

# Adiabatic quantum optimization in the presence of discrete noise: Reducing the problem dimensionality

Salvatore Mandrà, Gian Giacomo Guerreschi, and Alán Aspuru-Guzik

*Department of Chemistry and Chemical Biology, Harvard University, 12 Oxford Street, 02138 Cambridge, Massachusetts, USA*

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Adiabatic quantum optimization is a procedure to solve a vast class of optimization problems by slowly changing the Hamiltonian of a quantum system. The evolution time necessary for the algorithm to be successful scales inversely with the minimum energy gap encountered during the dynamics. Unfortunately, the direct calculation of the gap is strongly limited by the exponential growth in the dimensionality of the Hilbert space associated to the quantum system. Although many special-purpose methods have been devised to reduce the effective dimensionality, they are strongly limited to particular classes of problems with evident symmetries. Moreover, little is known about the computational power of adiabatic quantum optimizers in real-world conditions. Here we propose and implement a general purposes reduction method that does not rely on any explicit symmetry and which requires, under certain general conditions, only a polynomial amount of classical resources. Thanks to this method, we are able to analyze the performance of “nonideal” quantum adiabatic optimizers to solve the well-known Grover problem, namely the search of target entries in an unsorted database, in the presence of discrete local defects. In this case, we show that adiabatic quantum optimization, even if affected by random noise, is still potentially faster than any classical algorithm.

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## I. INTRODUCTION

In 2001, Farhi *et al.* [1] proposed a new paradigm to carry out quantum computation (QC) that is based on the adiabatic evolution of a quantum system under a slowly changing Hamiltonian and that builds on previous results developed by the statistical and chemical physics communities in the context of quantum annealing techniques [2–5]. This approach constitutes an alternative framework in which the development of new quantum algorithms for optimization problems results more intuitive [6–8]. However, the estimation of the evolution time and its scaling with the problem size still remains unclear. For example, factors like the choice of the schedule [9,10] and the specific form of the Hamiltonian [11–14] influence the adiabatic evolution in ways that are, so far, not fully understood. Adiabatic QC at zero temperature has been proved to be polynomially equivalent to the usual QC with gates and circuits [15,16] and, therefore, any exponential quantum speedup should be attainable [17–20]. In this respect, several numerical studies carried out in the past few years reported encouraging results for small systems [1,14,21–24], exactly solvable systems [7,9], and specific quantum chemistry or state preparation problems [25–28]. In contrast, recent results in the context of experimental quantum annealing machines, which operate according to the same principle of adiabatic QC but in a thermal environment, showed no evidence of quantum speedup for random optimization problems [29].

To shed light on the actual power of QC, it is of great importance to be able to perform extensive numerical and theoretical studies on large quantum systems. Unfortunately, these kinds of analyses are strongly limited by the exponential growth in dimensionality of quantum systems. In the context of adiabatic QC, the evolution time, and thus the computational effort it quantifies, is related to the minimum energy gap between the ground state and the first excited state along the quantum evolution. The direct calculation of the energy gap is feasible only for optimization problems up to

$n \approx 30$  qubits [1,21,22,24]. Estimations through quantum Monte Carlo techniques work only at finite temperature and require a large overhead due to the equilibration and evolution steps necessary to describe the situation at every stage of the adiabatic process [30–33].

In the past few years, several studies introduced special-purpose techniques to reduce the dimensionality of particular classes of problems that are based on explicit symmetries of the QC Hamiltonian. For example, algorithms involving the Grover-style driver Hamiltonian have been analyzed in the subspace of states symmetric under the exchange of any two qubits [9,34,35], while cost functions that depend only on the Hamming weight of  $n$ -bit strings have been solved by reducing the system to an effective single spin  $n/2$  [36]. However, no clear way to extend such approaches to nonsymmetric situations has been suggested. Here we propose and implement a novel method to study large adiabatic quantum optimizers by reducing the dimensionality of their Hilbert spaces. Our approach does not rely on any explicit symmetry and goes beyond the strict distinction of driver and problem contributions to the Hamiltonian (see Table I).

The development of the present method allows us to perform the exact calculation of the minimum gap for systems outside the usual assumption of an ideal, isolated adiabatic quantum optimizer. In this direction, only few studies on simplified two-level systems have addressed the effect of thermal noise on adiabatic quantum optimization (AQO) [37]. Here we apply the dimensionality reduction to the Grover search problem in the presence of stochastic local noise (see Table I), using two common choices of the driver Hamiltonian. We are able to show that a quantum speedup is retained when an appropriate schedule, independent of the choice of the target state, is implemented. To our knowledge, these are the only conclusive results on the performance of adiabatic QC in presence of local noise that has been reported so far, together with works on the effect of thermal baths [37,38] and on the specific D-wave hardware [39,40].

TABLE I. Examples where the proposed method gives an exponential reduction. Light-shaded boxes (red online) and dark-shaded boxes (blue online) correspond to  $H_A$  and  $H_B$ , respectively, as explained in the text. The first column indicates the choice of the driver Hamiltonian corresponding to either the Grover-style  $H_D^{(G)} = -|\psi_0\rangle\langle\psi_0|$  or the standard one  $H_D^{(S)} = -\sum_{i=1}^n \hat{\sigma}_i^x$ . The second column describes the optimization problem and the third column provides an upper bound on the dimensionality after the reduction method for a system of  $n$  qubits (to be compared with the total number of state  $N = 2^n$ ). The explanation of the symbols is as follows:  $|\sigma\rangle$  is the state of the computational basis corresponding to the  $n$ -bit string  $\sigma \in \{0,1\}^n$ ;  $|\psi_0\rangle$  is the balanced superposition of all the computational basis states;  $\pi(\cdot)$  is a permutation of  $\{1,2,\dots,n\}$ ;  $w(\cdot)$  the Hamming weight of a bit string;  $\hat{\sigma}_i^x$  and  $\hat{\sigma}_i^y$  are, respectively, the Pauli  $X$  and Pauli  $Y$  matrices acting on the  $i$ th qubit;  $\Omega_E$  is the eigenspace associated with eigenvalue  $E$ ;  $\epsilon_i = \pm|\epsilon|$ ; and  $|\epsilon|$ ,  $E$ ,  $V_\sigma$  are real coefficients.

Driver Hamiltonian	Problem Hamiltonian	Dimensionality
Grover Problem		
$H_D^{(G)}$	$- \sigma^*\rangle\langle\sigma^* $	2
Grover Problem		
$H_D^{(S)}$	$- \sigma^*\rangle\langle\sigma^* $	$n+1$
Grover Problem with Many Solutions (see Appendix E)		
$H_D^{(S)}$	$-\sum_{i=1}^p  \sigma_i^*\rangle\langle\sigma_i^* $	$\leq p n$
Grover Problem with local noise		
$H_D^{(G)}$	$- \sigma^*\rangle\langle\sigma^*  + \sum_{i=1}^n \epsilon_i \hat{\sigma}_i^z$	$n+2$
Grover Problem with local noise		
$H_D^{(S)}$	$- \sigma^*\rangle\langle\sigma^*  + \sum_{i=1}^n \epsilon_i \hat{\sigma}_i^z$	$n+1$
Arbitrary M-level energy problems (including Random Energy Model)		
$H_D^{(G)}$	$\sum_{i=1}^M E_i \sum_{\sigma \in \omega_{E_i}}  \sigma\rangle\langle\sigma $	M
Tunneling model with random barriers (see Appendix B)		
$H_D^{(S)}$	$-\sum_{i=1}^n \hat{\sigma}_i^z + \sum_{\sigma \omega(\sigma)=1} V_\sigma  \sigma\rangle\langle\sigma $	$\leq (n+2)^2$
Tunneling model with random barriers and local noise		
$H_D^{(S)}$	$-\sum_{i=1}^n \hat{\sigma}_i^z + \sum_{\sigma \omega(\sigma)=1} V_\sigma  \sigma\rangle\langle\sigma  + \sum_{i=1}^n \epsilon_i \hat{\sigma}_i^z$	$\leq (n+1)^3$

The rest of the article is structured as follows: In Sec. II, we introduce the adiabatic quantum optimization and the main relevant quantities. In Sec. III and Sec. IV, we present our method and provide its detailed derivation. The application of our method to the noisy Grover problem is then described in Sec. V, while in the last section we provide final discussions and conclusions.

## II. ADIABATIC QUANTUM OPTIMIZATION

In adiabatic quantum optimization, computational problems can be rephrased in terms of finding those states which minimize a classical cost function encoded by a diagonal Hamiltonian. The adiabatic theorem [41,42] implies that a quantum system remains in its instantaneous ground state if the quantum Hamiltonian is slowly deformed. Following the above considerations, Farhi *et al.* [1] proposed to govern the dynamics of a quantum optimizer by a time-dependent Hamiltonian of the form:

$$H_{\text{AQO}}(s) = [1 - s(t)] H_D + s(t) H_P, \quad (1)$$

with  $H_D$  being the initial Hamiltonian (usually called driver) and  $H_P$  the Hamiltonian associated to the problem to be optimized. The interpolation between the two Hamiltonians takes a total time  $T$  and is characterized by the adiabatic schedule  $s(t)$  satisfying the boundary conditions  $s(0) = 0$  and  $s(T) = 1$ . With the system initially in the ground state of  $H_D$ , which is supposed to be known and easy to prepare, the schedule will slowly drive it to the ground state of  $H_P$  at  $t = T$ . The question is how slowly the Hamiltonian  $H_{\text{AQO}}(s)$  must change to satisfy the adiabatic condition.

For problems that can be expressed as cost functions on  $n$ -bit strings, the problem Hamiltonian is of the form

$$H_P = \sum_{\sigma \in \{0,1\}^n} E_\sigma |\sigma\rangle\langle\sigma|, \quad (2)$$

where  $E_\sigma$  is the classical cost function of the configuration  $\sigma = \{\sigma_1, \sigma_2, \dots, \sigma_n\}$  with  $\sigma_i \in \{0,1\}$ .  $E_\sigma$  represents the energy, according to the Hamiltonian  $H_P$ , of the quantum state  $|\sigma\rangle$  expressed in the computational basis. The solution of the optimization problem is provided by those states  $|\sigma'\rangle$  associated to the lowest energy  $E_{\sigma'} \leq E_\sigma, \forall \sigma$ .

The driver Hamiltonian  $H_D$  can assume a variety of forms, but only a few regularly appear in the literature: The “Grover-style” driver Hamiltonian (or simply Grover driver Hamiltonian),

$$H_D^{(G)} = -|\psi_0\rangle\langle\psi_0|, \quad (3)$$

with  $|\psi_0\rangle = \frac{1}{\sqrt{2^n}} \sum_{\sigma} |\sigma\rangle$  corresponding to the equal superposition of all the states  $|\sigma\rangle$ , and the “standard” driver (corresponding to a transverse field)

$$H_D^{(S)} = - \sum_{i=1}^n \hat{\sigma}_i^x, \quad (4)$$

where  $\hat{\sigma}_i^x$  is the  $X$  Pauli matrix acting on the  $i$ -th qubit, which physically corresponds to a quantum transverse field. Despite their diversity, both  $H_D$  are invariant under the exchange of any pair of qubits, have the same ground state  $|\psi_0\rangle$ , and do not commute with any  $H_P$  apart from the trivial  $H_P \propto \mathbb{1}$  case.

The computational cost of AQO is quantified by the time one has to wait to obtain the answer from the optimizer. If a single optimization run is performed, then the computational time  $T_{\text{comp}}$  corresponds to the evolution time  $T$  necessary to satisfy the adiabatic condition to the desired precision. In particular, a widely adopted condition [41] implies  $T \propto 1/g_{\min}^2$ , with  $g_{\min} = \min_s g(s)$  being the minimum spectral gap between the ground-state energy and the first excited state energy of the adiabatic quantum Hamiltonian  $H_{\text{AQO}}(s)$ . We calculate the computational time  $T_{\text{comp}}$  in a more general way, discussed in detail in Appendix A, that takes into account the possibility of performing multiple optimization runs with a shorter evolution time [43].

### III. DIMENSIONALITY REDUCTION METHOD

The present method draws inspiration from the work of Roland and Cerf [9] in which the authors were able to obtain the exact spectral gap for the Grover search problem on an adiabatic quantum computer by reducing the analysis to an effective two-level system. We extend their approach in several directions to include arbitrary problem Hamiltonians and different choices of the driver Hamiltonian and to deal with situations that do not present any explicit symmetry.

As a first step to reduce the effective dimensionality of the Hilbert space, we rearrange the total Hamiltonian in Eq. (1) in two distinct contributions:

$$\begin{aligned} H_{\text{AQO}}(s) &= [1 - s(t)] H_D + s(t) H_P \\ &= a(s) H_A(s) + b(s) H_B(s), \end{aligned} \quad (5)$$

where  $H_A(s)$  and  $H_B(s)$  do not necessarily correspond to the initial driver or problem Hamiltonian and, in general, depend nonlinearly on  $s$ . To keep the notation as readable as possible, we will omit any further dependence on  $s$  when it is clear from the context.

Among the many possible choices of  $H_A$  and  $H_B$ , the main idea is to search for those combinations such that  $H_A$  is a highly degenerate Hamiltonian (with only  $M$  distinct energy levels) and  $H_B$  is a sum of  $k$  rank-1 projectors,

namely

$$H_A = \sum_{E=1}^M E P_{\Omega_E}, \quad (6a)$$

$$H_B = \sum_{\alpha=1}^k \chi_{\alpha} |\psi_{\alpha}\rangle\langle\psi_{\alpha}|, \quad (6b)$$

with  $\chi_{\alpha} \neq 0$  and  $\{|\psi_{\alpha}\rangle\}_{\alpha=1, \dots, k}$  orthonormal states.  $\Omega_E$  is the subspace associated with the eigenvalue  $E$  of  $H_A$  and  $P_{\Omega_E}$  the corresponding projector. The proposed method will lead to an exponential reduction of the effective dimension of the Hilbert space whenever both  $k$  and  $M$  depend polynomially on the number of qubits  $n$ . It is important to stress that the two Hamiltonians  $H_A$  and  $H_B$  do not necessarily commute and, therefore, their linear combination cannot be trivially expressed as the sum of a polynomial number of orthogonal projectors. At the moment, no automatic procedure exists to identify the most appropriate division of  $H_{\text{AQO}}$  and, therefore, one has to proceed by direct inspection. Several examples are provided in Table I.

In the next section, we show that the Hamiltonian  $H_{\text{AQO}}(s)$  has a hidden block diagonal structure that appears evident when the basis is chosen to include the states  $|E_{\alpha}\rangle \propto P_{\Omega_E} |\psi_{\alpha}\rangle$ . Restricting the action of the Hamiltonian to the only block of dimension larger than one, we obtain

$$\begin{aligned} H_{\text{eff}}(s) &= a(s) \sum_E \sum_{\mu=1}^{\kappa(E)} E |\mathcal{E}_{\mu}^{(E)}\rangle\langle\mathcal{E}_{\mu}^{(E)}| \\ &+ b(s) \sum_{E, E'} \sum_{\alpha=1}^k \chi_{\alpha} \mathcal{Z}_{\alpha}(E) \mathcal{Z}_{\alpha}(E') |E_{\alpha}\rangle\langle E'_{\alpha}|, \end{aligned} \quad (7)$$

where  $\mathcal{Z}_{\alpha}(E) = \|P_{\Omega_E} |\psi_{\alpha}\rangle\|$  is a normalization factor and the states  $\{|\mathcal{E}_{\mu}^{(E)}\rangle\}_{\mu=1, \dots, \kappa(E)}$  are given by the orthogonalization of the set  $\{|E_{\alpha}\rangle\}_{\alpha=1, \dots, k}$ . Here  $\kappa(E) \leq k$  is the actual number of linearly independent  $|\mathcal{E}_{\mu}^{(E)}\rangle$  at given energy  $E$ . For the sake of simplicity, in the following we will not explicitly indicate the dependence on  $E$  for the states  $|\mathcal{E}_{\mu}\rangle$ . As a consequence, the Hamiltonian in Eq. (7) results to be an effective  $(K \times M)$ -level Hamiltonian, where  $K = \frac{1}{M} \sum_E \kappa(E) \leq k$ . We want to emphasize that the effective Hamiltonian is not an approximated version of the original  $H_{\text{AQO}}(s)$  but an exact description of its relevant part. In fact, if we extend the set  $\{|\mathcal{E}_{\mu}\rangle\}_{E, \mu}$  to a complete basis by adding orthonormal vectors belonging to eigensubspaces of  $H_A$ , then  $H_{\text{AQO}}(s)$  presents a block-diagonal structure when represented in such basis: The only block with dimension larger than  $1 \times 1$  is a  $(K M) \times (K M)$  block exactly reproduced by  $H_{\text{eff}}$ .

### IV. DERIVATION OF THE EFFECTIVE HAMILTONIAN

In the previous section, we started our analysis with the decomposition of the total Hamiltonian for the AQO as the sum of two contributions,  $H_A$  and  $H_B$ , and expressed them in the form given by Eq. (6a) and Eq. (6b). Inserting such

expressions in Eq. (5) gives:

$$\begin{aligned} H_{\text{AQO}}(s) &= a(s) H_A(s) + b(s) H_B(s) \\ &= a(s) \left[ \sum_{E=1}^M E P_{\Omega_E} \right] + b(s) \left[ \sum_{\alpha=1}^k \chi_{\alpha} |\psi_{\alpha}\rangle \langle \psi_{\alpha}| \right], \end{aligned} \quad (8)$$

where  $E$  represents one of the  $M$  distinct eigenvalues and  $P_{\Omega_E}$  the associated eigensubspace whose degeneracy is denoted by  $\lambda(E)$ . Here Hamiltonian  $H_B$  is constituted by a small (ideally polynomial in the number of qubits) number  $k$  of rank-1 projectors. Here, we provide the justification of the claim that the relevant part of the energy spectrum of  $H_{\text{AQO}}(s)$  could be obtained studying an effective  $M \times k$  system. Initially, we present the derivation in the case in which  $k = 1$ , i.e., for a Grover-style Hamiltonian  $H_B = -|\psi_1\rangle \langle \psi_1|$ , since the procedure is more intuitive.

### A. Special case $k = 1$

Consider the case in which  $H_B$  corresponds to a single rank-1 projector. The extension to the general case is presented after the restricted case  $k = 1$ . From the completeness of  $H_A$  we have  $\sum_E P_{\Omega_E} = \mathbb{1}$  and  $\sum_E \lambda(E) = 2^n$ . For each energy  $E$ , we define  $|E\rangle = \frac{P_{\Omega_E} |\psi_1\rangle}{\mathcal{Z}(E)}$  as the normalized projection of  $|\psi_{\alpha}\rangle$  on the subspace  $P_{\Omega_E}$  and introduce  $[\lambda(E) - 1]$  orthonormal states to obtain a basis of  $\Omega_E$ :  $\{|E\rangle, |E_1^{\perp}\rangle, \dots, |E_{\lambda(E)-1}^{\perp}\rangle\}$ . We have

$$P_{\Omega_E} = |E\rangle \langle E| + \sum_{i=1}^{\lambda(E)-1} |E_i^{\perp}\rangle \langle E_i^{\perp}| \quad (9)$$

and then

$$H_{\text{AQO}} = -b(s) |\psi_1\rangle \langle \psi_1| \quad (10a)$$

$$+ a(s) \sum_E E |E\rangle \langle E| \quad (10b)$$

$$+ a(s) \sum_E E \sum_{i=1}^{\lambda(E)-1} |E_i^{\perp}\rangle \langle E_i^{\perp}|. \quad (10c)$$

Notice that, while  $\langle \psi_1 | E \rangle$  can be nonzero,  $|\psi_1\rangle$  and  $|E_i^{\perp}\rangle$  are always orthogonal because

$$P_{\Omega_E} |E_i^{\perp}\rangle = |E_i^{\perp}\rangle, \quad (11a)$$

$$P_{\Omega_E} |\psi_1\rangle = \mathcal{Z}(E) |E\rangle, \quad (11b)$$

and then

$$\begin{aligned} \langle \psi_1 | E_i^{\perp} \rangle &= \langle \psi_1 | P_{\Omega_E} |E_i^{\perp}\rangle \\ &= \mathcal{Z}(E) \langle E | E_i^{\perp} \rangle = 0. \end{aligned} \quad (12)$$

We observe that Eq. (10) describes a Hamiltonian that is block diagonal in the basis

$$\bigcup_E \{|E\rangle, |E_1^{\perp}\rangle, \dots, |E_{\lambda(E)-1}^{\perp}\rangle\}, \quad (13)$$

since the terms in Eq. (10c) act on different subspaces with respect to the terms in Eq. (10a) and Eq. (10b). Thus, the

relevant part of the AQO Hamiltonian results

$$\begin{aligned} H_{\text{eff}} &= -b(s) |\psi_1\rangle \langle \psi_1| + a(s) \sum_E E |E\rangle \langle E| \\ &= -b(s) \sum_{E, E'} \mathcal{Z}(E) \mathcal{Z}(E') |E\rangle \langle E'| + a(s) \sum_E E |E\rangle \langle E|, \end{aligned} \quad (14)$$

which is an effective  $M$ -level Hamiltonian, where  $M$  is the number of distinct energy levels of the contribution  $H_A$ .

### B. General case

Here, we present the derivation of our reduction method in the general case of arbitrary  $M$  and  $k$ . We will then show that it is always possible to reduce a generic AQO Hamiltonian to a  $(M \times K)$ -level Hamiltonian, where  $M$  is the number of energies of  $H_A$  and  $K$  is an integer number equal or smaller than the number  $k$  of states over which the term  $H_B$  acts nontrivially. Let us consider the Hamiltonian in Eq. (6b). With a straightforward generalization of the notation, we introduce

$$|E_{\alpha}\rangle = \frac{P_{\Omega_E} |\psi_{\alpha}\rangle}{\mathcal{Z}_{\alpha}(E)}, \quad (15)$$

with  $\mathcal{Z}_{\alpha}(E) = \|P_{\Omega_E} |\psi_{\alpha}\rangle\|$  and divide the subset  $\Omega_E$  in two parts, one spanned by  $\{|E_{\alpha}\rangle\}_{\alpha=1, \dots, k}$  and the other representing its orthogonal complement  $\omega_E$ . As for the 1-state case, the set  $\omega_E$  is by construction contained in the kernel of  $H_B$ , such that

$$\langle E^{\perp} | \psi_{\alpha} \rangle = 0, \quad (16)$$

for any  $|E^{\perp}\rangle \in \omega_E$  and for any energy  $E$ . As a consequence, all states in  $\omega_E$  can be neglected in the effective AQO Hamiltonian. Moreover, since it is not said that  $\langle E_{\alpha} | E_{\beta} \rangle = \delta_{\alpha\beta}$ , we use the orthogonalization procedure presented in the next section to extract from the original set  $\{|E_{\alpha}\rangle\}_{\alpha=1, \dots, k}$  a smaller set of  $\kappa(E) \leq \min\{k, \lambda(E)\}$  orthonormal states  $\{|\mathcal{E}_{\mu}\rangle\}_{\mu=1, \dots, \kappa(E)}$ .

In this way

$$P_{\Omega_E} = \sum_{\mu=1}^{\kappa(E)} |\mathcal{E}_{\mu}\rangle \langle \mathcal{E}_{\mu}| + \sum_{|E^{\perp}\rangle \in \omega_E} |E^{\perp}\rangle \langle E^{\perp}|, \quad (17)$$

and recalling that

$$|\psi_{\alpha}\rangle = \left( \sum_E P_{\Omega_E} \right) |\psi_{\alpha}\rangle = \sum_E \mathcal{Z}_{\alpha}(E) |E_{\alpha}\rangle, \quad (18)$$

the (relevant part of the) AQO Hamiltonian in Eq. (8) becomes

$$\begin{aligned} H_{\text{eff}} &= b(s) \sum_{\alpha=1}^k \chi_{\alpha} \sum_{E, E'} \mathcal{Z}_{\alpha}(E) \mathcal{Z}_{\alpha}(E') |E_{\alpha}\rangle \langle E'_{\alpha}| \\ &\quad + a(s) \sum_E E \sum_{\mu=1}^{\kappa(E)} |\mathcal{E}_{\mu}\rangle \langle \mathcal{E}_{\mu}|. \end{aligned} \quad (19)$$

In the equation above, we already removed all terms in  $\omega_E$  because they are factorized with respect to the relevant part of the AQO Hamiltonian. As one can see, Eq. (19) describes an effective  $(M \times K)$ -level Hamiltonian, where



$K = \frac{1}{M} \sum_E \kappa(E)$ . Correctly, if  $k = 1$  we obtain the AQO Hamiltonian reported in Eq. (14).

It is important to observe that we reduced the original AQO Hamiltonian in Eq. (8) to an effective  $(M \times K)$ -level Hamiltonian, and then we reduced the Hilbert space from  $2^n$  states to  $(M \times K)$  states. Therefore, if both  $K$  and  $M$  are polynomial in the number of spins  $n$ , the reduced AQO Hamiltonian in Eq. (19) can be expressed using only a polynomial number of states, that is, that we obtained an exponential reduction of the Hilbert space. We observe that the calculation of  $\mathcal{Z}_\alpha(E)$  and  $|E_\alpha\rangle$  might be nontrivial for arbitrary states  $|\psi_\alpha\rangle$  and Hamiltonian  $H_A$ .

### C. Orthogonalization procedure of $\{|E_\alpha\rangle\}$

The states  $|E_\alpha\rangle$  are, in general, not orthogonal but they can be expanded as a linear combination of the orthonormal states  $\{|\mathcal{E}_\mu\rangle\}$  which, we recall, span the effective subspace containing the relevant part of the total energy spectrum. Here we present the mathematical procedure to perform the orthogonalization. Introducing the  $\kappa(E) \times k$  matrix  $T$  with entries  $T_{\mu\alpha} = \langle \mathcal{E}_\mu | E_\alpha \rangle$ , one has:

$$|E_\alpha\rangle = \sum_\mu \langle \mathcal{E}_\mu | E_\alpha \rangle |\mathcal{E}_\mu\rangle = \sum_\mu T_{\mu\alpha} |\mathcal{E}_\mu\rangle. \quad (20)$$

Then we can write:

$$\begin{aligned} \langle E_\alpha | E_\beta \rangle &= \sum_{\mu, \nu} \langle \mathcal{E}_\mu | T_{\mu\alpha}^* T_{\nu\beta} | \mathcal{E}_\mu \rangle \\ &= \sum_\mu T_{\mu\alpha}^\dagger T_{\mu\beta} = [T^\dagger T]_{\alpha\beta} \end{aligned} \quad (21)$$

and interpret the above values as the entries of a certain matrix  $V$ . Such a matrix is a square matrix with linear dimension  $k$  and can be shown to be Hermitian and positive semidefinite. Therefore it admits a Cholesky decomposition:

$$V = U^\dagger U, \quad (22)$$

where  $T$  is an upper triangular matrix with real and positive diagonal entries. While every Hermitian positive-definite matrix has a unique Cholesky decomposition, this does not need to be the case for Hermitian positive-semidefinite matrices and this reflects a certain freedom in choosing the states  $\{|\mathcal{E}_\mu\rangle\}$ . It appears clear that the expansion coefficients  $T_{\mu\alpha}$  are the entries of a particular choice of such matrix  $U = T$ .

Expressing the Hamiltonian  $H_B$  in the basis of the effective subspace, we have

$$\begin{aligned} \langle \mathcal{E}_\mu | H_B | \mathcal{E}_\nu' \rangle &= \sum_{\alpha=1}^k \chi_\alpha \langle \mathcal{E}_\mu | \psi_\alpha \rangle \langle \psi_\alpha | \mathcal{E}_\nu' \rangle \\ &= \sum_{\alpha=1}^k \chi_\alpha \mathcal{Z}_\alpha(E) \mathcal{Z}_\alpha(E') \langle \mathcal{E}_\mu | E_\alpha \rangle \langle E_\alpha' | \mathcal{E}_\nu' \rangle \\ &= \chi_\alpha \mathcal{Z}_\alpha(E) \mathcal{Z}_\alpha(E') \left( + \sum_{\alpha=1}^n T_{\mu\alpha}^{(E)} T_{\nu\alpha}^{(E')*} \right) \\ &= \chi_\alpha \mathcal{Z}_\alpha(E) \mathcal{Z}_\alpha(E') [T^{(E)} T^{(E')\dagger}]_{\mu\nu}, \end{aligned} \quad (23)$$

whereas the term  $H_A$  becomes

$$\langle \mathcal{E}_\mu | H_A | \mathcal{E}_\nu' \rangle = E \delta_{E E'} \delta_{\mu\nu}. \quad (24)$$

In this way, we have expressed all the necessary operators in the reduced basis.

It is important to appreciate a subtlety: In most cases, we do not know the exact form of the states  $|E_\alpha\rangle$ , for example, because they are related to the eigenstates of  $H_A$ . Then how can we obtain the explicit entries of  $H_{\text{eff}}$  in Eq. (7) to perform the numerical analysis? The answer is indirectly contained in the detailed derivation above since we showed that all the entries of  $H_{\text{eff}}$  can be computed from the knowledge of the overlap matrix  $\langle E_\alpha | E_\beta \rangle$  at a given energy  $E$ . For many relevant cases, such overlaps can be computed either analytically or numerically by means of algorithms which require only a polynomial amount of (spatial) classical resources. To give an example, when the effective Hamiltonian depends only on the degeneracy of the spectrum of  $H_A$ , usually called the density of states, this information can be estimated using entropic sampling techniques [44–46]. More generally, Table I lists a few situations where the proposed method can be applied to exponentially reduce the effective dimensionality of  $H_{\text{AQO}}$ : As one can see, the suggested method can successfully represent problems in which neither the problem nor the driver Hamiltonian are of Grover-style form. In Sec. V, we provide an explicit example to illustrate how the proposed method works in the context of adiabatic quantum optimization in presence of local noise.

## V. APPLICATIONS

### A. Grover search problem with discrete disorder

In 1996, Grover introduced a quantum algorithm to search for target entries in unstructured databases, demonstrating that quantum computers achieve a quadratic speedup with respect to the best possible classical algorithm [47]. This fundamental result was later extended to adiabatic QC finding that it is possible to reproduce the quadratic speedup if one tailors the adiabatic schedule in such a way that  $H_{\text{AQO}}(s)$  varies very slowly only in correspondence of the smallest gap [9]. Indeed, such quadratic speedup represents the maximum speedup achievable with AQO for unstructured searches or Grover-style Hamiltonians for which  $T_{\text{comp}} \geq O(\sqrt{2^n})$  [48–51].

Ideally, the energy landscape associated to unstructured databases should be perfectly flat, but this is not the case in realistic situations in which, for example, imprecision in local control fields can give rise to a local disorder term. It is not unreasonable to suspect that the quantum speedup might be diminished or even lost due to this noise contribution or due to effects similar to Anderson localization [52]. Here we apply the proposed reduction method to study the Grover search problem in the presence of increasing amounts of local disorder, using both the Grover-like driver Hamiltonian and the standard (transverse field) Hamiltonian. Our results show that adiabatic QC still remains faster than any classical algorithm.

First, we have to specify the noise model. Several and diverse models have been introduced in previous works related to the Grover search or AQO [53–55]: Here we consider a local

term of the form

$$H_{\text{dis}} = \sum_i \epsilon_i \hat{\sigma}_i^z, \quad (25)$$

in addition to the Grover-style problem Hamiltonian  $-n|\sigma^*\rangle\langle\sigma^*|$ , with  $|\sigma^*\rangle$  being the target state (see Table I). Observe that we rescale the energy of the target state in order to keep it extensive with the system size. For simplicity, we choose  $\epsilon_i = \pm\epsilon$  with  $\epsilon \geq 0$  and the sign randomly drawn with 50:50 probability. Notice that one obtains an exponential reduction in the dimensionality of the problem even when the  $\epsilon_i$  are allowed to assume a finite set of distinct values (see Appendix C). Even if the discrete noise model in Eq. (25) is simplistic, it qualitatively catches many of the results of a localized noise.

For the calculation, we assume that the disorder is static during a single adiabatic run but that it can vary between successive repetitions of the adiabatic algorithm [56,57]. In the quantification of the computational time associated to the quantum algorithm, we take into account the possibility of repeating the run instead of increasing the single evolution time, see Appendix A. This approach is becoming standard in the adiabatic QC literature [29,43].

Second, to bring the Hamiltonian in the most suitable form, we apply local  $\hat{\sigma}_i^x$  operators to change the sign of the positive  $\epsilon_i$ . The action of  $U_x = \prod_{i \text{ s.t. } \epsilon_i < 0} \hat{\sigma}_i^x$  leaves the overall spectrum unchanged. Finally, we divide the rotated total Hamiltonian into two parts (see Table I):

$$\begin{aligned} U_x H_{\text{AQO}} U_x^\dagger &= U_x [(1-s) H_D^{(S)} + s H_P] U_x^\dagger \\ &= -(1-s) \sum_{i=1}^n \hat{\sigma}_i^x - s \sum_{i=1}^n |\epsilon_i| \hat{\sigma}_i^z - s n |\sigma'\rangle\langle\sigma'| \\ &= -\gamma(s) \sum_{i=1}^n \hat{\sigma}_i(s) - s n |\sigma'\rangle\langle\sigma'| \\ &= \gamma(s) H_A + s H_B, \end{aligned} \quad (26)$$

where  $|\sigma'\rangle = U_x |\sigma^*\rangle$  is the target of the rotated AQO Hamiltonian,  $\gamma(s) = \sqrt{(s\epsilon)^2 + (1-s)^2}$ , and every  $\hat{\sigma}_i(s) = \frac{1-s}{\gamma(s)} \hat{\sigma}_i^x + \frac{s\epsilon}{\gamma(s)} \hat{\sigma}_i^z$  is an identical single qubit operator which acts on the  $i$ -th qubit as a rotated Pauli matrix. A derivation of the reduced Hamiltonian for the Grover-style driver Hamiltonian is included in Appendix D. We observe that more general situations, in which the direction of the noise varies freely (and in a continuous way) for each distinct spin, can be included by following an analogous approach. The only difference from Eq. (26) is that the spin matrices  $\hat{\sigma}_i(s)$  are now rotated in distinct directions.

The drastic dimensionality reduction, from  $2^n$  states to only  $(n+1)$  states, allows us to calculate the energy gap  $g(s)$  at any point during the evolution. The results are expressed in terms of the computational time  $T_{\text{comp}}$ , namely the temporal cost for the quantum algorithm to reach the success probability of 99% [29,43].

## B. Calculation of the computational time

In general terms, the performance of an adiabatic quantum optimizer is expected to improve if the evolution time is

increased, since the conditions behind the adiabatic quantum theorem are better satisfied. However, it may be possible that a larger probability of success is achieved if the adiabatic quantum optimizer is used for a shorter evolution time but in repeated runs [29,43]. In Appendix A, we provide a precise analysis of the computational time required to achieve a solution in the general case of an arbitrary adiabatic quantum optimization. To make the definition of computational time (see Appendix A) more concrete, let us apply it to the Grover problem with local disorder and calculate the computational time according to Eq. (A8). Given a target state and a specific realization of the local disorder, the noise term can either increase or decrease the target state energy according to the number  $q \in [0, n]$  of spins where the disorder provides a positive energy contribution. Assuming that  $\epsilon_i = \pm\epsilon$  are randomly drawn with 50:50 probability, the probability distribution for  $q$  results

$$p_n(q) = 2^{-n} \binom{n}{q}, \quad (27)$$

where  $n$  is the number of qubits, while the success probability reads

$$p_S(q, T | q^*) = \delta(q - q^*) \Theta(T - T_{\text{ann}}(q^*)) \Theta(q_\epsilon - q), \quad (28)$$

in which the second  $\Theta$  function takes into account that the target state is the ground state of the noisy Hamiltonian only for  $q \leq q_\epsilon$ , with  $q_\epsilon = \lfloor \frac{n}{2\epsilon} \rfloor$ .

Unlike the case of the standard driver Hamiltonian for which the calculation of  $T_{\text{ann}}$  is not trivial, for the Grover-style driver Hamiltonian we can provide an accurate estimate of  $T_{\text{comp}}$ , given that  $T_{\text{ann}} = \sqrt{2^n}$  regardless of the problem Hamiltonian [49]. Recalling that  $\lim_{n \rightarrow \infty} p_n(q) = 0$  [observe that even the mode of the distribution  $p_n(q)$  scales like  $\max_q p_n(q) \approx 1/\sqrt{n}$ ], the computational times becomes

$$\begin{aligned} T_{\text{comp}}(\epsilon) &= \sqrt{2^n} \min_{q^*} \left\{ \frac{\log(1 - 0.99)}{\log(1 - p_n(q^*) \Theta(q_\epsilon - q^*))} \right\} \\ &\approx \log(1 - 0.99) \min_{0 \leq q^* \leq q_\epsilon} \{ 2^{3n/2 - n h(q^*/n)} \}, \end{aligned} \quad (29)$$

where we used the Stirling approximation  $\log_2 \binom{n}{q} \approx n h(q/n)$  in which

$$h(x) = -x \log_2 x - (1-x) \log_2 (1-x) \quad (30)$$

is the Shannon entropy. Finally, the computational scaling is

$$s(\epsilon) = \lim_{n \rightarrow \infty} \left[ \frac{1}{n} \log_2 T_{\text{comp}}(\epsilon) \right] = \begin{cases} \frac{1}{2} & \epsilon < \tilde{\epsilon} \\ \frac{3}{2} - h(\frac{1}{2\epsilon}) & \text{otherwise} \end{cases}, \quad (31)$$

where  $\tilde{\epsilon} = 1$  is the noise threshold such that the minimum energy of  $H_{\text{dis}}$  becomes comparable with the energy of the target state. Interestingly, a noise threshold  $\epsilon^{\text{cl}} \approx 4.54$  exists such that  $s(\epsilon) \geq 1$  for  $\epsilon > \epsilon^{\text{cl}}$ , that is, the AQO cannot perform better than classical computers in that regime. Indeed, for large  $\epsilon$ , the probability of the target state to be the true ground state of  $H_{\text{AQO}}$  with noise becomes smaller. Therefore, minimizing  $H_{\text{AQO}}$  becomes less efficient than simply trying to find the target state by an exhaustive enumeration. We note that such effect is somewhat artificial since the success probability for

very short evolution times tends to  $2^{-n}$  and not to zero as we, conservatively, assumed.

Observe that a more elaborate annealing schedule can partially remove the necessity of repeating runs. In fact, if the annealing schedule  $s(t)$  is chosen to be the solution of the following equation:

$$\frac{ds}{dt} = \epsilon \min_q g^2(s, q), \quad (32)$$

then it is guaranteed that the quantum dynamics is adiabatic, regardless of the hidden parameter  $q$ . The evolution time for a single run is expected to increase only linearly in  $n$  as compared to the schedule considered above. However, for sufficiently large strength of the noise, fluctuations can affect the energy landscape of the problem Hamiltonian with the consequence that the global ground state of the noisy problem Hamiltonian is no longer the desired target state. In these cases, even for a very slow quantum adiabatic evolution, the final state will not correspond to the target state regardless of the evolution time. By quenching the noise and repeating the evolution run such a problem is naturally solved since more favorable noise realizations are possible.

### C. Scaling analysis

In this section we present our main results on the computational scaling of the noisy Grover problem. Figure 1 shows the scaling behavior of  $T_{\text{comp}}$  by varying the level of noise, using either a linear schedule (top) or an optimal schedule (bottom) tailored to the noise model but independent of the specific target state  $|\sigma^*\rangle$  (see Appendixes C and D). In both cases we employ the standard driver Hamiltonian. We observe that, for the linear schedule, neither quantum speedup nor noise effects are observed. The optimal schedule, instead, gives rise to a quadratic quantum speedup in the noiseless case that is, interestingly, only partially canceled when local disorder is taken into account. We also compared the performance of an adiabatic quantum optimizer when the standard driver Hamiltonian is substituted with the Grover-style driver Hamiltonian in order to see how much the choice of the driver influences the “robustness” of the AQO to local noise (see Fig. 2): In this case, the Grover-style driver preserves all the quadratic quantum speedup if the noise is maintained below a certain threshold  $\epsilon \lesssim \tilde{\epsilon}$ , but the AQO speedup quickly degrades for moderate disorder until the classical scaling is finally reached. The turning point  $\tilde{\epsilon} \approx 1$  corresponds to the noise threshold for which the lowest energy of  $H_{\text{dis}}$  is comparable with the energy of the target state (see Appendix C for more details). Conversely, the standard driver Hamiltonian appears significantly more “robust” at large disorder, so the performance of the adiabatic QC gently decreases for increasing strength of the noise. These are good news for the possibility of implementing adiabatic QC in realistic systems since one can retain all the quantum speedup (for very weak disorder) or most of it (for moderate disorder) by choosing the appropriate driver Hamiltonian.

In the following, we analyze the effect of different driver Hamiltonians by observing the behavior of the minimum gap at various  $q/n$  ratios for the specific system size  $n = 160$ . As

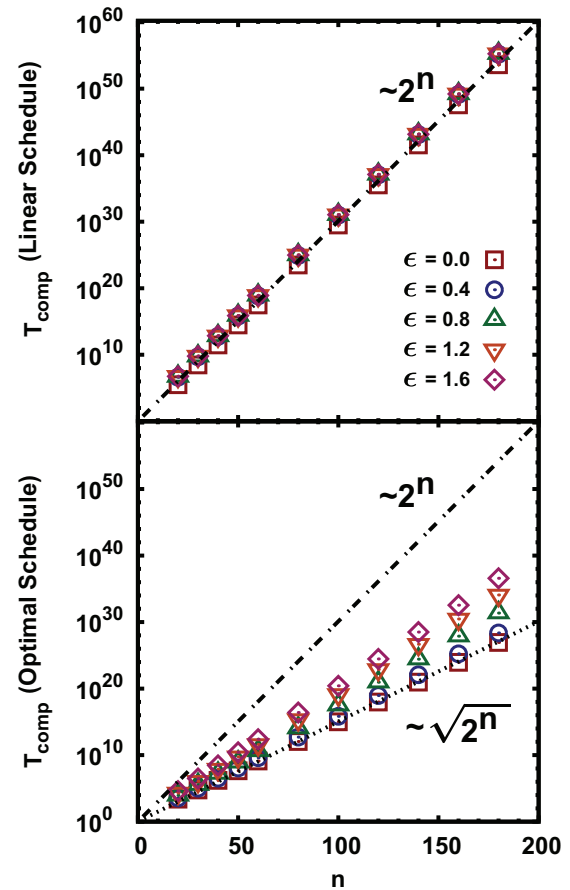


FIG. 1. (Color online) Even in the presence of discrete local noise, the adiabatic QC is faster than any classical algorithm for searching an unstructured database. The proposed method is applied to calculate the computational time necessary to solve the Grover search problem in presence of local disorder. The computational scaling is compared, for increasing strength of the local noise, to the best classical result ( $T_{\text{comp}} \propto N$ , where  $N = 2^n$  is the number of entries in the database) and the best quantum result in the ideal case where the noise is absent ( $T_{\text{comp}} \propto \sqrt{N}$ ). We consider two annealing schedules, the linear one (top panel) and an optimal schedule determined by imposing the adiabatic condition locally (bottom panel). A quantum speedup is possible only when optimal schedules are adopted. These results are obtained by using the standard driver Hamiltonian, but similar curves have been also obtained for the Grover-style driver Hamiltonian.

a reminder, we have denoted by  $q$  the number of spins where the disorder provides positive energy contributions. Figure 3 shows that the minimum gap is practically independent of both  $q/n$  and  $\epsilon$  when the Grover driver is used: This could be expected since, by its nature,  $H_D^{(G)}$  does not see any underlying structure of the problem energy landscape, not even the noise contribution, and presents a minimum gap only influenced by the degeneracy of the ground state (in our case, we have a unique ground state as long as  $q \leq q_\epsilon$ ). On the contrary, the energy landscape plays a role during the adiabatic evolution with  $H_D^{(S)}$  and this can be easily observed for the special case

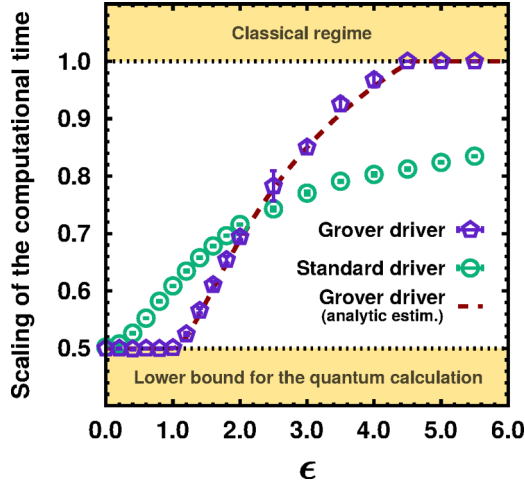


FIG. 2. (Color online) Polynomial quantum speedups are retained even in the presence of local disorder. The figure shows the behavior of the coefficient characterizing the exponential scaling for the Grover search problem against the strength of the disorder. We adopt an optimal schedule that would guarantee a quadratic speedup in absence of noise. We find that adiabatic QC still retains a better scaling than any classical algorithm even if the quantum speedup is reduced for increasing level of disorder. Interestingly, although the Grover-style driver Hamiltonian gives better performances for weak noise, the standard driver Hamiltonian results more “robust” for large noise. The exponential coefficient has been obtained by fitting  $T_{\text{comp}}$  for systems up to  $n \leq 160$  qubits.

$q = 0$ . In this case, Eq. (C3) assumes the form

$$H'_{\text{AQO}} = -sn|0\rangle\langle 0| - \sum_{i=1}^n [s|\epsilon_i|\hat{\sigma}_i^z + (1-s)\hat{\sigma}_i^x], \quad (33)$$

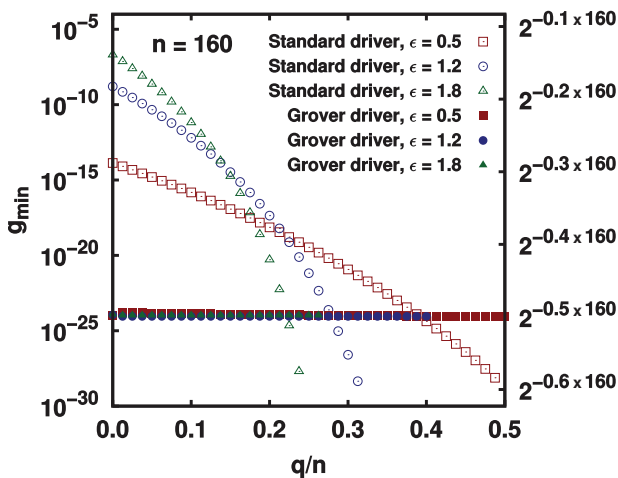


FIG. 3. (Color online) Minimum gap calculated for both the standard driver and Grover driver Hamiltonian applied to the Grover search problem with local disorder. In the first case,  $g_{\text{min}}$  spans several orders of magnitude if either  $q$  or  $\epsilon$  are varied. In the latter case, the minimum gap is, instead, almost constant and scales as  $g_{\text{min}} = 1/\sqrt{2^n}$ . This plot is obtained for systems of  $n = 160$  qubits. The symbols are plotted only for those  $q/n$  such that  $q < q_\epsilon$ .

and the target state  $|0\rangle\langle 0|$  is *also* the ground state of  $-\sum_{i=1}^n s|\epsilon_i|\hat{\sigma}_i^z$ . For small  $\epsilon \ll 1$  one recovers the case of the noiseless Grover problem, while for large  $\epsilon \gg 1$  the situation is analogous to the Hamming weight problem that presents a gap largely independent of  $n$ . The fact that the absolute value of the minimum gap at small  $q/n$  is always larger for the standard driver (even for  $\epsilon < 1$  when the scaling of the computational time is better with the Grover driver) can be understood by observing that the size of the minimum gap is only one of three factors that influence  $T_{\text{comp}}$ , the other two being the shape of the minimum gap (especially its width in the adiabatic coordinate  $s$ ) and the probability that a certain  $q/n$  is realized in practice.

## VI. CONCLUSIONS

Estimation of the computational power of the adiabatic quantum optimization requires the knowledge of the spectral gap of the total Hamiltonian  $H_{\text{AQO}}(s)$ . Its direct quantification is a hard task since it requires the calculation of eigenvalues of matrices which are exponentially large in the number of qubits. To circumvent this limitation, several methods have been proposed to diminish the classical resources necessary to represent the adiabatic quantum optimizer. However, these special-purpose approaches are based on the exploitation of symmetries of either the driver or the problem Hamiltonian and are therefore confined to particular classes of problems.

Here we present and discuss a method that reduces the effective dimensionality of the system even in the absence of explicit symmetries and that goes beyond the idea of studying the properties and structure of the driver and problem terms separately. Formally, this is made possible by the identification of a hidden block diagonal structure in the total Hamiltonian and, consequently, by the existence of a small subspace in which the relevant eigenstates are effectively confined. According to the specific total Hamiltonian  $H_{\text{AQO}}$ , the present method requires only the knowledge of quantities that can be computed either analytically or by using efficient numerical approaches.

We apply the proposed method to calculate the energy gap, in a numerically exact way, for large systems exposed to local disorder or other forms of imprecision in the values of the parameter that characterize the problem Hamiltonian: Interestingly, we show that adiabatic quantum computation seems to be robust enough to deal with a form of stochastic local noise that is hardly avoidable in any real quantum device. We also find that, although the Grover driver Hamiltonian is potentially faster in the weak noise limit, the standard driver Hamiltonian, which is actually more suitable to be implemented in existing quantum hardware, results less sensitive to discrete noise.

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## APPENDIX A: DEFINITION OF “COMPUTATIONAL TIME” FOR AN ADIABATIC QUANTUM OPTIMIZER

In this Appendix we provide a precise analysis of the concept of computational time required to achieve a solution of the problem at hand. In particular, we are interested in the case in which a larger probability of success may be achieved if the adiabatic quantum optimizer is used for a shorter evolution time but in repeated runs [29,43]. This strategy trivially includes the possibility of performing a unique, long optimization run.

Let us define  $p_S(T)$  as the probability of success of the adiabatic quantum optimizer after a single run with evolution time  $T$ . If we repeat  $k$  independent runs of the adiabatic algorithm, the probability that all of these  $k$  attempts fail is given by  $[1 - p_S(T)]^k$ . Therefore, the minimum number  $K(T)$  of runs to have a probability to find at least one correct solution with the 99% of success probability results

$$K(T) = \frac{\log(1 - 0.99)}{\log(1 - p_S(T))}, \quad (\text{A1})$$

which leads to the definition of the computational time:

$$T_{\text{comp}} = \min_T \{T K(T)\} = \min_T \left\{ T \frac{\log(1 - 0.99)}{\log(1 - p_S(T))} \right\}. \quad (\text{A2})$$

As one can deduce from its definition, it is clear that  $T_{\text{comp}} \leq T^*$ , where  $T^*$  is the minimum evolution time to have  $p_S(T^*) = 0.99$ .

Consider now the case in which the quantum adiabatic optimizer has a hidden parameter  $q$ , which is different from run to run and extracted from a distribution  $p(q)$ . To give an explicit example,  $q$  might take into account how the stochastic local noise relates to the target state for the Grover problem, as described in Sec. V. In this case, the probability of success of the quantum optimizer has to be averaged over the possible values of the hidden parameter and becomes

$$\bar{p}_S(T) = \sum_q p(q) p_S(q, T), \quad (\text{A3})$$

where  $p_S(q, T)$  is the probability of success at fixed  $q$ . Consequently, the computational time takes the form

$$T_{\text{comp}} = \min_T \left\{ T \frac{\log(1 - 0.99)}{\log(1 - \bar{p}_S(T))} \right\}. \quad (\text{A4})$$

Assume that, for any given  $q$ , it is possible to exactly compute the spectral gap  $g(s, q)$  at any time step  $s$  of the adiabatic optimization. Therefore, an optimal schedule tailored for that specific  $q$  can be constructed, as described in Ref. [9], which has an optimal evolution time given by

$$T_{\text{ann}}(q) \propto \int_0^1 \frac{ds}{g^2(s, q)}. \quad (\text{A5})$$

Since the calculation of the probability of success in Eq. (A3) requires the evolution of the initial quantum state throughout the whole adiabatic calculation, we adopt two main simplifications to avoid this extra overhead. First, we assume that the optimal schedule obtained for a specific  $q^*$  is not a good adiabatic schedule for any other  $q \neq q^*$ , i.e., that the probability of any other  $q \neq q^*$  is *identically* zero,

$$p_S(q, T | q^*) = \delta(q - q^*) p_S(q^*, T | q^*). \quad (\text{A6})$$

Second, we reduce the probability of success for  $q^*$  to be a step function which differs from zero only if  $T > T_{\text{ann}}(q^*)$ , namely

$$p_S(q^*, T | q^*) = \Theta(T - T_{\text{ann}}(q^*)). \quad (\text{A7})$$

Notice that both the above simplifications are quite conservative since we exclude the possibility that an optimal schedule works (even partially!) for any other  $q$  and that the probability of success is strictly zero even for moderate evolution times. Combining Eq. (A6) and Eq. (A7), the computational time in Eq. (A2) assumes the form

$$\begin{aligned} T_{\text{comp}} &= \min_T \left\{ T \frac{\log(1 - 0.99)}{\log(1 - \bar{p}_S(T))} \right\} \\ &= \min_{T, q^*} \left\{ T \frac{\log(1 - 0.99)}{\log(1 - p(q^*)\Theta(T - T_{\text{ann}}(q^*)))} \right\} \\ &= \min_{q^*} \left\{ T_{\text{ann}}(q^*) \frac{\log(1 - 0.99)}{\log(1 - p(q^*))} \right\}. \end{aligned} \quad (\text{A8})$$

It is important to notice that Eq. (A8) depends only on quantities like  $T_{\text{ann}}(q^*)$  and  $p(q^*)$  which are properties of the model and not of the single run. For example, for the Grover problem with noise in Sec. V, both  $T_{\text{ann}}(q^*)$  and  $p(q^*)$  are completely determined by the noise model.

## APPENDIX B: “TUNNELING” MODEL: BARRIER AROUND GLOBAL MINIMUM

Here we want to study a simple model that can be exactly solved using the exponential reduction method. The peculiarity of this model is that the ground state of the problem is surrounded by a “high-energy barrier” and, therefore, is hard to reach for a classical simulated annealer. However, AQC might find the ground state very quickly due to the tunneling effect as conjectured in Refs. [36,58]. This example also demonstrates that our method can give rise to an exponential reduction in the dimensionality even for problems where the gap behaves subexponentially, i.e., when the gap closes only polynomially.

Consider the simple problem Hamiltonian corresponding to the Hamming weight problem

$$H_P = - \sum_{i=1}^n \hat{\sigma}_i^z, \quad (\text{B1})$$

which has a unique ground state, namely the configuration with all spins pointing up, and a very simple energy landscape. The main idea is to add a barrier around this unique ground state, that is, we want to add a potential of the form

$$V(\sigma) = \begin{cases} V_\alpha & \text{if } w(\sigma) = 1 \\ 0 & \text{otherwise} \end{cases}, \quad (\text{B2})$$

with  $\alpha = \{1, \dots, n\}$  the position of the single spin which is pointing down,  $V_\alpha > 0$  (but  $V_\alpha < 0$  can be also used), and  $w(\cdot)$  the Hamming weight function. Let us define  $|\alpha\rangle$  as the state in which all the spins are up apart from the  $\alpha$ -th spin which points down. Therefore, the problem Hamiltonian becomes

$$H_P = - \sum_{i=1}^n \hat{\sigma}_i^z + \sum_{\alpha=1}^n V_\alpha |\alpha\rangle \langle \alpha|. \quad (\text{B3})$$

Using the standard driver Hamiltonian  $H_D^{(s)} = - \sum_{i=1}^n \hat{\sigma}_i^x$ , the AQO Hamiltonian results,

$$\begin{aligned} H_{\text{AQO}} &= -(1-s) \sum_{i=1}^n \hat{\sigma}_i^x - s \sum_{i=1}^n \hat{\sigma}_i^z + s \sum_{\alpha=1}^n V_\alpha |\alpha\rangle \langle \alpha| \\ &= -\sqrt{s^2 + (1-s)^2} \sum_{i=1}^n H_i(s) + s \sum_{\alpha=1}^n V_\alpha |\alpha\rangle \langle \alpha| \\ &= -\sqrt{s^2 + (1-s)^2} \sum_{i=1}^n H_i(s) + s H_B, \end{aligned} \quad (\text{B4})$$

where  $H_B$  is the barrier term and all  $H_i(s)$  are identical single spin operators which act on the  $i$ -th spin and whose explicit expression is given by:

$$\begin{aligned} H_i(s) &= \frac{1-s}{\sqrt{s^2 + (1-s)^2}} \hat{\sigma}_i^x + \frac{s}{\sqrt{s^2 + (1-s)^2}} \hat{\sigma}_i^z \\ &= \cos(\varphi_s) \hat{\sigma}_i^x + \sin(\varphi_s) \hat{\sigma}_i^z. \end{aligned} \quad (\text{B5})$$

Since each  $H_i(s)$  is a rotated Pauli matrix, it has eigenvalues  $\pm 1$  with corresponding eigenstates

$$\begin{aligned} |\phi_s^+\rangle &= \frac{1}{\sqrt{2}} (\sqrt{1 + \sin(\varphi_s)} |0\rangle + \sqrt{1 - \sin(\varphi_s)} |1\rangle) \\ &= \cos(\theta_s) |0\rangle + \sin(\theta_s) |1\rangle \end{aligned}$$

$$\begin{aligned} |\phi_s^-\rangle &= \frac{1}{\sqrt{2}} (\sqrt{1 - \sin(\varphi_s)} |0\rangle - \sqrt{1 + \sin(\varphi_s)} |1\rangle) \\ &= \sin(\theta_s) |0\rangle - \cos(\theta_s) |1\rangle, \end{aligned} \quad (\text{B6})$$

with  $\theta_s = \frac{\varphi_s}{2}$ . Let us call  $|\phi_i^+(s)\rangle$  and  $|\phi_i^-(s)\rangle$  the two eigenstates of  $H_i(s)$  for any  $s$ . At this point, it is simple to understand that the Hamiltonian  $\sum_{i=1}^n H_i(s)$  has exactly  $n+1$  energy levels characterized by the number of  $|\phi_i^+(s)\rangle$  and  $|\phi_i^-(s)\rangle$  states in the product eigenstate. In the  $\{|\phi^\pm\rangle\}$  basis, the states in the computational basis can be written as

$$|0\rangle = \cos(\theta_s) |\phi_s^+\rangle + \sin(\theta_s) |\phi_s^-\rangle \quad (\text{B7a})$$

and

$$|1\rangle = \sin(\theta_s) |\phi_s^+\rangle - \cos(\theta_s) |\phi_s^-\rangle. \quad (\text{B7b})$$

It is important to observe here that all the  $\theta_s$  depend only on  $s$  and not on the spin index  $i$  since all local  $H_i(s)$  are identical. Interestingly, the Hamiltonian in Eq. (B4) is the sum of two parts: a Hamiltonian for which we know exactly the eigenenergies and the eigenstates for any  $s$  and a Hamiltonian which nontrivially acts only on  $n$  states. Therefore, this model can be exponentially reduced by using our method.

Before writing the explicit form of the overlap matrix, we introduce a simplified notation in which  $k(E)$  represent the number of  $|\phi_s^-\rangle$  states in each eigenvalue of energy  $E$  of  $\sum_i H_i(s)$ . With intuitive change of notation:

$$\begin{aligned} E(k) &= 2k - n, \quad P_{\Omega_{E(k)}} = P_k, \\ \mathcal{Z}_\alpha(E(k)) &= \mathcal{Z}_\alpha(k), \\ |E(k)_\alpha\rangle &= |k_\alpha\rangle = \frac{P_k |\alpha\rangle}{\mathcal{Z}_\alpha(k)}, \\ \lambda_{E(k)} &= \lambda_k = \binom{n}{k}, \end{aligned} \quad (\text{B8})$$

we can calculate

$$\begin{aligned} \mathcal{Z}_\alpha(k) &= \sqrt{\langle \alpha | P_{\Omega_E} | \alpha \rangle} \\ &= \sqrt{\binom{n}{k} \sqrt{\frac{k}{n} |\langle \phi_s^- | 1 \rangle|^2 |\langle \phi_s^- | 0 \rangle|^{2(k-1)} |\langle \phi_s^+ | 0 \rangle|^{2(n-k)} + \frac{n-k}{n} |\langle \phi_s^+ | 1 \rangle|^2 |\langle \phi_s^- | 0 \rangle|^{2k} |\langle \phi_s^+ | 0 \rangle|^{2(n-k-1)}}} \\ &= \sqrt{\binom{n}{k} |\cos(\theta_s)|^{n-k} |\sin(\theta_s)|^k \sqrt{\frac{k}{n} \tan^{-2}(\theta_s) + \frac{n-k}{n} \tan^2(\theta_s)}}, \end{aligned} \quad (\text{B9})$$

$$\begin{aligned} \langle k_\alpha | k'_\beta \rangle &= \delta_{kk'} \frac{1}{\mathcal{Z}_\alpha(k) \mathcal{Z}_\beta(k)} \langle \alpha | P_k | \beta \rangle \\ &= \delta_{kk'} \mathcal{O}_{\alpha\beta}(k). \end{aligned} \quad (\text{B10})$$

The last line of Eq. (B10) can be considered as the definition of the overlap matrix  $\mathcal{O}$  given in the basis  $\{|k_\alpha\rangle\}_{\alpha,k}$ . The explicit expressions for the overlap matrix is (including the normalization):

$$\begin{aligned} \mathcal{O}_{\alpha\alpha}(k) &= 1, \\ \mathcal{O}_{\alpha\beta}(k) &= \frac{1}{n-1} \frac{-2k(n-k) + k(k-1) \tan^{-2}(\theta_s) + (n-k)(n-k-1) \tan^2(\theta_s)}{k \tan^{-2}(\theta_s) + (n-k) \tan^2(\theta_s)}, \end{aligned} \quad (\text{B11})$$

where, obviously,  $\beta \neq \alpha$ . Observe that the overlap element does not directly depend on  $\alpha, \beta$ .

Finally, adopting the same notation as in Sec. IV C we obtain the explicit form of both terms composing the reduced Hamiltonian  $H_{\text{eff}}$  in the basis  $\{|\mathcal{E}_\mu\rangle\}$  (notice that in our simplified notation we have  $|\mathcal{E}'_\mu\rangle \equiv |\mathcal{E}_\mu^{(E')}\rangle$ ):

$$\begin{aligned} \langle \mathcal{E}_\mu | H_B | \mathcal{E}'_\nu \rangle &= \sum_{\alpha=1}^n V_\alpha \langle \mathcal{E}_\mu | \alpha \rangle \langle \alpha | \mathcal{E}'_\nu \rangle = \mathcal{Z}_\alpha(E) \mathcal{Z}_\alpha(E') \sum_{\alpha=1}^n V_\alpha \langle \mathcal{E}_\mu | E_\alpha \rangle \langle E'_\alpha | \mathcal{E}'_\nu \rangle \\ &= \mathcal{Z}_\alpha(E) \mathcal{Z}_\alpha(E') \left( \sum_{\alpha=1}^n V_\alpha T_{\mu\alpha}^{(E)} T_{\nu\alpha}^{(E')*} \right) = \mathcal{Z}_\alpha(E) \mathcal{Z}_\alpha(E') [T^{(E)} T^{(E')\dagger}]_{\mu\nu}, \end{aligned} \quad (\text{B12a})$$

$$\langle \mathcal{E}_\mu | \Sigma_i H_i(s) | \mathcal{E}'_\nu \rangle = E \delta_{EE'} \delta_{\mu\nu}, \quad (\text{B12b})$$

where  $\alpha, \beta = 1, \dots, n$  are the indices corresponding to the states in  $H_B = \sum_{\alpha=1}^n V_\alpha |\alpha\rangle\langle\alpha|$ , while  $\mu, \nu = 1, \dots, \kappa(E)$  are the indices labeling the orthonormal basis states of the effective subspace of each  $\Omega_E$  (i.e., neglecting the state spanning  $\omega_E$ ).

### APPENDIX C: GROVER PROBLEM WITH LOCAL NOISE (STANDARD DRIVER)

In this Appendix section, we will show how to apply our method for the Grover problem in the presence of local noise when the standard driver Hamiltonian is used. In the next section, we will show briefly the derivation when a Grover-style driver Hamiltonian is used instead. Consider the following Grover problem Hamiltonian:

$$H_P = -n|\omega\rangle\langle\omega| + H_{\text{dis}}, \quad (\text{C1})$$

where  $H_{\text{dis}} = \sum_{i=1}^n \epsilon_i \hat{\sigma}_i^z$  plays the role of local disorder. If the standard driver Hamiltonian is used, then the AQO Hamiltonian results:

$$H_{\text{AQO}} = -sn|\omega\rangle\langle\omega| + s \sum_{i=1}^n \epsilon_i \hat{\sigma}_i^z - (1-s) \sum_{i=1}^n \hat{\sigma}_i^x. \quad (\text{C2})$$

It is important to observe that the presence of the noise  $\epsilon_i$  in Eq. (C2) breaks the spin-exchange symmetry. Therefore, no methods that explicitly exploit that kind of symmetry can be used in this context. In the following, we will show that the spectral gap of the Hamiltonian in Eq. (C2) can be calculated in a subspace whose dimension is exponentially reduced (compared to  $2^n$ ), even in the presence of local disorder. It is important to stress that our method allows the calculation of the spectral gap *without any perturbative expansion* around the small noise limit.

To begin with, let us apply a unitary transformation on Eq. (C2) in order to get rid of the sign of all  $\epsilon_i$ , namely

$$\begin{aligned} H'_{\text{AQO}} &= -sn|\omega'\rangle\langle\omega'| - s \sum_{i=1}^n |\epsilon_i| \hat{\sigma}_i^z - (1-s) \sum_{i=1}^n \hat{\sigma}_i^x \\ &= -sn|\omega'\rangle\langle\omega'| - \sum_{i=1}^n [s|\epsilon_i| \hat{\sigma}_i^z + (1-s) \hat{\sigma}_i^x] \\ &= s H_B + \gamma(s) H_A, \end{aligned} \quad (\text{C3})$$

where  $\gamma(s) = \sqrt{(s|\epsilon|)^2 + (1-s)^2}$  and

$$H_A = - \sum_{i=1}^n \left[ \frac{s|\epsilon_i|}{\gamma(s)} \hat{\sigma}_i^z + \frac{(1-s)}{\gamma(s)} \hat{\sigma}_i^x \right], \quad (\text{C4a})$$

$$H_B = -n|\omega'\rangle\langle\omega'|. \quad (\text{C4b})$$

Since we want to maintain the number of energy levels of  $H_A$  polynomial in the number of spins, we will consider the simple case where the noise is binomial, that is,  $\epsilon_i = |\epsilon| \delta_i$  with  $\delta_i = \pm 1$ . Observe that it is possible to have an exponential reduction even if the value of any  $|\epsilon_i|$  is chosen from a finite set of  $p$  distinct (possibly incommensurable) values: In this case, the number of energy levels  $M$  of  $H_A$  is upper bounded by  $M \leq (n+1)^p$ .

Therefore, the Hamiltonian  $H_A$  in Eq. (C3) becomes

$$H_A = - \sum_{i=1}^n [\sin(\varphi_s) \hat{\sigma}_i^z + \cos(\varphi_s) \hat{\sigma}_i^x] = - \sum_{i=1}^n \hat{\sigma}_i(s), \quad (\text{C5})$$

with  $\sin(\varphi_s) = \frac{s|\epsilon|}{\gamma(s)}$  and  $\cos(\varphi_s) = \frac{(1-s)}{\gamma(s)}$ . As shown in Appendix B, all the  $\hat{\sigma}_i(s)$  are identical and their eigenstates (corresponding to the eigenvalues  $\pm 1$ ) are

$$\begin{aligned} |\phi_s^+\rangle &= \frac{1}{\sqrt{2}} [\sqrt{1+\sin(\varphi_s)}|0\rangle + \sqrt{1-\sin(\varphi_s)}|1\rangle] \\ &= \cos(\theta_s)|0\rangle + \sin(\theta_s)|1\rangle, \end{aligned} \quad (\text{C6a})$$

$$\begin{aligned} |\phi_s^-\rangle &= \frac{1}{\sqrt{2}} [\sqrt{1-\sin(\varphi_s)}|0\rangle - \sqrt{1+\sin(\varphi_s)}|1\rangle] \\ &= \sin(\theta_s)|0\rangle - \cos(\theta_s)|1\rangle. \end{aligned} \quad (\text{C6b})$$

By inverting the above expressions, states in the computational basis can be expressed as

$$|0\rangle = \cos(\theta_s)|\phi_s^+\rangle + \sin(\theta_s)|\phi_s^-\rangle, \quad (\text{C7a})$$

$$|1\rangle = \sin(\theta_s)|\phi_s^+\rangle - \cos(\theta_s)|\phi_s^-\rangle. \quad (\text{C7b})$$

Let us assume that  $q = |\omega'|$ . Since the contribution  $H_A$  to the Hamiltonian  $H'_{\text{AQO}}$  as expressed in Eq. (C3) is invariant by spin exchange, we can always assume that all the spins in  $\omega'$  are ordered, namely  $\omega' = |0 \dots 01 \dots 1\rangle$  (but note that the total Hamiltonian  $H'_{\text{AQO}}$  still violates the spin exchange symmetry). Using the same notation as introduced in Appendix B, we write

$$\begin{aligned} E(k) &= 2k - n, \\ P_{\Omega_{E(k)}} &= P_k, \\ \mathcal{Z}_{E(k)} &= \mathcal{Z}_k, \\ |E(k)\rangle &= |k\rangle = \frac{P_k |\omega'\rangle}{\mathcal{Z}_k}, \\ \lambda_{E(k)} &= \lambda_k = \binom{n}{k}, \end{aligned} \quad (\text{C8})$$

where  $k$  is formally the number of  $|\phi_s^-\rangle$  in a given eigenstate of  $H_B$  at energy  $E$ .  $\lambda_k$  is the degeneracy of the energy level  $E$ . Given the Hamiltonian in Eq. (C5) and an arbitrary state in

the computational base  $|\omega'\rangle$ , the normalization factor  $\mathcal{Z}_k$  can explicitly be computed:

$$\mathcal{Z}_k^2 = \langle \omega' | P_k | \omega' \rangle = \sum_{l=0}^{\min\{k, q\}} \binom{q}{l} \binom{n-q}{k-l} \sin(\theta_s)^{2(q-l)+2(k-l)} \times \cos(\theta_s)^{2n-2(q-l)-2(k-l)}. \quad (\text{C9})$$

Observe that if  $\sin(\theta_s) = \cos(\theta_s) = \frac{1}{\sqrt{2}}$  (namely when the disorder  $|\epsilon_i| \rightarrow 0$ ), the normalization factor becomes  $\mathcal{Z}_k = 2^{-n/2} \sqrt{\binom{n}{k}}$  for any choice  $\omega'$ , as expected.

#### APPENDIX D: GROVER PROBLEM WITH LOCAL NOISE (GROVER-STYLE DRIVER)

In this Appendix, we want to derive the exponential reduction for the Grover problem in the presence of noise, when a Grover-style Hamiltonian is used instead of the standard driver Hamiltonian (see Appendix C). Observe that since the partitioning of the  $H_{\text{AQO}}$  differs in the two cases, the final reduced Hamiltonians have completely different forms. As in Appendix C, let us consider the following Grover problem Hamiltonian:

$$H_P = -n|\omega\rangle\langle\omega| + H_{\text{dis}}, \quad (\text{D1})$$

where  $H_{\text{dis}} = \sum_{i=1}^n \epsilon_i \hat{\sigma}_i^z$  plays the role of local disorder. Adding the Grover-style driver Hamiltonian, the AQO Hamiltonian is

$$H_{\text{AQO}} = -sn|\omega\rangle\langle\omega| + s \sum_{i=1}^n \epsilon_i \hat{\sigma}_i^z - (1-s)|\psi_0\rangle\langle\psi_0|, \quad (\text{D2})$$

where  $|\psi_0\rangle = \frac{1}{\sqrt{2^n}} \sum_z |z\rangle$  is the equal superposition of all the states in the computational basis. After the application of an unitary transformation to get rid of all the sign of  $\epsilon_i$ , the AQO Hamiltonian becomes

$$\begin{aligned} H'_{\text{AQO}} &= -sn|\omega'\rangle\langle\omega'| - s \sum_{i=1}^n |\epsilon_i| \hat{\sigma}_i^z - (1-s)n|\psi_0\rangle\langle\psi_0| \\ &= n(-s|\omega'\rangle\langle\omega'| - (1-s)|\psi_0\rangle\langle\psi_0|) - s \sum_{i=1}^n |\epsilon_i| \hat{\sigma}_i^z \\ &= H_B + s H_A, \end{aligned} \quad (\text{D3})$$

where

$$H_A = - \sum_{i=1}^n |\epsilon_i| \hat{\sigma}_i^z, \quad (\text{D4a})$$

$$H_B = -n(s|\omega'\rangle\langle\omega'| + (1-s)|\psi_0\rangle\langle\psi_0|). \quad (\text{D4b})$$

As in Appendix C, we choose  $\epsilon_i = |\epsilon| \delta_i$  with  $\delta_i = \pm 1$ . Therefore,  $H_A$  assumes the simple form of a rescaled Hamming weight function, namely:

$$H_A = -|\epsilon| \sum_{i=1}^n \hat{\sigma}_i^z. \quad (\text{D5})$$

Once defined  $E(k) = 2k - n$  and  $P_k$ , respectively, the energy and the projector of the eigenspaces of  $H_A$ , it is straightforward

to follow Sec. IV C and identify the relevant states in order to construct the reduced Hamiltonian:

$$|E_k\rangle = \frac{P_k |\psi_0\rangle}{\mathcal{Z}_k}, \quad (\text{D6a})$$

$$\mathcal{Z}_k = 2^{-n/2} \sqrt{\binom{n}{k}}, \quad (\text{D6b})$$

$$|\omega'\rangle = \alpha |E_q\rangle + \sqrt{1 - \alpha^2} |\mathcal{E}\rangle, \quad (\text{D6c})$$

where  $q$  is the Hamming weight of  $\omega'$ ,  $\alpha = 1/\sqrt{\binom{n}{q}}$ , and  $|\mathcal{E}\rangle$  is an appropriate eigenstate which is orthogonal to  $|E_q\rangle$  and lives in the  $k$ -th eigenspace of  $H_A$ . Notice that the presence of  $|\omega'\rangle$  in Eq. (D3) adds only one extra state (formally  $|\mathcal{E}\rangle$ ) because  $P_k |\omega'\rangle = \delta_{kq} |\omega'\rangle$ , where  $\delta_{kq}$  is the Kronecker  $\delta$  function.

#### APPENDIX E: GROVER PROBLEM WITH MULTIPLE SOLUTIONS

In this Appendix, we derive the exponential reduction for the Grover problem when more solutions (i.e., target states) are acceptable. As described in Sec. IV B, the AQO Hamiltonian for the multisolution Grover problem using the standard driver Hamiltonian can be restricted to at most  $k \times n$  orthogonal states, where  $k$  and  $n$  are, respectively, the number of solutions and the number of spins composing the database register.

Let  $\{|w_1\rangle, \dots, |w_k\rangle\}$  be the states representing the solutions of the Grover problem. Their projections onto the eigenspaces of the standard driver Hamiltonian can be written as

$$|E_\alpha\rangle = \frac{P_{\Omega_E} |w_\alpha\rangle}{\mathcal{Z}_\alpha(E)} = \sqrt{1/\binom{n}{u(E)}} \sum_{x|w(x)=k} (-1)^{x \cdot w} |w\rangle, \quad (\text{E1})$$

where  $u(E) = (E + n)/2$  represents the number of spin up at a given energy  $E$  of the driver Hamiltonian,  $x$  is an arbitrary bit configuration, and  $w(x)$  is the Hamming weight. After some combinatorial analysis, the overlap matrix  $\langle E_\alpha | E_\beta \rangle$  results in the following:

$$\langle E_\alpha | E_\beta \rangle = 1/\binom{n}{u(E)} \sum_{l=0}^{\min\{d_{\alpha\beta}, u(E)\}} (-1)^l \binom{d_{\alpha\beta}}{l} \binom{n - d_{\alpha\beta}}{u(E) - l}, \quad (\text{E2})$$

where  $d_{\alpha\beta}$  is the Hamming distance between  $\omega_\alpha$  and  $\omega_\beta$ . As expected, if  $d = 0$ , then the overlap is identically 1, as well as if  $u(E) = 0$ .

In Fig. 4 (left) we show the difference in energy between the ground state and the  $l$ -th excited state, at fixed number of solutions  $k = 5$  and number of spins  $n = 60$ , while in the right panel we show the difference in energy between the ground state and the  $k$ -th excited state by varying the number of solutions  $k$  at fixed number of spins  $n = 60$ . As expected, the energy spectrum is  $k$ -degenerate at  $s = 1$ , meaning that all the  $k$  solutions belong to the reduced subspace obtained through our method to exponentially reduced the effective dimensionality.



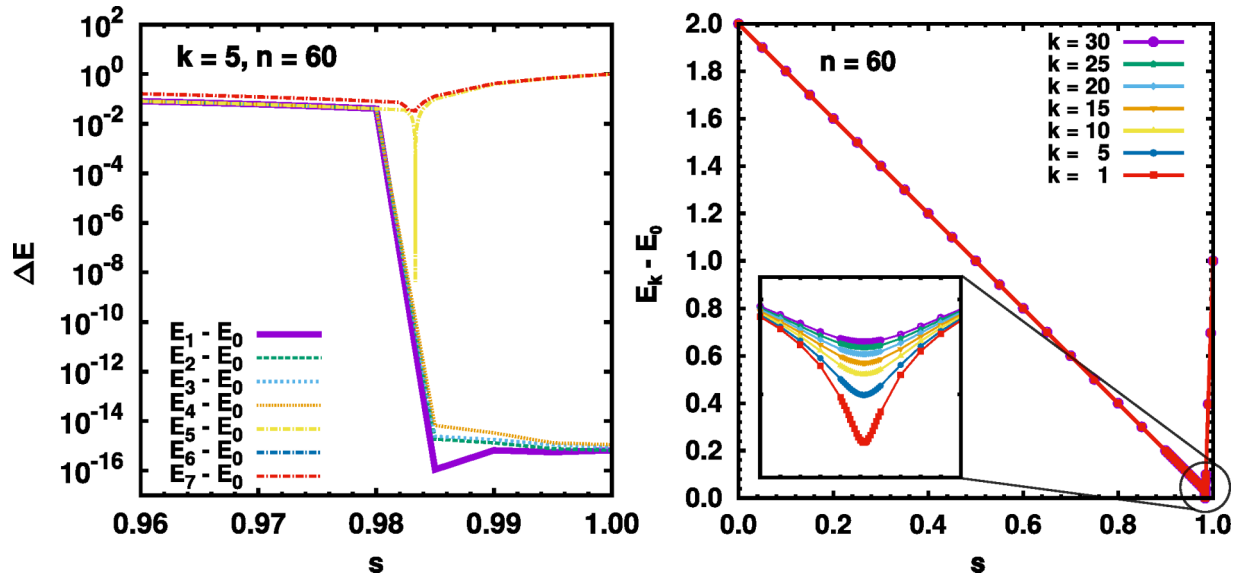


FIG. 4. (Color online) Application of the proposed reduction method for the multisolutions Grover problem. Left: Difference in energy between the ground state and the  $l$ -th excited state, at fixed number of solutions  $k = 5$  and number of spins  $n = 60$ . Right: Difference in energy between the ground state and the  $k$ -th excited state, by varying the number of solutions  $k$  at fixed number of spins  $n = 60$ .

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