

Two-Scale Structure for Giant Field Enhancement: Combination of Rayleigh Anomaly and Colloidal Plasmonic Resonance

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We propose a two-scale architecture by simultaneously exploiting both the Rayleigh anomaly and localized surface plasmon resonance in colloidal directly assembled oligomers to achieve giant field enhancement, overcoming limitations by losses and the intrinsic nonlocality of the dielectric response of metal nanoparticles. We show that the total field enhancement in the nanogap hotspots of this two-scale structure is the product of the enhancements of each individual geometrical scale. Under this scheme, chemically assembled metallic oligomers enable strong enhancement of the near-field in resultant few-nanometer gaps at their plasmonic resonance. An extra enhancement factor results from combining the plasmonic resonance enhancement of these oligomers (nanometer scale) with a Rayleigh anomaly caused by a one-dimensional (1D) periodic set of nanorods (micrometer scale) fabricated using a lithographic method. This extra field enhancement cannot be obtained by further reducing the nanoantennas' gaps, since they are already in the few-nm ranges thanks to direct chemical assembly of oligomers. Experimental results verify that surface-enhanced Raman spectroscopy (SERS) signal is enhanced by more than one order of magnitude due to the Rayleigh anomaly as compared to the signal taken by using only metallic oligomers without periodic nanorods. The proposed two-scale structure could serve as a substrate for different spectroscopy techniques including SERS and can improve the sensitivity of spectroscopy for ultrasensitive biomolecule detection and single molecule spectroscopy studies.

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I. INTRODUCTION

Enhancing light-matter interaction has attracted tremendous research interest due to the reliance of various applications ranging from sensing [1–6] and solar cells [7,8] to harmonic generation enhancement [9–11] and perfect lensing [12] on local field enhancement. Due to this fact, metallic nanoparticles have been the focus of attention for their ability to provide giant field localization at a sub-wavelength scale thanks to the role of surface plasmon polaritons (SPPs). In particular, nanosphere-based clusters with nanometer-sized separation have been used to achieve extreme field enhancement between metallic nanoparticles [13–16]. The resonance of these structures can be easily tuned by the size, shape, and material of the particles [17]. Indeed, the hotspot of the field enhancement occurs near sharp corners and tips or between nanometer-sized gaps of nanoparticles where the intensity of light is enhanced by

orders of magnitude [18]. In order to attain a nanoscale gap between the nanoparticles in the cluster (down to 1 nm) and avoid costly and time-consuming lithographic techniques, chemical self-assembly techniques have been exploited [19–22]. For example, in Refs. [23,24], electrohydrodynamic flow and chemical crosslinking are combined to yield gold nanosphere clusters with 0.9-nm sized gaps. Although reducing the gap size boosts the field, the field enhancement that can be achieved using subnanometer-sized gap plasmonic particles has an ultimate constraint [25,26]. Strictly speaking, the intrinsic nonlocality of the dielectric response of the metal causes this ultimate constraint rather than its inherent losses [27–29]. From a physical point of view, the charge density is not perfectly restrained on the surface but is slightly diffused into the volume of the nanoparticle [30], which restricts the field enhancement. Realization of sensitive sensors requires even higher levels of field enhancement than that achieved in current plasmonic chemically assembled surfaces. Consequently, it is of crucial importance to make use of other pathways along with plasmonic resonances to further enhance the field.

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On the other hand, periodic structures provide another avenue to tailor light-matter interactions. Specifically, one promising way of further enhancing the field and overcoming the aforementioned limit is to employ the constructive interference of scattered fields in periodic arrays of metallic nanostructures. This interesting phenomenon, also known as the Rayleigh anomaly, leads to sharp resonant-like peaks in the scattering, absorption, and emission spectra at the wavelength close to the period of the structure. With this goal of combining the capability of plasmonic resonances with Rayleigh anomaly, periodic arrays of metallic nanoparticles have been used to obtain remarkably narrow plasmonic resonance [31–39] in which the coupling between local surface plasmon resonance (LSPR) modes of the metallic nanoparticles and the lattice modes of the array has further enhanced the field compared to the field enhancement of individual metallic nanoparticles. However, in order to precisely pattern periodic nanoparticles, lithographic techniques need to be used [36,38], which not only are expensive and restrict the size and the shape of individual nanoparticles, they also greatly limit the minimum gap spacing in nanoantennas. For instance, the gap spacing achieved in Ref. [38] using electron beam lithography is 5 nm, which restricts getting strong field enhancement at the hotspot of nanoparticles.

In this paper, we introduce an architectural scheme that is translatable to large area manufacturing to achieve giant field enhancement by combining the Rayleigh anomaly and plasmonic resonance of nanoparticles. Compared to previous studies, we use two different sets of structures (with two distinct geometrical scales) and two different fabrication methods concurrently to realize high localization of fields. We refer to it as a “two-scale” method since the periodic array of scatterers and the chemically assembled oligomers have completely different scales and complementary fabrication methods. In the past, Rayleigh anomaly has been used either to enhance the field in the periodic structure itself or to enhance the field that excites lithographically patterned nanoantennas located within the periodic array. Instead, working with directly and chemically assembled oligomers, as proposed here, allows us to achieve nanogaps of the order of 1–2 nm [3,19,20,23,24]. In particular, we use a periodic set of metallic nanorods deposited over a substrate along with chemically assembled metallic oligomers with nanometer-sized gaps between the nanorods (Fig. 1). The period of the nanorods is chosen such that its scattering resonance due to Rayleigh anomaly overlaps with the LSPR mode of the oligomers. In such a design, when the structure is illuminated with an incident wave, the coherent scattering from the nanorods (Rayleigh anomaly) boosts the field and transfers energy to the LSPR mode of the oligomer nanoantennas (which have nanometer gap spacing) that leads to strong field enhancement. Indeed, we prove that the field enhancement achieved with this structure is the

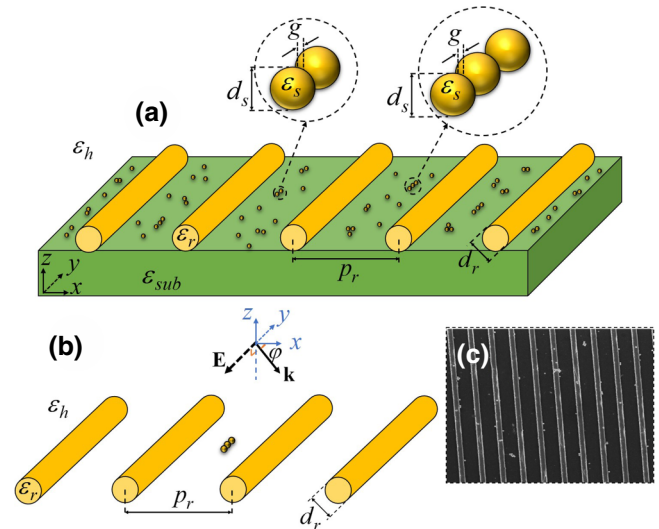


FIG. 1. (a) Proposed “two-scale” structure for enhancing the electric field at optical frequencies. It is made of oligomers of colloidal plasmonic nanospheres assembled within the nanorods of a 1D periodic array on top of a substrate. (b) Simplified two-scale structure for analytical investigation consisting of a representative plasmonic linear trimer in the middle of the 1D periodic array of plasmonic nanorods in a homogeneous host medium. The orientation of the trimer is parallel to the nanorods’ axes and to the polarization of the incident plane wave. (c) SEM image of the fabricated structure, which consists of gold oligomers placed in between the periodic gold nanorods on the glass substrate. In this paper, we investigate the field enhancement in the hotspots of the oligomers.

multiplication of that at each individual geometrical scale (nanoscale oligomers and microscale rods). Using a rigorous analytical study, we find an upper limit for the enhancement achieved from the periodic set of nanorods. The deposition of the nanorods can be done using lithography or by using the near-field electrospinning (NFES) method [40]. After depositing the nanorods with a suitable period, self-assembly techniques can be used to form clusters of oligomers with reproducible nm-sized gaps. Notice that in our proposed structure, we separate the fabrication of the periodic array from the formation of clusters of oligomers (which is done by self-assembly). Thus, oligomers that provide the field localization in their plasmonic resonance are not restricted for their shape or size and the interparticle gap spacing can be reduced to 0.9 nm thanks to the self-assembly method, which leads to giant electric field enhancement. Note that despite all the efforts on pushing electron beam lithography into getting sub-10-nm gap spacing, it is still extremely difficult and costly to pattern nanoantennas with small feature sizes over a large area using that technique. This has been the base of our motivation to use chemical assembly techniques to reach 1-nm size gaps in nanoclusters over large surface areas [19,20,23]. We experimentally verify the

ability of our structure for field enhancement by exploiting it for surface-enhanced Raman spectroscopy (SERS), which is a vibrational spectroscopy technique for identification of trace amounts of analytes. In an approximate sense, SERS is proportional to the quadratic power of the field enhancement if we assume that the excitation and scattering frequencies are close to each other. Therefore, probing SERS enhancement is a suitable tool to reveal the usefulness of the proposed two-scale structure. One of the most important aspects of our structure is that it does not require slow and expensive fabrication methods such as electron beam lithography (EBL) and focused ion beam (FIB) since the oligomers are formed using colloidal assembly and the nanorods can be fabricated using standard optical lithography or nanoimprinting. Note that the extra field enhancement obtained in this paper by exploiting the Rayleigh anomaly cannot be obtained by further reducing the nanoantennas gaps since they are already in the few-nm ranges thanks to direct chemical assembly of oligomers.

The rest of the paper is organized as follows: first, we analytically demonstrate the effect of periodicity on the resonance wavelength of individual nanorods. Then we investigate the efficacy of the two-scale structure by tuning the nanorods' period on boosting the near-field enhancement in the hotspot of oligomers. In the end, we illustrate the experimental SERS result and compare it with our defined figure of merit based on the field enhancement results achieved by full-wave simulations. Finally, we will conclude the paper with some remarks.

II. STATEMENT OF THE PROBLEM

Our proposed two-scale structure consists of oligomers of plasmonic nanospheres with subwavelength diameters and gaps directly assembled on a surface in between the nanorods of a one-dimensional (1D) periodic array with a period close to the resonance wavelength of the oligomers, as shown in Fig. 1(a). As is well established, plasmonic nanospheres arranged as oligomers over a surface provide hotspots for a very large electric field in the nanogaps due to their plasmonic resonance. As already mentioned, the goal of this paper is to combine the plasmonic resonance of the oligomers along with the Rayleigh anomaly due to the presence of the periodic 1D nanorods to achieve further field enhancement compared to that of oligomers alone when illuminated with an incident plane wave. It is worth mentioning that the resonance frequency of oligomers depends on the number, size, and the gap between the nanospheres that form the oligomers [3], although it is mainly dictated by the polarizability of single nanospheres. On the other hand, the wavelength at which the Rayleigh anomaly occurs depends on the period of the structure, the host medium, and the angle of incidence. For the purpose of evaluating the ability of the two-scale structure

in boosting the electric field, we define the electric field enhancement (F) as a figure of merit [3,16,23,41]

$$F = \frac{|\mathbf{E}^{\text{tot}}(\mathbf{r})|}{|\mathbf{E}^{\text{inc}}(\mathbf{r})|}, \quad (1)$$

where $|\mathbf{E}^{\text{tot}}(\mathbf{r})|$ is the magnitude of the total electric field at location \mathbf{r} , and $|\mathbf{E}^{\text{inc}}(\mathbf{r})|$ is the magnitude of the incident electric field at the same location in the absence of the structure. The F indicates the ability of a nanoantenna system to locally enhance the electric field with respect to the incident illuminating field. Since the sizes of the 1D periodic nanorods and the oligomers are incomparable for our proposed structure, with good approximation we can neglect the back-coupling from the oligomers to the nanorods and consider the plasmonics oligomers excited by the illumination field plus the one scattered by the nanorods. Thus, the total field enhancement achieved by the two-scale structure F_t can be approximated with the product of field enhancement obtained by the periodic nanorods F_r and the oligomers F_o individually:

$$F_t \approx F_r \times F_o. \quad (2)$$

The mechanisms responsible for these two field enhancements are distinct: in oligomers, it is the plasmonic resonance, whereas in the 1D periodic array of nanorods, the field enhancement is due to the Rayleigh anomaly (in Ref. [35] it is referred to as structural resonance).

Figure 1(a) illustrates the general two-scale structure, which consists of colloidal oligomers with random orientations located in between the periodic nanorods. In the two-scale structure, as shown in Fig. 1(a), the colloidal oligomers consist of an arbitrary number of plasmonic nanospheres with diameter " d_s ," gap " g ," and relative electric permittivity " ϵ_s ." In this paper, when their density is not high, these subwavelength oligomers can be considered as isolated scatterers by neglecting their mutual electromagnetic couplings. The larger scale is made of rods with diameter " d_r " and relative permittivity " ϵ_r " have period " p_r " along the x direction and are long compared to the wavelength so they are considered infinitely long along the y axis. The rods are placed on top of a substrate with permittivity " ϵ_{sub} " under a medium with relative permittivity " ϵ_h ."

In this paper, the monochromatic time-harmonic convention $\exp(-i\omega t)$ is implicitly assumed and its notation is suppressed in the following. In all equations, bold fonts are used for vector quantities in the phasor domain and a bar under a bold font is used for dyadic quantities. Unit vectors are bold with a hat on top.

III. ANALYTICAL MODEL

We present an analytical approach to determine the field enhancement of our proposed structure. While the

method is general, in order to simplify the analytic investigation of the two-scale structure, we limit our study to linear oligomers of plasmonic nanospheres (dimers or trimers) that are placed in between plasmonic nanorods inside a homogeneous host medium with permittivity ε_h , as shown in Fig. 1(b). We consider that the nanorods are periodic along the x axis and are long enough (compared to the wavelength along the y axis), so in absence of the nanospheres, we presume $\partial/\partial y = 0$ for any field quantity. Furthermore, as mentioned earlier, we consider the oligomers in between the nanorods to be subwavelength and separated from each other with enough distance. Therefore, we neglect not only the coupling among oligomers, but also the coupling effect of oligomers on the nanorods, but not vice versa, that is, we will focus on the field scattered by the nanorods that excites the oligomers. As previously shown in Eq. (2), the total field enhancement is the multiplication of the field enhancement caused by the 1D periodic array of nanorods, that is, F_r , and the field enhancement produced due to the plasmonic resonance of the oligomers, that is, F_o , since the mechanism of each type of field enhancement is distinct. We start by obtaining the scattered field from the nanorods and then investigate the field enhancement caused by the oligomers in the next subsections, respectively.

A. Enhanced scattered electric field by the nanorod array

The goal of this section is to calculate the external electric field at the oligomers' location, which is the summation of the incident field and the scattered field from the nanorods. We start our analysis by assuming an oblique incident plane wave with transverse electric (TE) polarization along y , as noted in Fig. 1(b), as $\mathbf{E}^{\text{inc}}(\mathbf{r}) = \hat{y} E_0 e^{ik_x x} e^{-ik_z z}$, where $k_x = k_h \cos(\varphi)$, $k_z = k_h \sin(\varphi)$, $k_h = k_0 \sqrt{\varepsilon_h}$ is the wave number of the host medium, $k_0 = \omega \sqrt{\mu_0 \varepsilon_0}$ is the wave number of free space, and φ is the angle of incidence with respect to the x axis. Since the incident field is polarized along the nanorods' axis (the y direction), and the nanorods are long compared to the incident wavelength and their diameter is subwavelength, we model each nanorod with an induced equivalent electric polarization current I_n along the nanorod [42–44]. The induced current of the n th nanorod at location \mathbf{r}_n (n th nanorod's location) is given by

$$I_n = \alpha_r E_r^{\text{loc}}(\mathbf{r}_n), \quad (3)$$

where $E_r^{\text{loc}}(\mathbf{r}_n)$ is the local electric field at the n th nanorod location polarized along the y direction and α_r is the electric polarizability of an infinitely long rod with diameter d_r ,

(the cross section area is $A = \pi d_r^2/4$) defined as [45,46]

$$\alpha_r = \frac{-i\omega\varepsilon_0(\varepsilon_r - \varepsilon_h)A}{1 - i\frac{k_h^2}{4}A(\varepsilon_r - \varepsilon_h)}. \quad (4)$$

Note that since the polarization currents and electric field are all along the y axis, we simplify all the equations to scalar equations. The rods are periodic in the x direction with period of p_r , and the location of the n th nanorod is $\mathbf{r}_n = \mathbf{r}_0 + np_r \hat{x}$ where \mathbf{r}_0 denotes the reference rod location, which we assume to be $\mathbf{r}_0 = 0\hat{x} + 0\hat{z}$. Therefore, the corresponding location of the n th nanorod is $\mathbf{r}_n = np_r \hat{x}$. The polarization current on the n th nanorod is then $I_n = I_0 e^{ik_x np_r}$, where I_0 is the reference current (of the 0th nanorod) [47]. Thus, the external electric field at a general location \mathbf{r} is written as the summation of the incident electric field and the scattered field from the 1D periodic set of nanorods as [48]

$$E_r^{\text{ext}}(\mathbf{r}) = E_r^{\text{inc}}(\mathbf{r}) + i\omega\mu_0 I_0 G^\infty(\mathbf{r}, \mathbf{r}_0), \quad (5)$$

where $G^\infty(\mathbf{r}, \mathbf{r}_0)$ is the scalar periodic Green's function of the magnetic vector potential with respect to the y direction. The definition of elements of Green's function is presented in the Sec. V A. The nanorods' local field at the reference rod location to be used in Eq. (3) is

$$E_r^{\text{loc}}(\mathbf{r}_0) = E_r^{\text{inc}}(\mathbf{r}_0) + i\omega\mu_0 I_0 \check{G}^\infty(\mathbf{r}_0, \mathbf{r}_0). \quad (6)$$

Note that in the definition of local field [Eq. (6)], the contribution from the reference nanorod itself should be removed [47] and the value of the "regularized" scalar periodic Green's function $\check{G}^\infty(\mathbf{r}_0, \mathbf{r}_0)$ is determined by the limit $\check{G}^\infty(\mathbf{r}_0, \mathbf{r}_0) = \lim_{\mathbf{r} \rightarrow \mathbf{r}_0} [G^\infty(\mathbf{r}, \mathbf{r}_0) - G(\mathbf{r}, \mathbf{r}_0)]$, since both $G^\infty(\mathbf{r}, \mathbf{r}_0)$ and $G(\mathbf{r}, \mathbf{r}_0)$ are singular at $\mathbf{r} = \mathbf{r}_0$, where $G(\mathbf{r}, \mathbf{r}_0)$ is the two-dimensional scalar Green's function of magnetic vector potential as defined in the Sec. V A. Substituting $E_r^{\text{loc}}(\mathbf{r}_0)$ from Eq. (6) into Eq. (3), the current along the reference nanorod is found as

$$I_0 = \frac{\alpha_r E_r^{\text{inc}}(\mathbf{r}_0)}{1 - i\omega\mu_0 \alpha_r \check{G}^\infty(\mathbf{r}_0, \mathbf{r}_0)}. \quad (7)$$

By substituting Eq. (7) into Eq. (5), one can easily calculate the external electric field as a result of incident field and the multiple scattering by nanorods at an arbitrary oligomer location. One should note, as shown in Sec. V B, that the periodic Green's functions in Eq. (7) and Eq. (5) have the terms $k_{zp} = \sqrt{\varepsilon_h k_0^2 - (k_x + 2\pi p/p_r)^2}$, with $p = 0, \pm 1, \pm 2, \dots$, at the denominator. Therefore, when a given $k_{zp} \approx 0$, one has $G^\infty(\mathbf{r}, \mathbf{r}_0) \approx B/k_{zp}$ and $\check{G}^\infty(\mathbf{r}_0, \mathbf{r}_0) \approx C/k_{zp}$. At the Rayleigh's anomaly wavelength (which will be discussed later in Eq. (12) with more

detail), the scalar periodic Green's function of the magnetic vector potential has a "spectral" singularity occurring when $k_{zp} = 0$, that is, when $(k_x + 2\pi p/p_r) = \pm\sqrt{\epsilon_h}k_0$. Thus, we can approximate the reference current in Eq. (7) as $I_0 \approx E^{\text{inc}}(\mathbf{r}_0)/(-i\omega\mu_0\check{G}^\infty(\mathbf{r}_0, \mathbf{r}_0))$. However, $E_r^{\text{ext}}(\mathbf{r})$ in Eq. (5), has a finite value, that is, the electric field enhancement of the nanorods evaluated in the middle of two nanorods and at $d_r/2$ distance from the substrate is equal to 2 (This issue is investigated comprehensively in the Sec. VB by exploiting the Ewald representation of the Green's functions). Hence, the incident field intensity is enhanced by a factor of 4. Indeed, in the Raman spectroscopy, when the scattered frequency is close to the incident one, the maximum SERS intensity enhancement is 16.

In the next section, using these external fields calculated at the location of an arbitrary oligomer, we will find the total electric field at the hotspot of the oligomer.

B. Total electric field at oligomers' hotspots

In the previous section, we only investigated the field generated by the nanorods, however, in the two-scale structure discussed in this paper, we consider an oligomer with subwavelength gap spacing placed between two adjacent nanorods. The field calculated in the presence of the nanorods in Eq. (5) acts as the external field applied to oligomers. We employ the single dipole approximation (SDA) method [3,49] to model each of the M nanospheres of an oligomer. Accordingly, each plasmonic nanosphere is modeled with an induced electric dipole moment \mathbf{p}_m , with $m = 1, 2, \dots, M$. Indeed, due to the subwavelength size and the material of the nanospheres, we neglect their magnetic dipole and quadrupole moments. The induced electric dipole moment of the m th nanosphere at location \mathbf{r}_m is found as

$$\mathbf{p}_m = \alpha_s \mathbf{E}_s^{\text{loc}}(\mathbf{r}_m), \quad (8)$$

where α_s is the electric polarizability of every nanosphere, assumed to be isotropic [49,50], and $\mathbf{E}_s^{\text{loc}}(\mathbf{r}_m)$ is the local electric field at the m th nanosphere's location. The local electric field is the summation of the external field given by Eq. (5) and the field scattered by all the other nanospheres of the oligomer. Thus, the local electric field at the m th nanosphere's location is given by

$$\mathbf{E}_s^{\text{loc}}(\mathbf{r}_m) = \mathbf{E}^{\text{ext}}(\mathbf{r}_m) + \sum_{\substack{l=1 \\ l \neq m}}^M \underline{\mathbf{G}}(\mathbf{r}_m, \mathbf{r}_l) \cdot \mathbf{p}(\mathbf{r}_l), \quad (9)$$

where $\underline{\mathbf{G}}(\mathbf{r}_m, \mathbf{r}_l)$ is the dipole dyadic Green's function providing the electric field as defined in Ref. [3]. To find the electric dipole moment $\mathbf{p}(\mathbf{r}_l)$ of the m th nanosphere, we combine Eqs. (8) and (9) and solve the linear system

($m = 1, 2, \dots, M$) [3,51]

$$\sum_{l=1}^M \underline{\mathbf{A}}_{ml} \cdot \mathbf{p}(\mathbf{r}_l) = \alpha_s E^{\text{ext}}(\mathbf{r}_m),$$

$$\underline{\mathbf{A}}_{ml} = \begin{cases} \mathbf{I} & l = m \\ -\alpha_s \underline{\mathbf{G}}(\mathbf{r}_m, \mathbf{r}_l) & l \neq m \end{cases}. \quad (10)$$

The total scattered field by the rods [E_r^{ext} in Eq. (5)] is polarized along the y axis and acts as the external field for the oligomers, and therefore, E^{ext} has a nonzero component only in the y direction. For simplicity, for a linear oligomer along the y direction, the total electric field at the hotspot (interparticle gap of the oligomer) along the y direction reads

$$E^{\text{tot}}(\mathbf{r}_{\text{obs}}) = E_r^{\text{ext}}(\mathbf{r}_{\text{obs}}) + \frac{\sum_{m=1}^M \alpha_s g(\mathbf{r}_{\text{obs}}, \mathbf{r}_m) E_r^{\text{ext}}(\mathbf{r}_m)}{1 - \sum_{\substack{l=1 \\ l \neq m}}^M \alpha_s g(\mathbf{r}_m, \mathbf{r}_l)}, \quad (11)$$

where $g(\mathbf{r}_{\text{obs}}, \mathbf{r}_m)$ is the $\hat{\mathbf{y}}\hat{\mathbf{y}}$ element of the dipole dyadic Green's function defined in Ref. [3]. By dividing Eq. (11) over the incident electric field, we obtain the total field enhancement of Eq. (1).

So far, we have developed a rigorous analytical method to calculate the field enhancement of our proposed structure. Using the above method, in the next section, we obtain the field enhancement for different cases of our proposed two-scale structure.

IV. RESULTS AND DISCUSSION

A. Rayleigh anomaly

Our goal is to exploit the Rayleigh anomaly to enhance local fields beyond that which can be achieved solely using LSPR. The Rayleigh anomaly arises in a periodic array of scatterers when the wavelength is related to the period of the structure [as described in Eq. (12)] due to the coherent interaction in multiple scattering. This interaction yields a geometric sharp "resonance-like" peak, which appears when the wavelength of the incident light matches the periodicity of the structure [35,52,53]. We can achieve our goal by carefully choosing the period of an array of nanorods such that the spectral location of the anomaly is commensurate with the plasmonic resonance of oligomers. In this system, the nanorods deliver an enhanced local field to the plasmonic oligomers, which then further enhance it.

The Rayleigh anomaly in periodic structures becomes visible as a sudden change of a measurable parameter such as transmission or reflection from a surface under the incident wave when the wavelength or angle of incidence is

varying and occurs at the wavelength [54,55]

$$\lambda_r = \frac{p_r}{p} \sqrt{\varepsilon_h} (\pm 1 - \cos \varphi), \quad p = \pm 1, \pm 2, \dots \quad (12)$$

For normal (to the plane of periodicity) angle of incidence and assuming the host medium to be the vacuum, a Rayleigh anomaly occurs when the wavelength of the incident wave matches the array period. To demonstrate this phenomenon more clearly, we consider a 1D periodic set of gold nanorods in a homogeneous host medium (vacuum) when the structure is excited by a normal plane wave polarized along the nanorod, as shown in Fig. 2, and investigate the electric field enhancement F_r for various nanorods' diameters and periodicities. The electric field enhancement is calculated based on Eq. (1) in the middle of the unit cell of a periodic array of gold nanorods. We use the Drude model for gold permittivity of the nanorods as in Ref. [56]. We consider rods' diameters of 40 and 80 nm and different array periods of 545, 633, and 785 nm.

As is clear from Fig. 2, the periodic structure possesses a sharp peak at the wavelength that is commensurate to the period of the structure when it is illuminated with a normal plane wave polarized along the nanorods. Moreover, as discussed in Sec. III A and also in Sec. V B, the magnitude of the peak is equal to 2, independent of the value of the rod diameter and period. This is a striking result since for most of the spectroscopy applications, the signal enhancement is proportional to the fourth power of electric field enhancement. Therefore, spectroscopy signals can be significantly enhanced by simply using a two-scale structure.

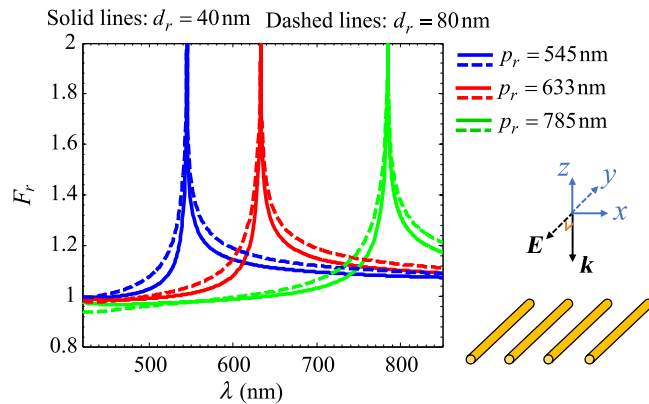


FIG. 2. Electric field enhancement F_r achieved in the middle of the unit cell of a periodic array of gold nanorods, in a vacuum host medium, when the nanorods are excited by normal incidence plane wave polarized along the rod axis. (Field is evaluated at a point equidistant from the two adjacent rods.) Solid and dashed lines represent the result pertaining to rods' diameters of 40 and 80 nm, respectively. The periods of nanorods, assumed to be 545, 633, and 785 nm, are shown with blue, red, and green, respectively. Rayleigh anomaly occurs at a wavelength equal to the period here (normal incidence case), independent of rods' diameter.

It is worth mentioning that although the diameter of the rods does not play any role in determining the anomaly frequency when it is subwavelength, larger diameters provide field enhancement in wider bandwidths.

Despite the importance of normal incidence, it is interesting to study the effect of the wave incident angle on the frequency and strength of the anomaly since in experiments the structure will be excited by a Gaussian beam, which can be represented as a weighted summation of multiple plane waves coming at different angles. To that end, we consider a 1D periodic array of gold nanorods with diameters of 80 nm and a period of 545 nm, located in a homogeneous host medium (vacuum). We assume a plane wave polarized along the rod's axis arriving at an angle φ with the plane of the period, as shown in Fig. 3. We calculate the electric field enhancement in the middle of an array unit cell as a function of incident angle and wavelength based on the formulation in Sec. III. Results in Fig. 3 show that the maximum electric field enhancement is obtained at normal incidence ($\varphi = 90^\circ$) at the wavelength that matches the period of the structure (Rayleigh anomaly at 545 nm). For other angles of incidence ($\varphi \neq 90^\circ$), the structure provides a field that peaks at both larger and smaller wavelengths (compared to periodicity) as is also clearly illustrated in Eq. (12). A result showing the field enhancement F_r at any location between two contiguous rods calculated based on full-wave simulations is shown in Sec. V B for the case of a period of $p_r = 785$ nm at the Rayleigh anomaly wavelength ($\lambda_r = p_r$), leading to a result in agreement with the green curve in Fig. 2.

B. Two-scale structure field enhancement

So far, we have only used the structural field enhancement due to the 1D periodic set of gold nanorods. However, the ultimate goal of this paper is to combine this

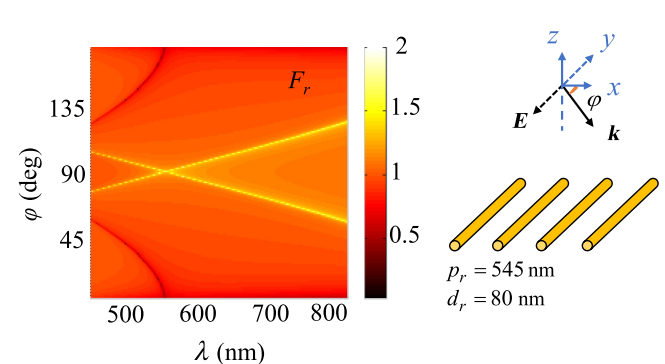


FIG. 3. Electric field enhancement F_r vs wavelength and plane wave angle of incidence, calculated in the middle of a unit cell of the array (at the same distance from the two nearest nanorods). Gold nanorods have diameter $d_r = 80$ nm and period $p_r = 545$ nm, all supposed to be in vacuum for simplicity. The Rayleigh anomaly, responsible for the strongest enhancement, occurs at the wavelength given by Eq. (12).

coherent kind of enhancement due to the larger scale of fabrication with the one caused by the gold oligomers' plasmonic resonance. In doing so, the wavelength of the geometric field enhancement (i.e., the array's period) should be tuned close to the localized surface plasmon resonance of the oligomers to achieve an even stronger electric field than that obtained by the oligomers alone [3,20]. Figure 4 illustrates the electric field enhancement vs wavelength of the incident plane wave for a linear trimer of gold nanospheres located in the middle of a unit cell of the periodic array of gold nanorods, as shown in Fig. 1(b). The maximum electric field enhancement achieved by a linear oligomer occurs if the polarization of the incident field is parallel to the oligomer axis [3,16,57]. Thus, we assume that the trimer axis is parallel to the nanorods and also to the polarization of the incident plane wave as shown in Fig. 4. We consider two different cases for the period p_r of gold nanorods: (i) when the period is far from the resonance wavelength of the trimer [Fig. 4(a)] and (ii) when the period is the same as the trimer resonance wavelength [Fig. 4(b)].

In both cases, the diameter of each nanosphere of the trimer and the gap spacing between them are fixed as

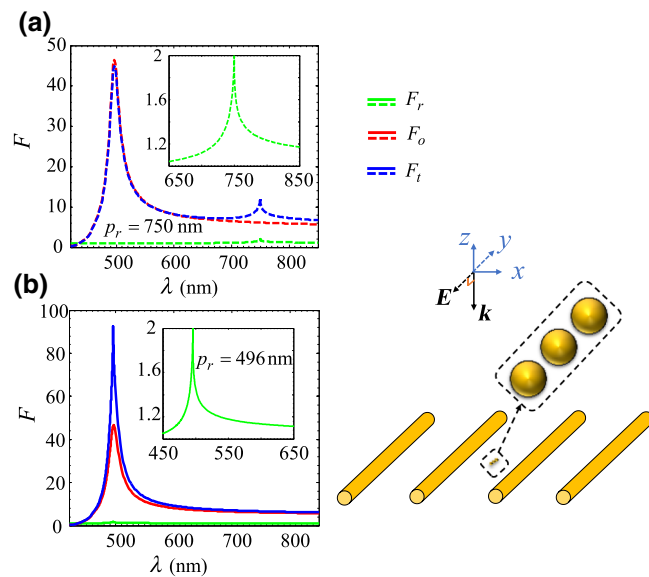


FIG. 4. Electric field enhancement vs wavelength of the incident light (polarized along the y axis) obtained using the two-scale method, which consists of mixing a gold linear trimer placed in between the 1D periodic array of gold nanorods. The nanorods' period and the trimer resonance plasmonic wavelength are (a) different and (b) the same. The diameter of nanorods and nanospheres, as well as the gap between spheres, are kept constant as 80, 40, and 5 nm, respectively. The nanorod array period is $p_r = 750$ nm in the first case (a) and $p_r = 496$ nm in the second one (b). In order to achieve maximum field enhancement by the two-scale structure, the nanorods' period must commensurate with the oligomer's resonance wavelength.

$d_s = 40$ nm and $g = 5$ nm, respectively. If we apply the SDA method in Eq. (11) to calculate the trimer resonance in a vacuum, the resonance wavelength would be 496 nm. Therefore, for the first abovementioned case, we choose $p_r = 750$ nm (this is pretty arbitrary and we choose this number for the period to be far from the trimer's plasmonic resonance). The zoomed-in inset in Fig. 4(a) shows the nanorods' anomaly, which occurs at the wavelength equal to the period. It is clear that since the 1D periodic set of nanorods does not have anomaly at 496 nm, the field enhancement of the two-scale structure (rods + trimer) does not differ from the field enhancement of the trimer without periodic nanorods. It is also worth noting that the field enhancement of the two-scale structure has another small peak around the wavelength near the period of the nanorods. This is due to the Rayleigh anomaly. Note that because the plasmonic resonance of the trimer does not coincide with the Rayleigh anomaly, the total field enhancement is not boosted further in this case.

In the second case in Fig. 4(b), the period of nanorods is chosen to be the same as the trimer resonance wavelength, that is, $p_r = 496$ nm. As Fig. 4(b) shows, the field enhancement of the two-scale structure has only one peak. In this case, the field enhancement is approximately equal to the product of the electric field enhancements achieved by each of the two different structures individually as shown in Eq. (2).

So far, we have used plane wave excitation as the incident field in our analysis. However, in reality, used laser beams possess Gaussian-like spatial field distribution. In order to study the ability of our proposed two-scale structure in further enhancing the field under Gaussian beam illumination, we recall that any beam can be decomposed into an infinite set of plane waves with different amplitudes and angles of incidence. Therefore, we approximate the Gaussian beam with a finite set of plane waves ranging from -30° to 30° from the normal incidence with different amplitudes (to mimic the continuous field distribution that makes up the Gaussian beam) and use our aforementioned analysis of plane waves to obtain the field in the presence of the periodic nanorods (note that this is a good approximation since the waist of the beam is much larger than the wavelength and the weights of plane waves with other angles of incidence are negligible). It is important to note that the waist of the Gaussian beam w_0 [58] should be chosen as large enough (compared to the wavelength) to excite a sufficient number of nanorods (more than 15 nanorods). To that end, we excite our proposed structure using a Gaussian beam with minimum waist $w_0 = 4 \mu\text{m}$ (see Fig 5), assuming the period of the nanorods to be $p_r = 496$ nm. The diameter of nanorods and diameter and gap spacing of the trimer are kept constant, as the case studied in Fig. 4(b), as 80, 40, and 5 nm, respectively. Note that since the waist of the Gaussian beam is large compared to the wavelength, the weight of the normal plane wave and of plane waves

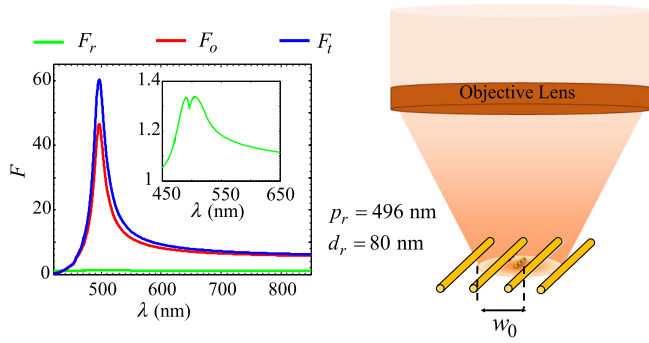


FIG. 5. Electric field enhancement vs wavelength of an incident field (a Gaussian beam) generated by: a 1D periodic array of gold nanorods (green line), a hotspot of an individual gold trimer (red line), and a hotspot of a trimer located in between the array of nanorods – the two-scale structure (blue line). The hotspot is in the middle of a gap between two nanospheres of the trimer. The simulation is obtained assuming that the diameter of gold nanorods, the diameter of nanospheres, the gap spacing between nanospheres, and the period of the nanorod array are kept constant as 80, 40, 5, and 496 nm, respectively. As one observes, the idea of a two-scale structure is not limited only to the plane wave excitation and works well even with Gaussian-beam excitation.

with incidence angles close to normal incidence are larger than those relative to other angles in forming the Gaussian beam. Therefore, we do not expect the results of the field enhancement due to the Rayleigh anomaly to be drastically different from the results of normal incidence illumination. We mainly expect a broadening of the peak when varying wavelength, that is, a wider bandwidth of field enhancement caused by the array of rods. However, as the results clearly illustrate, the peak of the field enhancement for the Gaussian beam is slightly smaller than that of a plane wave in Fig. 2, since for this scenario, the power of the beam is distributed over different angles. In addition, in this case, there are two peaks (see the green line) that do not occur at the period of the nanorods. To provide the reason for this behavior, note that as Fig. 3 illustrates, when the wavelength of the incident light matches the period, field enhancement peaks at normal incidence for larger and smaller wavelengths, the field enhancement peaks at two oblique angles. Therefore, for wavelengths close to (and not equal to) the period of the nanorods, the field enhancement peaks.

The capability of obtaining large field enhancement has been extensively utilized in SERS spectroscopy [15] to detect trace amounts of biochemical analytes [59]. Here, we demonstrate that our two-scale structure is suitable for this particular and important application. In Fig. 6, we consider a 1D periodic set of gold nanorods on a glass substrate with the vacuum above. SERS involves at least two wavelengths in the measurement process, the incident field—here, it is 785 nm—and the Raman scattered light, which is reduced in energy. Here, we assume

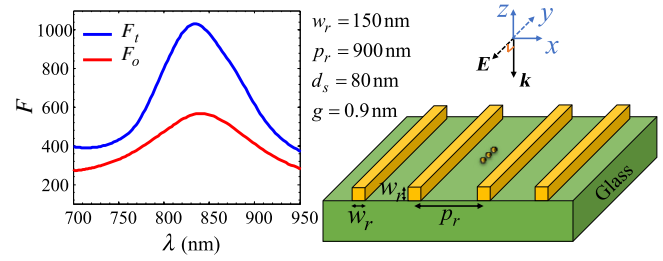


FIG. 6. Electric field enhancement vs wavelength of incident light generated by an individual gold trimer (red line) and by the combination of a periodic array of nanorods and trimer, that is, the proposed two-scale structure (blue line). Field enhancement is calculated in the trimer hotspot using full-wave calculations based on the FEM. The structure is located on a glass substrate with the vacuum above. The side width of gold nanorods with square cross section is 150 nm, whereas the array period is 900 nm. The diameter of gold nanospheres and the gap between them are 80 and 0.9 nm, respectively. The structure is excited by a normal-incidence plane wave polarized along the nanorods and trimer axis. For SERS application, we design our structure to attain the largest multiplicative enhancement at incident and scattered wavelengths [see Eq. (13)].

850 nm for simplicity based on a 1000 cm^{-1} vibrational frequency [23,24]. Thus, we design the period of our structure to attain the largest multiplicative enhancement at these two wavelengths. We use gold nanorods with a square cross section with 150-nm side sizes and with a period of 900 nm. We consider a gold oligomer placed on top of the substrate in the middle of the nanorods' array unit cell, where the diameter of each nanosphere is 80 nm and the gap spacing between them is 0.9 nm. The value for gap spacing is taken from [23,24,60,61], which shows reproducible gap spacings of 0.9 nm. Moreover, [23] shows that the majority of oligomers have a resonance wavelength near that of linear trimers, therefore, in our model, we use a single linear trimer between the nanorod array arranged parallel to the nanorods. Full-wave simulations in Fig. 6 are based on the frequency domain finite element method (FEM), implemented in the software CST MICROWAVE STUDIO by Computer Simulation Technology AG. We consider normal plane wave excitation with the electric field polarized along the nanorods and the linear trimer axis. Figure 6 depicts the electric field enhancement achieved by an individual trimer and the combination of trimer and gold nanorod array (i.e., by the proposed two-scale method).

To evaluate the performance of our two-scale structure, we define a figure of merit as

$$F_m = |F_t/F_o|_{\lambda=785}^2 \times |F_t/F_o|_{\lambda=850}^2, \quad (13)$$

where F_t and F_o are the field enhancement of the two-scale structure and the individual oligomers at 785 and 850 nm, which are the incident and Raman scattered wavelengths,

respectively. F_m is our figure of merit that shows the enhancement of the SERS enhancement factor from incorporating our nanorod array, compared to the case without periodic nanorods. According to Fig. 6, $|F_t/F_o|_{\lambda=785}^2$ is 2.31 and $|F_t/F_o|_{\lambda=850}^2$ is 3.06, yielding an F_m of 7.07. These results theoretically demonstrate the benefit of our two-scale structure.

C. Experimental results

We now verify our theoretical predictions of augmented electric field enhancement due to the exploitation of Rayleigh anomaly through SERS measurements. Here, SERS is generated by a surface fabricated using two completely different fabrication methods. As described in the methods Secs. V C and V D, we use a simple self-assembly method to deposit colloidal gold nanosphere oligomers on a glass substrate and fabricate periodic gold nanorods on a glass substrate through standard electron beam lithography. Once the rods are fabricated, gold nanospheres are chemically crosslinked onto the glass with an amine coupling. This process is repeated yielding oligomers. Previous work has shown that diffusion drives the formation of gold nanosphere oligomers suitable for investigation in this work [19]. Figures 7(a) and 7(b) depict SEM images of gold nanosphere oligomers deposited on bare glass and also deposited within the gold nanorod array, respectively. In Fig. 7, one may observe similar characteristics of gold nanosphere oligomers in both cases. The oligomers are separated by sufficient distance to avoid intra-oligomer strong coupling since the scope of this

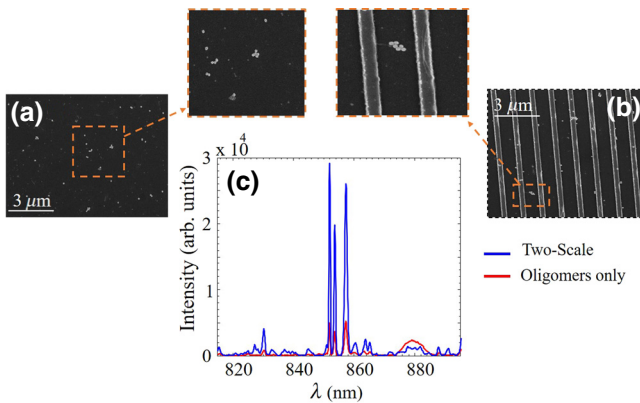


FIG. 7. SEM images of gold nanosphere oligomers without nanorod array (a) and when placed within the gold nanorod array (b). In both cases, the structure is deposited on glass. The gold nanorods have a period of 900 nm and square cross section with 150-nm sides. The gold nanosphere oligomers are expected to have an 80-nm diameter and a 0.9-nm gap from nanosphere to nanosphere. (c) Shows the SERS spectra relative to the proposed two-scale structure shown in Fig. 7(b) and to the oligomers-only structure shown in Fig. 7(a) vs wavelength. The two-scale structure provides much stronger SERS signal.

paper is just showing the oligomers-nanorods combined effect. Previous work has shown that the resonance frequency of a close-packed gold nanosphere oligomer is nearly the same as the linear oligomers' with the same number of particles along the axis of polarization [23]. Consider a close-packed hexamer (as shown in Fig. 7 of [23].): three nanospheres are along any given axis of polarization. For such an arrangement of nanospheres, the oligomer resonates at nearly the same frequency as a linear trimer [23]. We choose 80-nm gold nanosphere oligomers because the resonance frequency of dimers and trimers of these sizes are best suited for providing large field enhancements at both 785 nm and approximately 850 nm.

Figure 7(c) depicts the SERS spectra of standard SERS analyte benzenethiol (BZT) obtained using a surface with the two-scale structure and also (for comparison) using a surface covered only with colloidal oligomers, acquired as outlined in the Secs. (V C)–(V E). Here, we use the same substrate for SERS measurements within (blue curve) and away from the gold nanorods (red curve), but on the same glass substrate, which allows us to minimize the variation of oligomer enhancement and focus instead on the field enhancement augmentation due to the nanorod array (blue curve). Both spectra exhibit a large fluorescence peak from the glass microscope slide that appears in this background-subtracted data as a broad peak from 875 to 885 nm. Comparison of the two spectra at BZT's in-plane ring-breathing mode at 1000 cm^{-1} (observed at 852 nm) reveals a signal enhancement of 5.84 times for the surface made of the two-scale structure compared to the surface made of individual oligomers. This experimentally observed enhancement is in good agreement with the predicted F_m for this system and demonstrates that the Rayleigh anomaly field enhancement is relatively robust to scattering caused by fabrication defects.

V. METHODS

A. The magnetic vector potential Green's function

The spectral representation of the magnetic vector potential Green's function $G^\infty(\mathbf{r}, \mathbf{r}_0)$ for a periodic array of line sources along the y -direction where \mathbf{r} is the observation point and \mathbf{r}_0 is the reference source point (the source of a reference rod) is defined as [62]

$$G^\infty(\mathbf{r}, \mathbf{r}_0) = \sum_{p=-\infty}^{\infty} \frac{i}{p_r} \frac{e^{ik_{xp}(x-x_0)}}{2k_{zp}} e^{ik_{zp}|z-z_0|}, \quad (14)$$

where $k_{zp} = \sqrt{k_h^2 - k_{xp}^2}$ and $k_{xp} = k_x + 2\pi p/p_r$ is the p th Floquet harmonic wave number. Here, $k_x p_r$ is the phase shift from one line source to the other, imposed by the incident field. Note that here, G^∞ is the Green's function of the magnetic vector potential A (both are scalar and in the

y direction) and is related to the y component of the electric field as $E(\mathbf{r}) = i\omega\mu_0 A(\mathbf{r})$. We apply the Ewald method to accelerate the convergence of the above series and to have explicit spatial and spectral singularities. Therefore, the Green's function is written as the summation of the spatial and the spectral parts as [62]

$$G^\infty(\mathbf{r}, \mathbf{r}_0) = \sum_{n=-\infty}^{\infty} g_n^E(\mathbf{r}, \mathbf{r}_0) + \sum_{p=-\infty}^{\infty} \tilde{g}_p^E(\mathbf{r}, \mathbf{r}_0), \quad (15)$$

where the first term is the modified spatial representation and the second term is the modified spectral representation of the Ewald formulation, which are presented in Eq. (5) and Eq. (6) of [63], respectively. The spatial part contains the logarithmic singularity in g_0^E when $\mathbf{r} \rightarrow \mathbf{r}_0$, whereas the spectral part contains the spectral singularities when $k_{zp} \rightarrow 0$. The ‘‘regularized’’ Green's function in Eq. (6) is defined as $\tilde{G}^\infty(\mathbf{r}_0, \mathbf{r}_0) = \lim_{\mathbf{r} \rightarrow \mathbf{r}_0} [G^\infty(\mathbf{r}, \mathbf{r}_0) - G(\mathbf{r}, \mathbf{r}_0)]$, where $G(\mathbf{r}, \mathbf{r}_0) = (i/4)H_0^{(1)}(k_h|\mathbf{r} - \mathbf{r}_0|)$ represents the scalar two-dimensional (2D) Green's function of the magnetic vector potential of the array that is evaluated at the reference nanorod location \mathbf{r}_0 without considering the field contribution of the same nanorod at \mathbf{r}_0 . After taking the limit, this regularized Green's function is represented based on the Ewald method as

$$\begin{aligned} \tilde{G}^\infty(\mathbf{r}_0, \mathbf{r}_0) &= \sum_{p=-\infty}^{\infty} \frac{i}{2p_r k_{zp}} \operatorname{erfc}\left(\frac{-ik_{zp}}{2E}\right) \\ &+ \sum_{\substack{n=-\infty \\ n \neq 0}}^{\infty} \frac{1}{4\pi} e^{ik_x n p_r} \left(\sum_{q=0}^{\infty} \left(\frac{k_h}{2E}\right)^{2q} \frac{1}{q!} E_{q+1}(R_n^2 E^2) \right) \\ &+ \frac{1}{2\pi} \ln\left(\frac{k_h}{2E}\right) + \frac{\gamma}{4\pi} - \frac{i}{4} + \frac{1}{4\pi} \sum_{q=1}^{\infty} \left(\frac{k_h}{2E}\right)^{2q} \frac{1}{q!} \frac{1}{q}, \end{aligned} \quad (16)$$

where $R_n = |n|p_r$ and E is the Ewald splitting parameter. The optimum value to minimize the overall number of terms needed to calculate the Green's function is $E_{\text{opt}} = \sqrt{\pi}/p_r$ [63]. In Eq. (16), however, the spectral singularity remains as in Eq. (15) and plays a major role in the field enhancement due to the singularity arising when $k_{zp} \rightarrow 0$ for some p .

B. Limit of field enhancement at Rayleigh anomaly

We prove here that in our periodic structure, when the wavelength of the incident light matches λ_r in Eq. (12), the field enhancement due to the nanorods in the middle of two adjacent nanorods (F_r) and at $d_r/2$ distance from the surface of the substrate is exactly 2. Indeed, we

note that at that wavelength, $|k_{xp}| = |k_x + 2\pi p/p_r| = k_h$, hence $k_{zp} = 0$. Therefore, $G^\infty(\mathbf{r}, \mathbf{r}_0)$ in Eqs. (14) and (15) tends to infinity. On the other hand, the first summation in Eq. (16) in calculating $\tilde{G}^\infty(\mathbf{r}_0, \mathbf{r}_0)$ is singular, that is, $\tilde{G}^\infty(\mathbf{r}_0, \mathbf{r}_0)$ tends to infinity as well. By substituting Eq. (7) into Eq. (5), we get

$$E_r^{\text{ext}}(\mathbf{r}) = E^{\text{inc}}(\mathbf{r}) + i\omega\mu_0 \frac{\alpha_r E^{\text{inc}}(\mathbf{r}_0)}{1 - i\omega\mu_0 \alpha_r \tilde{G}^\infty(\mathbf{r}_0, \mathbf{r}_0)} G^\infty(\mathbf{r}, \mathbf{r}_0). \quad (17)$$

Note that when $k_{zp} \rightarrow 0$, both the numerator and denominator of the second term in Eq. (17) tend to infinity because of the singularities in both $G^\infty(\mathbf{r}, \mathbf{r}_0)$ and $\tilde{G}^\infty(\mathbf{r}_0, \mathbf{r}_0)$. Assuming the reference nanorod is at $\mathbf{r}_0 = (0, 0)$ and that the field observation point is at $\mathbf{r} = p_r/2\hat{x}$, that is, exactly in the middle of two nanorods, due to the Rayleigh condition (12), we have $\mathbf{r} = \lambda_r/2\hat{x}$. Therefore, by taking the limit of $E_r^{\text{ext}}(\mathbf{r})$, we get

$$\lim_{k_{zp} \rightarrow 0} E_r^{\text{ext}}(\mathbf{r}) = E^{\text{inc}}(\mathbf{r}) [1 - e^{-ik_h(x-x_0)}] = 2E^{\text{inc}}(\mathbf{r}), \quad (18)$$

which proves that the field enhancement due to the Rayleigh anomaly is equal to 2. The field intensity enhancement is thus equal to 4. Despite the analytic theory whose results are shown in Fig. 2, we also confirm the findings with full-wave simulations. Figure 8 illustrates the field enhancement at the Rayleigh anomaly wavelength ($\lambda_r = p_r$) between two adjacent nanorods. The result is calculated using full-wave simulations based on the software CST MICROWAVE STUDIO by Computer Simulation Technology AG, for an array of periodic gold nanorods, with 80-nm diameters and a 785-nm period. The field

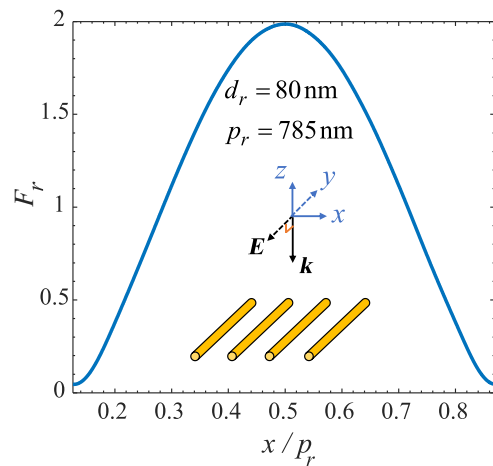


FIG. 8. Electric field enhancement between two nanorods in a periodic array of nanorods calculated at the Rayleigh anomaly wavelength $\lambda_r = p_r$ by full-wave simulations for normal plane wave incidence.

enhancement for the same geometrical configuration vs wavelength, calculated based on the analytic formulation in Sec. III, is shown with the green dashed line in Fig. 2.

As Fig. 8. demonstrates, the maximum field enhancement $F_r = 2$ is achieved in the middle of two nanorods because of the Rayleigh anomaly, and it reduces closer to the nanorods. As a result, in general, by using a two-scale structure in the SERS experiment, nanoparticles will experience an enhanced electric field compared to a conventional SERS substrate (without periodic rods). The maximum enhancement occurs when the gold nanoparticles are in the middle of two contiguous nanorods.

C. Fabrication of nanorod array substrate

An array of nanorods with a side size of 150 nm is fabricated using standard negative-tone electron beam lithography. Briefly, glass coated with 50-nm gold and 5-nm titanium adhesion layer (Ted Pella) substrates is cleaned and then Ma-N 2401 (Microchem) is spin coated for use as the photoresist. The resist is exposed in a Magellan extreme high-resolution SEM (FEI) at 25 pA and 30 kV and subsequently developed in Ma-D (Microchem). The substrate is then etched with ion milling (IntIvac) to remove gold in the unexposed regions leaving nanorods. The titanium layer is etched with a 1:4 $\text{H}_2\text{O}_2:\text{H}_2\text{SO}_4$ solution to ensure that nanoparticle attachment will occur on the plane of the array and to remove any remaining photoresist.

D. Nanoparticles' attachment

Nanorod array substrates are submerged in 0.5 mMol (3-Aminopropyl) triethoxysilane (APTES) (Sigma Aldrich) in deionized (DI) water overnight to selectively form a self-assembled monolayer on glass yielding primary amine groups. Eighty-nm lipoic acid functionalized gold nanoparticles synthesized via a seeded growth method are described by [64]. These particles are functionalized with carboxylic acid end groups by replacing the solution with DI water adjusted to pH 11.67 with sodium hydroxide (Sigma) and 0.1 mMol Lipoic acid and allowing the particles to sit overnight. The particles are washed with DI water and are deposited onto the glass surface by carbodiimide crosslinking with the APTES monolayer as described elsewhere. Diffusion drives the formation of random nanoparticle oligomers [20].

E. Surface-enhanced Raman Scattering Spectroscopy Measurements

Nanorod array substrates with attached Au nanosphere oligomers—two-scale structures—are soaked overnight in 0.5 mMol benzenethiol in ethanol solution and then rinsed thoroughly prior to all SERS measurements. SERS measurements of two-scale structures and Au nanosphere oligomers on glass are acquired in a Renishaw inVia

Raman microscope for 10 s at 0.125 mW using a 50x air objective lens. Spectra are background subtracted and Savitzky Golay smoothed. Spectra are obtained on and off of nanorod arrays using the same substrate to reduce possible sample-to-sample variation.

VI. CONCLUSION

By combining the plasmonic resonance of metallic colloidal oligomers with a Rayleigh anomaly (i.e., a structural field enhancement due to coherent superposition of fields) of a 1D periodic array of nanorods, we overcome the limitation for field enhancement imposed by losses and non-locality of the gold nanospheres' dielectric response. The method is called “two-scale” because it involves two completely different and complementary fabrication methods for enhancing electric fields: direct colloidal assembly and lithographic techniques. Specifically, the field enhancement of our proposed two-scale structure is proven to be superior, theoretically and experimentally, to the field enhancement of a surface made of colloidal oligomers only (i.e., without the 1D periodic array of nanorods). We provide a model that explains in physical and mathematical terms such field enhancement and its limitations and provide an experimental demonstration of the proposed structure showing SERS spectra of BZT analytes with increased field enhancement due to the Rayleigh anomaly. The proposed process can eliminate the need to utilize slow and expensive fabrication methods (such as FIB and EBL) to form the oligomers and nanorods themselves by using nano-imprint or transfer methods.

Based on the reciprocity of electromagnetic fields, giant field enhancement at the hotspot of oligomers also implies that a photoinduced dipole (e.g., a dye) located in the proximity of the nanogap hotspot of oligomers radiates very strong far fields, stronger than it would be in the absence of the nanorod array. Thus, the two-scale structure enhances radiation emission of dipoles as well. This physical effect is partly an effect of the two-scale structure Raman enhancement since the Raman scattered fields are also enhanced with the mechanism discussed in this paper, providing an order of magnitude extra SERS enhancement. We expect these two-scale fabricated substrates to find several applications in medical diagnostics, solar cells, sensors, and single molecule detectors because they provide an easy way to get extra field enhancement that cannot be obtained by further reducing the gaps, since they are already in the 1–2 nm range thanks to direct chemical assembly of oligomers.

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