Ni-(In,Ga)As Alloy Formation Investigated by Hard-X-Ray Photoelectron Spectroscopy and X-Ray Absorption Spectroscopy

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The electrical, chemical, and structural interactions between Ni films and $In_{0.53}Ga_{0.47}As$ for source-drain applications in transistor structures have been investigated. It was found that for thick ($> 10 \text{ nm}$) Ni films, a steady decrease in sheet resistance occurs with increasing anneal temperatures, however, this trend reverses at 450 °C for 5 nm thick Ni layers, primarily due to the agglomeration or phase separation of the Ni-(In,Ga) As layer. A combined hard-x-ray photoelectron spectroscopy (HAXPES) and x-ray absorption spectroscopy (XAS) analysis of the chemical structure of the Ni-(In,Ga)As alloy system shows: (1) that Ni readily interacts with $In_{0.53}Ga_{0.47}As$ upon deposition at room temperature resulting in significant interdiffusion and the formation of NiIn, NiGa, and NiAs alloys, and (2) the steady diffusion of Ga through the Ni layer with annealing, resulting in the formation of a $Ga₂O₃$ film at the surface. The need for the combined application of HAXPES and XAS measurements to fully determine chemical speciation and sample structure is highlighted and this approach is used to develop a structural and chemical compositional model of the Ni-(In,Ga)As system as it evolves over a thermal annealing range of 250 to 500 °C.

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I. INTRODUCTION

The III-V materials, such as GaAs and $In_{0.53}Ga_{0.47}As$, show promise as a Si replacement as the channel material in n-MOSFETs due to their higher injection velocities and electron mobilities. Research has recently focused on $In_{0.53}Ga_{0.47}As$ due to promising improvements in the In_{0.53}Ga_{0.47}As–high- κ interface [\[1](#page-9-0)–5], however, the issue of source-drain contacts in $In_{0.53}Ga_{0.47}As-based MOSFETs$ remains. A possible solution is to find a self-aligned silicidelike material (salicide) to act as the source-drain contacts [\[6\].](#page-10-0) The optimum material would ideally display an abrupt ordered interface with $In_{0.53}Ga_{0.47}As$, low sheet resistance (R_{sh}) , as well as achieving the thermal stability to withstand the temperatures involved in current MOSFET fabrication processes. The search for this material has recently focussed on the Ni-(In,Ga)As system, due to its promisingly low R_{sh} , and its apparent sharp interface with $In_{0.53}Ga_{0.47}As [6–8].$ $In_{0.53}Ga_{0.47}As [6–8].$ In addition, investigations have been performed on the ability to incorporate this material system into standard device processing procedures [\[7,9\].](#page-10-1) There is a large body of work detailing the chemical interaction between Ni and GaAs, and Ni and InP, yet there is significantly less published data on the Ni-(In,Ga)As system, which is known to form a mixed metallic alloy phase [10–[13\].](#page-10-2) Previous studies have shown a trend of decreasing R_{sh} with increasing postdeposition anneals, although there is a reversal in this trend between 450 and 500° C [\[7,14\].](#page-10-1) While it has been suggested that this change could be attributed to the thermal desorption of the III-V elements at this anneal temperature, more detailed work is needed to understand this behavior. The aim of this study is to address this issue by exploring the details of the chemical bond formation resulting both from the initial Ni deposition as well as the structural changes which occur after a range of thermal anneals up to 500 °C.

X-ray photoelectron spectroscopy (XPS) is a widely used technique for the identification of chemical changes at metal-semiconductor interfaces, and can readily detect the oxidation state of the chemical species present. Additionally, XPS can be used to quantitatively determine nondestructive depth-dependent composition due to thewellcharacterized photoelectron attenuation lengths [\[15\]](#page-10-3). Hard XPS (HAXPES) using x-ray photons of higher energy than conventional XPS can increase the effective sampling depth of the photoemission measurement to greater than 20 nm into the material[\[1,16,17\].](#page-9-0) Chemical speciation in XPS, however, is complicated by the dependence of peak energies and shapes on electrostatic interactions such as interfacial dipoles or fixed charges; that is, chemical differences cannot always [*](#page-0-1)

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be judged by apparent binding energy (BE) shifts alone. X-ray absorption spectroscopy (XAS) measures the absorption edges of the individual elements in a material to deduce information about their local bonding environment [\[18\]](#page-10-4). Unlike XPS, fluorescence yield XAS is unaffected by sample charging, reducing the uncertainty in chemical speciation. In the analysis of XAS measurements, the spectra can be compared with those of reference materials thereby assisting in the identification of the chemical species present. This paper demonstrates the advantage in combining these two techniques, to obtain a clear chemical and structural model of a complex material system.

In this study, nickel films of different thicknesses were sputter deposited on undoped $In_{0.53}Ga_{0.47}As$ substrates and thermally annealed at a range of temperatures between 250 and 500° C in 50° C steps. These samples were then investigated using sheet resistance, XAS, scanning electron microscopy (SEM), transmission electron microscopy (TEM), and HAXPES measurements in order to obtain information on the electrical resistivity, the chemical composition and the physical structure of the Ni-(In,Ga) As interfacial region. Grazing incidence XAS measurements were used to identify the chemical phases present in the Ni-(In,Ga)As interfacial region and compared to more bulk sensitive measurements.

II. EXPERIMENT

The undoped $In_{0.53}Ga_{0.47}As samples consisted of$ 30-nm-thick $In_{0.53}Ga_{0.47}As$ layers grown by molecular beam epitaxy (MBE) on InAlAs epi-ready layers on InP substrates. The samples were cleaned for 60 s in dilute hydrofluoric acid prior to being loaded into the metal deposition chamber. The samples for electrical and TEM measurements were produced with 5-, 15-, and 25-nmthick sputter-deposited Ni layers, and separate samples were prepared by annealing in 50 °C steps between 250 and 500 °C for 60 s. For HAXPES analysis, one sample was left bare to act as a clean $In_{0.53}Ga_{0.47}As reference, while all$ other samples had 5 nm of Ni sputter-deposited. One of these Ni capped samples was left unannealed, while the remaining samples were annealed in situ in the deposition chamber in 50 °C steps between 250 and 500 °C. In order to study the changes in the relative intensities of the peaks as a function of thermal annealing, which can assist in determining the extent of interdiffusion and hence the location of the chemical species, an internal reference peak which remains at constant intensity is necessary. This was achieved by capping all of the HAXPES samples with a sputter-deposited 3-nm SiN capping layer in a separate chamber, after the Ni deposition and anneal, to act both as a barrier to postprocessing oxidation and as an internal reference. As such, the Si 1s peak was acquired at the same time as each core level, with a constant ratio between the number of scans of the Si 1s and the relevant core level of 1∶4. This was subsequently used to correct for any photon energy drift during the measurements, and to normalize the intensity of the core level spectra across all samples. Using the Si 1s reference spectra for each core level, the change in peak intensity of a given chemical species throughout the anneal study can be determined, and thus the diffusion behavior of the different elements can be investigated [\[19\].](#page-10-5) An equivalent sample set was prepared using identical conditions for the XAS measurements. However, the SiN layer was not present, as a reference layer is not required in XAS.

Electrical sheet resistance (R_{sh}) measurements were performed on the annealed samples using a four-point probe apparatus. The samples for TEM analysis were prepared using a dual-beam focused ion beam equipped with an *in situ* nanomanipulator. The samples were first protected by applying layers of electron-beam-deposited C and Pt, and then a second layer of Pt was deposited using the ion beam. The samples were thinned to electron transparency using 30- and 7-kV Ga ion beams followed by a 2-kV clean-up step. The samples were imaged using a scanning transmission electron microscopy apparatus operated at 300 kV and the images were recorded using a beam convergence angle of 8.1 mrad. TEM images were filtered to produce zero-loss images with a 10-eV energy window.

HAXPES measurements were carried out on the National Institute of Standards and Technology (NIST) beam line (X24A) at the National Synchrotron Light Source (NSLS) at Brookhaven National laboratory (BNL). A double Si (111) crystal monochromator allowed for photon energy selection in the range of 2.1 to 5.0 keV. An electron energy analyzer was operated at a pass energy of 200 eV giving an overall experimental broadening of 0.29 eV at the chosen photon energy of 2200 and 0.43 eV at 3000 eV, and 0.52 eV at 4050 eV. The total sampling depth of the HAXPES measurement using a photon energy of 2200 eV is estimated to be 13 nm [\[20\]](#page-10-6), which ensures the detection of photoemitted electrons from the 3-nm SiN and 5-nm Ni layers, as well as approximately 6 nm into the $In_{0.53}Ga_{0.47}As$, which is obtained from the inelastic mean free path of the As $2p_{3/2}$, Ga $2p_{3/2}$, and In $3d_{5/2}$ photoemitted electrons at this photon energy [\[21\]](#page-10-7). The sampling depth is estimated to be 18 and 21 nm for 3000 eV and 4050 eV, respectively. The XPS core-level spectra were curve fitted using Voigt profiles composed of Gaussian and Lorentzian line shapes with a Shirley-type background [\[22\].](#page-10-8)

Fluorescence yield hard-x-ray XAS measurements were performed on the As, Ga, and Ni K edges at room temperature using the NIST beam line (X23A2) at the NSLS. A double Si (311) crystal monochromator allowed for photon-energy selection in the range of 4.9 to 30 keV. XAS measurements were performed with the angle between the incident beam and sample surface at the critical angle (approximately 0.15°) to produce surfacesensitive data and at both 0.5° (Ga and As K edges) and 1.2° (Ni K edge) to sample either the entire Ni film and/or a more bulk-sensitive $In_{0.53}Ga_{0.47}As signal. The grazing$ incidence angle chosen was at a critical angle of 0.15° where the x-ray photon penetration depth into the sample is 2.5 to 5 nm. Below the critical angle, total external reflection occurs. The XAS signal is entirely bulk sensitive at an incidence angle of 1° [\[23\],](#page-10-9) where an incidence angle of 90° is normal to the sample surface.

III. RESULTS AND DISCUSSION

In order for Ni-(In,Ga)As to be incorporated into the processing of III-V MOSFETs, it is critical to know the effect of both anneal temperature and initial Ni thickness (t_{Ni}) on the electrical resistance. The sheet resistance of the Ni-(In,Ga)As samples over a range of annealing temperatures was determined by four point probe mea-surements. Figures [1\(a\)](#page-2-0) and [1\(b\)](#page-2-0) show the plots of R_{sh} for a 5-nm and a 15-nm thick Ni layer, respectively, annealed in 50 °C steps between 250 and 500 °C. A consistent drop in $R_{\rm sh}$ with increasing anneal temperature up to 400 °C is observed for the 5-nm deposited layer, with the trend reversing for higher temperatures. This reversal is not observed for the 15-nm film which is attributed to the decreasing influence of Ni-(In,Ga)As interface effects as the thickness of the deposited layer is increased. The even lower value of sheet resistance for the 25-nm Ni film following a 400 \degree C anneal evident from Fig. [1\(c\)](#page-2-0) confirms this trend in agreement with previous studies of the Ni-(In,Ga)As system [7–[9\]](#page-10-1).

Cross-sectional TEM measurements and top-down SEM images of the 5-nm-deposited samples following the 250, 400, and 500 °C anneals were taken in order to determine the morphological changes in the Ni-(In,Ga)As layer occurring over the course of the anneal study, and in particular if any significant difference could be observed following the 500 °C anneal. In the TEM image in Fig. [2\(a\)](#page-3-0) for the 250 °C anneal sample, a uniform thickness Ni-(In,Ga)As layer can be clearly distinguished. When the anneal temperature is increased to 400 °C, the Ni-(In,Ga)As layer thickness increases, suggesting increased interdiffusion. After the 500 °C anneal, there is evidence of significant disruption of the ordered layer structure apparent at lower temperatures and agglomeration or phase separation is seen in the Ni-(In,Ga)As layer. This effect is also seen in SEM images, displayed in Fig. [2](#page-3-0), which show a substantial increase in surface roughness and evidence of islanding in the 500 °C sample as compared to 250° C and 400° C samples. This is a possible reason for the increase in sheet resistivity seen in Fig. [1\(a\)](#page-2-0) following a 500 $^{\circ}$ C anneal, as the sample surface is no longer fully covered by a uniform Ni-(In,Ga)As layer due to the disruption of the layered structure.

In order to further understand the decrease and subsequent increase in R_{sh} with increasing anneal temperature for the 5-nm-deposited Ni film, it is necessary to determine the changes in the sample chemistry which occur in the Ni-(In,Ga)As interfacial region probed by XAS and HAXPES measurements. The normalized HAXPES spectra of the In $3d_{5/2}$, As $2p_{3/2}$, and Ga $2p_{3/2}$ core levels for the SiN capped $In_{0.53}Ga_{0.47}As reference sample with no$ nickel layer are shown in Fig. [3.](#page-3-1) The spectra are fitted according to the parameters specified by Brennan et al. [\[24\]](#page-10-10), who compiled a wide variety of reported oxide positions for the $In_{0.53}Ga_{0.47}As$ core level peaks. It is clear

FIG. 1. Sheet resistance measurements (a) on 5-nm- and (b) 15-nm-thick Ni layers as a function of postdeposition anneal temperature and (c) as a function of Ni thickness after a 400 °C anneal.

FIG. 2. SEM (top) and TEM (bottom) images of 5-nm Ni capped samples after (a) 250° C, (b) 400° C, and (c) 500° C postdeposition anneals showing the increasing thickness of the Ni-(In,Ga)As following the 400 $^{\circ}$ C anneal and the agglomeration or phase separation which occurs following the 500 °C anneal.

from the presence of higher BE components in the individual elemental spectra that the $In_{0.53}Ga_{0.47}As$ surface was partially oxidized due to air exposure prior to SiN deposition. The corresponding As and Ga absorption K edges from the XAS measurements of this sample for both surface- and bulk-sensitive modes, shown in Fig. [3\(b\)](#page-3-1), reflect the homogenous composition within the XAS sampling depth and match reference spectra of GaAs and InAs samples [\[25,26\]](#page-10-11). The surface-sensitive As K-edge spectra show evidence of oxidation, seen as an increase in the white line peak intensity (at 11 868 eV) compared to the bulk sensitive spectra, which is consistent with As oxidizing more readily than Ga at GaAs and $In_{0.53}Ga_{0.47}As$ surfaces [\[27\]](#page-10-12).

To understand the change in the chemical structure of the sample throughout the study, it is necessary to study both the chemical species present at each anneal stage and the location and relative concentration of these chemical species within the sample. As stated earlier, the use of an internal reference peak, such as the Si 1s peak used here, allows the location of each chemical species to be determined. The Si 1s core-level spectra acquired from the SiN capping layers on the bare $In_{0.53}Ga_{0.47}As sample and the Ni-(In,Ga)As sample$ as-deposited and following a 500 °C anneal, as shown in Fig. [4](#page-4-0), display a very similar profile, apart from an increase in the higher BE shoulder at $+1.5$ eV. The SiN surface would be expected to oxidize after removal from the deposition chamber, forming a thin layer of $Si₂N₂O$ or $SiO₂$ [\[28\]](#page-10-13), however, this would be expected to be similar for all samples. Therefore, the increased level of Si oxidation apparent for the samples with the Ni interlayer would suggest that this oxidation has occurred at the SiN/Ni interface which will be discussed later.

Figure [5\(a\)](#page-4-1) shows the normalized (to the Si signal in the SiN capping layer) and curve fitted Ni $2p_{3/2}$ HAXPES

FIG. 3. (a) Normalized and fitted In $3d_{5/2}$, As $2p_{3/2}$, and Ga $2p_{3/2}$ HAXPES spectra acquired at a photon energy of 2200 eV for a SiN-(In,Ga)As sample showing surface oxidation of the $In_{0.53}Ga_{0.47}As$ layer. The spectra are plotted relative to the binding energy of the substrate In_{0.53}Ga_{0.47}As peaks, As-Ga for the As $2p_{3/2}$, Ga-As for the Ga $2p_{3/2}$, and In-As for the In $3d_{5/2}$. (b) XAS spectra of As and Ga K edges showing the similarity in surface and bulk sensitive spectra of the $In_{0.53}Ga_{0.47}As$ material consistent with a uniform chemical composition within the XAS sampling depth.

FIG. 4. Normalized Si 1s spectra for the SiN capping layer with (20 °C) and without Ni and after 500°C anneals. The spectra are plotted relative to the binding energy of the SiN peak.

spectra acquired at 2200 eV photon energy for the SiN-Ni- (In, Ga) As samples as-deposited (20 °C) and after 300 °C and 400 °C anneals. The spectra are fitted with two peaks, the metal Ni peak at 0 eV, and a broad plasmon loss feature at $+2.4$ eV [\[29\].](#page-10-14) The metallic peak is fitted using an asymmetric Voigt function with Lorentzian values of 0.46 ± 0.05 eV, and Gaussian values of 0.55 ± 0.05 eV. The decrease in the intensity of the Ni $2p_{3/2}$ peak with successively higher anneals suggests either the diffusion of the Ni into the $In_{0.53}Ga_{0.47}As$, and/or the diffusion of the $In_{0.53}Ga_{0.47}As substrate elements through the Ni. However,$ there is no obvious change in the line shape of the Ni $2p_{3/2}$ throughout the annealing study which indicates that no strong chemical interaction between the Ni and $In_{0.53}Ga_{0.47}As$ can be detected by HAXPES measurements of the Ni 2p.

Figure $5(b)$ shows the Fourier transformed Ni K-edge XAS spectrum of a reference polycrystalline metal Ni foil and the Ni-(In,Ga)As sample prior to any anneal. It is clear that the deposited Ni is not in a metallic Ni chemical environment, suggesting that significant intermixing with the $In_{0.53}Ga_{0.47}As$ has occurred upon deposition. The Ni K-edge spectra for the as-deposited layer shown in Fig. [5\(c\)](#page-4-1), acquired in both bulk- and surface-sensitive modes, match the XAS spectrum of NiGa [\[30\].](#page-11-0) While the two-stepped structure (with step features at 8334 and 8342 eV) is weaker in the as-deposited film, possibly due to a mixed NiGa and metallic

FIG. 5. (a) Normalized and fitted Ni 2 $p_{3/2}$ HAXPES spectra for SiN-Ni-(In,Ga)As samples as-deposited (20 °C) and after 300 °C and 400 °C anneals. The spectra are plotted relative to the binding energy of the main Ni peak. (b) Fourier transformed XAS spectra of the Ni K edge, for a reference Ni foil and the Ni signal from the as-deposited (20 °C) Ni-(In,Ga)As sample and (c) XAS spectra from the as-deposited (20 °C) Ni-(In,Ga)As sample, after 400 °C anneal.

Ni phase in the reacted layer, this structure becomes more prominent in both the bulk and surface spectra after 250 °C anneal (not shown) indicating the continued formation of a NiGa phase. The NiGa formation also indicates that Ga atoms have diffused into the Ni layer at room temperature. Increasing the anneal temperature to 400° C, as seen in Fig. [5\(c\)](#page-4-1) results in the surface spectrum still resembling a NiGa alloy, but the bulk spectrum begins to show a change consistent with a chemically mixed phase of NiGa and NiAs, or $NiAs₂$, as suggested by the appearance of peaks at 8344 and 8353 eV in the bulk-sensitive spectra [\[31\]](#page-11-1). After a 500 °C anneal (not shown), the peaks at 8342 and 8352 eV in the bulk-sensitive spectrum, which are assigned to NiAs, become more prominent, while the surface-sensitive signal also displays the same NiAs features. Even for the highest anneal temperature, both surface- and bulk-sensitive spectra display a mix of NiGa and NiAs bonding configurations, once again confirming the diffusion of Ga through the Ni layer, and continued formation of NiAs. There is no evidence of Ni oxidation in any of the samples. It is important to note that from the HAXPES measurements no chemical change in the Ni spectra is detected, while the XAS identifies a large Ni-(In, Ga)As interaction resulting in a variety of Ni compounds, which evolve as the anneal temperature increases. This indicates that the chemical reaction between the Ni and $In_{0.53}Ga_{0.47}As initiates upon Ni deposition and the only$ change following anneal is the continued reaction and interdiffusion between the Ni and $In_{0.53}Ga_{0.47}As.$ As the XAS identifies the bonds present in the surface and bulk of the reacted layer, a difference is seen following anneal due to the diffusion of Ga through the sample, leading to the NiGa being located closer to the sample surface than the NiAs.

Figure [6\(a\)](#page-5-0) shows the HAXPES spectra for the normalized and curve-fitted Ga $2p_{3/2}$ core level acquired at 2200 eV photon energy for the SiN-(In,Ga)As sample and the SiN-Ni- (In, Ga) As sample, as-deposited $(20 °C)$ and after a 400 °C anneal. The spectrum from the SiN-(In,Ga)As sample is fitted with two peaks, one representing the Ga-As peak present in the $In_{0.53}Ga_{0.47}As bulk, and one$ which is attributed to $Ga₂O$ due to surface oxidation. The spectrum of the as-deposited SiN-Ni-(In,Ga)As sample shows the growth of a broad peak at $+1$ eV BE, consistent with an oxidized Ga state, although it is difficult to be definitive as to the exact stoichiometry of the oxide as the attenuation of the substrate Ga-As peak removes the reference by which the oxidation state is normally identified [\[32\]](#page-11-2). In addition to the oxide growth, the lower BE peak at −0.5 eV is attributed to a Ga-Ni bonding interaction at the surface based on the respective electronegativities of both Ga (1.81) and Ni (1.91) [\[33\]](#page-11-3). The reaction between Ga and Ni has been studied previously on GaAs and the observed strong interaction between Ni and Ga [\[12\]](#page-10-15) was attributed to the large enthalpy of formation for NiGa [\[34\].](#page-11-4) As the anneal temperature increases to 400 °C, a large growth in the Ga oxide peak, at $+1$ eV, is seen, and a decrease in the Ga-Ni intensity. This assignment is consistent with interdiffusion between the $In_{0.53}Ga_{0.47}As$ and Ni layer as has been previously reported for the interaction between Ni and Ga containing semiconductors [\[34,35\]](#page-11-4). The subsequent oxidation of the up-diffused Ga, prior to SiN deposition, results in the formation of a surface oxidized overlayer which acts to suppress the Ga-Ni signal. The Ga $2p_{3/2}$ spectra following the 500 °C anneal (not shown) is similarly dominated by the Ga oxide peak, indicating the formation of a thick Ga oxide layer prior to the deposition of the SiN cap.

The bulk-sensitive Ga K -edge spectra shown in Fig. $6(b)$ for $In_{0.53}Ga_{0.47}As$ samples with and without Ni are indicative of the gallium atoms being in either a GaAs or $In_{0.53}Ga_{0.47}As bonding environment with the characteristic$ two-peak structure at 10 378 and 10 384 eV [\[25\]](#page-10-11). However, with Ni deposition, the surface sensitive spectrum shown shows significant changes, and the appearance of a broad

FIG. 6. (a) Normalized and fitted Ga $2p_{3/2}$ HAXPES spectra, and (b) Ga K-edge XAS spectra, for a SiN-(In,Ga)As sample and SiN-Ni-(In,Ga)As samples as-deposited (20 °C), and after a 400 °C anneal. The HAXPES spectra are plotted relative to the binding energy of the substrate Ga-As peak.

feature at 10 380 eV, which could suggest the presence of NiGa or oxidized Ga at the surface of the Ni-(In,Ga)As film [\[36,37\]](#page-11-5). This additional feature in the spectrum is less evident in the bulk sensitive spectra shown in Fig. [6\(b\)](#page-5-0) again suggesting that this species is localized at the top of the Ni layer. Figure [6](#page-5-0) also shows the spectra for a Ni-(In, Ga)As sample following a 400° C anneal, where the appearance of a structure (double peak feature at 10 379 and 10 382 eV) matching Ga_2O_3 is seen, in agreement with previous studies [\[36](#page-11-5)–38]. Direct evidence for the formation of NiGa bonds cannot be determined from these spectra due to the fact that the primary feature of NiGa appears at 10 378 eV [\[30\],](#page-11-0) and thus would be difficult to distinguish from the signal, although NiGa was previously detected in the Ni K-edge spectra. These results suggest that upon Ni deposition some Ga atoms diffuse through the Ni layer to the surface where they oxidize, which is assumed to have occurred when the Ni-(In,Ga)As samples were removed from the vacuum system. The $500\,^{\circ}\text{C}$ spectra (not shown) display very similar results to the 400 °C spectra. The Ga K-edge data are thus consistent with the picture provided by the Ni K -edge analysis.

The complementary chemical information derived from the HAXPES and XAS spectra is therefore particularly beneficial in the analysis of the Ga $2p_{3/2}$. In relation to the Ga $2p_{3/2}$ peak profile after Ni deposition, the only evidence in the HAXPES spectra that this peak represents a different chemical state of the Ga is the large increase in the FWHM (1.38 eV in the oxide, as opposed to 0.78 eV in the Ga-As). The XAS spectra for the same sample acquired in a surfacesensitive mode identifies the Ga signal to be in an oxidized state which is further confirmed by the HAXPES and XAS spectra following the 400° C anneal, where the Ga oxide is identified as $Ga₂O₃$. It is likely that oxidized Ga seen in the 20 °C spectra is composed of a number of suboxide states, and following anneal at 400 °C the greater concentration of Ga at the Ni-(In,Ga)As surface forms $Ga₂O₃$, which is the most stable of the Ga oxides [\[39\]](#page-11-6).

The formation of Ga_2O_3 at the surface of the Ni-(In,Ga)As reacted layer can be used to explain the changes in SiN oxidation seen in Fig. [4](#page-4-0). The increase in the SiN-O signal in the as-deposited SiN-Ni-(In,Ga)As sample is likely due to oxygen gettering by the SiN layer from the $Ga₂O₃$ at the $SiN/Ni-(In, Ga)As$ interface. The Gibbs free energies of SiN oxidation products, either SiO₂ ($G = -802$ kJ/mole) or Si_2N_2O ($G = -1063 \text{ kJ/mole}$) [\[28,40\]](#page-10-13), compared to Ga₂O₃ (G = -998.3 kJ/mole) [\[41\]](#page-11-7) suggest that Si₂N₂O could form at the $SiN/Ni-(In, Ga)As$ interface. The fact that there is no change in the extent of oxidation after a 500 °C anneal, where the $Ga₂O₃$ thickness is known to increase, indicates that this gettering behavior is self-limiting.

Figure [7](#page-6-0) displays the normalized and curve-fitted In $3d_{5/2}$ spectra acquired at 2200 eV for the SiN-(In,Ga)As sample and the SiN-Ni- (In,Ga) As sample as-deposited $(20 °C)$ and after a 400 °C anneal. For the reference $SiN-(In,Ga)As$

FIG. 7. Normalized and fitted In $3d_{5/2}$ spectra for sample with (20 °C) and without Ni, and after 400 °C anneal. The spectra are plotted relative to the binding energy of the substrate In-As peak.

sample, the presence of a higher BE component shifted by $+0.46$ eV BE with respect to the In-As peak is indicative of an oxidized surface. A substantial change occurs upon deposition of the Ni layer resulting in the appearance of a very broad spectral feature which can be curve fitted with four component peaks. The two peaks on the lower BE side of the bulk In-As peak are attributed to In—In bonds, at −1 eV and In—Ni bonds at −0.6 eV, consistent with the electronegativity values of In (1.78) and Ni [\[33\]](#page-11-3). Previous studies on the interaction of a deposited Ni layer with the InP surface, have reported the dissociation of the InP with the appearance of In-In bonds [10–[12\].](#page-10-2) The In-Ni peak at −0.3 eV continues to grow as the anneal temperature increases. The strong increase in intensity of the In-Ni peak over the anneal range is attributed to the diffusion of In into the Ni layer, forming more In-Ni bonds in the layer below the Ga_2O_3 , while both the In-In and In-As signals are suppressed with increasing anneal temperature as both bonds are localized at the $In_{0.53}Ga_{0.47}As$ interface.

XAS spectra of the In K edge were recorded, however, due to the high energy (27 000 eV) the peak was very broad making it hard to obtain information on the In bonding environment. The interpretation of the Ni K-edge spectra previously discussed suggests that any Ni-In formed is at a lower concentration than both NiAs and NiGa, as both of these alloys dominate the Ni-edge spectra at different temperatures. However, due to the lack of XAS data, specific In chemical assignments are necessarily more speculative.

Figure [8\(a\)](#page-7-0) shows the normalized and peak-fitted As $2p_{3/2}$ spectra for the SiN-(In,Ga)As sample and the SiN-Ni-(In,Ga)As sample as-deposited and after 400 °C anneal. For the SiN-(In,Ga)As sample, the As $2p_{3/2}$ profile has a higher BE component consistent with an oxidized surface. Although the overall line shape does not significantly change in the as-deposited SiN-Ni-(In,Ga)As sample, the substantial shift of $+0.9$ eV BE relative to the

FIG. 8. (a) Normalized and fitted As $2p_{3/2}$ HAXPES spectra for a SiN-(In,Ga)As sample and SiN-Ni-(In,Ga)As samples as-deposited (20 °C) and after a 400 °C anneal (b) As K-edge XAS spectra, for a SiN-(In,Ga)As sample and SiN-Ni-(In,Ga)As samples as-deposited (20 °C), after a 400 °C anneal. The HAXPES spectra are plotted relative to the binding energy of the substrate As-Ga peak.

As-Ga peak indicates a possible change in chemical state of the As located at the surface of the $In_{0.53}Ga_{0.47}As$, consistent with the formation of NiAs as also seen in the Ni K-edge data. Throughout the anneal study, the As chemical bonding environments do not change, however, there is a significant reduction in the intensities of the overall As $2p_{3/2}$ peak as the anneal temperature is increased. As in the case for the Ni $2p_{3/2}$ peak in Fig. [5,](#page-4-1) this is primarily attributed to the formation of the $Ga₂O₃$ layer above the $In_{0.53}Ga_{0.47}As$ interface. Additionally, the substantial reduction in the intensity of the total As profile as a function of thermal anneal indicates that the As remains primarily localized at the $In_{0.53}Ga_{0.47}As$ surface throughout the study. As K-edge spectra were acquired to confirm the sample composition profile, as shown in Fig. [8\(b\)](#page-7-0). The spectra of bare $In_{0.53}Ga_{0.47}As$ are characteristic of a clean $In_{0.53}Ga_{0.47}As$, or a GaAs As K-edge spectrum, with a double peak structure at 11 870 and 11 875 eV [\[25\]](#page-10-11) and the bulk spectra of the Ni-(In,Ga)As sample show no change with anneal. The surface-sensitive spectra do show a change indicative of a chemical interaction at the $In_{0.53}Ga_{0.47}As surface, however, it has not$ been possible to identify the precise chemical species present, as the layer may consist of a number of As species, such as As-As, AsNi, and AsO_x . Upon annealing at 400 °C, there is a significant change in the surface sensitive spectra with a strong peak emerging at 11 868 eV corresponding to NiAs or NiAs₂ phases $[31,42]$, while the bulk sensitive signal shows no change. Following the 500 °C anneal (not shown), the surface sensitive spectrum becomes less well defined, while the bulk signal still resembles $In_{0.53}Ga_{0.47}As. This suggests the formation of$ NiAs at the Ni-(In,Ga)As interface, in agreement with the HAXPES results.

The As $3d_{5/2}$ peak can also be used to determine the location of the As-Ni reacted phase with respect to the $In_{0.53}Ga_{0.47}As substrate. As such, it was measured at$ higher photon energies, thereby increasing the sampling depth from 6 nm into the $In_{0.53}Ga_{0.47}As layer for the As$ $2p_{3/2}$ peak acquired at 2200 eV photon energy, to 11, 15, and 20 nm for the As $3d_{5/2}$ taken at 2200, 3000, and 4050 eV, respectively, as shown in Fig. [9](#page-7-1) [\[20\]](#page-10-6). If the proposed structure of an As-Ni overlayer on the $In_{0.53}Ga_{0.47}As substrate is correct, then a more bulk$ sensitive measurement should be able to detect both As-Ni bonds and As-Ga bonds, attributed to the bulk $In_{0.53}Ga_{0.47}As.$ As can be seen in Fig. [9](#page-7-1), in the SiN-Ni-(In, Ga)As sample an As-Ga bonding component can be identified at 0.48 eV lower BE than the As-Ni component peak, with the As-Ga peak area increasing with increasing photon energy, as the measurement becomes increasingly

FIG. 9. Normalized and fitted As $3d_{5/2}$ spectra for an as-deposited SiN-Ni-(In,Ga)As sample taken at 2200, 3000, and 4050 eV photon energy. The spectra are plotted relative to the binding energy of the substrate As-Ga peak.

FIG. 10. Normalized and fitted Ga $2p_{3/2}$ spectra for an asdeposited (20 °C) SiN-Ni-(In,Ga)As sample and In $3d_{5/2}$ spectra for a SiN-Ni-(In,Ga)As sample after a 300 °C anneal, acquired at 2200 and 3000 eV photon energy.

bulk sensitive. This confirms the presence of an As-Ni bonding interaction in a layer at the surface of the $In_{0.53}Ga_{0.47}As substrate.$

In order to determine the relative positions of the Ni-In and In-In bonding interactions within the Ni-(In,Ga)As structure, HAXPES spectra were also acquired at 3000 eV photon energy which increases the sampling depth of the In $3d_{5/2}$ from 10 nm at 2200 eV to 13 nm at 3000 eV. From comparison of the normalized spectra following a 300 °C anneal, acquired at the two photon energies, as shown in Fig. [10](#page-8-0), the change in relative intensity between the In-In and In-Ni can be seen as the photon energy is varied. The results indicate that the In-In is located below the In-Ni, as in the more bulk sensitive (3000 eV) measurement the In-In intensity increases relative to the In-Ni. A similar comparison for the Ga $2p_{3/2}$ spectra of the as-deposited SiN-Ni-(In,Ga)As sample, where the sampling depth is increased from 7 nm at 2200 eV to 11 nm at 3000 eV, demonstrates the Ga-Ni intensity increasing relative to the Ga oxide in the more bulk sensitive measurement, indicating the Ga-Ni is located below the Ga oxide in the interface structure.

In order to produce an accurate model of the sample structure throughout the anneal study, it is important to understand the location of each chemical species. To this end, the photoionization cross section and inelastic mean free path (IMFP) normalized HAXPES peak areas, referenced with respect to the 3 nm SiN capping layer, are plotted as a function of anneal temperature for each sample in Fig. [11](#page-8-1). The error bars in Fig. 11 are taken from the discrepancy between the experimental data and the theoretical fit acquired using the XPS fitting software. The steady decrease in the Ni $2p_{3/2}$ signal intensity as a function of anneal temperature is consistent with both the diffusion of Ni into the $In_{0.53}Ga_{0.47}As layer as well as the$ growth of an overlayer of $Ga₂O₃$. Conversely, the increase

FIG. 11. Plots of the normalized HAXPES peak areas as a function of temperature, of (a) As $2p_{3/2}$, (b) Ga $2p_{3/2}$, (c) In $3d_{5/2}$, and (d) Ni $2p_{3/2}$ peaks. All areas have been normalized by photoionization cross section and inelastic mean free path.

of the Ga signal over the course of the anneal study agrees with the continual up-diffusion of Ga through the Ni layer, forming a Ga_2O_3 overlayer between the SiN and Ni layers. The Ga area begins to decrease after the 500° C anneal, which can be explained by the agglomeration of the Ni-(In,Ga)As layer at this temperature, resulting in the unreacted substrate $In_{0.53}Ga_{0.47}As moving toward the$ sample surface around the agglomerated region. A steady decrease in both the In $3d_{5/2}$ and As $2p_{3/2}$ signals up to 400 °C agrees with both of these elements out-diffusing less than the Ga and remaining closer to the $In_{0.53}Ga_{0.47}As surface. The increases seen in both peaks$ between 450 and 500 °C are due to the Ni- (In, Ga) As agglomeration at these temperatures which significantly disrupts the overall film structure. The segregation of Ga to the top of the interacted layer has also been seen in studies of Ni-GaAs, where $Ni₂GaAs$ is detected upon deposition and this segregates into NiGa near the sample surface, and NiAs near the GaAs substrate, following thermal anneal [\[13,43\]](#page-10-16). The much lower formation energy of $Ga₂O₃$ as opposed to Ni oxides is consistent with the conversion of the surface localized NiGa into a $Ga₂O₃$ surface layer [\[41\].](#page-11-7)

Combining the results from both XAS and HAXPES measurements, a model of the Ni-(In,Ga)As system over the course of the anneal study can be produced. All of the chemical interactions observed appear to initiate upon Ni deposition, contrary to previous results [\[8\],](#page-10-17) and only the volume of the reacted layers changes as the anneal temperature increases as Ni continues to diffuse into the $In_{0.53}Ga_{0.47}As layer. This expansion of the reacted$ Ni-(In,Ga)As layer results in the trend of decreasing sheet resistance as a function of temperature seen in Fig. [1](#page-2-0). The HAXPES and XAS experimental data can be used to construct a schematic model of the sample

FIG. 12. Schematic diagram showing the deduced Ni-(In,Ga)As sample structure and composition before and after (20 °C) Ni deposition and following 400 °C anneal.

structure prior to Ni deposition, upon Ni deposition, and after a 400 °C anneal, as shown in Fig. [12.](#page-9-1) The diverse range of the chemical species formed coupled with their physical location in relation to the original Ni-(In,Ga)As interface makes this a challenging experimental study which necessitated the enhanced sampling depth of HAXPES and the definitive chemical species identification capabilities of XAS. Previous studies have described a very abrupt $Ni-(In, Ga)As/(In, Ga)As$ interface, and constant composition throughout the Ni-(In,Ga)As layer [\[6](#page-10-0)–8]. The results in this study are contrary to these findings, as significant diffusion of certain species throughout the anneal study indicate a graded layered structure within the reacted region. These previous studies used secondary ion mass spectroscopy (SIMS) to characterize the diffusion profile of each element as well as the abruptness of the interface. While SIMS is a powerful method in determining the diffusion profile for a given element, the measurement in itself can cause intermixing and it does not provide chemical speciation. The key point in this study is that the combination of HAXPES measurements to identify reactions between Ni and $In_{0.53}Ga_{0.47}As$, and the diffusion profile of the elements throughout the Ni-(In,Ga)As layer, and XAS measurements to provide chemical speciation allows the chemical compositional structure of this complex material system to be investigated. Because minimizing the source-drain contact resistance is a key requirement for low power devices, the ability to correlate the chemical and electrical measurements facilitates the development of a more comprehensive understanding of the relationship between the chemical compositional profile and the conduction properties.

IV. CONCLUSIONS

The change in chemical composition, physical structure, and the accompanying change in resistivity of a Ni-(In,Ga) As interface was studied as a function of postdeposition anneal temperature. It was found that while the resistivity steadily decreases as the anneal temperature increases for thick films, thinner films show a reversal of this trend at 450 °C due to the agglomeration or phase separation of the Ni-(In,Ga)As film. The decrease in sheet resistance with anneal temperature is due to the increase in thickness of the Ni-(In,Ga)As interaction layer containing Ni—In, Ni—As, and Ni—Ga bonds. The analysis of both the chemical and structural composition of Ni-(In,Ga)As contacts has been shown to significantly benefit from the use of two complementary measurement techniques. While XAS can readily identify the chemical species present, HAXPES is required to determine the relative concentrations and diffusion trends throughout the annealing study. The combination of XAS and HAXPES analysis is necessary to fully describe the chemical composition and structure of the Ni-(In,Ga)As layer. The Ni-(In,Ga)As model derived from the experimental results reveals a highly reactive and interdiffused interface with substantive Ga out-diffusion and the formation of Ni-In and Ni-As alloy phases closer to the Ni-(In,Ga)As interface. These studies provide a detailed understanding of this material system and have potential application for fabrication strategies for source-drain contacts in future $In_{0.53}Ga_{0.47}As MOSFETs.$

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