

## Parity-time-symmetric plasmonic metamaterials

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We theoretically investigate the optical properties of parity-time ( $\mathcal{PT}$ )-symmetric three-dimensional metamaterials composed of strongly coupled planar plasmonic waveguides. By tuning the loss-gain balance, we show how the initially isotropic material becomes both asymmetric and unidirectional. Investigation of the band structure near the material's exceptional point reveals several interesting optical properties, including double negative refraction, Bloch power oscillations, unidirectional invisibility, and reflection and transmission coefficients that are simultaneously equal to or greater than unity. The highly tunable optical dispersion of  $\mathcal{PT}$ -symmetric metamaterials provides a foundation for designing an unconventional class of three-dimensional bulk synthetic media, with applications ranging from lossless subdiffraction-limited optical lenses to nonreciprocal nanophotonic devices.

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Textbook conceptions of light-matter interactions have been challenged by two recent material advances—the development of metamaterials and the discovery of parity-time ( $\mathcal{PT}$ )-symmetric media. Metamaterials allow considerable control over the electric and magnetic fields of light, so that permittivities, permeabilities, and refractive indices can be tuned throughout positive, negative, and near-zero values. Metamaterials have enabled negative refraction, optical lensing below the diffraction limit of light, and invisibility cloaking [1–10]. Complementarily,  $\mathcal{PT}$ -symmetric media allow control over electromagnetic field distributions in loss and gain media, so that light propagation can be asymmetric and even unidirectional.  $\mathcal{PT}$ -symmetric media have enabled loss-induced optical transparency, lossless Talbot revivals, and unidirectional invisibility [11–22]. Combined with nonlinear media, they have also been suggested as optical diodes, insulators, circulators, and perfect cavity absorber lasers [23–29].

While metamaterials rely on subwavelength engineered “building blocks” to control electric and magnetic light-matter interactions,  $\mathcal{PT}$ -symmetric media rely on judicious spatial arrangement of loss and gain media. Their unique asymmetric properties are based on a fundamental insight from quantum mechanics indicating that Hamiltonians need not be Hermitian to yield real eigenvalues and hence physical observables. Instead, the weaker condition of parity-time symmetry is sufficient to yield real eigenvalues below a certain threshold. Above this threshold, eigenvalues move into the complex plane and become complex conjugates of each other [30–34].

In the context of optics,  $\mathcal{PT}$ -symmetric Hamiltonians arise from the duality between the quantum mechanical Schrödinger equation and the wave equation. Provided the refractive index profile satisfies  $n(\vec{r}) = n^*(-\vec{r})$ , light will propagate as if it experiences a  $\mathcal{PT}$ -symmetric potential. Below the  $\mathcal{PT}$ -symmetric exceptional point, the optical eigenvalues will be purely real; however, as the loss and gain of the material are increased beyond the exceptional point, the eigenvalues will become complex. In particular, certain eigenmodes will experience increased loss while other eigenmodes will exhibit strong optical gain. This behavior is at the core of the asymmetric and unidirectional optical properties observed in  $\mathcal{PT}$  media to date.

While nearly all  $\mathcal{PT}$ -symmetric media have been constructed from macroscopic (i.e., greater than wavelength-scale) elements, the optical Hamiltonian places no restrictions on the length scales over which the index profile can vary. This insight drives the question: Can we create  $\mathcal{PT}$ -symmetric metamaterials—i.e., bulk photonic media whose optical properties are determined both by their subwavelength building blocks and a judicious choice of their loss-gain profile? Such metamaterials would enable unprecedented control over electric and magnetic optical fields across wavelength and subwavelength scales, and may enable an entirely new class of bulk synthetic photonic media.

In this work we investigate the emergent optical properties of bulk, three-dimensional  $\mathcal{PT}$ -symmetric metamaterials. As a prototype metamaterial, we consider a multilayer stack of alternating layers of metal and dielectric [35]. Both theoretical [2] and experimental [7] work have demonstrated the isotropic negative index response of this metamaterial, resulting in all-angle negative refraction and Veselago “perfect optical lensing.” Its operation is based on the negative index plasmonic modes of its unit cell—a five-layer “metal-insulator-metal” waveguide. By varying the thickness of the layers as well as the materials, the frequency of operation and the emergent bulk index of refraction can be precisely controlled throughout optical frequencies. While practical utilization of this negative index metamaterial has been limited by propagation and coupling losses, we will show that these losses could be overcome by subjecting the plasmonic modes to  $\mathcal{PT}$ -symmetric potentials. Moreover,  $\mathcal{PT}$ -symmetric potentials in this metamaterial can enable above-unity transmission and reflection, Bloch power oscillations, hyperbolic to elliptic dispersion transitions, and unidirectional invisibility.

### I. BAND DIAGRAMS OF $\mathcal{PT}$ -SYMMETRIC METAMATERIALS

Figure 1(a) illustrates the specific plasmonic metamaterial investigated in this paper, with the unit cell period indicated by  $\Lambda$ . Within each unit cell, the thicknesses of the metal  $t_m$  and dielectric  $t_d$  are deeply subwavelength, with  $t_m = t_d = 30$  nm. We consider Ag as the metal, described by a lossless

Drude model with dielectric constant  $\epsilon_{\text{Ag}} = 1 - (\frac{\omega_p}{\omega})^2$ . Note that the results are generalizable to a realistic metal including loss [11], as described in the Supplemental Material [36]. The bulk plasma frequency of Ag ( $\omega_p$ ) is assumed to be  $8.85 \times 10^{15} \text{ s}^{-1}$ . We consider the dielectric layers to be  $\text{TiO}_2$  with  $n = 3.2 \pm ik$ .  $\mathcal{PT}$ -symmetric potentials require balanced loss and gain, so the magnitude of the imaginary index of  $\text{TiO}_2$  ( $k$ ) is identical for alternating dielectric layers. However, its sign flips for alternating  $\text{TiO}_2$  layers. Hereafter, we refer to  $k$  as the “non-Hermiticity parameter.” With these materials, the surface plasmon resonance  $\omega_{\text{sp}}$  occurs at 1.73 eV ( $\omega_{\text{sp}}/\omega_p = 0.29$ ), and negative index modes are observed between this frequency and  $\omega_p$ . This particular materials combination was recently

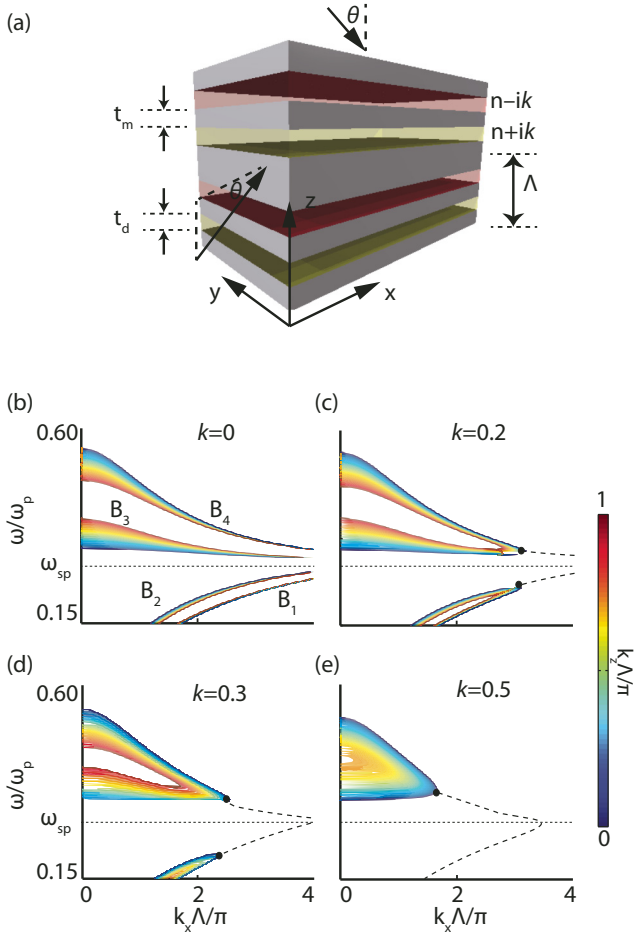


FIG. 1. (Color online) (a) Schematic of the plasmonic metamaterial, and the associated band diagrams for transverse magnetic modes (TM). The metamaterial is composed of coupled plasmonic waveguides, with deeply subwavelength metal and dielectric thicknesses of  $t_m = t_d = 30 \text{ nm}$ . The unit-cell period is  $\Lambda = 150 \text{ nm}$ . The refractive index of the dielectric is  $n \pm ik$ , with  $n = 3.2$  and  $k$  corresponding to the non-Hermiticity parameter. Band diagrams are included for (b)  $k = 0$ , (c)  $k = 0.2$ , (d)  $k = 0.3$ , and (e)  $k = 0.5$ . Bands  $B_1$  and  $B_2$  are positive refractive index bands, while bands  $B_3$  and  $B_4$  correspond to negative refractive index bands. The horizontal dotted black lines in (b)–(e) indicate the surface plasmon resonance frequency  $\omega_{\text{sp}}$ . The black circles in panels in the same panels indicate the exceptional points of the dispersion, beyond which the purely real modes evolve to the complex modes characterized by either loss or gain (dashed black lines).

experimentally shown to exhibit all-angle negative refraction and Veselago lensing [7]. Here we theoretically investigate the evolution of the optical bands of this metamaterial upon varying the non-Hermiticity parameter.

Using the transfer matrix approach described in [37], we solve for the dispersion curves of the five-layer unit-cell plasmonic waveguide for transverse-magnetic (TM) polarized illumination. To determine the band diagrams of the periodic metamaterial, the wave vector along the  $z$  direction is swept in the first Brillouin zone  $(0, \frac{\pi}{\Lambda})$ , and the characteristic equation is minimized to find the propagation constant along the  $x$  direction at each frequency. The results are shown in Figs. 1(b)–1(e) for  $k = 0, 0.2, 0.3$ , and  $0.5$ , respectively, reflecting gain coefficients achievable with current ( $k \leq 0.2$ ) and next-generation gain media. Note that the colormap indicates purely real values of  $k_x$ , corresponding to lossless propagation along the metamaterial. For a non-Hermiticity parameter  $k = 0$ , four different branches are observed: two below  $\omega_{\text{sp}}$  ( $B_1$  and  $B_2$ ) and two above ( $B_3$  and  $B_4$ ). Because all constituents are lossless, the wave vectors diverge at  $\omega_{\text{sp}}$ .  $B_1$  and  $B_2$  are characterized by positive slopes and hence positive refractive mode indices. In contrast,  $B_3$  and  $B_4$  are characterized by negative slopes and hence negative refractive mode indices.

When the non-Hermiticity parameter of the metamaterial is increased, the modes merge together at the exceptional points of the dispersion, denoted by black circles in Figs. 1(c)–1(e). Beyond these exceptional points, the two distinguishable lossless modes below and above  $\omega_{\text{sp}}$  (i.e.,  $B_1$  and  $B_2$  or  $B_3$  and  $B_4$ , respectively) evolve to a gain mode and a loss mode with the same phase velocity. Due to their complex wave vectors, we denote these modes as black, dashed lines in Figs. 1(c)–1(e).

To understand these loss and gain modes, note that the transfer matrix of the  $\mathcal{PT}$ -symmetric metamaterial possesses the following symmetry property:

$$T(\omega, k_z, k_x^*) T^*(\omega, k_z, k_x) = I, \quad (1)$$

where  $I$  is the identity matrix. The Bloch modes of the metamaterial are eigenvalues of  $T$  and satisfy

$$|T(k_x) - e^{i\Lambda k_z} I| = 0. \quad (2)$$

Taking the complex conjugate of Eq. (2) and using the symmetry property of Eq. (1), the following relation is obtained:

$$|T(k_x^*) - e^{i\Lambda k_z} I| = 0. \quad (3)$$

Equation (3) means that if  $k_x$  (a complex number in general) admits a real solution for the Bloch wave vector,  $k_x^*$  is a solution for that Bloch mode as well. Accordingly, the bands have centrosymmetry in the complex  $(k_x, k_z)$  plane. Also note that the loss and gain modes of Figs. 1(c)–1(e) conjoin at  $\omega_{\text{sp}}$ ; furthermore, unlike the modes for a zero non-Hermiticity parameter, their wave vectors at  $\omega_{\text{sp}}$  remain finite.

While *real* periodic spatial refractive index profiles lead to the appearance of an infinite number of band gaps, *complex* periodic index profiles generally result in complex dispersion curves and no complete band gap across the entire frequency range. However, if the refractive index profile satisfies the condition for  $\mathcal{PT}$  symmetry [ $n(z) = n^*(-z)$ ] real propagation constants and complete band gaps can exist provided  $k \leq k_{\text{th}}$ .

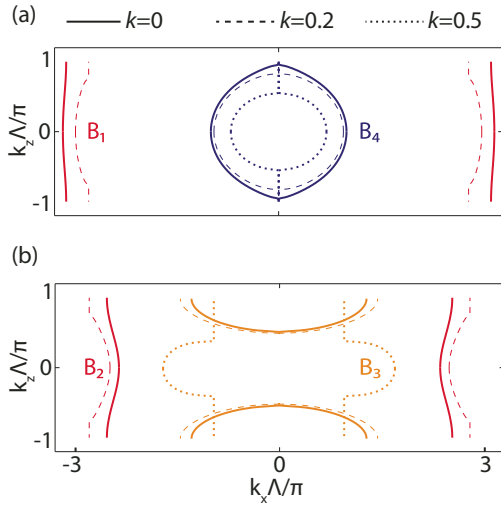


FIG. 2. (Color online) Equifrequency contours of the metamaterial, plotted for both positive and negative index bands at wavelengths of  $\lambda = 954$  nm ( $B_1, B_2$ ),  $604$  nm ( $B_3$ ), and  $445$  nm ( $B_4$ ). Band  $B_4$  is circular for zero and small  $k$ , corresponding to an isotropic metamaterial. For all  $k$ , bands  $B_1$  and  $B_4$  remain elliptical, while band  $B_2$  is hyperbolic. However, band  $B_3$  undergoes a hyperbolic to elliptical transition with increasing  $k$ .

Here  $k_{\text{th}}$  is the threshold value at which the Hamiltonian and the  $\mathcal{PT}$  operator no longer commute, and consequently, real-valued solutions cease to be supported by the complex potential. Figures 1(c)–1(e) illustrate this feature for increasing non-Hermiticity parameter. For example, for  $k = 0.2$  and  $k = 0.3$  purely real wave vectors and band gaps are observed for all bands both above and below  $\omega_{\text{sp}}$ . However, for  $k = 0.5$ , purely real eigenmodes below  $\omega_{\text{sp}}$  do not exist across visible and near-infrared frequencies. Furthermore, the band gap between  $B_3$  and  $B_4$  merges for large  $k_z$ , and these bands only exist over a very limited wave vector and wavelength range.

The non-Hermiticity parameter not only changes the propagation constant and band gap of the metamaterial, but also the band curvature. Figure 2 plots the equifrequency contours of bands  $B_1$ – $B_4$  at wavelengths of  $\lambda = 954$  nm ( $\omega/\omega_p = 0.22$ ) for  $B_1$  and  $B_2$ ,  $\lambda = 604$  nm ( $\omega/\omega_p = 0.35$ ) for  $B_3$ , and  $\lambda = 445$  nm ( $\omega/\omega_p = 0.48$ ) for  $B_4$ . Since the metamaterial is isotropic in the  $xy$  plane and the contours are centrosymmetric in the  $(k_z, k_x)$  plane, a quadratic dispersion relation  $(\frac{k_x}{n_x})^2 + (\frac{k_z}{n_z})^2 = k_0^2$  can be used to model the bands. Here  $k_0$  indicates the free-space wave vector. The fitted refractive mode indices are listed in Table I.

As seen in both Fig. 2 and Table I, for a non-Hermiticity parameter  $k = 0$ , bands  $B_1$  and  $B_4$  are elliptical (i.e.,  $n_x^2 n_z^2 \geq 0$ ) while bands  $B_2$  and  $B_3$  are hyperbolic (i.e.,  $n_x^2 n_z^2 \leq 0$ ). Moreover,  $B_4$  is characterized by a nearly perfect circular equifrequency contour and almost equal values of effective refractive indices in both the  $x$  and  $z$  directions. Accordingly, this metal-insulator-metal metamaterial is isotropic at  $\lambda = 445$  nm, consistent with prior work [2,7].

For increasing non-Hermiticity parameter,  $B_1$  and  $B_4$  remain elliptical while  $B_2$  remains hyperbolic. However, band  $B_3$  undergoes a hyperbolic to elliptical transition for

TABLE I. Effective refractive indices of the four bands based on a quadratic fit. The parameters are calculated for wavelengths of  $\lambda = 954$  nm ( $B_1, B_2$ ),  $604$  nm ( $B_3$ ), and  $445$  nm ( $B_4$ ).

	$k = 0$ ( $n_x^2, n_z^2$ )	$k = 0.2$ ( $n_x^2, n_z^2$ )	$k = 0.5$ ( $n_x^2, n_z^2$ )
$B_1$	(95.86, 289.89)	(85.5, 53.56)	NA
$B_2$	(54.14, -58.86)	(59.32, -24.83)	NA
$B_3$	(-4.11, 1.27)	(-4.88, 1.21)	(11.55, 1.16)
$B_4$	(1.9, 1.85)	(1.85, 1.45)	(1.02, 0.66)

$k = 0.5$ . Such hyperbolic-to-elliptic transitions could enable dynamic tuning of Purcell enhancements for emitters near the metamaterial. Furthermore, they could modulate Talbot revivals or the formation and resolution of images generated by hyperbolic metamaterial superlenses [38–42].

## II. REFRACTION FROM $\mathcal{PT}$ -SYMMETRIC METAMATERIALS

The results of Fig. 2 imply that with increasing non-Hermiticity parameter, the material can evolve from an isotropic metamaterial to an anisotropic one. The structure can also become highly directional. This property cannot be derived from the band diagrams, but can be understood by considering the transfer matrix:

$$T = \begin{bmatrix} a & b \\ c & a^* \end{bmatrix}. \quad (4)$$

Here the parameters  $a$ ,  $b$ , and  $c$  are related to the reflection and transmission coefficients  $r$  and  $t$  as  $r_L = -\frac{c}{a^*}$ ,  $r_R = \frac{b}{a^*}$ , and  $t_L = t_R = \frac{1}{a^*}$ , where the subscripts  $L$  and  $R$  denote illumination from the left and right, respectively. As these equations indicate, an optical system composed of linear and reciprocal materials is nondirectional provided the components are lossless. In other words, the transmitted and reflected powers  $T = |t|^2$  and  $R = |r|^2$  sum to unity and are independent of illumination direction, since  $T_L = T_R = T$  and  $T + R_R = 1 = T + R_L$ , so  $R_L = R_R$ . When loss or gain is introduced into the system, the transmission coefficient remains the same for both directions of illumination. However, the reflection coefficient need not be symmetric, as power can be attenuated or generated within the structure. The asymmetry is obtained at the price of losing propagating Bloch modes. However, as we will show, asymmetric responses can be obtained in a  $\mathcal{PT}$ -symmetric potential where purely real bands exist as well.

To illustrate this directional behavior, Fig. 3 plots plane-wave refraction of light from vacuum ( $n = 1$ ) through a metamaterial composed of 10 unit cells. We consider TM-polarized illumination of wavelength  $\lambda = 445$  nm impinging on the metamaterial at an angle of  $\theta = 45^\circ$  in the  $(x, z)$  plane. The colormap of Fig. 3 plots the  $H_y$  component of the fields. The arrows of Fig. 3 indicate the direction of illumination, refraction, and transmission, each determined by spatially averaging the Poynting vector in each region.

For  $k = 0$  [Fig. 3(a)] the power is negatively refracted with an angle of  $\sim -32^\circ$ . This result is in excellent agreement

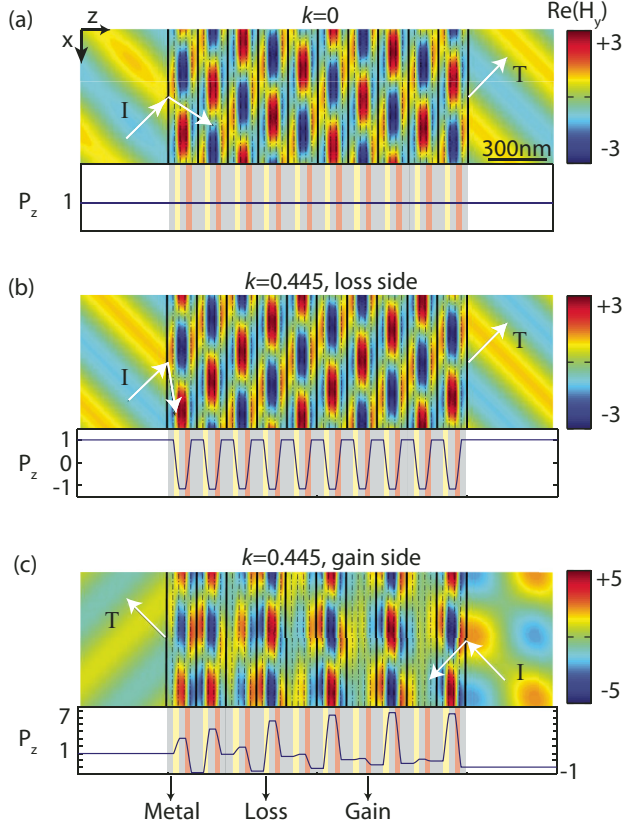


FIG. 3. (Color online) Real ( $H_y$ ) for a 10-unit-cell metamaterial upon illumination by a plane wave in air of wavelength  $\lambda = 445$  nm and angle  $\theta = 45^\circ$ . (a) The results for  $k = 0$  while (b) and (c) show the results for  $k = 0.445$  when illuminated from the loss ( $+z$ ) and gain ( $-z$ ) side, respectively. The overlaid arrows indicate the direction of the averaged Poynting vectors in the corresponding regions. I in each panel indicates the *incident* wave vector, while T shows the *transmitted* plane wave. The lower graphs in each panel show the distribution of the power in each layer of the stack. The metallic layer is represented in gray, while the gain and loss regions are represented in red and yellow, respectively.

with our band structure calculations, which yield a refracted angle from Snell's law of  $\sim -31^\circ$ . The refractive index  $n = -\sqrt{1.87} = -1.36$  at this non-Hermiticity value is independent of the illumination angle and direction. Indeed, for illumination in the  $(x, z)$  plane, or an “endfire configuration,” the same refraction angle is observed [see Fig. 4(a)]. The same refraction angle is also observed for illumination from all sides of the metamaterial (i.e., illumination from  $\pm x$ ,  $\pm y$ , and  $\pm z$ ).

Upon increasing the non-Hermiticity parameter of the metamaterial, the material becomes highly directional. Figures 3(b) and 3(c) illustrate the field profiles in a 10-layer  $\mathcal{PT}$ -symmetric metamaterial when illumination is from the loss and gain side (i.e., illumination in the  $+z$  or  $-z$  directions, respectively). As a particular example we consider  $k = 0.445$ . (The Supplemental Material also includes results for smaller  $k$  [36].) As seen, field profiles and refraction angles are completely different for illumination from  $+z$  (loss side) versus  $-z$  (gain side). Illumination from  $+z$  yields negative refraction at an angle of  $-81^\circ$  [see Fig. 3(b)]. In contrast,

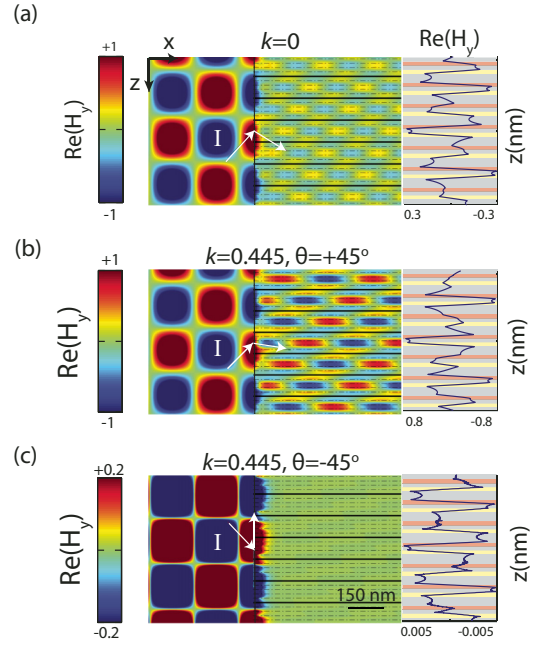


FIG. 4. (Color online) Real ( $H_y$ ) when a semi-infinite metamaterial is illuminated with a plane wave at  $\lambda = 445$  nm along  $x$  direction (endfire illumination). The illumination angle  $\theta$  equals  $45^\circ$  in (a) and (b) and  $-45^\circ$  in (c). The side graphs in each panel show the distribution of the magnetic field  $1 \mu\text{m}$  away from the interface.

illumination from  $-z$  yields negative refraction at an angle of  $\sim -43^\circ$  [Fig. 3(c)].

Furthermore, this structure is characterized by tunable reflection and transmission coefficients that can range from zero to at or above unity. This characteristic is illustrated in the lower panels of Fig. 3, which plot the normalized-to-incidence power at each position along the direction of propagation. For example, for illumination from the  $-z$  direction [Fig. 3(c)] power flows backward toward the source in the illumination region ( $P_z = -1$ ), and away from the metamaterial on the transmission side ( $P_z = +1$ ). Therefore, the metamaterial is completely transparent, in that the metamaterial can transmit all of the incident power, even though light is emitted back towards the source.

Complementarily, for illumination from the  $+z$  direction [Fig. 3(b)] this metamaterial can also achieve unidirectional invisibility. As seen, the power is unity on both sides of the metamaterial, indicating complete suppression of reflection on the illumination side and complete transmission on the other. Formally, perfect invisibility requires that the transmitted phase ( $\phi_t$ ) equal the phase of a plane wave propagating in free-space ( $\phi_{\text{FS}}$ ). For the 10-layer metamaterial of Fig. 3(b),  $\frac{\phi_{\text{FS}} - \phi_t}{2\pi} = 2.75$ , so the object could be identified through the interference with a reference plane wave. However, perfect unidirectional invisibility, i.e.,  $\phi_{\text{FS}} - \phi_t = 2m\pi$ , where  $m$  is an integer, can be achieved when the number of periods is increased to 55, 74, and 91.

The directional scattering properties of the metamaterial can be rationalized by considering the power as light propagates through the array. For  $k = 0$ , power remains constant throughout the metamaterial [Fig. 3(a)]. However, with

increasing  $k$ , power begins to oscillate within the metamaterial, with power increasing in the gain regions and decreasing in the loss regions. These plasmonic power oscillations are analogous to Bloch oscillations observed in both electronic and photonic crystals [43] as well as  $\mathcal{PT}$ -symmetric arrays [23].

As a final visual example of the unusual unidirectional properties of this metamaterial, Fig. 4 plots the fields and refracted angles for illumination along the  $+x$  direction (endfire illumination). For a non-Hermiticity parameter  $k = 0$ , illumination at  $\theta = \pm 45^\circ$  yields refraction at  $\mp 30^\circ$ , respectively, in good agreement with the previously determined value of  $\mp 31^\circ$ . With increasing non-Hermiticity parameter, however, illumination at  $+\theta$  yields markedly different results than illumination at  $-\theta$ . For example, for  $k = 0.445$ , illumination at  $+45^\circ$  yields a refracted angle of  $-11^\circ$ , while illumination at  $-45^\circ$  yields refraction along the metamaterial interface at an angle of  $-90^\circ$ . This double refraction does not just manifest itself in the intensity of the transmitted beam, but also in the profile of the fields, as seen in the right panels of Fig. 4.

### III. SCATTERING FROM $\mathcal{PT}$ -SYMMETRIC METAMATERIALS

We now consider the effect of varying the non-Hermiticity parameter on the scattering properties of the metamaterial. As before, we consider TM-polarized illumination with a  $45^\circ$  tilted plane wave at  $\lambda = 445$  nm. We limit our analysis to illumination along either  $+z$  (left-side illumination,  $L$ ) or  $-z$  (right-side illumination,  $R$ ). Based on Eq. (1), a generalized energy conservation formula can be derived as  $|T - 1| = \sqrt{R_R R_L}$ , where  $T$  is the transmitted power and  $R_R$  and  $R_L$  are the reflected powers from the right and left sides, respectively [44].

Figure 5 plots the reflection and transmission coefficients as a function of  $k$ . As seen, for  $k = 0.035$ , the transmitted

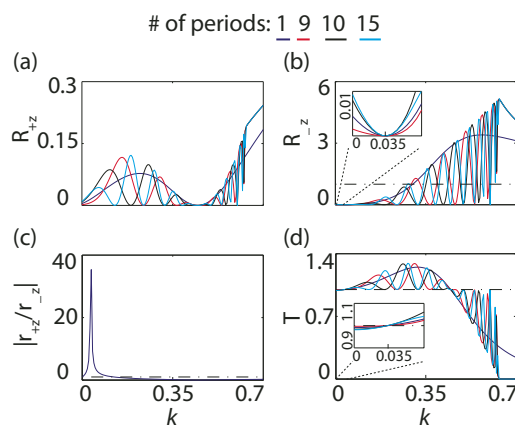


FIG. 5. (Color online) Reflected and transmitted powers of the metamaterial with increasing  $k$  and varying numbers of periods. (a) Reflected power upon illumination from the left (loss) side of the metamaterial; (b) reflected power upon illumination from the gain (right) right side; (c) quotient of reflected powers; and (d) transmitted power. In all panels the incident angle is  $\theta = 45^\circ$  and the illumination wavelength is  $\lambda = 445$  nm. The inset in (b) and (d) show the behavior of the reflected and transmitted powers around  $k = 0.035$ , where  $R_R$  vanished and  $T = 1$  independent of the number of periods.

TABLE II. Transmitted and reflected powers from left and right and the normalized Bloch wave vector for the 10-unit-cell metamaterial at  $\lambda = 445$  nm and  $\theta = 45^\circ$ .

	$k = 0$	$k = 0.035$	$k = 0.26$	$k = 0.445$	$k = 0.5$
$R_L$	0.0080	0.03	0.0982	0	$5 \times 10^{-4}$
$R_R$	0.0080	0	0.8397	2	0.1219
$T$	0.9920	1	1.2872	1	0.9922
$\frac{\Delta k_z}{\pi}$	0.7633	0.7609	0.6502	0.4683	0.3948

power equals unity independent of the number of layers. Correspondingly at this point  $R_R$ , shown in Fig. 5(b), vanishes for any number of layers. This property manifests itself as a peak in Fig. 5(c), where the quotient of relative reflection coefficients is plotted. Importantly, for  $k = 0.035$ , this  $\mathcal{PT}$ -symmetric metamaterial is still isotropic, characterized by circular equifrequency contours. Therefore, this  $\mathcal{PT}$ -symmetric optical potential could enable lossless and far-field Vesalago lensing, where  $\mathcal{PT}$  symmetry significantly suppresses reflection.

For larger non-Hermiticity parameters ( $k > 0.035$ ),  $T$  exceeds unity. While the transmitted power varies with  $k$  and the number of unit cells, it never drops below 1 up through  $k = 0.445$ . At this non-Hermiticity value, the reflected power from the left/loss side ( $R_L$ ) vanishes, as shown in Fig. 5(a). For larger  $k$ ,  $T$  remains at or below unity. Non-Hermiticity parameters  $k$  above 0.63 yield purely imaginary  $k_z$ , so no propagation is allowed through the metamaterial. This property is accompanied by a rapid drop in  $T$  and strong increase in the reflectance for any number of layers.

Table II summarizes some of the scattering properties of the 10-unit-cell metamaterial extracted from Fig. 5. For  $k = 0$  the metamaterial is Hermitian and lossless. With increasing  $\mathcal{PT}$ -symmetric potentials, unusual features such as above unity transmission ( $k = 0.26$ ), above unity reflection ( $k = 0.445$ ), and unidirectional reflection suppression ( $k = 0.445$  and  $k = 0.5$ ) are observed. The normalized Bloch wave vector for each  $k$  is included in the last row of the table. Since  $k_z$  is purely real, light propagates in a pass band of the metamaterial for all of these non-Hermiticity parameters.

Finally, we investigate how these properties depend on the incident angle  $\theta$ . Figure 6 plots the reflection  $R_{\pm z}$  and transmission  $T$  coefficients, as well as the phase pickup, as a function of incident angle  $\theta$ . In Figs. 6(a) and 6(b) the non-Hermiticity parameter is fixed at  $k = 0.035$ , while in Figs. 6(c) and 6(d) it is fixed at  $k = 0.445$ . As seen in Fig. 6(a), near-zero  $R_{-z}$  and unity transmission  $T$  is achieved over a wide range of angles, from  $\theta = 17^\circ$  to  $\theta = 60^\circ$ . Accordingly, this  $\mathcal{PT}$ -symmetric negative index metamaterial could form the basis for a large numerical aperture Vesalago lens. For higher values of  $k$  [Fig. 6(c)], near-zero  $R_{+z}$  and unity transmission  $T$  is achieved over an even wide range of angles, from 0 to  $60^\circ$ . Figures 6(b) and 6(d) show the accumulated phase of the transmitted beam through the metamaterial compared with a free-space beam. For  $k = 0.035$  and  $0.445$ , perfect unidirectional invisibility is achieved for multiple, discrete angles. Further details on the directional properties of this metamaterial can be found in the Supplemental Materials [36].

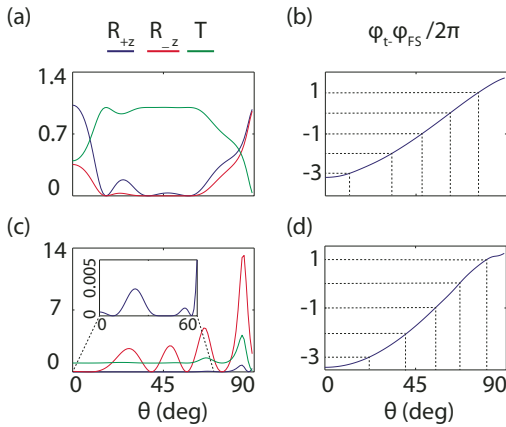


FIG. 6. (Color online) Variation of the scattering parameters as a function of incident angle  $\theta$  for (a)  $k = 0.035$  and (b)  $k = 0.445$ . Comparison between the phase of the transmitted beam and a free-space beam as a function of incident angle for (b)  $k = 0.035$  and (d)  $k = 0.445$ .

#### IV. CONCLUSIONS

We have introduced the concept of a  $\mathcal{PT}$ -symmetric metamaterial. The original lossless metamaterial, composed of a periodically stacked five-layer plasmonic waveguide, was designed to behave as an isotropic, three-dimensional

negative refractive index material. By subjecting the plasmonic modes to  $\mathcal{PT}$ -symmetric optical potentials, we demonstrated the broad tunability of the band curvatures, band gaps, and effective refractive indices of the material. Small but nonzero non-Hermiticity parameters increased the transmission of the isotropic negative index metamaterial to unity. Larger non-Hermiticity parameters morphed the material from isotropic to anisotropic and directional. The highly unusual optical properties of  $\mathcal{PT}$ -symmetric metamaterials could be used to devise an entirely new class of bulk synthetic media, ranging from lossless Veselago lenses to unidirectional metamaterial-based invisibility cloaks and new nonreciprocal nanophotonic devices.

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