


Ultrabroadband Microresonators with Geometrically Nonlinear Stiffness and Dissipation

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In the areas of micro- and nanoresonant sensing, energy harvesting, and signal processing, the ability to provide resonance over a broad frequency bandwidth is a persistent goal. One strategy for a broadband resonator that researchers focus on is to exploit the relatively large-amplitude response of micro- and nanoresonators operating in the geometrically nonlinear dynamic regime. Geometric nonlinearity is well known to have a hardening effect on the resonance curve, thereby generating a broadband resonance, the bandwidth of which is limited by the linearized resonant frequency (lower bound) and the drop-down bifurcation frequency (upper bound). With everything else kept constant, increasing the drop-down bifurcation frequency in the frequency response of a nonlinear resonator enhances the broadband resonance. Here, the ultrabroadband resonance of microresonators with geometrically nonlinear stiffness is investigated and validated experimentally. Specifically, a microresonator with a cubic stiffness nonlinearity is excited at its base and, as the excitation amplitude approaches a critical level, a sudden and significant increase in the resonant bandwidth of the fundamental bending mode is observed. The significant implications of this nonlinear phenomenon in sensing, signal processing, and other applications at the microscale are discussed.

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I. INTRODUCTION

Many microelectromechanical systems (MEMS) utilize a micromechanical resonator under harmonic excitation, undergoing either torsional or flexural vibrations [1]. Typically, one or more vibrational modes are driven and transduced into an electrical signal, which serves to provide the essential functionality of resonator-based MEMS. The harmonic excitation may be applied at the base or directly to the structure from a variety of different actuation forces, including piezoelectric, electrostatic, and magnetic. Traditionally, these resonators were designed and studied within the framework of linear dynamics, and researchers focused on mitigating sources of incidental nonlinearity [2–4]. Over the past couple of decades, an alternative approach to nonlinearity in micro- and nanoresonators has emerged and is now an expanding active research area. In this approach, nonlinearity is intentionally incorporated into the device design to leverage the rich nonlinear behavior for practical purposes [5–8].

Considering the design of microresonators, in the ongoing thrust for enhanced functionality, the size of state-of-the-art resonant MEMS is becoming increasingly small. When the relative response amplitude, compared with the characteristic size of the device, becomes large, axial strain along the beam induces a nonlinear restoring force in the resonator [9]. Specifically, geometric nonlinearity associated with midplane stretching in the beam generates a cubic stiffness term in the equation that governs the response of the fundamental bending vibration mode (i.e., the motion is characterized by the Duffing equation). In this sense, all beam structures have a threshold amplitude above which they behave nonlinearly. As the characteristic size of the resonator decreases, this threshold amplitude decreases as well, and, in extreme cases, the linear dynamic regime may occur entirely below the noise floor. Accordingly, by operating at larger amplitudes that are well within the nonlinear regime, the signal-to-noise ratio (SNR) may be enhanced, which is one source of motivation for investigating and implementing intentional nonlinearity in microelectromechanical (MEM) sensors and time-keeping devices [10–16]. The broadband resonance associated with geometric hardening can also be utilized

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in MEMS energy-harvesting and bandpass-filtering applications. A common issue in MEM energy harvesting is frequency mismatch between the vibration source and the MEM harvester and, hence, resonant bandwidth expansion of the harvester can amplify the resulting output power [17–20]. It is also shown that, through strategic design and/or coupling of Duffing oscillators, the nonlinear frequency response can be exploited to generate a nearly ideal bandpass MEM filter [21–23]. Furthermore, in certain applications, intentional nonlinear phenomena may result in paradigm-shifting improvements in the area of micro- and nanoresonant sensing [24–39]. This approach, based on intentional utilization of nonlinearity, however, dictates predictive design and careful study of the dynamics to determine accurately the ranges of desirable and robust operation, and thus, avoid unwanted effects (e.g., chaotic motions or dynamic instabilities), which can occur in nonlinear systems under external or parametric excitation [9,40,41].

It is a well-known result that cubic nonlinearity in a hardening Duffing oscillator causes forward bending of the resonance curve, which results in a broadband resonance. This is in contrast to the classical narrowband Lorentzian resonance of a linear oscillator. For a fixed forcing level, the range of frequencies that constitute the broadband resonance of a Duffing oscillator is determined by the linearized frequency (lower bound) and the drop-down bifurcation frequency (upper bound). In a recent study [16], it was shown that, for a clamped-clamped microcantilever subject to harmonic base excitation, there was a critical excitation amplitude above which there was no theoretically predicted drop-down bifurcation frequency, yielding an ultrabroadband nonlinear resonance. Physically, of course, it is not feasible to truly have no drop-down bifurcation in the frequency-response curve. In practice, the inevitable drop-down bifurcation may occur due to various effects or unmodeled dynamics, e.g., the excitation of internal resonances, yielding nonlinear energy transfers through modal interactions [10]; shrinking of the domain of attraction of the upper (stable) resonance branch; perturbations of initial conditions due to noise; and/or nonlinear dissipative effects [42].

Here, we present experimental evidence of ultrabroadband resonances in a flexible microresonator with cubic stiffness nonlinearity under harmonic base excitation. Specifically, we find that, as the excitation amplitude approaches a critical level, the resonant bandwidth of the fundamental bending mode suddenly increases substantially. This behavior is observed experimentally for two different microresonator systems. Further, we theoretically reconstruct the experimental resonance curves using a model with cubic stiffness nonlinearity and a two-phase damping model: linear damping is assumed for relatively low amplitudes, whereas nonlinear damping proportional to the product of the displacement and the square of the

velocity is assumed for large amplitudes. Good quantitative agreement between the experimental and theoretically reconstructed resonance curves is observed. Ultimately, the ultrawide broadband resonance can be exploited in MEM sensing, energy harvesting, and filtering applications.

II. THEORETICAL PREDICTION OF ULTRABROADBAND RESONANCES

We consider a single-degree-of-freedom (SDOF) Duffing oscillator with hardening cubic nonlinearity under harmonic base excitation. In previous works, e.g., Refs. [43,44], it has been shown that such physics-based stiffness nonlinearity arises from geometric effects (nonlinear stretching) of a linear spring-damper element undergoing transverse oscillations (cf. also Sec. III). The corresponding governing equation of motion, in nondimensional form, is given by

$$\hat{y}'' + 2\zeta_1\hat{y}' + \hat{y} + \alpha\hat{y}^3 = \hat{\alpha}\Omega^2 \cos \Omega\tau, \quad (1)$$

where \hat{y} is the displacement, $\hat{\alpha}$ is the base excitation amplitude, Ω is the drive frequency, ζ_1 is the linear damping coefficient, and α is the cubic stiffness coefficient; all coefficients and variables are nondimensionalized.

In an effort to recover the drop-down frequency as a function of excitation amplitude for this system, we employ harmonic balance analysis [9]. Specifically, we assume an approximate one-term harmonic expansion of the displacement, $\hat{y} = \hat{A} \cos(\Omega\tau - \varphi)$, and balance the first (leading) harmonic in Eq. (1) to obtain the following approximate frequency-amplitude relationship, omitting the higher harmonics in the response, which are assumed to be negligible in the frequency range of interest:

$$\frac{3}{4}\alpha\hat{A}^3 = (\Omega^2 - 1)\hat{A} + \sqrt{\hat{\alpha}\Omega^4 - (2\zeta_1\Omega\hat{A})^2}. \quad (2)$$

The drop-down bifurcation point, i.e., the frequency where a “jump” from the upper stable resonance branch to the lower (linearized) branch occurs, can be estimated as the intersection of the frequency-amplitude curve defined by Eq. (2) and the so-called backbone curve [9,37]. By definition, the backbone curve is the frequency-amplitude relationship corresponding to the system without damping ($\zeta_1 = \zeta_2 = 0$) and external excitation ($\hat{\alpha} = 0$), and hence, from Eq. (2) is given by

$$\frac{3}{4}\alpha\hat{A}^3 = (\Omega^2 - 1)\hat{A}. \quad (3)$$

By combining Eqs. (2) and (3), an equation defining the drop-down bifurcation frequency, Ω_d , is recovered as

follows:

$$\Omega_d = 1/\sqrt{1 - \frac{3\alpha\hat{a}^2}{16\zeta_1^2}}. \quad (4)$$

From Eq. (4), we see that, for fixed system parameters, the drop-down frequency has only a real value for excitation amplitudes below the critical level of $\hat{a}_{\text{critical}} = \sqrt{16\zeta_1^2/(3\alpha)}$. For excitation levels above this critical level, there is no theoretically predicted drop-down bifurcation in the resonance curve. Physically, there must be drop-down bifurcations for excitation levels above the critical level, but they are not captured by Eq. (1). In other words, the inevitable drop may be caused by unmolded dynamics, such as the excitation of internal resonances [10], shrinking of the domain of attraction of the upper (stable) resonance branch, perturbations of initial conditions due to noise, and/or nonlinear dissipative effects [42].

The drop-down frequency as a function of excitation amplitude is plotted in Fig. 1 for a Duffing oscillator with a linear resonant frequency of $f_0 = 51$ kHz, a Q factor of $Q = 1/(2\zeta_1) = 1515$ and a nondimensional cubic stiffness of $\alpha = 9173$. For these parameters, the critical excitation amplitude is $\hat{a}_{\text{critical}} = 8.1 \times 10^{-6}$. Here, we see that the drop frequency increases at an increasing rate as the excitation amplitude increases, and as the excitation amplitude approaches the critical level, the drop frequency increases without bound. In a physical system, it is not reasonable to expect the drop frequency to increase up to several orders of magnitude, as shown in Fig. 1(b), but we should expect to see the drop frequency increase suddenly and substantially as the excitation amplitude approaches the critical level.

To better understand this nonlinear dynamic phenomenon from a physical perspective, it is constructive to consider the case where harmonic excitation applied directly to the oscillator rather than to the base. The equation of motion in this case is

$$\hat{y}'' + 2\zeta_1\hat{y}' + \hat{y} + \alpha\hat{y}^3 = q \cos \Omega\tau, \quad (5)$$

where q is the fixed forcing level. The drop-down frequency is then given by

$$\Omega_d = \sqrt{\frac{1}{2} \left(1 + \sqrt{1 + \frac{3\alpha q^2}{4\zeta_1^2}} \right)}. \quad (6)$$

Hence, for a given value of the forcing level, q , there is a drop-down frequency, and as q increases, the drop-down frequency also increases. The drop-down frequency as a function of excitation force, q , is shown in Fig. 1(b). Reconsidering now the case of base excitation, the forcing level is not fixed, but rather is proportional to the base

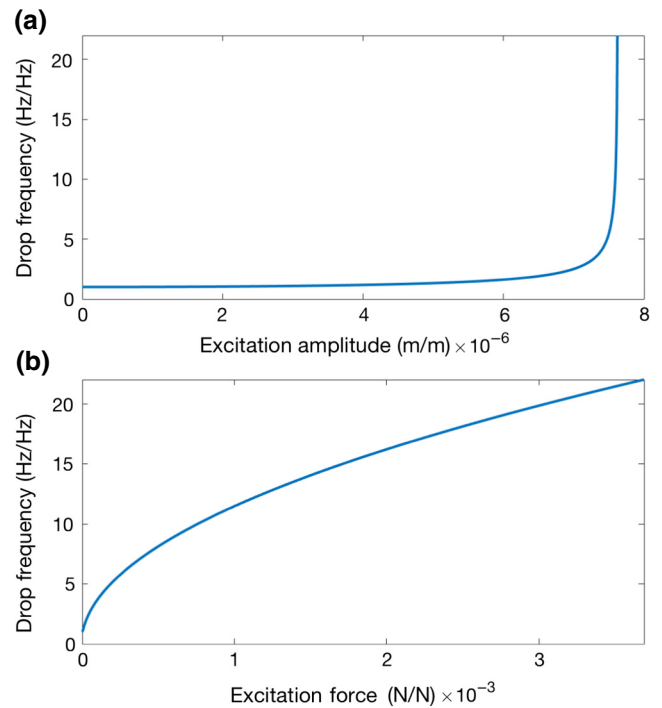


FIG. 1. Computational curves of the drop-down frequency as a function of the excitation (a) amplitude and (b) force for a Duffing oscillator under harmonic (a) base excitation and (b) direct excitation. These results correspond to a Duffing oscillator with a linear resonant frequency of $f_0 = 51$ kHz, a Q factor of $Q = 1/(2\zeta_1) = 1515$, and a nondimensional cubic stiffness of $\alpha = 9173$.

excitation amplitude and the square of the drive frequency, as seen in Eq. (1). Therefore, at a given base excitation amplitude, as the drive frequency is swept forward the corresponding forcing level also increases, yielding an increase of the drop-down frequency, according to Eq. (6). The net effect is that, in the case of base excitation, as the drive frequency is swept forward, the drop-down frequency increases continuously, and for sufficiently large base excitation amplitudes (i.e., above a critical threshold), the instantaneous drop-down frequency is always greater than that of the instantaneous drive frequency. The minimum base excitation amplitude required to achieve this effect is the critical excitation amplitude. A more detailed discussion of this phenomenon is presented in Ref. [16].

III. EXPERIMENTAL RESULTS AND THEORETICAL RECONSTRUCTION

To verify the theoretical predictions presented in Fig. 1, we experimentally investigate the dynamics of the device shown in Fig. 2 over a frequency range in the neighborhood of its fundamental bending mode. The system consists of a Si microcantilever that is connected by a polymer bridge to a fixed base. The nonlinear stretching of the

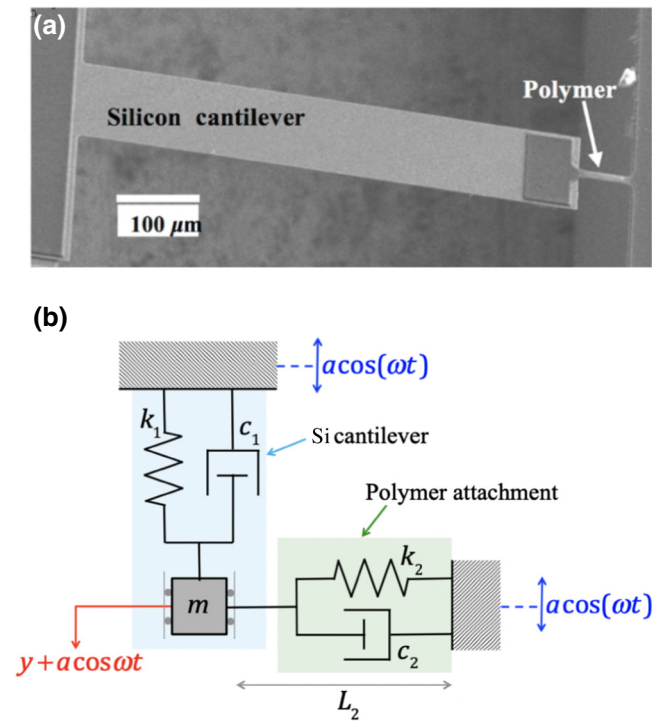


FIG. 2. The experimental nonlinear microresonator: (a) A SEM image of the system [43], consisting of a Si microcantilever grounded to the base via a polymer attachment. (b) The lumped parameter model of the system. The flexural motion of the Si cantilever is modeled by a linear damped harmonic oscillator with effective stiffness k_1 , effective mass m , and effective damping coefficient c_1 , where the displacement of the effective mass corresponds to the displacement of the tip of the cantilever. The effective mass is constrained by a horizontal viscoelastic element with stiffness k_2 and damping coefficient c_2 , which models the nonlinear effect of the polymer attachment. The large flexural displacement of the cantilever induces axial stretching in the polymer attachment, resulting in geometric nonlinearity in the system's dynamics. The horizontal (nonlinear) damper does not play a significant role when y is relatively small and, hence, the nonlinear damping is ignored in the theoretical reconstruction (see Fig. 3) within this dynamic regime. On the other hand, the vertical (linear) damper does not play a significant role when y is relatively large because the nonlinear damping effect is dominant and, therefore, linear damping is ignored within the large-amplitude dynamic regime.

polymer bridge, resulting from the transverse motion of the tip of the microcantilever, generates the desired nonlinear stiffness effects in this microresonator.

As discussed in a previous study in Ref. [43], by design, the Si cantilever has a significantly lower bending stiffness than that of the polymer attachment and, as a result, transverse loading induces a relatively larger bending deflection of the Si cantilever, compared with that of the polymer attachment. Similarly, the axial stiffness of the polymer bridge is designed to be considerably smaller than that of the Si cantilever, so that an axial force primarily stretches

the polymer bridge and not the Si cantilever. As a result, harmonic excitation near the microcantilever's first bending mode induces large flexural motion of the Si cantilever and relatively large axial stretching of the polymer attachment. Accordingly, under harmonic excitation from the base, the Si cantilever behaves as a linear damped SDOF harmonic oscillator, which is constrained in the transverse direction by a viscoelastic element [see Fig. 2(b)]. Hence, in the frequency range considered in the experiment, the amplitudes of the higher cantilever modes are small and their effects on the measured dynamics are negligible. Details regarding the fabrication of this device and experimental setup are presented in Ref. [43].

Moreover, it has been shown in Refs. [39,43–45] that the SDOF reduced-order model shown in Fig. 2(b) captures well the geometrically nonlinear effects due to stretching of the polymer attachment. By applying either the Newtonian or Lagrangian methods to the reduced order system depicted in Fig. 2(b), and retaining only leading-order nonlinear terms for small oscillations (i.e., $y/L \ll 1$), the following equation of motion is recovered:

$$m\ddot{y} + c_1\dot{y} + k_1y + k_3y^3 + c_3\dot{y}y^2 = m\omega^2 \cos \omega t, \quad (7)$$

$$k_3 = \frac{k_2}{2L_2^2}, c_3 = \frac{c_2}{L_2^2},$$

where the parameters used in Eq. (7) are defined in Fig. 2(b). Specifically, as the effective mass, m , moves, the force imparted to the mass by the viscoelastic element representing the polymer attachment is an essentially nonlinear function (i.e., having no linear component) of the displacement y . To leading order, this force is of the form $k_3y^3 + c_3\dot{y}y^2$. On the other hand, elastic and dissipative forces imparted on the mass by the lumped-parameter model representing the cantilever are linear. As a result, when the microbeam system is harmonically driven from the base with an excitation amplitude of a , the equation of motion in Eq. (7) characterizes well the leading-order dynamics of the microresonator in the neighborhood of the fundamental bending mode of the microcantilever. Finally, by introducing the following normalizations,

$$\hat{y} = \frac{y}{L_1}, \quad \tau = \omega_0 t, \quad ()' = \frac{d}{d\tau}, \quad \omega_0 = \sqrt{\frac{k_1}{m}},$$

$$\zeta_1 = \frac{c_1}{2m\omega_0}, \quad \zeta_2 = \frac{c_2 L_1^2}{m\omega_0}, \quad \Omega = \frac{\omega}{\omega_0}, \quad \alpha = \frac{k_3 L_1^2}{m\omega_0^2}, \quad \hat{a} = \frac{a}{L_1}, \quad (8)$$

Equation (7) can be written in the following form:

$$\hat{y}'' + 2\zeta_1\hat{y}' + \hat{y} + \alpha\hat{y}^3 + \zeta_2\hat{y}' + \hat{y} = \hat{a}\Omega^2 \cos \Omega\tau. \quad (9)$$

Notably, Eq. (8) differs only from Eq. (1) in the presence of an additional nonlinear damping term that is proportional to the product of the velocity and the square of the

displacement. The theoretical analysis shows that the combined effect of nonlinear hardening (i.e., forward bending of the resonance curve towards higher frequencies) and base excitation results in significant bandwidth expansion, as the excitation amplitude approaches the critical level. Indeed, the microresonator of Fig. 2 exhibits strong nonlinear hardening in the fundamental resonance [43]. Hence, it is reasonable to expect that the theoretically predicted ultrabroadband resonance of the microresonator [Eq. (1)] should also be exhibited by the experimental system of Fig. 2, under harmonic base excitation.

Aiming to experimentally verify the occurrence of the ultrabroadband resonance phenomenon due to geometric nonlinearities, we obtained experimental frequency-response curves for this device at various constant excitation amplitudes. The specific device tested incorporates a $500 \times 100 \times 20 \mu\text{m}^3$ Si cantilever, and a $40 \times 20 \times 3 \mu\text{m}^3$ polymer attachment. A piezoelectric shaker attached to the base of the microbeam system is used to provide the required harmonic base excitation, and the shaker is carefully chosen, so that the operational frequencies are well outside the resonance of the shaker. This allows us to assume that the shaker responds linearly to the excitation. Concerning the prescribed base motion, the excitation of the shaker with a fixed voltage level corresponds to a fixed excitation amplitude of the resulting base oscillation, but not to a fixed forcing level. In fact, the resulting forcing level is proportional to the product of the drive frequency squared and the excitation amplitude [see the right-hand side of Eq. (7)]. The shaker is excited with an ac voltage at peak-to-peak values ranging from 3 to 20 V provided by a function generator. The dynamic response of the microbeam is measured by a laser Doppler vibrometer (LDV; Polytec OFV-534 sensor and OFV-5000 controller), with the laser pointing at the free end of the Si cantilever to measure its maximum transverse deflection. The measured signal is delivered to a computer via an oscilloscope (Tektronix DSOX4034A), where it is postprocessed in LabView. The excitation frequency is incrementally swept forward, and for each value of the frequency, the numerical fast Fourier transform (FFT) of the steady-state motion of the cantilever tip is computed and the measured amplitude at the fundamental harmonic is digitally recorded.

In Fig. 3(a), the experimental resonance curves of the microresonator near its fundamental bending mode are shown; in Fig. 3(c), the corresponding experimental drop-down frequency as a function of the excitation amplitude (in V) is shown. We start by performing forward frequency sweeps at the fixed excitation voltage (amplitude) of 20 V, which produces the experimental resonance curve at that excitation amplitude. Following that, we decrease the excitation voltage at the increments shown in the plot of Fig. 3(a), performing similar forward sweeps at each (fixed) excitation amplitude. The smallest voltage is 3 V,

at which point the microresonator behaves approximately linearly. We see that, as the excitation voltage increases from 3 to 7 V, the drop-down frequency increases steadily, but from 7 to 8 V the drop-down frequency abruptly increases substantially; from 8 to 20 V, the drop-down frequency steadily increases, but at a lower rate. The abrupt increase in the drop-down frequency between 7 and 8 V is caused by a small increase in the excitation voltage near 7 V, which is evidence of the critical excitation amplitude, as theoretically predicted in Sec. II, denoting the initiation of ultrabroadband nonlinear resonance. Qualitatively similar results are observed for a different microresonator system with a $500 \times 100 \times 20 \mu\text{m}^3$ Si cantilever and a $40 \times 20 \times 3 \mu\text{m}^3$ polymer attachment; these results are presented in the Supplemental Material [46]. It is important to note that no significant peak in the FFT of the steady-state motion is found, other than the dominant peak at the drive frequency throughout the experimental range. As such, internal resonance is not excited in any case.

In a final step, we estimate the Q factor, linear resonant frequency, and nondimensional cubic stiffness to theoretically reconstruct the resonance curves. By theoretically fitting the experimental resonance curve at an excitation voltage of 0.1 V (where the response is in the linear dynamics regime), the linear resonant frequency and Q factor are simultaneously estimated to be $f_0 = 51$ kHz and $Q = 1/(2\zeta_1) = 1515$, respectively. Further, by fitting the theoretical backbone to the experimental resonance curves below 8 V, the nondimensional cubic stiffness is estimated to be $\alpha = 9,173$. Finally, by fitting the drop frequencies corresponding to excitation voltages between 3 and 7 V using Eq. (4), the sensitivity of the piezoshaker is found to be 0.064 nm/V. A detailed description of this fitting is presented in the Supplemental Material [46]. Notably, because nonlinear damping does not play a role in the dynamics within the linear dynamic regime, the estimation of f_0 and Q are independent of the nonlinear damping model. Additionally, since dissipative effects do not affect the backbone, the estimation of α is independent of the damping model.

To reconstruct the forward frequency sweeps, we assume linear damping in the low-amplitude regime, corresponding to excitation voltages of 3 to 7 V, and assume a nonlinear damping model in the high-amplitude regime, corresponding to excitation voltages of 8V–20 V. Specifically, for excitation levels of 8 to 20 V, nonlinear damping of the form $\zeta_2 \hat{y}' \hat{y}^2$ is imposed with $\zeta_2 = 30$ [this is the nonlinear damping model from Eq. (9)]. In other words, a two-phase damping model is used to theoretically reconstruct the resonance curves, in which linear damping is used when the oscillation amplitude is relatively small for excitation levels in the range 3V–7 V, while nonlinear damping of the form $\zeta_2 \hat{y}' \hat{y}^2$ is used when the oscillation amplitude is abruptly high in the range 8V–20 V. The physical reason for this two-phase damping model is that nonlinear

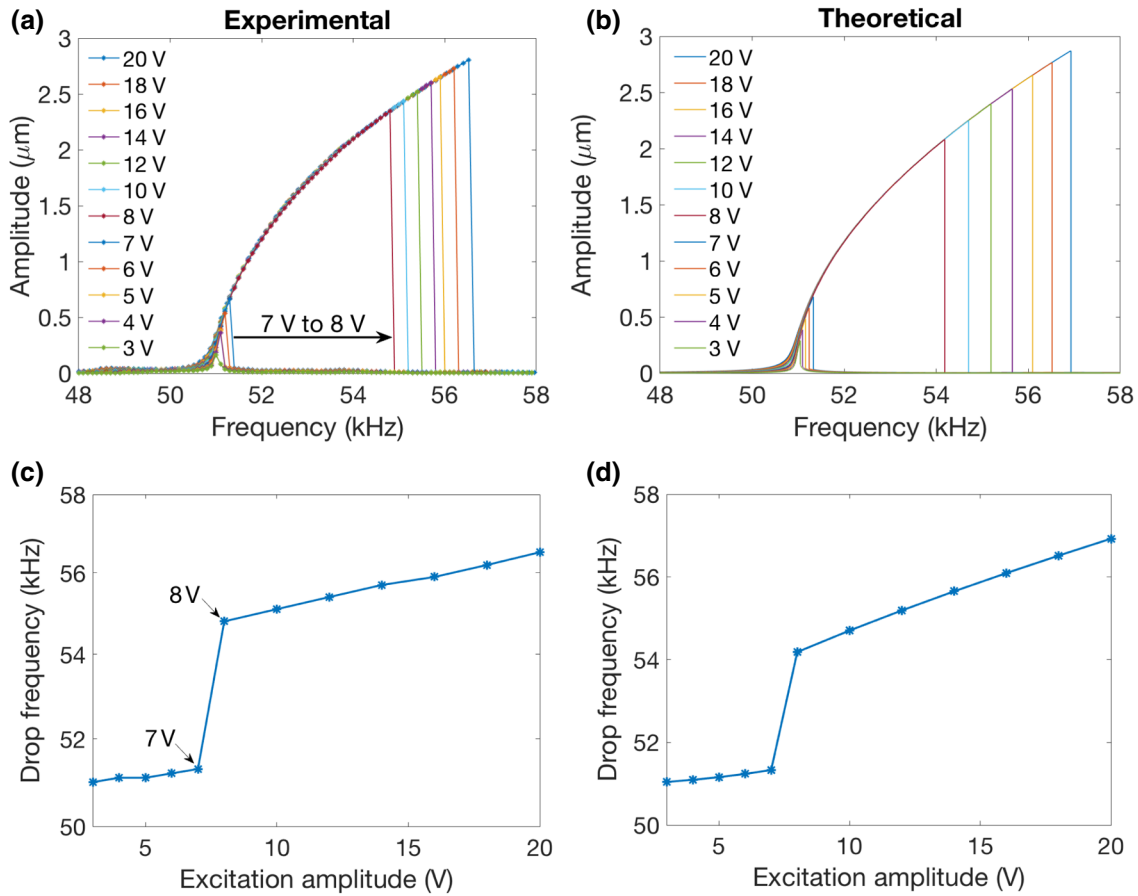


FIG. 3. Experimental (a) and theoretically reconstructed (b) resonance curves (forward sweeps only) for varying excitation amplitudes in V, and experimental (c) and theoretically reconstructed (d) drop-down frequencies as functions of excitation amplitude for the microresonator in Fig. 2. Notably, the ultrabroadband nonlinear resonance occurs at excitation voltages above 7 V.

damping does not play a significant role when γ is small, whereas the linear damping does not play a significant role when γ is large (since the nonlinear damping effect is dominant). The theoretically reconstructed forward frequency sweeps and drop-down frequency as a function of excitation amplitude are shown in Figs. 3(b) and 3(d). Here, we see reasonable quantitative agreement with the corresponding experimental results shown in Figs. 3(a) and 3(c).

IV. CONCLUSION

In a recent study [16], it was theoretically and computationally shown that a Duffing oscillator (i.e., an oscillator with cubic stiffness in addition to linear stiffness) subjected to harmonic excitation applied to the base, rather than as a body force, could support ultrabroadband resonances, owing to the recently reported nonlinear phenomenon termed the no-drop phenomenon. In contrast to direct force excitation, the forcing level is not fixed for base excitation, but rather is proportional to the product of the excitation amplitude and the drive frequency squared. It was shown by Potekin *et al.* [16] that, above a critical base excitation

amplitude, there was no theoretically predicted drop-down bifurcation point in the resonance curve.

Here, we experimentally investigate this phenomenon by considering the dynamics of a Si microcantilever restricted at its free end by a polymer bridge and subject to base harmonic excitation. It has previously been shown that, over the frequency range close to the fundamental bending mode, strong hardening (i.e., forward bending) of the frequency response occurs due to geometric nonlinearity in the beam. Experimental resonance curves of this nonlinear microresonator at different excitation amplitudes are obtained. We observe an abrupt and significant increase in the resonant bandwidth above a certain critical excitation threshold. This signifies the initiation of nonlinear ultrabroadband resonance in this device. Moreover, quantitative agreement is observed between the experimental resonance curves and the corresponding theoretically reconstructed curves.

Ultrabroadband resonances in microscale resonators are expected to be beneficial in many MEMS applications for signal processing, energy harvesting, sensing, rf electronics, and frequency control. There are several different

ways one might go about increasing the bandwidth of a microresonator. For example, one can aim to reduce damping and/or increase the forcing level; however, these techniques have their limitations. The results shown here, along with the results presented in Ref. [16], illustrate an unconventional strategy for resonant bandwidth expansion in microresonators. Apart from enhanced MEMS applications, we anticipate that the nonlinear ultrabroadband phenomenon will be effective in diverse fields, e.g., in alternative types of nonlinear multifrequency AFM measurement techniques and nonlinear acoustic metamaterials with heretofore unattainable dynamic and acoustic properties.

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