Spatiotemporal extreme events in a laser with a saturable absorber

Cristina Rimoldi,¹ Stéphane Barland,¹ Franco Prati,² and Giovanna Tissoni^{1,*}

¹Université Côte d'Azur, CNRS, Institut de Physique de Nice, 1361, Route des Lucioles, 06560 Valbonne, France ²CNISM, and Dipartimento di Scienza e Alta Tecnologia, Universitá dell'Insubria, Via Valleggio 11, 22100 Como, Italy

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We study extreme events occurring in the transverse (x, y) section of the field emitted by a broad-area semiconductor laser with a saturable absorber. The spatiotemporal events on which we perform the statistical analysis are identified as maxima of the field intensity in the three-dimensional space (x, y, t). We identify regions in the parameter space where extreme events are more likely to occur and we study the connection of those extreme events with the cavity solitons that are known to exist in the same system, both stationary and self-pulsing.

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I. INTRODUCTION

In recent years, extreme events in optics have been attracting a lot of interest, originating from the seminal paper by Solli et al. [1], due to the well-known analogy between optics and hydrodynamics, where rogue wave formation and prediction is a priority field of investigations. A huge literature has been blooming in many different optical systems (for a review, see Refs. [2-4] and references therein). Even if optical fibers and fiber lasers are systems of choice for the analysis of optical rogue waves due to their natural longitudinal extension (see, for instance, Refs. [5–7] for the conservative case and Refs. [8-12] for dissipative rogue waves in resonator or laser devices), semiconductor systems have also emerged as experimentally convenient test beds for the analysis of extreme phenomena. For instance, low-dimensional semiconductor systems in which the wave envelope is severely constrained by boundary conditions served to demonstrate that the emergence of rogue events can be associated with an external crisis in a chaotic regime [13,14], thus showing the deterministic character of these extreme events. This deterministic nature was also revealed on the analysis of delayed feedback semiconductor laser, where the effect of increased noise reduces the probability of rogue waves by preventing the dynamics to approach in phase space the narrow path leading to extreme pulses [15].

Very recently, extreme events were studied both experimentally and numerically [16] in the intensity emitted by a monolithic broad-area vertical cavity surface-emitting laser (VCSEL) with a saturable absorber with a linear pump (which reduces to one the transverse dimensions), and spatiotemporal chaos is claimed to be at the dynamical origin of extreme events.

Here we show numerical results about extreme events occurring in the field intensity emitted by a monolithic broadarea VCSEL with an intracavity saturable absorber [17–21], as the one used in the experiments on cavity solitons [16,22].

We show that, below the lasing threshold, the system may present multiple stable solutions, such as stationary cavity solitons, oscillating or chaotic solitons and a global turbulent solution where the light intensity oscillates aperiodically in space and time, together with the trivial nonlasing solution. The turbulent solution survives above threshold, where it is the only attractor of the system. When the system is emitting on the turbulent state, we perform a statistical treatment on the full set of three-dimensional (3D) data of field intensity as a function of space and time. In contrast with previous literature about optical rogue waves in spatially extended systems [16,23–26], we developed a numerical method for the individuation of the spatiotemporal maxima of the transverse field intensity in which each maximum appearing in the space profile is counted as an "event" only when its peak intensity reaches the maximum value also in time. This method allows a comparison, for example, with the hydrodynamical definition of "significant wave height," corresponding to the mean value of the wave height (from trough to crest) of the highest third of the waves.

A comparison with the existing methods of statistical analysis of extreme events in other transverse systems has been also developed.

In conservative systems and propagative geometry, the rogue wave phenomenon has often been related to known solutions of the nonlinear Schrödinger (NLS) equation such as Akhmediev breathers or Peregrine solitons [3,5,7,27]. However, recent measurements in nonlinear optical fiber have indicated that rogue waves may differ significantly from these analytic solutions [28]. In the present case of a dissipative system, dissipative solitons are attractors of the dynamics and their signature in phase space might be expected to play the role in the formation of rogue waves. For this reason, we studied the relationship between the spatial size of the rogue waves and that of the stationary solitons and between the temporal behavior of the rogue waves and that of the oscillating solitons. We also found correlations among the probability of observing rogue waves and the different stability domains of the solitons.

We believe that our system, being intrinsically two dimensional, may give some precious insights on the focusing mechanisms giving rise to rogue wave (RW) formation in oceans, mechanisms that could be absent in one-dimensional systems such as fibers, where optical rogue waves are mostly studied.

In Sec. II we recall the dynamical equations that we use to describe a semiconductor laser with an intracavity saturable absorber, while in Sec. III we present our method for the selection of spatiotemporal maxima and define different thresholds for extreme events. In Sec. IV we analyze the dependence of the probability of extreme events on the laser

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^{*}giovanna.tissoni@inphyni.cnrs.fr



FIG. 1. A scheme of the system in study: a broad-area VCSEL with an intracavity saturable absorber. The spatiotemporal profile of the emitted light is analyzed.

parameters and compare our results with those that would be obtained with the usual method of RW analysis based on total intensity distribution I(x, y, t) [23–26]. Finally, in Sec. V we analyze the spatial and temporal profiles of the intensity or carrier active and passive populations in the presence of extreme events and we compare them with cavity solitons, both stationary and self-pulsing.

II. THE MODEL

We consider a monolithic broad-area VCSEL (Vertical Cavity Surface Emitting Laser) with an intracavity saturable absorber (see Fig. 1), described by the following set of equations [17,18]:

$$\dot{F} = [(1 - i\alpha)D + (1 - i\beta)d - 1 + (\delta + i)\nabla_{\perp}^{2}]F,$$

$$\dot{D} = b[\mu - D(1 + |F|^{2}) - BD^{2}],$$
(1)

$$\dot{d} = rb[-\gamma - d(1 + s|F|^{2}) - Bd^{2}],$$

where *F* is the slowly varying amplitude of the electric field, *D*(*d*) is the population variable related to the carrier density in the active (passive) material, μ (γ) is the pump (absorption) parameter, α (β) is the linewidth enhancement factor of the active (passive) material, *b* and *r* are, respectively, the ratio of the photon to the carrier lifetime in the amplifier and the ratio of the carrier lifetimes in the amplifier to the one of the absorber, *B* is the coefficient of radiative recombination, assumed identical for simplicity in the two materials, *s* is the saturation parameter, and δ is a diffusion coefficient for the electric field that accounts phenomenologically for the finite linewidth of gain.

Dynamical equations are integrated *via* a split-step method with periodic boundary conditions. Time is scaled to the photon lifetime (≈ 10 ps) and space is scaled to the diffraction length ($\approx 4 \mu$ m) [29]. For a more detailed definition of all these parameters see Ref. [20].

In Ref. [21] it was shown that, for a large region of the parameter space, a spatiotemporal turbulent state coexists below the laser threshold with the nonlasing solution, the stationary cavity solitons and possibly with localized chaotic states (chaotic solitons). Conversely, above the laser threshold,



FIG. 2. Homogenous stationary solution for the system (1) (dashed black line), stationary cavity soliton branch (blue line and triangles), time-averaged maximum intensity of the turbulent state (orange line and squares) and of chaotic solitons (green line and circles), as a function of μ . Other parameters are r = 1, b = 0.01, $\alpha = 2$, $\beta = 1$, $\gamma = 2$, s = 1, B = 0.1, $\delta = 0.01$. The laser threshold is at $\mu_{th} = 5.18$.

where the nonlasing solution becomes unstable, the extended spatiotemporal turbulent state is the only possible solution of the equations. Throughout all the paper we study the behavior of the system in such a turbulent state, both below and above the lasing threshold.

The time-averaged maximum intensity of the turbulent state is displayed in Fig. 2 as a function of μ , where for comparison we also show the intensity of the unstable homogeneous stationary solution, the maximum intensity of the stationary cavity solitons and the time-averaged maximum intensity of chaotic solitons: the turbulent branch lies well above the other curves. Typical spatial profiles of the turbulent state are displayed in Figs. 3(a) and 4.



FIG. 3. (a) Snapshot of the transverse profile of the field intensity and (b) corresponding Fourier spectrum (in logarithmic scale) for the turbulent solution, for $\mu = 5$ and r = 1.



FIG. 4. Method for the individuation of the spatiotemporal maxima. (a)–(c) three successive snapshots (separated by 9 ps) of a zoom on the field intensity transverse profile in the turbulent regime, for $\mu = 5$ and r = 1. The red circles indicate the spatiotemporal maxima detected with our method. Not all the spatial maxima have a circle in the image; each local spatial maximum (see, for example, the one indicated by the arrows) is followed during its time evolution: when its intensity is (a) still growing or (c) is diminishing in time (green arrows), it is not selected. The "event" is counted only when the local spatial maximum reaches its maximum value in time [panel (b), orange arrow].

For most of the simulations shown here (unless stated otherwise), we used the same set of parameters as in Refs. [19,21], in particular we set b = 0.01, $\alpha = 2$, $\beta = 1$, $\gamma = 2$, s = 1, B = 0.1, and varied r and μ as control parameters.

With respect to Refs. [19,21] we set here $\delta = 0.01$ instead of zero, which amounts to having a complex coefficient in front of the Laplacian, which accounts for both diffraction and diffusion of the electric field. The additional diffusive term, which accounts for the finite-gain linewidth, has been introduced phenomenologically, although a detailed derivation can be found in Ref. [30] for a two-level system and in Ref. [31] for a VCSEL. With our scaling of time to the photon lifetime τ_p the frequencies of the transverse modes are of order 1. Assuming a Lorentzian gain curve of actual width $1/\tau_g$ and scaled width τ_p/τ_g , the diffusion coefficient is of order (τ_g/τ_p)². Therefore, our choice $\delta = 0.01$ amounts to assuming that the gain linewidth is one order of magnitude larger than the cavity linewidth.

Such a diffusive term is basically irrelevant as long as one deals with localized structures such as the stationary, oscillating or chaotic solitons of Ref. [21] but it must be introduced in presence of an extended turbulent state because it acts as a filter for high spatial frequencies and prevents the formation of filaments. Without that term the spatial structures contract rapidly and become very narrow intensity peaks with a flat Fourier spectrum, because energy is transported from the most unstable (low) wave vectors to the higher ones. Such narrow peaks cannot be sufficiently sampled over the numerical grid, and the occurrence of this self-collapse makes the simulations unreliable.

The stabilizing effect of the field diffusion term can be appreciated in the snapshot of the (transverse) spatial optical Fourier spectrum of the electric field, shown in Fig. 3(b). The spectrum is broad, showing the repartition of energy on many different spatial scales, but the size remains finite, and self-collapse is avoided.

III. EXTREME EVENTS

The method that we adopted to select the spatiotemporal maxima is a nontrivial extension of a method introduced in Refs. [3,11] in the case of (1D + 1) dimensions. It is illustrated in Fig. 4. The local spatial maximum indicated by the green and orange arrows is not selected as long as it is growing in time and neither it is selected when its intensity is diminishing: it is selected only at the precise instant when it reaches its maximum in time (orange arrow). This procedure is applied to each local maximum of the spatial pattern throughout all the duration of the simulation: all the red circles in Fig. 4 indicate spatiotemporal maxima detected with this method.

The statistical analysis is done on all the spatiotemporal maxima recorded in this way during simulations lasting 25 ns, where we register one image of the transverse intensity distribution every ps, while the integration time step is 100 fs. The spatial size of the integration window is 256×256 pixels, corresponding to about $256 \times 256 \ \mu\text{m}^2$ (the spatial step used being 0.25).

We used three different definitions for the threshold that determines whether an event may be regarded as extreme.

Threshold 1. The mean intensity, averaged on every point of the transverse plane and every instant in time, plus eight times the standard deviation. This is the definition most commonly used for studying optical rogue waves in spatially extended systems [23–26].

Threshold 2. Two times the significant wave height H_s , defined as the average of the highest third of the spatiotemporal maxima values. This is the typical hydrodynamic definition and allows us to get rid of a possible global increase of the average value, which would not correspond to a freak wave. Note that, due to the large number of very low-intensity peaks, which would make the treatment and data analysis uselessly time consuming, we computed the significant wave height H_s excluding events whose height is smaller than a given threshold, which is about 0.5. This cut makes more stringent the criterion for the definition of extreme events. The typical number of remaining "events" detected during a simulation is around 6×10^5 .

Threshold 3. Average of spatiotemporal maxima values plus eight times the standard deviation. This is a new definition, proper to our method and it is, by far, the most stringent one. We decided to introduce this third threshold because it is the equivalent of threshold 1, most commonly used, but it is most appropriate for our numerical data, representing the spatiotemporal maxima obtained with our method.

IV. DEPENDENCE ON LASER PARAMETERS

We performed numerical simulations for different values of the control parameters μ (pump parameter) and r (ratio of carrier lifetimes) to determine under which conditions rogue waves are more likely to be observed in an experiment. As indicators for the rogue nature of the data we used (i) the



FIG. 5. Density plots showing (a) fraction of rogue events using threshold 1 and (b) kurtosis of the total intensity probability density function (PDF) after subtracting the kurtosis of a negative exponential PDF ($K_{exp} = 9$), as a function of the parameters r and μ .

ratio of the number of extreme events (identified according to the three thresholds defined above) to all the spatiotemporal maxima, and (ii) the kurtosis of the data distribution, which is the ratio of the fourth moment about the mean to the square of the variance.

We display the results of the simulations *via* color scale density plots of the two indicators. Figure 5 refers to the statistics made on *all the intensity values* and shows the fraction of rogue waves according to threshold 1 [Fig. 5(a)] and the kurtosis of the probability density function (PDF) [Fig. 5(b)] with respect to that of a negative exponential

$$\frac{1}{\langle I \rangle} \exp\left(-\frac{I}{\langle I \rangle}\right),\tag{2}$$

which is the PDF corresponding to a Gaussian statistics on the field amplitude.

Figure 6 refers to the statistics made only on the *spatiotem*poral maxima and shows the fraction of rogue waves according to thresholds 2 [Fig. 6(a)] and 3 [Fig. 6(b)] and the kurtosis of I_{max} [Fig. 6(c)].

All these data plots are visually similar, showing a typical structure for the maximum extreme events probability placed approximately at the left boundary of the turbulent branch, but with some small differences that are peculiar to the different quantity in study.

Figures 7(a) and 7(b) show two sections of Figs. 6(b) and 6(c) for fixed $\mu = 5$ (below the laser threshold) and variable r [Fig. 7(a)] and for fixed r = 2.2 and variable μ [Fig. 7(b)]. Both indicators show a rapid increase in correspondence with the maxima shown in the density plots.

We can therefore conclude that rogue waves are most probable for low pump μ , below the laser threshold, where the turbulent state coexists with the nonlasing solution and the localized structures, and for high values of *r*, corresponding to a fast absorber which favors a *Q*-switching-like behavior [21].

Figure 8(a) shows the probability density function (PDF) of the spatiotemporal maxima for $\mu = 7$ and r = 2.5, i.e., for a set of parameters for which the simulations show that the probability of extreme events is small.

The three vertical dashed lines indicate the three threshold defined above. While according to threshold 1 and 2 there is a considerable number of extreme events, only very few events lie beyond threshold 3.



FIG. 6. Density plots showing (a) fraction of rogue events using threshold 2 and (b) threshold 3, and (c) kurtosis of the PDF of the spatiotemporal maxima as a function of parameters r and μ (as a reference, for a Gaussian distribution $K_{\text{Gauss}} = 3$).

In Fig. 8(a) we can observe that the distribution of the spatiotemporal maxima can be compared with a Weibull distribution (even if our data present a slightly less rapidly



FIG. 7. Fraction of rogue waves according to threshold definition 3 (light blue, right vertical axis) and kurtosis of the PDF of the spatiotemporal maxima (blue, left vertical axis), (a) as a function of r for $\mu = 5$ and (b) as a function of μ for r = 2.2.



FIG. 8. (a) PDF of all the spatiotemporal maxima detected with our method during a numerical simulation lasting 25 ns, for $\mu = 7$ and r = 2.5. The green and magenta lines are respectively the Gumbel and Weibull distributions computed from the mean and standard deviation of the data. The three vertical dashed lines indicate three different definitions of rogue wave thresholds, defined in the text as thresholds 1, 2, and 3 (see the legend, and the text). (b) PDF of all the values explored by the intensity during the entire simulation in each point of the transverse plane. Black dashed vertical line shows threshold for rogue waves [same as threshold 1 in panel (a)].

decaying tail)

$$\frac{k}{\lambda} \left(\frac{I_{\max}}{\lambda}\right)^{k-1} \exp\left[-\left(\frac{I_{\max}}{\lambda}\right)^{k}\right], \quad (3)$$

with k and λ parameters directly computed from the data (mean value, standard deviation etc.). The Weibull distribution characterizes the deviation from a negative exponential (k = 1) or a Rayleigh distribution (k = 0): in particular in this case we find $k \approx 1.52$. Furthermore, the data follow well the behavior predicted by extreme value theory [32], in particular the Gumbel distribution

$$\frac{1}{\beta}\exp\left\{-[z+\exp\left(-z\right)]\right\}, \quad z=\frac{I_{\max}-\langle I_{\max}\rangle}{\beta}+\gamma, \quad (4)$$

with γ being Euler's constant and β being the parameter computed from the data (mean value, standard deviation, etc.).

Figure 8(b) shows instead the PDF of all the intensity values which displays a small but clear deviation from the negative exponential PDF computed on the average of the data (solid red line).

Figure 9 is the same as Fig. 8 but for a most favorable case $(\mu = 5 \text{ and } r = 2.4)$ for RW existence. Here the approximation



FIG. 9. (a), (b) Same plots as in Fig. 8, but for $\mu = 5$ and r = 2.4. The presence of very heavy tails is clearly visible, and RWs exist according to all the threshold definitions.

of the PDF of the spatiotemporal maxima through the Gumbel and Weibull distribution is not accurate anymore. We observe that a large number of extreme events exists according to all three thresholds.

Also, Fig. 9(b) shows a more pronounced deviation from the negative exponential than Fig. 8(b).

When looking at the temporal evolution of the spatial intensity profile I(x, y), one can observe that in this case the turbulent state shows a global superimposed rather regular oscillation between almost null intensity and a maximum intensity, as clearly shown in Fig. 10, where we plotted the temporal evolution of the spatial averaged field intensity. This is probably a residual effect of the *Q*-switching instability that affects the system for high values of *r*, both in the plane-wave



FIG. 10. Temporal evolution of the spatially averaged intensity for the same simulation as in Fig. 9.

case, where the dependence on (x, y) of the electric field is neglected, and in presence of cavity solitons.

We can compare our results with those of Ref. [16]. In both cases rogue waves appear to be related to spatiotemporal complexity, but in Ref. [16] the proportion of RW and the excess kurtosis of the data distribution seem to *increase* (at least for a large set of the pump values above threshold) when the pump μ is increased, in contrast with our results, where both indicators *decrease*.

It is important to remark that, in Ref. [16], the data analyzed are those of the *mean* intensity, averaged over the spatial integration window, which can present a completely different behavior with respect to the local intensity. For instance, in a situation such as that of Fig. 9, where our RW indicators calculated on the spatiotemporal maxima are largest, the mean intensity is conversely very well behaved, as shown in Fig. 10. A statistic analysis made on the spatially averaged intensity would probably show no trace of extreme events in this case.

To substantiate this interpretation we performed a new set of numerical simulations using the same parameters as in Ref. [16]; that is, r = 1, b = 0.005, $\alpha = 2$, $\beta = 0$, $\gamma = 0.5$, s = 10, B = 0, $\delta = 0.01$, and performed the statistical analysis of the spatiotemporal maxima obtained with our method. In Fig. 11(a) we show the stationary homogeneous



FIG. 11. (a) Unstable stationary homogeneous solution (black dashed line) and turbulent branch (red line with symbols) for the parameters r = 1, b = 0.005, $\alpha = 2$, $\beta = 0$, $\gamma = 0.5$, s = 10, B = 0, $\delta = 0.01$. The laser threshold is at $\mu_{th} = 1.5$ (b) Kurtosis of the PDF of the spatiotemporal maxima (blue, left axis) and fraction of rogue events using threshold definition 3 (light blue, right axis) as a function of parameter μ , for simulations lasting 25 ns.

solution and the turbulent branch, while in Fig. 11(b) the Kurtosis of the PDF and the RW fraction are shown as a function of the pump parameter μ (same as in Fig. 7). The same behavior as for all the other examples shown in this paper is present here: the maximum probability of extreme events occurs for low pump values; that is, at the left boundary of the turbulent branch, in contrast with the results of Ref. [16].

V. SPATIAL AND TEMPORAL PROFILES

Once observed the presence of rogue waves in the system under analysis, we studied the spatial and temporal profiles of the rogue waves detected during the numerical simulations.

In Fig. 12 we show an example of a RW and its spatial and temporal profiles for the field intensity (solid red line) and the carrier populations in the active (dotted blue line) and passive (dash dotted yellow line) media. For the sake of simplicity, we limited the spatial analysis to the x and y



FIG. 12. (a) Example of a rogue wave in the transverse plane and (b) its temporal and (c) spatial profiles, shown for the variables *I* (solid red line), *D* (dotted blue line), and *d* (dash dotted yellow line). Parameters are $\mu = 4.8$, r = 2.2.



FIG. 13. Comparison with cavity solitons. (a) Comparison of the spatial profile of a stationary cavity soliton (dash dotted green line) and that of a RW (solid blue line) for $\mu = 5$, r = 1. Comparison of the (b) spatial and (c) temporal profiles of a self-pulsing cavity soliton (dash-dotted red line) and those of a RW (solid blue line), for $\mu = 5$, r = 1.75. The profiles are normalized to the peak intensity value.

axes. The temporal profile is given by the values registered throughout the simulation in the spatial point where the rogue wave is detected. From the spatial and temporal profiles it is possible to get the typical full width at half maximum (FWHM) detected during each simulation. The typical FWHM in time is 16 ps and the typical FWHM in space is 6 μ m: as we

noticed, these values remain almost constant throughout all the different simulations (performed with different values of μ and r), suggesting that there is a typical spatial and temporal size for this kind of phenomena.

In Fig. 13(a) we present a comparison between the spatial profile of a rogue wave (solid blue line) and a stationary cavity soliton (dash dotted green line), obtained in a parameter region where they coexist (here, $\mu = 5$, r = 1), while in Figs. 13(b) and 13(c) we compare both the spatial and temporal profiles of a RW (solid blue line) and a self-pulsing cavity soliton (dash dotted red line), for $\mu = 5$ and r = 1.75.

The very similar spatial and temporal shapes seem to indicate the same generating mechanisms for cavity solitons and RWs, and that the RW occurrence may be related to the existence of the dissipative soliton attractor in a very close parameter range.

As for the spatial profile, the generating mechanism is related to the modulational instability of the homogeneous stationary solution, whose spatial scale is ruled by the diffraction length (depending, in turn, on the cavity length and on the wavelength of the light). Conversely, for the temporal profile, this generating mechanism is connected to the Hopf instability affecting the stationary solution (homogeneous and cavity solitons), giving rise to the well-known phenomenon of Q switching in the plane-wave case.

Due to the spatial and temporal scales of extreme events observed here we believe that point-like measurements covering variable areas from 10^4 down to $36 \,\mu m^2$ with 35 GHz detection bandwidth should enable the observation of the phenomena we report here.

VI. CONCLUSIONS

We analyzed a model for a monolithic broad-area VCSEL with an intracavity saturable absorber and introduced a method to define and statistically analyze the "events," that is, the spatiotemporal maxima occurring in the transverse profile of the field intensity.

We have shown numerically the existence of rogue waves in this system according to different possible definitions and analyzing different RW indicators, and we showed the best parameter choice to observe them. Furthermore, from a study of the temporal and spatial profiles, we have determined the typical temporal and spatial size (FWHM) expected for such extreme events. Although extreme events and cavity solitons are different objects in our system, we have shown that their scaled profile is very similar and that the set of parameters more suitable for finding extreme events is compatible with that of stationary and oscillating solitons.

As suggested in Ref. [33] for a similar system, we believe that two-dimensional spatial effects play a crucial role in the formation of extreme events.

The same kind of analysis can be applied to different optical systems such as spatially extended, injected semiconductor lasers, such as coherently injected broad-area VCSELs [34,35] and macroscopic semiconductor ring lasers with coherent injection [36,37], and these works are in progress.

A future aim of the work presented here is the investigation of the predictability of rogue waves both in time and space; for example, by checking if the shape of the field intensity versus space or time presents some regularities approaching a rogue wave, a development that would be very interesting especially in the framework of the hydrodynamical analogy. The identification of some typical temporal or spatial shape as a precursor of the rogue wave would allow us to predict it and reduce the possible damages caused to ships or coasts.

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