# Biased decoy-state measurement-device-independent quantum key distribution with finite resources

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Measurement-device-independent quantum key distribution (MDI-QKD) can remove all the side-channel attacks from imperfections in the detection side. However, finite-size resources undoubtedly influence its performance and the achievable finite secret key rates of MDI-QKD are typically lower than that of standard decoy-state QKD. In this paper, we introduce the efficient decoy-state method with biased basis choice into the finite-key analysis and propose a decoy-state protocol for MDI-QKD. By applying vacuum + weak decoy-state method, we analytically derive concise formulas for estimating the lower bound of single-photon yield and the upper bound of phase error rate in the case of finite resources. The simulations show that proper basis choice combined with deliberate intensity choice can substantially enhance the performance of decoy-state MDI-QKD and, without a full optimization program, our protocol can bring a long-distance implementation (168 km on standard optical fiber) of MDI-QKD with a reasonable data size of total transmitting signals ( $N = 10^{15}$ ).

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### I. INTRODUCTION

Quantum key distribution (QKD), stemming from the first pioneering proposal presented in 1984 (traditionally known as the BB84 protocol) [1], allows two remote parties to acquire a secret key based on quantum mechanics. Since then, QKD has received considerable attention and great progress has been achieved in both theory and practice [2]. However, in practical situations, in a real QKD system there undoubtedly exist sorts of imperfections such as inefficient authentication of classical communication, finite-size effect of processing data, and drawbacks of significant setup. For the first two kinds of imperfections, a theoretical model can be derived to characterize their influences and some efforts have been made to tackle these problems [3-6]. For the imperfections occurring in the setup, some security loopholes may exist and quantum hacking strategies can be smartly designed to enable the eavesdropper to acquire the secret key of the practical QKD system [7–9]. One countermeasure, although difficult to implement, is trying to derive an efficient mathematical model to characterize the system fully and take all the side channels into account in security proof as comprehensively as possible [2]. And the other one, is trying to build the fully device-independent QKD (DI-QKD) system [10,11]. The security of DI-QKD can be guaranteed by violation of a Bell inequality [12] without knowing the detailed information of the practical setups. But, with present-day technologies, the DI-QKD is impractical and hard to realize sice it inherently requires a high detection efficiency to overcome the security loophole.

Measurement-device-independent QKD (MDI-QKD) scheme [13] is one of the approaches [13–16] that are intermediate between standard (device-dependent) QKD and DI-QKD. In MDI-QKD, Alice and Bob both send pulses to the detection system that can be considered as

an oracle with input and output trusted. The oracle may be controlled even by an eavesdropper, say Eve. She typically performs a partial Bell-state measurement and announces the results to Alice and Bob for distilling a secret key. Since MDI-QKD's security is guaranteed by the entanglement swapping techniques [14] and does not rely on the detection party, it can remove all detector side channels. After the invention of MDI-QKD, it attracts wide concentration and has been studied from different aspects both in theory and experiment [17–23].

A single-photon source is preferable for the realization of MDI-QKD. But an ideal single-photon source is unreasonable and still commercially unavailable with current technology. Practical photon sources such as the weak coherent sources (WCS) and the spontaneous-parametric-downconversion sources (SPDCS) can be used as the source for MDI-QKD. Hence, one should apply the decoy-state method [24-26] to make sure of its unconditional security. Luckily, many attempts were made at estimating the bound of key rates such as the situations for WCS [17,18,27], heralded single-photon source [28], practical SPDCS [29], and the general imperfect single-photon source [19,30]. Note that the finite-key analysis has been carried on meticulously in a deepgoing way for the typical standard QKD [31-38] and the recent one based on the generalized uncertainty relation [6] has also been extended to situations like one-sided deviceindependent QKD [39], passive decoy-state protocol [40], and B92 protocol [41]. However, for decoy-state MDI-QKD, the finite-key analysis by far [42-44] is insufficient, since the achievable finite secret key rates of the existing results are not high and close enough to the asymptotic case given the same experimental data. Thus, efforts have been made to upgrade the theoretical results so as to obtain a higher key rate of decoy-state MDI-QKD [45,46]. A concise security bound against general attacks for typical standard decoy-state QKD with finite resources was recently presented by Lim *et al.* [47] and that for MDI-QKD is extremely urgent to be further studied.

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In this work, inspired from the proposed efficient decoystate BB84 protocol [48,49], we apply a tight finite-key analysis for the decoy-state MDI-QKD where the basis is chosen with a biased probability and the intensities of different types of state are selected properly to optimize the achievable finite secret key rate. Most importantly, by combining the analytical method presented in [30] with the finite-key analysis, the formulas for estimating the singlephoton yield and error rate of decoy-state MDI-QKD are recalculated, which can be proven to be rigorously concise in the finite-key case. In addition, we introduce the bound for estimating the phase error rate [36] into the decoy-state MDI-QKD with finite resources. And it should be noted that our security analysis is based on the recent security proof technique [50]. Hence, we are able to give concise finite-key security bounds that are valid against the most general attacks. Furthermore, we compare the performance of our bound with that obtained in [44] given the same experimental data under a realistic fiber-based system model. The simulations show a long-distance implementation (168 km on standard optical fiber) of MDI-OKD is acceptable with a reasonable data size of total signals ( $N = 10^{15}$ ) and verify that our analytical security bounds for decoy-state MDI-QKD are profoundly concise with practical postprocessing block sizes.

The paper is constituted as follows. In Sec. II, we propose an efficient decoy-state MDI-QKD protocol with biased basis choice and specify its execution steps. Section. III fixes the security notions and introduces the formalism for calculating the achievable finite secret key rates. The main results of this work, i.e., concise formulas of bounding the yield and bit error rate for the single-photon events, are shown in Sec. IV. Section V numerically simulates our bounds and Sec. VI concludes the paper.

#### **II. PROTOCOL DECLARATION**

In this section, we propose a biased decoy-state MDI-QKD protocol. The protocol uses one-decoy settings and phase-randomized laser pulses to guarantee its security in the state-preparation step. We consider the vacuum + weak decoy-state method in which both Alice and Bob randomly modulate states with the three intensities  $\mu$ , v, and 0 ( $\mu > v > 0$ ), which are named as the signal state, decoy state, and vacuum state, respectively. For different choices of intensity in Alice and Bob's modulators, the bases *X* and *Z* are selected with different probabilities.

Note that the error rates in X and Z bases are intrinsically not symmetric for MDI-QKD [13,18,21]; then one can smartly set different bases for specific choices of intensity to maximize the finite secret key rate. If we consider the phase-encoded MDI-QKD given by Ma *et al.* [18], the key bit is encoded in time bin 0 or time bin 1 under the Z basis and encoded into the relative phases 0 or  $\pi$  between the two time bins by a phase modulator under the X basis. However, the multiphoton component in the transmitted phase-randomized pulses may cause accidental coincidence, which introduces a 1/2 bit error rate in the X basis [21]. Hence, this will result in a higher quantum bit error rate with the X basis than that with the Z basis. It is the same case for the original polarization-based MDI-QKD protocol [13] where the rectilinear basis serves as

TABLE I. Basis choice strategy for Alice and Bob's different intensity modulations.

Alice/Bob	0	$\upsilon_b$	$\mu_b$	
0	_	X  or  Z	X or Z	
$v_a$	X  or  Z	X  or  Z	X  or  Z	
$\mu_a$	X or Z	X or Z	Ζ	

the Z basis and the diagonal basis acts as the X basis. Thus, in our protocol, the final secure key is extracted from the successful detection events when both Alice and Bob choose the Z basis. Most importantly, to reduce the cost sacrificed in the parameter estimation step, the states are prepared only in the Z basis if Alice and Bob both choose the signal intensities. When Alice and Bob choose other intensities, the states are prepared in the X basis and Z basis with probabilities of  $q_X$  and  $1 - q_X$ , respectively. In the following, we provide a detailed description of our protocol.

*Preparation.* For the *i*th pulses emitted from the lasers, Alice and Bob modulate the intensities  $\alpha_i \in \mathcal{A} := \{\mu_a, \upsilon_a, 0\}$  and  $\beta_i \in \mathcal{B} := \{\mu_b, \upsilon_b, 0\}$  with the probabilities  $p_{a_i} \in \{p_{\mu_a}, p_{\upsilon_a}, 1 - p_{\mu_a} - p_{\upsilon_a}\}$  and  $p_{b_i} \in \{p_{\mu_b}, p_{\upsilon_b}, 1 - p_{\mu_b} - p_{\upsilon_b}\}$ , respectively. Then, they assign bit values chosen uniformly from the random generators to prepare the phase-randomized laser pulses under the basis  $k_i \in \{X, Z\}$  following the choice strategy shown in Table I, where the *X* basis is chosen with probability  $q_X$  and the *Z* basis with  $1 - q_X$ . Alice and Bob then send their pulses to Charlie via the quantum channel.

Measurement and sifting. Charlie conducts the partial Bell state measurement for the received pulse, and informs Alice and Bob of his measurement result through a public channel. Alice and Bob then publicly compare their basis for each pulse via an authenticated classical channel. For the pulse when they use the same basis and Charlie announces a successful measurement event, either Alice or Bob applies bit flip, and record the bit value in  $y'_i \in \{0,1\}$  as a raw key. Then Alice and Bob are able to gather the pulse satisfying the following sets:  $\mathcal{N}_{00} := \{i : (\alpha_i = 0) \land (\beta_i = 0) \land (y'_i \neq \phi)\}, \ \mathcal{X}_{\alpha\beta} :=$  $\{i : (\alpha_i = \alpha) \land (\beta_i = \beta) \land (k_i = X) \land (y'_i \neq \phi)\}$  with  $\alpha \in$  $\mathcal{A}, \beta \in \mathcal{B}$  except  $\alpha \beta = \mu_a \mu_b$  and  $\alpha \beta = 00, \mathcal{Z}_{\alpha\beta} := \{i : (\alpha_i = i)\}$  $\alpha$ )  $\wedge$  ( $\beta_i = \beta$ )  $\wedge$  ( $k_i = Z$ )  $\wedge$  ( $y'_i \neq \phi$ )} with  $\alpha \in \mathcal{A}, \beta \in \mathcal{B}$  except  $\alpha\beta = 00$ . Here,  $y'_i \neq \phi$  means that the *i*th pulse brings a successful measurement event in the Charlie's side. Then they check for  $|\mathcal{N}_{00}| \ge n_{00}, |\mathcal{X}_{\alpha\beta}| \ge n_{\alpha\beta}^X$  for  $\alpha\beta \ne \mu_a\mu_b$ and  $\alpha\beta \ne 00, |\mathcal{Z}_{\alpha\beta}| \ge n_{\alpha\beta}^Z$  for  $\alpha\beta \ne 00$ , where  $n_{00}, n_{\alpha\beta}^X(\alpha\beta \ne \mu_a\mu_b, \alpha\beta \ne 00)$  and  $n_{\alpha\beta}^Z(\alpha\beta \ne 00)$  are postselected for parameter estimation and raw key generation. They repeat the preparation and measurement processes until these conditions are all satisfied. And they record the total number of pulses sent from Alice and Bob as N.

*Parameter estimation.* For the pulses prepared under the *Z* basis, Alice and Bob randomly choose a set of size  $n^Z = \sum_{\alpha \in \mathcal{A}, \beta \in \mathcal{B}} n^Z_{\alpha\beta}$  from  $\mathcal{Z} = \bigcup_{\alpha \in \mathcal{A}, \beta \in \mathcal{B}} \mathcal{Z}_{\alpha\beta}$  as the raw key pair  $(\mathbf{X}_A, \mathbf{X}_B)$ , where  $n^Z_{00} = n_{00}(1 - q_X)$ . Here,  $n^Z$  is considered as the postprocessing block size and all intensity levels under the *Z* basis are used for raw key generation. Then, they annunce a sample set of size  $n^X = \sum_{\alpha \in \mathcal{A}, \beta \in \mathcal{B}, \alpha\beta \neq \mu_{\alpha}\mu_{b}, \alpha\beta \neq 00} n^X_{\alpha\beta}$  from

 $\mathcal{X} = \bigcup_{\alpha \in \mathcal{A}, \beta \in \mathcal{B}} \mathcal{X}_{\alpha\beta}$  to estimate the single-photon detections and calculate the number of bit errors  $m_{\nu_a\nu_b}^X$ ,  $m_{0\nu_b}^X$ , and  $m_{\nu_a0}^X$ in the X basis, respectively. Given  $n_{\alpha\beta}^Z$ , Alice and Bob are able to estimate the number of single-photon detections  $s_{11}^Z$ in  $(\mathbf{X}_A, \mathbf{X}_B)$ . Likely, they can estimate the single-photon detections  $s_{11}^X$  among the tested sample events in the X basis given  $n_{\alpha\beta}^X$ . Then, with the observations  $m_{\nu_a\nu_b}^X, m_{0\nu_b}^X$ , and  $m_{\nu_a 0}^X$ , they can compute the number of single-photon bit errors  $c_{11}^X$  under the X basis. The phase error rate, denoted as  $\varphi_Z := c_{11}^Z / s_{11}^Z$ , cannot be directly measured from the raw key bits. But it can be bounded with the tested bit error rate  $c_{11}^X/s_{11}^X$  from the sample events by random sampling theory. Finally, they check if the estimated phase error rate  $\varphi_Z$ satisfies the condition  $\varphi_Z \leqslant \varphi_{tol}$ , where the phase error bound  $\varphi_{tol}$  is predetermined. The protocol only aborts if  $\varphi_X > \varphi_{tol}$ , otherwise they proceed to the next step.

*Postprocessing.* First, an error correction algorithm is applied to the raw key pair  $(\mathbf{X}_A, \mathbf{X}_B)$ , which reveals at most  $\lambda_{\text{EC}}$  bits of information. Then, by using two-universal hash functions that consume  $\lceil \log_2(1/\varepsilon_{\text{hash}}) \rceil$  bits of information, Alice and Bob conduct an error-verification step to ensure that their corrected keys are identical. Here,  $\varepsilon_{\text{hash}}$  denotes the probability that a pair of different keys passes the error-verification step. At last, according to the information that leaks to the eavesdropper, the privacy amplification step compresses their keys to some extent for extracting a secret key pair  $(\mathbf{S}_A, \mathbf{S}_B)$  with length  $\ell = |\mathbf{S}_A| = |\mathbf{S}_B|$ .

It should be noted that our protocol is apparently different from that of [44]. First of all, in the state preparation step, the basis is chosen biased with the purpose of maximizing the finite secret key rate in our protocol, which consumes a lower number of pulses for parameter estimation than the one in [44]. Secondly, in the parameter estimation step, all intensity levels including the vacuum states, decoy states, and signal states in the Z basis contribute to the raw keys in our protocol, which brings larger raw keys than the one in [44]. Thus, these fundamental advantages of our protocol can help us to improve the performance of decoy-state MDI-QKD with finite resources.

#### **III. SECURITY DEFINITION AND SECRET KEY LENGTH**

After the above protocol, a secret key pair  $(S_A, S_B)$  with length  $\ell$  is obtained. It is necessary to guarantee the security of the final key with quantified secrecy. First of all, we shall clarify the security criteria, which lays the foundation of our analysis. In this paper, we employ the notion of composable security based on trace distance into our analysis, which is initially proposed by Renner [51].

Definition 1 (composable security definition). The key pair  $(\mathbf{S}_A, \mathbf{S}_B)$  that outputs from the protocol is considered to be  $\varepsilon$ -secure if it is both  $\varepsilon_{cor}$ -correct and  $\varepsilon_{sec}$ -secret.  $\varepsilon_{cor}$ -correct is satisfied only if  $\Pr(\mathbf{S}_A \neq \mathbf{S}_B) \leq \varepsilon_{cor}$ , i.e., the probability of  $\mathbf{S}_A \neq \mathbf{S}_B$  will not exceed  $\varepsilon_{cor}$ .  $\varepsilon_{sec}$ -secret is satisfied only if  $\frac{P_{pass}}{2} \| \rho_{SE} - U_S \otimes \rho_E \|_1 \leq \varepsilon_{sec}$ , where *S* represents either of the keys  $\mathbf{S}_A$  and  $\mathbf{S}_B$ ,  $\rho_E$  is the system that the eavesdropper owns,  $\rho_{SE}$  is the classical-quantum state describing the joint state of *S* and *E*,  $U_S$  is the uniform mixture of all possible

values of S, and  $p_{\text{pass}}$  is the probability that all steps of the protocol are successfully conducted.

The proof technique based on the uncertainty principle for the smooth entropy [50] can provide us an efficient method for the finite-key analysis [6]. Likely, for the protocol that we give in this paper, it can also be used as a tool for deriving the finite secret key length  $\ell$ . For simplicity, we directly introduce the results of [44,47] into our protocol. The differences are that the key is generated from the Z basis and all of the detection events in the Z basis contribute to the final key in our protocol. Furthermore, we assume that Alice and Bob use forward classical communication [18] for error correction and privacy amplification. Then, the protocol is  $\varepsilon$ -secure, if an upper bound on  $\ell$  can be derived as [44,47]

$$\ell \leqslant n_0^Z + n_1^Z [1 - h(\varphi_Z)] - \lambda_{\text{EC}} - \log_2 \frac{2}{\varepsilon_{\text{cor}}} - 6\log_2 \frac{21}{\varepsilon_{\text{sec}}},$$
(1)

where  $h(x) = -x \log_2(x) - (1-x) \log_2(1-x)$ ,  $\varepsilon_{cor} = \varepsilon_{hash}$ ,  $\varepsilon_{cor} + \varepsilon_{sec} \leq \varepsilon$ ,  $n_0^Z = e^{-\mu_a} n_{0\mu_b}^Z + e^{-\nu_a} n_{0\mu_b}^Z + e^{-\mu_a} n_{0\nu_b}^Z + e^{-\nu_a} n_{0\nu_b}^Z$  with  $n_{0\mu_b}^Z, n_{0\nu_b}^Z$  being the number that there is no photon from Alice's side and a successful measurement event occurs, and  $n_1^Z = \sum_{\alpha \neq 0 \in \mathcal{A}, \beta \neq 0 \in \mathcal{B}} n_{11}^{Z,\alpha\beta}$  with  $n_{11}^{Z,\alpha\beta}$  being the number of single-photon events in the Z basis when Alice and Bob send pulses of the intensity  $\alpha$  and  $\beta$ , respectively.  $\varphi_Z$  is the phase error rate with respect to the single-photon events.  $\lambda_{EC} = f \sum_{\alpha \neq 0 \in \mathcal{A}, \beta \neq 0 \in \mathcal{B}} m_{\alpha\beta}^Z$  is the number of bits consumed in the error correction step with f being the efficiency and  $m_{\alpha\beta}^Z$  being the number of bit errors in the Z basis when Alice and Bob send pulses of the intensity  $\alpha$  and  $\beta$ , respectively.

### IV. PARAMETER ESTIMATION IN THE FINITE-KEY CASE

In this section, our major task is to estimate the terms  $n_1^Z$  and  $\varphi_Z$  in Eq. (1) using the decoy-state method. We note that the analytical security bounds derived by Yu *et al.* [30] can achieve a better secret key rate compared to existing ones. However, their result is confined to the infinite-key case. What we shall do next is to derive the bounds for the finite-key case following the approach proposed in [30].

#### A. Single-photon detections

The key point of the decoy-state method is that the transmitted state over the channel appears the same to the eavesdropper no matter how Alice and Bob choose the intensity level. Denote  $s_{nm}^Z$  as the successful detections in the *Z* basis when Alice sends *n*-photon state and Bob sends *m*-photon state. Then, we can find that  $n^Z = \sum_{n,m=0}^{\infty} s_{nm}^Z$ . Let  $\alpha\beta$  be a two-pulse source when the pulse pair is prepared if the intensity  $\alpha \in \mathcal{A}$  is chosen at Alice's side and the intensity  $\beta \in \mathcal{B}$  is chosen at Bob's side. By exploiting the structure of the conditional probabilities with Baye's rule  $p_{\alpha\beta|nm}^Z$  [44], we can further classify the successful detection events according to different two-pulse sources. Specifically, the number of successful detections given source  $\alpha\beta$  in the asymptotic limit, which is denoted as  $\tilde{n}_{\alpha\beta}^Z$ , can be expressed by

$$\tilde{n}_{\alpha\beta}^{Z} = \sum_{n=0,m=0}^{\infty} p_{\alpha\beta|nm}^{Z} s_{nm}^{Z}, \quad \forall \alpha \in \mathcal{A}, \beta \in \mathcal{B},$$
(2)

where

$$p_{\alpha\beta|nm}^{Z} = \frac{p_{\alpha,\beta,Z}a_{n}b_{m}}{\tau_{nm}^{Z}}, \quad \tau_{nm}^{Z} = \sum_{\alpha \in \mathcal{A}, \beta \in \mathcal{B}} p_{\alpha,\beta,Z}a_{n}b_{m} \quad (3)$$

In the above equation,  $p_{\alpha\beta|nm}^Z$  is the conditional probability of choosing the source  $\alpha\beta$  given that Alice and Bob prepared a *n*-photon state and a *m*-photon state, respectively,  $p_{\alpha,\beta,Z}$ represents the probability that Alice chooses intensity  $\alpha$  and Bob chooses intensity  $\beta$  under the Z basis,  $a_n$  denotes the probability of a *n*-photon pulse coming from the intensity  $\alpha$ at Alice's side, and  $b_m$  denotes the probability of a *m*-photon pulse coming from the intensity  $\beta$  at Bob's side. In the finitekey case, if we apply Hoeffdings inequality for independent events [52], the real measured value  $n_{\alpha\beta}^Z$  shall deviate from the asymptotic value  $\tilde{n}_{\alpha\beta}^Z$  by

$$\left| n_{\alpha\beta}^{Z} - \tilde{n}_{\alpha\beta}^{Z} \right| \leqslant \delta(n^{Z}, \varepsilon_{1}), \tag{4}$$

with a failure probability at most  $2\varepsilon_1$ , where  $\delta(n^Z, \varepsilon_1) = \sqrt{0.5n^Z \ln(1/\varepsilon_1)}$  with  $n_Z$  the sum of successful detection events in the Z basis when either Alice or Bob sends no vacuum states. Essentially, Eq. (4) enables us to construct a link between the asymptotic values and the observed statistics such as  $n_{\mu_a\mu_b}^Z$ ,  $n_{\mu_a\nu_b}^Z$ ,  $n_{\nu_a\mu_b}^Z$ , and  $n_{\nu_a\nu_b}^Z$ .

We assume that the nonvacuum states from Alice and Bob's laboratories have the following convex forms in photon number space:

$$\rho_{\nu_{a}} = \sum_{k=0}^{\infty} a_{k} |k\rangle \langle k|, \quad \rho_{\mu_{a}} = \sum_{k=0}^{\infty} a'_{k} |k\rangle \langle k|,$$

$$\rho_{\nu_{b}} = \sum_{k=0}^{\infty} b_{k} |k\rangle \langle k|, \quad \rho_{\mu_{b}} = \sum_{k=0}^{\infty} b'_{k} |k\rangle \langle k|,$$
(5)

with the photon number probabilities satisfying [30]

$$\frac{a'_k}{a_k} \ge \frac{a'_2}{a_2} \ge \frac{a'_1}{a_1}, \quad \frac{b'_k}{b_k} \ge \frac{b'_2}{b_2} \ge \frac{b'_1}{b_1},$$
 (6)

for  $k \ge 2$ . Considering the successful detections under the Z basis from source  $v_a v_b$ ,  $v_a \mu_b$ ,  $\mu_a v_b$ , and  $\mu_a \mu_b$ , respectively, we have the following equations:

$$\tilde{n}_{\nu_a\nu_b}^{Z*} = a_1b_1g_{11} + a_1b_2g_{12} + a_2b_1g_{21} + a_2b_2g_{22} + G_{\nu_a\nu_b},$$
(7)

$$\tilde{n}_{\upsilon_a\mu_b}^{Z*} = a_1 b_1' g_{11} + a_1 b_2' g_{12} + a_2 b_1' g_{21} + a_2 b_2' g_{22} + G_{\upsilon_a\mu_b},$$
(8)

$$\tilde{n}^{Z*}_{\mu_a\nu_b} = a'_1b_1g_{11} + a'_1b_2g_{12} + a'_2b_1g_{21} + a'_2b_2g_{22} + G_{\mu_a\nu_b},$$
(9)

$$\tilde{n}_{\mu_a\mu_b}^{Z*} = a_1' b_1' g_{11} + a_1' b_2' g_{12} + a_2' b_1' g_{21} + a_2' b_2' g_{22} + G_{\mu_a\mu_b},$$
(10)
where

$$\tilde{n}_{\nu_{a}\nu_{b}}^{Z*} = \frac{\tilde{n}_{\nu_{a}\nu_{b}}^{Z}}{p_{\nu_{a}}p_{\nu_{b}}(1-q_{X})} - \frac{a_{0}\tilde{n}_{0\nu_{b}}^{Z}}{(1-p_{\nu_{a}}-p_{\mu_{a}})p_{\nu_{b}}(1-q_{X})} - \frac{b_{0}\tilde{n}_{\nu_{a}0}^{Z}}{(1-p_{\nu_{b}}-p_{\mu_{b}})p_{\nu_{a}}(1-q_{X})} + \frac{a_{0}b_{0}\tilde{n}_{00}^{Z}}{(1-p_{\nu_{a}}-p_{\mu_{a}})(1-p_{\nu_{b}}-p_{\mu_{b}})},$$

$$\tilde{n}_{\nu_{a}\mu_{b}}^{Z*} = \frac{\tilde{n}_{\mu_{a}\nu_{b}}^{Z}}{p_{\nu_{a}}p_{\mu_{b}}(1-q_{X})} - \frac{a_{0}\tilde{n}_{0\mu_{b}}^{Z}}{(1-p_{\nu_{a}}-p_{\mu_{a}})p_{\mu_{b}}(1-q_{X})} - \frac{b_{0}\tilde{n}_{\nu_{a}0}^{Z}}{(1-p_{\nu_{b}}-p_{\mu_{b}})p_{\nu_{a}}(1-q_{X})} + \frac{a_{0}b_{0}\tilde{n}_{00}^{Z}}{(1-p_{\nu_{a}}-p_{\mu_{a}})(1-p_{\nu_{b}}-p_{\mu_{b}})},$$

$$\tilde{n}_{\mu_{a}\nu_{b}}^{Z*} = \frac{\tilde{n}_{\mu_{a}\nu_{b}}^{Z}}{p_{\mu_{a}}p_{\nu_{b}}(1-q_{X})} - \frac{a_{0}\tilde{n}_{0\nu_{b}}^{Z}}{(1-p_{\nu_{a}}-p_{\mu_{a}})p_{\nu_{b}}(1-q_{X})} - \frac{b_{0}\tilde{n}_{\mu_{a}0}^{Z}}{(1-p_{\nu_{b}}-p_{\mu_{b}})p_{\mu_{a}}(1-q_{X})} + \frac{a_{0}b_{0}\tilde{n}_{00}^{Z}}{(1-p_{\nu_{a}}-p_{\mu_{a}})(1-p_{\nu_{b}}-p_{\mu_{b}})},$$

$$\tilde{n}_{\mu_{a}\mu_{b}}^{Z*} = \frac{\tilde{n}_{\mu_{a}\mu_{b}}^{Z}}{p_{\mu_{a}}p_{\mu_{b}}} - \frac{a_{0}\tilde{n}_{0}\tilde{n}_{\nu_{b}}^{Z}}{(1-p_{\nu_{a}}-p_{\mu_{a}})p_{\mu_{b}}(1-q_{X})} - \frac{b_{0}\tilde{n}_{\mu_{a}0}^{Z}}{(1-p_{\nu_{b}}-p_{\mu_{b}})p_{\mu_{a}}(1-q_{X})} + \frac{a_{0}b_{0}\tilde{n}_{00}^{Z}}{(1-p_{\nu_{a}}-p_{\mu_{a}})(1-p_{\nu_{b}}-p_{\mu_{b}})},$$

$$(11)$$

and

$$G_{\nu_a\nu_b} = \sum_{(n,m)\in G_0} a_n b_m g_{nm}, \quad G_{\nu_a\mu_b} = \sum_{(m,n)\in G_0} a_n b'_m g_{nm}, \quad G_{\mu_a\nu_b} = \sum_{(n,m)\in G_0} a'_n b_m g_{nm}, \quad G_{\mu_a\mu_b} = \sum_{(n,m)\in G_0} a'_n b'_m g_{nm}, \quad (12)$$

with  $g_{nm} = \frac{s_{nm}^Z}{\tau_{nm}^Z}$   $(n \ge 1, m \ge 1)$  and  $G_0 = \{(n,m) | n \ge 1, m \ge 1, n + m \ge 4, (n,m) \ne (2,2)\}.$ 

One can obtain different analytical bounds of  $s_{11}^Z$  from Eqs. (7)–(10) by eliminating the terms  $g_{12}$  and  $g_{21}$ . Here, in order to obtain the most compact one among them, we apply the same analysis conducted in [30]. By comparing the coefficients of  $g_{nm}$  ( $n \ge 2, m \ge 2$ ) for different approaches, it can be proved that the bound derived by  $(a_1a'_2b_1b'_2 - a'_1a_2b'_1b_2) \times \text{Eq.}(7) - b_1b_2(a_1a'_2 - a'_1a_2) \times \text{Eq.}(8) - a_1a_2(b_1b'_2 - b'_1b_2) \times \text{Eq.}(9)$  is the lowest one among them, which is presented by

$$s_{11}^{Z} \ge \frac{\tau_{11}^{Z} \Big[ (a_{1}a_{2}'b_{1}b_{2}' - a_{1}'a_{2}b_{1}'b_{2})\tilde{n}_{\nu_{a}\nu_{b}}^{Z*} - b_{1}b_{2}(a_{1}a_{2}' - a_{1}'a_{2})\tilde{n}_{\nu_{a}\mu_{b}}^{Z*} - a_{1}a_{2}(b_{1}b_{2}' - b_{1}'b_{2})\tilde{n}_{\mu_{a}\nu_{b}}^{Z*} \Big]}{a_{1}b_{1}(a_{1}a_{2}' - a_{1}'a_{2})(b_{1}b_{2}' - b_{1}'b_{2})},$$
(13)

where  $\tilde{n}_{\upsilon_a \upsilon_b}^{Z*}$ ,  $\tilde{n}_{\upsilon_a \mu_b}^{Z*}$ , and  $\tilde{n}_{\mu_a \upsilon_b}^{Z*}$  are determined by Eq. (11). Particularly, it should be noted that Eq. (6) is a necessary condition for the correctness of the above bound. Furthermore, consider the finite-size deviation terms given by Eq. (4); we can reformulate

the bound with experimental observed events by

$$s_{11}^{Z} \ge \frac{\tau_{11}^{Z} \left[ (a_{1}a_{2}'b_{1}b_{2}' - a_{1}'a_{2}b_{1}'b_{2})N_{\nu_{a}\nu_{b}}^{Z} - b_{1}b_{2}(a_{1}a_{2}' - a_{1}'a_{2})N_{\nu_{a}\mu_{b}}^{Z} - a_{1}a_{2}(b_{1}b_{2}' - b_{1}'b_{2})N_{\mu_{a}\nu_{b}}^{Z} \right]}{a_{1}b_{1}(a_{1}a_{2}' - a_{1}'a_{2})(b_{1}b_{2}' - b_{1}'b_{2})} \triangleq \underline{s_{11}^{Z}}, \tag{14}$$

where

$$N_{\nu_{a}\nu_{b}}^{Z} = \frac{n_{\nu_{a}\nu_{b}}^{Z} - \delta(n^{Z},\varepsilon_{1})}{p_{\nu_{a}}p_{\nu_{b}}(1-q_{X})} - \frac{a_{0}\left[n_{0\nu_{b}}^{Z} + \delta(n^{Z},\varepsilon_{1})\right]}{(1-p_{\nu_{a}}-p_{\mu_{a}})p_{\nu_{b}}(1-q_{X})} - \frac{b_{0}\left[n_{\nu_{a}0}^{Z} + \delta(n^{Z},\varepsilon_{1})\right]}{(1-p_{\nu_{b}}-p_{\mu_{b}})p_{\nu_{a}}(1-q_{X})} + \frac{a_{0}b_{0}n_{00}^{Z}}{(1-p_{\nu_{a}}-p_{\mu_{a}})(1-p_{\nu_{b}}-p_{\mu_{b}})},$$

$$N_{\nu_{a}\mu_{b}}^{Z} = \frac{n_{\nu_{a}\mu_{b}}^{Z} + \delta(n^{Z},\varepsilon_{1})}{p_{\nu_{a}}p_{\mu_{b}}(1-q_{X})} - \frac{a_{0}\left[n_{0\mu_{b}}^{Z} - \delta(n^{Z},\varepsilon_{1})\right]}{(1-p_{\nu_{a}}-p_{\mu_{a}})p_{\mu_{b}}(1-q_{X})} - \frac{b_{0}'\left[n_{\nu_{a}0}^{Z} - \delta(n^{Z},\varepsilon_{1})\right]}{(1-p_{\nu_{b}}-p_{\mu_{b}})p_{\nu_{a}}(1-q_{X})} + \frac{a_{0}b_{0}n_{00}^{Z}}{(1-p_{\nu_{a}}-p_{\mu_{a}})(1-p_{\nu_{b}}-p_{\mu_{b}})},$$

$$N_{\mu_{a}\nu_{b}}^{Z} = \frac{n_{\mu_{a}\nu_{b}}^{Z} + \delta(n^{Z},\varepsilon_{1})}{p_{\mu_{a}}p_{\nu_{b}}(1-q_{X})} - \frac{a_{0}'\left[n_{0\nu_{b}}^{Z} - \delta(n^{Z},\varepsilon_{1})\right]}{(1-p_{\nu_{a}}-p_{\mu_{a}})p_{\nu_{b}}(1-q_{X})} - \frac{b_{0}\left[n_{\mu_{a}0}^{Z} - \delta(n^{Z},\varepsilon_{1})\right]}{(1-p_{\nu_{b}}-p_{\mu_{b}})p_{\nu_{a}}(1-q_{X})} + \frac{a_{0}b_{0}n_{00}^{Z}}{(1-p_{\nu_{a}}-p_{\mu_{a}})(1-p_{\nu_{b}}-p_{\mu_{b}})},$$

$$(15)$$

with  $n_{\alpha\beta}^Z$  being the number of measured successful detections from source  $\alpha\beta$  in the Z basis.

#### **B.** Single-photon errors

In what follows, we shall first derive a bound on how to calculate the single-photon errors based on the observed errors in the X basis. In our protocol, to obtain a higher sifted key, we apply a biased basis choice. Most importantly, for the states prepared in the X basis from Alice and Bob's laboratories, only the decoy source  $v_a v_b$  is selected for parameter estimation. Denote the  $v_{nm}^X$  as the number of errors associated with  $s_{nm}^X$ , which is the number of successful detections in the X basis when Alice sends *n*-photon state and Bob sends *m*-photon state. Then, the expected number of errors assigned to the source  $v_a v_b$  can be represented by

$$\tilde{w}_{\upsilon_a \upsilon_b}^X = \sum_{n=0,m=0}^{\infty} p_{\alpha\beta|nm}^X \upsilon_{nm}^X, \tag{16}$$

where

$$p_{\alpha\beta|nm}^{X} = \frac{p_{\alpha,\beta,X}a_{n}b_{m}}{\tau_{nm}^{X}}, \quad \tau_{nm}^{X} = \sum_{\alpha \in \mathcal{A},\beta \in \mathcal{B}} p_{\alpha,\beta,X}a_{n}b_{m}.$$
(17)

Also using Hoeffdings inequality [52], we thus obtain the real measured errors  $w_{v_av_b}^{\chi}$  which deviates from the expected ones by

$$\left|w_{\upsilon_a\upsilon_b}^X - \tilde{w}_{\upsilon_a\upsilon_b}^X\right| \leqslant \delta(w^X, \varepsilon_2),\tag{18}$$

which holds true with a probability of at least  $1 - 2\varepsilon_2$ . Here,  $\delta(w^X, \varepsilon_w) = \sqrt{0.5w^X \ln(1/\varepsilon_2)}$ , where with  $w^X$  is the sum of errors in the X basis when either Alice or Bob sends no vacuum states.

According to the conditional probabilities defined in the previous section, we can obtain the expression of asymptotical errors from source  $v_a v_b$  in photon-number distribution

$$\tilde{w}_{\nu_a\nu_b}^{X*} = a_1b_1r_{11} + a_1b_2r_{12} + a_2b_1r_{21} + a_2b_2r_{22} + T_{\nu_a\nu_b},$$
(19)

where

$$\begin{split} \tilde{w}_{\nu_{a}\nu_{b}}^{X*} &= \frac{\tilde{w}_{\nu_{a}\nu_{b}}^{X}}{p_{\nu_{a}}p_{\nu_{b}}q_{X}} - \frac{a_{0}\tilde{w}_{0\nu_{b}}^{X}}{\left(1 - p_{\nu_{a}} - p_{\mu_{a}}\right)p_{\nu_{b}}q_{X}} \\ &- \frac{b_{0}\tilde{w}_{\nu_{a}0}^{X}}{\left(1 - p_{\nu_{b}} - p_{\mu_{b}}\right)p_{\nu_{a}}q_{X}} \\ &+ \frac{a_{0}b_{0}\tilde{w}_{00}^{X}}{\left(1 - p_{\nu_{a}} - p_{\mu_{a}}\right)\left(1 - p_{\nu_{b}} - p_{\mu_{b}}\right)}, \end{split}$$
(20)  
$$T_{\nu_{a}\nu_{b}} &= \sum_{(n,m)\in G_{0}} a_{n}b_{m}r_{nm}, \end{split}$$

with  $r_{nm} = \frac{v_{nm}^X}{\tau_{nm}^X}$   $(n \ge 1, m \ge 1)$ .

Note that all terms in the right-hand side of Eq. (19) are not smaller than zero and ignore the terms when either of the subscripts *n* or *m* is bigger than 1; we can obtain an upper bound of  $v_{11}^X$ , which is given by

$$v_{11}^X \leqslant \frac{\tau_{11}^X \tilde{w}_{\nu_a \nu_b}^{X*}}{a_1 b_1}.$$
 (21)

Then, consider the deviation terms between the number of expected errors and observed errors; we can obtain

$$\upsilon_{11}^{X} \leqslant \frac{\tau_{11}^{X} W_{\upsilon_{a} \upsilon_{b}}^{X}}{a_{1} b_{1}} \triangleq \overline{\upsilon_{11}^{X}}, \tag{22}$$

with

$$W_{\nu_{a}\nu_{b}}^{X} = \frac{w_{\nu_{a}\nu_{b}}^{X} + \delta(w^{X}, \varepsilon_{2})}{p_{\nu_{a}} p_{\nu_{b}} q_{X}} - \frac{a_{0} \left[ w_{0\nu_{b}}^{X} - \delta(w^{X}, \varepsilon_{2}) \right]}{\left( 1 - p_{\nu_{a}} - p_{\mu_{a}} \right) p_{\nu_{b}} q_{X}} - \frac{b_{0} \left[ w_{\nu_{a}0}^{X} - \delta(w^{X}, \varepsilon_{2}) \right]}{\left( 1 - p_{\nu_{b}} - p_{\mu_{b}} \right) p_{\nu_{a}} q_{X}} + \frac{a_{0} b_{0} w_{00}^{X}}{\left( 1 - p_{\nu_{a}} - p_{\mu_{a}} \right) \left( 1 - p_{\nu_{b}} - p_{\mu_{b}} \right)}.$$
(23)

### C. Phase error rate

Since the final secret key is produced in the Z basis, we need to the estimate the phase error rate under the Z basis, i.e.,  $\varphi_Z$ . In the asymptotic case, the phase error rate in the Z basis is equal to the bit error rate in the X basis. However, in the

finite-key case,  $\varphi_Z$  should be estimated via a random-sampling theory (without replacement) based on the observed error rate in the X basis. Since the security of MDI-QKD is built on the time-reversed Einstein-Podolsky-Rosen protocols [53,54], the analysis on estimating the phase error rate for BB84 protocol can be naturally introduced into that for MDI-QKD. In this paper, we conduct an interval estimation based on the straightforward bounds [36], derived from an approaching technique for the hypergeometric distribution. And we stress that the estimation method given in [55] is also preferable in our protocol. It should be noted that the result of [36] is obtained for the ideal single-photon source. Here, combining the decoy-state method with the bound given by Hayashi [36], we will show how to calculate  $\varphi_Z$  for the case when nonideal single-photon sources are used, such as the WCS and the heralded SPDCS.

First of all, we should give an estimation on the number of sample single-photon detections in the *X* basis. The method is similar to the way of calculating  $s_{11}^Z$  and the calculation formula can be given by

$$s_{11}^{X} \ge \frac{\tau_{11}^{X} \left[ (a_{1}a_{2}'b_{1}b_{2}' - a_{1}'a_{2}b_{1}'b_{2})N_{\upsilon_{a}\upsilon_{b}}^{X} - b_{1}b_{2}(a_{1}a_{2}' - a_{1}'a_{2})N_{\upsilon_{a}\mu_{b}}^{X} - a_{1}a_{2}(b_{1}b_{2}' - b_{1}'b_{2})N_{\mu_{a}\upsilon_{b}}^{X} \right]}{a_{1}b_{1}(a_{1}a_{2}' - a_{1}'a_{2})(b_{1}b_{2}' - b_{1}'b_{2})} \triangleq \underline{s_{11}^{X}}, \tag{24}$$

where

$$N_{\nu_{a}\nu_{b}}^{X} = \frac{n_{\nu_{a}\nu_{b}}^{X} - \delta(n^{X},\varepsilon_{1})}{p_{\nu_{a}}p_{\nu_{b}}q_{X}} - \frac{a_{0}\left[n_{0\nu_{b}}^{X} + \delta(n^{X},\varepsilon_{1})\right]}{(1 - p_{\nu_{a}} - p_{\mu_{a}})p_{\nu_{b}}q_{X}} - \frac{b_{0}\left[n_{\nu_{a}0}^{X} + \delta(n^{X},\varepsilon_{1})\right]}{(1 - p_{\nu_{b}} - p_{\mu_{b}})p_{\nu_{a}}q_{X}} + \frac{a_{0}b_{0}n_{00}^{X}}{(1 - p_{\nu_{a}} - p_{\mu_{a}})(1 - p_{\nu_{b}} - p_{\mu_{b}})},$$

$$N_{\nu_{a}\mu_{b}}^{X} = \frac{n_{\nu_{a}\mu_{b}}^{X} + \delta(n^{X},\varepsilon_{1})}{p_{\nu_{a}}p_{\mu_{b}}q_{X}} - \frac{a_{0}\left[n_{0\nu_{b}}^{X} - \delta(n^{X},\varepsilon_{1})\right]}{(1 - p_{\nu_{a}} - p_{\mu_{a}})p_{\mu_{b}}q_{X}} - \frac{b_{0}\left[n_{\nu_{a}0}^{X} - \delta(n^{X},\varepsilon_{1})\right]}{(1 - p_{\nu_{b}} - p_{\mu_{b}})p_{\nu_{a}}q_{X}} + \frac{a_{0}b_{0}n_{00}^{X}}{(1 - p_{\nu_{a}} - p_{\mu_{a}})(1 - p_{\nu_{b}} - p_{\mu_{b}})},$$

$$N_{\mu_{a}\nu_{b}}^{X} = \frac{n_{\mu_{a}\nu_{b}}^{X} + \delta(n^{X},\varepsilon_{1})}{p_{\mu_{a}}p_{\nu_{b}}q_{X}} - \frac{a_{0}\left[n_{0\nu_{b}}^{X} - \delta(n^{X},\varepsilon_{1})\right]}{(1 - p_{\nu_{a}} - p_{\mu_{a}})p_{\nu_{b}}q_{X}} - \frac{b_{0}\left[n_{\mu_{a}0}^{X} - \delta(n^{X},\varepsilon_{1})\right]}{(1 - p_{\nu_{b}} - p_{\mu_{b}})p_{\mu_{a}}q_{X}} + \frac{a_{0}b_{0}n_{00}^{X}}{(1 - p_{\nu_{a}} - p_{\mu_{a}})(1 - p_{\nu_{b}} - p_{\mu_{b}})},$$

$$(25)$$

with  $n_{\alpha\beta}^X$  being the number of measured successful detections from source  $\alpha\beta$  in the X basis and  $n_{00}^X = n_{00}q_X$ . Here,  $n_X$  is the sum of successful detection events in the X basis when either Alice or Bob send no vacuum states.

In our protocol, we note that the estimated lengths of singlephoton sifted bits and single-photon sample bits are  $s_{11}^Z$  and  $s_{11}^X$ , respectively. In the following, we will show how to calculate the phase error rate given  $s_{11}^Z$ ,  $s_{11}^X$ , and  $\overline{v_{11}^X}$ .

Step 1. For a given security parameter  $\varepsilon_{sec}$ , choose a value  $\omega$  such that

$$\left|\frac{\underline{s_{11}^Z + s_{11}^X}}{\underline{s_{11}^Z}} \sqrt{\frac{\omega^2 + 2\pi}{2}} e^{\nu} \Phi(\omega) \leqslant \frac{1}{16} \varepsilon_{\text{sec}}^2, \quad (26)\right|$$

where  $\nu = \frac{1}{6s_{11}^2} + \frac{1}{12}$  and  $\Phi(\omega) = \frac{1}{\sqrt{2\pi}} \int_{\omega}^{\infty} \exp(\frac{-y^2}{2}) dy$ . Step 2. Determine the sample single-photon bit error rate

Step 2. Determine the sample single-photon bit error rate by  $e_{11}^X = (\overline{v_{11}^X} + 2)/\underline{s_{11}^X}$  and calculate the parameters

$$\sigma = \frac{\omega^2 \underline{s_{11}^X}}{4\underline{s_{11}^X}(\underline{s_{11}^X} + \underline{s_{11}^X} - 1)},$$

$$\hat{e} = \frac{e_{11}^X + 2\sigma + 2\sqrt{\sigma[e_{11}^X(1 - e_{11}^X) + \sigma]}}{1 + 4\sigma}.$$
(27)

Step 3. Calculate the phase error rate  $e_p$  by

$$e_p = \frac{\left(\underline{s_{11}^Z + s_{11}^X}\right)\hat{e} - \underline{s_{11}^X}e_{11}^X}{\underline{s_{11}^Z}}.$$
(28)

### V. NUMERICAL SIMULATION

In this section, we will numerically simulate the performance of our protocol. For better comparison, we consider a fiber-based channel model and directly borrow experimental parameters from [44]. Denote  $\eta_a^c = 10^{-\alpha L_a/10}$  ( $\eta_b^c = 10^{-\alpha L_b/10}$ ) as the fiber transmission of Alice (Bob) with the loss coefficient being  $\alpha = 0.2$  dB/km,  $\eta_d = 14.5\%$  as the detection efficiency of the relay, and  $p_d = 6.02 \times 10^{-6}$  as its background dark count rate.  $L_a$  ( $L_b$ ) is the length of fiber between Charlie and Alice (Bob). The security bound is fixed to  $\varepsilon = 10^{-10}$  and the numerical parameters are listed in Table II.

It should be noted that our protocol is not confined with specific source and any source satisfying Eq. (6) is available. Here, we consider the cases when WCS is used as the sources of Alice and Bob in the phase-encoded MDI-QKD scheme proposed by Ma *et al.* [18]. And we stress that the original polarization-based protocol [13] and the phase-encoded protocol proposed by Tamaki *et al.* [17] are also acceptable for our protocol.

TABLE II. List of experimental parameters used in the simulations:  $\alpha$  is the loss coefficient of the fiber, f is the error correction inefficiency,  $\eta_d$  is the detection efficiency of the relay,  $e_d$  is the errors due to channel relative-phase misalignment between Alice and Bob,  $p_d$  is the background dark count rate of the detector in the relay, and  $\varepsilon$  is the predetermined security bound.

$\alpha$ (dB/km)	f	$\eta_d$	$e_d$	$p_d$	ε
0.20	1.16	0.145	0.015	$6.02 \times 10^{-6}$	$10^{-10}$

For parameter estimation, the parameters  $n_{\alpha\beta}^Z$ ,  $n_{\alpha\beta}^X$ , and  $w_{\alpha\beta}^X$  can be directly obtained in the experimental process of executing our protocol. In this paper, for simulation purposes, we can theoretically calculate them based on the channel model [18]:

$$n_{\alpha\beta}^{X} = 2Np_{\alpha}p_{\beta}q_{X}y^{2}[1+2y^{2}-4yI_{0}(x)+I_{0}(2x)],$$
  

$$w_{\alpha\beta}^{X} = e_{0}n_{\alpha\beta}^{X}-2Np_{\alpha}p_{\beta}q_{X}(e_{0}-e_{d})y^{2}[I_{0}(2x)-1],$$
  

$$n_{\alpha\beta}^{Z} = Np_{\alpha}p_{\beta}(1-q_{X})(Q_{C}+Q_{E}),$$
  

$$w_{\alpha\beta}^{Z} = Np_{\alpha}p_{\beta}(1-q_{X})\{e_{d}Q_{C}+(1-e_{d})Q_{E}\},$$
(29)

where

$$Q_{C} = 2(1 - p_{d})^{2} e^{-\mu'/2} [1 - (1 - p_{d})e^{-\eta_{a}\alpha/2}] \times [1 - (1 - p_{d})e^{-\eta_{b}\beta/2}],$$
(30)  
$$Q_{E} = 2p_{d}(1 - p_{d})^{2} e^{-\mu'/2} [I_{0}(2x) - (1 - p_{d})e^{-\mu'/2}],$$

with  $\mu' = \eta_a \alpha + \eta_b \beta$ ,  $x = \sqrt{\eta_a \alpha \eta_b \beta}/2$ , and  $y = (1 - p_d)e^{-\mu'/4}$ . In the above equations,  $I_0(x)$  is the modified Bessel function of the first kind,  $e_0 = 0.5$  is the error rate of a random background noise,  $p_d$  is the dark count rate of the detector in the relay, and  $\eta_a = \eta_d \eta_a^c$  ( $\eta_b = \eta_d \eta_b^c$ ) is the transmission efficiency of Alice (Bob).

In our simulations, we use the experimental parameters listed in Table II. We set the key's secrecy  $\varepsilon_{sec}$  and correctness  $\varepsilon_{cor}$  be  $10^{-10}$  and  $10^{-12}$ , respectively.

In Fig. 1, the curves of the numerical secret key rates from left to right are obtained for different values of  $q_X$  with the total number of signals N fixed to be  $10^{13}$ . One can see that the secret



FIG. 1. (Color online) Key rate comparison with different probabilities of choosing X basis. A realistic finite size of data N is fixed to be  $10^{13}$ . For different  $q_X = 0.05, 0.25, 0.45, 0.65, 0.85$ , the curves from left to right are all plotted by Eq. (1) averaged on the total number of signals N sent by Alice and Bob. The single-photon contribution  $n_1^Z$ , single-photon errors  $v_{11}^X$ , and the phase error rate  $\varphi_Z$  are all analytically obtained by Eq. (14), Eq. (22), and Eq. (28), respectively. The intensities of signal states and decoy states are assigned specific values of 0.36 and 0.18, respectively.



FIG. 2. (Color online) Key rate comparison with different finite data sets. The dashed curve denotes the ideal secret key rate when infinite decoy states are used and the intensity is optimized for each distance. The dash-dotted curve denotes the asymptotic secret key rate of the three-intensity decoy-state method with the intensity of signal states and decoy states being 0.5 and 0.1, respectively. The solid curves from left to right are plotted by our protocol for finite number of total pulses from the weak coherent source  $N = 10^{j}$  with j = 12, 12.5, 13, 13.5, 14, 15. The intensities of signal states and decoy states are fixed to be 0.36 and 0.18, respectively. But the probability of choosing X basis  $q_X$  is optimally chosen for each N.

key rate and achievable secure transmission distance have their optimal values for different basis choices. 0.25 is found to be optimal for  $N = 10^{13}$ . This comes from the trade-off between  $q_X$  and  $q_Z$  since the bigger  $q_X$  means less statistical fluctuation and the bigger  $q_Z = 1 - q_X$  means more generation of key.

In Fig. 2, the solid curves of the secret key rates from left to right are obtained for different total number of signals N, where  $q_X$  is optimally chosen for each N. Under the same experimental parameters, our simulation results perform better than that of [44]. For example, with a realistic finite size of data saying  $N = 10^{12}$  and  $N = 10^{12.5}$ , the maximal transmission distance can reach to 64 and 86 km, apparently bigger than [44]. And it should be noted that the key rates of [44] are obtained under a full optimization over various parameters such as  $\mu_a$ ,  $v_a$ ,  $p_{\mu_a}$ ,  $p_{\nu_a}$ , and  $q_X$ , where  $\mu_b = \mu_a$ ,  $v_b = v_a$ ,  $p_{\mu_b} = p_{\mu_a}$ ,  $p_{\nu_b} = p_{\nu_a}$ , and  $q_Z = 1 - q_X$ . However, in the simulations of our protocol, we fix  $\mu_a =$ 0.36,  $v_a = 0.18$ ,  $p_{\mu_a} = 0.58$ ,  $p_{\nu_a} = 0.3$  and only optimize the key rate over one parameter  $q_X$ . This indicates the feasibility of our protocol.

With the full parameter optimization method based on a local search algorithm [45], the key rate and secure distance of our protocol can be further increased and extended, respectively. But in practice, the implementation of full parameter optimization needs complex modulation setups, which raises the technical difficulty in practical experiment. Our protocol can be easily conducted in existing setups of a practical experiment. Besides, the estimation of phased error rate is conducted rigorously, whereas [44] and [45] are not. This will certainly enhance the security level of our protocol.

protocol has good performance for two reasons. One is that we apply a smart intensity-choosing policy, where all intensity levels in the Z basis contribute to the final keys and the signal states are not prepared in the X basis when both Alice and Bob choose signal states. The other is that the basis is chosen optimally, which brings a good balance between data used for key generation and that used for parameter estimation.

## VI. CONCLUSION

In conclusion, we put forward a biased decoy-state protocol for the measurement-device-independent quantum key distribution with finite resources. For secret keys of a finite length that are  $\varepsilon$ -secure, the analytical bounds for the singlephoton detections, single-photon errors, and phase error rate are presented. Our protocol takes advantage of all possible detections even if Alice and Bob choose decoy states. The basis choice is assigned according to the intensity choice. By numerical simulations, we remark that biased basis choice can PHYSICAL REVIEW A 91, 022313 (2015)

apparently extend the secure distance for MDI-QKD. Without a full optimization program, our protocol can perform better than that proposed by Curty *et al.* [44] and the secure distance can reach to 168 km when the size of total pulses is  $N = 10^{15}$ . Experimentalists can readily choose and implement our protocol to enhance the performance of practical MDI-QKD experiment.

Recently, we noticed that a study by Zhou *et al.* [46] proposed analytical estimation bounds based on four intensities for MDI-QKD. It will be appealing to adjust these bounds to our protocol and we will maintain attention to the new application of our protocol.

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