



# Atomistic Asymmetric Effect on the Performance of HfO<sub>2</sub>-Based Ferroelectric Tunnel Junctions

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We investigate the effects of atomistic interfaces on the device performance of HfO<sub>2</sub>-based ferroelectric tunnel junctions (FTJs) using density-functional calculations. The atomistic structures of HfO<sub>2</sub> FTJs with different interfacial conditions are constructed and their device performances, such as *on:off* current ratio are evaluated. We find that without the external effects such as dissimilar metal electrodes or composite layers inserted to make an asymmetric potential barrier, the intrinsic effects stemming from the interface dipoles can be tailored toward achieving high device performance. Especially, the atomistic asymmetry effect, which gives the same effect as the built-in electric field, can be exploited for a high *on:off* current ratio at low external bias voltages. We demonstrate that the asymmetrically terminated Zr-doped HfO<sub>2</sub> FTJ exhibits a current ratio of 12, which is higher than any previously reported values, theoretically or experimentally, for HfO<sub>2</sub>-based FTJs with symmetric metal electrodes.

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## I. INTRODUCTION

The ferroelectric (FE) tunnel junction (FTJ) has attracted much attention as one of the emerging nonvolatile-memory and neuromorphic devices owing to its scalability and high speed [1–5]. A typical FTJ incorporates a ferroelectric thin film sandwiched between two metal electrodes. Unlike the ferroelectric capacitor, the FTJ is a resistive-type memory device, and the resistance of the FTJ is changed with the polarization reversal by which the tunneling barrier is modulated. To utilize the tunneling electroresistance effect in practical applications, a large *on:off* current ratio is required. For this purpose, recent research has focused on device engineering such as modulation of the tunneling barrier by asymmetric metal electrodes or semiconducting electrodes [6,7] and insertion of a ferroelectric/dielectric composite barrier layer [8] as well as material engineering to improve the ferroelectricity [2].

In early experimental studies, FTJs with perovskite oxide ferroelectric films such as BaTiO<sub>3</sub>, PbZr<sub>x</sub>Ti<sub>1-x</sub>O<sub>3</sub>, and BiFeO<sub>3</sub> were reported [9–11]. While these materials are incompatible with the commercial complementary-metal-oxide-semiconductor (CMOS) process, the recent discovery of the ferroelectric phase (orthorhombic structure *Pca2*<sub>1</sub>) in Si-doped HfO<sub>2</sub> has provided a breakthrough for ferroelectric-based electronic devices [12]. Ferroelectric HfO<sub>2</sub> can maintain outstanding ferroelectricity in

thicknesses of a few nanometers and has good compatibility with CMOS technology [13–17]. With these advantages of HfO<sub>2</sub>, the feasibility of HfO<sub>2</sub>-based FTJs has been reported [6,8,18–23].

HfO<sub>2</sub> FTJs, however, have shown a low *on:off* current ratio compared with perovskite oxide-based FTJs [5]. To increase the *on:off* current ratio, a deeper understanding of the interface between ferroelectric HfO<sub>2</sub> and metal electrodes is needed. The motivation comes from recent studies showing that the polar interface of perovskite oxide-based FTJs can significantly change the properties of the tunneling barrier with increase of the current ratio [24,25]. For HfO<sub>2</sub> FTJs, Hf or O polar surfaces of HfO<sub>2</sub> can be formed on the (001) surface. The polar interfaces may play a key role in the design of HfO<sub>2</sub>-based FTJs with a sizable *on:off* ratio.

In this work, we investigate the atomistic-level interface effects on the device performances, which has not been done previously. Using density-functional-theory calculations, we construct atomistic device structures based on HfO<sub>2</sub> and Zr-doped HfO<sub>2</sub> (HZO) thin layers with various atomic interfaces. The motivation of considering HZO layers is that it has been reported that the performance can be improved by doping HfO<sub>2</sub>, with the Zr atom being one of the widely used dopants for HfO<sub>2</sub> [26,27]. Also, we expect that HZO can provide variety in interface conditions, widening the room for device engineering toward increase of the *on:off* ratio. In this work, the atomistic-level interface effects are discussed along with a simple model to explain the results of the first-principles calculations. The guideline to design high-performance FTJs

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is suggested with keywords such as “interface dipoles,” “polarized interfaces,” and “atomistic asymmetry.”

## II. SIMULATION APPROACH

The density-functional-theory calculations are performed with the SIESTA package [28]. We use the generalized-gradient approximation for the exchange-correlation energy. The double- $\zeta$  polarized basis set is used, and an energy cutoff of 200 Ry is used for the calculations. Monkhorst-Pack  $k$ -point samplings of  $10 \times 10 \times 10$  and  $5 \times 5 \times 1$  is performed for the bulk and slab structures, respectively. The atoms are relaxed until the forces are smaller than 0.02 eV/Å. The calculated lattice constants of bulk orthorhombic HfO<sub>2</sub> are  $a = 5.27$  Å,  $b = 5.09$  Å, and  $c = 5.10$  Å, and those for HZO are 5.31, 5.12, and 5.13 Å, which agree well with findings from previous studies [26,29]. For the metal electrodes, we chose TiN, which has been widely used in experimental studies. Since the spontaneous polarization of HfO<sub>2</sub> and HZO is oriented along the [001] direction, we construct the atomistic device structures of TiN/HfO<sub>2</sub>/TiN and TiN/HZO/TiN with their cross-sectional planes normal to that direction. To minimize the lattice mismatch between TiN and the FE at the interfaces, the atomistic structures of TiN/FE/TiN consist of supercells of the size of  $\sqrt{2}$  times the lattice constants along the  $a$  and  $b$  axes as constructed in previous work [29]. The in-plane lattice constants are fixed to those of the FE to keep intact the electronic structure of the FE and so tensile strain of about 10.0% and 10.7% is introduced to the TiN layer for the HfO<sub>2</sub> and HZO structures, respectively. The TiN layers in the slab structure significantly screen the electrostatic field along the [001] direction of the polarization but the dipole correction is considered nevertheless. To explore the dependence of the device performance on the atomic termination of HfO<sub>2</sub> and HZO, we consider of six cases, which include four symmetrically terminated structures and two asymmetrically terminated structures.

Previous theoretical studies reported that under an O-rich (O-poor) condition, the O-terminated (Hf-terminated) surface of a cubic phase of HfO<sub>2</sub> is stable [30,31]. The (001) surface of ferroelectric HfO<sub>2</sub> that we consider in this work has the same trends. Also, differently from the prediction from the theoretical studies, the ferroelectric phase of HfO<sub>2</sub> has been observed and it is affected by various fabrication conditions, such as the capping metal, dopant, and temperature [17,32,33]. Thus, the formation of Hf-terminated and O-terminated layers seems to be feasible. To investigate the dependence of the device performances on the terminated layer, we consider the cases of the O-terminated, Hf-terminated, and Zr-terminated structures.

For TiN/HfO<sub>2</sub>/TiN, we consider the three cases where HfO<sub>2</sub> is terminated by O atoms (O-HfO<sub>2</sub>-O), by Hf atoms

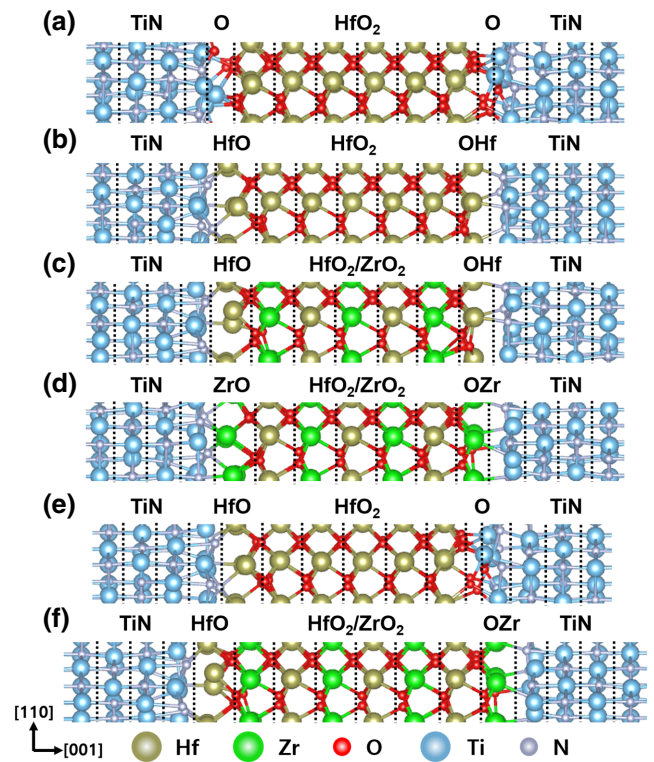


FIG. 1. The atomistic device structures with various terminated layers of TiN/FE/TiN FTJs. (a) O-HfO<sub>2</sub>-O, (b) Hf-HfO<sub>2</sub>-Hf, (c) Hf-HZO-Hf, (d) Zr-HZO-Zr, (e) Hf-HfO<sub>2</sub>-O, and (f) Hf-HZO-Zr structures. (a)–(d) Symmetrically terminated structures and (e),(f) asymmetrically terminated structures.

(Hf-HfO<sub>2</sub>-Hf), and by Hf and O atoms (Hf-HfO<sub>2</sub>-O); see Figs. 1(a), 1(b), and 1(e).

For HZO where the HfO<sub>2</sub>/ZrO<sub>2</sub> superlattice is formed, we consider the three cases where HZO is terminated by Hf atoms (Hf-HZO-Hf), by Zr atoms (Zr-HZO-Zr) at both junctions, and by Hf atoms at the left junction and Zr atoms at the right junction (Hf-HZO-Zr); see Figs. 1(c), 1(d), and 1(f). The structural information for the relaxed structures in Fig. 1 is provided as crystallographic information files in Supplemental Material [34]. The O-terminated cases are not considered in this work because the device performance is expected to be poor, as is clear in the following.

After relaxation of the atomistic device structures, we calculate the current-voltage characteristics using the nonequilibrium Green’s function formalism implemented in the SIESTA package [28]. We use a  $10 \times 10$  Monkhorst-Pack  $k$  grid for the current-voltage calculations in the transverse direction. The relaxed structures of TiN/FE/TiN are considered as the scattering region, and TiN semi-infinite electrodes are attached to the two ends of the structure for the current calculations.

### III. ATOMISTIC INTERFACE EFFECTS

In this section, we describe a simple model of ours that is used to explain the simulation results in the next section. According to the model, there are three kinds of charges at the metal-FE junctions: the polarization surface charges and their screening charges, interface dipoles, and induced interface charges caused by O-atom displacement. The first ones are the usual macroscopic charges due to polarization, while the latter two are of atomistic origin; see Fig. 2.

Firstly, as O atoms are displaced from the center of the unit cell, the nonzero polarization is established across the FE and the usual polarization surface charges  $\rho_P$  and  $-\rho_P$

appear on the FE side of the left and right junctions, respectively. On the metal side of the left and right junctions, screening charges of  $-\rho_S$  and  $\rho_S$ , respectively, are induced; see Figs. 2(a) and 2(b).

Secondly, at  $P = 0$ , interface dipoles are induced at the terminated layers of the FE because  $\text{HfO}_2$  is a polar material of which the charge of the terminated layers is nonzero; see Figs. 2(c) and 2(d). As the charges of the interfacial atoms in the FE are transferred to those of the metal electrodes, the terminating atoms at both ends of the FE assume nonzero charges of  $\rho_{d,L}$  and  $\rho_{d,R}$  at the left and right ends of the FE, respectively. The equal but opposite charges,  $-\rho_{d,L}$  and  $-\rho_{d,R}$ , are attracted on the metal side of the junctions to form the dipoles.

Thirdly, because of O-atom displacement at  $P \neq 0$ , an extra amount of interface charge is induced in each of the terminated layers of the FE in addition to the interface dipoles; see Figs. 2(e) and 2(f). O atoms are shifted to the right for the polarization vector pointing to the left ( $P_L$  state), while they are shifted to the left for the polarization vector pointing to the right ( $P_R$  state). The displaced O atoms affect the charge of the terminating atoms such that it becomes more positive (negative) if O atoms move toward (away from) the terminating atoms. We denote  $\delta q_L$  and  $\delta q_R$  as the change of the charge of the terminating atoms relative to the case of  $P = 0$ . In our simple model, we assume  $\delta q_R = -\delta q_L = \delta q$  and the screening charges on the metal side to screen  $\delta q$  are ignored.

Summarizing the above, the charge densities on the metal side of the left and right junctions are given as  $\rho_{\text{metal},L} = -\rho_{d,L} - \rho_S$  and  $\rho_{\text{metal},R} = -\rho_{d,R} + \rho_S$ , respectively, while those on the FE side are  $\rho_{\text{FE},L} = \rho_P + \rho_{d,L} - \delta q$  and  $\rho_{\text{FE},R} = -\rho_P + \rho_{d,R} + \delta q$ , respectively.

As shown in Figs. 2(g) and 2(h), the resultant height of the FE barrier is then given by

$$\phi_{L(R)} = \phi_{\text{SBH0}} + \phi_{\text{scr},L(R)} + \phi_{\text{ID},L(R)} \quad (1)$$

where  $\phi_{\text{SBH0}}$  is the difference between the work function of the metal and the electron affinity of the FE,  $\phi_{\text{scr}}$  is the screening potential in the metal region, which is often given by Thomas-Fermi screening theory [2,35], and  $\phi_{\text{ID}}$  is the potential due to the interface dipole, as explained further in the following.

As shown in Figs. 2(c) and 2(d), the electric fields induced by the interface dipoles have the effect of changing the tunnel barrier height by  $\phi_{\text{ID}}$  [36–39]. For a negative polar interface ( $\rho_d < 0$ ), such as the O-terminated layer, the tunnel barrier is raised ( $\phi_{\text{ID}} > 0$ ), while for a positively charged interface ( $\rho_d > 0$ ), such as the Hf- and Zr-terminated layers, the barrier is lowered ( $\phi_{\text{ID}} < 0$ ). In particular, if the terminating atom species are different at the two junctions, interface dipoles of different magnitudes are induced. In such a case, an ionic built-in field ( $E_{\text{ion}}$ )

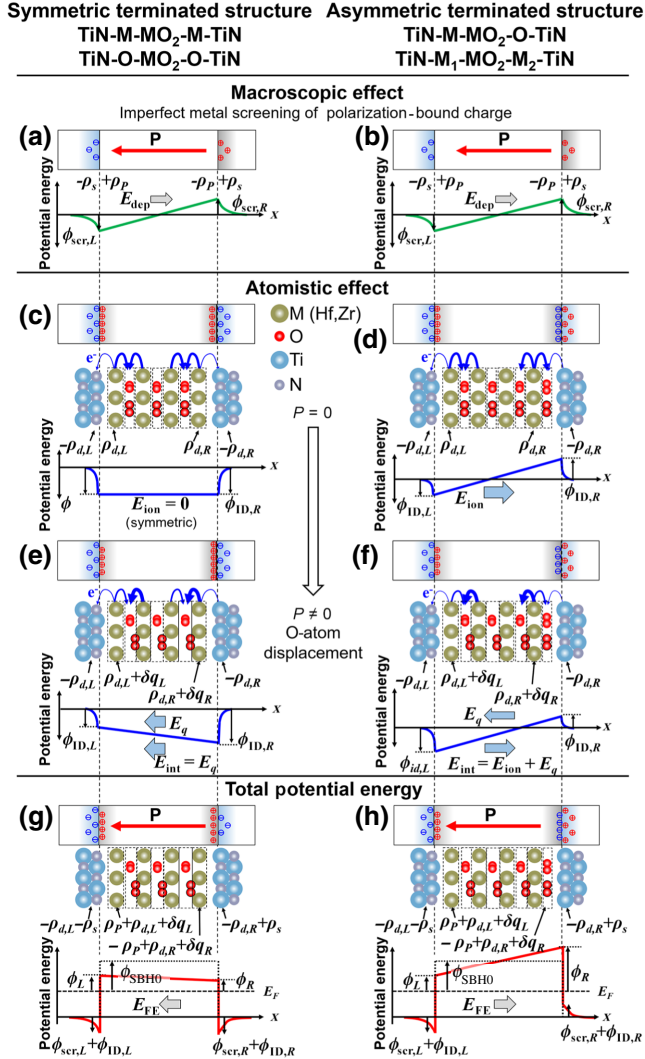


FIG. 2. Formation of the potential barrier depending on the terminated layers. (a),(c),(e),(g) The device with symmetrically terminated layers (the  $M$ -terminated case is shown). (b),(d),(f),(h) The device with asymmetrically terminated layers (the  $M$ - and  $O$ -terminated case is shown). In (c)–(f), the amount of charge transfer is schematically represented by the thickness of the curly arrows drawn on the top layer of the atoms.



[40] is established across the FE due to the asymmetric interface dipoles as shown in Fig. 2(d).

The total electric field ( $E_{FE}$ ) established in the FE is then

$$E_{FE} = E_{FE0} + E_{int}, \quad (2)$$

where

$$E_{FE0} = E_a + E_{bi} + E_{dep}, \quad (3)$$

$$E_{int} = E_{ion} + E_q, \quad (4)$$

where  $E_{FE0}$  is the usual macroscopic electric field across the FE, where  $E_a$  is the external field,  $E_{bi}$  is the built-in field due to the difference in the work functions of the left and right metal electrodes, and  $E_{dep}$  is the depolarization field.  $E_{bi} = 0$  in this work because the same kind of metal is used for the left and right electrodes. We set  $E_a = 0$  for the discussion in the following section. For simplicity, we assume that  $|E_{dep}|$  is the same for the same combination of metal and FE, irrespective of the terminating atom species of the FE or the polarization directions, for  $E_{dep}$  is the quantity introduced at the macroscopic level. In Eq. (2),  $E_{int}$  is the electric field due to the charges at the atomistic interfaces, where  $E_{ion}$  is the built-in field mentioned previously and  $E_q$  is the electric field due to  $\delta q$ .

If we take the view that the FTJ is a macroscopic structure and ignore the atom-level details at the interfaces,  $E_{FE}$  would be equal to  $E_{FE0}$ . This is not the case for the FTJs considered in this work. As shown in the schematic diagram in Fig. 2, what we show in the next section as the key result of this paper is that  $|E_{int}|$  can be comparable to or even bigger than  $|E_{dep}|$ . This means that if the terminating atom species are changed, different tunnel barrier heights and slopes can be established for the macroscopically same FTJ structure.

#### IV. RESULTS

Figures 3 and 4 show the layer-decomposed partial density of states of each of the symmetrically terminated structures (O-HfO<sub>2</sub>-O, Hf-HfO<sub>2</sub>-Hf, Hf-HZO-Hf, and Zr-HZO-Zr) and asymmetrically terminated structures (Hf-HfO<sub>2</sub>-O and Hf-HZO-Zr), whose atomistic structures are shown in Fig. 1. There are three noticeable points: (i) the average barrier height sensitively depends on the atom species of the terminated layer; (ii) the electric field across the FE also depends on the interface atom species; (iii) a high *on:off* ratio is possible for asymmetrically terminated structure.

Firstly, it is observed that the tunnel barrier height sensitively depends on the atom species of the terminated layer. For HfO<sub>2</sub> FTJs,  $\phi_L$  and  $\phi_R$  are 1.87 and 2.46 eV for the O-terminated device, while they are 0.91 and 0.88 eV

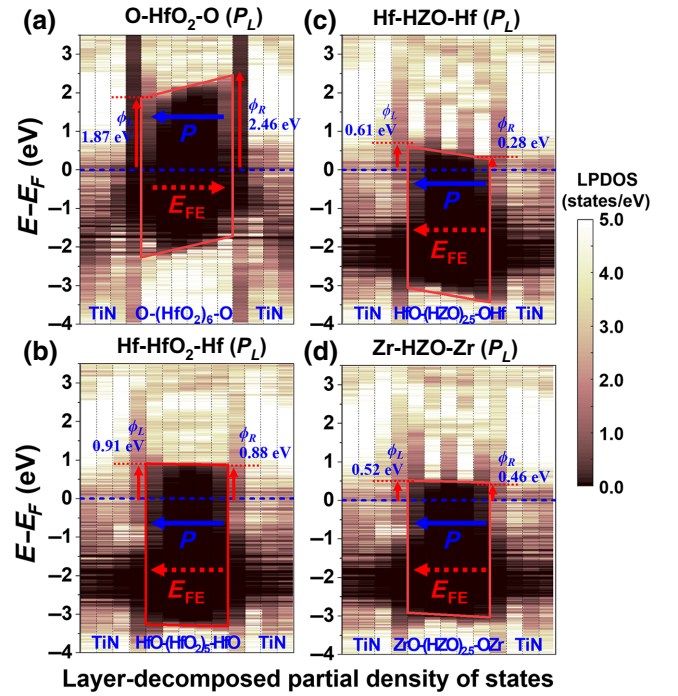


FIG. 3. Layered-decomposed partial density of states (LPDOS) for the symmetric interface structures (a) O-HfO<sub>2</sub>-O, (b) Hf-HfO<sub>2</sub>-Hf, (c) Hf-HZO-Hf, and (d) Zr-HZO-Zr. Solid blue and dotted red arrows indicate the polarization-direction and electric field direction, respectively. The dotted blue line represents the Fermi level shifted to 0.0 eV.  $\phi_L$  and  $\phi_R$  represent the barrier height at the left and right interfaces, respectively.

for the Hf-terminated device. For HZO FTJs,  $\phi_L$  and  $\phi_R$  are 0.61 and 0.28 eV for the Hf-terminated device, while they are 0.52 and 0.46 eV for the Zr-terminated device; see Figs. 3 and 4, where the polarization is in the  $P_L$  state. If we compare our values for the barrier height with those of other studies, the average barrier height of 2.16 eV for O-HfO<sub>2</sub>-O is slightly higher than the 2.00 eV reported in a previous theoretical study [29]. The experimentally reported barrier height between undoped ferroelectric HfO<sub>2</sub> and TiN is not available in the literature, although a barrier height of 2.5 eV was measured in monoclinic HfO<sub>2</sub> (a high- $k$  dielectric) [41]. The experimental barrier height between TiN and HZO was reported to lie in the range from about 1.2 to 2.3 eV [15,22], which is higher than our calculated value.

The dependence of the barrier height on the terminating atom species can be readily explained by the interface charges in Sec. III. To examine the charge properties on the basis of the model described in Sec. III, we calculate the number of excess charges using Bader charge analysis [42]: we subtract the valence charges from the total charges. Figure 5 shows the excess atomic charge near the interfaces. On the basis of the model in Sec. III, we extract

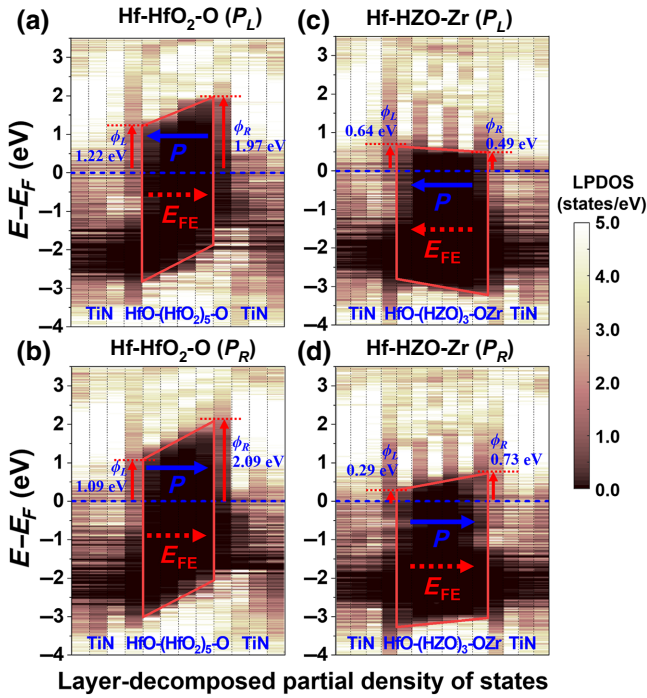


FIG. 4. Layered-decomposed partial density of states (LPDOS) for the asymmetric interface structures of (a),(b) Hf-HfO<sub>2</sub>-O and (c),(d) Hf-HZO-Zr for  $P_L$  and  $P_R$  states. Solid blue and dotted red arrows indicate the polarization-direction and electric field direction, respectively. The dotted blue line represents the Fermi level shifted to 0.0 eV.

the charge components of  $\rho_d$ ,  $\rho_s$ , and  $\delta q$  from excess charges of terminated layers in the symmetric structures as follows:  $\rho_d = (Q_{M,R} + Q_{M,L})/2$ ,  $\rho_s = (Q_{M,R} - Q_{M,L})/2$ , and  $\delta q = (Q_{FE,R} - Q_{FE,L})/2$ , where  $Q_{M,R}$  ( $Q_{M,L}$ ) and  $Q_{FE,R}$  ( $Q_{FE,L}$ ) are the excess atomic charges of the metal region and the terminated layers, respectively, at the right (left) side; see Table I. From Fig. 5 and Table I, it is seen that the Hf-terminated or Zr-terminated structure has a positive polar interface of  $\rho_d > 0$ , while the O-terminated structure has a negative polar interface of  $\rho_d < 0$ . This accounts for the results in Figs. 3 and 4 that the barrier height of the former is noticeably lower than that of the latter.

As the second key result of this work, we find that the total electric field  $E_{FE}$  across the FE is sensitively dependent on the atom species of the terminated layers and its direction can even be reversed due to the interface charges. In Figs. 3(a) and 3(b), for the same TiN/HfO<sub>2</sub>/TiN FTJ and for the same polarization state of  $P_L$ , the magnitudes of  $E_{FE}$  are quite different between the O-HfO<sub>2</sub>-O and Hf-HfO<sub>2</sub>-Hf structures and the directions are opposite. It is especially remarkable that for the latter structure the direction of  $E_{FE}$  is opposite that of  $E_{dep}$ , which is contrary to common knowledge that  $E_{FE}$  is equal to  $E_{dep}$  in a

ferroelectric material if the external field and built-in field are absent.

The reversal of  $E_{FE}$  is more pronounced in HZO FTJs as is seen in Figs. 3(c) and 3(d).  $E_{FE}$  of the Hf-HZO-Hf and Zr-HZO-Zr structures points to the left, which is the same direction as the polarization state of  $P_L$ , with the Hf-HZO-Hf structure showing the steeper barrier.

The above results can be explained by the contribution of  $E_{int}$  of Eqs. (2) and (4) and the associated interface charges shown in Figs. 5(a) and 5(b). From the Figs. 5(a) and 5(b), it is seen that the difference between  $\rho_{FE,L}$  and  $\rho_{FE,R}$  of Hf-HfO<sub>2</sub>-Hf is larger than that of O-HfO<sub>2</sub>-O. This is because the extracted  $\delta q$  of 0.204e of the Hf-terminated layer is larger than the 0.0898e of the O-terminated layer; see Table I. This means that according to our model described in the previous section [see Fig. 2(e)],  $\delta q$  of the Hf-terminated structure is not only larger than that of the O-terminated structure but also large enough to over-compensate  $E_{dep}$ , making the total field  $E_{FE}$  in the former structure smaller in terms of its magnitude but opposite in its direction.

The same applies to Hf-terminated and Zr-terminated structures of HZO FTJs, where the former shows stronger  $E_{FE}$  than the latter. In Figs. 5(c) and 5(d) and Table I, it is seen that  $\delta q$  of 0.171e of Hf-HZO-Hf is larger than the 0.137e of Zr-HZO-Zr, which explains the fact that the former shows a steeper tunnel barrier due to stronger  $E_q$ .

As the third and the most-important result of this work, we find that a high *on:off* current ratio of more than 10 can be achieved in the asymmetrically terminated structure, which is the structure with different atom species at the two terminated FE layers. We first consider the layer-decomposed partial density of states of Hf-HfO<sub>2</sub>-O and Hf-HZO-Zr in Fig. 4. For the asymmetrically terminated structure of Hf-HfO<sub>2</sub>-O,  $\phi_L$  and  $\phi_R$  of the  $P_L$  state are 1.22 and 1.97 eV, but these values are not simply reversed on reversal of the polarization direction to the  $P_R$  state. They are 1.09 and 2.09 eV for the  $P_R$  state. For Hf-HZO-Zr,  $\phi_L$  and  $\phi_R$  are 0.64 and 0.49 eV for  $P_L$  and 0.29 and 0.73 eV for  $P_R$ ; see Figs. 4(c) and 4(d).

In the asymmetrically terminated structures,  $E_{ion}$ , which is the electric field due to the asymmetric dipoles at the

TABLE I. The charge components dependent on the atom species of the terminated layer extracted from the model in Sec. III. The values represent the average per atom.

	HfO <sub>2</sub>		HZO	
	O terminated	Hf terminated	Hf terminated	Zr terminated
$\rho_d$	-0.879e	0.901e	0.906e	0.623e
$\rho_s$	0.109e	0.132e	0.180e	0.164e
$ \delta q $	0.0898e	0.204e	0.171e	0.137e

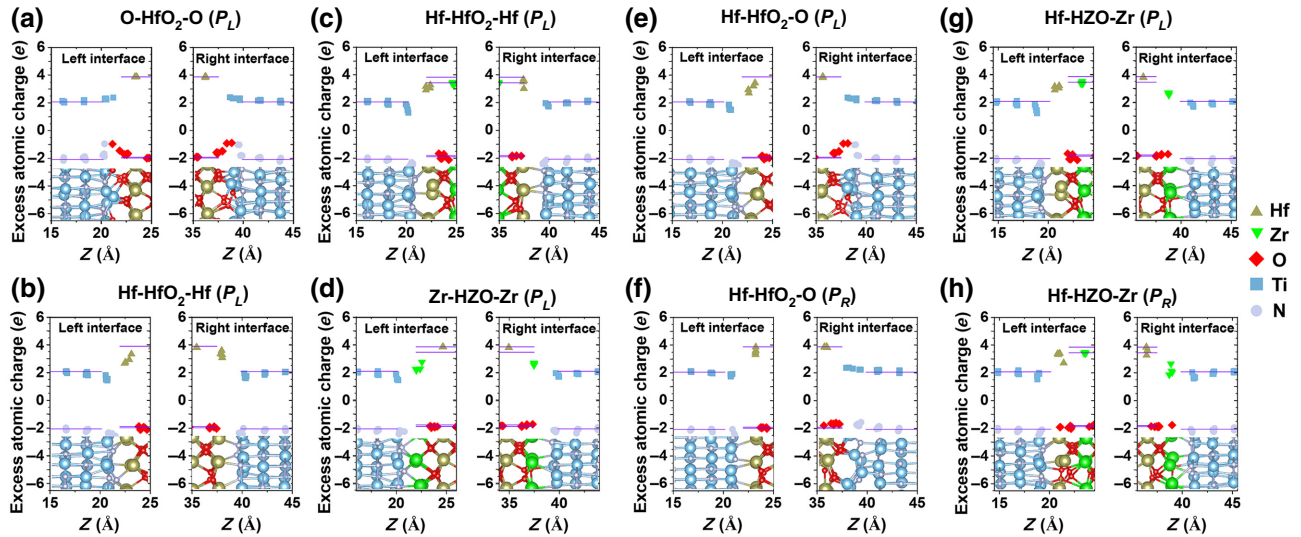


FIG. 5. Excess atomic charge for symmetric structures (a) O-HfO<sub>2</sub>-O, (b) Hf-HfO<sub>2</sub>-Hf, (c) Hf-HZO-Hf, and (d) Zr-HZO-Zr and asymmetric structures (e),(f) Hf-HfO<sub>2</sub>-O and (g),(h) Hf-HZO-Zr. The solid lines represent the atomic charges in the bulk TiN, HfO<sub>2</sub>, and HZO. The atomic charges are calculated Bader charge analysis.

two terminated layers, plays the same role as the built-in electric field  $E_{bi}$ . This component is shown in Fig. 2(d). Much as  $E_{bi} \neq 0$  for asymmetrical metal electrodes, where the left and right electrodes are made of the different metals with different work functions,  $E_{ion} \neq 0$  for asymmetrically terminated structures. The former is extrinsic, while the latter is intrinsic.

For both Hf-HfO<sub>2</sub>-O and Hf-HZO-Zr,  $E_{ion}$  is directed to the right since  $\rho_{d,L} > 0 > \rho_{d,R}$  for the former and  $\rho_{d,L} > \rho_{d,R} > 0$  for the latter; see Figs. 5(e) and 5(g). As shown in Table I,  $\rho_d$  of the O-terminated Hf-terminated layer of HfO<sub>2</sub> is negative (positive) and  $\rho_d$  of the Hf-terminated layer of HZO is more positively charged than that of the Zr-terminated layer of HZO. In the case of Hf-HfO<sub>2</sub>-O, the direction of  $E_{FE}$  is not changed on reversal of the polarization. This is because relatively strong  $E_{ion}$  due to the relatively large difference between positive  $\rho_{d,L}$  and negative  $\rho_{d,R}$  gives the dominant contribution to  $E_{FE}$ . That is,  $|E_{ion}| > |E_{dep} + E_q|$ . The interface dipoles are not reversed by the polarization reversal and hence  $E_{ion}$  is not reversed. For Hf-HZO-Zr, both  $\rho_{d,L}$  and  $\rho_{d,R}$  are positive and so  $E_{ion}$  is not as strong, resulting in the reversal of  $E_{FE}$  on reversal of the polarization.

Figures 6(a) and 6(b) show the calculated current and *on:off* current ratio of Hf-HfO<sub>2</sub>-O and Hf-HZO-Zr. What is remarkable is the high *on:off* ratio of more than 1 in the asymmetrically terminated devices as compared with the symmetrically terminated devices. In particular, the ratio reaches as high as 12 for Hf-HZO-Zr. The implication of this result is significant because a high current ratio can be possible without dissimilar metal electrodes or without additional composite layers to build asymmetric tunnel barriers.

As explained above, the polar interfaces and  $E_{ion}$  play a critical role in the asymmetrically terminated structures. For Hf-HfO<sub>2</sub>-O, the negatively charged O-terminated interface brings about a relatively high tunnel barrier and high  $E_{ion}$ , limiting the performance of the FTJ in the range of the external voltage considered in this work. For Hf-HZO-Zr with positively charged polar interfaces at both junctions, on the other hand, the average tunnel barrier height is low and a transition between high and low barriers is allowed by the polarization reversal, making a high *on:off* ratio possible with the help of  $E_{ion}$ . In the structure, the “high” current is achieved by the Fowler-Nordheim tunneling in the *on* state, while the “low” current is due to the direct tunneling through the higher barrier in the *off* state.

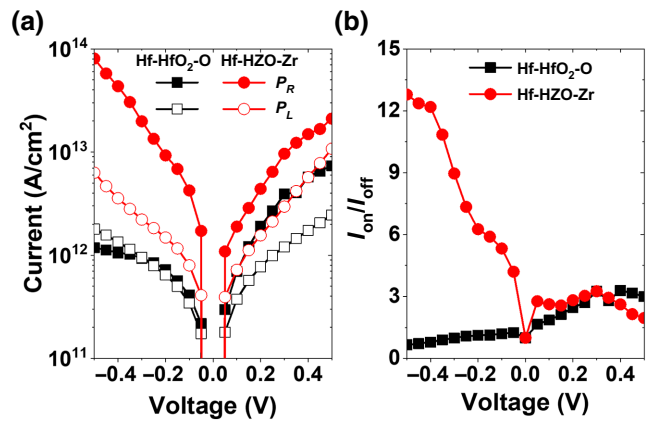


FIG. 6. (a) Current-voltage characteristics and (b) the *on:off* current ratio for FTJs with asymmetric atomic structures of Hf-HfO<sub>2</sub>-O and Hf-HZO-Zr.



## V. DISCUSSION

In a FTJ, to achieve a high *on:off* current ratio significant modulation of the tunnel barrier should be allowed by the polarization reversal. That is, the degree of the barrier modulation should be such that the Fowler-Nordheim tunneling current should flow through the steep barrier in the *on* state but it must be blocked by the high barrier in the *off* state. We find in this work that the atomistic interface effect can be so great that it can sufficiently modulate the barrier height and thus  $E_{FE}$ . We showed in the previous section that, depending on what kind of atomic species there are at the metal-FE junctions, the tunnel barrier height can be radically changed. In particular, we find that in the comparison between the O-HfO<sub>2</sub>-O and Hf-HfO<sub>2</sub>-Hf structures,  $E_{int}$  induced by interfacial charges can overcompensate  $E_{dep}$ , leading to the different  $E_{FE}$  between them. As a consequence, vastly different *on:off* current ratios can be provided in the otherwise same FTJ structure.

From the point of view of device performance, the presence of the interface charges and hence  $E_q$  is undesirable because it weakens  $E_{FE}$  ( $E_q$  is in the opposite direction to  $E_{dep}$ ). However, by having positively polarized, asymmetrically terminated interfaces to lower the average barrier height and to have an effective built-in field, one can achieve a high *on:off* current ratio, as demonstrated above for the case of the Hf-HZO-Zr structure.

There have been experimental reports [15,23] that although the device structure is symmetric, the tunnel barrier is not symmetrically modulated with the polarization. We speculate that this may be due to the formation of asymmetrical interfaces during the fabrication processes where the oxygen concentrations at the interfaces influence the interfacial charges. Also, the atomistic arrangement at and near the interfaces can affect the interface dipole as well as the interface charges. The TiO<sub>1-x</sub>N<sub>x</sub> interfacial layer is formed by O atoms mixed into the TiN layer. This layer affects the effective screening length of the metal electrodes [15] and its disordered chemical bonding at the interface can create a small interface dipole [43], possibly contributing to  $E_{int}$ .

Spin properties in ferroelectric materials are one of the important properties to enable multifunctionality of FTJs [44,45]. It has been reported that the spin-orbit coupling of HfO<sub>2</sub> induces a large band split [46]. A theoretical study has also predicted a large tunneling anomalous Hall effect in FTJs with its large spin-orbit coupling [47]. Although we focus on the properties of the tunnel barrier depending on the terminated layers of HfO<sub>2</sub>, further work is needed with regard to these effects.

## VI. CONCLUSION

In summary, we perform first-principles calculations to investigate HfO<sub>2</sub>-based FTJs at the atomistic level. We find

that, depending on the terminating atom species of ferroelectric layer, different tunnel barrier heights and profiles can be built in otherwise the same FTJ structure. The interface dipoles formed at the two junctions play a critical role, and the tunnel barrier height can be raised or lowered according to the polarity of the interface. The asymmetrical interface dipoles establish an effective built-in electric field, which can help achieve a high *on:off* current ratio at low external voltages.

The guideline for high device performance is then to ensure one has positively polarized, asymmetrically terminated interfaces to lower the average barrier height and to induce an effective built-in field. We demonstrate that the HZO FTJ structure which is asymmetrically terminated with Hf and Zr atoms shows an *on:off* ratio of 12. Our theoretical results may shed light on atomistic-level processes for the fabrication of high-performance FTJ devices with applications such as neuromorphic and nonvolatile-memory devices.

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