1$ [Eq. (32) for a constant n_1 simply gives $\eta(z) = \sin^2(k_1 n_1 z / 2n_0)$; cf. Refs. [12,31]].

To test the validity of our diffraction model, we have performed three-dimensional wave propagation simulations using a paraxial wave solver (i.e., Fresnel propagation integral),

$$(2ik\partial_z + \nabla_\perp^2)E(\mathbf{r}) = -2k^2 E(\mathbf{r}) \frac{\Delta n(\mathbf{r})}{n_0}, \quad (36)$$

where $\Delta n(\mathbf{r})$ is the three-dimensional index modulation calculated in the previous section, Eq. (25), with the parameters from Fig. 5 [see also the red curve in Fig. 7(a) for a 2% ozone fraction and $F_0 = 60$ mJ/cm²]. The propagation is solved in Fourier space along (x, y) , performing operator splitting between the diffraction and refraction steps along z . We calculate the propagation of a Gaussian laser beam with waist $w_0 = 400$ μm located at $z = 0$, and used $\Lambda = 60\lambda = 31.6$ μm for the grating wavelength. The beam is incident at the Bragg angle onto the grating, $\theta_B \approx K/2k = \lambda/2\Lambda \approx 0.5^\circ$ from the z axis in the (x, z) plane. The diffracted beam (mode 1) leaves at an angle of -0.5° . Since the F number of a Gaussian beam is given by $F_\# = \pi w_0/2\lambda \approx 1.2 \times 10^3$ in our case, the condition from Eq. (35) (with $\Lambda/\lambda = 60$ here) is largely satisfied.

Figure 8(a) shows the intensity of the diffracted beam in the (x, z) plane at $y = 0$; the oxygen-ozone mixed gas is present between the two dashed green lines in the figure. Figure 8(b) shows the power in the zero- and first-order modes, from the simulations (after applying masks in Fourier space to isolate the diffraction modes) and from Eq. (32). The difference between the simulations and theory is barely distinguishable in the plot. The modulated pattern along x in Fig. 8(a) comes from the interference between the zero- and first-order modes; the contrast of the fringes is maximum near $z \approx 3$ mm, which is where the two modes have approximately equal powers according to Fig. 8(b).

The power spectrum of the electric field along the Fourier coordinates k_x, k_y is shown in Fig. 9(a) at $z = 0$ and $z = 1$ cm (the corresponding angles, in the small-angle limit, are given by $\theta_x \approx k_x/k$, with $\theta_B \approx K/2k$), showing the spot moving from $k_x = K/2$ (mode 0, at $\theta_x = \theta_B = -0.5^\circ$) to $-K/2$ (mode 1, at $+0.5^\circ$). The line-out along $k_y = 0$, shown on a logarithmic scale, reveals that almost all of the incident power (in $m = 0$) has been

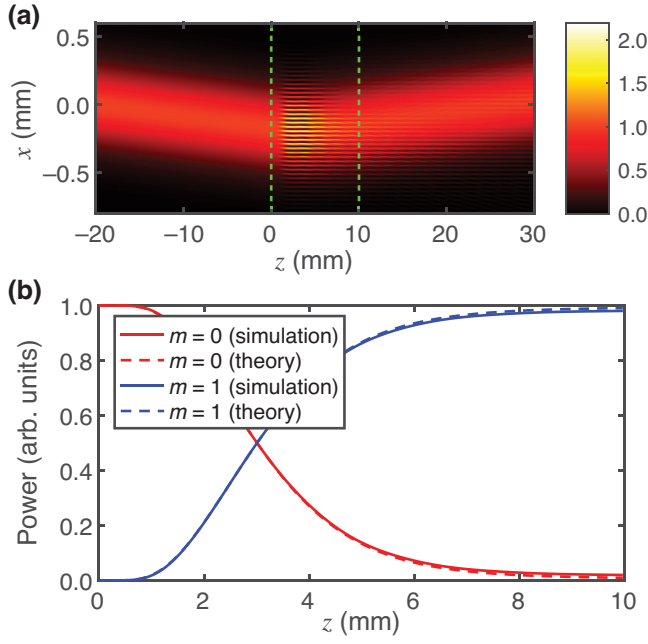


FIG. 8. Three-dimensional paraxial wave simulation of the propagation of a Gaussian laser beam incident at the Bragg angle on the index modulation from Fig. 5 with $\Lambda/\lambda = 60$, i.e., $\rho = 4.7$ [diffraction in the Bragg regime; cf. Eq. (26)]: (a) electric field intensity, showing the interference between the incident and first-order diffracted modes [similar to the illustration from Fig. 1(c); the oxygen-ozone gas is located between the two dashed green lines]; (b) power in the incident and first-order modes versus z , from the three-dimensional simulation and from the coupled-wave diffraction theory formula (32).

transferred into mode $m = 1$, with approximately 1% of the incident power left in $m = 0$. Mode $m = -1$ contains approximately 0.4% of the incident power; the power in $m = -1$ is the reason for the slight difference between theory and simulations in Fig. 8(b), since only $m = 1$ is accounted for in the theory. This is consistent with the choice of $\Lambda/\lambda = 60$, resulting in diffraction in the Bragg regime with $\rho = 4.7$ per Eq. (26).

On the other hand, for $\rho \leq 1$, diffraction into higher-order modes can become significant. This is illustrated in Fig. 9(b), showing results from a simulation identical to Fig. 9(a) except for a larger grating period, $\Lambda = 200\lambda = 105 \mu\text{m}$, leading to $\rho = 0.4$.

This illustrates that designing a gas grating like that described here to use as a dielectric mirror (diffraction into the first-order mode only) requires a careful choice of the grating wavelength Λ . On the one hand, shortening Λ reduces the acoustic period $\tau_s = \Lambda/c_s$, which limits the maximum diffracted pulse duration that can be used while the density modulation is near its maximum amplitude at $t \approx \tau_s/2$. But, on the other hand, lengthening Λ can eventually lead to diffraction into higher-order modes, as shown in Fig. 9(b).

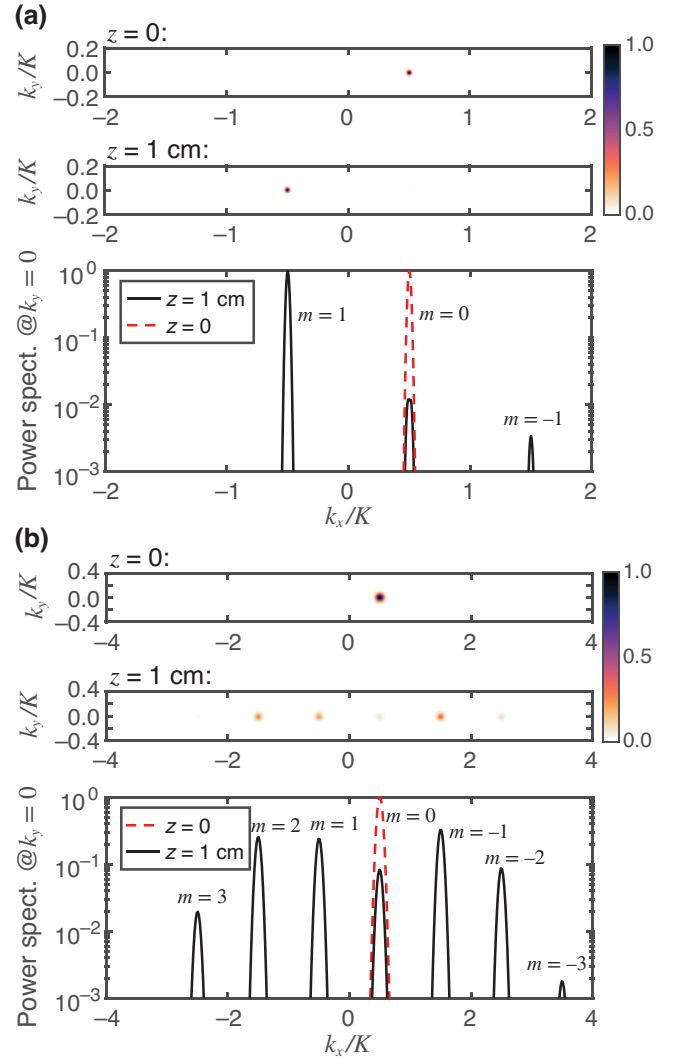
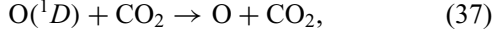


FIG. 9. Fourier analysis of three-dimensional simulations, showing the transverse (k_x, k_y) Fourier profile of the total electric field at $z = 0$ and 1 cm, as well as profiles (on a logarithmic scale) versus k_x at $k_y = 0$: (a) $\Lambda = 60\lambda$, i.e., $\rho = 4.7$ (same as Fig. 8): diffraction in the Bragg regime, with near-complete transfer of power from the incident mode $m = 0$ into $m = 1$; (b) $\Lambda = 200\lambda$, i.e., $\rho = 0.4$: the diffraction starts to enter the Raman-Nath regime, with significant energy diffracted into high-order modes ($m = -2$ to $+3$).

V. DISCUSSION AND FUTURE DIRECTION

Table II and Fig. 3(c) show that, for the conditions of these experiments when the fraction of ozone is small, the most effective reaction besides the photodissociation is reaction 1 where $\text{O}(^1D)$ reacts with the oxygen buffer gas. The electronic energy of $\text{O}(^1D)$ mostly goes into the electronic energy of O_2 ($^1\Sigma_g$ or $^1\Delta_g$), whose quenching rate is too slow to matter over the typical 10-ns duration of the UV imprint beam [19].

It should be possible to optimize the gas heating by choosing a different buffer gas. For example, we suggest using CO_2 , or at least a mixture of O_2 and CO_2 . This is motivated by the reaction of $\text{O}(^1D)$ with CO_2 ,



i.e., the quenching of $\text{O}(^1D)$ back to its ground state via collisions with CO_2 . The reaction enthalpy is therefore -1.97 eV, i.e., the full electronic energy of $\text{O}(^1D)$, of which 1.23 eV are available to heat the surrounding gas, assuming energy equipartition (versus 0.29 and 0.84 eV for reactions 1a and 1b), and the reaction rate, $k_6 = 1.1 \times 10^{-10} \text{ cm}^3/\text{s}$ [19], is also 3.4 and 13.8 times faster than for reactions 1a and 1b.

We estimate a significant increase in diffraction efficiency when replacing oxygen by carbon dioxide. Figure 10 shows the diffraction efficiency for the same parameters as Fig. 7 (1-cm propagation length, room temperature), but with O_2 replaced by CO_2 as the buffer gas. Similar diffraction efficiencies are obtained for CO_2 compared to O_2 for only about a tenth of the ozone fraction—or, depending on the particular application and constraints, one can in principle reach high diffraction efficiency for shorter propagation distances, or a lower UV fluence.

Other reactions can potentially be utilized to increase the gas heating even further, like adding hydrogen to the buffer gas due to its fast reaction rate with $\text{O}(^1D)$ and the high exothermicity of the reaction.

Applications of gas optics for ICF or IFE experiments will require operations in a vacuum environment, which might be the primary challenge facing the deployment of gas optics technology for these applications. Gas jets could in principle be utilized to generate gas densities close to those at atmospheric pressure; however, the high pressurization of ozone required in the process can lead to its

rapid self-dissociation. Lowering the requirements on the fraction of ozone, like CO_2 is estimated to allow, should help find a workable parameter space for high-power laser applications in vacuum environments.

Finally, our model can easily be extended to the design of other volumetric holographic optical elements. In particular, a volumetric holographic lens, similar to the plasma lenses described in Refs. [6,32], should be a relatively straightforward next step, requiring the extension of our one-dimensional fluid model to both transverse dimensions (x, y).

VI. CONCLUSION

In conclusion, we have derived a comprehensive description of the physics and chemistry of acousto-optics in gas initiated by the absorption of a modulated UV “imprint” beam and resulting gas heating. Such gas-based optical elements have the potential to transform the design and applications of high-power lasers, including inertial fusion energy. Compared to plasma gratings such as those described in our previous work [33], gas optics have lower index modulation amplitudes, but significantly longer lifetimes (tens of nanoseconds versus tens of picoseconds for plasma gratings), making them better suited for long-pulse (nanosecond) laser applications. Our model gives good agreement with previous experiments and will be used to design future ones for high-power laser applications, including for the design of other holographic elements relying on the same process, such as diffraction lenses. Other configurations with different absorbing molecules or buffer gas are expected to further improve the performances of these novel optical elements.

ACKNOWLEDGMENTS

We are grateful to Y. Michine, H. Yoneda, R. More, J. Wurtele, P. Cameron-Smith, and L. Divol for fruitful discussions. This work was performed under the auspices of the U.S. Department of Energy by Lawrence Livermore National Laboratory under Contract DE-AC52-07NA27344, and was funded by the Laboratory Research and Development Program at LLNL under Project Tracking Code No. 24-ERD-001. This document was prepared as an account of work sponsored by an agency of the U.S. government. Neither the U.S. government nor Lawrence Livermore National Security, LLC, nor any of their employees makes any warranty, expressed or implied, or assumes any legal liability or responsibility for the accuracy, completeness, or usefulness of any information, apparatus, product, or process disclosed, or represents that its use would not infringe privately owned rights. Reference herein to any specific commercial product, process, or service by trade name, trademark, manufacturer, or otherwise does not necessarily constitute or imply its endorsement, recommendation, or favoring by the U.S.

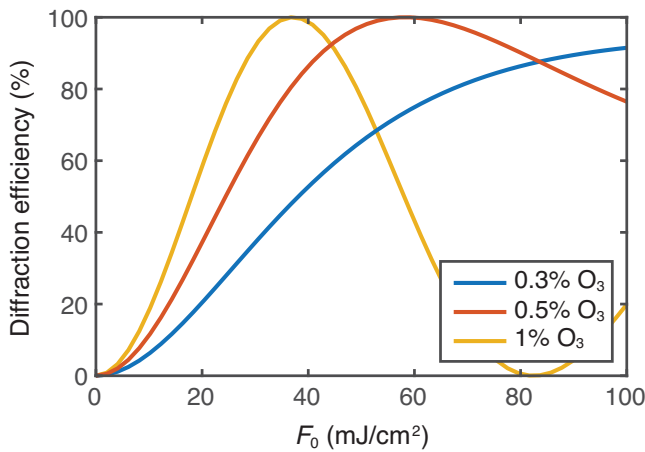


FIG. 10. Diffraction efficiency for a 1-cm gas optics (same as Fig. 7), but with CO_2 instead of O_2 used as the buffer gas.

government or Lawrence Livermore National Security, LLC. The views and opinions of authors expressed herein do not necessarily state or reflect those of the U.S. government or Lawrence Livermore National Security, LLC, and shall not be used for advertising or product endorsement purposes.

-
- [1] Robert W. Boyd, *Nonlinear Optics* (Academic Press, Inc., Orlando, FL, USA, 2008), 3rd ed.
 - [2] W. Dür, Acousto-optic interaction in gases and liquid bases in the far infrared, *Int. J. Infrared Millimeter Waves* **7**, 1537 (1986).
 - [3] Yurina Michine and Hitoki Yoneda, Ultra high damage threshold optics for high power lasers, *Commun. Phys.* **3**, 24 (2020).
 - [4] Yannick Schrödel, Claas Hartmann, Jiaan Zheng, Tino Lang, Max Steudel, Matthias Rutsch, Sarper H. Salman, Martin Kellert, Mikhail Pergament, Thomas Hahn-Jose, Sven Suppelt, Jan Helge Dörsam, Anne Harth, Wim P. Leemans, Franz X. Kärtner, Ingmar Hartl, Mario Kupnik, and Christoph M. Heyl, Acousto-optic modulation of gigawatt-scale laser pulses in ambient air, *Nat. Photonics* **18**, 54 (2024).
 - [5] Matthew R. Edwards and Pierre Michel, Plasma transmission gratings for compression of high-intensity laser pulses, *Phys. Rev. Appl.* **18**, 024026 (2022).
 - [6] M. R. Edwards, V. R. Munirov, A. Singh, N. M. Fasano, E. Kur, N. Lemos, J. M. Mikhailova, J. S. Wurtele, and P. Michel, Holographic plasma lenses, *Phys. Rev. Lett.* **128**, 065003 (2022).
 - [7] G. Lehmann and K. H. Spatschek, Transient plasma photonic crystals for high-power lasers, *Phys. Rev. Lett.* **116**, 225002 (2016).
 - [8] Howard Milchberg, Indestructible plasma optics, *Phys. Today* **72**, 70 (2019).
 - [9] P. Michel, L. Divol, D. Turnbull, and J. D. Moody, Dynamic control of the polarization of intense laser beams via optical wave mixing in plasmas, *Phys. Rev. Lett.* **113**, 205001 (2014).
 - [10] J. P. Palastro, D. Gordon, B. Hafizi, L. A. Johnson, J. Peñano, R. F. Hubbard, M. Helle, and D. Kaganovich, Plasma lenses for ultrashort multi-petawatt laser pulses, *Phys. Plasmas* **22**, 123101 (2015).
 - [11] H. Peng, C. Riconda, S. Weber, C. T. Zhou, and S. C. Ruan, Frequency conversion of lasers in a dynamic plasma grating, *Phys. Rev. Appl.* **15**, 054053 (2021).
 - [12] P. Yeh, *Introduction to Photorefractive Nonlinear Optics*, Wiley Series in Pure and Applied Optics (Wiley, 1993).
 - [13] Harold S. Johnston, Atmospheric ozone, *Annu. Rev. Phys. Chem.* **43**, 1 (1992).
 - [14] R. Schinke and G. C. McBane, Photodissociation of ozone in the Hartley band: Potential energy surfaces, nonadiabatic couplings, and singlet/triplet branching ratio, *J. Chem. Phys.* **132**, 044305 (2010).
 - [15] M.-A. Thelen, T. Gejo, J. A. Harrison, and J. Robert Huber, Photodissociation of ozone in the Hartley band: Fluctuation of the vibrational state distribution in the $O_2(^1\Delta_g)$ fragment, *J. Chem. Phys.* **103**, 7946 (1995).
 - [16] Michael J. Daniels and John R. Wiesenfeld, Rotational population distributions of $O_2(X^3\Sigma_g, v'' = 9, 12 \text{ and } 15)$ following ozone photolysis at 248 nm, *J. Chem. Phys.* **98**, 321 (1993).
 - [17] W. Kauzmann, *Kinetic Theory of Gases*, Dover Books on Chemistry Series (Dover Publications, 2012).
 - [18] Nori Taniguchi, Kouichi Hirai, Kenshi Takahashi, and Yutaka Matsumi, Relaxation processes of translationally hot $O(^1D)$ by collisions with O_2 , *J. Phys. Chem. A* **104**, 3894 (2000).
 - [19] J. B. Burkholder, S. P. Sander, J. Abbatt, J. R. Barker, C. Cappa, J. D. Crounse, T. S. Dibble, R. E. Huie, C. E. Kolb, M. J. Kurylo, V. L. Orkin, C. J. Percival, D. M. Wilmoth, and P. H. Wine, *Chemical Kinetics and Photochemical Data for Use in Atmospheric Studies, Evaluation No. 19*, Tech. Rep. (JPL Publication 19-5, Jet Propulsion Laboratory, Pasadena, 2019).
 - [20] Jack G. Green, Jichun Shi, and John R. Barker, Photochemical kinetics of vibrationally excited ozone produced in the 248 nm photolysis of O_2/O_3 mixtures, *J. Phys. Chem. A* **104**, 6218 (2000).
 - [21] C. A. Rogaski, J. A. Mack, and A. M. Wodtke, State-to-state rate constants for relaxation of highly vibrationally excited O_2 and implications for its atmospheric fate, *Faraday Discuss.* **100**, 229 (1995).
 - [22] Tomas Baer, Andrew E. DePristo, and J. J. Hermans, Statistical energy partitioning in dissociation to several products, *J. Chem. Phys.* **76**, 5917 (1982).
 - [23] Pierre Michel, in *Introduction to Laser-Plasma Interactions* (Springer International Publishing, Cham, 2023), p. 371.
 - [24] Allan D. Pierce, in *Acoustics: An Introduction to Its Physical Principles and Applications* (Springer International Publishing, Cham, 2019), p. 583.
 - [25] Yurina Michine, Richard M. More, and Hitoki Yoneda, Large-amplitude density waves produced in ozone-mixed gas by ultraviolet laser irradiation, *Phys. Fluids* **36**, 041703 (2024).
 - [26] E. B. Cummings, I. A. Leyva, and H. G. Hornung, Laser-induced thermal acoustics (LITA) signals from finite beams, *Appl. Opt.* **34**, 3290 (1995).
 - [27] H. Eichler, G. Salje, and H. Stahl, Thermal diffusion measurements using spatially periodic temperature distributions induced by laser light, *J. Appl. Phys.* **44**, 5383 (1973).
 - [28] W. R. Klein and B. D. Cook, Unified approach to ultrasonic light diffraction, *IEEE Trans. Sonics Ultrasonics* **14**, 123 (1967).
 - [29] M. G. Moharam and L. Young, Criterion for Bragg and Raman-Nath diffraction regimes, *Appl. Opt.* **17**, 1757 (1978).
 - [30] Herwig Kogelnik, Coupled wave theory for thick hologram gratings, *Bell Syst. Tech. J.* **48**, 2909 (1969).
 - [31] A. Yariv and P. Yeh, *Optical Waves in Crystals: Propagation and Control of Laser Radiation*, Wiley Series in Pure and Applied Optics (Wiley, 2002).
 - [32] G. Lehmann and K. H. Spatschek, Plasma volume holograms for focusing and mode conversion of ultraintense laser pulses, *Phys. Rev. E* **100**, 033205 (2019).
 - [33] M. R. Edwards, S. Waczynski, E. Rockafellow, L. Manzo, A. Zingale, P. Michel, and H. M. Milchberg, Control of intense light with avalanche-ionization plasma gratings, *Optica* **10**, 1587 (2023).