

Electron correlation effects in enhanced ionization of diatomic molecules in near-infrared fields

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We investigate electron correlation effects in internuclear-distance-dependent enhanced ionization of H_2 , LiH , and HF molecules by intense near-infrared laser pulses using a three-dimensional description of the systems with the time-dependent generalized-active-space configuration-interaction method. This method systematically incorporates electron-electron correlation of the quantum many-electron system under consideration. Our correlated description of diatomic molecules shows that enhanced ionization occurs at certain critical internuclear separations and electron correlation systematically improves the ionization probability in this process until convergence is reached. We demonstrate the failure of the single-active-electron and the configuration-interaction singles approximations to produce the correct internuclear position and probability of the strong-field enhanced-ionization process. We elucidate the role of low-lying electronic excited states in the enhanced-ionization process of diatomic molecules. There is clear evidence that an accurate description of low-lying electronically excited states is important to describe the nonperturbative enhanced-ionization phenomenon in the ultrashort intense near infrared laser pulses.

DOI: [10.1103/PhysRevA.99.023424](https://doi.org/10.1103/PhysRevA.99.023424)**I. INTRODUCTION**

The interaction of atoms and molecules with intense laser fields gives rise to ubiquitous phenomena, such as above threshold ionization, high-harmonic generation, and enhanced ionization. With progress in experimental laser technology, it is now possible to create and observe electronic dynamics on their natural timescale [1,2]. Along with the experimental progress, theoreticians face the challenge to accurately describe electron-electron correlation effects in strong-field induced dynamics. Current challenges associated with the development of time-dependent methods have led to a series of investigations for simple to complex molecular strong-field processes with both wave function [3] and density-functional theory based methods [4].

In the present study we investigate electron correlation effects in enhanced ionization (EI) in diatomic molecules. EI describes the phenomenon that when a molecule is exposed to a strong laser field, the ionization probability increases significantly at certain critical internuclear separations. This enhancement is also known as charge-resonance enhanced ionization and has been studied extensively both experimentally [5–12] and theoretically [13–18]. The quantum mechanical study of simple diatomic molecules with double-well potentials leads to many interesting features which are absent in atomic processes. It is well examined that in a double-well potential, the electron may localize in one of the potential wells with a proper choice of the laser parameters [19,20]. This mechanism may also destroy the tunneling behavior of the electron between the double wells and if the internuclear separation is increased, the localized electron may easily tunnel to the continuum from one of the potential wells as described in Ref. [13]. Another mechanism explains EI as the strong coupling of charge-resonant states at certain critical internuclear separation which then leads to an enhanced

molecular ionization probability [14]. Studies by numerically solving the time-dependent Schrödinger equation (TDSE) show that EI persists in two-electron homo- and heteronuclear molecules [21–26]. An accurate description of EI is crucial for the understanding of nuclear kinetic energy release spectra following strong-field-induced dissociative ionization (see, e.g., Ref. [27] and references therein).

The theoretical research of time-dependent processes in many-electron systems involves solving the TDSE in the presence of strong laser fields. To tackle this problem for more than two electrons, approximations such as, e.g., the single-active-electron (SAE) [28,29] and the time-dependent configuration-interaction-singles (TD-CIS) approximation [30–32] are needed. These approximations neglect part of the electron correlation effects in the ionization process. The present study on diatomic molecules addresses the effects of electron correlation in EI using the time-dependent generalized-active-space (TD-GASCI) method [33] in a prolate spheroidal coordinate system [34]. Over the past years, various time-dependent many-electron methods have been developed to address electron correlation in strong-field ionization of atoms and molecules. Among those, the time-dependent R -matrix approach [35–38], the time-dependent Feshbach close-coupling (TDFCC) method [39], the multiconfigurational time-dependent Hartree-Fock (MCTDHF) method [40–45], and the time-dependent restricted-active-space self-consistent-field (TD-RAS-SCF) theory [46–53] have been used to understand dynamics. The time-dependent restricted-active-space configuration-interaction (TD-RASCI) method [54], and the time-dependent generalized-active-space configuration-interaction (TD-GASCI) method [33,34,55] take electron correlation into account through a configuration-interaction (CI) expansion by selectively choosing important Slater determinants relevant to the physical process of interest. In this

method, localized Hartree-Fock and pseudo-orbitals are used to represent the bound states and grid-based orbitals to obtain an accurate description of the continuum states. Depending on the construction of the generalized-active-space (GAS) one can reproduce the SAE and CIS approximations as limiting cases of the TD-GASCI method.

So far the TD-GASCI method has been used to calculate photoelectron spectra, ionization yields, structure factors for tunneling ionization, and angle-dependent ionization of one- and three-dimensional two- and four-electron atoms and molecules [33,34,55,56]. In the present study we employ the method to illustrate electron correlation effects in EI of diatomic molecules. First, we consider the simplest possible two-electron molecule H_2 . This molecule has been studied extensively and we use it to check the convergence of the TD-GASCI method by comparing with exact TDSE calculations obtained from Ref. [25]. To obtain the ionization probability we use linearly polarized laser fields with polarization parallel to the internuclear axis within the fixed-nuclei approximation. The role of low-lying electronic excited states in EI is studied in detail. Furthermore, we consider LiH and HF molecules to highlight electron correlation effects in EI of multielectron systems. Similarly to the H_2 case, we investigate the importance of low-lying electronic excited states in EI.

The paper is organized as follows. In Sec. II we present the TD-GASCI method. We elaborate on the construction of the GAS partitions, define the laser pulses, discuss the calculation of the ionization probability, and give some remarks on the numerical simulations, the Appendix includes more details. In Sec. III we use the TD-GASCI method to elucidate the role of active orbitals in a given GAS partition on EI by calculating the ionization probabilities as a function of internuclear distance. We consider different GAS partitions which account for electron correlation at different levels of approximation. In Sec. IV we summarize and conclude.

II. THEORY AND METHODOLOGY

In this section we briefly present the TD-GASCI method and its implementation in prolate spheroidal coordinates, which is discussed in details in Refs. [33,34]. Furthermore, the pulses used will be given as well as the form of the complex absorbing potential.

A. TD-GASCI method

The TDSE for N_{el} electrons with fixed nuclei reads (we use atomic units throughout)

$$i \frac{\partial}{\partial t} |\Psi(t)\rangle = H(t) |\Psi(t)\rangle. \quad (1)$$

The time-dependent Hamiltonian consists of one- and two-body operators and is given by

$$H(t) = \sum_{i=1}^{N_{el}} h_i(t) + \sum_{i<j}^{N_{el}} w_{ij}, \quad (2)$$

with the one-body part of electron i given by

$$h_i(t) = -\frac{1}{2} \nabla_i^2 - \frac{Z_1}{|\mathbf{r}_i - \mathbf{R}_1|} - \frac{Z_2}{|\mathbf{r}_i + \mathbf{R}_2|} + \mathbf{r}_i \cdot \mathbf{F}(t), \quad (3)$$

where $\mathbf{F}(t)$ is the laser field and $Z_i (i = 1, 2)$ are the charges of the two nuclei. In Eq. (2) the two-body Coulomb interaction is given by

$$w_{ij} = \frac{1}{|\mathbf{r}_i - \mathbf{r}_j|}. \quad (4)$$

The many-electron wave function is expanded into a basis of time-independent Slater determinants $|\Phi_I\rangle$,

$$|\Psi(t)\rangle = \sum_{I \in \mathcal{V}_{Exc}} C_I(t) |\Phi_I\rangle, \quad (5)$$

where $C_I(t)$ are time-dependent expansion coefficients and I is a multi-index, which specifies the configurations from the full Hilbert space \mathcal{V}_{Exc} . The Slater determinants are constructed from N_b time-independent single-particle spatial orbitals. In terms of spin orbitals we have $2N_b$ orbitals $\varphi_i(\mathbf{r}, \sigma)$, where a given spatial orbital with different spin-quantum number has the same energy. After substituting the CI wave function from Eq. (5) into Eq. (1), the TDSE can be expressed as

$$i \frac{\partial}{\partial t} C_I(t) = \sum_{J \in \mathcal{V}_{Exc}} H_{IJ}(t) C_J(t), \quad (6)$$

with the Hamiltonian matrix element $H_{IJ}(t) = \langle \Phi_I | H(t) | \Phi_J \rangle$. These matrix elements are constructed by first evaluating the one- and two-electron integrals and then rotating the orbitals as described in Ref. [33]. In the full CI (FCI) method [57,58] one takes into account all possible excitations \mathcal{V}_{Exc} , so that the time-dependent wave function reads

$$|\Psi_{FCI}(t)\rangle = C_0(t) |\Phi_0\rangle + \sum_{ia} C_i^a(t) |\Phi_i^a\rangle + \sum_{i<j,a<b} C_{ij}^{ab}(t) |\Phi_{ij}^{ab}\rangle + \dots \quad (7)$$

Here i, j, \dots refer to occupied orbitals and a, b, \dots refer to unoccupied orbitals. For example, in Eq. (7) the Slater determinants in the third term $|\Phi_{ij}^{ab}\rangle$ denote doubly excited Slater determinants where electrons from orbitals i, j are excited into orbitals a, b . The FCI expansion is, however, numerically unfeasible even for bound state calculations for many-electron systems. In the present case we need to extract the ionization probability and it is impractical to treat all electrons with the FCI approach. This is due to the exponential scaling in the number of configurations with the number of basis functions. The GAS concept, which was introduced in quantum chemistry [59], aims to choose the most relevant configurations from the full Hilbert space and thus to some extent circumvents the problem of computational scaling. In the GAS method, the basis set of Slater determinants is a subset of the FCI many-particle basis set $\mathcal{V}_{Exc} = \mathcal{V}_{GAS}$ in Eq. (5). As we shall see below, by increasing the number of active orbitals, we observe a convergence of the ground state and excited state potential energy curves. This GAS approach not only reduces the computational complexity, it also allows an identification of the most important configurations for a given process and hence helps in identifying important physics.

In Fig. 1 we show the GAS partitions used in this work. The energies of the single-particle orbitals are denoted by E_i . The two spin configurations $|\uparrow\rangle$ and $|\downarrow\rangle$ are degenerate in this

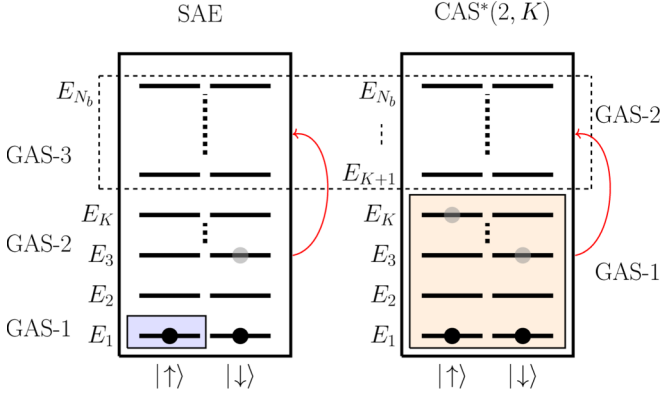


FIG. 1. Schematic of GAS partitions used in this work for a two-active electron molecule. The left panel shows the SAE approximation. The right panel shows the complete-active space (CAS*) situation, where all single excitations out of the CAS are included. E_i represents the orbital energy of the i th orbital. The SAE approximation consists of three GAS partitions, GAS-1 defines the frozen electrons, GAS-2 defines the single-active electron, and GAS-3 defines the single excitations from GAS-2. The CAS*(2, K) notation refers to two-active electrons with K spatial orbitals and the asterisk denotes that all single excitations out of the active space are included. In the CAS*(2, K) model we have two GAS partitions. GAS-1 contains all possible excitations within this space. GAS-2 defines single excitation from GAS-1 to GAS-2.

representation. The red arrows imply that only single ionization is allowed. One can obtain a SAE approximation from the GAS concept as shown in the left panel of Fig. 1. In this illustration, one of the electrons in a two-electron molecule is frozen in the GAS-1 space and the other electron is allowed to be excited within the GAS-2 space. Here we emphasize that only single excitations are allowed in the GAS-2 space, i.e., for the ionization process we allow only one electron to be excited from GAS-2 to the GAS-3 space. Note that the SAE model considered here generates the SAE potential automatically from the frozen core. In the strong-field community, the wording ‘SAE model’ is often used to denote the application of an empirically optimized potential. The time-dependent wave function in the present SAE approximation can be written as

$$|\Psi_{\text{SAE}}(t)\rangle = C_0(t)|\Phi_0\rangle + \sum_{a \in \text{vir}} C_a^a(t)|\Phi_i^a\rangle, \quad (8)$$

where we note that the sum runs over all virtual orbitals. Here $|\Phi_0\rangle$ is the Hartree-Fock reference determinant, and $|\Phi_i^a\rangle$ is a singly excited determinant. Since the sum in Eq. (8) runs over all virtual orbitals a with a fixed core i , it represents an effective interaction felt by the single electron, which is created by all the other electrons similar to the Hartree-Fock potential. Similarly, the explicit time-dependent wave function in the CIS approximation is described within the GAS method as

$$|\Psi_{\text{CIS}}(t)\rangle = C_0(t)|\Phi_0\rangle + \sum_{i \in \text{occ}} \sum_{a \in \text{vir}} C_i^a(t)|\Phi_i^a\rangle. \quad (9)$$

Here the sum includes all the core and virtual orbitals and all the single-excited Slater determinants are constructed with time-dependent coefficient $C_i^a(t)$. Note that although we

use the same notation for these time-dependent coefficients in Eqs. (8) and (9), they are in general different for the different approximation schemes. In the right panel of Fig. 1 we show the complete-active-space (CAS) concept [33,59], which corresponds to a FCI description of the system with a spatial orbital index K . CAS*(2, K) refers to two active electrons with $K(2K)$ spatial orbitals (spin orbitals) within the given CAS. In this case, all possible excitations are treated within the GAS-1 space. The asterisk denotes that all single excitations out of the CAS are included. Therefore the time-dependent wave function in the GAS method reads

$$|\Psi_{\text{CAS}}(t)\rangle = C_0(t)|\Phi_0\rangle + \sum_{i \in \text{occ}} \sum_{a \in \text{vir}} C_i^a(t)|\Phi_i^a\rangle + \sum_{i < j \in \text{occ}} \sum_{a < b \in \text{vir}} C_{ij}^{ab}(t)|\Phi_{ij}^{ab}\rangle + \dots \quad (10)$$

In the frozen-electron approximation, one can freeze the inner core electrons, which may have an insignificant role on the dynamics. Within the TD-GASCI method we can create such different models to describe the ionization process in a many-electron system. In this method, the restriction is created on the active space under consideration by choosing K spatial orbitals and thus limiting the number of determinants within the corresponding GAS partition. We emphasize that the CAS notation throughout this work is accompanied by additional single excitations to the final GAS and indicated in our notation by CAS*(N_{el} , K), where N_{el} denotes the active electrons and K is the number of spatial orbitals within the CAS under consideration.

B. Single-particle basis

The single-particle basis is constructed in the prolate spheroidal coordinate system. For a detailed description of the implementation see Refs. [34,42,60]. The coordinates are denoted by (ξ, η, ϕ) , and they are related to the Cartesian coordinates by the following relations:

$$\begin{aligned} \xi &= \frac{r_1 + r_2}{R}, & \xi &\in (1, \infty), \\ \eta &= \frac{r_1 - r_2}{R}, & \eta &\in (-1, 1), \\ \phi &= \arctan(r_2/r_1), & \phi &\in (0, 2\pi). \end{aligned} \quad (11)$$

Here r_1 and r_2 are the electron coordinates and R is the bond length of the diatomic molecule as shown in Fig. 2. In the prolate spheroidal coordinate system, the time-independent wave function is expressed as

$$\Psi(\xi, \eta, \phi) = \frac{1}{\sqrt{2\pi}} \sum_m \Psi^m(\xi, \eta) e^{im\phi}, \quad m = 0, \pm 1, \pm 2, \dots \quad (12)$$

Both the ξ and η coordinates are described by a finite-element discrete-variable-representation (FE-DVR) basis [61]. The total simulation box is partitioned into a central and an outer region and we use the partially rotated single-particle basis for the whole simulation box as discussed in Refs. [33,44]. The ξ coordinate is partitioned into two regions such that for $\xi < \xi_s$ the single-particle basis is constructed from localized

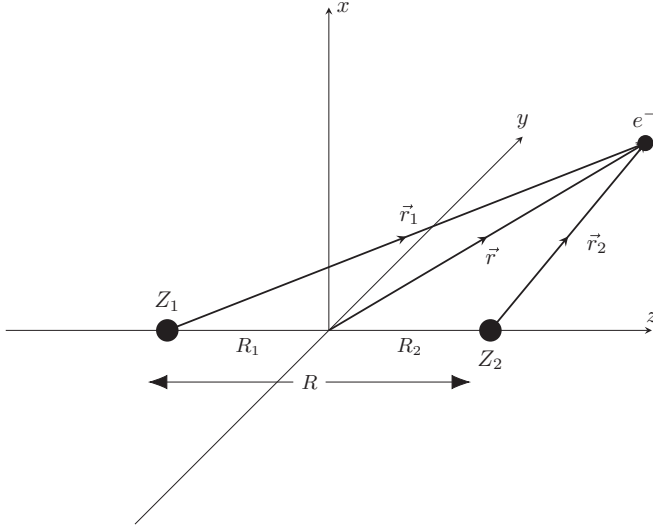


FIG. 2. Schematic of the coordinate system for a diatomic molecule. The molecule with binding length R has the origin in the geometric center.

occupied Hartree-Fock and pseudo-orbitals. For $\xi > \xi_s$, FE-DVR functions represent the continua. The domains of these coordinates are such that ionization is mainly described by the ξ coordinate, while the η coordinate describes bound-state motion.

C. Laser pulse parameters

To study EI we expose diatomic molecules to 800 nm strong laser fields, which are described using the length gauge and the dipole approximation. It was found in previous one-dimensional calculations [55] that the length gauge converges faster than the velocity gauge for extracting the ionization probability in the enhanced-ionization process. The diatomic molecules are aligned collinearly with the polarization axis of the laser field. The vector potential has a sine-square envelope [62],

$$A(t) = \frac{F_0}{\omega} \sin^2\left(\frac{\pi t}{T}\right) \sin(\omega t) (0 \leq t \leq T), \quad (13)$$

where $T = N \frac{2\pi}{\omega}$ is the pulse duration with N the number of cycles and ω the angular frequency. The electric field is obtained as $F(t) = -\frac{\partial A(t)}{\partial t}$ and is shown in Fig. 3 for the single- ($N = 1$) and four-cycle ($N = 4$) pulses used in the present calculations. F_0 is the maximum amplitude of the laser pulse.

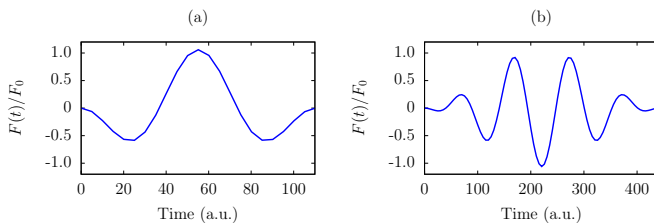


FIG. 3. Normalized electric field with (a) a single cycle and (b) four cycles.

The use of a vector potential to generate the electric field ensures that the time integral over the electric field vanishes once the laser pulse is over [63]. We note that one needs a pump-probe setup to experimentally probe EI in molecules as shown in Ref. [11] because the laser pulse is so short that a molecule will not have enough time to dissociate to the critical internuclear distance with EI during the duration of the pulse.

D. Ionization probability

To extract the total ionization probability we add a complex absorbing potential (CAP) to the full Hamiltonian,

$$H^{\text{CAP}}(t) = H(t) - iV_{\text{CAP}}. \quad (14)$$

We tested various types of CAPs and found that the following CAP [64] produces a converged ionization probability for all the molecules under consideration:

$$V_{\text{CAP}}(r) = \tilde{\eta}(r - r_{\text{CAP}})^b \theta(r - r_{\text{CAP}}). \quad (15)$$

Here θ is the Heaviside step function, which ensures that the CAP is switched on once the wave packet reaches r_{CAP} and the exponent is set to $b = 2$ as in Ref. [64]. In Eq. (15) $\tilde{\eta}$ is the CAP strength and in the present study we found converged results with $\tilde{\eta} = 0.5$. Note that for the prolate-spheroidal coordinate, we apply the CAP along the ξ coordinate and in all cases $\xi_{\text{CAP}} = 50$. The total ionization probability [65] reads as

$$\mathcal{P}(t_f) = 1 - \mathcal{N}(t_f), \quad (16)$$

with $\mathcal{N}(t_f) = \langle \Psi(t_f) | \Psi(t_f) \rangle$. To extract the ionization probability after the end of the pulse, we propagate the equations of motion to a final time $t_f = 241$ fs. We found that this time is sufficient to obtain converged results, also for correlated situations.

E. Remarks on the simulations

For the numerical simulations, first we prepare the diatomic molecule in its ground state by imaginary-time propagation (ITP). For the ITP we use the short-iterative Arnoldi-Lanczos algorithm [64]. Once the ground state is converged we apply the laser pulse and propagate in real time. We follow the adaptive time step for the propagation of the time-dependent wave packet as discussed in Ref. [34]. The EI process involves a large number of TD-GASCI simulations for different internuclear separations. Therefore, we choose a relatively large inner region of the simulation box such that it retains the converged Hartree-Fock orbitals for the time-dependent calculations. For all the diatomic molecules that we treated, first we check the convergence of the Hartree-Fock orbitals and energies. In the time-dependent simulations we expose the diatomic molecules to 800 nm ($\omega = 0.057$) laser pulses (Fig. 3). Both the η and ξ coordinates are described by FE-DVR functions. A description of the discretization used for these variables and the computational demands is given in the Appendix.

III. RESULTS AND DISCUSSION

In this section we present results on EI for H_2 , LiF , and HF molecules.

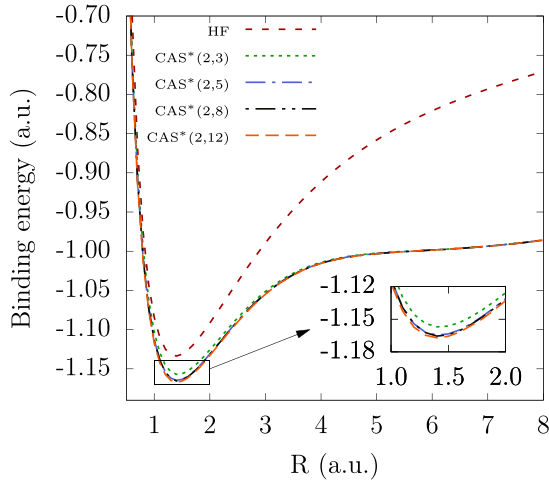


FIG. 4. Ground state energy of H_2 from imaginary time propagation. $CAS^*(2, K)$ ($K = 3, 5, 8, 12$) represents TD-GASCI with K active spatial orbitals in the CAS. In the figure, the Hartree-Fock result is denoted by HF.

A. Two-electron H_2 molecule

In order to study correlation effects in diatomic molecules in connection with EI, the two-electron H_2 molecule is a preferred choice as it is the simplest system with more than a single electron where the EI has been studied extensively by solving numerically the TDSE. First we prepare H_2 in its ground state by ITP for a range of internuclear separations R . In Fig. 4 we present the results with Hartree-Fock and different GAS partitions. It is seen that the $CAS^*(2, 3)$ calculations with three active orbitals improve the ground-state energy significantly compared to the Hartree-Fock energy. The ground-state energies from SAE and CIS approximations equal the ground-state energy of the Hartree-Fock approach due to Brillouin's theorem [57], which states

$$\langle \Phi_i^a | H_0 | \Phi_0 \rangle = 0, \quad (17)$$

with H_0 the time-independent field-free Hamiltonian. The $CAS^*(2, 5)$ scheme with five active orbitals improves the ground-state energy further. To check the convergence of the ground-state energy with the number of active orbitals, we increase the number of active orbitals in the GAS from five to eight and up to 12 for the $CAS^*(2, 12)$ model and one can see from the figure that the $CAS^*(2, 5)$ model is fully converged for the ground state and we also obtain the correct equilibrium bond length of $R = 1.4$ by the ITP method.

For a systematic investigation of the correlation effects in EI of H_2 , we use the 800 nm single-cycle laser pulse as shown in Fig. 3(a) with a peak field strength of $F_0 = 0.053$ (10^{14} W/cm²). For an accurate description of the correlation effects we take orbitals with higher m -quantum numbers as described in Eq. (12) and in the present calculations we have considered up to $m = \pm 1$ which produces a converged EI results. In Ref. [34] it was shown that $m = 0, \pm 1$ is sufficient to obtain a correlated ionization spectra and further increase in m does not change the ionization probability significantly. In Fig. 5 we present the ionization probability as a function of the internuclear separation. Here we scaled down the results

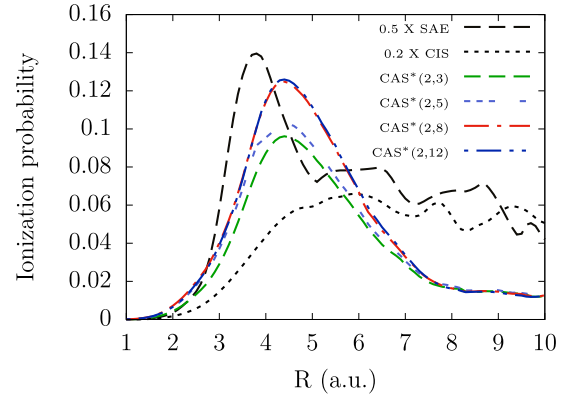


FIG. 5. Ionization probability vs internuclear distance R for H_2 with $F_0 = 0.053$ and SAE, CIS, and different GAS approximations. $CAS^*(2, K)$ ($K = 3, 5, 8, 12$) represents K active spatial orbitals in the CAS partition.

obtained from the SAE and CIS approximations. It is evident that the SAE and CIS approximations do not produce the correct EI peak position and magnitude compared to the other correlated calculations. Also in these approximations, we observe spurious resonances as the internuclear separation is increased from $R = 3.8$. In all the $CAS^*(2, K)$ models in the figure, the ionization probability increases with the increase of internuclear separation and after a critical internuclear distance of $R = 4.4$, it decreases and eventually at large internuclear distances equals the sum of atomic ionization probabilities. The $CAS^*(2, 3)$ model is the simplest model in the current description of H_2 and it produces the EI peak at the correct position, i.e., $R = 4.4$. As we increase the number of active orbitals, the probability converges. Most of the correlation contributions are captured in the $CAS^*(2, 8)$ model. An earlier TDSE calculation produces the EI peak at a similar internuclear distance [25]. The same set of laser parameters produced the converged EI peak at $R = 4.7$ in our previous one-dimensional (1D) calculations [55]. A difference between the 1D and the present calculations is the way the Coulomb interaction between the electrons is treated. The regularized Coulomb potential in the 1D calculation may overestimate the correlation compared to the exact Coulomb interaction. We note that the SAE and CIS approximations are inaccurate in describing EI both in terms of magnitude and peak position. Similar conclusions were obtained from 1D calculations [55]. As mentioned earlier, the TD-GASCI method systematically incorporates the electron correlation in a given GAS partition. The main difference between the SAE and CIS and the GAS calculations is the inclusion of the doubly excited Slater determinants in the latter case. The SAE and CIS approximations are unable to describe EI because they do not include effects of double excitations in the many-electron wave function, i.e., the doubly excited determinants ($|\Phi_{ij}^{ab}\rangle$) contribute significantly in the dynamic electron correlation which further underlines the need for correlated many-electron calculations in modeling molecular strong-field ionization processes.

To further test the efficiency of the TD-GASCI method we consider the laser parameters from Ref. [25]. The pulse durations are both single and four cycle and the peak field

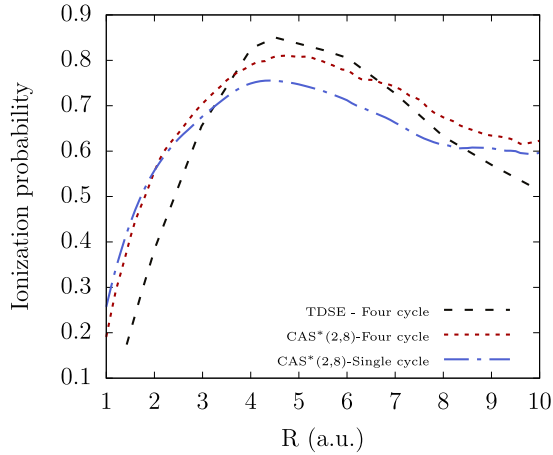


FIG. 6. Comparison of the converged CAS*(2,8) TD-GASCI calculation for the ionization probability vs internuclear distance R for H_2 with results from full 3D TDSE calculations [25].

strength is $F_0 = 0.053$ (10^{14} W/cm²) and $\omega = 0.057$ (Fig. 3). In Fig. 6 we compare the ionization probability of H_2 as a function of the internuclear separation using the TDSE results provided in Ref. [25] and the converged CAS*(2,8) model of the TD-GASCI method. There is qualitative agreement between both results which further illustrates the capability of the TD-GASCI method.

The electron correlation effect in the EI of H_2 is prominent from the above description. Different CAS approximations produce the correct EI behavior but one can observe that at least eight active orbitals are required to properly describe the EI process. On the other hand, one needs only five active orbitals to have a good description of the ground state. We found that the electronically excited states play an important role in the EI mechanism. This was discussed in our previous work with a 1D- H_2 -model molecule, and we observed a similar trend in the three-dimensional (3D) calculations. The K active orbitals in the GAS partition allow the convergence of the electronic excited states for the corresponding CAS*(2, K) model and the same K active orbitals are required for a converged EI calculation in the TD-GASCI method. In Fig. 7(a) we compare energies of the lowest four field-free states from CAS*(2,3) and CAS*(2,5) calculations. These four states are obtained by directly diagonalizing the CI Hamiltonian in a small simulation box which also provides accurate energies. As we further increase the number of active orbitals in a GAS partition, we can see that the lowest four states converge as shown in Fig. 7(b). Thus we can see that at least eight active orbitals are required to obtain a converged result for these low-lying excited states which equals the number of states needed for the convergence of the EI process. This equality illustrates the role of electronically excited states in the EI mechanism. One can intuitively interpret that when the strong laser field is applied, the low-lying excited states can be involved in a strong coupling with the ground state at some intermediate internuclear separation and this leads to EI.

B. Four-electron LiH molecule

In this section we present an analysis of EI in the four-electron LiH molecule. It is one of smallest heteronuclear

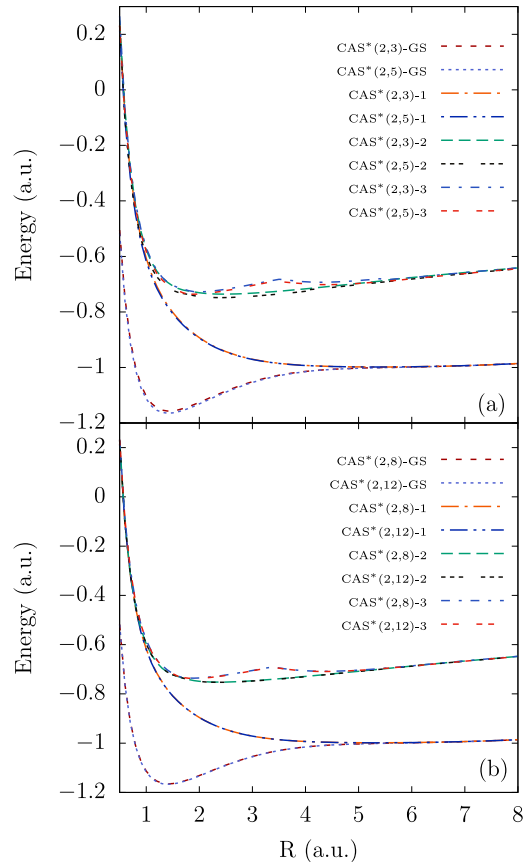


FIG. 7. Field-free ground state (GS) and the three lowest-lying excited states of H_2 for (a) CAS*(2,3) and CAS*(2,5) and (b) CAS*(2,8) and CAS*(2,12). The notation CAS*(2, K)- i labels the states starting with $i = 1$ for the first excited state.

systems which has been studied for electron correlation effects in both 1D and 3D calculations [33,34,55]. Like in the case of H_2 , orbitals with higher m -quantum numbers are needed for an accurate description of the electronic correlation and we chose up to $m = \pm 1$ for the time-dependent calculations.

We use ITP to prepare LiH in its ground state and then the laser pulse with a peak field strength of $F_0 = 0.025$ (2.18×10^{13} W/cm²) is applied to ionize the molecule. We compare the results of EI with CIS and different GAS approximations in Fig. 8. Similar to H_2 , for LiH the CIS and all GAS approximations predict an EI peak. However, the CIS approximation predicts an incorrect EI peak position as well as magnitude compared to the other more accurate GAS approximations. These results further reflect that electron correlation effects should be taken into account to explain EI in diatomic molecules. For the CAS*(2,5) scheme, the EI peak is observed at $R = 5.9$. As we further increase the number of active orbitals, the peak remains at the same position but the magnitude of the ionization probability increases further until convergence is obtained with the CAS*(2,8) scheme. Increasing the number of active orbitals in the GAS partitions shows the trend of convergence. Here we would also like to point out that the converged peak is shifted from $R = 6.1$ in the 1D calculation [55] to $R = 5.9$ in the present 3D case for the

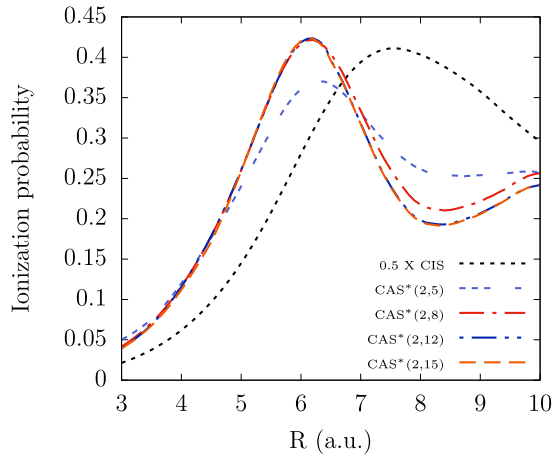


FIG. 8. Ionization probability vs internuclear distance R for LiH with $F_0 = 0.025$ with CIS and different GAS approximations.

same set of laser parameters. This highlights the necessity of using the Coulomb potential instead of regularized-Coulomb potential for an accurate description of the EI process.

To further study the role of electronic excited states in the EI mechanism of the LiH molecule we perform a diagonalization of the time-independent CI Hamiltonian to obtain low-lying excited states. In Fig. 9(a) we show the field-free ground state and the three lowest-lying excited states of LiH in CAS*(2, 5) and CAS*(2, 8) approximations. It is discernible that the CAS*(2, 5) model does not produce correct field-free excited states and as we increase the number of active orbitals the ground and excited states converge as shown in Fig. 9(b). Here one can see again that we need eight active orbitals in the GAS space to obtain a converged result. Note that all the energy curves are obtained with two-active electrons, i.e., with the CAS*(2, K) approximations with K the number of active spatial orbitals. In the ITP method we obtain an equilibrium bond length of $R = 3.0$ for LiH, which is very close to the value obtained by quantum chemistry calculations [58]. One can in principle use four-active electrons to obtain accurate energy curves in the TD-GASCI method. However, as shown in 1D calculations [55], the four-active electrons situation provide the EI peak at the same position as for two-active electrons. Also due to higher computational cost with FE-DVR basis in both ξ and η coordinate, we perform the imaginary and real time propagation with two-active electrons.

C. Ten-electron HF molecule

One of the significant advantages of the TD-GASCI method over TDSE is the capability of a treatment of atoms and molecules with more than two electrons. To verify the universality of the EI process and electron correlation effects in strong-field ionization of multielectron molecules, we consider the HF molecule.

Similar to the previous cases, we prepare the HF molecule in its ground state with ITP method. The laser field as shown in Fig. 3(a) is applied with a field strength of $F_0 = 0.05$ (8.75×10^{14} W/cm²) to ionize the molecule. In Fig. 10 we show the ionization probability against the internuclear separation calculations for HF. We compare the CIS

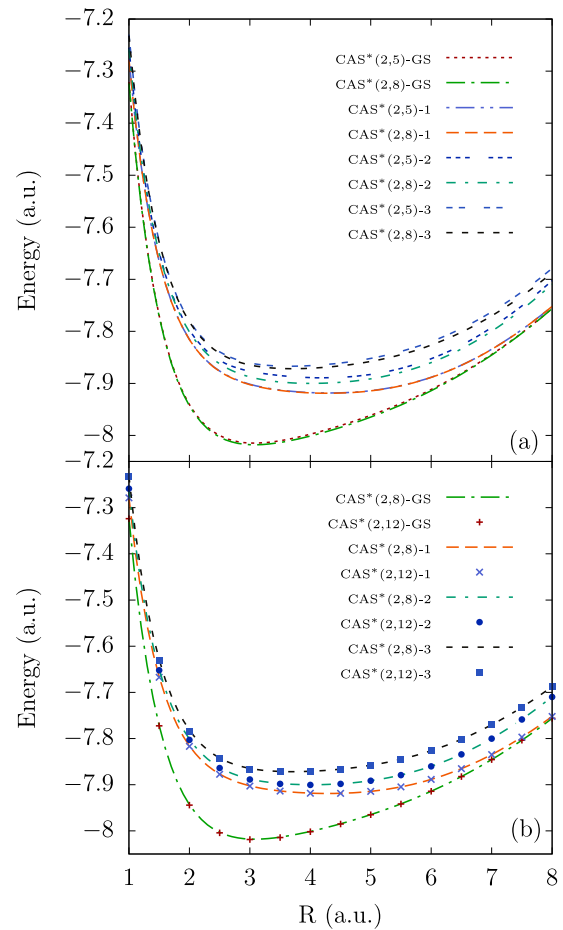


FIG. 9. Field-free ground state (GS) and the three lowest-lying excited states of LiH for (a) CAS*(2, 5) and CAS*(2, 8) and (b) CAS*(2, 8) and CAS*(2, 12). The notation CAS*(2, N)- i labels the states starting with $i = 1$ for the first excited state.

approximation and different GAS methods. It is clear, like in the previous cases, that the CIS approximation fails to produce the correct EI peak position and magnitude. The present result also indicates that as the number of electrons in a system increases, the correlation effect may become

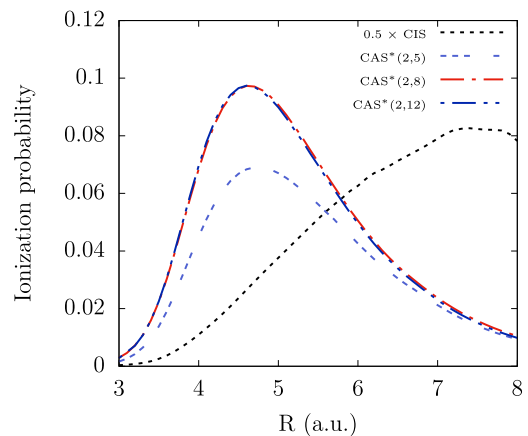


FIG. 10. Ionization probability vs internuclear distance R for the HF molecule with $F_0 = 0.05$ with CIS and different CAS*(2, K) approximations.

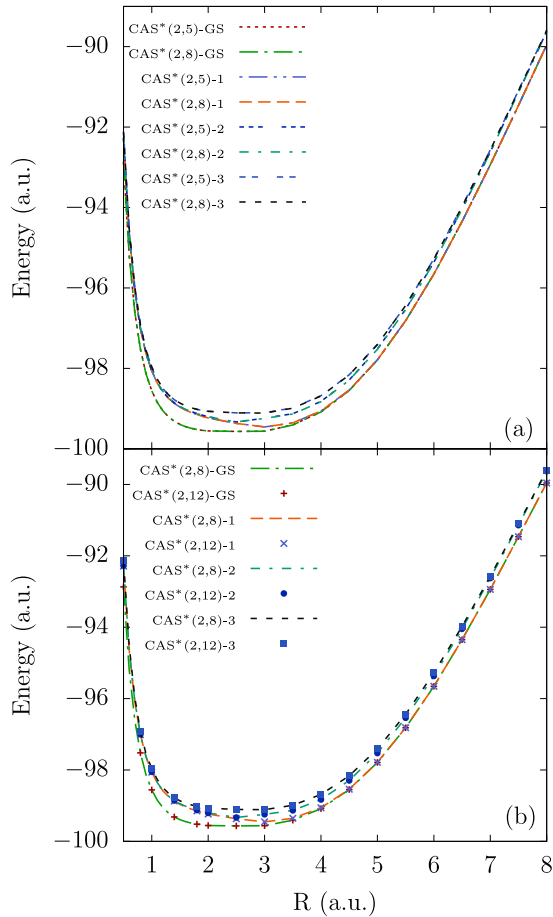


FIG. 11. Field-free ground state (GS) and the three lowest-lying excited states of the HF molecule for (a) CAS*(2, 5) and CAS*(2, 8) and (b) CAS*(2, 8) and CAS*(2, 12). The notation CAS*(2, N)- i labels the states starting with $i = 1$ for the first excited state.

more prominent. Since the CIS approximation does not take into account the doubly excited Slater determinants, it fails to incorporate a major part of the electron correlation. The present calculation further emphasizes the need of correlated time-dependent calculations for this kind of process. In the GAS schemes, we found that all the methods produce the EI peak at $R = 4.6$. The lowest GAS calculation with the CAS*(2, 5) model predicts the correct EI peak position. As we increase the number of active orbitals, the EI peak converge with the CAS*(2, 8) model as in the previous cases. To check the convergence, we increase the number of active orbitals up to 12 as shown in Fig. 10 and find no significant changes in EI peak. Therefore we need eight active orbitals in this case to obtain converged results for EI.

To study the role of electronic excited states in the EI of the HF molecule, we diagonalize the time-independent CI Hamiltonian. We find the ground state and three lowest-lying excited state energies shown in Fig. 11. We note that in this case the excited states obtained from the CAS*(2, 5) model almost overlap with the CAS*(2, 8) model. We find a complete convergence of the excited states as shown in Fig. 11(b). Therefore, in this case also the number of active orbitals required to produce converged excited states produce

the converged EI. So we can conclude that in the near infrared region, along with the ground state, one needs an accurate representation of the low-lying excited states to obtain converged results for the EI process.

IV. SUMMARY AND CONCLUSION

The present work highlights the role of electron correlation effects in EI of diatomic molecules. The TD-GASCI method based on the generalized-active-space concept build electron correlation in a systematic way. First we considered H_2 and we found that the SAE and CIS approximations do not accurately describe EI. These two approximations even produce some spurious peaks in the EI signal. The more accurate GAS calculations produce converged ionization probabilities and we found a very good agreement with previous TDSE calculations [25]. We demonstrated the importance of considering the double excitations in the many-electron wave function. It is remarkable that electron correlation may reduce the EI probability. We highlighted the usefulness of the TD-GASCI method which is computationally less expensive than the full TDSE treatment. The two-active electron approach was also used to treat LiH and HF molecules. We demonstrated that EI persists in these multielectron molecules and that electron correlation is necessary to obtain converged EI results. Also for these two molecules, the CIS approximation fails to predict correct EI results.

The present work is, to our knowledge, the first that presents *ab initio* calculations on EI for system larger than H_2 . The results show that the EI process is universal and that correlated calculations are needed to accurately describe the process. We found that the EI results strongly depend on the convergence of the excited states. We conclude that to obtain a correct description of EI in near-infrared field, one needs an accurate representation of the ground state as well as of low-lying electronically excited states. In the future we expect that the TD-GASCI method can be applied to study the importance of electron correlation effects in EI in the mid-infrared regime.

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APPENDIX: NUMERICAL PARAMETERS FOR DISCRETIZATION

In this Appendix we give details on the discretization of the prolate spheroidal coordinates and the typical CPU usages for different GAS schemes. For H_2 we found that for ξ two finite elements with 8 and 7 FE-DVR functions in each element with a simulation box size with $\xi_{\max} = 11$, 10 FE-DVR functions in η coordinate and $m = 0, \pm 1$ is enough to obtain a converged Hartree-Fock energy and orbitals. Further increasing the number of FE-DVR functions improves the

accuracy of the ground-state calculations and in this method one can reach the accuracy of different quantum chemistry calculations using a significantly higher number of FE-DVR functions within the central region. For our study of EI we are interested in processes involving continuum dynamics and this limits the number of FE-DVR functions that can be used to construct the Hartree-Fock orbitals. For the time-dependent part we define the central region up to 11 and increase the simulation box size up to 151 in the ξ coordinate. The outer region in this case consists of 28 finite elements with seven FE-DVR functions in each element. Therefore the full simulation box is of size 151 and it contains 181 basis functions in the ξ coordinate. Furthermore, we use 10 FE-DVR functions in the η coordinate and consider $m = 0, \pm 1$ for the final time-dependent simulations. So in total we have 5430 basis functions. We found that this ensures converged result for H_2 . We emphasize that the CAS calculations performed in the present study are referred to as correlated CAS calculations compared to the SAE and CIS approximations as these two approximations do not include significant contributions to the dynamic electron correlation arising from double excitations.

For all EI calculations, a single-cycle pulse has been used. Only for the comparison in Fig. 6, a four-cycle pulse was used. With the CAS*(2, 3) model, which is the smallest CAS calculation performed in the present work, and using the feature of the Intel MKL-library for sparse matrix-vector multiplication in a Intel Ivy-bridge processor with 20 cores at 2.8 GHz speed, it takes 3 h and 14 min to complete a simulation. With the CAS*(2, 12) model it takes 13 h and 3 min to finish. For the four-electron LiH we found that two

finite elements with 14 and 17 FE-DVR functions with a simulation box size of 14 is sufficient to produce converged results in the central region. Similar to H_2 , we use 10 FE-DVR functions for the η coordinate and we consider $m = 0, \pm 1$. For the time-dependent calculations we choose a simulation box with $\xi_{\max} = 150$. The total simulation box in this case has 182 FE-DVR functions for the ξ coordinate and 10 FE-DVR functions for the η coordinate and $m = 0, \pm 1$. For the full simulation box we thus have 5460 basis functions and observe converged results for all CAS calculations. For this molecule a time-dependent calculation with the CAS*(2, 5) model with the same computational configuration takes 21 h and 7 min to finish. The largest CAS*(2, 15) scheme has taken 11 days, 1 h, and 16 min to obtain a converge result. In case of the HF molecule we found that two finite elements and 20 and 7 FE-DVR functions in each element for the ξ coordinate and 12 FE-DVR functions in the η coordinate and $m = 0, \pm 1$ ensure a converged Hartree-Fock energy and orbitals. For the time-dependent calculations we use a simulation box of size 101 and 133 FE-DVR functions in the ξ coordinate and 12 FE-DVR functions in the η coordinate and $m = 0, \pm 1$. The total number of basis functions in this case is 4788. A time-dependent calculation with the smallest CAS*(2, 5) model takes 5 days, 10 h, and 58 min to complete. For the largest CAS*(2, 12) scheme the time-dependent calculation take 21 days, 4 h, and 16 min to finish. The computational cost therefore restricts us to consider the four-active electron situation, and in Ref. [34] it was found that for LiH, the CAS*(2, 8) model produces the same ionization probability as the CAS*(4, 4) model. Therefore, all our calculations are performed with two-active electrons.

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