

Quantum-trajectory thermodynamics with discrete feedback control

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We employ the quantum-jump-trajectory approach to construct a systematic framework to study the thermodynamics at the trajectory level in a nonequilibrium open quantum system under discrete feedback control. Within this framework, we derive quantum versions of the generalized Jarzynski equalities, which are demonstrated in an isolated pseudospin system and a coherently driven two-level open quantum system. Due to quantum coherence and measurement backaction, a fundamental distinction from the classical generalized Jarzynski equalities emerges in the quantum versions, which is characterized by a large negative information gain reflecting genuinely quantum rare events. A possible experimental scheme to test our findings in superconducting qubits is discussed.

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I. INTRODUCTION

Recent years have witnessed the rise of an interdisciplinary field of information thermodynamics [1]. Information processing and feedback control in small classical thermodynamic systems are fairly well understood in terms of thermodynamic variables [2–5] and information gain [6,7] along individual trajectories. In particular the generalized Jarzynski equalities [6]

$$\langle e^{-\beta(W-\Delta F)} \rangle = \eta, \quad \langle e^{-\beta(W-\Delta F)-I} \rangle = 1 \quad (1)$$

connect the work W with the efficacy η of feedback control and the mutual information I . Here ΔF and β are respectively the free-energy difference and the inverse temperature. These relations have been experimentally verified by using colloidal particles [8] and a single-electron box [9].

However, there has been little progress in the quantum aspect of information thermodynamics at the trajectory level. The main difficulty is to identify the thermodynamic variables and the information content compatible with genuine quantum effects such as superposition and measurement backaction. The thermodynamics of information processing has been discussed mainly on the basis of statistical ensembles [10–14], whereas only special cases have been examined at the trajectory level including classical measurement errors [15], an isolated driving [16] and a separated thermalization process [17].

Meanwhile, there have been remarkable advances in experimental techniques to measure and control small quantum systems such as trapped ions [18], quantum dots [19], and superconducting qubits [20], which can be used to implement quantum information processing and operate in the presence of dissipation and dephasing. In particular, continuous monitoring [21–23] and measurement-based feedback control [24,25] have been achieved in superconducting qubits. It thus seems timely to develop a theoretical framework to study quantum trajectory thermodynamics under feedback control.

Among various proposals for the definitions of work and heat in open [26–29] and isolated quantum systems [30–32], the quantum-jump-trajectory (QJT) approach, which was originally developed in quantum optics [33–35] and applied to quantum thermodynamics quite recently [36–47], provides a natural framework to define thermodynamic quantities.

The QJT-based definition naturally incorporates quantum coherence and gives the definitions of work and heat that reduce to the widely accepted ones (see Appendix C for details) upon ensemble averaging [48,49] or in the classical [3] and adiabatic limits [50–52].

In this paper, we extend the QJT approach to a widely applicable quantum thermodynamic process with discrete feedback control to establish a framework for systematically studying information thermodynamics in small open quantum systems at the level of individual trajectories. Yet another genuinely quantum-mechanical effect—measurement backaction—is also included. In particular, we find the quantum generalizations of Eq. (1) and highlight the fundamental distinction from their classical counterparts [6], which is characterized by a new information content (17) that signals quantum rare events by large negative values. The present work thus significantly broadens the scope of information thermodynamics to open quantum systems, where quantum coherent thermodynamics, measurement backaction, and feedback control may conspire to yield as yet unexplored emergent quantum phenomena.

This paper is structured as follows. In Sec. II, we review the quantum master equation formalism of quantum thermodynamics at the ensemble level. In Sec. III, we review the quantum trajectory thermodynamics in the absence of feedback control. In Sec. IV, we combine quantum trajectory thermodynamics with feedback control to establish the general framework for information thermodynamics in the quantum regime. We derive the quantum versions of the generalized Jarzynski equalities in Sec. V. Two examples are given in Sec. VI. Finally, we conclude the paper in Sec. VII. Several complicated algebraic manipulations and detailed discussions are relegated to Appendixes to avoid digressing from the main subject. Appendix A provides a detailed derivation of the master equation (2). Appendix B shows how heat and work can be defined without ambiguity along a single quantum trajectory. Appendix C demonstrates how the QJT-based definitions of work and heat reduce to their widely accepted definitions at the ensemble level and in the classical or adiabatic limit. Appendix D gives derivations of the generalized quantum Jarzynski equations. Appendix E describes some details of the example discussed in Sec. VI.

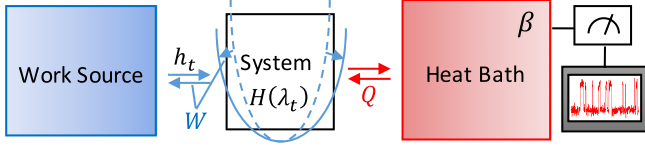


FIG. 1. A system is weakly coupled to an ideal heat bath with inverse temperature β and simultaneously driven out of equilibrium by a time-dependent inclusive Hamiltonian $H(\lambda_t)$ and an exclusive one h_t . At the trajectory level, the system is projectively measured twice in the eigenbasis of the instantaneous Hamiltonian at the initial and final times, while the heat bath is subjected to continuous projective monitoring during the whole process.

II. QUANTUM THERMODYNAMICS

A. Markovian quantum master equation

We consider a d -level system with nondegenerate energy gaps, whose state at time t is described by the density operator ρ_t . As schematically illustrated by Fig. 1, the system is under nonequilibrium driving and weakly coupled to a large heat bath at inverse temperature β . The time-dependent driving can be classified into an inclusive part $H(\lambda_t)$ with a tunable work parameter λ_t and an exclusive part h_t [53], where only the former is included in the system energy $E_t = \text{Tr}[\rho_t H(\lambda_t)]$ while the latter arises from external driving. We assume a sufficiently slow inclusive driving speed $\dot{\lambda}_t$ and a short memory time τ_B of the heat bath [54] [see Eq. (A2) for details]. Under the Born-Markov approximation and the rotating-wave approximation [55,56], the Lindblad master equation [57] can be obtained as (see Appendix A for the derivation)

$$\dot{\rho}_t = \mathcal{L}_t \rho_t = -\frac{i}{\hbar} [H(\lambda_t) + h_t, \rho_t] + \sum_j \mathcal{D}[L_j(\lambda_t)] \rho_t, \quad (2)$$

where $\mathcal{D}[c]\rho \equiv c\rho c^\dagger - \{c^\dagger c, \rho\}/2$ is a traceless superoperator, and $L_j(\lambda)$ is the j th jump operator satisfying $[L_j(\lambda), H(\lambda)] = \Delta_j(\lambda)L_j(\lambda)$ with $\Delta_j(\lambda) \in \{E_k^\lambda - E_l^\lambda : H(\lambda)|k^\lambda\rangle = E_k^\lambda|k^\lambda\rangle, k, l = 1, 2, \dots, d\}$ and the detailed balance condition $L_{j'}^\dagger(\lambda) = L_j(\lambda)e^{-\beta\Delta_j(\lambda)/2}$ with j' being uniquely determined from $\Delta_{j'}(\lambda) = -\Delta_j(\lambda)$ if $\Delta_j(\lambda) \neq 0$ and $j' = j$ otherwise. The Lamb shift is ignored. Since h_t is exclusive, the detailed balance condition ensures the system to relax to an instantaneous equilibrium state only when λ_t is constant and h_t is turned off [42].

While we introduce Eq. (2) based on the standard small-system + large-environment approach [39], the same equation of motion can be obtained for an effective heat bath constituted from a set of independent and identically distributed systems (e.g., two-level atoms [38]), each of which sequentially interacts with the system during an appropriate short time [40]. This can be understood from the fact that any Markovian, completely positive and trace-preserving (CPTP) open quantum dynamics possesses the Lindblad form [58]. Equation (2) is valid if h_t is perturbative [i.e., $h_t \ll H(\lambda_t)$] or represents a sequence of sudden pulses (see Appendix A for a heuristic argument). Thus, our formalism applies to a broad class of driving protocols such as π pulses used in Ref. [14] and potentially to quantum computation [59], where

a gate operation $U_g = e^{-ih_g}$ at time t_g can be generated by $h_t = \hbar h_g \delta(t - t_g)$.

B. Work and heat at the ensemble level

In the absence of an exclusive driving ($h_t = 0$), we have the following well-known expressions for work and heat [48,49]:

$$\begin{aligned} \langle W \rangle &= \int_0^\tau dt \dot{\lambda}_t \text{Tr}[\partial_\lambda H(\lambda_t) \rho_t], \\ \langle Q \rangle &= - \int_0^\tau dt \text{Tr}[H(\lambda_t) \dot{\rho}_t], \end{aligned} \quad (3)$$

where ρ_t is the solution to Eq. (2) during the time interval $0 \leq t \leq \tau$. Such definitions of work and heat allow intuitive interpretations that the energy change due to a change of the work parameter (the state) is identified as work (heat), and satisfy the first law of thermodynamics $\Delta E \equiv E_\tau - E_0 = \langle W \rangle - \langle Q \rangle$. Here $Q > 0$ corresponds to the heat transferred from the system to the heat bath.

In the presence of an exclusive driving ($h_t \neq 0$), the definitions of work and heat should be modified by

$$\begin{aligned} \langle W \rangle &= \int_0^\tau dt \dot{\lambda}_t \text{Tr}[\partial_\lambda H(\lambda_t) \rho_t] - \frac{1}{i\hbar} \int_0^\tau dt \text{Tr}[[h_t, H(\lambda_t)] \rho_t], \\ \langle Q \rangle &= - \int_0^\tau dt \text{Tr}[H(\lambda_t) \dot{\rho}_t] - \frac{1}{i\hbar} \int_0^\tau dt \text{Tr}[[h_t, H(\lambda_t)] \rho_t]. \end{aligned} \quad (4)$$

Here, additional terms appear due to the fact that h_t affects the unitary part of the system's dynamics just like $H(\lambda_t)$, but the effect is dropped when we evaluate the energy expectation. If we used Eq. (3), for a short time interval $[t, t + dt]$, an additional energy change $-\frac{i}{\hbar} \text{Tr}[[h_t, \rho_t] H(\lambda_t)] dt = -\frac{1}{i\hbar} \text{Tr}[[h_t, H(\lambda_t)] \rho_t] dt$ due to the unitary state evolution contributed by h_t would be misidentified as heat.

A simple illustrative example is a situation relevant to the quantum Bochkov-Kuzovlev equalities for isolated systems [60], where $\lambda_t = \lambda, \forall t \in [0, \tau]$ is fixed so that $\langle W \rangle = \Delta E = \text{Tr}[H(\lambda)(\rho_\tau - \rho_0)]$ and $\langle Q \rangle = 0$. One can check that Eq. (4) indeed gives this result, whereas Eq. (3) leads to the wrong results: $\langle Q \rangle = \text{Tr}[H(\lambda)(\rho_\tau - \rho_0)]$ and $\langle W \rangle = 0$. An interesting special limit is the quantum logic gate operation with $h_t = \hbar h_g \delta(t - t_g)$. Suppose that the input state is $\rho_{t_g^-}$, the energy cost, which is attributed to work, of the quantum logic gate operation $U_g = e^{-ih_g}$ generated by h_t should be $\langle W_g \rangle = \text{Tr}[H(\lambda)(\rho_{t_g^+} - \rho_{t_g^-})]$, where $\rho_{t_g^+} = U_g \rho_{t_g^-} U_g^\dagger$ is the quantum state after the operation. It is clear that Eq. (4) gives such a result. However, if we used Eq. (3), we would again arrive at a wrong conclusion that such an energy cost is identified as heat.

To further convince ourselves the necessity of the additional terms in Eq. (4), we may recall the classical counterpart. As is well known in classical stochastic thermodynamics, the work functional with respect to a trajectory $\Gamma_t \equiv (x_t, p_t)$ in the phase space of a Brownian particle with mass M , subjected to a nonconservative force f_t and confined in a time-dependent potential $V(x, \lambda_t)$, is [61]

$$W_C[\Gamma_t] = \int_0^\tau dt \dot{\lambda}_t \partial_\lambda V(x_t, \lambda_t) + \frac{1}{M} \int_0^\tau dt p_t f_t. \quad (5)$$

Suppose that f_t arises from a fictitious potential $h_t(x) \equiv -f_t x$. Then $W_C[\Gamma_t]$ can be rewritten as

$$W_C[\Gamma_t] = \int_0^\tau dt \dot{\lambda}_t \partial_{\lambda_t} H(x_t, \lambda_t) - \int_0^\tau dt \{h_t(x_t), H(x_t, \lambda_t)\}_{\text{PB}}, \quad (6)$$

where $H(x, \lambda) = p^2/2M + V(x, \lambda)$ is the classical Hamiltonian, and $\{\cdot, \cdot\}_{\text{PB}}$ is the Poisson bracket. By replacing the Poisson bracket with the commutator $\frac{1}{i\hbar}[\cdot, \cdot]$ and taking the ensemble average $\text{Tr}[\rho_t \dots]$, we reproduce the first expression in Eq. (4).

III. QUANTUM-TRAJECTORY THERMODYNAMICS

A. Quantum-jump trajectory

While classical trajectory thermodynamics or stochastic thermodynamics is a relatively mature field [62], little progress has been made on its quantum generalization until very recent years (see Appendix B for some related remarks). Interestingly, this cutting-edge problem is found to be closely related to the well-established QJT approach, which we briefly review here.

According to the equation of motion (2), up to accuracy $O(\delta t^2)$, $\rho_{t+\delta t}$ can be expressed as the nonselective postmeasurement state of ρ_t for a certain measurement [44]:

$$\rho_{t+\delta t} = \left[I - \frac{i}{\hbar} H_{\text{eff}}(t) \delta t \right] \rho_t \left[I + \frac{i}{\hbar} H_{\text{eff}}^\dagger(t) \delta t \right] + \sum_j L_j(\lambda_t) \sqrt{\delta t} \rho_t L_j^\dagger(\lambda_t) \sqrt{\delta t}, \quad (7)$$

where $H_{\text{eff}}(t) = H(\lambda_t) + h_t - \sum_j i \hbar L_j^\dagger(\lambda_t) L_j(\lambda_t) / 2$ is the non-Hermitian effective Hamiltonian. In terms of a selective measurement, we can interpret the open quantum dynamics during a short time interval as follows: there is a probability $\delta p_j = \text{Tr}[L_j^\dagger(\lambda_t) L_j(\lambda_t) \rho_t] \delta t$ (or $p_0 = 1 - \sum_j \text{Tr}[L_j^\dagger(\lambda_t) L_j(\lambda_t) \rho_t] \delta t$) of the outcome $j \neq 0$ (or $j = 0$) being observed, which is accompanied by the backaction that changes ρ_t into $L_j(\lambda_t) \rho_t L_j^\dagger(\lambda_t) \delta t / \delta p_j$ (or $[I - \frac{i}{\hbar} H_{\text{eff}}(t) \delta t] \rho_t [I + \frac{i}{\hbar} H_{\text{eff}}^\dagger(t) \delta t] / p_0$). If ρ_t is a pure state $|\psi_t\rangle\langle\psi_t|$, it will stay pure but evolve differently for different outcomes. In particular, if the outcome $j = 0$ is observed, we have

$$\begin{aligned} |\psi_{t+\delta t}\rangle &= \frac{1}{\sqrt{p_0}} \left[I - \frac{i}{\hbar} H_{\text{eff}}(t) \delta t \right] |\psi_t\rangle \\ &= \left[I - \frac{i}{\hbar} H_{\text{eff}}(t) \delta t + \frac{1}{2} \sum_j \|L_j(\lambda_t)|\psi_t\rangle\|^2 \delta t \right] |\psi_t\rangle, \end{aligned} \quad (8)$$

which describes a state change of the order of $O(\delta t)$ called nonunitary evolution. If $j \neq 0$ is observed, we have

$$|\psi_{t+\delta t}\rangle = \sqrt{\frac{\delta t}{\delta p_j}} L_j(\lambda_t) |\psi_t\rangle = \frac{L_j(\lambda_t) |\psi_t\rangle}{\|L_j(\lambda_t) |\psi_t\rangle\|}, \quad (9)$$

which describes a state change of the order of $O(1)$ due to a quantum jump (QJ). Combining these two different types

of evolutions, we obtain the QJ-type stochastic Schrödinger equation:

$$\begin{aligned} d|\psi_t\rangle &= \left[-\frac{i}{\hbar} H_{\text{eff}}(t) + \frac{1}{2} \sum_j \|L_j(\lambda_t)|\psi_t\rangle\|^2 \right] |\psi_t\rangle dt \\ &+ \sum_j \left[\frac{L_j(\lambda_t)}{\|L_j(\lambda_t)|\psi_t\rangle\|} - I \right] |\psi_t\rangle dN_t^j, \end{aligned} \quad (10)$$

where dN_t^j 's are independent random variables satisfying $(dN_t^j)^2 = dN_t^j$ and $E[dN_t^j] = \|L_j(\lambda_t)|\psi_t\rangle\|^2 dt$. This stochastic Schrödinger equation is known as an unraveling of the original LME (2), in the sense that ρ_t can be reproduced by taking the average over all the possible realizations of $|\psi_t\rangle$, i.e., $\rho_t = E[|\psi_t\rangle\langle\psi_t|]$. It is worth mentioning that the unraveling is not unique. For the same LME, we also have the quantum-state diffusion unraveling [63] in addition to the QJ-type one.

B. Work and heat at the trajectory level

While the QJ-type stochastic Schrödinger equation (10) was originally proposed for numerical computations [34], its physical interpretation was soon found in a specific direct photon-detection process [64]. Here the photon field serves as the heat bath (though it is the zero-temperature vacuum in Ref. [64]). Thus, the interpretation can be straightforwardly generalized to the continuous projective monitoring of the heat bath (see Fig. 1). In the context of quantum thermodynamics, such an idea was first discussed in Ref. [36].

The QJT approach presupposes a pure initial state. This condition is achieved by a projective measurement (PM) in the eigenbasis of the initial Hamiltonian $H(\lambda_0)$; the PM also determines the initial energy $E_a^{\lambda_0}$ with a being some quantum number. Furthermore, we perform another PM in the eigenbasis of $H(\lambda_\tau)$ at the final time, which determines the final energy $E_b^{\lambda_\tau}$. This two-time energy measurement (TTEM) scheme is inherited from the well-investigated special cases for isolated quantum systems [50,51]. It is worth mentioning that the TTEM scheme is applicable only if $[\rho_0, H(\lambda_0)] = 0$. Fortunately, this condition is satisfied if ρ_0 is the canonical distribution, which is the case in this paper. Generalization to a coherent initial state ($[\rho_0, H(\lambda_0)] \neq 0$) remains an open problem [30].

Each QJT ψ_t represents a single individual realization of Eq. (10), with definite dN_t^j and quantum number a (b) obtained by continuously monitoring the heat bath and the initial (final) PM. Practically, a QJT corresponds to a sequence of outcomes observed in a single-shot experiment. In terms of single-shot readouts, the heat $Q[\psi_t]$ and work $W[\psi_t]$ along such a QJT can be evaluated as [38,40,42,43]

$$\begin{aligned} Q[\psi_t] &= \sum_j \int_0^\tau dN_t^j \Delta_j(\lambda_t), \\ W[\psi_t] &= E_b^{\lambda_\tau} - E_a^{\lambda_0} + \sum_j \int_0^\tau dN_t^j \Delta_j(\lambda_t). \end{aligned} \quad (11)$$

We can see that once the j th QJ occurs at time t , the accumulated heat increases by $\Delta_j(\lambda_t)$ (which may be negative), so the heat is counted discretely at the trajectory level. Combining the heat with the energy change determined by the initial and

final PM outcomes, the work can be found from the first law of thermodynamics at the trajectory level.

IV. QUANTUM FEEDBACK CONTROL

A. Discrete feedback control

We are now in a position to apply quantum trajectory thermodynamics to feedback control, which is the main objective of this paper. Complementary to continuous feedback controls [65–67], we consider the following measurement-based (discrete) feedback control [10]. (i) Initially ($t = 0$), the system is at thermal equilibrium, i.e., $\rho_0 = e^{-\beta H(\lambda_0)} / Z^{\lambda_0}$ with $Z^\lambda \equiv \text{Tr}[e^{-\beta H(\lambda)}]$. A PM Π^{λ_0} is performed to determine the initial energy of the system, where $\Pi^\lambda \equiv \{|k^\lambda\rangle\langle k^\lambda| : H(\lambda)|k^\lambda\rangle = E_k^\lambda|k^\lambda\rangle, k = 1, 2, \dots, d\}$. (ii) During $0 < t < t_m$, the system evolves under fixed protocols λ_t and h_t . (iii) At $t = t_m$, a general measurement described by a set of measurement operators $M_A \equiv \{M_\alpha : \alpha \in A\}$ with $\sum_\alpha M_\alpha^\dagger M_\alpha = I$ (I is the identity operator) is performed on the system. We assume that the measurement device is initially prepared to be in a pure state and that the measurement time is negligible. (iv) During $t_m < t < \tau$, we choose driving protocols λ_t^α and h_t^α that depend on measurement outcomes α . (v) Finally, at $t = \tau$, a PM Π^{λ_τ} is performed to determine the final energy of the system.

B. Work and heat in feedback control processes

In the presence of feedback control, a QJT can be constructed as follows. (i) Starting from an energy eigenstate $|\alpha^{\lambda_0}\rangle$, the system's state $|\psi_t\rangle$ evolves stochastically according to Eq. (10) with fixed λ_t and h_t . (ii) Conditioned on the system's state $|\psi_{t_m}^- \rangle$ just before the measurement, there is a probability $\|M_\alpha|\psi_{t_m}^- \rangle\|^2$ to observe an outcome α , which entails a sudden state change into $|\psi_{t_m}^\alpha\rangle = M_\alpha|\psi_{t_m}^- \rangle / \|M_\alpha|\psi_{t_m}^- \rangle\|$ due to the measurement backaction. (iii) The system evolves stochastically according to Eq. (10) with driving protocols λ_t^α and h_t^α , and finally ends at $|\alpha^{\lambda_\tau}\rangle$ after the second PM. A typical QJT is schematically illustrated in Fig. 2 (top half).

By identifying the energy cost of the measurement as work [10], the heat and work along a QJT are evaluated by Eq. (11) with λ_t replaced by λ_t^α for $t > t_m$. By defining $\lambda_t^\alpha \equiv \lambda_t$ ($\forall \alpha \in A$) for $t < t_m$ for convenience, we have

$$Q[\psi_t, \alpha] = \sum_j \int_0^\tau dN_t^j \Delta_j(\lambda_t^\alpha),$$

$$W[\psi_t, \alpha] = E_b^{\lambda_\tau^\alpha} - E_a^{\lambda_0} + \sum_j \int_0^\tau dN_t^j \Delta_j(\lambda_t^\alpha). \quad (12)$$

V. GENERALIZED QUANTUM JARZYNSKI EQUALITIES

While the fluctuation patterns of work and heat can be rather complex owing to the restriction on the dynamics imposed by the detailed balance condition, the fluctuations share some universal properties, which are captured by the fluctuation theorems [28,29]. In the presence of feedback control, by adding certain correction terms due to measurement [15–17], we can derive some generalized fluctuation theorems.

A simple derivation of the fluctuation theorems is to invoke the time-reversed (TR) process. Due to the measurement

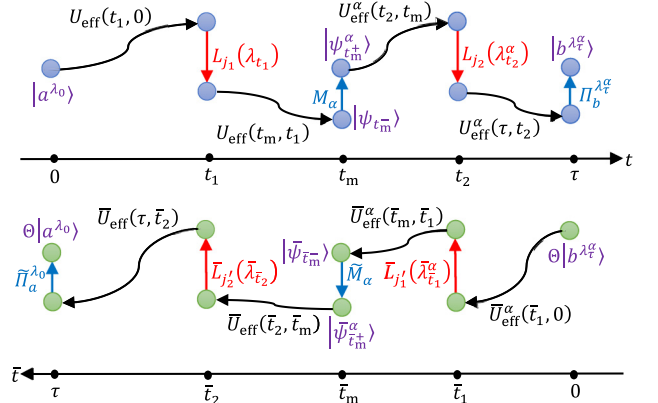


FIG. 2. A forward QJT (top) and the corresponding time-reversed QJT (bottom) starting from the initial energy eigenstates $|\alpha^{\lambda_0}\rangle$ and $\Theta|\alpha^{\lambda_\tau}\rangle$, respectively, where Θ is the time-reversal operator. Here the j_1 th (j_1' th) quantum jump occurs at $t_1 < t_m$ ($\bar{t}_1 < \bar{t}_m$), the measurement M_A (M_{B_α}) is performed at t_m (\bar{t}_m) with the outcome being α , the j_2 th (j_2' th) quantum jump occurs at $t_2 > t_m$ ($\bar{t}_2 > \bar{t}_m$), and the forward (backward) trajectory ends at the final energy eigenstate $|\alpha^{\lambda_\tau}\rangle$ ($\Theta|\alpha^{\lambda_0}\rangle$) due to the projective measurement Π^{λ_τ} ($\bar{\Pi}^{\lambda_0} \equiv \Theta\Pi^{\lambda_0}\Theta^\dagger$). In general, $|\bar{\psi}_{t-t}\rangle \neq \Theta|\psi_t\rangle$.

backaction, in the TR process for a given α we should not only reverse the driving protocols, but also perform a measurement M_{B_α} at $\bar{t}_m \equiv \tau - t_m$, where $\bar{M}_\alpha \equiv \Theta M_\alpha^\dagger \Theta^\dagger \in M_{B_\alpha}$ ($\alpha \in B_\alpha$) with Θ being the time-reversal operator. The other measurement operators in M_{B_α} can be arbitrary since we only postselect the TR QJTs with outcome α . Then for a given measurement outcome α , the TR dynamics for $t \neq \bar{t}_m$ is described by

$$\dot{\rho}_t = \bar{\mathcal{L}}_t^\alpha \rho_t = -\frac{i}{\hbar} [\bar{H}(\bar{\lambda}_t^\alpha) + \bar{h}_t^\alpha, \rho_t] + \sum_j \mathcal{D}[\bar{L}_j(\bar{\lambda}_t^\alpha)] \rho_t, \quad (13)$$

where $\bar{\lambda}_t^\alpha \equiv \lambda_{\tau-t}^\alpha$ and $\bar{O}_t = \Theta O_{\tau-t} \Theta^\dagger$ if the operator is explicitly time dependent and $\bar{O} = \Theta O \Theta^\dagger$ otherwise. Consequently, we find the following trajectory version of the detailed balance condition (see Appendix D 1 for the derivation):

$$\mathcal{P}[\psi_t, \alpha] = e^{\beta(W[\psi_t, \alpha] - \Delta F_\alpha)} \bar{\mathcal{P}}[\bar{\psi}_t, \alpha], \quad (14)$$

where $\mathcal{P}[\psi_t, \alpha]$ ($\bar{\mathcal{P}}[\bar{\psi}_t, \alpha]$) is the probability of a forward (TR) QJT with the total of K QJs associated with $L_{j_k}(\lambda_t^\alpha)$ [$\bar{L}_{j_k}(\bar{\lambda}_t^\alpha)$] at t_k ($\bar{t}_k \equiv \tau - t_{K+1-k}$) and the measurement outcome of M_A (M_{B_α}) being α , and $\Delta F_\alpha = \beta^{-1} \ln(Z^{\lambda_0} / Z^{\lambda_\tau^\alpha})$ is the free-energy difference. A typical TR QJT is presented in Fig. 2 (bottom half).

A. First main result

Based on Eq. (14), we can derive the quantum versions of Eq. (1). The efficacy of feedback control reads (see Appendix D 2 for the derivation)

$$\eta_{\text{QJT}} = \sum_\alpha \text{Tr}[\bar{M}_\alpha^\dagger \bar{M}_\alpha \bar{\rho}_{\bar{t}_m}^\alpha], \quad (15)$$

where $\bar{t}_m^- \equiv \tau - t_m^+$ and $\bar{\rho}_{\bar{t}_m}^\alpha$ is the solution to the TR Lindblad quantum master equation (13) starting from the canonical ensemble $e^{-\beta \bar{H}(\bar{\lambda}_\tau)} / Z^{\bar{\lambda}_\tau}$. The classical result can be reproduced for a general classical measurement $M_\alpha = \sum_n \sqrt{p_{\alpha|n}} |n\rangle\langle n|$

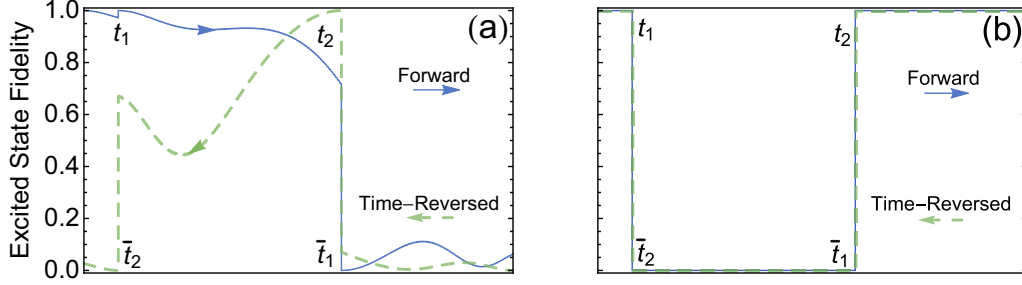


FIG. 3. (a) A quantum rare event in a spinless (time-reversal symmetric, i.e., $\Theta = I$) two-level system with $t_m = 0$ and M_A being nothing but the initial PM. Here the outcome of the initial PM at time $t = 0$ is assumed to be the excited state e . Nevertheless, an unexpected quantum jump $g \rightarrow e$ occurs at t_1 , indicated by a tiny jump in the excited state fidelity (blue). A large negative I_{QJT} is implied by the small excited state fidelity at the final state of the time-reversed QJT (green). (b) In the classical limit, the first jump at t_1 is always $e \rightarrow g$ if the system is initially in e because of the absence of quantum superposition, and the time-reversed QJT is given by $|\bar{\psi}_t\rangle = |\psi_{\tau-t}\rangle$.

(which is Hermitian and hence $M_\alpha = \tilde{M}_\alpha$ if $\Theta = I$) with $A = \{1, 2, \dots, d\}$, where n labels classical states. In general, however, we should distinguish between M_α and \tilde{M}_α . The quantum Jarzynski equality can also be reproduced by setting $|A| = 1$, i.e., $A = \{\alpha\}$ contains only a single outcome, and $M_\alpha = I$, which leads to $\eta_{\text{QJT}} = 1$.

A simple but important corollary of Eq. (15) is that $\langle e^{-\beta(W-\Delta F)} \rangle = \eta_{\text{QJT}} \leq \sum_\alpha \text{Tr}[\tilde{M}_\alpha^\dagger M_\alpha] = \text{Tr}[I] = d$. By using Jensen's inequality $\langle e^x \rangle \geq e^{\langle x \rangle}$, we obtain

$$-\langle W \rangle \leq -\langle \Delta F \rangle + \beta^{-1} \ln d. \quad (16)$$

This inequality implies that the upper bound of the extractable work in a quantum feedback control process cannot exceed the classical one (notice that the Landauer bound [68] corresponds to the special case with $\Delta F = 0$ and $d = 2$). We note that a similar conclusion has been drawn for the efficiency of quantum Carnot engines [69]. However, quantum enhancement of thermodynamic performance does exist for finite-time processes [13].

Experimentally, η_{QJT} can be measured as follows: (i) for a fixed TR driving associated with α and from the equilibrium state, we statistically estimate the probability to observe outcome α for the measurement M_{B_α} performed at \bar{t}_m , and denote the obtained probability by \bar{p}_α after repeating the same process many times; (ii) we change the TR driving, estimate \bar{p}_α for all $\alpha \in A$, and finally sum them up. One can see that such a scheme does not require any knowledge about the details of the microscopic dynamics. This observation is very similar to the classical counterpart [6,8].

B. Second main result

The information content corresponding to Eq. (1) is found to be (see Appendix D3 for the derivation)

$$I_{\text{QJT}}[\psi_t, \alpha] = \ln \left\| \tilde{M}_\alpha |\bar{\psi}_{\bar{t}_m} \rangle \right\|^2 - \ln p_\alpha, \quad (17)$$

which is the relevant information gain, whose meaning will be explained latter. Here $|\bar{\psi}_t\rangle$ is the state at time t in the TR QJT and uniquely determined by the forward QJT, and $p_\alpha = \text{Tr}[M_\alpha^\dagger M_\alpha \rho_{\bar{t}_m}^-]$ is the probability of the outcome α being observed for measurement M_A . We note that for rank-1 measurements I_{QJT} can take on large negative values for

quantum rare events. For example, in a two-level system with states e and g , the detection of the $g \rightarrow e$ jump can occur after a short time interval of coherent driving conditioned on the initial energy projective outcome e [see Fig. 3(a)]. Such a rare event is a genuine quantum effect due to the fact that the system is brought into quantum superposition by coherent driving. Then the relevant information takes on a large negative value, reflecting our great surprise. Experimentally, I_{QJT} can straightforwardly be evaluated if we know the full details of the system. Otherwise, in principle it is still measurable, but in practice the measurement will be highly nontrivial (see Appendix D3).

Interestingly, when M_A is a unital channel (i.e., $\sum_\alpha M_\alpha M_\alpha^\dagger = \sum_\alpha M_\alpha^\dagger M_\alpha = I$), $\|\tilde{M}_\alpha |\bar{\psi}_{\bar{t}_m} \rangle\|^2$ is the probability of the outcome α , which is determined by the Bayesian inference based on the results of continuous monitoring after t_m in a single realization [70], which is called retrodiction [71] or retrofiltering [72]. A bad retrodiction ensues from a quantum rare event. A simple interpretation for the emergence of the retrodiction probability rather than the usual prediction probability $\|M_\alpha |\psi_{t_m}\rangle\|^2$ is that, retrodiction naturally encodes the effect of measurement backaction whereas prediction does not.

The ensemble-averaged relevant information

$$\begin{aligned} \langle I_{\text{QJT}} \rangle &= \sum_\alpha p_\alpha \mathcal{I}_C(\rho_{\bar{t}_m}^\alpha : \Pi^{\lambda_\alpha} M_{J_{m < t < \tau}} | \alpha) \\ &\quad - \mathcal{I}_C(\rho_{\bar{t}_m}^- : \Pi^{\lambda_A} M_{J_{m < t < \tau}} | A M_A) \end{aligned} \quad (18)$$

gives a Holevo boundlike quantity (see Appendix D4 for details). Here $\mathcal{I}_C(\rho : M_X) \equiv H(p_\rho^{M_X} || p_{\rho_u}^{M_X})$, which we call the relevant information of ρ with respect to a general measurement M_X [73–75], is the classical relative entropy [59] between the M_X outcome probability distribution of ρ (denoted by $p_\rho^{M_X}$) and that of $\rho_u \equiv I/d$; $M_{J_{m < t < \tau}} | \alpha$ is the effective continuous measurement on the system generated by \mathcal{L}_t^α . Unlike the Shannon entropy of the outcomes (known as the Ingarden-Urbaniak entropy [75–77]), which measures their uncertainty, \mathcal{I}_C measures the extent to which we can specify the quantum state based on the outcomes [74]. Hence, $\langle I_{\text{QJT}} \rangle$ measures the difference of our (average) knowledge on the selective postmeasurement states $\rho_{\bar{t}_m}^\alpha = M_\alpha \rho_{\bar{t}_m}^- M_\alpha^\dagger / p_\alpha$ and the premeasurement state $\rho_{\bar{t}_m}^-$ acquired from all the outcomes after t_m^- . It is worth mentioning that \mathcal{I}_C was first mathematically

TABLE I. Trajectory probability $\mathcal{P}[\psi_t, \alpha]$, probability of a measurement outcome p_α , premeasurement state in the time-reversed QJT $|\bar{\psi}_{t_m}\rangle$, relevant information $I_{\text{QJT}}[\psi_t, \alpha]$ and work $W[\psi_t, \alpha]$ along all the eight possible QJTs in the minimal model. Here $p_{\uparrow\theta_0} = p_{\uparrow}^{\text{eq}} \cos^2 \frac{\theta_0}{2} + p_{\downarrow}^{\text{eq}} \sin^2 \frac{\theta_0}{2}$ and $p_{\downarrow\theta_0} = p_{\uparrow}^{\text{eq}} \sin^2 \frac{\theta_0}{2} + p_{\downarrow}^{\text{eq}} \cos^2 \frac{\theta_0}{2}$ are respectively the probabilities to observe \uparrow_{θ_0} and \downarrow_{θ_0} when starting from $\rho_0 = p_{\uparrow}^{\text{eq}}|\uparrow\rangle\langle\uparrow| + p_{\downarrow}^{\text{eq}}|\downarrow\rangle\langle\downarrow|$.

Initial	Feedback	Final	$\mathcal{P}[\psi_t, \alpha]$	p_α	$ \bar{\psi}_{t_m}\rangle$	$I_{\text{QJT}}[\psi_t, \alpha]$	$W[\psi_t, \alpha]$
\uparrow	\uparrow_{θ_0}	\uparrow_{θ_1}	$p_{\uparrow}^{\text{eq}} \cos^2 \frac{\theta_0}{2} \cos^2 \frac{\theta_0 - \theta_1}{2}$	$P_{\uparrow\theta_0}$	$ \uparrow_{\theta_1}\rangle$	$\ln(\cos^2 \frac{\theta_0 - \theta_1}{2} / p_{\uparrow\theta_0})$	$\mu(-B_1 + B_0)$
		\downarrow_{θ_1}	$p_{\uparrow}^{\text{eq}} \cos^2 \frac{\theta_0}{2} \sin^2 \frac{\theta_0 - \theta_1}{2}$		$ \downarrow_{\theta_1}\rangle$	$\ln(\sin^2 \frac{\theta_0 - \theta_1}{2} / p_{\uparrow\theta_0})$	$\mu(B_1 + B_0)$
	\downarrow_{θ_0}	\uparrow_{θ_1}	$p_{\uparrow}^{\text{eq}} \sin^2 \frac{\theta_0}{2} \cos^2 \frac{\theta_0 - \theta_1}{2}$	$P_{\downarrow\theta_0}$	$ \downarrow_{\theta_1}\rangle$	$\ln(\cos^2 \frac{\theta_0 - \theta_1}{2} / p_{\downarrow\theta_0})$	$\mu(-B_1 + B_0)$
		\downarrow_{θ_1}	$p_{\uparrow}^{\text{eq}} \sin^2 \frac{\theta_0}{2} \sin^2 \frac{\theta_0 - \theta_1}{2}$		$ \uparrow_{\theta_1}\rangle$	$\ln(\sin^2 \frac{\theta_0 - \theta_1}{2} / p_{\downarrow\theta_0})$	$\mu(B_1 + B_0)$
\downarrow	\uparrow_{θ_0}	\uparrow_{θ_1}	$p_{\downarrow}^{\text{eq}} \sin^2 \frac{\theta_0}{2} \cos^2 \frac{\theta_0 - \theta_1}{2}$	$P_{\uparrow\theta_0}$	$ \uparrow_{\theta_1}\rangle$	$\ln(\cos^2 \frac{\theta_0 - \theta_1}{2} / p_{\uparrow\theta_0})$	$\mu(-B_1 - B_0)$
		\downarrow_{θ_1}	$p_{\downarrow}^{\text{eq}} \sin^2 \frac{\theta_0}{2} \sin^2 \frac{\theta_0 - \theta_1}{2}$		$ \downarrow_{\theta_1}\rangle$	$\ln(\sin^2 \frac{\theta_0 - \theta_1}{2} / p_{\uparrow\theta_0})$	$\mu(B_1 - B_0)$
	\downarrow_{θ_0}	\uparrow_{θ_1}	$p_{\downarrow}^{\text{eq}} \cos^2 \frac{\theta_0}{2} \cos^2 \frac{\theta_0 - \theta_1}{2}$	$P_{\downarrow\theta_0}$	$ \downarrow_{\theta_1}\rangle$	$\ln(\cos^2 \frac{\theta_0 - \theta_1}{2} / p_{\downarrow\theta_0})$	$\mu(-B_1 - B_0)$
		\downarrow_{θ_1}	$p_{\downarrow}^{\text{eq}} \cos^2 \frac{\theta_0}{2} \sin^2 \frac{\theta_0 - \theta_1}{2}$		$ \uparrow_{\theta_1}\rangle$	$\ln(\sin^2 \frac{\theta_0 - \theta_1}{2} / p_{\downarrow\theta_0})$	$\mu(B_1 - B_0)$

introduced in Ref. [73], and has enjoyed renewed interest recently in quantum information [75]. The applicability of \mathcal{I}_{C} to continuous measurements with $|X| = \infty$ is based on the fact that $\mathcal{I}_{\text{C}}(\rho : M_X) \leq S(\rho||\rho_X) \equiv \mathcal{I}_{\text{Q}}(\rho)$, where $S(\cdot||\cdot)$ is the quantum relative entropy [59].

Replacing all \mathcal{I}_{C} in Eq. (18) by \mathcal{I}_{Q} , we obtain another upper bound of $-\beta\langle W_{\text{diss}} \rangle$ which is called the quantum-classical (QC)-mutual information I_{QC} [10], where $\langle W_{\text{diss}} \rangle \equiv \langle W \rangle - \langle \Delta F \rangle$ is the dissipated work. While there is no magnitude relation between I_{QC} and $\langle I_{\text{QJT}} \rangle$, as we will see in the next section, the latter (former) is expected to give a tighter (looser) bound, since it is (not) protocol dependent and can be negative (is positive definite) if we carry out a bad feedback control. Nevertheless, the I_{QC} bound can be obtained from a fluctuation theorem for a different process with the same $\langle W_{\text{diss}} \rangle$ (see Appendix D 5), and both $\langle I_{\text{QJT}} \rangle$ and I_{QC} reproduce the same classical mutual information [6] in the classical limit.

VI. EXAMPLES

A. Isolated two-level system

We first consider a minimal model that demonstrates a quantum feedback control process: a pseudospin (so that $\Theta = I$) subjected to an effective magnetic field $\mathbf{B} = B(\cos \theta \mathbf{e}_z + \sin \theta \mathbf{e}_x)$ confined in the x - z plane and isolated from any heat bath (adiabatic limit). The Hamiltonian of the system reads

$$H(\mathbf{B}) = -\boldsymbol{\mu} \cdot \mathbf{B} = -\mu B(\cos \theta \sigma_z + \sin \theta \sigma_x), \quad (19)$$

where μ is the effective magnetic moment of the system. The initial state of the system is chosen to be the equilibrium state under the work parameter $\mathbf{B}_0 = B_0 \mathbf{e}_z$. After the initial PM, the system is purified to be either $|\uparrow\rangle$ or $|\downarrow\rangle$, an eigenstate of σ_z , with probability $p_{\uparrow}^{\text{eq}} = e^{\beta\mu B_0} / (2 \cosh \beta\mu B_0)$ or $p_{\downarrow}^{\text{eq}} = e^{-\beta\mu B_0} / (2 \cosh \beta\mu B_0)$. Right after the initial PM, we perform a PM in the eigenbasis of $\sigma_z \cos \theta_0 + \sigma_x \sin \theta_0$. If the outcome is \uparrow_{θ_0} (\downarrow_{θ_0}), we (first apply a π pulse and) quickly switch \mathbf{B}_0 to $\mathbf{B}_1 = B_1(\cos \theta_1 \mathbf{e}_z + \sin \theta_1 \mathbf{e}_x)$, and immediately perform the final PM. All the eight possible QJTs are listed in Table I. It is tedious but straightforward to check the validity of the two generalized quantum Jarzynski equalities (1) analytically.

After a few analytical calculations, we obtain the following expressions of $\langle I_{\text{QJT}} \rangle$ and I_{QC}

$$\begin{aligned} \langle I_{\text{QJT}} \rangle &= H_2(p_{\uparrow\theta_0}) - H_2\left(\cos^2 \frac{\theta_0 - \theta_1}{2}\right), \\ I_{\text{QC}} &= H_2(p_{\uparrow}^{\text{eq}}), \end{aligned} \quad (20)$$

where $p_{\uparrow\theta_0} = p_{\uparrow}^{\text{eq}} \cos^2 \frac{\theta_0}{2} + p_{\downarrow}^{\text{eq}} \sin^2 \frac{\theta_0}{2}$ and $H_2(x) \equiv -x \ln x - (1-x) \ln(1-x)$. For a special case with $p_{\uparrow}^{\text{eq}} = 0.8$, we draw the curved surface of $\langle I_{\text{QJT}} \rangle$ with respect to $\theta_{1,2}$ in Fig. 4 (left), which turns out to be larger than I_{QC} (less than 0) in some regions. Thus, there is no general magnitude relation between $\langle I_{\text{QJT}} \rangle$ and I_{QC} (0).

Besides the absence of a universal magnitude relation, the model also shows that the upper bound $\beta^{-1}\langle I_{\text{QJT}} \rangle$ for the minus dissipated work $-\langle W_{\text{diss}} \rangle \equiv -\langle W \rangle + \langle \Delta F \rangle$ is not globally achievable (unless $p_{\uparrow}^{\text{eq}} = 0.5$). By minimizing $\langle W \rangle$ (maximizing $-\langle W \rangle$) for given p_{\uparrow}^{eq} , θ_0 and θ_1 , we obtain

$$-\beta\langle W_{\text{diss}} \rangle_{\text{min}} = H_2(p_{\uparrow}^{\text{eq}}) - H_2\left(\cos^2 \frac{\theta_0 - \theta_1}{2}\right). \quad (21)$$

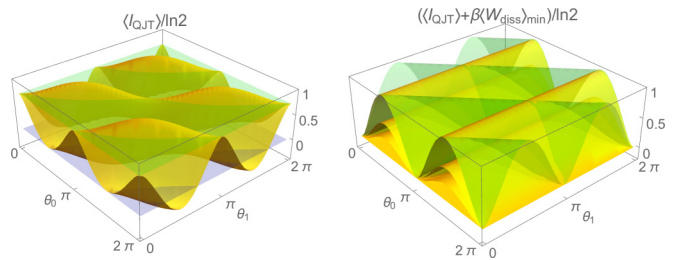


FIG. 4. $\langle I_{\text{QJT}} \rangle / \ln 2$ (left) and $(\langle I_{\text{QJT}} \rangle + \beta\langle W_{\text{diss}} \rangle_{\text{min}}) / \ln 2$ (right) in the θ_0 - θ_1 parameter space. In the left figure, the green and blue planes respectively correspond to the QC-mutual information I_{QC} and 0, where p_{\uparrow}^{eq} is fixed to be 0.8. In the right figure, the green curved surface refers to I_{QC} (overestimation from the exact $-\beta\langle W_{\text{diss}} \rangle_{\text{min}}$), while the remaining yellow ones show $\langle I_{\text{QJT}} \rangle$ for different equilibrium initial states ($p_{\uparrow}^{\text{eq}} = 0.5, 0.8, 0.9, 0.999$ from the lowest to the highest). Note that $\langle I_{\text{QJT}} \rangle + \beta\langle W_{\text{diss}} \rangle_{\text{min}}$ oscillates in the θ_0 direction, while $I_{\text{QC}} + \beta\langle W_{\text{diss}} \rangle_{\text{min}}$ oscillates in the $\theta_0 = -\theta_1$ direction.

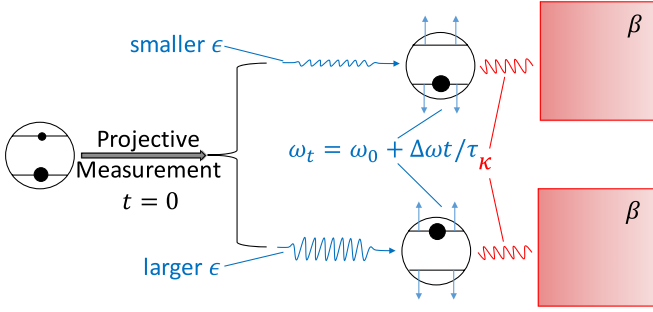


FIG. 5. Feedback control of a dissipative two-level system based on the initial projective measurement. The strength of the coherent driving ϵ is tuned to be smaller (larger) if the outcome is the ground state (excited state). The inclusive driving protocol $\omega_t = \omega_0 + \Delta\omega t/\tau$ and the coupling strength to the heat bath are the same.

For $p_{\uparrow}^{\text{eq}} = 0.5, 0.8, 0.9, 0.999$, we draw the difference between the bound given by $\langle I_{\text{QJT}} \rangle$ (or I_{QC}) and the exact $-\beta \langle W_{\text{diss}} \rangle_{\text{min}}$ (21) in Fig. 4 (right). For $p_{\downarrow}^{\text{eq}} = 0.5$, $\langle I_{\text{QJT}} \rangle$ coincides with the exact bound (lowest plane). As the initial entropy decreases, the estimation of $\langle I_{\text{QJT}} \rangle$ becomes worse, while $I_{\text{QC}} + \beta \langle W_{\text{diss}} \rangle_{\text{min}} = H_2\{\cos^2[(\theta_0 - \theta_1)/2]\}$ is independent of p_{\uparrow}^{eq} (green curved surface). Generally speaking, $\langle I_{\text{QJT}} \rangle$ is a better bound than I_{QC} , since it involves the information of the concrete feedback control protocols.

B. Dissipative two-level system

Since our findings are generally applicable to open quantum systems, let us consider a dissipative two-level system under coherent driving, where the equation of motion reads (see Appendix E 1)

$$\dot{\rho}_t = -\frac{i}{2}[\omega_t \sigma_z + \epsilon \sigma_x \cos \omega_d t, \rho_t] + \sum_{j=\pm} \gamma_j(\omega_t) \mathcal{D}[\sigma_j] \rho_t. \quad (22)$$

Here the unitary part consists of the inclusive Hamiltonian $H(\omega) = \hbar\omega\sigma_z/2$ and the exclusive driving $h_t = \epsilon\sigma_x \cos \omega_d t/2 \ll H(\omega)$, $\sigma_{\pm} \equiv (\sigma_x \pm i\sigma_y)/2$ is the excitation (deexcitation) jump operator, and the corresponding transition rate $\gamma_{\pm}(\omega) = \kappa\omega[\coth(\beta\hbar\omega/2) \mp 1]/2$ ensures the detailed balance condition. To perform feedback control, we perform the initial error-free PM, and then apply a weaker (stronger) external perturbation if the outcome is the ground (excited) state (see Fig. 5). In this way, we can suppress (enhance) the probability of no jump events from the initial ground (excited)

state to the final excited (ground) state. These events greatly contribute positive (negative) work values. Here, we choose a linear protocol $\omega_t = \omega_0 + \Delta\omega t/\tau$ and a driving frequency $\omega_d = 0.1\pi$ with $\omega_0 = 0.3$, $\Delta\omega = 0.1$, and $\tau = 2000$. The driving strength is tuned to be $\epsilon = 0.002$ ($0.008 \ll \omega_0$) for the ground (excited) initial state. The inverse temperature and the coupling strength are fixed at $\beta = 5$ and $\kappa = 0.001$, respectively. Here $\hbar \equiv 1$ is assumed.

We numerically evaluate (see Appendix E 2) the probability density functions (PDFs) of work, $\beta^{-1}I_{\text{QJT}}$ and their sum as shown in Figs. 6(b)–6(d). For comparison, the work statistics of the corresponding ordinary driving process, with the same protocol ω_t but a fixed $\epsilon = 0.0031$, is shown in Fig. 6(a). Qualitatively, we observe both continuous parts (described by the probability density) and δ -type peaks (described by the probability) in the work distributions, including the δ peaks caused by coherent driving, showing a combined nature of the work statistics in classical and isolated quantum systems. Comparing Fig. 6(b) with Fig. 6(a), we find that the rightmost (leftmost) δ -type peak, corresponding to the QJTs connecting the initial ground (excited) state to the final excited (ground) state with no jumps, is considerably suppressed (enhanced). Quantitatively, we verify Eq. (1) with reasonable accuracy. At the ensemble level, the mean dissipated work $\langle W_{\text{diss}} \rangle = -0.0139$ (0.0244) for the feedback control (ordinary) process, implying an apparent violation (the validity) of the second law. On the other hand, $-\langle W_{\text{diss}} \rangle$ is far from saturating the upper bound $\beta^{-1}\langle I_{\text{QJT}} \rangle = 0.0448$ (much tighter than $\beta^{-1}I_{\text{QC}} = 0.0950$), indicating a highly nonequilibrium process.

In fact, we have chosen the parameters that are experimentally accessible in a superconducting qubit system [78] such as a Cooper-pair box with a SQUID geometry, where ω can be tuned by varying the gate voltage, while the coherent driving is achievable by a rapidly oscillating magnetic flux through the SQUID [79]. Superconducting qubits operate in a highly controllable way, especially a measurement can be performed very fast. Also, quantum jumps have been observed via coupling to a readout device [21], which may simultaneously serve as an effective heat bath [80]. Therefore, despite the fact that measuring quantum work and heat statistics are still challenging [81,82], superconducting qubit systems should provide an ideal playground to investigate quantum information thermodynamics at the trajectory level. We note that there is an experimental proposal to study the energy fluctuations in a superconducting qubit, where only the technique of PM is required [83].

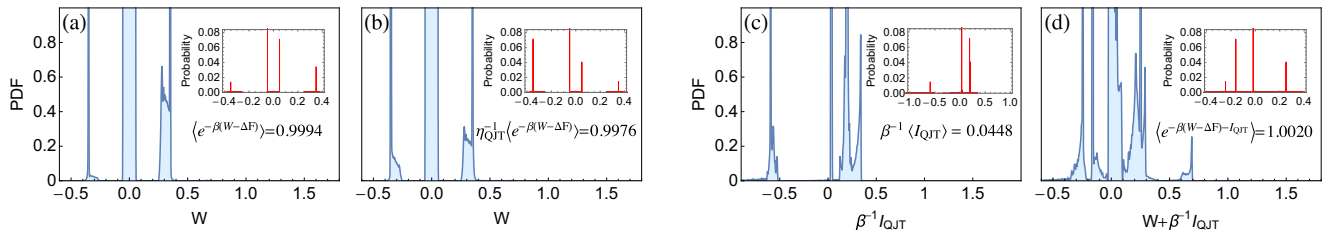


FIG. 6. Numerical verification of the generalized quantum Jarzynski equalities in a dissipative two-level system under coherent driving (22). Work distributions (a) without and (b) with feedback control. The distributions of (c) I_{QJT} (17), and (d) the composite variable $W + \beta^{-1}I_{\text{QJT}}$ for the feedback control process. Insets show the probabilities of the divergent δ -type peaks.

VII. CONCLUSIONS

We have developed a general framework to study the thermodynamics of open quantum systems with discrete feedback control at the level of individual QJTs. In particular, we have derived the generalized quantum Jarzynski equalities, which qualitatively differ from the classical counterparts due to quantum coherence and measurement backaction. We have proposed a minimal model of a two-level isolated system to analyze the performance of the new information content compared with the QC-mutual information. We have also numerically computed explicit work distributions in a dissipative two-level system driven out of equilibrium as a simple, nontrivial, and experimentally accessible model, to verify the derived fluctuation theorems.

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APPENDIX A: EXPLICIT EXPRESSION OF THE LINDBALD MASTER EQUATION (2)

The fundamental equation of motion (2) in the main text is a mixture of the perturbative Lindblad master equation (LME) and the adiabatic LME. The conventional perturbative LME is obtained if we simply add the perturbative term into the unitary part of a LME with a time-independent generator, which is reasonable as long as the system-heat bath interaction is almost unaffected by the small (and usually rapidly oscillating) perturbation [42,84,85]. Owing to the same argument, this straightforward modification should also be applicable to the cases with instantaneous disturbance (no longer perturbative) and/or slow variations of the work parameter in the adiabatic regime. Therefore, under appropriate conditions, we can straightforwardly write down the explicit expression of Eq. (2) for a given h_t once we know the underlying adiabatic LME. We emphasize that this simple modification cannot be applied to the cases with strong driving fields [$h_t \sim H(\lambda_t)$] [86,87].

A detailed derivation of a general adiabatic LME starting from the Schrödinger equation alone is given in Ref. [54]. Here we just present the main result and show how it can be transformed into Eq. (2) in the main text. Let us consider a general small-system + large-environment Hamiltonian:

$$H_{\text{tot}}(t) = H_S(\lambda_t) \otimes I_B + I_S \otimes H_B + g \sum_{\alpha} A_{\alpha} \otimes B_{\alpha}, \quad (\text{A1})$$

where $H_S(\lambda_t)$ and H_B are respectively the bare Hamiltonians of the system and the heat bath, A_{α} and B_{α} are all dimensionless

Hermitian operators, and g is the coupling strength with the dimension of energy. The typical energy gap of $H_S(\lambda)$ is denoted by $\Delta(\lambda)$, and the typical decay time of the correlation function of the heat bath $B_{\alpha\beta}(t) \equiv \text{Tr}[B_{\alpha}(t)B_{\beta}(0)\rho_B^{\text{eq}}]$, namely the memory time of the heat bath, is denoted by τ_B , where we introduce $B_{\alpha}(t) \equiv e^{iH_B t/\hbar} B_{\alpha} e^{-iH_B t/\hbar}$ and $\rho_B^{\text{eq}} \equiv e^{-\beta H_B}/Z_B$ with $Z_B \equiv \text{Tr}[e^{-\beta H_B}]$. Defining $q(\lambda) \equiv \max_{a \neq b} |\langle a^{\lambda} | \partial_{\lambda} H_S(\lambda) | b^{\lambda} \rangle|$ [$|a^{\lambda}\rangle$ or $|b^{\lambda}\rangle$ is an eigenstate of $H(\lambda)$], we impose the following conditions for the whole process for $t \in [0, \tau]$:

$$\begin{aligned} \frac{\tau_B}{\Delta(\lambda_t)} q(\lambda_t) \dot{\lambda}_t &\ll \min \left\{ \frac{\Delta(\lambda_t) \tau_B}{\hbar}, \frac{\hbar}{\Delta(\lambda_t) \tau_B} \right\}, \\ \frac{g \tau_B}{\hbar} &\ll \min \left\{ 1, \frac{\Delta(\lambda_t)}{g} \right\}, \end{aligned} \quad (\text{A2})$$

which provide an appropriate separation of time scales. Under such conditions, after the standard Born-Markov¹ and the rotating-wave approximations, the following adiabatic LME can be derived:

$$\begin{aligned} \dot{\rho}_t &= -\frac{i}{\hbar} [H_S(\lambda_t) + H_{\text{LS}}(\lambda_t), \rho_t] \\ &+ \sum_{\alpha, \beta, a \neq b} \gamma_{\alpha\beta}(\omega_{ba}^{\lambda_t}) \left[L_{ab,\beta}(\lambda_t) \rho_t L_{ab,\alpha}^{\dagger}(\lambda_t) \right. \\ &- \frac{1}{2} \{ L_{ab,\alpha}^{\dagger}(\lambda_t) L_{ab,\beta}(\lambda_t), \rho_t \} \left. \right] \\ &+ \sum_{\alpha, \beta, a, b} \gamma_{\alpha\beta}(0) \left[L_{aa,\beta}(\lambda_t) \rho_t L_{bb,\alpha}^{\dagger}(\lambda_t) \right. \\ &- \frac{1}{2} \{ L_{aa,\alpha}^{\dagger}(\lambda_t) L_{bb,\beta}(\lambda_t), \rho_t \} \left. \right], \end{aligned} \quad (\text{A3})$$

where $\hbar\omega_{ba}^{\lambda} = E_b^{\lambda} - E_a^{\lambda}$ is the energy difference between the b th and the a th energy levels of the system, $L_{ab,\alpha}(\lambda) = A_{ab,\alpha}(\lambda) |a^{\lambda}\rangle \langle b^{\lambda}|$ with $A_{ab,\alpha}(\lambda) \equiv \langle a^{\lambda} | A_{\alpha} | b^{\lambda} \rangle$, $\gamma_{\alpha\beta}(\omega) = \frac{g^2}{\hbar^2} \int_{-\infty}^{\infty} dt e^{i\omega t} \mathcal{B}_{\alpha\beta}(t)$ is Hermitian and satisfies the detailed balance condition $\gamma_{\alpha\beta}(-\omega) = e^{-\beta\hbar\omega} \gamma_{\beta\alpha}(\omega)$, and $H_{\text{LS}}(\lambda) = \sum E_{\text{LS}}^b(\lambda) |b^{\lambda}\rangle \langle b^{\lambda}|$ describes the Lamb shift Hamiltonian reads, where

$$\begin{aligned} E_{\text{LS}}^b(\lambda) &= \sum_{\alpha, \beta, a} A_{ab,\alpha}^*(\lambda) S_{\alpha\beta}(\omega_{ba}^{\lambda}) A_{ab,\beta}(\lambda), \\ S_{\alpha\beta}(\omega) &= \int_{-\infty}^{\infty} \frac{d\omega'}{2\pi} \mathcal{P} \frac{\gamma_{\alpha\beta}(\omega')}{\omega - \omega'}, \end{aligned} \quad (\text{A4})$$

with \mathcal{P} denoting the principal value. The first summation in Eq. (A3) can be simplified as

$$\begin{aligned} &\sum_{a \neq b} \left[L_{ab}(\lambda) \rho_t L_{ab}^{\dagger}(\lambda) - \frac{1}{2} \{ L_{ab}^{\dagger}(\lambda) L_{ab}(\lambda), \rho_t \} \right] \\ &= \sum_{a \neq b} \mathcal{D}[L_{ab}(\lambda)] \rho_t, \end{aligned} \quad (\text{A5})$$

¹The heat bath is assumed to be always at equilibrium in the Born approximation, i.e., the total density operator is assumed to be $\rho_t \otimes \rho_B^{\text{eq}}$, $\rho_B^{\text{eq}} \equiv e^{-\beta H_B}/Z_B$ during the whole process. This is the origin of the detailed balance condition.

where

$$L_{ab}(\lambda) = \sqrt{w_{ba}(\lambda)}|a^\lambda\rangle\langle b^\lambda|, \\ w_{ba}(\lambda) = \sum_{\alpha,\beta} A_{ab,\alpha}^*(\lambda)\gamma_{\alpha\beta}(\omega_{ba}^\lambda)A_{ab,\beta}(\lambda). \quad (\text{A6})$$

The jump operators $L_{ab}(\lambda)$, satisfying $[L_{ab}(\lambda), H_S(\lambda)] = \hbar\omega_{ba}^\lambda L_{ab}(\lambda)$, $L_{ba}^\dagger(\lambda) = L_{ab}(\lambda)e^{-\beta\hbar\omega_{ba}^\lambda/2}$,² are related to dissipation (i.e., nonzero energy exchange with the heat bath), where $w_{ba}(\lambda)$ is real and positive, and can be interpreted as the transition rate from the b th eigenstate to the a th one. The second sum in Eq. (A3) can be simplified as

$$\sum_{\sigma} \left[L_{\sigma}(\lambda)\rho_t L_{\sigma}^\dagger(\lambda) - \frac{1}{2}\{L_{\sigma}^\dagger(\lambda)L_{\sigma}(\lambda), \rho_t\} \right] \\ = \sum_{\sigma} \mathcal{D}[L_{\sigma}(\lambda)]\rho_t, \quad (\text{A7})$$

where

$$L_{\sigma}(\lambda) = \sqrt{\gamma_{\sigma}(0)} \sum_{a,\alpha} o_{\sigma\alpha} A_{aa,\alpha}(\lambda)|a^\lambda\rangle\langle a^\lambda|, \\ \gamma_{\alpha\beta}(0) = \sum_{\sigma} o_{\sigma\alpha} o_{\sigma\beta} \gamma_{\sigma}(0), \quad (\text{A8})$$

with $o_{\alpha\beta}$'s being the elements of the orthogonal matrix that diagonalize the real symmetric and positive definite matrix $\gamma_{\alpha\beta}(0)$.³ These jump operators, satisfying $[L_{\sigma}(\lambda), H_S(\lambda)] = 0$, $L_{\sigma}^\dagger(\lambda) = L_{\sigma}(\lambda)$, are related to pure dephasing with no energy relation. After further simplification, we have

$$\dot{\rho}_t = -\frac{i}{\hbar}[H(\lambda_t), \rho_t] + \sum_j \mathcal{D}[L_j(\lambda_t)]\rho_t, \quad (\text{A9})$$

where $H(\lambda) = H_S(\lambda) + H_{LS}(\lambda)$, and $L_j(\lambda)$ ($j = ab$ or $j = \sigma$) satisfies $[L_j(\lambda), H_S(\lambda)] = \Delta_j(\lambda)L_j(\lambda)$ and $L_j^\dagger(\lambda) = L_j(\lambda)e^{-\beta\Delta_j(\lambda)/2}$, with Δ_j the energy change of the j th quantum jump. Since $H_{LS}(\lambda)$ is usually negligible compared with $H_S(\lambda)$, we simply neglect it and treat $H(\lambda)$ identically as $H_S(\lambda)$.⁴ As mentioned in the beginning, the mixed LME (2) in the main text is obtained from the adiabatic LME (A9) if we simply add the perturbation h_t into the unitary part.

²Notice that $w_{ba}(\lambda) = \sum_{\alpha,\beta} A_{ba,\alpha}^*(\lambda)\gamma_{\alpha\beta}(\omega_{ab}^\lambda)A_{ba,\beta}(\lambda) = \sum_{\alpha,\beta} A_{ab,\alpha}(\lambda)\gamma_{\beta\alpha}(\omega_{ba}^\lambda)e^{-\beta\hbar\omega_{ba}^\lambda}A_{ab,\beta}^*(\lambda) = w_{ba}(\lambda)e^{-\beta\hbar\omega_{ba}^\lambda}$, and $L_{ab}(\lambda) = \sqrt{w_{ba}(\lambda)}|a^\lambda\rangle\langle b^\lambda|$.

³This is due to the Hermitian property of $\gamma_{\alpha\beta}(\omega)$, i.e., $\gamma_{\beta\alpha}^*(\omega) = \gamma_{\alpha\beta}(\omega)$, as well as the detailed balance condition $\gamma_{\alpha\beta}(-\omega) = \gamma_{\beta\alpha}(\omega)e^{-\beta\hbar\omega}$. Here $\gamma_{\alpha\beta}(\omega)$ is also positive definite, which ensures $w_{ba}(\lambda)$ to be positive, and can be proved by using the Lehmann representation.

⁴Though such an approximation is made in many textbooks and research papers, as is highlighted in Ref. [54], the Lamb shift may considerably modify the long time dynamics of the system, because it is typically of the same order of magnitude as the state transition rates. However, to avoid a subtle problem of whether the Lamb shift Hamiltonian should be identified as inclusive or exclusive, we simply ignore it here.

APPENDIX B: REMARKS ON QUANTUM TRAJECTORY THERMODYNAMICS

In the weak-coupling regime, we can always interpret heat (work) as the energy exchange between the system and the heat bath (the total energy increment) [29]. However, even in this regime, addressing work and heat is highly nontrivial for quantum systems and at the trajectory level, because a quantum system can generally be a superposition of energy eigenstates, and we cannot have an objective concept of trajectory [88].

Fortunately, for isolated quantum systems, work coincides with the energy change, and a consensus has been achieved that the two-time energy measurement (TTEM) [50–52] gives the most reasonable definition of quantum work. Here a trajectory can be specified by the two outcomes $E_a^{\lambda_0}$ and $E_b^{\lambda_\tau}$ of the TTEM and the work is simply their subtraction $W = E_b^{\lambda_\tau} - E_a^{\lambda_0}$. The TTEM definition implies the Jarzynski equality (and hence the second law) and is experimentally relevant [81,82]. Theoretically, the consistency between the TTEM definition of work and the classical counterpart has been proved for one-dimensional systems [89].

Combining the idea of TTEM with the Hamiltonian formalism of classical nonequilibrium thermodynamics [90], the joint TTEM approach was proposed to define work and heat for open quantum systems [28,29], where a trajectory is specified by the initial and final eigenenergies of the system $E_a^{\lambda_0}, E_b^{\lambda_\tau}$, and those of the heat bath E_i^B, E_j^B , with the work and heat being respectively $W = E_j^B + E_b^{\lambda_\tau} - E_i^B - E_a^{\lambda_0}$ and $Q = E_j^B - E_i^B$. To obtain stochastic thermodynamics from the deterministic Hamiltonian formalism, the detailed information of the heat bath should be traced out, as is done in the classical case [91]. Using the characteristic function approach [52], one can encode the statistics of work [85] and heat [28] into a generalized quantum master equation after the standard Born-Markov approximation and the rotating-wave approximation. Under such coarse graining, the statistics of work and that of heat turn out to be consistent with the formalism in the main text [37,92].

Therefore, the QJT-based definition naturally emerges from the two facts that (i) heat (work) is the energy change of the heat bath (the system and the heat bath) and that (ii) the energy change is quantified by the TTEM. While deriving the QJT-based definition from the TTEM approach is rather technical, the work and heat along a QJT per se can be explained intuitively. According to the interpretation (continuous monitoring) of a QJT, if the j th QJ is detected at time t , an energy quanta equal to $\Delta_j(\lambda_t)$ is transferred from the system to the heat bath; thus the accumulated heat should increase by $\Delta_j(\lambda_t)$. For example, in a photodetection experiment where a two-level atom with a constant energy gap Δ interacts with the photon field in an optical cavity, the heat along a QJT is the net number of the photons emitted by the atom multiplied by Δ in a single experimental realization [39]. Once the heat along a QJT is obtained, the work can be determined by the first law of thermodynamics, as mentioned in the main text.

The continuous monitoring interpretation of a QJT can be heuristically shown as follows. A QJ operator

$L_{ab}(\lambda_t)$ with a nonzero energy effect, which corresponds to a state transition, is actually the sum of all the operators $\langle e_j | e^{-\frac{i}{\hbar} H_{\text{tot}}(t)\delta t} | e_i \rangle e^{-\beta E_i^B} / (\delta t Z_B)$ in Ref. [36] with the same energy difference $E_j^B - E_i^B = E_b^{\lambda_t} - E_a^{\lambda_t} \neq 0$ ($H_B | e_j \rangle = E_j^B | e_j \rangle$) in the first-order perturbation theory, namely Fermi's golden rule. This connection is clear in the Lehmann representation of $w_{ba}(\lambda)$ in Eq. (A6):

$$w_{ba}(\lambda) = \frac{2\pi g^2}{\hbar} \sum_{i,j} \left| \langle \lambda^\lambda, e_j | \sum_{\alpha} A_{\alpha} \otimes B_{\alpha} | b^\lambda, e_i \rangle \right|^2 \times \frac{e^{-\beta E_i^B}}{Z_B} \delta(E_j^B + E_a^\lambda - E_i^B - E_b^\lambda). \quad (\text{B1})$$

More accurately, to achieve the summation $\sum_{i,j}$ in a single experimental realization, we should apply the so-called generalized quantum measurement [93] instead of the usual two-time projective measurement approach [29]. On the other hand, the detection of a dephasing QJ $L_{\sigma}(\lambda)$ seems hard to be implemented even in principle, since there is no energy exchange between the system and the heat bath, which makes no difference from each other or from the nonunitary evolution. For mathematical reasons,⁵ and to be consistent with the generalized master equation approach [28,85,92] and the quantum Feynman-Kac formula-based [42,94,95] definitions of work and heat distributions, we treat $L_{\sigma}(\lambda)$ in the same manner as $L_{ab}(\lambda)$ in the general formalism. However, this is controversial. For example, Ref. [43] treated $L_{\sigma}(\lambda_t)$ as a QJ, while in Ref. [83] it is unraveled as quantum diffusion. To avoid the ambiguity in an experiment-relevant model, we choose an example in which all the diagonal (in the energy representation) matrix elements of A_{α} and B_{α} vanish so that there is no dephasing QJ, as in Refs. [38,39].

Finally, it is worth comparing our formalism with a different quantum trajectory-based framework for stochastic thermodynamics established quite recently [45,67]. In that framework, the change in the energy expectation due to the deterministic (stochastic) part of the change of the state $\tilde{\rho}_t$ in a single realization, which is not necessarily pure due to the imperfect continuous monitoring, is identified as work (heat), namely

$$W[\tilde{\rho}_t] = \int_0^{\tau} dt \dot{\lambda}_t \text{Tr}[\partial_{\lambda} H(\lambda_t) \tilde{\rho}_t], \\ Q[\tilde{\rho}_t] = \text{Tr}[H(\lambda_0) \tilde{\rho}_0] - \text{Tr}[H(\lambda_{\tau}) \tilde{\rho}_{\tau}] \\ + \int_0^{\tau} dt \dot{\lambda}_t \text{Tr}[\partial_{\lambda} H(\lambda_t) \tilde{\rho}_t]. \quad (\text{B2})$$

⁵Actually the LME $\dot{\rho}_t = -\frac{i}{\hbar}[H_t, \rho_t] + \sum_j \mathcal{D}[L_t^j] \rho_t$ can be rewritten as $\dot{\rho}_t = -\frac{i}{\hbar}[H_t, \rho_t] + \sum_j \mathcal{D}[L_t^{*j}] \rho_t$ for an arbitrary unitary transformation between the jump operators $L_t^{*j} = \sum_k u_{jk}(t) L_t^k$. However, an instantaneously detailed-balanced LME always has a set of privileged jump operators L_t^j satisfying $[L_t^j, \ln \pi_t] = \Phi_t^j L_t^j$, with π_t being the instantaneous equilibrium state and Φ_t^j 's being c numbers (see Refs. [40,44] and the references therein). Therefore, from a mathematical point of view, it is natural to treat $L_{\sigma}(\lambda_t)$ and $L_{ab}(\lambda_t)$ identically, since they constitute such a privileged set.

This formalism also allows intuitive physical interpretation, and it is clearly consistent with quantum thermodynamics at the ensemble level. Moreover, this formalism is applicable to any kind of unraveling, such as the quantum diffusion mentioned before [67], while our formalism no longer works for the systems where the rotating-wave approximation is invalid (e.g., quantum Brownian motion [55,56]). On the other hand, this formalism cannot reproduce the widely accepted TTEM definition in the adiabatic limit, and, as a result, does not imply the fluctuation theorems or the second law [67]. In contrast, several fluctuation theorems have been derived within our framework [38–40]. Therefore, in the context of nonequilibrium fluctuation theory, our framework should be the better choice.

APPENDIX C: CONSISTENCY AT THE ENSEMBLE LEVEL AND IN THE CLASSICAL OR ADIABATIC LIMIT

1. Ensemble level

We first consider the case without feedback control. Consider a small time interval $[t, t + dt]$ during which the probability that the j th quantum jump occurs at the ensemble level is

$$\begin{aligned} E[dN_t^j] &= E[\langle \psi_t | L_j^{\dagger}(\lambda_t) L_j(\lambda_t) | \psi_t \rangle dt] \\ &= \text{Tr}[L_j^{\dagger}(\lambda_t) L_j(\lambda_t) E[|\psi_t\rangle\langle\psi_t|] dt] \\ &= \text{Tr}[L_j^{\dagger}(\lambda_t) L_j(\lambda_t) \rho_t] dt, \end{aligned} \quad (\text{C1})$$

which is accompanied by a heat generation by the amount of Δ_j . By multiplying the heat generation Δ_j due to this quantum jump and then summing up all the dissipation and dephasing channel indexes j , we obtain the averaged heat accumulated during such a small time interval as

$$\begin{aligned} d\langle Q \rangle_t &= \sum_j \text{Tr}[L_j(\lambda_t) \rho_t L_j^{\dagger}(\lambda_t)] \Delta_j(\lambda_t) dt \\ &= \sum_j \text{Tr}[L_j^{\dagger}(\lambda_t) [H(\lambda_t) + \Delta_j(\lambda_t)] L_j(\lambda_t) \rho_t \\ &\quad - H(\lambda_t) L_j(\lambda_t) \rho_t L_j^{\dagger}(\lambda_t)] dt \\ &= \sum_j \text{Tr}[H(\lambda_t) [L_j^{\dagger}(\lambda_t) L_j(\lambda_t) \rho_t - L_j(\lambda_t) \rho_t L_j^{\dagger}(\lambda_t)]] dt \\ &= -\text{Tr}[H(\lambda_t) \sum_j \mathcal{D}[L_j(\lambda_t)] \rho_t] dt \\ &= -\text{Tr}\left[H(\lambda_t) \left(\frac{i}{\hbar} [H(\lambda_t) + h_t, \rho_t] + \dot{\rho}_t\right)\right] dt \\ &= -\text{Tr}[H(\lambda_t) \dot{\rho}_t] dt - \frac{i}{\hbar} \text{Tr}[H(\lambda_t) [h_t, \rho_t]] dt. \end{aligned} \quad (\text{C2})$$

Here we have used $[L_j(\lambda), H(\lambda)] = \Delta_j(\lambda) L_j(\lambda)$ (so that $[L_j^{\dagger}(\lambda) L_j(\lambda), H(\lambda)] = 0$), $\text{Tr}[AB] = \text{Tr}[BA]$ and the LME (2). By using the first law of thermodynamics at the ensemble level, we finally obtain

$$\begin{aligned} d\langle W \rangle_t &= d\langle H(\lambda_t) \rangle_t + d\langle Q \rangle_t \\ &= \text{Tr}[\partial_{\lambda} H(\lambda_t) \rho_t] \dot{\lambda}_t dt + \frac{i}{\hbar} \text{Tr}[[h_t, H(\lambda_t)] \rho_t] dt. \end{aligned} \quad (\text{C3})$$

Therefore, the total averaged heat and work during the process are given by Eq. (4).

In the present of feedback control, to carefully identify the energy effect of the measurement, we had better start from the original definition of the heat at the trajectory level, instead of inadvertently applying Eq. (4). We can easily find the problem that the averaged heat production $\delta\langle Q\rangle_m = \sum_j \text{Tr}[L_j(\lambda_{t_m})\rho_{t_m}^\dagger L_j^\dagger(\lambda_{t_m})]\Delta_j(\lambda_{t_m})\delta t_m$ during $[t_m - \delta t_m/2, t_m + \delta t_m/2]$ is ill defined, because ρ_{t_m} is indeterminable.

$$\langle Q\rangle = -\sum_\alpha p_\alpha \int_{t_m^+}^{\tau} dt \left(\text{Tr}[H(\lambda_t^\alpha)\dot{\rho}_t^\alpha] + \frac{1}{i\hbar} \text{Tr}[[h_t^\alpha, H(\lambda_t^\alpha)]\rho_t^\alpha] \right) - \int_0^{t_m^-} dt \left(\text{Tr}[H(\lambda_t)\dot{\rho}_t] + \frac{1}{i\hbar} \text{Tr}[[h_t, H(\lambda_t)]\rho_t] \right), \quad (\text{C4})$$

where ρ_t^α ($t > t_m$) is the solution to

$$\dot{\rho}_t = -\frac{i}{\hbar} [H(\lambda_t^\alpha) + h_t^\alpha, \rho_t] + \sum_j \mathcal{D}[L_j(\lambda_t^\alpha)]\rho_t \quad (\text{C5})$$

for the initial condition $\rho_{t_m^+}^\alpha = M_\alpha \rho_{t_m^-} M_\alpha^\dagger / p_\alpha$, $p_\alpha = \text{Tr}[M_\alpha^\dagger M_\alpha \rho_{t_m^-}]$ corresponding to the selective postmeasurement state. Accordingly, the total averaged work $\langle W\rangle = \langle H(\lambda_\tau^\alpha)\rangle_{\tau, \alpha} - \langle H(\lambda_0)\rangle_0 + \langle Q\rangle$ reads

$$\begin{aligned} \langle W\rangle = & \sum_\alpha p_\alpha \int_{t_m^+}^{\tau} dt \left(\dot{\lambda}_t^\alpha \text{Tr}[\partial_\lambda H(\lambda_t^\alpha)\rho_t^\alpha] - \frac{1}{i\hbar} \text{Tr}[[h_t^\alpha, H(\lambda_t^\alpha)]\rho_t^\alpha] \right) \\ & + \int_0^{t_m^-} dt \left(\dot{\lambda}_t \text{Tr}[\partial_\lambda H(\lambda_t)\rho_t] - \frac{1}{i\hbar} \text{Tr}[[h_t, H(\lambda_t)]\rho_t] \right) + \text{Tr}[H(\lambda_{t_m})(\rho_{t_m^+} - \rho_{t_m^-})], \end{aligned} \quad (\text{C6})$$

where $\rho_{t_m^+} = \sum_\alpha M_\alpha \rho_{t_m^-} M_\alpha^\dagger = \sum_\alpha p_\alpha \rho_{t_m^+}^\alpha$ is the nonselective postmeasurement state. One can see that the last term in Eq. (C6) corresponds to the energy change of the system induced by the measurement backaction. Thus, we have confirmed that the quantum trajectory thermodynamics does reduce to the conventional quantum thermodynamics at the ensemble level irrespective of the presence of feedback control.

2. Classical limit

A LME with a time-independent generator can be decoupled to a classical Markovian (Pauli) master equation of the diagonal elements of the density matrix, and a set of independent dephasing equations of the off-diagonal elements [55]. While in the time-dependent case, the noncommutativity of $H(\lambda)$ with different work parameters λ and that with h_t lead to quantum tunneling between different instantaneous eigenstates, thereby coupling the time evolution of the diagonal and the off-diagonal density matrix elements. This makes the dynamics, and consequently thermodynamics, very complicated. However, if the noncommutativity is negligible, which we call the classical limit and is achievable for an extremely slow driving or for a special kind of $H(\lambda)$ whose eigenstates are independent of λ , the system becomes classical and the dynamics is described by the time-dependent Pauli master equation

$$\dot{p}_b(t) = \sum_a [w_{ab}(\lambda_t)p_a(t) - w_{ba}(\lambda_t)p_b(t)], \quad (\text{C7})$$

where $p_a(t) \equiv \langle a^{\lambda_t} | \rho_t | a^{\lambda_t} \rangle$. Equation (C7) should be sufficient for the description of the dynamics as long as the initial state only has nonzero diagonal elements (e.g., the equilibrium state). We will show that the quantum trajectory thermody-

This problem arises from the idealized assumption that the measurement takes place instantaneously, and thus can be solved by quantifying the Hamiltonians of the measurement device and its interaction with the system for a finite δt_m . Nevertheless, $\delta\langle Q\rangle_m$ should be of the order of $O(\delta t_m/\tau)$ compared with the total averaged heat, since $\delta\langle Q\rangle_m$ is roughly proportional to the density operator (always bounded) rather than its time derivative. Therefore, we can safely neglect $\delta\langle Q\rangle_m$ in the $\delta t_m \rightarrow 0$ limit and evaluate the total heat as

namics reproduces the well-established classical stochastic thermodynamics in the Pauli master equation formalism [61].

For simplicity, we arrive at the classical limit by assuming $[H(\lambda), H(\lambda')] = [H(\lambda), h_t] = 0$, so that $H(\lambda) = \sum_n E_n(\lambda)|n\rangle\langle n|$ with $|n\rangle$ being λ independent. The system undergoes (nonunitary) quantum adiabatic evolution, no matter how sensitively $E_n(\lambda)$ depends on λ during any two QJs. In this case, a QJT ψ_t with a nonzero probability must be like

$$\begin{aligned} \psi_t : & m_0 \xrightarrow{d_{j_01}^{m_0}(\lambda_{t_01})} m_0 \xrightarrow{d_{j_02}^{m_0}(\lambda_{t_02})} m_0 \dots m_0 \xrightarrow{d_{j_0r_0}^{m_0}(\lambda_{t_0r_0})} \\ & m_0 \xrightarrow{w_{m_0m_1}(\lambda_{t_1})} m_1 \xrightarrow{d_{j_11}^{m_1}(\lambda_{t_11})} m_1 \dots m_1 \xrightarrow{d_{j_1r_1}^{m_1}(\lambda_{t_1r_1})} m_1 \\ & \xrightarrow{w_{m_1m_2}(\lambda_{t_2})} m_2 \dots m_{M-1} \xrightarrow{w_{m_{M-1}m_M}(\lambda_{t_M})} m_M \\ & \xrightarrow{d_{j_{M1}^M}(\lambda_{t_{M1}})} m_M \dots m_M \xrightarrow{d_{j_{M}^M}(\lambda_{t_{M}^M})} m_M, \end{aligned} \quad (\text{C8})$$

where only QJs are presented, with $w_{m_p m_{p+1}}(\lambda_{t_p})$ [$d_{j_{pq}}^{m_p}(\lambda_{t_{pq}})$] denoting a state transition (dephasing) QJ with nonzero (zero) heat production. Owing to the quantum adiabatic evolution that maintains the quantum number, such a QJT is very similar to a classical one except for the dephasing QJs. The heat (work) along this QJT are completely determined by the state transition QJs and the initial and the final state energies:

$$\begin{aligned} Q[\psi_t] &= \sum_{k=0}^{M-1} (E_{m_k}^{\lambda_{t_{k+1}}} - E_{m_{k+1}}^{\lambda_{t_{k+1}}}), \\ W[\psi_t] &= \sum_{k=0}^M (E_{m_k}^{\lambda_{t_{k+1}}} - E_{m_k}^{\lambda_{t_k}}), \end{aligned} \quad (\text{C9})$$

where $t_0 \equiv 0$ and $t_{M+1} \equiv \tau$.

In fact, we can figure out the exact probability of a classical trajectory if we sum over all the QJTs with the same classical reduction. To do this, we first define the classical reduction m_t of a QJT ψ_t (C8) as follows:

$$m_t = \text{Re}[\psi_t] : m_0 \xrightarrow{w_{m_0 m_1}(\lambda_{t_1})} m_1 \xrightarrow{w_{m_1 m_2}(\lambda_{t_2})} m_2 \dots \\ m_{M-1} \xrightarrow{w_{m_{M-1} m_M}(\lambda_{t_M})} m_M, \quad (\text{C10})$$

where only the state transition QJs are retained. Such a definition is reasonable because the classical work and heat along the reduced classical trajectory (C10) are defined by Eq. (C9) [3]. For convenience but without the loss of generality, we denote $L_1(\lambda), L_2(\lambda), \dots, L_{J_1}(\lambda)$ as all the dephasing jump operators, each of which takes the form $L_j(\lambda) = \sum_n d_j^n(\lambda) |n\rangle\langle n|$ (since $[L_j(\lambda), H(\lambda)] = 0$). The remaining state transition jump operators must take the form of $L_{ab}(\lambda) = \sqrt{w_{ba}(\lambda)} |a\rangle\langle b|$. Now we write down the conditional probability of the QJT ψ_t as

$$\mathcal{P}[\psi_t|\psi_0] = \prod_{p=0}^{M-1} w_{m_p m_{p+1}}(\lambda_{t_{p+1}}) dt_{p+1} \times \prod_{p=0}^M e^{-\int_{t_p}^{t_{p+1}} dt [w_{m_p}(\lambda_t) + D_{m_p}(\lambda_t)]} \prod_{q=1}^{r_p} |d_{j_{pq}}^{m_p}(\lambda_{t_{pq}})|^2 dt_{pq}, \quad (\text{C11})$$

where $t_0 \equiv 0$, $t_{M+1} \equiv \tau$, $j_{pq} \in \{1, 2, \dots, J_1\}$, $w_n(\lambda) \equiv \sum_{m \neq n} w_{nm}(\lambda)$ and $D_n(\lambda) \equiv \sum_{j=1}^{J_1} |d_j^n(\lambda)|^2$. Then we sum up the conditional probabilities of all the ψ_t corresponding to the same m_t , leading to

$$\mathcal{P}[m_t|m_0] = \int_{\{\psi_t: \text{Re}[\psi_t]=m_t\}} D[\psi_t] \mathcal{P}[\psi_t|\psi_0] = \prod_{p=0}^{M-1} w_{m_p m_{p+1}}(\lambda_{t_{p+1}}) dt_{p+1} \cdot \prod_{p=0}^M e^{-\int_{t_p}^{t_{p+1}} dt [w_{m_p}(\lambda_t) + D_{m_p}(\lambda_t)]} \\ \times \sum_{r_p=0}^{+\infty} \int_{t_p}^{t_{p+1}} dt_{pr_p} \dots \int_{t_p}^{t_{p3}} dt_{p3} \int_{t_p}^{t_{p2}} dt_{p2} \prod_{q=1}^{r_p} \left(\sum_{j_{pq}=1}^{J_1} |d_{j_{pq}}^{m_p}(\lambda_{t_{pq}})|^2 \right). \quad (\text{C12})$$

By using the identity $e^{\int_{t'}^{t''} dt f(t)} = \sum_{r=0}^{+\infty} \int_{t'}^{t''} dt_r \dots \int_{t'}^{t_3} dt_3 \int_{t'}^{t_2} dt_2 \int_{t'}^{t_1} dt_1 \prod_{q=1}^r f(t_q)$, we finally obtain

$$\mathcal{P}[m_t|m_0] = e^{-\int_{t_M}^{\tau} dt w_{m_M}(\lambda_t)} \prod_{p=0}^{M-1} w_{m_p m_{p+1}}(\lambda_{t_{p+1}}) dt_{p+1} e^{-\int_{t_p}^{t_{p+1}} dt w_{m_p}(\lambda_t)}, \quad (\text{C13})$$

which turns out to be consistent with the conditional probability of a classical trajectory [96]. The generalization to the case with feedback control is straightforward, since there is no measurement backaction in the classical case.

It is worth mentioning that if h_t generates a sudden permutation operation between different classical states, the exclusive driving can stay classical but perform nonzero work. Such an operation routinely occurs in a classical computer as in the reversible classical logic gate operation of classical bits.

3. Adiabatic limit

To reach the adiabatic limit, we only have to set $g = 0$, so the system is dissipation-free and undergoes unitary evolution governed by the Liouville-von Neumann equation $\dot{\rho}_t = -\frac{i}{\hbar} [H(\lambda_t) + h_t, \rho_t]$. The QJT in this case is very simple: it only consists of the initial and final PM outcomes, while no QJ occurs, leading to $\mathcal{Q}[\psi_t] = 0$ and $W[\psi_t] = E_b^{\lambda_\tau} - E_a^{\lambda_0}$,

which is the widely accepted two-time PM definition of quantum work in isolated quantum systems [51]. When there is feedback, the energy change contributed by the measurement backaction is identified as work because $\langle W \rangle = \langle \Delta E \rangle$ and $\mathcal{Q}[\psi_t]$ always vanishes.

APPENDIX D: DERIVATIONS AND DISCUSSIONS OF THE GENERALIZED JARZYNSKI EQUALITIES

1. Derivation of Eq. (14)

A QJT in a feedback control process can be completely characterized by a discrete set of outcomes a and b of the initial and the final PMs, the outcome α of the measurement M_A , the total number of QJs K , and the time t_k and the type j_k of the k th QJ. Given these parameters and a set of time resolutions dt_k , the probability of this forward QJT ψ_t follows the stochastic Schrödinger equation (10) and is given by

$$\mathcal{P}[\psi_t, \alpha] = \left| \langle b^{\lambda_\tau} | \left[\prod_{k=K_m+1}^K U_{\text{eff}}^\alpha(t_{k+1}, t_k) L_{j_k}(\lambda_{t_k}^\alpha) \right] U_{\text{eff}}^\alpha(t_{K_m+1}, t_m) M_\alpha U_{\text{eff}}(t_m, t_{K_m}) \left[\prod_{k=1}^{K_m} L_{j_k}(\lambda_{t_k}) U_{\text{eff}}(t_k, t_{k-1}) \right] | a^{\lambda_0} \rangle \right|^2 p_a^{\text{eq}}(\lambda_0) \prod_{k=1}^K dt_k, \quad (\text{D1})$$

where $p_a^{\text{eq}}(\lambda) \equiv e^{-\beta E_a^\lambda} / Z^\lambda$ is the probability that the system at the a th eigenstate for the canonical ensemble with work parameter λ , and $U_{\text{eff}}^\alpha(t, t')$ [$U_{\text{eff}}^\alpha(t, t')$] is the nonunitary effective time-evolution operator generated by $H_{\text{eff}}^\alpha(t)$ [$H_{\text{eff}}^\alpha(t)$]. Based on the

definition of the corresponding time-reversed QJT $\bar{\psi}_t$ (see Fig. 1 in the main text), we can write down its probability as

$$\begin{aligned} \bar{\mathcal{P}}[\bar{\psi}_t, \alpha] &= \left| \langle a^{\bar{\lambda}_\tau} | \Theta^\dagger \left[\prod_{k=\bar{K}_m+1}^K \bar{U}_{\text{eff}}(\bar{t}_{k+1}, \bar{t}_k) \bar{L}_{\bar{j}_k}(\bar{\lambda}_{\bar{t}_k}) \right] \bar{U}_{\text{eff}}(\bar{t}_{\bar{K}_m+1}, \bar{t}_m) \right. \\ &\quad \left. \times \tilde{M}_\alpha \bar{U}_{\text{eff}}^\alpha(\bar{t}_m, \bar{t}_{\bar{K}_m}) \left[\prod_{k=1}^{\bar{K}_m} \bar{L}_{\bar{j}_k}(\bar{\lambda}_{\bar{t}_k}^\alpha) \bar{U}_{\text{eff}}^\alpha(\bar{t}_k, \bar{t}_{k-1}) \right] \Theta | b^{\bar{\lambda}_0^\alpha} \rangle \right|^2 p_b^{\text{eq}}(\bar{\lambda}_0^\alpha) J_K \prod_{k=1}^K d\bar{t}_k, \end{aligned} \quad (\text{D2})$$

where $J_K = (-)^K$ is the Jacobian $\partial(t_1, t_2, \dots, t_K) / \partial(\bar{t}_1, \bar{t}_2, \dots, \bar{t}_K)$, $t_0 \equiv 0$, $t_{K+1} \equiv \tau$, $\bar{\lambda}_t \equiv \lambda_{\tau-t}$ ($\bar{\lambda}_t^\alpha \equiv \lambda_{\tau-t}^\alpha$), $\bar{t}_k \equiv \tau - t_{K+1-k}$, $\bar{t}_m \equiv \tau - t_m$, $\bar{K}_m \equiv K - K_m$, $\bar{j}_k \equiv j'_{K+1-k}$ (we recall that j' is uniquely determined by $\Delta_{j'}(\lambda) = -\Delta_j(\lambda)$ if $\Delta_j(\lambda) \neq 0$ and $j' = j$ otherwise), $\tilde{M}_\alpha = \Theta M_\alpha^\dagger \Theta^\dagger$ and $\bar{U}_{\text{eff}}(t, t')$ is generated by $\bar{H}_{\text{eff}}(t) = \bar{H}(\bar{\lambda}_t) + \bar{h}_t - \sum_j i \hbar \bar{L}_j^\dagger(\bar{\lambda}_t) \bar{L}_j(\bar{\lambda}_t) / 2$, with $\bar{O} \equiv \Theta O \Theta^\dagger$ ($\bar{O}_t \equiv \Theta O_{\tau-t} \Theta^\dagger$) if O is not explicitly time-dependent (if O has a time argument). One can show $\bar{H}_{\text{eff}}(t) = \Theta H_{\text{eff}}^\dagger(\tau - t) \Theta^\dagger$, which leads to $\bar{U}_{\text{eff}}(t, t') = \Theta U_{\text{eff}}^\dagger(\tau - t', \tau - t) \Theta^\dagger$ [$\bar{U}_{\text{eff}}^\alpha(t, t') = \Theta U_{\text{eff}}^{\alpha\dagger}(\tau - t', \tau - t) \Theta^\dagger$] [40]. By substituting all these expressions into $\bar{\mathcal{P}}[\bar{\psi}_t, \alpha]$, we obtain

$$\begin{aligned} \bar{\mathcal{P}}[\bar{\psi}_t, \alpha] &= \left| \langle a^{\lambda_0} | \left[\prod_{k=K-K_m+1}^K U_{\text{eff}}^\dagger(\tau - \bar{t}_k, \tau - \bar{t}_{k+1}) L_{j'_{K-k+1}}(\lambda_{\tau-\bar{t}_k}) \right] U_{\text{eff}}^\dagger(\tau - \bar{t}_m, \tau - \bar{t}_{K-K_m+1}) \right. \\ &\quad \left. \times M_\alpha^\dagger U_{\text{eff}}^{\alpha\dagger}(\tau - \bar{t}_{K-K_m}, \tau - \bar{t}_m) \left[\prod_{k=1}^{K-K_m} L_{j'_{K-k+1}}(\lambda_{\tau-\bar{t}_k}^\alpha) U_{\text{eff}}^{\alpha\dagger}(\tau - \bar{t}_{k-1}, \tau - \bar{t}_k) \right] | b^{\lambda_\tau^\alpha} \rangle \right|^2 p_b^{\text{eq}}(\lambda_\tau^\alpha) J_K \prod_{k=1}^K d\bar{t}_k \\ &= \left| \langle a^{\lambda_\tau^\alpha} | \left[\prod_{k=K-K_m+1}^K U_{\text{eff}}^\dagger(t_{K-k+1}, t_{K-k}) L_{j_{K-k+1}}^\dagger(\lambda_{t_{K-k+1}}) e^{-\frac{1}{2}\beta\Delta_{j_{K-k+1}}(\lambda_{t_{K-k+1}})} \right] U_{\text{eff}}^\dagger(t_m, t_{K_m}) M_\alpha^\dagger \right. \\ &\quad \left. \times U_{\text{eff}}^{\alpha\dagger}(t_{K_m+1}, t_m) \left[\prod_{k=1}^{K-K_m} L_{j_{K-k+1}}^\dagger(\lambda_{t_{K-k+1}}^\alpha) e^{-\frac{1}{2}\beta\Delta_{j_{K-k+1}}(\lambda_{t_{K-k+1}}^\alpha)} U_{\text{eff}}^{\alpha\dagger}(t_{K-k+2}, t_{K-k+1}) \right] | b^{\lambda_0} \rangle \right|^2 p_b^{\text{eq}}(\lambda_\tau^\alpha) \prod_{k=1}^K dt_k \\ &= \left| \langle a^{\lambda_\tau^\alpha} | \left[\prod_{k=K_m}^1 U_{\text{eff}}^\dagger(t_k, t_{k-1}) L_{j_k}^\dagger(\lambda_{t_k}) e^{-\frac{1}{2}\beta\Delta_{j_k}(\lambda_{t_k})} \right] U_{\text{eff}}^\dagger(t_m, t_{K_m}) M_\alpha^\dagger U_{\text{eff}}^{\alpha\dagger}(t_{K_m+1}, t_m) \right. \\ &\quad \left. \times \left[\prod_{k=K}^{K_m+1} L_{j_k}^\dagger(\lambda_{t_k}^\alpha) e^{-\frac{1}{2}\beta\Delta_{j_k}(\lambda_{t_k}^\alpha)} U_{\text{eff}}^{\alpha\dagger}(t_{k+1}, t_k) \right] | b^{\lambda_0} \rangle \right|^2 p_b^{\text{eq}}(\lambda_\tau^\alpha) \prod_{k=1}^K dt_k \\ &= e^{-\beta[E_b^{\lambda_\tau^\alpha} - E_a^{\lambda_0} + \sum_{k=1}^K \Delta_{j_k}(\lambda_{t_k}^\alpha) - \Delta F_\alpha]} \left| \langle b^{\lambda_\tau^\alpha} | \left[\prod_{k=K_m+1}^K U_{\text{eff}}^\alpha(t_{k+1}, t_k) L_{j_k}(\lambda_{t_k}^\alpha) \right] U_{\text{eff}}^\alpha(t_{K_m+1}, t_m) M_\alpha e \right. \\ &\quad \left. \times U_{\text{eff}}^\alpha(t_m, t_{K_m}) \left[\prod_{k=1}^{K_m} L_{j_k}(\lambda_{t_k}) U_{\text{eff}}^\alpha(t_k, t_{k-1}) \right] | a^{\lambda_0} \rangle \right|^2 p_a^{\text{eq}}(\lambda_0) \prod_{k=1}^K dt_k = e^{-\beta(W[\psi_t, \alpha] - \Delta F_\alpha)} \mathcal{P}[\psi_t, \alpha], \end{aligned} \quad (\text{D3})$$

where $\lambda_t^\alpha \equiv \lambda_t$ for $t < t_m$. Thus we have completed the proof of Eq. (14) in the main text

$$\bar{\mathcal{P}}[\bar{\psi}_t, \alpha] = e^{-\beta(W[\bar{\psi}_t, \alpha] - \Delta F_\alpha)} \mathcal{P}[\psi_t, \alpha]. \quad (\text{D4})$$

2. Derivation of the efficacy of feedback control (15)

Using Eq. (D4), we have

$$\langle e^{-\beta(W - \Delta F)} \rangle = \sum_\alpha \int D[\psi_t] \mathcal{P}[\psi_t, \alpha] e^{-\beta(W[\psi_t, \alpha] - \Delta F_\alpha)} = \sum_\alpha \int D[\bar{\psi}_t] \bar{\mathcal{P}}[\bar{\psi}_t, \alpha], \quad (\text{D5})$$

where

$$\begin{aligned} \int D[\psi_t] &\equiv \sum_{a,b} \sum_{K=0}^{\infty} \sum_{\{j_k: 1 \leq k \leq K\}} \prod_{k=1}^K \int_0^{t_{k+1}} \quad (\text{with respect to } dt_k), \\ \int D[\bar{\psi}_t] &\equiv \sum_{b,a} \sum_{K=0}^{\infty} \sum_{\{\bar{j}_k: 1 \leq k \leq K\}} \prod_{k=1}^K \int_0^{\bar{t}_{k+1}} J_K^{-1} \quad (\text{with respect to } d\bar{t}_k). \end{aligned} \quad (\text{D6})$$

Then we calculate the path integral involved on the right-hand side of Eq. (D5) for a given α

$$\begin{aligned} \int D[\bar{\psi}_t] \bar{\mathcal{P}}[\bar{\psi}_t, \alpha] &= \sum_{b, \alpha} \sum_{\bar{K}_m=0}^{\infty} \sum_{K=\bar{K}_m}^{\infty} \sum_{\{\bar{j}_k: 1 \leq k \leq K\}} \left[\prod_{k=\bar{K}_m+1}^K \int_{\bar{t}_m}^{\bar{t}_{k+1}} d\bar{t}_k \right] \int_0^{\bar{t}_m} d\bar{t}_{\bar{K}_m} \prod_{k=1}^{\bar{K}_m-1} \int_0^{\bar{t}_{k+1}} d\bar{t}_k p_b^{\text{eq}}(\bar{\lambda}_0^\alpha) \\ &\times \left| \langle a^{\bar{\lambda}_\tau} | \Theta^\dagger \left[\prod_{k=\bar{K}_m+1}^K \bar{U}_{\text{eff}}(\bar{t}_{k+1}, \bar{t}_k) \bar{L}_{\bar{j}_k}(\bar{\lambda}_{\bar{t}_k}^\alpha) \right] \bar{U}_{\text{eff}}(\bar{t}_{\bar{K}_m+1}, \bar{t}_m) \tilde{M}_\alpha \bar{U}_{\text{eff}}^\alpha(\bar{t}_m, \bar{t}_{\bar{K}_m}) \left[\prod_{k=1}^{\bar{K}_m} \bar{L}_{\bar{j}_k}(\bar{\lambda}_{\bar{t}_k}^\alpha) \bar{U}_{\text{eff}}^\alpha(\bar{t}_k, \bar{t}_{k-1}) \right] \Theta | b^{\bar{\lambda}_0^\alpha} \rangle \right|^2 \\ &= \text{Tr}[\mathcal{T}_+ e^{\int_{\bar{t}_m}^{\bar{t}_\tau} dt \bar{\mathcal{L}}_t} \tilde{M}_\alpha [\mathcal{T}_+ e^{\int_0^{\bar{t}_m} dt \bar{\mathcal{L}}_t} \bar{\rho}^{\text{eq}}(\lambda_\tau^\alpha)] \tilde{M}_\alpha^\dagger] = \text{Tr}[\tilde{M}_\alpha^\dagger \tilde{M}_\alpha \bar{\rho}_{\bar{t}_m}^\alpha], \end{aligned} \quad (\text{D7})$$

where $\bar{\rho}_t^\alpha$ is the solution to $\dot{\rho}_t = \bar{\mathcal{L}}_t^\alpha \rho_t$ starting from the equilibrium state $\bar{\rho}^{\text{eq}}(\lambda_\tau^\alpha) = e^{-\beta \bar{H}(\lambda_\tau^\alpha)} / Z^{\lambda_\tau^\alpha}$, and several properties have been used, including the trace-preserving property of \mathcal{L}_t and the path integral representation of the time evolution generated by a general time-dependent Lindblad-form superoperator $\mathcal{L}_t = -\frac{i}{\hbar} [H_t, \cdot] + \sum_j \mathcal{D}[L_t^j]$.

$$\begin{aligned} \mathcal{T}_+ e^{\int_{t'}^{t''} dt \mathcal{L}_t} &= \sum_{L=0}^{\infty} \sum_{\{j_l: 1 \leq l \leq L\}} \prod_{l=1}^L \int_{t'}^{t_{l+1}} dt_l \mathcal{U}_{\text{eff}}(t'', t_L) \\ &\times \left[\prod_{l=1}^L \mathcal{J}_{j_l}(t_l) \mathcal{U}_{\text{eff}}(t_l, t_{l-1}) \right], \end{aligned} \quad (\text{D8})$$

where $t_0 \equiv t'$ and $t_{L+1} \equiv t''$ for each summation term with definite L , and $\mathcal{J}_j(t) \rho \equiv L_t^j \rho L_t^{j\dagger}$ and $\mathcal{U}_{\text{eff}}(t, t') \rho = U_{\text{eff}}(t, t') \rho U_{\text{eff}}^\dagger(t, t')$ are the jump superoperator and the effective time-evolution superoperator, respectively. After substituting Eq. (D7) into Eq. (D5), we finally come up with the first generalized Jarzynski equality:

$$\langle e^{-\beta(W-\Delta F)} \rangle = \sum_{\alpha} \text{Tr}[\tilde{M}_\alpha^\dagger \tilde{M}_\alpha \bar{\rho}_{\bar{t}_m}^\alpha] = \eta_{\text{QJT}}. \quad (\text{D9})$$

The existence of a measurement \mathbb{M}_{B_α} that involves \tilde{M}_α can be understood in the following manner. Based on either the picture of the system-measurement device interaction or a rigorous mathematical conclusion [97], we can express M_α as $M_\alpha = \langle \alpha_M | U_{\text{SM}} | \psi_M \rangle$, and therefore $\tilde{M}_\alpha = \Theta \langle \psi_M | U_{\text{SM}}^\dagger | \alpha_M \rangle \Theta^\dagger$. Starting from any given $|\psi_M\rangle$, we can always find out another $D-1$ state vectors $|\phi_M^j\rangle$, which can be made to satisfy $\langle \phi_M^j | \phi_M^k \rangle = \delta_{jk}$ and $\langle \phi_M^j | \psi_M \rangle = 0$ ($j, k = 1, 2, \dots, D-1$) through the Schmidt orthogonalization process. Therefore, \tilde{M}_α and $\Theta \langle \phi_M^j | U_{\text{SM}}^\dagger | \alpha_M \rangle \Theta^\dagger$ constitute

a measurement \mathbb{M}_{B_α} . Here D gives both the Hilbert-space dimension of the measurement device and the number of the measurement outcomes.

The consistency of η_{QJT} and the classical counterpart η_C [6] in the classical limit can be understood as follows: due to the absence of quantum coherence, $\bar{\rho}_{\bar{t}_m}^\alpha$ is diagonalized in the energy representation, i.e., $\bar{\rho}_{\bar{t}_m}^\alpha = \sum_n p_{\bar{t}_m}^\alpha |n\rangle \langle n| \Theta^\dagger$. Recalling that a general classical measurement operator takes the form $M_\alpha = \sum_n \sqrt{p_{\alpha|n}} |n\rangle \langle n|$, so that $\tilde{M}_\alpha = \sum_n \sqrt{p_{\alpha|n}} \Theta |n\rangle \langle n| \Theta^\dagger$ and

$$\eta_{\text{QJT}} = \sum_{\alpha, n} p_{\alpha|n} p_n^\alpha(\bar{t}_m) = \sum_{\alpha} \tilde{p}_{\alpha^*|\alpha} = \eta_C, \quad (\text{D10})$$

where $p_{\alpha^*|\alpha} \equiv \sum_n p_{\alpha^*|n} p_n^\alpha(\bar{t}_m)$ and the symmetry $p_{\alpha^*|n^*} = p_{\alpha|n}$ has been assumed. Also, the system is assumed to be time-reversal invariant so that $\sum_n = \sum_{n^*}$; however, it may have the Kramers degeneracy.

3. Derivation of the relevant information gain (17)

To derive the second generalized Jarzynski equality, we again make use of Eq. (D4). Based on the definition $I_{\text{QJT}} = \ln \|\tilde{M}_\alpha | \bar{\psi}_{\bar{t}_m} \rangle\|^2 - \ln p_\alpha$, we have

$$\begin{aligned} \langle e^{-\beta(W-\Delta F)-I_{\text{QJT}}} \rangle &= \sum_{\alpha} \int D[\psi_t] \mathcal{P}[\psi_t, \alpha] e^{-\beta(W[\psi_t, \alpha] - \Delta F_\alpha) - I_{\text{QJT}}[\psi_t, \alpha]} \\ &= \sum_{\alpha} p_\alpha \int D[\bar{\psi}_t] \frac{\bar{\mathcal{P}}[\bar{\psi}_t, \alpha]}{\|\tilde{M}_\alpha | \bar{\psi}_{\bar{t}_m} \rangle\|^2}. \end{aligned} \quad (\text{D11})$$

Each path integral $\int D[\bar{\psi}_t] \frac{\bar{\mathcal{P}}[\bar{\psi}_t, \alpha]}{\|\tilde{M}_\alpha | \bar{\psi}_{\bar{t}_m} \rangle\|^2}$ on the last part of the above equation turns out to be unity (we set $\bar{t}_{\bar{K}_m+1} \equiv \bar{t}_m$ here for convenience):

$$\begin{aligned} &\sum_b \sum_{\bar{K}_m=0}^{\infty} \sum_{\{\bar{j}_k: 1 \leq k \leq \bar{K}_m\}} \prod_{k=1}^{\bar{K}_m} \int_0^{\bar{t}_{k+1}} d\bar{t}_k p_b^{\text{eq}}(\bar{\lambda}_0^\alpha) \frac{\|\tilde{M}_\alpha \bar{U}_{\text{eff}}^\alpha(\bar{t}_m, \bar{t}_{\bar{K}_m}) [\prod_{k=1}^{\bar{K}_m} \bar{L}_{\bar{j}_k}(\bar{\lambda}_{\bar{t}_k}^\alpha) \bar{U}_{\text{eff}}^\alpha(\bar{t}_k, \bar{t}_{k-1})] \Theta | b^{\bar{\lambda}_0^\alpha} \rangle\|^2}{\|\tilde{M}_\alpha | \bar{\psi}_{\bar{t}_m} \rangle\|^2} \\ &= \sum_b \sum_{\bar{K}_m=0}^{\infty} \sum_{\{\bar{j}_k: 1 \leq k \leq \bar{K}_m\}} \prod_{k=1}^{\bar{K}_m} \int_0^{\bar{t}_{k+1}} d\bar{t}_k p_b^{\text{eq}}(\bar{\lambda}_0^\alpha) \left\| \bar{U}_{\text{eff}}^\alpha(\bar{t}_m, \bar{t}_{\bar{K}_m}) \left[\prod_{k=1}^{\bar{K}_m} \bar{L}_{\bar{j}_k}(\bar{\lambda}_{\bar{t}_k}^\alpha) \bar{U}_{\text{eff}}^\alpha(\bar{t}_k, \bar{t}_{k-1}) \right] \Theta | b^{\bar{\lambda}_0^\alpha} \rangle \right\|^2 \\ &= \text{Tr}[\mathcal{T}_+ e^{\int_0^{\bar{t}_m} dt \bar{\mathcal{L}}_t} \bar{\rho}^{\text{eq}}(\lambda_\tau^\alpha)] = 1. \end{aligned} \quad (\text{D12})$$

Hence, we obtain

$$\langle e^{-\beta(W-\Delta F)-I_{\text{QJT}}} \rangle = \sum_{\alpha} p_{\alpha} = 1. \quad (\text{D13})$$

Here the explicit expression of $|\bar{\psi}_{\bar{t}_m}\rangle$

$$|\bar{\psi}_{\bar{t}_m}\rangle = \frac{\bar{U}_{\text{eff}}^{\alpha}(\bar{t}_m, \bar{t}_{\bar{K}_m}) [\prod_{k=1}^{\bar{K}_m} \bar{L}_{\bar{j}_k}(\bar{\lambda}_{\bar{t}_k}^{\alpha}) \bar{U}_{\text{eff}}^{\alpha}(\bar{t}_k, \bar{t}_{k-1})] \Theta |b^{\bar{\lambda}_0^{\alpha}}\rangle}{\|\bar{U}_{\text{eff}}^{\alpha}(\bar{t}_m, \bar{t}_{\bar{K}_m}) [\prod_{k=1}^{\bar{K}_m} \bar{L}_{\bar{j}_k}(\bar{\lambda}_{\bar{t}_k}^{\alpha}) \bar{U}_{\text{eff}}^{\alpha}(\bar{t}_k, \bar{t}_{k-1})] \Theta |b^{\bar{\lambda}_0^{\alpha}}\rangle\|} \quad (\text{D14})$$

has been used. Accordingly, the ket can be expressed in terms of the measurement outcomes in the forward QJT:

$$\begin{aligned} \langle \bar{\psi}_{\bar{t}_m} | &= \frac{\langle b^{\bar{\lambda}_0^{\alpha}} | \Theta^{\dagger} [\prod_{k=\bar{K}_m}^1 \bar{U}_{\text{eff}}^{\alpha\dagger}(\bar{t}_k, \bar{t}_{k-1}) \bar{L}_{\bar{j}_k}^{\dagger}(\bar{\lambda}_{\bar{t}_k}^{\alpha})] \bar{U}_{\text{eff}}^{\alpha\dagger}(\bar{t}_m, \bar{t}_{\bar{K}_m})}{\|\langle b^{\bar{\lambda}_0^{\alpha}} | \Theta^{\dagger} [\prod_{k=\bar{K}_m}^1 \bar{U}_{\text{eff}}^{\alpha\dagger}(\bar{t}_k, \bar{t}_{k-1}) \bar{L}_{\bar{j}_k}^{\dagger}(\bar{\lambda}_{\bar{t}_k}^{\alpha})] \bar{U}_{\text{eff}}^{\alpha\dagger}(\bar{t}_m, \bar{t}_{\bar{K}_m})\|} \\ &= \frac{\langle b^{\lambda_0^{\alpha}} | [\prod_{k=K_m+1}^K U_{\text{eff}}^{\alpha}(t_{k+1}, t_k) L_{j_k}(\lambda_{t_k}^{\alpha})] U_{\text{eff}}^{\alpha}(t_{K_m+1}, t_m) \Theta^{\dagger}}{\|\langle b^{\lambda_0^{\alpha}} | [\prod_{k=K_m+1}^K U_{\text{eff}}^{\alpha}(t_{k+1}, t_k) L_{j_k}(\lambda_{t_k}^{\alpha})] U_{\text{eff}}^{\alpha}(t_{K_m+1}, t_m)\|}, \end{aligned} \quad (\text{D15})$$

where $L_{\bar{j}_k}^{\dagger}(\bar{\lambda}_{\bar{t}_k}^{\alpha}) \propto L_{j_{K+1-k}}(\lambda_{t_{K+1-k}}^{\alpha})$ has been used. One can see that generally all the measurement outcomes after t_m should be used to determine $|\bar{\psi}_{\bar{t}_m}\rangle$, which usually differs from $\Theta|\psi_{t_m}^{\pm}\rangle$. One can also see that the validity of the second generalized Jarzynski equality only requires $\sum_{\alpha} p_{\alpha} = 1$, so they are not necessarily the real probabilities of the measurement outcomes. However, to minimize the averaged value $\langle I_{\text{QJT}} \rangle$, which gives an upper bound of $-\beta\langle W_{\text{diss}} \rangle$, the real probabilities are the optimal choice. Another advantage is that $\langle I_{\text{QJT}} \rangle$ has a Holevo boundlike expression under such a choice.

To measure I_{QJT} , we have to measure both $\|\bar{M}_{\alpha}|\bar{\psi}_{\bar{t}_m}\rangle\|^2$ and p_{α} . The latter is straightforward since we have only to count the number of all the possible measurement outcomes, and then perform the statistical estimation after many repeats of the feedback control experiment. On the other hand, measuring $\|\bar{M}_{\alpha}|\bar{\psi}_{\bar{t}_m}\rangle\|^2$ is, though in principle feasible, much more involved: for given α , we should prepare a sufficiently large number of realizations of the time-reversed processes to observe, in a certain coarse graining of time, all the possible outcomes dN_t^j from monitoring the heat bath. Conditioned on each sequence of outcomes, we perform the measurement $\mathbb{M}_{\mathbb{B}_{\alpha}}$ to statistically determine the conditional probability $\|\bar{M}_{\alpha}|\bar{\psi}_{\bar{t}_m}\rangle\|^2$, which again requires many repetitions. Fortunately, if there are only state transition QJs, we can simplify the above process into the following procedure: for given α , we start from the b th instantaneous energy eigenstate of $\bar{H}(\lambda_t)$ at different times $t > t_m$ and apply the time-reversed driving

protocols $\bar{\lambda}_t^{\alpha}$ and \bar{h}_t^{α} . We then perform the measurement $\mathbb{M}_{\mathbb{B}_{\alpha}}$ to estimate the conditional probability $\tilde{p}_{\alpha|b,t}$ of that outcome α being observed for those QJTs with no QJ after $t - t_m$. The probability $\tilde{p}_{\alpha|b,t}$ has already covered all the possible $\|\bar{M}_{\alpha}|\bar{\psi}_{\bar{t}_m}\rangle\|^2$. This fact may be accounted for by the completely destructive nature of a state-transition QJ (or a PM performed at the final stage) that makes all measurement outcomes after this QJ irrelevant to estimate the quantum state at t_m^+ , and this fact has been used in our numerical calculations. One can also see that the knowledge of the microscopic details about the system and the measurement is not needed in a real experiment—we only have to deal with the classical outcomes.

The consistency between I_{QJT} and the classical mutual information I_C at the trajectory level is transparent: in the classical limit, we have $|\bar{\psi}_{\bar{t}_m}\rangle = \Theta|\psi_{t_m}\rangle$ with $|\psi_{t_m}\rangle$ being a certain eigenstate $|n_t\rangle$. Recalling the general classical form of M_{α} , we have

$$\begin{aligned} I_{\text{QJT}}[\psi_t, \alpha] &= \ln \|\Theta M_{\alpha}|n_t\rangle\|^2 - \ln p_{\alpha} \\ &= \ln p_{\alpha|n_t} - \ln p_{\alpha} = I_C[n_t, \alpha]. \end{aligned} \quad (\text{D16})$$

4. Derivation of Eq. (18) and the properties of relevant information

By definition, the average value of I_{QJT} should be

$$\begin{aligned} \langle I^{\text{QJT}} \rangle &= \sum_{\alpha} \int D[\psi_t] \mathcal{P}[\psi_t, \alpha] I^{\text{QJT}}[\psi_t, \alpha] \\ &= \sum_{\alpha, b} \sum_{K=K_m}^{\infty} \sum_{\{j_k: K_m < k \leq K\}} \prod_{k=K_m+1}^K \int_{t_m}^{t_{k+1}} dt_k \left\| \langle b^{\lambda_0^{\alpha}} | \left[\prod_{k=K_m+1}^K U_{\text{eff}}^{\alpha}(t_{k+1}, t_k) L_{j_k}(\lambda_{t_k}^{\alpha}) \right] U_{\text{eff}}^{\alpha}(t_{K_m+1}, t_m) M_{\alpha} \sqrt{\rho_{t_m}^-} \right\|^2 \\ &\quad \times \ln \frac{\|\langle b^{\lambda_0^{\alpha}} | [\prod_{k=K_m+1}^K U_{\text{eff}}^{\alpha}(t_{k+1}, t_k) L_{j_k}(\lambda_{t_k}^{\alpha})] U_{\text{eff}}^{\alpha}(t_{K_m+1}, t_m) M_{\alpha}\|^2}{p_{\alpha} \|\langle b^{\lambda_0^{\alpha}} | [\prod_{k=K_m+1}^K U_{\text{eff}}^{\alpha}(t_{k+1}, t_k) L_{j_k}(\lambda_{t_k}^{\alpha})] U_{\text{eff}}^{\alpha}(t_{K_m+1}, t_m)\|^2}. \end{aligned} \quad (\text{D17})$$

Based on the definition $\mathcal{I}_C(\rho : M_X) \equiv H(p_\rho^{M_X} \| p_{\rho_\alpha}^{M_X})$, we can write down

$$\begin{aligned}
& \mathcal{I}_C(\rho_{t_m^+}^\alpha : \Pi^{\lambda_\tau^\alpha} M_{J_{t_m < \tau|\alpha}}) \\
&= \sum_b \sum_{K=K_m}^{\infty} \sum_{\{j_k : K_m < k \leq K\}} \prod_{k=K_m+1}^K \int_{t_m}^{t_{k+1}} dt_k \left\| \langle b^{\lambda_\tau^\alpha} | \left[\prod_{k=K_m+1}^K U_{\text{eff}}^\alpha(t_{k+1}, t_k) L_{j_k}(\lambda_{t_k}^\alpha) \right] U_{\text{eff}}^\alpha(t_{K_m+1}, t_m) \sqrt{\rho_{t_m^+}^\alpha} \right\|^2 \\
&\quad \times \ln \frac{\left\| \langle b^{\lambda_\tau^\alpha} | \left[\prod_{k=K_m+1}^K U_{\text{eff}}^\alpha(t_{k+1}, t_k) L_{j_k}(\lambda_{t_k}^\alpha) \right] U_{\text{eff}}^\alpha(t_{K_m+1}, t_m) \sqrt{\rho_{t_m^+}^\alpha} \right\|^2}{\left\| \langle b^{\lambda_\tau^\alpha} | \left[\prod_{k=K_m+1}^K U_{\text{eff}}^\alpha(t_{k+1}, t_k) L_{j_k}(\lambda_{t_k}^\alpha) \right] U_{\text{eff}}^\alpha(t_{K_m+1}, t_m) \sqrt{\rho_u} \right\|^2}, \\
& \mathcal{I}_C(\rho_{t_m^-} : \Pi^{\lambda_\tau^\Lambda} M_{J_{t_m < \tau|\Lambda}} M_A) \\
&= \sum_{\alpha, b} \sum_{K=K_m}^{\infty} \sum_{\{j_k : K_m < k \leq K\}} \prod_{k=K_m+1}^K \int_{t_m}^{t_{k+1}} dt_k \left\| \langle b^{\lambda_\tau^\alpha} | \left[\prod_{k=K_m+1}^K U_{\text{eff}}^\alpha(t_{k+1}, t_k) L_{j_k}(\lambda_{t_k}^\alpha) \right] U_{\text{eff}}^\alpha(t_{K_m+1}, t_m) M_\alpha \sqrt{\rho_{t_m^-}} \right\|^2 \\
&\quad \times \ln \frac{\left\| \langle b^{\lambda_\tau^\alpha} | \left[\prod_{k=K_m+1}^K U_{\text{eff}}^\alpha(t_{k+1}, t_k) L_{j_k}(\lambda_{t_k}^\alpha) \right] U_{\text{eff}}^\alpha(t_{K_m+1}, t_m) M_\alpha \sqrt{\rho_{t_m^-}} \right\|^2}{\left\| \langle b^{\lambda_\tau^\alpha} | \left[\prod_{k=K_m+1}^K U_{\text{eff}}^\alpha(t_{k+1}, t_k) L_{j_k}(\lambda_{t_k}^\alpha) \right] U_{\text{eff}}^\alpha(t_{K_m+1}, t_m) M_\alpha \sqrt{\rho_u} \right\|^2}. \tag{D18}
\end{aligned}$$

Combining Eq. (D18) with Eq. (D17), using $\rho_{t_m^+}^\alpha \equiv M_\alpha \rho_{t_m^-} M_\alpha^\dagger / p_\alpha$, we finally obtain

$$\begin{aligned}
\langle I^{\text{QJT}} \rangle &= \sum_\alpha p_\alpha \mathcal{I}_C(\rho_{t_m^+}^\alpha : \Pi^{\lambda_\tau^\alpha} M_{J_{t_m < \tau|\alpha}}) \\
&\quad - \mathcal{I}_C(\rho_{t_m^-} : \Pi^{\lambda_\tau^\Lambda} M_{J_{t_m < \tau|\Lambda}} M_A). \tag{D19}
\end{aligned}$$

The fact that \mathcal{I}_C is always bounded by \mathcal{I}_Q can be understood physically as follows: $\mathcal{I}_Q(\rho)$ is the intrinsic information that the quantum state ρ carries, while $\mathcal{I}_C(\rho : M_X)$ is the available information content extracted from the classical outcomes by a measurement M_X performed on ρ . This result can be obtained from the following relation [98]:

$$\begin{aligned}
S(\rho || \sigma) &\geq S\left(\bigoplus_x M_x \rho M_x^\dagger \middle| \bigoplus_x M_x \sigma M_x^\dagger\right) \\
&= H(p_\rho^{M_X} \| p_\sigma^{M_X}) + \sum_x p_\rho^x S(\rho_x || \sigma_x) \\
&\geq H(p_\rho^{M_X} \| p_\sigma^{M_X}), \tag{D20}
\end{aligned}$$

where $\rho_x \equiv M_x \rho M_x^\dagger / p_\rho^x$ and $p_\rho^x = \text{Tr}[M_x \rho M_x^\dagger]$ for $x \in X$. Another good property of \mathcal{I}_C is that it increases monotonically when performing subsequent measurements, namely $\mathcal{I}_C(\rho : M_Y M_X) \geq \mathcal{I}_C(\rho : M_X)$. This is a result of the chain rule of the classical relative entropy [99]:

$$\begin{aligned}
& H(p_\rho^{M_Y M_X} \| p_\sigma^{M_Y M_X}) \\
&= H(p_\rho^{M_X} \| p_\sigma^{M_X}) + \sum_x p_\rho^x H(p_{\rho_x}^{M_Y} \| p_{\sigma_x}^{M_Y}) \\
&\geq H(p_\rho^{M_X} \| p_\sigma^{M_X}). \tag{D21}
\end{aligned}$$

From the above result we can also find that $\mathcal{I}_C(\rho : M_Z M_Y M_X) = \mathcal{I}_C(\rho : M_Y M_X)$ once M_Y is a projective measurement, no matter how complex M_Z or M_X is (e.g., a combination of M_{X_k}).

5. Other fluctuation theorems

In a real quantum feedback control experiment, we only perform the initial and the final PMs to determine the energy change, a general measurement M_A for feedback, and the continuous monitoring of the heat bath to determine the heat along a single trajectory. Our results in the main text are fully compatible with such an experiment, and the correction term $I[\psi_t, \alpha]$ is, in principle, measurable. However, if we only concern the ensemble average, we can insert arbitrary numbers of nondemolition PMs⁶ at arbitrary time points while keeping $\langle W \rangle$ ($\langle Q \rangle$ or $\langle \Delta s \rangle$) unchanged, since a nondemolition PM preserves the density operator and costs no work (but does affect the work and heat fluctuations). Such a technique was used in Ref. [27] to construct a classical trajectory (C10)-like quantum trajectory where nondemolition PMs are continuously performed on the system, though the experimental realization is difficult. Particularly, if we insert two nondemolition PMs right before and after M_A , we can still construct the same second-type generalized Jarzynski equality (D13) in form by redefining the correction term I_{QJT} as [16]

$$I_{\text{ba}} = \ln p_{l|\alpha} - \ln p_k, \tag{D22}$$

where $\rho_{t_m^-} = \sum_k p_k |k\rangle\langle k|$ and $\rho_{t_m^+}^\alpha = \sum_l p_{l|\alpha} |l^\alpha\rangle\langle l^\alpha|$. After taking the ensemble average, we obtain the QC-mutual information [10]:

$$\langle I_{\text{ba}} \rangle = \sum_\alpha p_\alpha \mathcal{I}_Q(\rho_{t_m^+}^\alpha) - \mathcal{I}_Q(\rho_{t_m^-}) = I_{\text{QC}}. \tag{D23}$$

Although the feedback control process compatible with I_{ba} is somehow artificial, I_{QC} indeed gives an upper bound for

⁶By a nondemolition PM on ρ , we mean that the basis of the PM is the eigenbasis of ρ . Therefore, such a ‘‘nondemolition’’ PM actually disturbs the system at the \mathcal{E}_p -ensemble level [55] and thus affects the work or heat distributions, despite the fact that it has no backaction at the \mathcal{E}_ρ -ensemble level and thus preserves $\langle W \rangle$ or $\langle Q \rangle$.

$-\beta(W_{\text{diss}})$ in real experiments (without the need of the two nondemolition PMs) at the ensemble level.

In fact, we have two other second-type generalized Jarzynski equalities, which correspond to the feedback control processes with only one nondemolition PM just before M_A and those after M_A . To put it concretely, for the case of a PM immediately before M_A , we define I_b as

$$I_b = \ln \langle \bar{\psi}_{t_m}^- | \Theta \rho_{t_m}^\alpha \Theta^\dagger | \bar{\psi}_{t_m}^- \rangle - \ln p_k, \quad (\text{D24})$$

for which the ensemble average is

$$\langle I_b \rangle = \sum_\alpha p_\alpha \mathcal{I}_C(\rho_{t_m}^\alpha : \Pi_{\tau|\alpha} M_{J_{t_m} < \tau}(\alpha)) - \mathcal{I}_Q(\rho_{t_m}^-). \quad (\text{D25})$$

Recalling that \mathcal{I}_C is always bounded by \mathcal{I}_Q , this bound is always tighter than both $\langle I_{\text{QT}} \rangle$ and I_{QC} . If we want to saturate $\langle I_b \rangle$ to I_{QC} , the only chance for it seems to first quench the Hamiltonian so as to commute with $\rho_{t_m}^+$ followed by a quasistatic process, as proposed in Ref. [100]. For the case of a PM just after M_A , we define I_a as

$$I_a = \ln \| M_A^\dagger |l_\alpha\rangle \|^2 - \ln p_\alpha, \quad (\text{D26})$$

for which the ensemble average is

$$\langle I_a \rangle = \sum_\alpha p_\alpha \mathcal{I}_Q(\rho_{t_m}^\alpha) - \mathcal{I}_C(\rho_{t_m}^- : \Pi_{t_m|A}^{\text{nd}} M_A), \quad (\text{D27})$$

where $\Pi_{t_m|A}^{\text{nd}} \equiv \{|l_\alpha\rangle\langle l_\alpha| : l = 1, 2, \dots, d\}$ is the nondemolition PM with respect to $\rho_{t_m}^+$. This bound is the loosest compared with the other three bounds.

APPENDIX E: DETAILS OF THE EXAMPLE

1. Equation of motion (22)

The equation of motion used in Sec. VI B, with the external driving turned off, can be obtained from the following standard total Hamiltonian [55,56]:

$$H_{\text{tot}}(t) = \frac{1}{2} \hbar \omega_t \sigma_z \otimes I_B + I_S \otimes \sum_k \hbar \omega_k b_k^\dagger b_k + g \sigma_x \otimes \sum_k (c_k b_k^\dagger + c_k^* b_k), \quad (\text{E1})$$

where a two-level system is coupled to a noninteracting many-boson heat bath. The first condition in Eq. (A2) holds true due to $q(\omega) \equiv 0$ [here $H(\omega) = \hbar \omega \sigma_z / 2$]. When the heat bath is at equilibrium, the correlation function can be obtained as

$$\mathcal{B}(t) = \sum_k |c_k|^2 [\langle n_k \rangle e^{i\omega_k t} + (\langle n_k \rangle + 1) e^{-i\omega_k t}], \quad (\text{E2})$$

where $\langle n_k \rangle = (e^{\beta \hbar \omega_k} - 1)^{-1}$ and the Fourier transform of $\mathcal{B}(t)$, denoted by $\Gamma(\omega) = \int_{-\infty}^{+\infty} dt e^{i\omega t} \mathcal{B}(t)$, reads

$$\Gamma(\omega) = \frac{1}{1 - e^{-\beta \hbar \omega}} [J(\omega) - J(-\omega)], \quad (\text{E3})$$

where $J(\omega) \equiv 2\pi \sum_k |c_k|^2 \delta(\omega - \omega_k)$ is the spectral function. After assuming an Ohmic spectrum $J(\omega) = \kappa_0 \omega \theta(\omega)$ [$\theta(\omega)$: the Heaviside unit-step function], we find that the only two nonvanishing jump operators are $L_\pm(\omega_t) = \sqrt{\gamma_\pm(\omega_t)} \sigma_\pm$,

where $\sigma_\pm = (\sigma_x \pm i\sigma_y)/2$, and the transition rates read

$$\gamma_\pm(\omega) = \frac{g^2}{\hbar^2} \Gamma(\mp \omega) = \frac{1}{2} \kappa \omega \left(\coth \frac{\beta \hbar \omega}{2} \mp 1 \right), \quad (\text{E4})$$

with $\kappa \equiv \kappa_0 \frac{g^2}{\hbar^2}$. The memory time τ_B is of the order of $\beta \hbar$ [54], so the second condition in Eq. (A2) becomes $\beta g \ll 1$ and $\beta g^2 \ll \min_{0 \leq t \leq \tau} \hbar \omega_t$, which is well satisfied for the parameters we use ($\beta = 5, g = 0.001, \kappa_0 = 10^3$ and $\omega_0 = 0.3$). If we neglect the Lamb shift, we obtain the following adiabatic Lindblad equation

$$\dot{\rho}_t = -\frac{i}{2} [\omega_t \sigma_z, \rho_t] + \sum_{j=\pm} \gamma_j(\omega_t) \mathcal{D}[\sigma_j] \rho_t, \quad (\text{E5})$$

which gives Eq. (22) in the main text by further adding the perturbative driving term $\epsilon \sigma_x \cos \omega_d t$.

2. Numerical simulations

We apply the standard stochastic wave function approach [34], as was used in Ref. [39]. We analyze a total of 10^6 individual QJTs. For the feedback control process in a single realization, we first generate a random number X , which distributes uniformly over $[0, 1]$ ($X \sim U[0, 1]$) for initialization. If $X < p_e(\omega_0) = e^{-\frac{\beta \hbar \omega_0}{2}} / (2 \cosh \frac{\beta \hbar \omega_0}{2})$, we initialize the system as $|\psi_0\rangle = |e\rangle$, record the initial energy $E_i = \hbar \omega_0 / 2$ and set the strength of coherent driving to be $\epsilon = 0.008$. Otherwise, we set $|\psi_0\rangle = |g\rangle$, $E_i = -\hbar \omega_0 / 2$ and $\epsilon = 0.002$ ($\sigma_z |e\rangle = |e\rangle$ and $\sigma_z |g\rangle = -|g\rangle$). For the corresponding ordinary process (without feedback control), we always set $\epsilon = 0.008 p_e(\omega_0) + 0.002 p_g(\omega_0) = 0.0031$ whatever the initial state is. The accumulated heat Q is initialized to 0.

We discretize the time interval $[0, \tau = 2000]$ into 20000 identical parts,⁷ each with length $\Delta t = 0.1$. For each time step, we use $e^{-\frac{i}{\hbar} H_{\text{eff}}(t+\Delta t/2)\Delta t}$ to approximate the effective time-evolution operator $U_{\text{eff}}(t + \Delta t, t)$, where

$$H_{\text{eff}}(t) = \frac{\hbar}{2} (\omega_t \sigma_z + \epsilon \sigma_x \cos \omega_d t) - \frac{i\hbar}{4} \kappa \omega_t \left(\sigma_z + \coth \frac{\beta \hbar \omega_t}{2} \right). \quad (\text{E6})$$

Suppose that the state of the system is $|\psi_t\rangle = c_e(t)|e\rangle + c_g(t)|g\rangle$ at time t . To determine the state at $t + \Delta t$, we should first calculate

$$\Delta p = 1 - \left\| e^{-\frac{i}{\hbar} H_{\text{eff}}(t+\Delta t/2)\Delta t} |\psi_t\rangle \right\|^2, \quad (\text{E7})$$

which is the probability that a QJ occurs. To make the event probabilistic, we generate a random number $Y_t \sim U[0, 1]$. If $Y_t > \Delta p$, the time evolution is determined by

$$|\psi_{t+\Delta t}\rangle = \frac{e^{-\frac{i}{\hbar} H_{\text{eff}}(t)\Delta t} |\psi_t\rangle}{\sqrt{1 - \Delta p}}. \quad (\text{E8})$$

Otherwise, one of the two possible QJs occurs. The ratio of the probabilities between a deexcitation QJ and an excitation QJ is $|c_e(t)|^2 \gamma_-(\omega_t) / |c_g(t)|^2 \gamma_+(\omega_t)$. Therefore, we

⁷The results are almost unchanged even if we double the total number of steps. Similar observations have been highlighted in Ref. [39].

independently generate another random variable $Z_t \sim U[0, 1]$. If $Z_t < \frac{|c_e(t)|^2 \gamma_-(\omega_t)}{|c_e(t)|^2 \gamma_-(\omega_t) + |c_g(t)|^2 \gamma_+(\omega_t)}$, a deexcitation QJ occurs, so that

$$|\psi_{t+\Delta t}\rangle = |g\rangle, \quad (\text{E9})$$

and the accumulated heat increases by $dQ = \hbar\omega_t$. Otherwise, an excitation QJ occurs, so that

$$|\psi_{t+\Delta t}\rangle = |e\rangle, \quad (\text{E10})$$

$$\frac{d}{dt} \begin{bmatrix} \rho_{ee}(t) \\ \rho_{gg}(t) \\ \rho_{eg}(t) \\ \rho_{ge}(t) \end{bmatrix} = \begin{bmatrix} -\gamma_-(\bar{\omega}_t) & \gamma_+(\bar{\omega}_t) & \frac{i}{2}\epsilon \cos \omega_d t & -\frac{i}{2}\epsilon \cos \omega_d t \\ \gamma_-(\bar{\omega}_t) & -\gamma_+(\bar{\omega}_t) & -\frac{i}{2}\epsilon \cos \omega_d t & \frac{i}{2}\epsilon \cos \omega_d t \\ \frac{i}{2}\epsilon \cos \omega_d t & -\frac{i}{2}\epsilon \cos \omega_d t & -\frac{\gamma_+(\bar{\omega}_t) + \gamma_-(\bar{\omega}_t)}{2} - i\bar{\omega}_t & 0 \\ -\frac{i}{2}\epsilon \cos \omega_d t & \frac{i}{2}\epsilon \cos \omega_d t & 0 & -\frac{\gamma_+(\bar{\omega}_t) + \gamma_-(\bar{\omega}_t)}{2} + i\bar{\omega}_t \end{bmatrix} \begin{bmatrix} \rho_{ee}(t) \\ \rho_{gg}(t) \\ \rho_{eg}(t) \\ \rho_{ge}(t) \end{bmatrix},$$

where $\bar{\omega}_t = \omega_{\tau-t} = \omega_t - \Delta\omega t/\tau$, $\omega_\tau = \omega_0 + \Delta\omega$ and the symmetry $h_{\tau-t} = h_t$ has been used. The initial condition is the equilibrium state at $\omega = \omega_\tau$, i.e., $\rho_{ee}(0) = e^{-\frac{\beta\hbar\omega_\tau}{2}} / (2 \cosh \frac{\beta\hbar\omega_\tau}{2}) = 1 - \rho_{gg}(0)$ and $\rho_{eg}(0) = \rho_{ge}(0) = 0$. For $\alpha = g$ ($\alpha = e$), we solve the above equation with $\epsilon = 0.002$ ($\epsilon = 0.008$) to obtain the final density matrix, so that $\tilde{p}_e = \rho_{ee}(\tau)$ [$\tilde{p}_g = \rho_{gg}(\tau)$]. Finally, we obtain $\eta_{\text{QJT}} = \tilde{p}_e + \tilde{p}_g$.

As mentioned in Appendix D 3, the two ingredients to determine I_{QJT} are p_α and $\|\tilde{M}_\alpha|\tilde{\psi}_{\tilde{t}_m}\rangle\|^2$. Here p_α simply equals the initial canonical distribution of state α (e or g). While it is generally difficult to calculate $\|\tilde{M}_\alpha|\tilde{\psi}_{\tilde{t}_m}\rangle\|^2$, without dephasing

and $dQ = -\hbar\omega_t$. Finally, we projectively measure $|\psi_{\tau-}\rangle$ in the basis $\{|e\rangle, |g\rangle\}$, with probability $|\langle e|\psi_{\tau-}\rangle|^2$ ($|\langle g|\psi_{\tau-}\rangle|^2$) to observe outcome e (g). To this end, we generate a random variable $X' \sim U[0, 1]$. If $X' < |\langle e|\psi_{\tau-}\rangle|^2$, we record the final energy $E_f = \hbar\omega_\tau/2$. Otherwise, we have $E_f = -\hbar\omega_\tau/2$. The work during this single run can be evaluated as

$$W = E_f - E_i + Q. \quad (\text{E11})$$

Now η_{QJT} is obtained by numerically solving the time-reversed LME in the σ_z representation

QJs, it can be obtained from (i) the information about the channel index and time of the first QJ after t_m , or (ii) the final PM outcome if no QJ occurs during $[t_m, \tau]$. In particular, in our two-level model with $t_m = 0$, given the initial PM outcome α and the first QJ from the state x (either e or g) to the other state at $t_1 = \tau - \tilde{t}_K$, we have

$$\|\tilde{M}_\alpha|\tilde{\psi}_{\tilde{t}_m}\rangle\|^2 = \frac{|\langle \alpha|\tilde{U}_{\text{eff}}(\tau, \tilde{t}_K)|x\rangle|^2}{\|\tilde{U}_{\text{eff}}(\tau, \tilde{t}_K)|x\rangle\|^2}, \quad (\text{E12})$$

where $U_{\text{eff}}(t_2, t_1) = \mathcal{T}_+ e^{-\frac{i}{\hbar} \int_{t_1}^{t_2} H_{\text{eff}}(\tau-t) dt}$ with $H_{\text{eff}}(t)$ given by Eq. (E6). Finally, $\langle I_{\text{QJT}} \rangle$ is obtained by taking the average over all these I_{QJT} data (10^6 in total).

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