


# Optimizing the Cavity-Arm Ratio of V-Shaped Semiconductor Disk Lasers

Stefan Meinecke<sup>1,\*</sup> and Kathy Lüdge<sup>2,†</sup>

<sup>1</sup>*Institut für Theoretische Physik, Technische Universität Berlin, Hardenbergstraße 36, Berlin 10623, Germany*

<sup>2</sup>*Technische Universität Ilmenau, Institut für Physik, Weimarer Straße 25, Ilmenau 98693, Germany*

 (Received 11 August 2022; revised 6 October 2022; accepted 16 November 2022; published 22 December 2022)

Passively mode-locked semiconductor disk lasers have received tremendous attention from both science and industry. Their relatively inexpensive production combined with excellent pulse performance and great emission-wavelength flexibility make them suitable laser candidates for applications ranging from frequency-comb tomography to spectroscopy. However, due to the interaction of the active medium dynamics and the device geometry, emission instabilities occur at high pump powers and thereby limit their performance potential. Hence, understanding those instabilities becomes critical for an optimal laser design. Using a delay-differential equation model, we are able to detect, understand, and classify three distinct instabilities that limit the maximum achievable pump power for the fundamental mode-locking state and link them to characteristic positive-net-gain windows. We furthermore derive a simple analytic approximation in order to quantitatively describe the stability boundary. Our results enable us to predict the optimal laser-cavity configuration with respect to positive-net-gain instabilities and therefore may be of great relevance for the future development of passively mode-locking semiconductor disk lasers.

DOI: [10.1103/PhysRevApplied.18.064070](https://doi.org/10.1103/PhysRevApplied.18.064070)

## I. INTRODUCTION

Passively mode-locked semiconductor disk lasers generate regular sequences of short optical pulses without requiring any external modulation [1]. The research on and the engineering of such lasers has been subject to outstanding progress over recent decades [2–4]. Nowadays, such lasers provide very competitive pulse performance, while offering flexible emission wavelengths and repetition rates due to their modular laser-cavity design and the modern semiconductor band-gap engineering technologies [2]. Pulse peak powers on the order of a few kilowatts [5], pulse widths as low as 100 fs [6], and repetition rates ranging from 85 MHz [7] to 190 GHz [8,9] have been reported. Moreover, the mature semiconductor fabrication processes enable the relatively inexpensive production of semiconductor disk lasers, which makes them a popular choice for applications in frequency-comb generation for metrology and spectroscopy [10–15], supercontinuum generation [3,16–18], and two-photon microscopy [19,20]. Since all these applications benefit from ultrashort and high-peak-power pulses, the further optimization of passively mode-locked semiconductor disk lasers is highly desirable and is the focus of an ongoing quest in both science and industry.

Typically, the achievable pulse peak power is limited by multipulse instabilities that arise at larger pump powers due to the relatively short upper-state lifetimes of semiconductor gain media [21]. One approach to overcome this issue is to construct elaborate multipass geometries [7,22–24], which prevent the build-up of an excess inversion in the gain chip and thus the formation of additional pulses. The complex interplay between the gain-medium dynamics and the laser-cavity setup yields a fundamental mode-locking stability boundary, which characteristically depends on the geometric cavity configuration. Understanding this dependence therefore becomes crucial for intelligent device design, which maximizes the laser performance.

In this paper, we consider the optimization of a V-shaped external cavity, which represents one of the simplest multipass geometries. As sketched in Fig. 1(a), the output coupler, gain chip, and absorber chip are arranged in a V shape, where the gain chip sits in the middle. Along one round trip through the cavity, the electric field therefore interacts twice with the gain chip and only once with the other two components. The passive free space in between the optical elements results in a field propagation time  $\tau_1$  between the output coupler and the gain chip and a field propagation time  $\tau_2$  between the gain chip and the absorber chip. Such setups have proven popular with semiconductor gain media and have thus been realized experimentally many times, with examples given in Refs. [3,5,6,25–27]. The particular device investigated in this paper is based

\*meinecke@tu-berlin.de

†kathy.luedge@tu-ilmenau.de

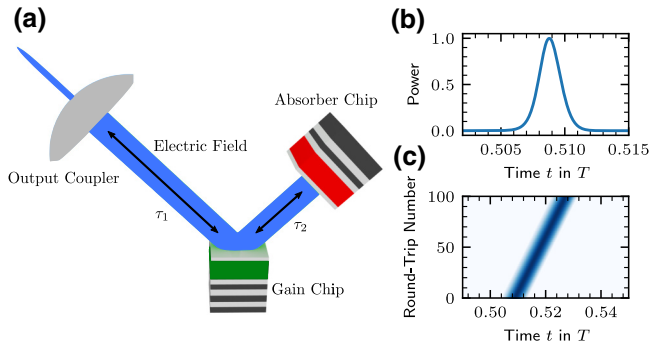


FIG. 1. (a) A sketch of the V-shaped external cavity laser. The setup contains three optical components: a high-reflectivity output coupler, a semiconductor gain chip, and a semiconductor saturable-absorber chip. The latter two are mounted on top of high-reflectivity distributed Bragg reflectors. The active region of the gain chip is indicated in green and the active region of the absorber in red. The lengths of the cavity arms are denoted by their propagation times  $\tau_1$  and  $\tau_2$ . (b),(c) The pulse shape (b) and a pseudo-space-time plot (c) of the fundamental mode-locking state.

on quantum well active media and has been presented and characterized in detail in Refs. [3,4].

We investigate the impact of the geometric cavity configuration, i.e., the cavity-arm ratio, by means of a minimalistic delay-differential equation model. For relevant applications, the direct integration of the equations reveals the characteristic stability boundary of the fundamental mode-locking state. Moreover, the analysis of the temporal dynamics yields three distinct destabilizing mechanisms, each of which can be fully understood in terms of the net-gain dynamics. We then use this insight to derive a simple analytic approximation for the net gain, which enables us to predict the stability boundary and thus to study the dependence on the other laser parameters. Our results allow us to predict the optimal cavity-arm ratio for passively mode-locked V-shaped lasers with respect to positive-net-gain instabilities in terms of the maximum achievable pump power. Lastly, we discuss how our approach can also be applied to more complex gain media.

## II. DELAY-DIFFERENTIAL EQUATION MODEL

To self-consistently describe the laser at the time scales required for a net-gain stability analysis, we use a multiple-delay differential equation model, which we have presented and derived in Refs. [4,28,29]. The model is obtained from a traveling-wave framework, which takes the forward- and backward-propagating light beams and the carrier dynamics inside the gain and absorber chips into account. Integrating those quantities within the comoving frame of the field propagation and applying a Lorentzian filter to model the gain spectrum yields delay-differential equations for the electric field  $E$  at the output coupler and

the integrated inversion densities  $G$  and  $Q$  for the gain and absorber chip [28,29]:

$$\begin{aligned} \frac{d}{dt}E(t) = & -\gamma E(t) + \gamma \sqrt{r_{OC}} E(t-T) \\ & e^{[(1-i\alpha_G)/2][G(t-\tau_1)+G(t-\tau_1-2\tau_2)]} \\ & e^{(1-i\alpha_Q)Q(t-\tau_1-\tau_2)} + \sqrt{D_{sp}} \xi(t) \end{aligned} \quad (1)$$

$$\begin{aligned} \frac{d}{dt}G(t) = & -\gamma_G G(t) + J_G - (e^{G(t)} - 1) \left[ |E(t-\tau_1)|^2 \right. \\ & \left. + |E(t-\tau_1-2\tau_2)|^2 e^{2Q(t-\tau_2)} e^{G(t-2\tau_2)} \right] \end{aligned} \quad (2)$$

$$\begin{aligned} \frac{d}{dt}Q(t) = & \gamma_Q (Q_0 - Q(t)) \\ & - s (e^{Q(t)} - 1) |E(t-\tau_1-\tau_2)|^2 e^{G(t-\tau_2)}. \end{aligned} \quad (3)$$

The geometric cavity configuration, i.e., the length of the cavity arms, is then encoded via the propagation times  $\tau_1$  and  $\tau_2$  (see Fig. 1), which appear in the time-delayed terms. Within the system equations,  $\gamma$  describes the bandwidth of the gain medium,  $r_{OC}$  is the output-coupler intensity reflectivity,  $T$  is the cold-cavity round-trip time,  $\alpha_G$  and  $\alpha_Q$  are the amplitude-phase coupling coefficients of the gain and absorber chip,  $\gamma_G$  is the gain recovery rate,  $J_G$  is the gain-chip pump current,  $\gamma_Q$  is the absorber recovery rate,  $Q_0$  is the unsaturated absorption, and  $s$  is the ratio between the gain- and absorber-chip differential gain coefficients. Spontaneous noise is phenomenologically taken into account by a stochastic Langevin term in Eq. (1), where  $D_{sp}$  describes the noise strength and  $\xi(t)$  is the delta-correlation Gaussian white noise.

The model parameters are chosen to describe the laser investigated in Refs. [3,4] and are given in Table I. However, we deliberately choose a narrower gain bandwidth  $\gamma$  to stay within the slowly varying envelope approximation and to enable fast simulation times. The resulting pulse widths are of the order of approximately 1 ps and thus still much shorter than the round-trip time  $T$ . Note that we set the amplitude-phase coupling coefficients to be zero, since the underlying approximations have been shown to break down at fast and high-power excitations [30–32], while the simulation of mode-locked lasers has been shown to be successful with vanishing amplitude-phase coupling [33–35]. Nonetheless, we point out that our model at the chosen parameters does not include the phase dynamics of the electric field and subsequently cannot describe any phase-related instabilities. To investigate those, one should resort to more elaborate models [36–41], which, however, come at the cost of increased computational demands.

To integrate the system equations, we utilize an explicit fourth-order Runge-Kutta algorithm [42] with cubic Hermite interpolation for the delay terms. The stochastic term

TABLE I. The simulation parameters, normalized to the cold-cavity round-trip time  $T = 625$  ps unless stated otherwise.

Symbol	Value	Parameter
$\gamma$	10 000	Gain bandwidth
$r_{OC}$	0.99	Output-coupler reflectivity
$\alpha_{G,Q}$	0.0	Amplitude-phase coupling coefficient
$\tau_1$	0.25	Output-coupler—gain-chip propagation time
$\tau_2$	0.25	Gain-chip—absorber chip propagation time
$D_{sp}$	0.1	Spontaneous-emission-noise strength
$J_G$	0.04	Pump current
$\gamma_G$	0.625	Gain recovery rate
$Q_0$	-0.03	Unsaturated absorption
$\gamma_Q$	200.0	Absorber recovery rate
$s$	2.0	Differential gain ratio

in Eq. (1) is small at the chosen parameters and can thus be integrated alongside the deterministic equations via a simple Euler method.

### III. RESULTS

#### A. Net-gain instabilities of the fundamental mode-locking regime

The underlying bifurcation structure of the relevant lasing solutions of the V-shaped semiconductor disk laser, albeit at broader pulses and larger gain parameters, has been published in Ref. [28]. The results reveal that the fundamental mode-locking state, which is the focus of this work, derives from an Andronov-Hopf bifurcation of the cw lasing state, similar to the case of ring cavities [43,44]. This bifurcation occurs very close to the lasing threshold, which can be determined analytically and reads

$$J_{th} = \gamma_G \left[ \frac{1}{2} \ln \left( \frac{\omega^2}{\gamma^2} + 1 \right) - \frac{1}{2} \ln(\kappa) - Q_0 \right], \quad (4)$$

where  $\omega$  denotes the maximum gain mode [28]. Note that the threshold does not depend on the cavity configuration  $\tau_1, \tau_2$ . The dynamics of the out-coupled field in the fundamental mode-locking state are exemplified in Fig. 1, where Fig. 1(b) shows the pulse shape and Fig. 1(c) a color-coded pseudo-space-time plot. The smooth pulse shape remains stable from round trip to round trip, which demonstrates the stability of the chosen operation point.

To further investigate the impact of the cavity configuration on the fundamental mode-locking state, we numerically integrate the laser equations in the parameter space of the pump current and the cavity configuration. On that account, the overall cavity length, i.e., the cold-cavity round-trip time  $T$ , is kept constant while the ratio between the two cavity arms is changed. The geometric configuration is then specified in terms of the propagation time  $\tau_1$ ,

while the propagation time  $\tau_2$  follows from the condition

$$2\tau_1 + 2\tau_2 = T, \quad (5)$$

where  $T$  remains fixed as previously mentioned. The propagation times thus take values  $\tau_1, \tau_2 \in [0.0T, 0.5T]$ , where on the extremes, the gain chip is either located at the output coupler or at the absorber chip. These cases are not directly experimentally realizable with a V-shaped external cavity, since the optical components take up some space by themselves. The intermediate configurations, however, can be easily achieved on an optical table by positioning the components accordingly.

The results are presented in Fig. 2(a), where the various lasing states are color coded, with the labels indicated on the top. While higher-order states also exist, we want to focus our analysis in this paper on the fundamental state and its instabilities. Fundamental mode locking (FML, blue) can be observed for all cavity configurations. As anticipated via the analytic threshold condition in Eq. (4), the gain-chip position neither affects the lasing threshold nor the lower FML boundary. The upper pump-current stability boundary, on the other hand, critically depends on the cavity configuration  $\tau_1$ . With the gain chip located at the output coupler, i.e.,  $\tau_1 = 0.0$ , the upper FML stability boundary is found at  $J_G \approx 0.048$  and pulse-switching unstable fundamental mode locking (FML<sub>PS</sub>, dark purple) is found beyond the boundary. For an increasing gain-chip position  $\tau_1$ , the upper FML stability boundary shifts toward larger pump currents until it reaches a local maximum at  $J_G \approx 0.088$  for the configuration  $\tau_1 = 1/6$ . From there on, the upper stability boundary marginally reduces to the local minimum  $J_G \approx 0.086$  at the symmetric configuration  $\tau_1 = 0.25$ . For cavity configurations  $1/6 < \tau_1 < 0.25$ , leading-edge unstable fundamental mode locking (FML<sub>LEI</sub>, dark green) is observed beyond the stability boundary instead of FML<sub>PS</sub>; that is, with the exception of the symmetric cavity configuration  $\tau_1 = 0.25$ , where leading-edge unstable second-order harmonic mode locking (HML<sub>2LEI</sub>, light green) can be found beyond the stability boundary. The remaining cavity configurations,  $\tau_1 \in ]0.25, 0.5]$ , exhibit an apparent reflection symmetry with respect to  $\tau_1 = 0.25$ , which indicates that the output coupler and the absorber chip have roughly similar effects on the pulse shaping in fundamental mode-locking operation.

In conclusion, the cavity configuration has profound consequences on the possible fundamental mode-locking operation conditions. At the configurations  $\tau_1 = 1/6$  and  $\tau_1 = 2/6$ , the range of available pump currents is approximately 3.2 times larger than it is at  $\tau_1 = 0.0$  and  $\tau_1 = 0.5$ , which moreover translates into maximally achievable pulse energies that are approximately 4.8 times larger.

To unravel the mechanism that leads to the characteristic fundamental mode-locking region, we take a closer look at the three different instabilities that can be observed

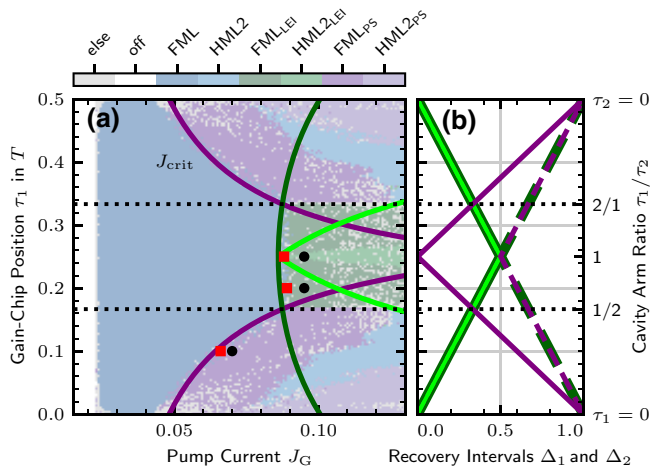


FIG. 2. A map of the emission states in the pump-current and gain-chip-position parameter space ( $J_G, \tau_1$ ). (a) Numerically obtained emission state states with color codes indicated on top. FML and HML denote fundamental and harmonic mode locking and the subscripts LEI and PS denote leading-edge instability and pulse switching. Corresponding to the three instabilities, the purple, dark green, and light green lines indicate the critical pump current  $J_{\text{crit}}$  obtained from the net-gain approximation in Eq. (9), with the effective pulse width  $\Delta_{\text{pw}} = 0.03$ . The black circles indicate the parameter combinations used in Fig. 3 and the red squares indicate the parameter combinations used in Fig. 4. (b) The branches of the corresponding gain-recovery intervals  $\Delta_1, \Delta_2$  (solid and dashed, respectively). The horizontal black dotted lines indicate  $\tau_1 = T/6$  and  $\tau_1 = 2T/6$ .

beyond the stability boundary. These are illustrated in Fig. 3, which shows color-coded pseudo-space-time plots of the normalized intensity  $|E|^2$  (top row) and the net gain  $\mathcal{G}$  (bottom row). From left to right, the columns present pulse-switching unstable fundamental mode locking (FML<sub>PS</sub>), leading-edge unstable fundamental mode locking (FML<sub>LEI</sub>), and leading-edge unstable second-order harmonic mode locking (HML2<sub>LEI</sub>).

The net gain [45] measures the intensity amplification or attenuation that a small perturbation experiences per round trip. Evaluated for the V-shaped laser model, the net gain contains the individual contributions of the various optical elements, which are picked up along the propagation, and thus reads

$$\begin{aligned} \mathcal{G}(t) = & G(t - \tau_1) \\ & + G(t - \tau_1 - 2\tau_2) + 2Q(t - \tau_1 - \tau_2) + \ln(\kappa). \end{aligned} \quad (6)$$

Positive net gain, which does not coincide with the emission of a pulse, implies that perturbations can grow and thus may destabilize a regular mode-locking state. Note, however, that the net gain only represents a small signal measure that describes the short-term evolution of a perturbation that sits on top of existing lasing dynamics.

Nonlinear effects, e.g., mediated by the group-velocity dispersion, may allow mode-locking states to be stable despite positive-net-gain windows [43,46]. Nevertheless, the net gain will turn out to be the critical quantity to describe the observed instabilities of the fundamental mode-locking state.

Pulse-switching unstable fundamental mode locking (FML<sub>PS</sub>) is characterized by pulse trains that only exist for a finite number of round trips before they vanish and a new pulse train is initiated at a different position within the cavity. As can be seen in Fig. 3(a2), the initiation of a new pulse train is preceded by a broad positive-net-gain window (white areas with a slight red tint). The new pulse then emerges at the trailing edge of the net-gain window. As soon as the new pulse train has achieved sufficient power to effectively bleach the gain and absorber chip, it causes the positive-net-gain window to shrink and only overlap with the pulse. Shortly after the new pulse train is established, a new broad net-gain window is also established, at a different cavity position. Hence, the switching process repeats regularly and thereby prevents stable fundamental mode-locking emission.

Leading-edge unstable fundamental mode locking (FML<sub>LEI</sub>) is characterized by a single pulse train that exhibits a broad positive-net-gain window at the leading edge of the pulse [see Fig. 3(b2)]. Hence, noise-induced perturbations at the leading edge can be amplified and can thus destabilize the pulse train. This produces pronounced fluctuations of the pulse peak intensities and of the pulse positions.

Lastly, leading-edge unstable second-order harmonic mode locking (HML2<sub>LEI</sub>) is characterized by two unstable and equidistant pulse trains. As shown in Fig. 3(c2), both pulses are accompanied by a large leading positive-net-gain window, which continuously causes noise-induced perturbations to grow and to destabilize the existing pulse trains. Being observed for a symmetric cavity configuration, the HML2<sub>LEI</sub> state suffers from an additional destabilizing mechanism: the equidistant pulses collide in the gain chip, which tightly couples them via the interaction with the gain and thereby transfers instabilities from one pulse to the other.

In conclusion, the three different destabilizing mechanisms of the fundamental mode-locking state, which appear for different cavity configurations, are caused by characteristic positive-net-gain windows. Those allow spontaneous-emission noise to be amplified and consequently destabilize the regular mode-locking dynamics. We will see in Sec. III B that this insight allows for an analytic treatment.

## B. Analytic net-gain approximation

To understand the shape of the stability boundary in the  $\tau_1$ - $J_G$  parameter plane (see Fig. 2), we make further use

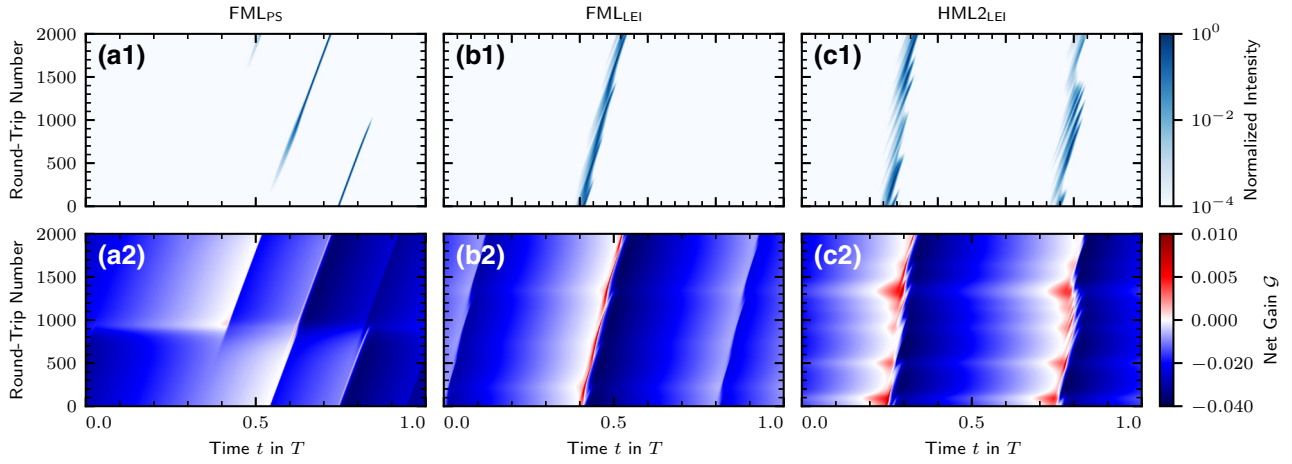


FIG. 3. Pseudo-space-time plots of the normalized intensity  $|E|^2$  (top row) and the net-gain  $\mathcal{G}$  dynamics (bottom row). (a1),(a2) The pulse-switching unstable fundamental mode locking;  $\text{FML}_{\text{PS}}$ ,  $J_G = 0.07$ ,  $\tau_1 = 0.1$ . (b1),(b2) The leading-edge unstable fundamental mode locking;  $\text{FML}_{\text{LEI}}$ ,  $J_G = 0.095$ ,  $\tau_1 = 0.2$ . (c1),(c2) The leading-edge unstable second-order harmonic mode locking;  $\text{HML2}_{\text{LEI}}$ ,  $J_G = 0.095$ ,  $\tau_1 = 0.25$ . The three parameter combinations are indicated by black circles in Fig. 2.

of the net gain and derive an analytic approximation for the critical pump current of the three different instabilities. The net gain  $\mathcal{G}$  [see Eq. (6)] dynamically depends on the integrated gain  $G$  and the integrated absorption  $Q$ . In the absence of optical pulses, both quantities, however, exhibit rather simple exponential relaxations. This occurs very quickly in the fast absorber chip, such that  $Q(t)$  can be approximated by its unsaturated equilibrium value  $Q_0$ . Assuming a complete saturation of the gain by the optical pulses, i.e.,  $G \approx 0.0$ , the gain recovery can be analytically integrated and the result reads

$$G(\Delta) = \frac{J_G}{\gamma_G} (1 - \exp(-\gamma_G \Delta)), \quad (7)$$

where  $\Delta$  denotes the time interval starting from the interaction of the pulse and the gain. In the absence of pulse interactions with the gain and absorber chip, the net gain can then be written as

$$\mathcal{G}(\Delta_1, \Delta_2) = G(\Delta_1) + G(\Delta_2) + 2Q_0 + \ln(r_{\text{OC}}), \quad (8)$$

where  $\Delta_1$  and  $\Delta_2$  denote the available gain-recovery time intervals, which result from the last gain-chip interaction with the respective forward- and backward-traveling high-power pulse. It is important to note that  $\Delta_1$  and  $\Delta_2$  depend on the emissions state and the cavity geometry as encoded by the gain-chip position  $\tau_1$ . The previously introduced instabilities occur due to the appearance of distinct positive-net-gain windows. This implies that our net-gain approximation in the absence of optical pulses, given in Eq. (8), transitions to positive values for recovery intervals  $\Delta_1$  and  $\Delta_2$ , which are characteristic for the instability and the cavity configuration. Hence, Eq. (8) is set to zero

and solved for the critical pump current, which yields the expression

$$J_G^{\text{crit}} = \frac{\gamma_G (-\ln(r_{\text{OC}}) - 2Q_0)}{2 - e^{-\gamma_G[\Delta_1 - \Delta_{\text{pw}}]} - e^{-\gamma_G[\Delta_2 - \Delta_{\text{pw}}]}}, \quad (9)$$

where the recovery intervals  $\Delta_1$  and  $\Delta_2$  are yet to be determined. Additionally, the recovery times have been adjusted for the finite pulse width  $\Delta_{\text{pw}}$ , during which the electric field is not negligible and thus no free gain recovery occurs.

Each of the three instabilities produces an independent branch of recovery intervals ( $\Delta_1, \Delta_2$ ) that lead to positive-net-gain windows. To identify the respective recovery intervals, the three different instabilities at their respective critical pump current  $J_G^{\text{crit}}$  are further illustrated in Fig. 4. From left to right, the columns show the pulse-switching instability [Fig. 4(a)], the leading-edge instability [Fig. 4(b)], and the second-order harmonic mode-locking leading-edge instability [Fig. 4(c)]. The top and bottom rows present the normalized intensity  $|E|^2$  (blue), the gain  $G$  (green), and the absorber  $Q$  (red) dynamics [Fig. 4(a1)–4(c1)] and the corresponding net-gain  $\mathcal{G}$  dynamics [Fig. 4(a2)–4(c2)]. The zero baselines of the gain and absorption are shifted proportional to their cavity position with respect to the output coupler and are indicated via horizontal black dotted lines. The field propagation through the laser cavity is illustrated via blue dashed lines. The intersections with the black dotted lines thus represent the interactions with the gain and absorber chips. Additionally, the black circles in Figs. 4(a2)–4(c2) indicate semistable ( $\mathcal{G} \approx 0$ ) net-gain windows for which perturbations are neither amplified nor attenuated. The propagation of those perturbations is further indicated by brown dashed lines in

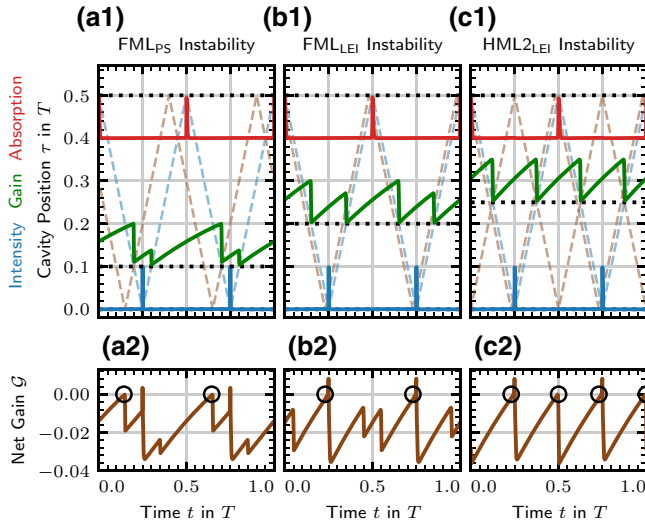


FIG. 4. The intensity and carrier dynamics of the fundamental mode-locking state close to the (a) pulse-switching instability ( $J_G = 0.0675$ ,  $\tau_1 = 0.1$ ), (b) leading-edge instability ( $J_G = 0.0905$ ,  $\tau_1 = 0.2$ ), and (c) second-order harmonic mode-locking leading-edge instability ( $J_G = 0.0880$ ,  $\tau_1 = 0.25$ ). (a1)–(c1) The temporal evolution of the intensity (blue lines), the gain (green lines), and the absorption (red lines), which are normalized to 0.1. Their respective zero positions (black dotted lines) are shifted proportional to their cavity positions. The propagation of the pulses is illustrated by blue dashed lines and the propagation of the semistable perturbations by brown dashed lines. (a2)–(c2) The respective net gain  $\mathcal{G}$ . The black circles indicate semistable ( $\mathcal{G} \approx 0$ ) net-gain windows. The three parameter combinations are indicated by red squares in Fig. 2.

Figs. 4(a1)–4(c1). Note that the perturbations are considered to be small and thus leave no characteristic footprint on the gain dynamics; i.e., they do not affect the gain recovery. On the other hand, the gain (green lines) is fully bleached by the optical pulses.

The recovery intervals ( $\Delta_1$  and  $\Delta_2$ ) can be identified as the time differences between a gain-chip interaction with the perturbation and the preceding high-power pulse. Crucially, the considered noise-induced perturbations are small when they are generated, such that they can potentially be amplified twice by the same gain per round trip without causing any meaningful gain saturation.

The pulse-switching instability (FML<sub>PS</sub>) directly benefits from this mechanism. As Fig. 4(a1) exemplifies, the backward-moving perturbation first passes the gain chip, is reflected at the output coupler, and then passes the gain chip in the forward direction right before the existing backward-moving pulse bleaches the gain. The associated recovery intervals read

$$\Delta_1 = 4 \left| \tau_1 - \frac{1}{4} \right|, \quad \Delta_2 = \frac{1}{2} + 2 \left| \tau_1 - \frac{1}{4} \right|. \quad (10)$$

Note that the perturbation is amplified twice by the long gain-recovery, which leads to  $\Delta_1, \Delta_2 \approx 1$  for configurations with  $\tau_1 \approx 0.0$  and  $\tau_1 \approx 0.5$ .

As illustrated in Fig. 4(b1), The leading-edge instability (FML<sub>LEI</sub>) is produced by a perturbation that travels right in front of the existing pulse. Hence, the characteristic recovery intervals correspond to the propagation times that determine the pulse amplification in Eq. (1) and read

$$\Delta_{1,2} = \frac{1}{2} \pm 2 \left| \tau_1 - \frac{1}{4} \right|. \quad (11)$$

Lastly, the second-order leading-edge instability (HML<sub>2LEI</sub>) is generated by a perturbation that reaches the gain chip in the backward direction right before the existing forward-moving pulse saturates the gain, as shown in Fig. 4(c1). This produces identical recovery intervals

$$\Delta_{1,2} = \frac{1}{2} - 2 \left| \tau_1 - \frac{1}{4} \right|. \quad (12)$$

Note that both recovery intervals drop to zero for the configurations  $\tau_1 = 0.0$  and  $\tau_1 = 0.5$ , which causes Eq. (9) to diverge.

The three branches of gain-recovery intervals  $\Delta_{1,2}$  are plotted as functions of the cavity configuration  $\tau_1$  in Fig. 2(b), where solid lines denote  $\Delta_1$  and dashed lines denote  $\Delta_2$ . Purple represents the pulse-switching instability (FML<sub>PS</sub>), dark green the leading-edge instability (FML<sub>LEI</sub>), and light green the second-order leading-edge instability (HML<sub>2LEI</sub>). The corresponding critical pump currents  $J_G^{\text{crit}}$  are computed via Eq. (9) and plotted in Fig. 2(a).

As demonstrated by the comparison with the underlying numerically obtained emission states, the analytic approximation in Eq. (9) predicts the fundamental mode-locking upper stability boundary excellently, as well as the respective destabilizing mechanism that appears beyond the critical pump current. On that account, fundamental mode-locking stability is determined by the lowest critical pump current  $J_G^{\text{crit}}$  among the three instabilities. For the rather asymmetric configurations, i.e.,  $\tau_1 < 1/6$  and  $\tau_1 > 2/6$ , this turns out to be the pulse-switching instability (purple line). According to Fig. 2(b), this can be attributed to the longest available recovery intervals  $\Delta_1$  and  $\Delta_2$ , which already enable smaller pump currents to achieve positive-net-gain windows.

For rather symmetric configurations  $1/6 < \tau_1 < 2/6$ , the leading-edge instability (dark green lines in Fig. 2) provides the longest recovery intervals ( $\Delta_1$  and  $\Delta_2$ ) and thus first destabilizes the fundamental mode-locking state. The pump-current stability boundary maxima at  $\tau_1 = 1/6$  and  $\tau_1 = 2/6$  are generated by the intersection of the pulse-switching (purple line) and the leading-edge instability (dark green line). At those points, the device geometry

yields identical recovery intervals  $\Delta_{1,2}$  for both instabilities [see Fig. 2(b)]. Moreover, the leading-edge instability exhibits a global minimum for the symmetric cavity  $\tau_1 = 0.25$ , despite the constant sum  $\Delta_1 + \Delta_2 = 1$  of the two recovery intervals. This feature is caused by the concave-downward recovery of the gain  $G$ , which is more efficient, i.e., faster, for shorter recovery intervals and thus requires a smaller critical pump current to produce positive-net-gain windows.

Lastly, the second-order leading-edge instability (light green lines) becomes only relevant for the symmetric cavity configuration  $\tau_1 = 0.25$ , where the recovery intervals  $\Delta_1$  and  $\Delta_2$  are identical to the leading-edge instability. For all other configurations, the critical pump current quickly grows and diverges toward  $\tau_1 = 0.0$  and  $\tau_1 = 0.5$ . Hence, the leading-edge unstable second-order harmonic mode-locking state  $\text{HML}_{2\text{LEI}}$  can only be found right beyond the stability boundary for the symmetric configuration as well as in a small cone, which grows in the  $(J_G, \tau_1)$  parameter space for further increasing pump currents  $J_G$ .

In summary, the presented results demonstrate that the stability boundaries of the fundamental mode-locking state can be entirely understood in terms of the net-gain dynamics and can be analytically predicted via a simple gain-recovery approximation. Lastly, we now utilize this approximation to study the relevant parameter dependencies of the upper FML stability boundary. The critical pump current  $J_G^{\text{crit}}$ , given in Eq. (9), scales linearly with the logarithm of the out-coupling losses  $r_{\text{OC}}$  and the unsaturated absorption  $Q_0$  and nonlinearly with the gain recovery rate  $\gamma_G$  and the effective pulse width  $\Delta_{\text{pw}}$ . The influence of the latter two in the pump current and gain-chip position parameter space  $(J_G, \tau_1)$  is presented in Fig. 5. The critical pump current  $J_G^{\text{crit}}$  is determined as the minimum among the three destabilizing mechanisms and seven representative stability boundaries are plotted, respectively.

The gain-recovery rate  $\gamma_G$ , shown in Fig. 5(a), is increased geometrically (constant multiplication factor) from  $\gamma_G = 0.185$  to  $\gamma_G = 2.11$ . The increasing recovery rate most dominantly shifts the critical pump current to larger values due to the linear factor of  $\gamma_G$  in the numerator of Eq. (9). Second, a fast (large) gain-recovery rate produces an effectively “more concave” gain recovery via the contributions in the exponentials in the denominator of Eq. (9). This leads to more pronounced local maxima and a stronger curvature of the stability boundary at fast recovery rates. At small rates, the recovery is slow and effectively linear at the available recovery intervals  $\Delta_1, \Delta_2$ , which leads to a leading-edge instability boundary that is almost constant between gain-chip positions  $1/6 \leq \tau_1 \leq 2/6$ .

The effective pulse width  $\Delta_{\text{pw}}$ , shown in Fig. 5(b), is increased linearly with equidistant steps from  $\Delta_{\text{pw}} = 0.0$  to  $\Delta_{\text{pw}} = 0.12$ . The effective pulse width only appears in the exponentials in Eq. (9) and reduces the effective gain recovery intervals  $\Delta_1$  and  $\Delta_2$ . Hence, it increases the pump

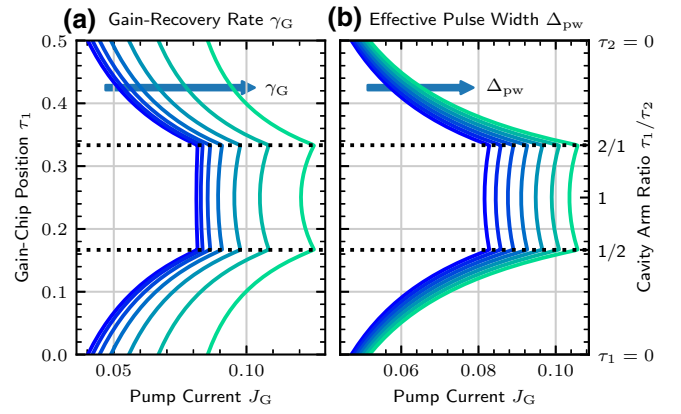


FIG. 5. The critical pump current  $J_G^{\text{crit}}$  (upper stability boundary) of the fundamental mode-locking state in the pump current and gain-chip position parameter space  $(J_G, \tau_1)$ . (a)  $J_G^{\text{crit}}$  for a geometrically increasing gain-chip recovery rate  $\gamma_G \in \{0.185, \dots, 2.11\}$ . (b)  $J_G^{\text{crit}}$  for a linearly increasing pulse width  $\Delta_{\text{pw}} \in \{0.0, \dots, 0.12\}$ .

current  $J_G$ , which is needed to achieve positive net gain. The effect is nonlinear and, similarly to the gain-recovery rate, leads to a more pronounced curvature and stronger local maxima at larger pulse widths [the light green curve to the right in Fig. 5(b)].

In conclusion, the nonlinear dependencies of the critical pump current determine the curvature of the stability boundary. Nonetheless, the local maxima are always located at  $\tau_1 \approx 1/6$  and  $\tau_1 \approx 2/6$ . However, their relative advantages in terms of the pump-current tuning range with respect to neighboring cavity configurations increases for a stronger nonlinearity of the gain-recovery process. Note that the relative nonlinearity of the gain recovery can also be controlled via the scaling of the cavity size, since the presented discussion is performed with parameters normalized to the cold-cavity round-trip time.

#### IV. DISCUSSION AND CONCLUSIONS

We demonstrate that the stability of the fundamental mode-locking state of a V-shaped semiconductor disk laser can be qualitatively and quantitatively understood in terms of its net-gain dynamics. In particular, the maximum achievable pump current is limited by positive-net-gain instabilities, which, depending on the geometric cavity configuration, manifest as a pulse-switching, leading-edge, or second-order leading-edge instability. The corresponding stability boundaries of those three destabilizing mechanisms can be analytically predicted by solving the gain recovery in the absence of optical pulses and using the results to construct the net gain as a function of the available gain-recovery intervals.

We associate each of the three instabilities with a branch of gain-recovery times  $(\Delta_1, \Delta_2)$ . These depend on the

cavity-arm ratio and thereby determine which instability occurs at the lowest pump current and thus limits the maximum pump current. The branches  $\Delta_1$  and  $\Delta_2$  intersect at characteristic cavity configurations, which in turn causes the corresponding stability boundaries to also intersect. This produces the characteristic region of stable FML emission in the pump-current and cavity-configuration parameter space, which exhibits the optimal pump-current tuning range for the cavity-arm ratios  $\tau_1/\tau_2 = 1/2$  and  $\tau_1/\tau_2 = 2/1$ . We furthermore observe that the region of stable FML emission retains its qualitative features, i.e., its shape, for all changes of the relevant laser parameters. We attribute these results to the concave-downward gain-recovery process and the cavity configuration, which determines the available gain-recovery intervals.

For that reason, the qualitative shape of the stable fundamental mode-locking region should generalize to any gain-chip active medium that exhibits a concave-downward recovery process. Hence, we predict that the largest pump-current tuning range should always be observed at the characteristic cavity-arm ratios  $\tau_1/\tau_2 = 1/2$  and  $\tau_1/\tau_2 = 2/1$ . However, for more complex active media, the gain dynamics likely cannot be solved analytically, which would prevent a simple approximation of the stability boundaries. Nevertheless, to obtain quantitative predictions, the stability boundary condition ( $\mathcal{G} \approx 0$ ) could be formulated as an ordinary-differential-equation boundary problem. The numerical solution of this problem should always be much cheaper than the simulation of the complete spatiotemporal laser dynamics. This approach could be easily implemented to calculate the stability boundaries for gain chips with quantum dot [47–50], submonolayer quantum dot [32,51,52], and multilevel quantum well active media [4,53].

The simulation code is available on GitHub under a MIT license [54].

## ACKNOWLEDGMENTS

We thank Jan Hausen for fruitful discussions and for pioneering the bifurcation analysis of the V-shaped laser model.

- 
- [1] U. Keller, Recent developments in compact ultrafast lasers, *Nature* **424**, 831 (2003).  
 [2] B. W. Tilma, M. Mangold, C. A. Zaugg, S. M. Link, D. Waldburger, A. Klenner, A. S. Mayer, E. Gini, M. Golling, and U. Keller, Recent advances in ultrafast semiconductor disk lasers, *Light Sci. Appl.* **4**, e310 (2015).  
 [3] D. Waldburger, S. M. Link, M. Mangold, C. G. E. Alfieri, E. Gini, M. Golling, B. W. Tilma, and U. Keller, High-power 100 fs semiconductor disk lasers, *Optica* **3**, 844 (2016).

- [4] D. Waldburger, C. G. E. Alfieri, S. M. Link, S. Meinecke, L. C. Jaurigue, K. Lüdge, and U. Keller, Multipulse instabilities of a femtosecond SESAM-modelocked VECSEL, *Opt. Express* **26**, 21872 (2018).  
 [5] K. G. Wilcox, A. C. Tropper, H. E. Beere, D. A. Ritchie, B. Kunert, B. Heinen, and W. Stolz, 4.35 kW peak power femtosecond pulse mode-locked VECSEL for supercontinuum generation, *Opt. Express* **21**, 1599 (2013).  
 [6] P. Klopp, U. Griebner, M. Zorn, and M. Weyers, Pulse repetition rate up to 92 GHz or pulse duration shorter than 110 fs from a mode-locked semiconductor disk laser, *Appl. Phys. Lett.* **98**, 071103 (2011).  
 [7] M. Butkus, E. A. Viktorov, T. Erneux, G. Maker, G. P. A. Malcolm, and E. U. Rafailov, 85.7 MHz repetition rate mode-locked semiconductor disk laser: Fundamental and soliton bound states, *Opt. Express* **21**, 25526 (2013).  
 [8] M. Mangold, S. M. Link, A. Klenner, C. A. Zaugg, M. Golling, B. W. Tilma, and U. Keller, Amplitude noise and timing jitter characterization of a high-power mode-locked integrated external-cavity surface emitting laser, *IEEE Photonics J.* **6**, 1500309 (2014).  
 [9] M. A. Gaafar, A. Rahimi-Iman, K. A. Fedorova, W. Stolz, E. U. Rafailov, and M. Koch, Mode-locked semiconductor disk lasers, *Adv. Opt. Photonics* **8**, 370 (2016).  
 [10] T. Udem, R. Holzwarth, and T. W. Hänsch, Optical frequency metrology, *Nature* **416**, 233 (2002).  
 [11] U. Keller and A. C. Tropper, Passively modelocked surface-emitting semiconductor lasers, *Phys. Rep.* **429**, 67 (2006).  
 [12] A. Klenner, S. Schilt, T. Südmeyer, and U. Keller, Gigahertz frequency comb from a diode-pumped solid-state laser, *Opt. Express* **22**, 31008 (2014).  
 [13] I. Coddington, N. Newbury, and W. Swann, Dual-comb spectroscopy, *Optica* **3**, 414 (2016).  
 [14] S. M. Link, D. J. H. C. Maas, D. Waldburger, and U. Keller, Dual-comb spectroscopy of water vapor with a free-running semiconductor disk laser, *Science* **356**, 1164 (2017).  
 [15] S. A. Diddams, K. Vahala, and T. Udem, Optical frequency combs: Coherently uniting the electromagnetic spectrum, *Science* **369**, eaay3676 (2020).  
 [16] A. S. Mayer, A. Klenner, A. R. Johnson, K. Luke, M. R. E. Lamont, Y. Okawachi, M. Lipson, A. L. Gaeta, and U. Keller, Frequency comb offset detection using supercontinuum generation in silicon nitride waveguides, *Opt. Express* **23**, 15440 (2015).  
 [17] A. Klenner, A. S. Mayer, A. R. Johnson, K. Luke, M. R. E. Lamont, Y. Okawachi, M. Lipson, A. L. Gaeta, and U. Keller, Gigahertz frequency comb offset stabilization based on supercontinuum generation in silicon nitride waveguides, *Opt. Express* **24**, 11043 (2016).  
 [18] D. Waldburger, A. S. Mayer, C. G. E. Alfieri, J. Nürnberg, A. R. Johnson, X. Ji, A. Klenner, Y. Okawachi, M. Lipson, A. L. Gaeta, and U. Keller, Tightly locked optical frequency comb from a semiconductor disk laser, *Opt. Express* **27**, 1786 (2019).  
 [19] R. Aviles-Espinosa, G. Filippidis, C. Hamilton, G. Malcolm, K. J. Weingarten, T. Südmeyer, Y. Barbarin, U. Keller, S. I. C. O. Santos, D. Artigas, and P. Loza-Alvarez, Compact ultrafast semiconductor disk laser: Targeting GFP based nonlinear applications in living organisms, *Biomed. Opt. Express* **2**, 739 (2011).



- [20] F. F. Voigt, F. Emaury, P. Bethge, D. Waldburger, S. M. Link, S. Carta, A. van der Bourg, F. Helmchen, and U. Keller, Multiphoton in vivo imaging with a femtosecond semiconductor disk laser, *Biomed. Opt. Express* **8**, 3213 (2017).
- [21] C. J. Saraceno, F. Emaury, C. Schriber, M. Hoffmann, M. Golling, T. Südmeyer, and U. Keller, Ultrafast thin-disk laser with 80  $\mu$ J pulse energy and 242 W of average power, *Opt. Lett.* **39**, 9 (2014).
- [22] C. A. Zaugg, A. Klenner, O. D. Sieber, M. Golling, B. W. Tilma, and U. Keller, in *CLEO: 2013*, IEEE (IEEE, San Jose, CA, USA, 2013), p. 1, paper CW1G.6.
- [23] S. M. Link, D. Waldburger, C. G. E. Alfieri, M. Golling, and U. Keller, Coherent beam combining and noise analysis of a colliding pulse modelocked VECSEL, *Opt. Express* **25**, 19281 (2017).
- [24] E. A. Avrutin and K. Panajotov, Delay-differential-equation modeling of mode-locked vertical-external-cavity surface-emitting lasers in different cavity configurations, *Materials* **12**, 3224 (2019).
- [25] S. Hoogland, S. Dhanjal, A. C. Tropper, J. S. Roberts, R. Häring, R. Paschotta, F. Morier-Gemoud, and U. Keller, Passively mode-locked diode-pumped surface-emitting semiconductor laser, *IEEE Photonics Technol. Lett.* **12**, 1135 (2000).
- [26] M. Großmann, R. Bek, M. Jetter, and P. Michler, Stable fundamental and dual-pulse mode locking of red-emitting VECSELs, *Laser Phys. Lett.* **17**, 065001 (2020).
- [27] J. T. Meyer, M. L. Lukowski, C. Henssenius, E. M. Wright, and M. Fallahi, High peak power, sub-ps green emission in a passively mode locked W-cavity VECSEL, *Opt. Express* **28**, 5794 (2020).
- [28] J. Hausen, S. Meinecke, B. Lingnau, and K. Lüdge, Pulse Cluster Dynamics in Passively Mode-Locked Semiconductor Vertical-External-Cavity Surface-Emitting Lasers, *Phys. Rev. Appl.* **11**, 044055 (2019).
- [29] S. Meinecke, *Spatio-Temporal Modeling and Device Optimization of Passively Mode-Locked Semiconductor Lasers*, Springer Theses. (Springer, Cham, 2022).
- [30] G. P. Agrawal and N. K. Dutta, *Semiconductor Lasers* (Van Nostrand Reinhold, New York, 1993).
- [31] J. Wang, A. Maitra, C. G. Poulton, W. Freude, and J. Leuthold, Temporal dynamics of the alpha factor in semiconductor optical amplifiers, *J. Lightwave Technol.* **25**, 891 (2007).
- [32] B. Herzog, B. Lingnau, M. Kolarczik, Y. Kaptan, D. Bimberg, A. Maaßdorf, U. W. Pohl, R. Rosales, J. H. Schulze, A. Strittmatter, M. Weyers, U. Woggon, K. Lüdge, and N. Owschimikow, Strong amplitude-phase coupling in submonolayer quantum dots, *Appl. Phys. Lett.* **109**, 201102 (2016).
- [33] A. S. Pimenov, E. A. Viktorov, S. P. Hegarty, T. Habruseva, G. Huyet, D. Rachinskii, and A. G. Vladimirov, Bistability and hysteresis in an optically injected two-section semiconductor laser, *Phys. Rev. E* **89**, 052903 (2014).
- [34] L. C. Jaurigue, O. Nikiforov, E. Schöll, S. Breuer, and K. Lüdge, Dynamics of a passively mode-locked semiconductor laser subject to dual-cavity optical feedback, *Phys. Rev. E* **93**, 022205 (2016).
- [35] O. Nikiforov, L. C. Jaurigue, L. Drzewietzki, K. Lüdge, and S. Breuer, Experimental demonstration of change of dynamical properties of a passively mode-locked semiconductor laser subject to dual optical feedback by dual full delay-range tuning, *Opt. Express* **24**, 14301 (2016).
- [36] I. Kilen, J. Hader, J. V. Moloney, and S. W. Koch, Ultrafast nonequilibrium carrier dynamics in semiconductor laser mode locking, *Optica* **1**, 192 (2014).
- [37] I. Kilen, S. W. Koch, J. Hader, and J. V. Moloney, Fully microscopic modeling of mode locking in microcavity lasers, *J. Opt. Soc. Am. B* **33**, 75 (2016).
- [38] A. S. Pimenov, S. Slepneva, G. Huyet, and A. G. Vladimirov, Dispersive Time-Delay Dynamical Systems, *Phys. Rev. Lett.* **118**, 193901 (2017).
- [39] A. S. Pimenov and A. G. Vladimirov, Dynamics of an inhomogeneously broadened passively mode-locked laser, *Eur. Phys. J. B* **92**, 114 (2019).
- [40] J. Hausen, K. Lüdge, S. V. Gurevich, and J. Javaloyes, How carrier memory enters the Haus master equation of mode-locking, *Opt. Lett.* **45**, 6210 (2020).
- [41] S. McLaren, I. Kilen, and J. V. Moloney, Microscopic modeling of transverse mode instabilities in mode-locked vertical external-cavity surface-emitting lasers, *Appl. Phys. Lett.* **116**, 031102 (2020).
- [42] W. H. Press, B. P. Flannery, S. A. Teukolsky, and W. T. Vetterling, *Numerical Recipes* (Cambridge University Press, Cambridge, 2007), 3rd edn.
- [43] A. G. Vladimirov and D. V. Turaev, Model for passive mode locking in semiconductor lasers, *Phys. Rev. A* **72**, 033808 (2005).
- [44] A. G. Vladimirov, U. Bandelow, G. Fiol, D. Arsenijević, M. Kleinert, D. Bimberg, A. S. Pimenov, and D. Rachinskii, Dynamical regimes in a monolithic passively mode-locked quantum dot laser, *J. Opt. Soc. Am. B* **27**, 2102 (2010).
- [45] G. New, Pulse evolution in mode-locked quasi-continuous lasers, *IEEE J. Quantum Electron.* **10**, 115 (1974).
- [46] A. G. Vladimirov, D. Rachinskii, and M. Wolfrum, in *Nonlinear Laser Dynamics—From Quantum Dots to Cryptography*, Reviews in Nonlinear Dynamics and Complexity, edited by K. Lüdge (Wiley-VCH, Weinheim, 2012), Chapter 8, p. 183.
- [47] K. Lüdge, *Nonlinear Laser Dynamics—From Quantum Dots to Cryptography* (Wiley-VCH, Weinheim, 2012).
- [48] M. Hoffmann, O. D. Sieber, V. J. Wittwer, I. L. Krestnikov, D. A. Livshits, Y. Barbarin, T. Südmeyer, and U. Keller, Femtosecond high-power quantum dot vertical external cavity surface emitting laser, *Opt. Express* **19**, 8108 (2011).
- [49] B. Lingnau, W. W. Chow, and K. Lüdge, Amplitude-phase coupling and chirp in quantum-dot lasers: Influence of charge carrier scattering dynamics, *Opt. Express* **22**, 4867 (2014).
- [50] S. Meinecke, L. Drzewietzki, C. Weber, B. Lingnau, S. Breuer, and K. Lüdge, Ultra-short pulse generation in a three section tapered passively mode-locked quantum-dot semiconductor laser, *Sci. Rep.* **9**, 1783 (2019).
- [51] C. G. E. Alfieri, D. Waldburger, R. Nürnberg, M. Golling, L. C. Jaurigue, K. Lüdge, and U. Keller, Modelocking Instabilities for High-Gain Semiconductor Disk Lasers Based on Active Submonolayer Quantum Dots, *Phys. Rev. Appl.* **10**, 044015 (2018).

- [52] J. Hausen, B. Herzog, A. Nelde, S. Meinecke, N. Owschimikow, and K. Lüdge, Feedback induced locking in semiconductor lasers with strong amplitude-phase coupling, *Phys. Rev. A* **103**, 043511 (2021).
- [53] C. G. E. Alfieri, D. Waldburger, S. M. Link, E. Gini, M. Golling, G. Eisenstein, and U. Keller, Optical efficiency and gain dynamics of modelocked semiconductor disk lasers, *Opt. Express* **25**, 6402 (2017).
- [54] <https://github.com/stmeinecke/VShape>