

Field ionization in short and extremely intense laser pulses

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Modern laser systems are able to generate short and intense laser pulses ionizing matter in the poorly explored barrier-suppression regime. Field ionization in this regime is studied analytically and numerically. For analytical studies, both the classical and the quantum approaches are used. Two approximations to solve the time-dependent Schrödinger equation are proposed: the free electron approximation, in which the atomic potential is neglected, and the motionless approximation, in which only the external field term is considered. In the motionless approximation, the ionization rate in extremely strong fields is derived. The approximations are applied to several model potentials and are verified using numeric simulations of the Schrödinger equation. A simple formula of the ionization rate both for the tunnel and the barrier-suppression regimes is proposed. The formula can be used, for example, in particle-in-cell codes for simulations of the interaction of extremely intense laser fields with matter.

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I. INTRODUCTION

Field ionization is one of the first processes which come into play at ultrahigh-intensity laser-matter interaction. The peak power of some laser facilities exceeds the 5-PW level and will be doubled soon [1]. High-intensity laser radiation is generated in the form of very short (less than a hundred femtoseconds) laser pulses so that atoms and molecules are already ionized at the pulse front. There are also proposals for secondary radiation sources which provide even higher intensities. For example, an attosecond pulse can be generated at the laser-solid interaction in the relativistic oscillating mirror regime [2,3]. The intensity of such attosecond pulses can be even higher than that of the driving PW laser pulse, while the pulse duration is shorter [4]. At the PW level of laser intensity, the electric field in the focal spot is several orders of magnitude higher than the characteristic atomic field, $E_a = m_e^2 e^5 \hbar^{-4} \approx 5.1 \times 10^9$ V/cm, where e and m_e are the absolute charge and the mass of an electron, and \hbar is the reduced Planck constant. This leads to the multi-ionized states of ions in the plasma being produced at laser-matter interaction. The ionization-induced mechanisms can play an important role in many high-field phenomena and applications like ionization-induced self-injection in laser-plasma accelerators [5–7] or triggering of QED cascades by seed electrons produced at the ionization of high- Z atoms [8,9].

The regimes of the field ionization in a strong electromagnetic field can be roughly classified as follows: the multiphoton ionization regime $E \ll E_K$, the tunnel ionization (TI) regime $E_K \ll E \ll E_{cr}$, and the barrier-suppression ionization (BSI) regime $E \gg E_{cr}$, where E is the external electric field strength, $E_K = \omega_L(2m_e I_i)^{1/2}/e$ is the field threshold associated with the Keldysh parameter

$\gamma_K = \omega_L(2m_e I_i)^{1/2}/(eE) = E_K/E$, I_i is the ionization potential of the atom (ion), ω_L is the laser frequency, and E_{cr} is the critical field above which the barrier of the atomic potential is suppressed. The first two regimes are investigated theoretically in detail starting from the milestone paper by Keldysh [10]. It is generally believed [11–13] that for short and intense laser pulses the field ionization occurs in the tunnel regime while the multiphoton ionization is negligible. The static field tunnel ionization rate (without averaging in time over the laser period) based on the Perelomov-Popov-Terent'ev theory [11,14] is

$$w_{lm} = \omega_a \kappa^2 C_{\kappa l}^2 \times 2(2l+1) \left(\frac{2}{F}\right)^{2n^* - |m| - 1} \times \frac{(l+|m|)!}{2^{|m|} (|m|)! (l-|m|)!} \exp\left(-\frac{2}{3F}\right), \quad (1)$$

$$C_{\kappa l}^2 = \frac{2^{2n^* - 2}}{n^* \Gamma(n^* + l + 1) \Gamma(n^* - l)}, \quad (2)$$

where $F = E/(\kappa^3 E_a)$ is the normalized electric field strength, $\kappa^2 = I_i/I_H$, $n^* = Z/\kappa$ is the effective principal quantum number of the ion, Z is the ion charge number, l and m are the orbital and magnetic quantum numbers, respectively, $I_H = m_e e^4 / (2\hbar^2) \approx 13.6$ eV is the ionization potential of hydrogen, $\omega_a = m_e e^4 \hbar^{-3} \approx 4.1 \times 10^{16}$ s $^{-1}$ is the atomic frequency, and $\Gamma(x)$ is the Γ function [15]. In the limit $n^* \gg 1$, formula (1) reduces to the ionization rate given by Ammosov *et al.* in Ref. [16].

The probability of the electron with the minimum ionization potential to leave the atom or ion within the time period $[-\infty, t]$ is equal to

$$W_i(t) = 1 - \exp\left\{-\int_{-\infty}^t w[E(t')] dt'\right\}, \quad (3)$$

where $w(E)$ is the field ionization rate as a function of the external field. The tunnel ionization formulas are no longer valid

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if the strength of the external field exceeds the atomic critical field, $E_{\text{cr}} = E_a \kappa^4 / (16Z)$, corresponding to suppression of the atomic potential by the external field. In this case, the initial energy level of the electron is higher than the maximum of the potential barrier resulting from the superposition of the atomic field and the external field. In the barrier-suppression regime, the electron becomes unbound and propagates above the barrier instead of tunneling. In real conditions, a strong electromagnetic field, $E \gg E_{\text{cr}}$, cannot turn on instantaneously, and there is a finite period of time needed for the laser field to reach the maximum at the atom location. If such a time period is long enough, then the electron with the minimum ionization potential can reach the continuum with the 90% probability at the front of the laser pulse where the tunnel ionization model is valid. Therefore the validity of this model for strong laser fields depends not only on the field strength, but also on the field rise time. For simplicity, we assume that the laser field takes a form $E(t) = E_0 \exp(-4t^2/T^2)$, where the carrier signal is neglected, $E_0 > E_{\text{cr}}$ is the field maximum, and T is the pulse duration. In this case, the tunnel ionization model does not break for hydrogen before 90% ionization is reached if $T \gtrsim 1.6$ ps (see Appendix A for details). Interestingly enough, the peak field value E_0 is not very important in this estimate as long as it exceeds the critical value.

At the PW level of laser intensity, the field strength exceeds E_{cr} for the majority of atoms, at least for the outer electron shells. The ionization dynamics of the hydrogen atoms in the electromagnetic field is shown in Fig. 1. The ionization is modeled with tunnel formula (1) for two pulses: (i) for a laser pulse with the Gaussian envelope $E(t) = a_0(mc\omega_L/e) \exp(-4t^2/T^2) \cos(\omega_L t)$, where $a_0 = eE_L/(mc\omega_L) = 10$ is the normalized amplitude of the laser field typical for focused sub-PW laser pulses [17], $T = 60$ fs is the pulse duration, and $\lambda_L = 2\pi c/\omega_L = 0.9 \mu\text{m}$ is the laser wavelength; (ii) for an attosecond pulse with the Gaussian envelope $E(t) = 10E_a \exp(-4t^2/T^2)$, where $T = 200$ as. It is seen from Fig. 1 that, even for the laser pulse with the parameters which are typical for existing sub-PW laser systems, the vast majority of the atoms are ionized when $E > E_{\text{cr}}$. This supports our previous estimate that the tunnel approximation is invalid for sub-ps pulses.

Accurate analytical models of the barrier-suppression regime are absent, since most of the perturbation methods do not work in this regime. Several formulas for the barrier-suppression ionization rate have been proposed. The estimate for the barrier-suppression ionization rate based on the classical approach has been derived in Ref. [18]. In the limit of infinitely strong external electric field, the rate goes to a constant which does not depend on the strength of the external field. This result is in contradiction with the numerical simulations that predict an increase in the ionization rate when increasing the field strength [19–21]. The barrier-suppression ionization rate has also been derived in the framework of the Keldysh-Faisal-Reiss theory [22]. However, this model predicts an unphysical decrease in the ionization rate when increasing the field strength in the strong-field limit. Another option for estimating the ionization rate in extremely strong electric field is the empirical approach based on the results of numerical integration of the time-dependent

Schrödinger equation (TDSE). In Ref. [20], a quadratic rate dependence on the field strength is proposed for $E > E' \sim E_{\text{cr}}$ and the tunnel ionization formula is used for $E < E'$. The proposed model demonstrates good agreement with the results of numerical simulations for $E \sim E_{\text{cr}}$, but the discrepancy between the model prediction and the numerical results becomes significant in the limit $E \gg E_{\text{cr}}$. There are also difficulties with extending the model beyond hydrogenlike atoms [9]. Another empirical formula providing continuous transition between the tunnel and the barrier-suppression regimes is presented in Ref. [19]. The model is restricted by the description of ionization of some external shell electrons and of only several chemical elements. In the limit $E \gg E_{\text{cr}}$, it predicts unphysical suppression of the ionization rate.

Numerical simulations are a very powerful and, in some cases, the only tool for the exploration of ultrahigh-intensity laser-matter interaction. Therefore there is strong demand for a simple formula for the field ionization rate which can be incorporated in particle-in-cell (PIC) codes and can describe a wide range of electromagnetic field strengths. One of the simplest numerical models of the strong-field ionization is based on the tunnel model for $E < E_{\text{cr}}$, while the electron is assumed unbound if $E \geq E_{\text{cr}}$. This model significantly overestimates field ionization for $E > E_{\text{cr}}$. A more accurate formula for the rate assuming linear field dependence for $E > E_{\text{cr}}$ has been proposed in Ref. [9]. We will discuss it in more detail below. Numerical models can also include the energy losses associated with ionization [23,24] and can

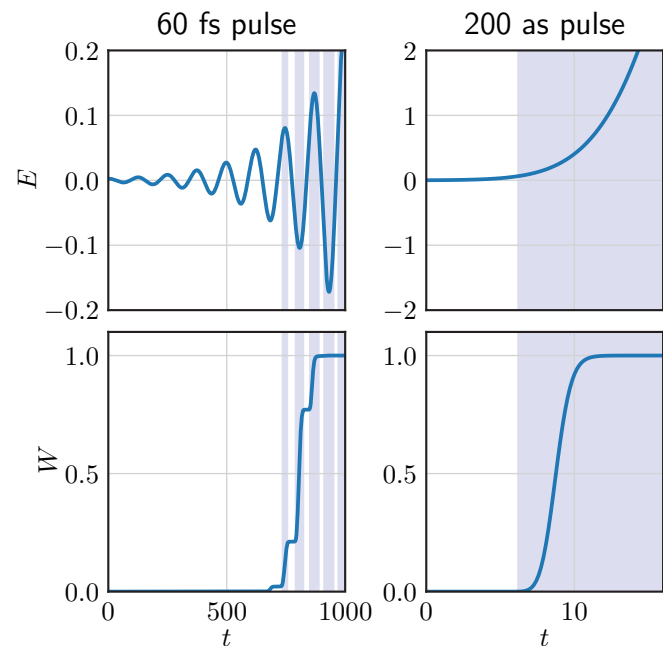


FIG. 1. Time dependencies of the electric field $E(t)$ and the tunnel ionization probability $W_i(t)$ for hydrogen for a 60-fs Gaussian laser pulse with a wavelength of $0.9 \mu\text{m}$ and the value of $a_0 = eE/(mc\omega_L) = 10$, and a 200-asec Gaussian video pulse with a maximum field of $10E_a$. The areas where $E > E_{\text{cr}}$ and the tunnel formula is not applicable are shaded. All values are normalized to the atomic units.

simulate multiple ionization within the time step of the PIC code [9,24–26].

The paper is organized as follows. In Sec. II, the ionization rate formulas in the BSI regime are derived both in the classical and quantum approaches. The TDSE is analytically integrated in the *free electron approximation* when the atomic potential is neglected, and in the *motionless approximation* when the Hamiltonian contains only the external field term. In Sec. III, the ionization rate is calculated for several model potentials: the one-dimensional (1D) δ potential, the 1D soft-core potential, and the three-dimensional (3D) Coulomb potential. The obtained results are verified by numerical integration of TDSE in Sec. IV. Various formulas for the BSI rate and the validity conditions of the approximations are discussed in Sec. V.

II. CALCULATION OF IONIZATION RATE

In order to estimate the ionization in extremely strong external electric field, we first use the classical approach [9]. We assume that (i) the external field is much stronger than the atomic field at the position of the atomic electron with the ionization potential I_i ; (ii) the external field turns on instantaneously: $E = 0$ for $t < 0$ and $E = \text{const}$ for $t \geq 0$; (iii) the electron is ionized at the time instance t_i when it reaches the continuum $\varepsilon_{\text{free}} = mc^2$. For $t \geq 0$, the atomic potential can be neglected, and the electron will be accelerated in the external field. The initial condition is $\varepsilon_0 = mc^2 - I_i$ at $t = 0$. If the atomic forces are neglected, the electron's momentum grows linearly so that $\varepsilon(t) = \sqrt{m^2c^4 + (ecEt)^2} - I_i$. The ionization rate can be estimated as the inverse time t_i needed for the electron to reach the continuum $\varepsilon(t_i) = mc^2$:

$$\begin{aligned} w \approx t_i^{-1} &= \frac{eE}{\sqrt{2mI_i\left(1 + \frac{I_i}{2mc^2}\right)}} \approx \frac{eE}{\sqrt{2mI_i}} \\ &= \omega_a \left(\frac{E}{E_a}\right) \sqrt{\frac{I_H}{I_a}}, \end{aligned} \quad (4)$$

where $(I_i/mc^2) \ll 1$ is assumed. It follows from this estimate that electrons first become ionized before becoming relativistic. The relativistic corrections are important only for the inner electrons of high- Z atoms, with very high ionization potentials when the ratio I_i/mc^2 cannot be considered small.

In order to study the BSI regime in the quantum approach, we consider a single-particle nonrelativistic quantum system of an electron in the atomic potential and external uniform varying electric field $\mathbf{E}(t)$. We will not consider ionization of electrons with very large $I_i \sim mc^2$ in order to limit ourselves to the nonrelativistic approximation. From here and below, we will use atomic units. The system is described by a wave function $\psi(t, \mathbf{r})$ and a Hamiltonian [27]

$$\hat{H} = \hat{H}_0 + \mathbf{E}(t)\mathbf{r} = -\frac{\nabla^2}{2} + V(\mathbf{r}) + \mathbf{E}(t)\mathbf{r}, \quad (5)$$

where $V(\mathbf{r})$ is the potential created by the atom. The influence of the external magnetic field is neglected. The evolution of

the wave function satisfies the TDSE

$$i \frac{\partial \psi(t, \mathbf{r})}{\partial t} = \hat{H} \psi(t, \mathbf{r}). \quad (6)$$

The static Hamiltonian \hat{H}_0 in the absence of the electric field has the bound states $\psi_n(\mathbf{r})$ with energies ε_n . In this case, assuming that all wave functions are normalized, the probability of the electron to be ionized, i.e., to be found in the continuum above all the discrete states, is

$$W_i(t) = 1 - \sum_n |\langle \psi_n | \psi(t) \rangle|^2. \quad (7)$$

In general, solving the TDSE analytically is not possible.

However, we consider the case of extremely strong electric fields when the tunnel ionization approximation is invalid. In this case, we can use the free electron approximation implying that the potential $V(\mathbf{r})$ is neglected, which is similar to the wave-packet spreading model used to study the evolution of the wave function of an unbound electron in the laser field [28,29]. Hence, the TDSE in the momentum representation is

$$\frac{\partial \tilde{\psi}}{\partial t} - \mathbf{E}(t) \frac{\partial \tilde{\psi}}{\partial \mathbf{p}} = -i \frac{\mathbf{p}^2}{2} \tilde{\psi}, \quad (8)$$

where

$$\tilde{\psi}(t, \mathbf{p}) = \frac{1}{(2\pi)^{3/2}} \iiint \psi(t, \mathbf{r}) \exp(-i\mathbf{p} \cdot \mathbf{r}) d^3\mathbf{r} \quad (9)$$

is the wave function in the momentum representation. Using the method of characteristics, it is possible to obtain the solution

$$\begin{aligned} \tilde{\psi}(t, \mathbf{p}) &= \tilde{\psi}[0, \mathbf{p} - \mathbf{A}(t)] \\ &\times \exp\left(-i \int_0^t \frac{[\mathbf{p} - \mathbf{A}(t) + \mathbf{A}(t')]^2}{2} dt'\right), \end{aligned} \quad (10)$$

where $\mathbf{A}(t) = -\int_0^t \mathbf{E}(t') dt'$.

In order to determine the probability of the electron to be ionized, Eq. (7) can be used. We assume that initially, at $t = 0$, the electron is located in the ground state of \hat{H}_0 , $\tilde{\psi}(0, \mathbf{p}) = \tilde{\psi}_0(\mathbf{p})$. For simplicity, we introduce the quantities

$$\alpha_n(t) = \langle \psi_n | \psi(t) \rangle, \quad C_n(t) = |\alpha_n(t)|^2, \quad (11)$$

$$C(t) = \sum_n C_n(t). \quad (12)$$

Here, $C_n(t)$ is the probability of the electron to be found in the n th state, and $C(t)$ is the probability of the electron to not be ionized. At $t = 0$, $C_0 = 1$, and all other $C_n = 0$. Therefore it is obvious that $C_0(t)$ has the biggest overall contribution to $C(t)$. The corresponding α_0 is calculated as

$$\begin{aligned} \alpha_0(t) &= \iiint d^3\mathbf{p} \tilde{\psi}_0^*(\mathbf{p}) \tilde{\psi}_0(\mathbf{p} - \mathbf{A}) \\ &\times \exp\left(-i \int_0^t \frac{[\mathbf{p} - \mathbf{A}(t) + \mathbf{A}(t')]^2}{2} dt'\right). \end{aligned} \quad (13)$$

If the field is strong enough and \mathbf{A} rapidly grows, then the *motionless approximation* can be used in which the exponent in this integral can be neglected (see Sec. V for the details).

This means that the same exponent is neglected in (10),

$$\tilde{\psi}(t, \mathbf{p}) = \tilde{\psi}[0, \mathbf{p} - \mathbf{A}(t)], \quad (14)$$

which corresponds to the evolution of a wave function described by the Hamiltonian $\hat{H} = \mathbf{E}\mathbf{r}$. In other words, in the total Hamiltonian (5) not only the atomic potential but also the kinetic energy term $\hat{\mathbf{p}}^2/2 = -\nabla^2/2$ is neglected. In a bound state, the potential $V(\mathbf{r})$ and the kinetic energy term $-\nabla^2/2$ are in balance, so that the squared modulus of the wave function remains constant in time, and are typically of the same order. So the condition for neglecting $V(\mathbf{r})$ should be the same as for neglecting $-\nabla^2/2$. As will be demonstrated by numeric simulations in Sec. IV, the motionless approximation is even more accurate than the free electron approximation in the limit of strong external field.

In the coordinate representation, the evolution of the wave function is simply phase rotation:

$$\psi(t, \mathbf{r}) = \psi(0, \mathbf{r}) \exp[i\mathbf{A}(t)\mathbf{r}]. \quad (15)$$

This explains our choice of calling this approximation *motionless*, as the electron probability density (equal to $|\psi|^2$) in the coordinate space does not change due to the kinetic energy being neglected.

In the motionless approximation, the probability $C_n(t)$ to find the electron in the n th state is

$$C_n(t) = \left| \iiint d^3\mathbf{p} \tilde{\psi}_n^*(\mathbf{p}) \tilde{\psi}_0(\mathbf{p} - \mathbf{A}) \right|^2 \quad (16)$$

and is determined only by $\mathbf{A}(t)$. If we are able to calculate $C(t) = \sum_n C_n(t)$, the instantaneous ionization rate is given by

$$w_{\text{inst}}(t) = -\frac{C'(t)}{C(t)}. \quad (17)$$

The ionization rate $w_{\text{inst}}(t)$ inherently depends on $\mathbf{A}(t) = -\int_0^t \mathbf{E}(t') dt'$ and thus on the time evolution of the electric field rather than the instantaneous strength of the field. Knowing the initial state of the electron is required as well. For use in numerical simulations, such a model might be too complex; also, it cannot describe the transition between the different regimes of ionization.

A simpler model relies on the use of the field ionization rate $w(E)$. In this case, the instantaneous ionization rate $w_{\text{inst}}(t) = w[E(t)]$ depends on the instantaneous strength of the electric field $E(t)$ at the same time moment. Using $w(E)$ instead of solving the TDSE may be very useful for practical applications, e. g., for taking ionization into account in particle-in-cell codes. In order to do so, the validity of this model needs to be verified first and an appropriate function $w(E)$ has to be found.

For simplicity, we consider the constant field. In the motionless approximation, according to Eq. (16), the probability $C(t)$ of the electron to be bound depends on $A = Et$, where $E = |\mathbf{E}|$, $A = |\mathbf{A}|$. Therefore we can introduce a new function $\tilde{C}(A)$ so that $C(t) \equiv \tilde{C}(Et)$, and the exact probability W_{exact} of the electron to be ionized is

$$W_{\text{exact}}(t) = 1 - \tilde{C}(Et). \quad (18)$$

The function $\tilde{C}(A)$ is determined solely by the properties of the quantum system and its initial state (and possibly by the

direction of the field if the potential is not symmetric). Meanwhile, if we use the $w(E)$ model, the ionization probability is exponential in time:

$$W_i(t) = 1 - \exp[-w(E)t]. \quad (19)$$

Equations (18) and (19) can give the same answer only if $\tilde{C}(Et)$ is exponential in time, which is not the case as will be shown later. Therefore our goal is to find such a dependence $w(E)$ that it describes the ionization process in the best possible way.

In order to find this function, the difference $\Delta W(t) = W_{\text{exact}}(t) - W_i(t)$ should be minimized according to some criterion. Naturally, the choice of such criterion is ambiguous. Here we propose the following procedure of minimization. According to Eq. (19), there is a typical ionization time $t_i = w^{-1}$. To find $w(E)$, we demand that $W_{\text{exact}}(t)$ reach the same value of $1 - \exp(-1)$ at $t = t_i$. This criterion leads to

$$\tilde{C} \left[\frac{E}{w(E)} \right] = \exp(-1), \quad w(E) = \frac{E}{\tilde{C}^{-1}[\exp(-1)]}. \quad (20)$$

Here, \tilde{C}^{-1} is the inverse function to \tilde{C} , and the denominator is a constant value independent of E . Similarly to the classical approach, we come to the conclusion that the ionization rate is linear in the electric field strength E . Other criteria for minimizing ΔW and determining $w(E)$ are discussed in Sec. V. It turns out that all of them lead to a linear dependence in E and differ only in the coefficients of the said dependence.

III. MODELS FOR ATOMIC POTENTIAL

A. 1D δ potential

First, the atomic potential is modeled by the 1D δ potential. The Hamiltonian of an electron in this potential in the presence of external electric field $\mathbf{E}(t) = -E(t)\mathbf{x}_0$ is

$$\hat{H} = \hat{H}_0 - Ex = -\frac{1}{2} \frac{\partial^2}{\partial x^2} - \kappa \delta(x) - E(t)x. \quad (21)$$

If κ is positive, \hat{H}_0 has only one bound state with energy of

$$\epsilon_0 = -I_0 = -\frac{\kappa^2}{2}. \quad (22)$$

If $\kappa = 1$, the energy level $-1/2$ is equal to the energy level of the ground state in a hydrogen atom. However, unlike the Coulomb potential, there is no critical value of the electric field which completely suppresses the barrier. The wave function of the bound state is

$$\psi_0(x) = \sqrt{\kappa} \exp(-\kappa|x|), \quad (23)$$

$$\tilde{\psi}_0(p) = \sqrt{\frac{2\kappa^3}{\pi}} \frac{1}{\kappa^2 + p^2}, \quad (24)$$

in the coordinate and momentum representations, respectively.

In the motionless approximation (14), the evolution of the wave function is described by $\tilde{\psi}(t, p) = \tilde{\psi}_0[p - A(t)]$, where $A(t) = \int_0^t E(t') dt'$. As there is only one bound state, the probability $C(t)$ of an electron initially in the bound state to

not be ionized is calculated as

$$C(t) = C_0(t) = \left| \int_{-\infty}^{\infty} \tilde{\psi}_0^*(p) \tilde{\psi}_0[p - A(t)] dp \right|^2 = \left[\left(\frac{A(t)}{2\kappa} \right)^2 + 1 \right]^{-2}. \quad (25)$$

The estimate for the ionization rate according to Eq. (20) is

$$w(E) = \frac{E}{2\kappa \sqrt{\sqrt{\exp(1)} - 1}} \approx 0.44 \frac{E}{\sqrt{I_0}}. \quad (26)$$

Or, in the physical units,

$$w(E) = 0.62\omega_a \frac{E}{E_a} \sqrt{\frac{I_H}{I_i}}. \quad (27)$$

This formula is almost the same as the classical ionization rate (4), which shows that the motionless approximation is conceptually similar to the classical approach in which the electron is accelerated in constant field from the energy of $-I_i$ to 0.

B. 1D soft-core potential

It is known that the 3D Coulomb potential can be approximated by the so-called soft-core potential [30]

$$V(x) = \frac{Z}{\sqrt{2Z^{-2} + x^2}}, \quad (28)$$

where Z is the ion charge number. The properties of the potential $V(x)$ are very similar to the 3D Coulomb potential: it is long range, it has an infinite number of bound states, and its ground-state energy is equal to $-Z^2/2$. By the scaling transform, the corresponding Hamiltonian can be reduced to a Hamiltonian with $Z = 1$. Similarly to the Coulomb potential, it has the critical field

$$E_{\text{cr}} \approx 0.067Z^3, \quad (29)$$

above which an electron with the energy of the ground state can pass over the barrier instead of tunneling. Unlike the 1D δ potential, the probability of ionization is determined by an infinite sum $C(t) = \sum_n C_n(t)$ as there are multiple eigenstates. However, the contribution of the eigenstates with a higher number n quickly drops, so taking several lowest-energy functions into account is sufficient.

The eigenfunctions in this potential have been calculated numerically; the first four eigenfunctions are shown in Fig. 2. Using numerical simulations (see Sec. IV), the ionization rate according to Eq. (20) in the soft-core potential can be estimated as

$$w(E) \approx 0.87 \frac{E}{Z}. \quad (30)$$

In the physical units, it corresponds to

$$w(E) \approx 0.87\omega_a \frac{E}{E_a} \sqrt{\frac{I_H}{I_i}}. \quad (31)$$

Again, as with the δ potential, this ionization rate is similar to the classical ionization rate.

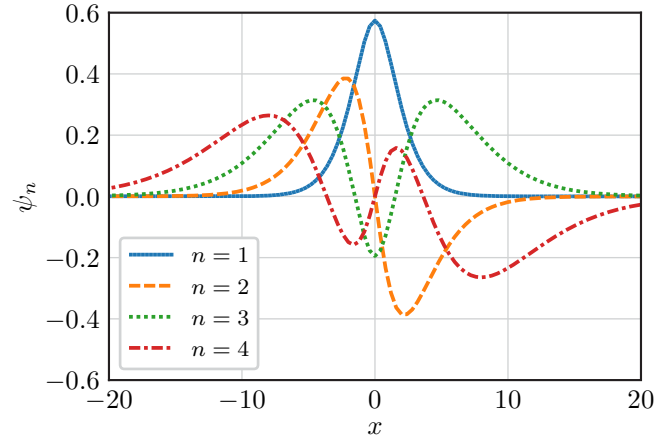


FIG. 2. Wave functions in the soft-core potential with $Z = 1$. The phase of the wave functions is chosen to make the imaginary part equal to zero.

C. Coulomb potential

Some analytical formulas can also be derived for the 3D Coulomb potential

$$V(\mathbf{r}) = -\frac{Z}{r}, \quad (32)$$

which corresponds to a point-charge ion with the charge Z . By the scaling transform, the problem can always be reduced to $Z = 1$, so only $Z = 1$ is considered from now on. The bound states in the Coulomb potential are characterized by three quantum numbers n, l, m , where $n > 0$, $0 \leq l < n$, $-l \leq m \leq l$. The energy of a bound state depends only on n :

$$\epsilon_n = -\frac{1}{2n^2}. \quad (33)$$

The eigenfunctions in the spherical coordinates are [27]

$$\psi_{n,l,m}(\mathbf{r}) = R_{n,l}(r) Y_{l,m}(\theta, \varphi), \quad (34)$$

where $Y_{l,m}$ are the spherical harmonics, and $R_{n,l}$ are defined as

$$R_{n,l} = \frac{2}{n^2} \sqrt{\frac{(n-l-1)!}{(n+l)!}} e^{-\frac{r}{n}} \left(\frac{2r}{n} \right)^l L_{n-l-1}^{(2l+1)} \left(\frac{2r}{n} \right), \quad (35)$$

where $L_n^{(\alpha)}$ are the generalized Laguerre polynomials [15].

It is assumed that a uniform electric field is applied to this system, and initially the system is in the ground $|\psi_{1,0,0}\rangle$ state. Under the motionless approximation, the evolution of the wave function can be described by Eq. (15). If we assume that the direction of the electric field always corresponds to the z axis, the evolution of the function in the spherical coordinates is

$$\psi(t, \mathbf{r}) = \psi_{1,0,0}(\mathbf{r}) \exp[iA(t)r \cos \theta]. \quad (36)$$

The probability of the electron to be found in a bound state is thus

$$C(t) = \sum_{n,l,m} C_{n,l,m}(t) = \sum_{n,l,m} \left| \int_0^\infty dr \int_0^\pi d\theta \int_0^{2\pi} d\varphi \times r^2 \sin \theta \psi_{n,l,m}^*(\mathbf{r}) \psi_{1,0,0}(\mathbf{r}) \exp[iA(t)r \cos \theta] \right|^2. \quad (37)$$

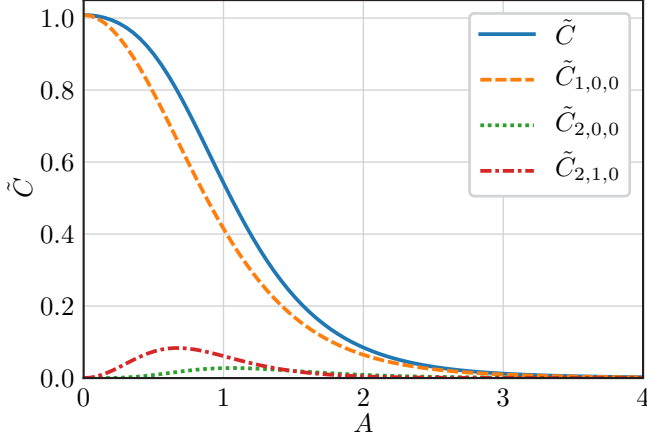


FIG. 3. Dependencies of $\tilde{C}_{1,0,0}$, $\tilde{C}_{2,0,0}$, $\tilde{C}_{2,1,0}$, and the total sum $\tilde{C} = \sum_{n,l} \tilde{C}_{n,l,0}$ on the integral field A according to model (36) for the Coulomb potential with $Z = 1$.

Due to the properties of the spherical harmonics, $C_{n,l,m} \equiv 0$ for $m \neq 0$. All other integrals can in principle be calculated analytically, as integrands are just polynomials multiplied by an exponent. For reference, we write down several lowest-order terms,

$$C_{1,0,0}(t) = \left[1 + \left(\frac{A(t)}{2} \right)^2 \right]^{-4}, \quad (38)$$

$$C_{2,0,0}(t) = \frac{8192}{6561} \left(\frac{2A(t)}{3} \right)^4 \left[1 + \left(\frac{2A(t)}{3} \right)^2 \right]^{-6}, \quad (39)$$

$$C_{2,1,0}(t) = \frac{8192}{6561} \left(\frac{2A(t)}{3} \right)^2 \left[1 + \left(\frac{2A(t)}{3} \right)^2 \right]^{-6}. \quad (40)$$

The probabilities are fully described by the functions $\tilde{C}_{n,l,m}(A)$ so that $C_{n,l,m}(t) = \tilde{C}_{n,l,m}(A(t))$. The first three of these functions as well as their total sum are shown in Fig. 3. It is seen that the term $\tilde{C}_{1,0,0}$ is the most dominant factor in \tilde{C} , while the influence of the higher-order states is low. The estimate for the ionization rate in the Coulomb potential given by Eq. (20) is

$$w(E) \approx 0.8 \frac{E}{Z}. \quad (41)$$

In the physical units, it corresponds to

$$w(E) \approx 0.8 \omega_a \frac{E}{E_a} \sqrt{\frac{I_H}{I_i}}. \quad (42)$$

Once again, like in the considered 1D model potentials, this ionization rate is similar to the classical rate derived in Sec. II. As the numerical constant in hydrogen equal to 0.8 is close to unity, the classical approach gives a rather accurate description of the ionization rate for extremely strong fields.

IV. NUMERICAL SIMULATIONS

In order to demonstrate the applicability of the used approximations, numerical integration of the 1D TDSE was performed for different values of static electric field $E(t) = E$

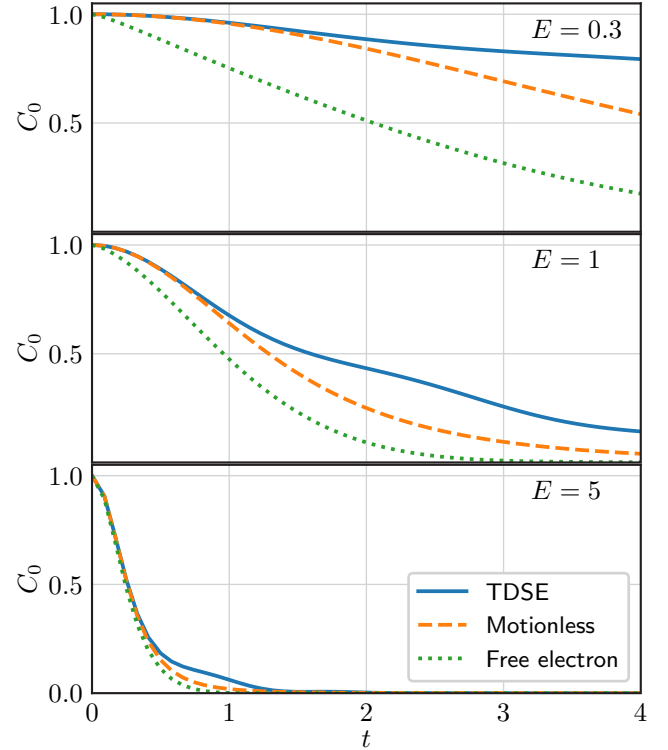


FIG. 4. Time dependencies of the probability C_0 of the electron to be found in the bound state in the δ potential with $\kappa = 1$ for different values of the electric field E in the numerical integration of the 1D TDSE, in the motionless approximation (25), and in the free electron approximation (10).

and the 1D δ potential with $\kappa = 1$. The Crank-Nicolson method was used for the integration [31]. The results of the simulations and their comparison both to the free electron approximation (10) and the motionless approximation (25) are shown in Fig. 4. For $\kappa = 1$, our approximations correctly describe the observed behavior for large fields $E > 1$. For small fields, our models significantly overestimate the ionization rate. As already mentioned in Sec. II, the Hamiltonian $\hat{H} = -E\hat{x}$ corresponding to the motionless approximation even better describes the behavior of the initial system than $\hat{H} = \hat{p}^2/2 - E\hat{x}$ corresponding to the free electron approximation. This can also be understood from the fact that, in the limit $t \rightarrow 0$, the value of $C_0(t)$ predicted by the motionless approximation is always the same as the exact value of $C_0(t)$ for the total Hamiltonian (see Appendix B). This behavior is observed in Fig. 4, where the curves for the numerical solution of the TDSE and for the analytical solution in the motionless approximation coincide for small times, even for small values of the electric field, when this approximation is not applicable, while the free electron approximation always results in a lower value of C_0 .

The numerical integration of the 1D TDSE was also performed for the soft-core potential with the use of the split-operator spectral solver [32,33]. Using the ground state as the initial value, the TDSE is integrated for $Z = 1$ and various values of stationary electric field. Figure 5 shows the numerically calculated probabilities $C_n(t)$ of the electron to be in the

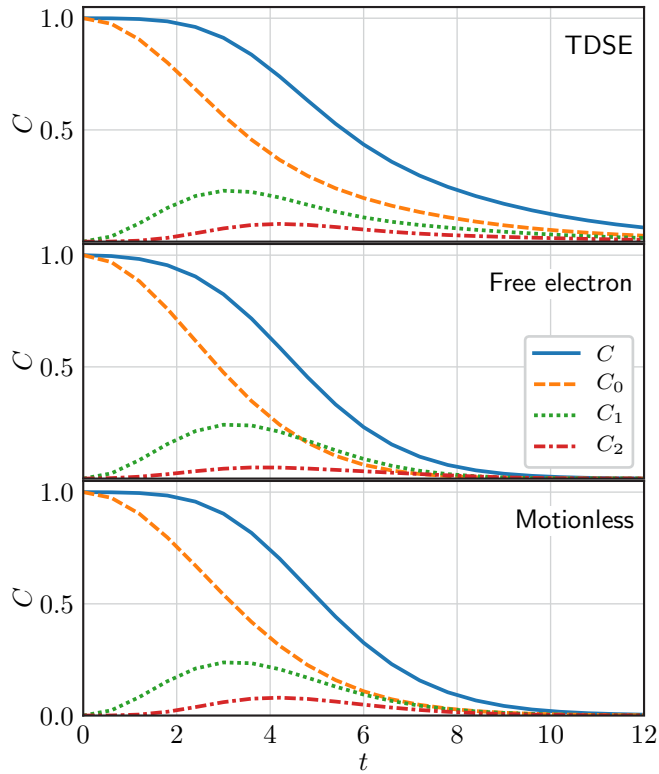


FIG. 5. Time dependencies of C_0 , C_1 , C_2 , and the total sum $C = \sum_n C_n$ in the numerical integration of the TDSE, the free electron approximation (10), and the motionless approximation (14) for the soft-core potential with $Z = 1$ and the external electric field strength of $E = 0.2$.

lowest three bound states as well as the total probability $C(t)$ of the electron to be bound for the values of the electric field of $E = 0.2 > E_{\text{cr}}$. The corresponding predictions of models (10) and (14) are also shown. Both the simulations and the models demonstrate similar behavior. The ground-state probability contributes the most to the overall probability of the electron to be in a bound state. The probabilities of the higher-order states reach their maxima during the process of the electron leaving the atom, but their contribution quickly decreases with the level number.

Figure 6 demonstrates the applicability of our models for different values of stationary electric field for $Z = 1$. For $E = 0.05 < E_{\text{cr}}$, ionization happens in the tunnel regime, and our approximations are obviously incorrect. When the external field significantly exceeds the critical field, better correspondence between the simulations and the model is observed. Again, the Hamiltonian $\hat{H} = -E\hat{x}$ corresponding to the motionless approximation is better suited for the description of the process than $\hat{H} = \hat{p}^2/2 - E\hat{x}$ corresponding to the free electron approximation.

To better illustrate the correspondence between the approximations and the exact solution according to the TDSE, we plot probability densities $|\psi(x)|^2$ and $|\psi(p)|^2$ of the wave function in the coordinate and the momentum spaces (see Fig. 7 and the videos in the Supplemental Material [34]) at different moments in time for two different values of the electric field: $E = 0.05 < E_{\text{cr}}$, when the ionization happens

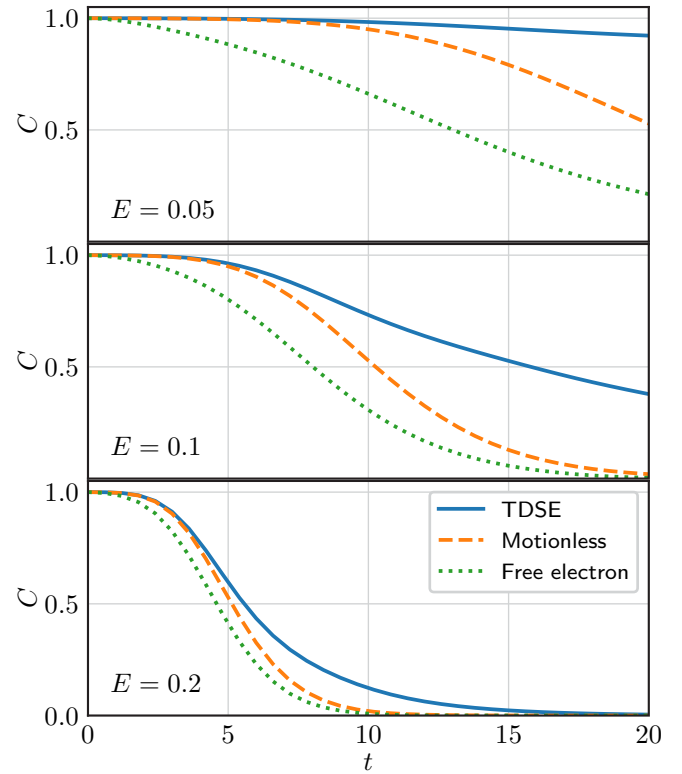


FIG. 6. Time dependencies of the probability $C(t)$ of the electron to be found in a bound state in the soft-core potential with $Z = 1$ for different values of the electric field E in numerical simulations of the 1D TDSE, in the motionless approximation (14), and in the free electron approximation (10).

in the tunnel regime, and $E = 0.2 > E_{\text{cr}}$, when the ionization probability is better described by our models, as shown in Fig. 6. For both field values, the chosen time moments correspond to the same values of Et . In the momentum space, the probability density is the same in both of our approximations, as the corresponding wave functions (10) and (14) differ only in their phases. Their evolution corresponds to the uniform motion of the probability density. The exact solution behaves similarly for the overcritical field of 0.2: the wave packet is accelerated by the electric field while being slightly diffused due to the atomic potential. As expected, for the tunnel regime in the subcritical field of 0.05, our approximations fail, as the probability density in the exact solution remains localized at around $p = 0$, and only a tiny fraction of the wave packet is accelerated to higher values of p . In the coordinate space, the two approximations are different. As the motionless approximation corresponds to the phase rotation in the coordinate space, the corresponding probability density does not evolve at all. Meanwhile, due to the lack of potential, the probability density in the free electron approximation dissipates much quicker than in the exact solution, which explains why the values of $C(t)$ in this approximation are always lower (see Fig. 6). However, despite the fact that the evolution of the wave function is still considerably different in the approximations compared to the exact solution according to the TDSE, the effects taken into

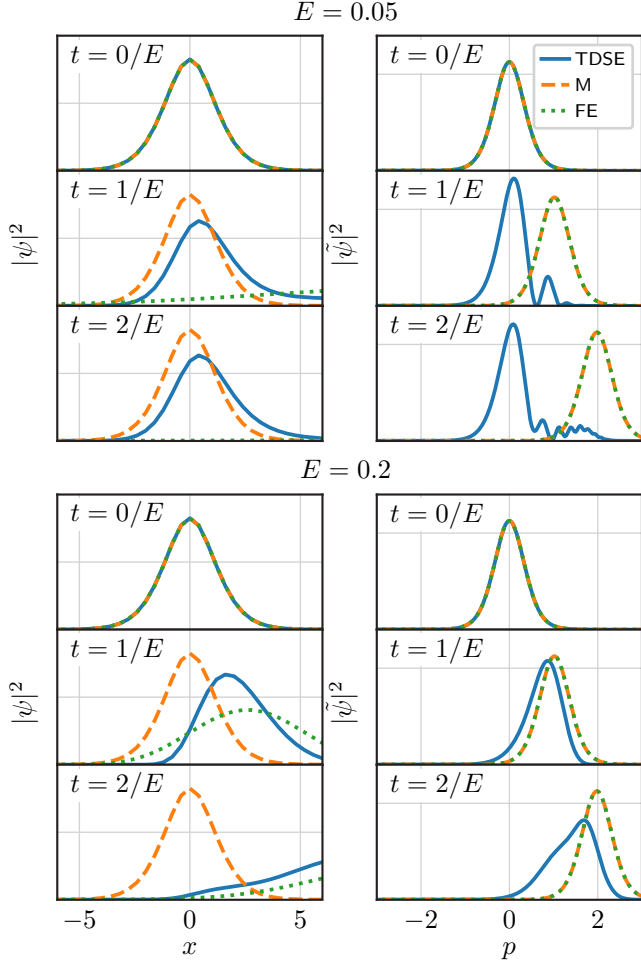


FIG. 7. Wave-function probability densities $|\psi|^2$ in the soft-core potential in the coordinate (left column) and the momentum (right column) spaces for different external fields E at different times t in the numerical integration of the TDSE, the motionless (M) approximation, and the free electron (FE) approximation.

account are sufficient to predict the probability of the electron to be ionized.

V. DISCUSSION AND CONCLUSIONS

a. Stark effect in the strong field. It is well known [11] that there is a relation between the Stark effect and the field ionization. The Stark width can be considered as the ionization rate in the limit of the stationary external field. Therefore, the theory of Stark effect can be used as a qualitative benchmark for strong-field ionization. For the 1D δ potential and stationary uniform electric field, the equation for the quasienergy ϵ can be derived from Eq. (21) [35],

$$\text{Ai}(\xi)[\text{Bi}(\xi) + i \text{Ai}(\xi)] = \frac{(2F)^{1/3}}{2\pi}, \quad (43)$$

where $\xi = -2\epsilon(2F\kappa^3)^{-2/3}$, $F = E\kappa^{-3}$. In the weak-field limit, the imaginary part of ϵ , or the Stark width, is reduced to

the Keldysh formula for the tunnel ionization rate:

$$\text{Im}[\epsilon(F \rightarrow 0)] \sim (2F)^{-2/3} \exp\left(-\frac{2}{3F}\right). \quad (44)$$

In the strong-field limit, the ionization rate is

$$\text{Im}[\epsilon(F \rightarrow \infty)] \sim 2^{-5/3} e^{-i\pi/3} \kappa^2 (F \ln F)^{2/3}. \quad (45)$$

It is interesting to note that the same expression for $\text{Im}[\epsilon(F \rightarrow \infty)]$ is derived for the Coulomb potential [36], and a similar expression is derived for the 3D δ potential $\text{Im}[\epsilon(F \rightarrow \infty)] \sim 0.44 e^{i\pi/3} \kappa^2 F^{2/3}$ [37]. Therefore, in the strong-field limit, the dependence of the Stark width on the external electric field is close to linear, similarly to our model. However, the Stark width and the Stark level are of the same order of magnitude in the strong-field limit and, strictly speaking, the Stark width cannot be treated as the ionization rate in this limit.

b. Conditions of applicability of the motionless approximation. In Sec. II, we derive the evolution of the wave function in the motionless approximation assuming that the exponent in Eq. (13) can be neglected, which is equivalent to neglecting the $\hat{\mathbf{p}}^2/2$ term in the Hamiltonian. Here, we discuss when this assumption is valid.

As the wave function $\tilde{\psi}_0$ has the typical width p_0 in the momentum space, the value of the integral in Eq. (13) remains significant only for $|\mathbf{p}| \lesssim p_0$ and only while $\psi_0^*(\mathbf{p})$ and $\psi_0(\mathbf{p} - \mathbf{A})$ overlap, so that $A = |\mathbf{A}| \lesssim p_0$. If the electric field is constant, $\mathbf{E} = E\mathbf{x}_0$, then the integral is significant for $t \lesssim p_0/E$. As both \mathbf{p} and \mathbf{A} are bound by p_0 in the exponent, the maximum value of the exponent may be estimated as $\sim p_0^2 t$. So, in order to neglect the exponent,

$$p_0^2 t \sim \frac{p_0^3}{E} \ll 1 \quad (46)$$

is required. If the field is strong enough, $E \gg p_0^3$, then the phase in the exponent is small and it does not change the value of the integral much. This condition of applicability can be generalized for time-dependent fields as well. For example, for a linear-in-time electric field, the condition is $E(t_0) \gg p_0^3$, where t_0 is the moment of time when $A(t_0)$ becomes comparable to p_0 .

The typical width of the ground-state wave function in the momentum space is defined as

$$p_0^2 = \langle \psi_0 | \hat{p}_x^2 | \psi_0 \rangle, \quad (47)$$

where x is the direction of the electric field. If the potential is spherically symmetric, which is usually the case, this direction does not matter. In this section, we discuss the meaning of this condition for the potentials we have previously considered.

For the 1D δ potential, $p_0 = \kappa$, where κ is the depth of the potential. In the physical units, taking into account that $I_i = \kappa^2/2$, the condition is

$$E \gg E_a \left(\frac{I_i}{I_H} \right)^{3/2}. \quad (48)$$

It is supported by the numerical simulations in Fig. 4, where $E = 1$ serves as the threshold value between the tunnel regime and the motionless approximation regime for $\kappa = 1$. As the critical field does not exist for this potential, no comparison to it can be made.

For the 1D soft-core potential, the value of p_0 is obtained numerically:

$$p_0 \approx 0.39Z. \quad (49)$$

Therefore, the condition in the physical units is

$$E \gg 0.057E_a Z^3 = 0.057E_a \left(\frac{I_i}{I_H} \right)^{3/2}. \quad (50)$$

Compared to the 1D δ potential, this condition is much less strict, which is explained by the fact that the barrier in the δ -potential case cannot be completely suppressed, no matter how strong the field is, while there is the critical field of $E_{\text{cr}} \approx 0.067E_a Z^3$ for the soft-core potential. The condition above essentially means

$$E \gg E_{\text{cr}}, \quad (51)$$

which is to be expected. This conclusion supports the findings of the numerical simulations in Fig. 6, which also show that E_{cr} serves as the threshold value between the tunnel and the motionless approximation regimes.

For the 3D Coulomb potential, the value of p_0 can be found analytically and is equal to $Z/\sqrt{3}$. Therefore, condition (46) in the physical units becomes

$$E \gg \frac{\sqrt{3}Z^3}{9}E_a = \frac{\sqrt{3}}{9}E_a \left(\frac{I_i}{I_H} \right)^{3/2}. \quad (52)$$

The critical field for the Coulomb potential is $E_{\text{cr}} = E_a Z^3/16$, so this condition can also be approximately written as

$$E \gg 3E_{\text{cr}}. \quad (53)$$

Overall, for all considered potentials, the scaling of the threshold value (above which the motionless approximation becomes applicable) with the ionization energy is always the same. So the general understanding is that the motionless approximation regime can be observed when the field significantly exceeds the critical field. For time-varying fields, the field must reach such high values before the ionization probability becomes large in order for this approximation to be valid.

c. Ionization rate in the motionless acceleration regime. In Sec. II, we introduce the ionization rate $w(E)$ as a function of the instantaneous value of the electric field. In order to find the expression for $w(E)$, we propose a criterion for minimizing the difference $\Delta W(t) = W_{\text{exact}}(t) - W_i(t)$, where W_{exact} and W_i are determined by Eqs. (18) and (19). Here, we discuss multiple other ways of determining $w(E)$ in detail.

In Sec. II, the proposed criterion is that both $W_{\text{exact}}(t)$ and $W_i(t)$ reach the value of $1 - \exp(-1)$ at the same moment of time, which leads to

$$w(E) = \frac{E}{\tilde{C}^{-1}(\exp(-1))}, \quad (54)$$

so that the ionization rate is linear in E . We consider three other ways of minimizing $\Delta W(t)$:

- (1) minimizing $\int_0^\infty \Delta W^2 dt$ (the least-squares method),
- (2) minimizing $\int_0^\infty |\Delta W| dt$ (the least absolute deviations, or LAD method), and
- (3) minimizing $\max_t |\Delta W|$ (the minimum difference method).

TABLE I. Values of the coefficient α in the dependence $w = \alpha E$ for different potentials and different minimization methods.

Method	1D δ	1D soft-core	3D Coulomb
1 – exp(–1) level	0.62	0.87	0.80
Least squares	0.63	0.90	0.83
LAD	0.72	1.21	1.04
Min. difference	0.53	0.69	0.66

In all cases, the ionization rate $w(E)$ turns out linear in E ,

$$w(E) = \alpha E, \quad (55)$$

which is to be expected, considering that Et is a similarity parameter in $W_{\text{exact}}(t)$. The coefficient α depends on the considered quantum system and the minimization method. The values of this coefficient for the 1D δ potential with $\kappa = 1$, the 1D soft-core potential with $Z = 1$, and the 3D Coulomb potential with $Z = 1$ are shown in Table I for all of the considered minimization methods. In all cases, the coefficients are not significantly different from the coefficient equal to unity obtained from the classical consideration. For numeric simulations, we propose using the coefficient 0.8 obtained for the 3D Coulomb potential and for the 1 – exp(–1) level method.

As we have calculated the dependence $w(E) = \alpha E$ assuming the constant field, it is also important to check whether our model is valid for time-varying fields $E(t)$. If we assume that the direction and the sign of the electric field always remain the same, corresponding to $E(t) > 0$, the exact ionization probability and the probability according to the $w(E)$ model are

$$W_{\text{exact}} = 1 - \tilde{C}[A(t)], \quad (56)$$

$$W_i = 1 - \exp[-\alpha A(t)]. \quad (57)$$

We see that both solutions depend on the integral field $A(t)$, which indicates that the typical ionization time will be similar even for varying electric fields.

d. Other models of ionization. Over the years, several other methods of correcting the tunnel ionization rate have been proposed. Here, we consider some of them for hydrogen. For our model, we use the $w = 0.8E$ formula with the numeric coefficient from Table I. Additional formulas include:

- (1) The classical formula by Posthumus *et al.* [18]
- (2) The empirical formula by Bauer *et al.* [20]
- (3) The empirical formula by Tong and Lin [19]
- (4) The empirical formula by Zhang *et al.* [21] with corrections [The minus sign in the exponent in Eq. (8) of that paper needs to be removed.]

These formulas, as well as the motionless approximation regime proposed in this paper, are shown in Fig. 8.

The classical rate by Posthumus *et al.* overestimates the ionization rate at $E \sim E_{\text{cr}}$ and becomes constant for $E \gg E_{\text{cr}}$, which makes it a poor estimate. The three empirical formulas are obtained from numeric integration of the TDSE. The formula by Bauer *et al.* introduces the empirical scaling $w \propto E^2$ in the area above E_{cr} . The formula by Tong *et al.* introduces the empirical correction to the tunnel rate for $E \sim E_{\text{cr}}$. For slightly higher fields, the predicted ionization rate quickly

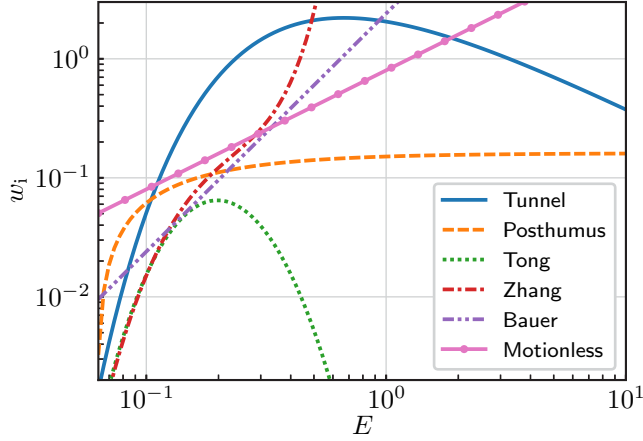


FIG. 8. Ionization rates for hydrogen according to different models: the tunnel model; the models by Posthumus *et al.* [18], Tong and Lin [19], Zhang *et al.* [21], and Bauer *et al.* [20]; and the motionless approximation model proposed in this paper. The values are normalized to the atomic units.

drops. The formula by Zhang *et al.* provides even better empirical approximation, supporting the transition from the model by Tong and Lin to the model by Bauer *et al.* However, the drawback of all three models is that they are empirical; they are based on the results of numerical simulations and are applicable only to several chemical elements and several electron shells studied in the corresponding papers. Unlike that, our formula follows both from classical and quantum analytic considerations and is therefore more general. It can also be easily applied to different ions if the ground-state wave function is numerically calculated.

One of the prominent numeric methods of analyzing laser-matter interaction is the particle-in-cell method. Among many other effects, it is possible to take ionization into account in PIC codes. However, with the amount of different formulas applicable in different ranges, it is important to introduce a formula for the ionization rate which covers most applications. For example, in the EPOCH PIC code [38], the formula by Posthumus is used, which—in our opinion—is poorly suitable for the simulations in the BSI regime. In the SMILEI PIC code [39,40], only the tunnel ionization rate is available by default; however, user-defined formulas for the ionization rate may be used instead. One of the problems arising when making such a choice is that some of the formulas considered above are obtained by fitting the numerical data, which makes it difficult to generalize them for different ions. A simple approach is to introduce a piecewise formula

$$w(E) = \begin{cases} w_{\text{TI}}(E), & E < E_1, \\ 0.8\omega_a \frac{E}{E_a} \sqrt{\frac{I_H}{I_i}}, & E > E_1, \end{cases} \quad (58)$$

where $w_{\text{TI}}(E)$ is the probability of the tunnel ionization of the specific ion, and E_1 is chosen so that $w(E)$ is continuous at E_1 . Usually, the linear function crosses the tunnel ionization rate at two points (see Fig. 8), so two possible values of E_1 satisfy the continuity condition; the lowest such value has to be chosen. Except for the numeric coefficient of 0.8, this ionization rate is the same as proposed in Ref. [9].

The numeric coefficient was obtained for the 3D Coulomb potential, so in principle it is also valid only for hydrogen and hydrogenlike ions. However, even for 1D model potentials, the numeric coefficients are of the same order of magnitude and are reasonably close to unity (see Table I), so we do not expect a major difference for different ions.

To sum up, we have considered field ionization in the limit of extremely strong fields. Using the classical approach, we have shown that the expected ionization rate is linear with respect to the external field E . To investigate the problem using the quantum approach, we have also considered the single-particle TDSE. In the strong-field limit, two approximations may be used. One of them is the free electron approximation in which we assume that the atomic potential might be neglected. The other one is the motionless approximation in which we leave only the term corresponding to the external electric field. However, the motionless approximation is more accurate in the BSI regime than the free electron approximation. In the motionless approximation, the ionization rate can be estimated analytically and is always linear in E . For all of the considered model potentials—the 1D δ potential, the 1D soft-core potential, and the 3D Coulomb potential—the estimated ionization rate is of the same order as predicted by the classical approach. Numeric integration of the TDSE shows that the motionless approximation is valid when the field strength significantly exceeds the critical value for barrier suppression. We have also proposed a piecewise formula for the ionization rate, both in the tunnel and the BSI regime, which can be used, for example, in particle-in-cell codes.

The code used for 1D TDSE integration is available on GitHub [41]. The Jupyter Notebook producing all figures in the paper is available in the Supplemental Material [34].

ACKNOWLEDGMENTS

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APPENDIX A: APPLICABILITY OF THE TUNNEL MODEL

In order for the tunnel ionization rate $w_{\text{TI}}(E)$ to be valid, most atoms and ions should be ionized before the value of the electric field reaches the critical value E_{cr} for the respective orbitals. In this Appendix, we quantitatively evaluate this condition.

For hydrogen, the critical field is $E_{\text{cr}} = 1/16$, while the ionization rate in the atomic units is

$$w_{\text{TI}}(E) = \frac{4}{E} \exp\left(-\frac{2}{3E}\right). \quad (A1)$$

To find the condition of applicability, we assume that the tunnel ionization rate is not applicable if the total probability of ionization (3) is less than 90% when the field reaches the critical value. This condition corresponds to

$$\int_{-\infty}^{t_{\text{cr}}} w_{\text{TI}}[E(t)]dt < \ln 10, \quad (A2)$$

where $E(t_{\text{cr}}) = E_{\text{cr}}$.

A very rough estimate may be obtained for arbitrary pulses of full length T . As $w_{\text{TI}}(E) < w_{\text{TI}}(E_{\text{cr}})$ for $E < E_{\text{cr}}$, then if

$$w_{\text{TI}}(E_{\text{cr}})T < \ln 10, \quad (\text{A3})$$

the tunnel formula is guaranteed to be invalid, provided the maximum field strength exceeds the critical value. In physical units, it corresponds to

$$T < 37 \text{ fs}. \quad (\text{A4})$$

Therefore if the pulse is shorter, the tunnel ionization rate can no longer be used.

In a more rigorous approach, a Gaussian video pulse (without the carrier frequency) with $E(t) = E_0 \exp(-4t^2/T^2)$ is considered. The value of E_0 is assumed to be larger than E_{cr} . In this case, the critical field is reached at

$$t_{\text{cr}} = -\frac{T}{2} \sqrt{\ln\left(\frac{E_0}{E_{\text{cr}}}\right)}, \quad (\text{A5})$$

and condition (A2) corresponds to

$$T \int_{E_{\text{cr}}^{-1}}^{\infty} \frac{1}{\sqrt{\ln(E_0 v)}} \exp\left(-\frac{2}{3}v\right) dv < \ln 10. \quad (\text{A6})$$

As a logarithm is a slow function, if E_0 is not too close to E_{cr} , the approximate value of the left-hand side is

$$\frac{3T}{2} \left[\ln\left(\frac{E_0}{E_{\text{cr}}}\right) \right]^{-1/2} \exp\left(-\frac{2}{3E_{\text{cr}}}\right). \quad (\text{A7})$$

In a wide range of values of E_0 , the value of the square root of the logarithm can be evaluated as ~ 1 . Therefore the tunnel approximation is invalid if

$$T < \frac{2 \ln 10}{3} \exp\left(\frac{2}{3E_{\text{cr}}}\right), \quad (\text{A8})$$

or, in the physical units,

$$T < 1.6 \text{ ps}. \quad (\text{A9})$$

For pulses with the carrier frequency ω_L and the envelope $E(t)$, the same estimate might be used because

$$w_{\text{TI}}[E(t) \cos(\omega t)] \leq w_{\text{TI}}[E(t)]. \quad (\text{A10})$$

Therefore for attosecond and femtosecond pulses with good contrast ratios, the tunnel ionization rate is not valid for hydrogen and the use of a corrected formula is required.

For hydrogenlike ions with charge number Z , the critical field grows as Z^3 , and the condition for the pulse duration time becomes

$$T < \frac{1.6 \times 10^{-12}}{Z^2} \text{ s}. \quad (\text{A11})$$

Therefore for sufficiently short pulses, the tunnel formula is invalid not only for hydrogen but for more massive ions as well.

APPENDIX B: PROBABILITY OF IONIZATION AT SMALL TIMES

In this Appendix, we explain why the motionless approximation is more accurate than the free electron approximation

at small times (see Figs. 4 and 6). We consider three Hamiltonians:

$$\hat{H}_{\text{exact}} = \frac{\hat{\mathbf{p}}^2}{2} + \hat{V} + \mathbf{E}\hat{\mathbf{r}}, \quad (\text{B1})$$

$$\hat{H}_{\text{FE}} = \frac{\hat{\mathbf{p}}^2}{2} + \mathbf{E}\hat{\mathbf{r}}, \quad (\text{B2})$$

$$\hat{H}_{\text{M}} = \mathbf{E}\hat{\mathbf{r}}. \quad (\text{B3})$$

The \hat{H}_{exact} Hamiltonian is the initial exact Hamiltonian used in numeric simulations, \hat{H}_{FE} corresponds to the free electron approximation, and \hat{H}_{M} corresponds to the motionless approximation. The electric field \mathbf{E} is assumed to be time independent. For each Hamiltonian we calculate

$$C_0^{(i)}(t) = |\langle \psi_0 | \psi^{(i)}(t) \rangle|^2 \quad (\text{B4})$$

for $t \rightarrow 0$. Here, $|\psi^{(i)}(t)\rangle$ is the solution for \hat{H}_i with the initial condition $|\psi^{(i)}(0)\rangle = |\psi_0\rangle$, $i \in \{\text{exact, FE, M}\}$, and $|\psi_0\rangle$ is the eigenfunction of \hat{H}_0 ,

$$\hat{H}_0 |\psi_0\rangle = H_0 |\psi_0\rangle, \quad \hat{H}_0 = \frac{\hat{\mathbf{p}}^2}{2} + \hat{V}. \quad (\text{B5})$$

The evolution of the wave functions is described by

$$|\psi^{(i)}(t)\rangle \approx \left(1 - i\hat{H}_i t - \frac{\hat{H}_i^2 t^2}{2} \right) |\psi_0\rangle \quad (\text{B6})$$

for $t \rightarrow 0$. Hence, $C_0^{(i)}(t)$ in the lowest order in t is

$$C_0^{(i)} \approx 1 - t^2 (\langle \hat{H}_i^2 \rangle - \langle \hat{H}_i \rangle^2), \quad (\text{B7})$$

where $\langle \hat{A} \rangle \equiv \langle \psi_0 | \hat{A} | \psi_0 \rangle$.

For \hat{H}_{exact} , we have

$$\langle \hat{H}_{\text{exact}}^2 \rangle - \langle \hat{H}_{\text{exact}} \rangle^2 = \langle (\mathbf{E}\hat{\mathbf{r}})^2 \rangle - \langle \mathbf{E}\hat{\mathbf{r}} \rangle^2. \quad (\text{B8})$$

For \hat{H}_{FE} , we similarly have

$$\langle \hat{H}_{\text{FE}}^2 \rangle - \langle \hat{H}_{\text{FE}} \rangle^2 = \langle (\mathbf{E}\hat{\mathbf{r}} - \hat{V})^2 \rangle - \langle \mathbf{E}\hat{\mathbf{r}} - \hat{V} \rangle^2. \quad (\text{B9})$$

And for \hat{H}_{M} , we have

$$\langle \hat{H}_{\text{M}}^2 \rangle - \langle \hat{H}_{\text{M}} \rangle^2 = \langle (\mathbf{E}\hat{\mathbf{r}})^2 \rangle - \langle \mathbf{E}\hat{\mathbf{r}} \rangle^2. \quad (\text{B10})$$

For \hat{H}_{exact} and \hat{H}_{M} the answers are identical. As $C_0(t)$ is the main contributing factor to $C(t)$, this explains why the curves for the numeric solution and the motionless approximation overlap in Figs. 4 and 6 for small times, even when this approximation is not applicable, while the free electron solution is always significantly different. It may also indicate why the motionless approximation is in general more suitable than the free electron approximation for the description of the system.

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