9

Generation of circularly polarized XUV and soft-x-ray high-order harmonics by homonuclear and heteronuclear diatomic molecules subject to bichromatic counter-rotating circularly polarized intense laser fields

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(Received 13 October 2017; published 5 December 2017)

Recently, studies of bright circularly polarized high-harmonic beams from atoms in the soft-x-ray region as a source for x-ray magnetic circular dichroism measurement in a tabletop-scale setup have received considerable attention. In this paper, we address the problem with molecular targets and perform a detailed quantum study of H_2^+ , CO, and N₂ molecules in bichromatic counter-rotating circularly polarized laser fields where we adopt wavelengths (1300 and 790 nm) and intensities (2×10^{14} W/cm²) reported in a recent experiment [Proc. Natl. Acad. Sci. USA **112**, 14206 (2015)]. Our treatment of multiphoton processes in homonuclear and heteronuclear diatomic molecules is nonperturbative and based on the time-dependent density-functional theory for multielectron systems. The calculated radiation spectrum contains doublets of left and right circularly polarized harmonics with high-energy photons in the XUV and soft-x-ray ranges. Our results reveal intriguing and substantially different nonlinear optical responses for homonuclear and heteronuclear diatomic molecules laser fields. We study in detail the below- and above-threshold harmonic regions and analyze the ellipticity and phase of the generated harmonic peaks.

DOI: 10.1103/PhysRevA.96.063404

I. INTRODUCTION

High-order-harmonic generation (HHG) is an attractive tabletop source of coherent, bright, and tunable extreme ultraviolet (XUV) and soft-x-ray radiation with applications in coherent diffractive imaging, ultrafast holography, and time-resolved measurements [1–6]. Moreover, circularly polarized HHG may find additional applications in nanolithography, ultrafast spin dynamics, and magnetic circular dichroism [1,7–13].

However, until recently bright HHG was limited to linear polarization due to the difficulty of controlling elliptically and circularly polarized harmonics and their efficiency. When an atom or molecule is driven by a laser field with slightly elliptical polarization, the electron has some probability of recolliding with its parent ion it was initially released from, and this results in the generation of harmonics with slight elliptical polarization. However, the HHG efficiency drops drastically with increasing ellipticity of the driving field compared to the case of linearly polarized harmonics generated from linearly polarized laser radiation [14,15]. In contrast, for circularly polarized driving lasers, the probability of recollision and the emission of high harmonics is suppressed completely.

A direct approach for generating circularly polarized HHG was suggested 22 years ago [16,17] and recently measured by Fleischer *et al.* [7]. In this scheme, circularly polarized HHGs are driven by co-propagating circularly polarized bichromatic fields that rotate in opposite directions (counter-rotating) and interact with argon gas. This experiment [7] opened up the

possibility and motivation of generating bright circularly polarized HHG comparable to the flux efficiency of linearly polarized HHG. Recently, Fan *et al.* [1] did just that, they generated bright circularly polarized soft-x-ray HHG beams with photon energies greater than 160 eV and flux comparable to the HHG flux obtained using linearly polarized 800-nm driving lasers. These bright circularly polarized high-orderharmonic beams in the soft-x-ray region were generated from He, Ne, and Ar atoms and used to implement x-ray magnetic circular dichroism measurements in a tabletop-scale setup [1]. Previously, such radiation has only been available at large-scale x-ray facilities, such as synchrotrons.

Bright circularly polarized soft-x-ray high-order-harmonic beams generated by atomic gases have been used in recent experimental studies to probe magnetic materials, such as the *M*-shell absorption edges of Co [12] and $N_{4,5}$ absorption edges of Gd [1]. The experiments validated the high degree of circularity, brightness, and stability of this light source [1,12].

Although impressive progress has been achieved in the generation of bright circularly polarized XUV and soft-xray radiation by atomic targets, this area remains largely unexplored for molecular systems. In this paper, we show that the generation of bright XUV and soft-x-ray radiation with circular polarization is also possible in diatomic molecules. We perform an *all-electron* nonperturbative investigation of multiphoton processes of homonuclear (H_2^+ and N_2) and heteronuclear (CO) diatomic molecules in bichromatic counter-rotating circularly polarized intense laser fields. The H_2^+ molecule is the simplest two-center one-electron quantum system and is used in our current paper as a prototype diatomic molecule to show clearly the physical effects in the generation of circularly polarized harmonics. Then we proceed and take a look at many-electron homonuclear (N_2)

2469-9926/2017/96(6)/063404(12)

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The organization of this paper is as follows. In Sec. II we briefly describe the time-dependent density-functional theory (TDDFT) formalism for the general treatment of the multiphoton dynamics of heteronuclear and homonuclear diatomic molecular systems subject to bichromatic counterrotating circularly polarized intense laser fields. In Sec. III we explore the multiphoton ionization (MPI) dynamics of H_2^+ , CO, and N₂ molecules in detail and describe the difference of the ionization process between homonuclear and heteronuclear diatomic molecules. In Sec. IV we study the HHG of H_2^+ , CO, and N₂ molecules driven by bichromatic counter-rotating circularly polarized laser pulses. The HHG spectra for all three molecular systems exhibit a distinct doublet structure, and the harmonics within each doublet possess circular polarizations with opposite handedness. In Sec. V we provide a proof of perfect circular polarization and opposite handedness of the harmonics within the doublets by calculating their ellipticity and phase parameters from the dipole acceleration data for below- and above-threshold HHG regions. Section VI contains concluding remarks.

II. TIME-DEPENDENT NONPERTURBATIVE TREATMENT OF DIATOMIC MOLECULES IN BICHROMATIC CIRCULARLY POLARIZED LASER PULSES

The detailed numerical procedures we used for the calculation of the H_2^+ molecule can be found in Refs. [18–22]. Also, a more in-depth numerical procedure for the TDDFT of diatomic molecules can be found in Refs. [5,23–28]. The basic equations of the TDDFT are the time-dependent oneelectron Kohn-Sham equations [29] for spin-orbitals $\psi_{i\sigma}(\mathbf{r},t)$ which involve an effective potential $v_{\text{eff},\sigma}(\mathbf{r},t)$ (atomic units $\hbar = m = e = 1$ are used unless stated otherwise),

$$i\frac{\partial}{\partial t}\psi_{i\sigma}(\boldsymbol{r},t) = \left[-\frac{1}{2}\nabla^2 + v_{\text{eff},\sigma}(\boldsymbol{r},t)\right]\psi_{i\sigma}(\boldsymbol{r},t),$$

$$i = 1, 2, \dots, N_{\sigma}, \qquad (1)$$

where $N_{\sigma} (= N_{\uparrow} \text{ or } N_{\downarrow})$ is the total number of electrons for a given spin σ and the total number of electrons in the system is $N = \sum_{\sigma} N_{\sigma}$. The time-dependent effective potential $v_{\text{eff},\sigma}(\mathbf{r},t)$ is a functional of the electron spin-densities $\rho_{\sigma}(\mathbf{r},t)$ which are related to the spin-orbitals as follows:

$$\rho_{\sigma}(\boldsymbol{r},t) = \sum_{i=1}^{N_{\sigma}} |\psi_{i\sigma}(\boldsymbol{r},t)|^2$$
(2)

(the summation includes all spin-orbitals with the same spin). The effective potential $v_{\text{eff},\sigma}(\mathbf{r},t)$ in Eq. (1) can be written in the following general form:

$$v_{\text{eff},\sigma}(\boldsymbol{r},t) = v_{\text{H}}(\boldsymbol{r},t) + v_{\text{ext}}(\boldsymbol{r},t) + v_{\text{xc},\sigma}(\boldsymbol{r},t), \quad (3)$$

where

$$v_{\rm H}(\boldsymbol{r},t) = \int \frac{\rho(\boldsymbol{r}',t)}{|\boldsymbol{r}-\boldsymbol{r}'|} d\boldsymbol{r}' \tag{4}$$

is the Hartree potential due to electron-electron Coulomb interaction and $\rho(\mathbf{r},t)$ is the total electron density,

$$\rho(\boldsymbol{r},t) = \sum_{\sigma} \rho_{\sigma}(\boldsymbol{r},t).$$
(5)

 $v_{\text{ext}}(\mathbf{r},t)$ is the "external" potential due to the interaction of the electron with the external laser fields and the nuclei. In the case of homonuclear or heteronuclear diatomic molecules in bichromatic laser fields, we have

$$v_{\text{ext}}(\boldsymbol{r},t) = v_n(\boldsymbol{r}) + [\boldsymbol{E}_1(t) + \boldsymbol{E}_2(t)] \cdot \boldsymbol{r}, \qquad (6)$$

where $v_n(\mathbf{r})$ is the nuclear potential,

$$v_n(\boldsymbol{r}) = -\frac{Z_1}{|\boldsymbol{R}_1 - \boldsymbol{r}|} - \frac{Z_2}{|\boldsymbol{R}_2 - \boldsymbol{r}|},\tag{7}$$

with Z_1 and Z_2 being the electric charges of the two nuclei and R_1 and R_2 being their coordinates at the fixed equilibrium positions. The internuclear separation R is equal to $|R_2 - R_1|$. The laser electric-field strengths $E_1(t)$ and $E_2(t)$ refer to the two frequency components of the bichromatic field. We assume that the laser fields $E_1(t)$ and $E_2(t)$ are polarized on the *x*-*y* plane with the molecular axis directed along the *z* axis. Finally, $v_{xc,\sigma}(r,t)$ is the time-dependent exchange-correlation (xc) potential. Since the exact form of $v_{xc,\sigma}(r,t)$ is unknown, the *adiabatic* approximation often is used [23–27,30]

$$v_{\mathrm{xc},\sigma}(\boldsymbol{r},t) = v_{\mathrm{xc},\sigma}[\rho_{\sigma}]|_{\rho_{\sigma} = \rho_{\sigma}(\boldsymbol{r},t)}.$$
(8)

When these potentials, determined by the time-independent ground-state DFT, are used along with the TDDFT in the electronic structure calculations, both inner shell and excited states can be calculated rather accurately [31]. In this paper, we utilize the improved van Leeuwen–Baerends (LB) LB α xc potential [32]. The LB α contains two empirical parameters α and β and has the following explicit form in the adiabatic approximation,

$$v_{xc,\sigma}^{\text{LB}\alpha}(\boldsymbol{r},t) = \alpha v_{x,\sigma}^{\text{LSDA}}(\boldsymbol{r},t) + v_{c,\sigma}^{\text{LSDA}}(\boldsymbol{r},t) - \frac{\beta x_{\sigma}^{2}(\boldsymbol{r},t)\rho_{\sigma}^{1/3}(\boldsymbol{r},t)}{1 + 3\beta x_{\sigma}(\boldsymbol{r},t) \ln \left\{ x_{\sigma}(\boldsymbol{r},t) + \left[x_{\sigma}^{2}(\boldsymbol{r},t) + 1 \right]^{1/2} \right\}}.$$
(9)

Here, ρ_{σ} is the electron density with spin σ , and we use $\alpha = 1.19$ and $\beta = 0.01$ [24–27]. The first two terms in Eq. (9) $v_{x,\sigma}^{\text{LSDA}}$ and $v_{c,\sigma}^{\text{LSDA}}$ are the local spin-density approximation (LSDA) exchange and correlation potentials that do *not* have the correct Coulombic asymptotic behavior. The last term in Eq. (9) is the nonlocal gradient correction with $x_{\sigma}(\mathbf{r}) = |\nabla \rho_{\sigma}(\mathbf{r})|/\rho_{\sigma}^{4/3}(\mathbf{r})$, which ensures the proper long-range Coulombic asymptotic potential $v_{xc,\sigma}^{\text{LB}\alpha} \rightarrow -1/r$ as $r \rightarrow \infty$. Note that, if the conventional xc energy functional forms taken from LSDA or generalized gradient approximation (GGA) [33,34] are used, the correct long-range asymptotic (-1/r) behavior [35]. For the time-independent case, this exchange-correlation LB α

potential has been found to be reliable for atomic and molecular DFT calculations [2,24–27,32,36–38].

In what follows, we will assume that the molecular axis is fixed in space and directed along the Cartesian z axis. The laser fields propagate along the z axis and have circular polarizations on the x-y plane. The counter-rotating fields $E_1(t)$ and $E_2(t)$ are expressed as follows:

$$\boldsymbol{E}_{1}(t) = \frac{1}{\sqrt{2}} F_{1}(t) [\hat{\boldsymbol{e}}_{x} \cos(\omega_{1} t) + \hat{\boldsymbol{e}}_{y} \sin(\omega_{1} t)], \quad (10)$$

$$\boldsymbol{E}_{2}(t) = \frac{1}{\sqrt{2}} F_{2}(t - \Delta \tau) [\hat{\boldsymbol{e}}_{x} \cos(\omega_{2}t - \Delta \tau) - \hat{\boldsymbol{e}}_{y} \sin(\omega_{2}t - \Delta \tau)].$$
(11)

 $\Delta \tau$ presents the time delay between the two pulses $E_1(t)$ and $E_2(t)$. Since the dipole approximation is well justified in the near-infrared wavelength region, the fields are assumed uniform in space. In Eqs. (10) and (11), ω_1 and ω_2 denote the carrier frequencies, whereas $F_1(t)$ and $F_2(t)$ represent the temporal pulse envelopes. We use the sine-squared pulse shape,

$$F_1(t) = F_0 \sin^2 \frac{\pi t}{N_1 T_1},$$
(12)

$$F_2(t) = F_0 \sin^2 \frac{\pi t}{N_2 T_2},$$
(13)

where F_0 is the peak electric-field strength (we use the same peak field strength for both fields), T_1 and T_2 are the optical cycle durations for each field $[T_1 = 2\pi/\omega_1 \text{ and } T_2 = 2\pi/\omega_2]$, and the integer numbers N_1 and N_2 are the total pulse durations measured in optical cycles.

The solution of the time-dependent Schrödinger (H₂⁺) and one-electron Kohn-Sham (N₂ and CO) equations for twocenter systems is greatly facilitated in the prolate spheroidal coordinates. The relationship between the prolate spheroidal coordinates ξ, η, φ and the Cartesian coordinates x, y, z is as follows:

$$x = \frac{1}{2}R\sqrt{(\xi^2 - 1)(1 - \eta^2)}\cos\varphi,$$
 (14)

$$y = \frac{1}{2}R\sqrt{(\xi^2 - 1)(1 - \eta^2)}\sin\varphi,$$
 (15)

$$z = \frac{1}{2}R\xi\eta,\tag{16}$$

where R is the internuclear distance. The dipole interaction potentials in the length gauge have the following expressions in the prolate spheroidal coordinates:

$$E_{1}(t) \cdot \mathbf{r} = \frac{R}{2\sqrt{2}} F_{1}(t) \sqrt{(\xi^{2} - 1)(1 - \eta^{2})} [\cos \phi \, \cos(\omega_{1}t) + \sin \phi \, \sin(\omega_{1}t)], \qquad (17)$$

$$\boldsymbol{E}_{2}(t) \cdot \boldsymbol{r} = \frac{R}{2\sqrt{2}} F_{2}(t - \Delta\tau) \sqrt{(\xi^{2} - 1)(1 - \eta^{2})} \\ \times [\cos \phi \, \cos(\omega_{2}t - \Delta\tau) - \sin \phi \, \sin(\omega_{2}t - \Delta\tau)].$$
(18)

In Eqs. (10)–(13) and Eqs. (17) and (18), $F_1(t)$ and $F_2(t)$ denote the laser pulse envelope functions, and ω_1 and ω_2 are the carrier



FIG. 1. Time-dependent electric field of the driving laser pulse. The red dotted and blue dashed lines represent the electric field in the *x* and *y* directions, respectively. The laser pulse has a duration of 21 optical cycles (~55 fs) for the ω_1 (790-nm) component and 8 optical cycles (~35 fs) for the ω_2 (1300-nm) component. The time-delay $\Delta \tau \sim 11$ fs. Both frequency components have the same peak field strength corresponding to an intensity of 2 × 10¹⁴ W/cm².

frequencies. Here, for the counter-rotating circularly polarized pulses, left helicity corresponds to the 790-nm pulse $[E_1(t)]$ and right helicity corresponds to the 1300-nm pulse $[E_2(t)]$.

In our calculations, we use the carrier wavelengths 790 nm for the field $E_1(t)$ ($\omega_1 = 0.0576$ a.u. = 1.57 eV) and 1300 nm for the field $E_2(t)$ ($\omega_2 = 0.0350$ a.u. = 0.95 eV), respectively. The peak field strength F_0 corresponds to the intensity 2×10^{14} W/cm². The pulse durations are chosen as $N_1 = 21$ and $N_2 = 8$ and the time-delay $\Delta \tau \sim 11$ fs, which makes $E_1(t)$ and $E_2(t)$ symmetric about their common center (see Fig. 1). To discretize the three-dimensional wave function in coordinate space and propagate it in time, we apply the time-dependent generalized pseudospectral method (TDGPS) [39]. The time-dependent Schrödinger (for the H_2^+ molecule) and Kohn-Sham (for N2 and CO molecules) equations are solved by means of the second-order split-operator technique in prolate spheroidal coordinates and in the energy representation. To obtain fairly converged HHG spectra for the laser field parameters used in the calculations, we set the grid size (for ξ , η , and φ coordinates, respectively) to 96 \times 32 \times 16 and use 4096 time steps per optical cycle in the time-propagation process. The linear dimension of the box where the timedependent equations are solved is chosen as 43 a.u.; between 23 and 43 a.u., we apply an absorber which smoothly brings down the propagated wave functions without spurious reflections from the boundary. With this box size, all important physics are described well for the laser field parameters used in the calculations, although some very long trajectories (in the semiclassical picture of HHG) may be missing. Of course, a larger box size is better, but it also requires a larger number of grid points to maintain the same accuracy level of the computed orbitals in the core spatial region, which is emphasized when calculating the HHG spectra in the acceleration form. The total linear dimension of the propagator matrix for the current grid is 49 152, which is already very large, and its substantial increase would make the computations impractical.

The HHG power spectra can be investigated accurately once the time-dependent Kohn-Sham orbitals and total electron density $\rho(\mathbf{r},t)$ are available. We calculate the expectation values of the induced dipole acceleration in the *x*, *y*, and *z* directions,

$$a_x(t) = \int d^3 r \,\rho(\mathbf{r},t) \frac{\partial v_n}{\partial x} + E_{1x}(t) + E_{2x}(t), \quad (19)$$

$$a_{y}(t) = \int d^{3}r \,\rho(\boldsymbol{r},t) \frac{\partial v_{n}}{\partial y} + E_{1y}(t) + E_{2y}(t), \quad (20)$$

$$a_{z}(t) = \int d^{3}r \,\rho(\boldsymbol{r},t) \frac{\partial v_{n}}{\partial z}.$$
(21)

Then the power spectra $S(\omega)$ (spectral density of the radiation energy) can be obtained by the Fourier transformation of the time-dependent dipole accelerations,

$$S_x(\omega) = \frac{2}{3\pi c^3} \left| \int_{-\infty}^{\infty} a_x(t) \exp(i\omega t) dt \right|^2, \qquad (22)$$

$$S_{y}(\omega) = \frac{2}{3\pi c^{3}} \left| \int_{-\infty}^{\infty} a_{y}(t) \exp(i\omega t) dt \right|^{2}, \qquad (23)$$

$$S_{z}(\omega) = \frac{2}{3\pi c^{3}} \left| \int_{-\infty}^{\infty} a_{z}(t) \exp(i\omega t) dt \right|^{2}, \qquad (24)$$

$$S_{\text{tot}}(\omega) = S_x(\omega) + S_y(\omega) + S_z(\omega).$$
(25)

III. MULTIPHOTON IONIZATION OF H₂⁺, N₂, AND CO MOLECULES IN BICHROMATIC CIRCULARLY POLARIZED LASER PULSES

The ground-state electronic configurations are $1\sigma_g^1$ for H₂⁺, $1\sigma_g^2 1\sigma_u^2 2\sigma_g^2 2\sigma_u^2 1\pi_u^4 3\sigma_g^2$ for N₂ and $1\sigma^2 2\sigma^2 3\sigma^2 4\sigma^2 1\pi^4$ $5\sigma^2$ for CO, respectively. The highest occupied molecular orbital (HOMO) for H₂⁺, N₂, and CO is $1\sigma_g$, $3\sigma_g$, and 5σ , respectively. N₂ and CO are isoelectronic molecules, both having 14 electrons and triple bonds. Since the CO molecule has unequal nuclear charges, its ground electronic state possesses a permanent dipole moment, calculated here to be 0.149 Debye. The corresponding experimental value is 0.112 Debye [40]. Furthermore, there is no concept of gerade and ungerade orbitals for CO (or any other heteronuclear diatomic molecule) since the inversion symmetry of the potential is broken. An important difference between the H_2^+ and the N₂ and CO spectra is that the latter contain even as well as odd harmonics [5,24–27]. The generation of even harmonics is forbidden in systems with inversion symmetry, such as atoms and homonuclear diatomic molecules. This selection rule does not apply to the heteronuclear molecules with no inversion center (CO).

Once the time-dependent wave functions and the timedependent electron densities are obtained, we can calculate the time-dependent (multiphoton) ionization probability of an individual spin-orbital according to

$$P_{i,\sigma} = 1 - N_{i,\sigma}(t), \tag{26}$$

where

$$N_{i,\sigma}(t) = \langle \psi_{i,\sigma}(\xi,\eta,\varphi,t) | \psi_{i,\sigma}(\xi,\eta,\varphi,t) \rangle$$
(27)

is the time-dependent population (survival probability) of the $i\sigma$ th spin-orbital.



FIG. 2. The time-dependent ionization probability of electrons in different spin-orbitals of (a) H_2^+ , (b) CO, and (c) N_2 molecules in the counter-rotating circularly polarized laser pulses. The laser pulses have a time duration of 21 optical cycles (~55 fs) for ω_1 (790 nm) and 8 optical cycles (~35 fs) for ω_2 (1300 nm). The time-delay $\Delta \tau \sim 11$ fs.

Figure 2 presents the time-dependent population of individual spin-orbital as defined in Eq. (27). The slope of the decay of the electron population in time determines the ionization rate. The internuclear distance for H₂⁺ ($R_e = 2.000a_0$), CO ($R_e = 2.132a_0$), and N₂ ($R_e = 2.072a_0$) molecules is fixed at its equilibrium distance R_e . Results for the counter-rotating laser intensities ($F_0 = 2 \times 10^{14}$ W/cm²) and wavelengths of $\lambda_1 = 790$ and $\lambda_2 = 1300$ nm are shown for H₂⁺, N₂, and CO molecules. In Figs. 2(a)–2(c) the laser pulses have a time duration of 21 optical cycles for ω_1 (790 nm) and 8 optical cycles for ω_2 (1300 nm). The calculated ionization potentials for H₂⁺, CO, and N₂ molecules are 1.1026 a.u. [19], 0.5093 a.u. [5], and 0.5682 a.u. [5], respectively. The multiphoton ionization in the circularly polarized laser fields is dominated by the HOMO, that is, $1\sigma_g$ in H_2^+ , 5σ in CO, and $3\sigma_g$ in N_2 . The ionization probability of H_2^+ [Fig. 2(a)] is much lower than that of CO [Fig. 2(b)] and N₂ [Fig. 2(c)] since the H_2^+ molecules' ionization potential is almost twice that of CO and N₂ ionization potentials. The orbital structure and ionization potentials of CO and N2 are close to each other. One would expect similar behaviors from CO and N2 molecules in laser fields with the same wavelengths and intensities, but previously we proved this is not true [27]. In intense low-frequency laser fields, the multiphoton ionization occurs mainly in the tunneling regime. In this picture, the ionization takes place in the dc field with slow varying amplitude from zero to its peak value. The width of the potential barrier depends on the field strength; the stronger the field, the narrower the barrier. Thus the ionization occurs mainly at the peak values of the field strength. The probability of tunneling ionization is very sensitive with respect to the HOMO energy. However, in the external field this energy is changed due to the Stark shift. The nitrogen molecule is symmetric with respect to inversion, that is why the Stark shift in a dc field is quadratic in the field strength and the N2 molecules' HOMO energy differs slightly (0.0001 a.u.) from its unperturbed value [27]. On the contrary, the carbon monoxide molecule has a permanent dipole moment, and the dc Stark shift is linear in the field strength; at the peak values of the field, the HOMO energy can differ significantly (~ 0.1 a.u.) from its unperturbed value [27]. In our previous studies of CO and N₂ in a linear polarized laser field with the same wavelength and intensity the ionization probability of CO is much larger than that of N_2 [26,27]. In Figs. 2(b) (CO molecule) and 2(c) (N_2 molecule) we observe the same phenomena in bichromatic counter-rotating circularly polarized laser pulses; the ionization probability of CO is much larger than that of N2. We also note that ionization of CO is dominated by the HOMO whereas in N₂ both the HOMO and the HOMO-1 have comparable ionization probabilities. This is well explained by the ionization potentials of the HOMO-1 in these molecules. The ionization potential of the HOMO-1 in N₂ is only 1.4 eV larger than that of the HOMO; in CO, the energy difference between the HOMO and the HOMO-1 is twice as large, 3.2 eV.

IV. CIRCULARLY POLARIZED HIGH-ORDER HARMONICS IN H₂⁺, N₂, AND CO MOLECULES

The observed HHG spectra in Figs. 3–5 can be described in terms of the energy and angular momentum conservation in the process of absorption of the driving fields' photons and emission of the harmonic photon [1,6,7,13,16,41]. The energy conservation gives $\omega_c = n_1\omega_1 + n_2\omega_2$ for the frequency ω_c of the emitted photon after absorption of n_1 photons of frequency ω_1 and n_2 photons of frequency ω_2 . The angular momentum conservation requires $n_2 = n_1 \pm 1$ for the circularly polarized counter-rotating driving fields E_1 and E_2 . Then the emitted photon frequency can be represented as $\omega_c = (2n + 1)(\omega_1 + \omega_2)/2 \pm (\omega_1 - \omega_2)/2$, *n* being a positive integer number. This gives rise to a doublet structure of the HHG spectrum with the frequency differences $\omega_1 + \omega_2$ between the adjacent doublets and $\omega_1 - \omega_2$ between the photon emission peaks within the



FIG. 3. HHG spectrum $S(\omega)$ in the *x*, *y*, and total domains of the H₂⁺ molecule in the counter-rotating circularly polarized laser pulses. Circularly polarized XUV and soft-x-ray HHG spectrum (a) up to ~160 eV, (b) below and near threshold, and (c) above threshold. The laser pulses have a time duration of 21 optical cycles for ω_1 (790 nm) and 8 optical cycles for ω_2 (1300 nm). The green vertical dashed line indicates the corresponding ionization threshold (I_p) marked by the $1\sigma_g$ threshold. All spectra show a doublet structure, located at positions predicted by energy and spin angular momentum conservation [filled maroon circles (1300 nm) and open teal circles (790 nm)]. The separation within each doublet is $\omega_1 - \omega_2$, and the different doublets are separated by $\omega_1 + \omega_2$.

same doublet. The right peak in the doublet has a circular polarization with the same helicity as the driving field with the higher frequency (E_1), and the left peak has a circular polarization with the same helicity as the driving field with the lower frequency (E_2). If we define $\omega_1 = q\omega_2$, where q can be any number, we obtain $\omega_c = n_1(q+1)\omega_2 \pm \omega_2$. For the driving laser wavelengths studied here (790 and 1300 nm), $\omega_c = (2.65n_1 \pm 1)\omega_2$.

Whereas the calculated HHG spectra for H_2^+ and N_2 in Figs. 3 and 5, respectively, show the peak positions match



FIG. 4. HHG spectrum $S(\omega)$ in the *x*, *y*, *z*, and total domains of the CO molecule in the counter-rotating circularly polarized laser pulses. Circularly polarized XUV and soft-x-ray HHG spectra (a) up to ~170 eV, (b) below and near threshold, and (c) above threshold. The laser pulses have a time duration of 21 optical cycles for ω_1 (790 nm) and 8 optical cycles for ω_2 (1300 nm). The green vertical dashed line indicates the corresponding HOMO ionization threshold (I_p) marked by the 5 σ threshold. All spectra show a doublet structure, located at positions predicted by energy and spin angular momentum conservation [filled maroon circles (1300 nm) and open teal circles (790 nm)]. The separation within each doublet is $\omega_1 - \omega_2$, and different doublets are separated by $\omega_1 + \omega_2$.

well those predicted by the selection rules and specified above, and the CO spectrum in Fig. 4 has extra peaks corresponding to even harmonics with $n_1 = n_2$. The generation of such harmonics is forbidden in atoms and homonuclear diatomic molecules where the energy levels have definite parities due to the inversion symmetry. Only the states with the opposite parities are coupled by the dipole interaction (one-photon emission and absorption), therefore absorption of an even number of photons $n_1 + n_2$ cannot be followed



FIG. 5. HHG spectrum $S(\omega)$ in the *x*, *y*, and total domains of the N₂ molecule in the counter-rotating circularly polarized laser pulses. Circularly polarized XUV and soft-x-ray HHG spectra (a) up to $\sim 170 \text{ eV}$, (b) below and near threshold, and (c) above threshold. The laser pulses have a time duration of 31 optical cycles for ω_1 (790 nm) and 12 optical cycles for ω_2 (1300 nm). Resonance *A* in panel (b) corresponds to the $3\sigma_g - 2\pi_u$ and $3\sigma_g - 3\sigma_u$ excited-state resonance peaks. The green vertical dashed line indicates the corresponding HOMO ionization threshold (I_p) marked by the $3\sigma_g$ threshold. All spectra show doublet structures, located at positions predicted by energy and spin angular momentum conservation [filled maroon circles (1300 nm) and open teal circles (790 nm)]. The separation within each doublet is $\omega_1 - \omega_2$, and different doublets are separated by $\omega_1 + \omega_2$.

by emission of a single photon. This restriction is lifted for oriented heteronuclear diatomic molecules. For the present scheme with two counter-rotating circularly polarized driving fields, the generation of even harmonics is only possible if $n_1 = n_2$, otherwise conservation of the angular momentum projection does not allow for the photon emission. The excitation of the molecule with the absorption of $n_1 = n_2$



FIG. 6. (a) Phase and (b) ellipticity of the harmonic signals $[S_x(\omega) + S_y(\omega)]$ from H_2^+ as a function of photon energy (below-threshold harmonics). The laser parameters used are the same as those in Fig. 3. The filled maroon circles and filled teal circles mark the harmonic peak positions within each doublet. The phases of the harmonics within the same doublet exhibit opposite signs: $\sim \pm \pi/2$.

photons, however, does not change the angular momentum projection on the z axis, thus the emitted even harmonic photon cannot be circularly polarized on the x-y plane. We will discuss the polarization of the emitted radiation in detail below in Sec. V.

In Fig. 3, we present the HHG spectrum of H_2^+ for the driving laser pulse shown in Fig. 1. The spectrum displays harmonics with photon energies up to ~ 160 eV. The ionization threshold (I_p) for the initially occupied $1\sigma_g$ molecular orbital is marked with the green dashed vertical line at 29.9 eV. Figure 3(b) shows the below- and near-threshold regions. As one can see, almost all the peaks in the spectrum exhibit a clear doublet structure with the spacing between the main peaks equal to $\omega_1 + \omega_2$ and subpeak separation of $\omega_1 - \omega_2$. According to the general considerations discussed above, the components of the doublet (subpeaks within each main peak) must have circular polarizations opposite to each other. Figure 3(c) shows the above-threshold harmonics up to photon energies of $\sim 110 \text{ eV}$. Here the doublet structure of the harmonics is not so distinct as in the below-threshold region especially for the photon energies larger than 80 eV. We can suggest the following explanation of this observation. High-order harmonics are predominantly generated at times when the laser field reaches its highest strength, that is, near





FIG. 7. (a) Phase and (b) ellipticity of the harmonic signals $[S_x(\omega) + S_y(\omega)]$ from H_2^+ as a function of photon energy (above-threshold harmonics). The laser parameters used are the same as those in Fig. 3. The filled maroon circles and filled teal circles mark the harmonic peak positions within each doublet.

the center of the laser pulse. In the vicinity of the time-moment t_0 , corresponding to the half duration of the laser pulse $(t_0 \approx 27.5 \text{ fs}, \text{see Fig. 1})$, the *x* and *y* components of the laser electric field (10) and (11) can be approximated as

$$E_{x}(t) = F_{0}\sqrt{2}\sin\left[\frac{1}{2}(\omega_{1} - \omega_{2})(t - t_{0})\right]$$

$$\times \sin\left[\frac{1}{2}(\omega_{1} + \omega_{2})(t - t_{0})\right], \quad (28)$$

$$E_{y}(t) = -F_{0}\sqrt{2}\cos\left[\frac{1}{2}(\omega_{1} - \omega_{2})(t - t_{0})\right]$$

$$\times \sin\left[\frac{1}{2}(\omega_{1} + \omega_{2})(t - t_{0})\right]. \quad (29)$$

When the frequency difference $\omega_1 - \omega_2$ is small, the component $E_y(t)$ is larger than $E_x(t)$ and approximately represents a monochromatic field with the frequency $(\omega_1 + \omega_2)/2$. For the current choice of the laser field parameters, this is seen clearly in Fig. 1 for the optical cycle in the center of the laser pulse. Consequently, one can expect a dominant contribution from the *y* component of the dipole acceleration to the harmonic signal in the high-energy part of the HHG spectrum. This is indeed the case as one can see in Fig. 3(c). Since the two-color nature of $E_y(t)$ is less pronounced in the vicinity of the time-moment t_0 , the doublet structure of the total HHG spectrum is less

distinct for higher-harmonic orders. The situation will change for the different choice of the laser filled parameters, such as frequencies and time delay between fields $E_1(t)$ and $E_2(t)$. For example, one may expect a more distinct doublet structure in high-order harmonics for the larger difference between ω_1 and ω_2 .

Next, we show the CO molecule (Fig. 4) where it also has odd-order circularly polarized harmonics with photon energies up to $\sim 160 \text{ eV}$. The laser pulse parameters for the CO molecule are the same as those for the H_2^+ molecule in Fig. 3. The ionization threshold (I_p) for the 5σ orbital (HOMO) is marked with the green dashed vertical line at 13.9 eV. Figure 4(b) shows the below- and near-threshold regions where the doublets separated from each other by the frequency $\omega_1 + \omega_2$ and containing two harmonics with opposite circular polarizations split by the photon energy of $\omega_1 - \omega_2$ are seen clearly and labeled. Figure 4(c) shows the above-threshold harmonics up to photon energies of ~65 eV. An important difference between the H_2^+ (Fig. 3) and the CO (Fig. 4) spectra is that the latter contain even as well as odd harmonics. The generation of even harmonics is forbidden in systems with inversion symmetry, such as atoms and homonuclear diatomic molecules. This selection rule does not apply to the heteronuclear molecules with no inversion center (CO). This can be seen clearly in the below- and near-threshold harmonic regions in Fig. 4(b) where the extra peaks (even harmonics) lie between the adjacent doublets of the odd harmonics. Unlike the doublets of the odd harmonics, the even harmonics are linearly polarized as they are generated solely by the dipole acceleration along the z axis. For example, one can see an even harmonic peak at the photon energy of $\omega_c = 20.7 \text{ eV}$ in Fig. 4(b), that lies between the adjacent doublets (labeled by the filled maroon circles and open teal circles) of the odd harmonics.

Intuitively, one expects that the driving field polarized in the x (or y, or z) direction induces the dipole moment in the same direction. However, this is true only for symmetric systems, such as atoms and homonuclear diatomic molecules where the polarization tensor is diagonal (with z being the molecular axis in the case of diatomic molecules). This intuitive picture is invalid for heteronuclear diatomic molecules where the charge distribution has no inversion symmetry with respect to the center of the molecule. Then the force acting perpendicular to the molecular axis (in the x or y direction) would cause a nonsymmetric charge displacement along the z axis as well, inducing a dipole moment in this direction. Unlike the permanent dipole moment of a heteronuclear molecule along the molecular axis, this induced dipole moment would oscillate with the driving field thus generating harmonics polarized in the z direction. This phenomenon can be observed clearly in Fig. 4(b) where the HHG spectrum has a nonzero contribution $S_z(\omega)$ due to the dipole acceleration in the z direction. This contribution is responsible for the generation of even harmonics linearly polarized along the z axis (n_1 must be equal to n_2 because of conservation of the angular momentum projection: A dipole accelerated along the z axis can only emit photons with zero spin projection on this axis). The other contributions to the HHG spectrum, $S_x(\omega)$ and $S_y(\omega)$, are responsible for the generation of harmonic doublets with circular polarizations on the x-y plane. A qualitative difference

between the HHG processes in homonuclear and oriented heteronuclear molecules is, therefore, not only in the fact of the generation of even harmonics by heteronuclear molecules. The polarization of even harmonics is also different: It is linear and directed along the molecular axis, whereas the driving fields and odd harmonics are polarized on the plane perpendicular to the molecular axis. As one can see in Fig. 4, the even harmonics are strong only for low orders (up to ~35 eV), and then the signal $S_z(\omega)$ becomes much weaker than the signals $S_x(\omega)$ and $S_y(\omega)$ of the odd harmonics. This is not surprising since the natural scale of the plateau region, suggested by the famous semiclassical three-step model [42] and based on the ponderomotive energy, does not apply to the harmonic radiation generated by the dipole oscillations in the z direction where no direct force from the driving laser field is present. Only Coulomb forces from the nuclei and other electrons can cause oscillations of the particular orbital electron density in this direction. During these oscillations, the electron cannot gain a high kinetic energy before recombining with the molecular core and emitting the harmonic photon, unlike the case of motion in the x and ydirections under direct influence from the laser field. Consequently, the HHG spectrum of the even harmonics exhibits a shorter plateau region than that in the spectrum of the odd harmonics.

Finally, in Fig. 5 we show the N_2 molecule where it also has circularly polarized harmonics with photon energies up to ~ 160 eV. In Fig. 5 the laser pulses have a time duration of 31 optical cycles (~82 fs) for ω_1 (790 nm) and 12 optical cycles (~52 fs) for ω_2 (1300 nm), and the laser fields both have a peak intensity of $F_0 = 2 \times 10^{14} \text{ W/cm}^2$ [Eqs. (12) and (13)]. A longer pulse duration is used for the N_2 molecule to make the doublets containing harmonics with opposite circular polarizations (split by $\omega_1 - \omega_2$) more structured and well shaped. The N₂ molecule also produces circularly polarized harmonics with photon energies up to ~ 160 eV. The ionization threshold (I_p) for the $3\sigma_g$ orbital (HOMO) is marked with the green dashed vertical line at 15.5 eV. Figure 5(b) shows the below- and near-threshold regions where the doublets separated from each other by the frequency $\omega_1 + \omega_2$ and containing two harmonics with opposite circular polarizations split by the photon energy of $\omega_1 - \omega_2$ are seen clearly and labeled. Also, two excited-state resonance peaks $(3\sigma_g - 2\pi_u)$ and $3\sigma_g - 3\sigma_u$) are labeled "A" at 13 eV in Fig. 5(b). Figure 5(c) shows the above-threshold harmonics up to photon energies of $\sim 160 \text{ eV}$.

V. ELLIPTICITY AND PHASE OF THE CIRCULARLY POLARIZED HARMONIC SIGNALS

The generation of circularly polarized high-order harmonics by bichromatic counter-rotating circularly polarized drivers results in harmonic doublets where in each doublet the harmonics are circularly polarized with opposite handedness and can span the XUV and soft-x-ray regions. Here, we prove the polarizations of the harmonics are the same as those of the frequency components of the driving two-color driving laser field, hence, the harmonics have circular polarizations with left and right handedness.



FIG. 8. (a) Phase and (b) ellipticity of the harmonic signals $[S_x(\omega) + S_y(\omega)]$ from CO as a function of photon energy (below-threshold harmonics). The laser parameters used are the same as those in Fig. 4. The filled maroon circles and filled teal circles mark the harmonic peak positions within each doublet. The phases of the harmonics within the same doublet exhibit opposite signs: $\sim \pm \pi/2$.

Suppose we have a monochromatic field with the components along x and y,

$$F_x = a \, \cos(\omega t), \tag{30}$$

$$F_{y} = b \cos(\omega t + \beta). \tag{31}$$

Generally, the field amplitudes along x and y are different (with their ratio $r_{yx} = b/a$), and there is a phase-shift β between the field oscillations in the x and y directions. Actually, Eq. (30) represents an elliptically polarized field; the orientation of the ellipse on the x-y plane depends on the parameters r_{yx} and β . The angle α which determines the orientation of one of the ellipse axes with respect to the x axis is calculated as

$$\alpha = -\frac{1}{2}\arctan\left(\frac{r_{yx}^2\sin(2\beta)}{1 + r_{yx}^2\cos(2\beta)}\right).$$
 (32)

The second axis has the orientation angle $\alpha + \pi/2$. Assuming the first axis to be the major axis of the ellipse, the ellipticity parameter is calculated as follows:

$$\epsilon = \sqrt{\frac{\sin^2 \alpha + r_{yx}^2 \sin^2(\alpha + \beta)}{\cos^2 \alpha + r_{yx}^2 \cos^2(\alpha + \beta)}}.$$
 (33)

If the calculated ellipticity parameter ϵ appears greater than unity, then the first axis is actually the minor axis, and



FIG. 9. (a) Phase and (b) ellipticity of the harmonic signals $[S_x(\omega) + S_y(\omega)]$ from CO as a function of photon energy (above-threshold harmonics). The laser parameters used are the same as those in Fig. 4. The filled maroon circles and filled teal circles mark the harmonic peak positions within each doublet.

the ellipticity parameter is given by $1/\epsilon$. From the Fourier transform of the induced dipole acceleration (which represents the harmonic field), one can obtain the parameters r_{yx} and β and calculate the ellipticity for the specific frequency ω . The circular polarization ($\epsilon = 1$) is only possible if $\beta = \pm \pi/2$ and $r_{yx} = 1$.

In Figs. 6–11, the filled maroon circles and filled teal circles indicate the positions of the harmonic peaks within each doublet. The circular polarization of the harmonics marked with the teal circles has the same handedness as that of the driving field $E_1(t)$, and the harmonics marked with the maroon circles are polarized with the same handedness as the driving field $E_2(t)$.

Figures 6 (below-threshold region) and 7 (above-threshold region) show the phase and ellipticity of the harmonics in the HHG spectrum of the H_2^+ molecule (Fig. 3). As one can see in Fig. 6, the ellipticity of the below-threshold harmonics is near unity, and the phases are very close to $\pm \pi/2$, indicating circular polarizations with left and right handedness. In the above-threshold region (Fig. 7), the ellipticity and phases start to deviate from the values characterizing perfect circular polarization near the photon energy of ~109 eV.

Next, in Figs. 8 (below-threshold region) and 9 (abovethreshold region), we show the phase and ellipticity of the harmonics in the HHG spectrum of the CO molecule (Fig. 4). Here, we analyze only the odd harmonics polarized on the



FIG. 10. (a) Phase and (b) ellipticity of the harmonic signals $[S_x(\omega) + S_y(\omega)]$ from N₂ as a function of photon energy (below-threshold harmonics). The laser parameters used are the same as those in Fig. 5. The filled maroon circles and filled teal circles mark the harmonic peak positions within each doublet. The phases of the harmonics within the same doublet exhibit opposite signs: $\sim \pm \pi/2$.

x-y plane since the even harmonics are linearly polarized along the molecular (*z*) axis as was discussed above. Again, in the below-threshold region (Fig. 8), the harmonics in the same doublet have nearly perfect circular polarization with opposite handedness. In the above-threshold region (Fig. 9), the ellipticity and phases start to deviate from unity and $\pm \pi/2$, respectively, starting from the photon energy of ~47 eV.

Figures 10 (below-threshold region) and 11 (abovethreshold region) show the phase and ellipticity of the harmonics in the HHG spectrum of the N₂ molecule (Fig. 5). The picture resembles that previously seen in the H₂⁺ and CO molecules. In the below-threshold region, the harmonics have almost perfect circular polarization with the ellipticity equal to unity and phases equal to $\pm \pi/2$ for left and right handedness. However, in the above-threshold region, the ellipticity eventually drops from unity, and the harmonics do not exhibit circular polarization starting from the photon energy of ~60 eV.

VI. CONCLUSION

In this paper, we have presented a detailed investigation and analysis of heteronuclear and homonuclear diatomic molecules subject to bichromatic counter-rotating circularly





FIG. 11. (a) Phase and (b) ellipticity of the harmonic signals $[S_x(\omega) + S_y(\omega)]$ from N₂ as a function of photon energy (above-threshold harmonics). The laser parameters used are the same as those in Fig. 5. The filled maroon circles and filled teal circles mark the harmonic peak positions within each doublet.

polarized intense laser fields. The generated high-order harmonics exhibit circular polarization up to the soft-x-ray regime for the homonuclear (H_2^+, N_2) and heteronuclear (CO) molecules under consideration. The HHG spectrum has a doublet structure where the harmonics within the same doublet have opposite (left and right) circular polarizations.

We found that qualitatively different nonlinear optical responses and ionization dynamics are predicted for heteronuclear and homonuclear diatomic molecules, although CO has only a very small permanent dipole moment. First, the MPI rate for the heteronuclear diatomic CO molecules is larger than that for H_2^+ and N_2 homonuclear diatomic molecules. Second, whereas the excitation of the H_2^+ and N_2 molecules by laser fields can generate only odd harmonics, both even and odd harmonics can be produced in the case of oriented CO molecules. Third, for heteronuclear diatomic molecules (CO), the laser fields propagating along the molecular (z)axis and circularly polarized on the perpendicular x-y plane cause a nonsymmetric time-dependent displacement of the electron density along the molecular axis thus inducing an oscillating dipole moment in the z direction, although the force from the laser fields does not have a projection on the z axis. Oscillations of the dipole moment along the molecular axis result in the generation of even-order harmonics, linearly polarized in the same z direction. This HHG mechanism is

unavailable in homonuclear diatomic molecules and qualitatively distinguishes harmonic generation in homonuclear and heteronuclear diatomic molecules in circularly polarized laser fields.

Finally, our results show that the generation of bright XUV and soft-x-ray radiation with circular polarization is also possible in diatomic molecules and not limited to atomic targets only. Much remains to be explored in this fascinating and largely unexplored area of molecular nonlinear optical processes in strong bichromatic circularly polarized laser fields.

ACKNOWLEDGMENTS

This work was supported partially by the Chemical Sciences, Geosciences and Biosciences Division of the Office of Basic Energy Sciences, Office of Sciences, US Department of Energy under Grant No. DE-FG02-04ER15504. We also acknowledge partial support of the Ministry of Science and Technology of Taiwan and National Taiwan University (Grants No. 106R104021 and No. 106R8700-2). D.A.T. acknowledges partial support from the Russian Foundation for Basic Research (Grant No. 16-02-00233).

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