

Effective preparation and collisional decay of atomic condensates in excited bands of an optical lattice

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We present a method for the effective preparation of a Bose-Einstein condensate (BEC) into the excited bands of an optical lattice via a standing-wave pulse sequence. With our method, the BEC can be prepared in either a single Bloch state in an excited band or a coherent superposition of states in different bands. Our scheme is experimentally demonstrated by preparing a ⁸⁷Rb BEC into the *d* band and the superposition of *s*- and *d*-band states of a one-dimensional optical lattice, within a few tens of microseconds. We further measure the decay of the BEC in the *d*-band state and carry an analytical calculation for the collisional decay of atoms in the excited-band states. Our theoretical and experimental results agree well.

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I. INTRODUCTION

Ultracold atomic gases in optical lattices have various applications in many fields, including the quantum simulation of many-body systems and the realization of quantum computation and high-precision atomic clock [1–3]. So far, most of the experiments have been implemented in ground bands (*s* bands) of optical lattices. Recently, ultracold gases in the excited bands of optical lattices have attracted much attention. It is proposed that many interesting many-body phenomena, e.g., supersolid quantum phases in cubic lattices [4], quantum stripe ordering in triangular lattices [5], orbital degeneracy [6] can appear in the ultracold atoms in the excited-band states. Nevertheless, the *d*- and *f*-band physics in optical lattices have remained experimentally unexplored, except the bipartite square optical lattice [7].

A common concern for the research of excited-band physics of ultracold gas in an optical lattice is how to rapidly load the atoms into the high-energy bands without excitation or heating. So far several experimental techniques have been developed for preparing ultracold atoms in the high-energy bands. These techniques include (i) the coherent manipulation of vibrational bands by stimulated Raman transitions [8], (ii) using a moving lattice to load a Bose-Einstein condensate (BEC) into an excited band [9], (iii) the population swapping technique for selectively exciting the atoms into the *p* band [10,11] or *f* band [7] of a bipartite square optical lattice. It is pointed out that these approaches are designed to transfer the atoms from the *s* band to the excited bands. Namely, to create an ultracold gas in the excited band of the optical lattice with these approaches, one needs to first load the atoms into the *s* band. With the widely used adiabatic loading approach, such a process takes several tens of milliseconds.

In this paper, we develop a method for effective preparation of a weakly interacting BEC in the high energy bands of an optical lattice. This scheme is based on our previous work for

the rapid loading of BEC into the ground state of an optical lattice via a standing-wave laser pulse sequence [12,13]. With our method, the BEC can be *directly* transferred from the ground state of the weak harmonic trap into the excited band of the optical lattice with a nonadiabatic process, which can normally be completed within several tens of microseconds. Furthermore, in our scheme the BEC can be prepared in either a single excited-band Bloch state or a coherent superposition of Bloch states in different bands with the same quasimomentum. As a demonstration, we experimentally realize the effective preparation of a ⁸⁷Rb BEC into a *d*-band state, and the coherent superposition of *d*-band and *s*-band states of a one-dimensional (1D) optical lattice. The effectiveness of our approach is further verified by the observations of the atomic Rabi oscillations between states with different single-atom momentum. As shown below, the fidelities of the preparation process in our experiments are as high as 97–99%.

As an application of our method, we experimentally investigate the decay process of the ⁸⁷Rb BEC, which is rapidly loaded in the *d* band of the 1D optical lattice. It is well known that when the ultracold atoms are prepared in the excited-band state of an optical lattice, they can decay to the states in the lower bands via interatomic collision. For the ultracold gas prepared around the lowest-energy points of high-energy bands, the lifetime of the gas is mainly determined by the collisional decay. Such a decay process was experimentally observed by N. Katz *et al.* in a moving optical lattice [14] and theoretically studied with a perturbative calculation by the same authors [14]. Nevertheless, to the best of our knowledge, there is still lack of a first-principles calculation for the collisional-decay rate. In this paper, based on the scattering theory, we provide a first-principles calculation for the collisional-decay process of ultracold gases in the excited bands and obtain the analytical expression of the decay rate. We compare our theoretical result and the experimental observations, and find great consistency.

The remainder of this manuscript is organized as follows. In Sec. II, we introduce our method for effective preparation of BEC in the excited-band states. Our experiment for the preparation of the BEC of ⁸⁷Rb atoms is shown in Sec. III.

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In Sec. IV we analytically calculate the rate of the collisional decay of atoms in the d -band state and compare our result with the experimental observations. The main results are summarized and discussed in Sec. V, while some details of our calculations are given in the Appendix.

II. APPROACH FOR EFFECTIVE PREPARATION OF BEC IN EXCITED BANDS

We now introduce our approach for rapidly preparing a BEC in the excited bands of an optical lattice. For simplicity, in this section we consider only the case with a 1D optical lattice. Our method introduced here can be straightforwardly generalized to the systems with a two- or three-dimensional lattice.

In the ultracold gas of single-component bosonic atoms, a 1D optical lattice can be created by two counterpropagating laser beams. In the presence of the optical lattice, the single-atom Hamiltonian in the x direction is given by ($\hbar = 1$)

$$H_x = \frac{p_x^2}{2m} + V_0 \cos^2\left(\frac{x}{a}\pi\right), \quad (1)$$

with m and p_x the single-atom mass and momentum in the x direction, respectively. Here V_0 is the depth of the optical lattice and a is the lattice constant. According to the Bloch's theorem, the eigenstate of H_x can be expressed as $|n, q\rangle \equiv u_{n,q}(x)e^{iqx}/\sqrt{2\pi}$, with $n = s, p, d \dots$ the index of the energy band and $q \in [-\pi/a, \pi/a)$ the quasimomentum. Here the periodic Bloch function $u_{n,q}(x)$ satisfies $u_{n,q}(x) = u_{n,q}(x + a)$. Using this property, it can be easily proved that Bloch state $|n, q\rangle$ is the superposition of the plane waves $e^{ikx}/\sqrt{2\pi}$, with $k = q + 2j\pi/a$ ($j = 0, \pm 1, \pm 2, \dots$). Namely, $|n, q\rangle$ can be reexpressed as

$$|n, q\rangle = \sum_{j=-\infty}^{+\infty} C_{nj}(q) |p_x = q + 2j\pi/a\rangle, \quad (2)$$

where $|p_x = k\rangle \equiv e^{ikx}/\sqrt{2\pi}$ is the eigenstate of p_x with eigenvalue k and C_{nj} is the superposition coefficient.

As shown in Fig. 1, we suppose that, before the preparation process, there is no optical lattice in our system, and the atoms are condensed in the single-atom ground state of the weak harmonic trap. We further approximate such a state to be $|p_x = 0\rangle$ with zero momentum. Our purpose is to prepare the condensed atom in a given superposition state,

$$|\Psi_a\rangle = \sum_n f_n |n, q_0\rangle. \quad (3)$$

According to Eq. (2), $|\Psi_a\rangle$ can be expressed as the superposition of the states $|p_x = q_0 + 2j\pi/a\rangle$, i.e., we have

$$|\Psi_a\rangle = \sum_{j=-\infty}^{+\infty} d_j |p_x = q_0 + 2j\pi/a\rangle, \quad (4)$$

with $d_j = \sum_n f_n C_{nj}(q_0)$.

We first consider a simple case where $|\Psi_a\rangle$ is the superposition of the zero-quasimomentum Bloch states in the ‘‘even bands,’’ i.e., the case with $q_0 = 0$ and $f_{p,f,h,\dots} = 0$. In that case, with our method the preparation process is

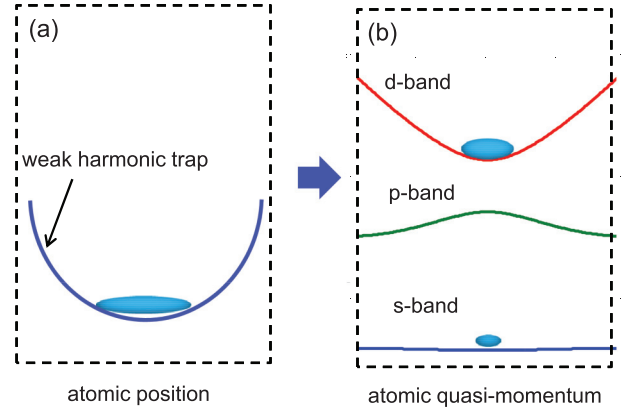


FIG. 1. (Color online) The system before and after the preparation process. (a) Before the process, the BEC is confined in a weak harmonic trap. (b) After the preparation process, an optical lattice is turned on and the atoms in the condensate are transferred into a single quasimomentum state or the superposition of quasimomentum states in different energy bands.

accomplished via alternating cycles of switching on (duty cycle) and off (off-duty cycle) the optical lattice. In these duty cycles, the atom experiences spatial potential $V_0 \cos^2(x\pi/a)$. Such a potential can induce the transition between the states $|p_x = 2j\pi/a\rangle$ with different values of j . In the off-duty cycle, the atom is governed by the free Hamiltonian $p_x^2/(2m)$. Thus, although there is no transition between different eigenstates of p_x , these states can gain different phase factors. Therefore, when the duty and off-duty cycles are alternately applied to the atoms at state $|p_x = 0\rangle$, the atoms can be prepared to a superposition state of $|p_x = 2j\pi/a\rangle$, i.e., a state with the form in Eq. (4). It is pointed out that, since the initial atomic momentum is zero and the quasimomentum is conserved in both of the two cycles, in the preparation process the atomic state can only be the superposition of the zero-quasimomentum states in different energy bands. Finally, when all the duty and off-duty cycles are completed, we *instantaneously* switch on the optical lattice, and then the atoms are loaded in the optical lattice.

The above preparation approach can be mathematically described as follows. We assume the preparation process includes N_C duty cycles and N_C off-duty cycles, and the duration of the l th duty and off-duty cycle is τ_l and τ'_l , respectively. Thus, after the preparation process, the atomic state would be

$$|\Psi_L\rangle \equiv \prod_l e^{-i\frac{p_x^2}{2m}\tau'_l} e^{-i[\frac{p_x^2}{2m} + V_0 \cos^2(x\frac{\pi}{a})]\tau_l} |p_x = 0\rangle. \quad (5)$$

Therefore, for a given target state $|\Psi_a\rangle$, the parameters N_C and $\{\tau_l, \tau'_l\}$ can be determined via maximizing the fidelity

$$F = |\langle \Psi_L | \Psi_a \rangle|^2. \quad (6)$$

It is apparent that the value $1 - F$ just describes the difference between the realistic atomic state $|\Psi_L\rangle$ after the preparation and the target state $|\Psi_a\rangle$, i.e., the error in the preparation process. When $F = 1$ the atoms would be fully prepared in the state $|\Psi_a\rangle$. It is pointed out that, for simplicity, here we assume the optical lattice has the same intensity V_0 in

all the duty cycles. In the practical cases, if it is necessary, the optical-lattice intensity can also be treated as a control parameter and take different values in different duty cycles. On the other hand, due to the selection rule, in the above process the potential of the optical lattices in the duty cycles can only couple the initial state $|p_x = 0\rangle$ with the states $|n, 0\rangle$ with $n = s, d, g, \dots$. Thus, the atoms can only be prepared into the states in these bands.

When the target state $|\Psi_a\rangle$ is the superposition of the zero-quasimomentum state in both “even bands” and “odd bands” (i.e., $q_0 = 0$ and $f_{p,f,h,\dots} \neq 0$), the preparation process can also be accomplished via a sequence of laser pulses. Nevertheless, here one should use the laser pulses of optical lattices moving with a velocity $v < \pi/(ma)$. Namely, the potential created in the l th duty cycle should be proportional to $V_l \cos[(x - vt)\pi/a]$. The mechanism of the preparation approach can be easily understood in the reference moving with velocity v . In that reference, the initial atomic state and the target state in Eq. (4) become $|p_x = -mv\rangle$ and $\sum_{j=-\infty}^{+\infty} d_j |p_x = -mv + 2j\pi/a\rangle$, respectively. Thus, the pulses in the duty cycles can induce the transition between the states $|p_x = -mv + 2j\pi/a\rangle$ with different values of j , and in the off-duty cycles these states can gain different phase factors. Therefore, with the help of the sequence of the laser pulses one can prepare the atoms in the target state. It is easy to prove that these pulses can induce the transition between the states in any two bands, and, thus, the atoms can be prepared in the target state with arbitrary coefficient d_j .

Finally, we consider the case with $q_0 \neq 0$, i.e., the target state $|\Psi_a\rangle$ is the superposition of the Bloch states with nonzero quasimomentum. With our approach, we cannot prepare the atoms into such a state in the laboratory reference. Nevertheless, as shown above, with the laser pulses of optical lattices moving with velocity $-q_0/m$, the atoms can be loaded into the state $|\Psi_a\rangle$ in the reference moving with these pulses.

III. EXPERIMENTAL RESULTS

In our experiment, we first prepare a cigar-shaped BEC of about $N = 1 \times 10^5$ ^{87}Rb atoms in the $|F = 2, m_F = 2\rangle$ hyperfine ground state in the quadrupole-Ioffe configuration trap, of which the axial frequency is 20 Hz and the radial frequency 220 Hz [12,15]. The 1D optical lattice along the BEC's long axis (x direction) can be created by laser beams with wavelength $\lambda = 2a = 852$ nm, which is far beyond the ^{87}Rb transition line between $|F = 2\rangle$ and $|F' = 3\rangle$.

In our experiments we prepare the atoms in the excited-band states of a 1D optical lattice with depth V_0 . We choose the target state to be

$$|\Psi_a(V_0)\rangle = \sqrt{1-r}|s, 0; V_0\rangle + \sqrt{r}|d, 0; V_0\rangle, \quad (7)$$

where r is a real number and $|n, q; V_0\rangle$ is the Bloch state in the n band with quasimomentum q . We perform the preparation processes for the cases (a) $V_0 = 10E_R, r = 1$, (b) $V_0 = 20E_R, r = 1$, and (c) $V_0 = 10E_R, r = 1/2$, with $E_R = 4\pi^2\hbar^2/(m\lambda^2)$. As shown in above section, the preparation of the atoms into the state $|\Psi_a(V_0)\rangle$ can be accomplished via switching on and off the standing-wave laser beam for the

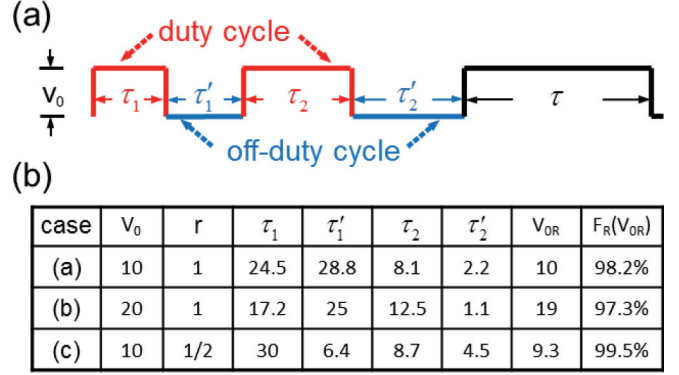


FIG. 2. (Color online) (a) Sequence of laser pulses in our experiments. (b) Table of the designed lattice depth V_0 , the parameter r for the target state $|\Psi_a\rangle$ in Eq. (7), the durations $\tau_{1,2}$ and $\tau'_{1,2}$ given by the numerical maximizing of the fidelity F , the fitted value V_{OR} of the lattice depth and the fidelities $F_R(V_{OR})$ defined in Eq. (11) for the preparation processes of the cases (a), (b), and (c) in our experiments. Here V_{OR} is given by the fitting of the theoretical values of $N_0(\tau)/N$ given by Eq. (9) to the experimental measurements. The units of V_0 and V_{OR} are E_R and the units of $\tau_{1,2}$ and $\tau'_{1,2}$ are μs .

optical lattice. In our experiment we choose $N_C = 2$. Namely, the preparation process is accomplished via two duty cycles and two off-duty cycles, as shown in Fig. 2(a). The laser pulses in the duty cycles are generated via a fast-response radio-frequency switch together with a normal-frequency source. As shown in Sec. II, we determine the durations $\tau_{1,2}$ and $\tau'_{1,2}$ for the duty and off-duty cycles by numerically maximizing the fidelity F defined in Eq. (6). In Fig. 2(b) we show the values of $\tau_{1,2}$ and $\tau'_{1,2}$ and the maximized fidelities given by our numerical calculations. With the same calculation we also obtain the final state,

$$\begin{aligned} |\Psi_L(V_0)\rangle &= \left(e^{-i\frac{p_x^2}{2m}\tau_2} e^{-i[\frac{p_x^2}{2m} + V_0 \cos^2(\frac{\pi x}{a})]\tau_2} \right. \\ &\quad \left. \times e^{-i\frac{p_x^2}{2m}\tau'_1} e^{-i[\frac{p_x^2}{2m} + V_0 \cos^2(\frac{\pi x}{a})]\tau'_1} \right) |p_x = 0\rangle \\ &\equiv \sum_n f_{L,n}(V_0) |n, 0\rangle, \end{aligned} \quad (8)$$

of the atoms after the preparation process in the cases (a)–(c).

As shown in Sec. II, in the end of the preparation process we *instantaneously* switch on the optical lattice and hold it for time τ . Then we switch off the laser beams and the magnetic trap and image the expanding cloud after 30 ms time of flight using resonant probe light propagating along the z axis. With this approach we can measure the number $N_j(\tau)$ of the atoms in the zero-momentum state $|p_x = 2j\pi/a\rangle$, while the population of the higher-momentum states are negligible (less than 5%). As shown above, after the preparation process in cases (a), (b), and (c), the atoms are prepared in the state $|\Psi_L(V_0)\rangle$ in Eq. (8). Thus, when the laser beams and the magnetic trap are switched off, the atomic state is $|\Psi_\tau(V_0)\rangle = \sum_n f_{L,n}(V_0) e^{-i\mathcal{E}_{n,0}\tau} |n, 0\rangle$. Here $\mathcal{E}_{n,0}$ is the eigenenergy of H_x with respect to the state $|n, 0\rangle$, respectively. Namely, we have $H_x |n, 0\rangle = \mathcal{E}_{n,0} |n, 0\rangle$. Therefore, the number $N_j(\tau)$ of atoms in the state $|p_x = 2j\pi/a\rangle$ at time τ would be $N_j(\tau) = N |\langle p_x = 2j\pi/a | \Psi_\tau(V_0) \rangle|^2$

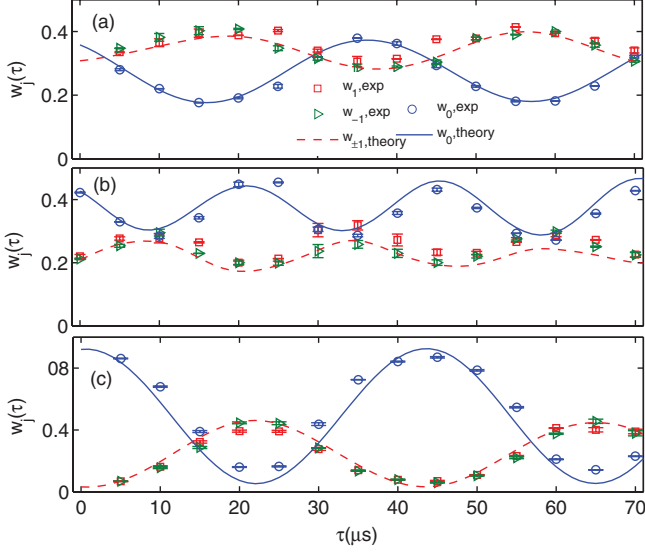


FIG. 3. (Color online) The relative population $N_j(\tau)/N$ [$j = 0, \pm 1$, it is denoted as $w_j(\tau)$ in the figure] for the states with $p_x = 2j\pi/a$. Here $N_j(\tau)/N$ is plotted as a function of the holding time τ for the cases (a) $V_0 = 10E_R, r = 1$, (b) $V_0 = 20E_R, r = 1$, and (c) $V_0 = 10E_R, r = 1/2$. We show the values of $N_0(\tau)/N$ (blue circles with error bar), $N_1(\tau)/N$ (red squares with error bar), and $N_{-1}(\tau)/N$ (green triangles with error bar) measured in our experiments and the ones given by our numerical calculation with Eq. (9) and the state $|\Psi_\tau(V_{0R})\rangle$ [blue solid line for $N_0(\tau)/N$ and red dashed line for $N_{\pm 1}(\tau)/N$], with V_{0R} given by the fitting of the theoretical values of $N_0(\tau)/N$ given by Eq. (9) to the experimental measurements.

and satisfies

$$\frac{N_j(\tau)}{N} = P_j(\tau) \quad (9)$$

with the function $P_j(\tau)$ defined as

$$P_j(\tau) \equiv \left| \sum_n f_{L,n}(V_0) C_{n,j} e^{-i\varepsilon_{n,0}\tau} \right|^2, \quad (10)$$

with $C_{n,j}$ defined in the above subsection. Equations (9) and (10) show that the atom number $N_j(\tau)$ oscillates with τ .

In Fig. 3 we illustrate the values of $N_j(\tau)/N$ ($j = 0, \pm 1$) of the state with $p_x = \pm 2j\pi/a$ given by our experimental measurements. We also fit the theoretical values of $N_0(\tau)/N$ given by Eq. (9) to the experimental results. In our experiments, the values of $\tau_{1,2}$, $\tau'_{1,2}$ and a are controlled well. On the other hand, the relative accuracy of the control of V_0 is more than 90%. A relative error of V_0 , which is in the order of 1%, may appear in our preparation process for each case. Due to this fact, in our calculations we use the experimental values of $\tau_{1,2}$, $\tau'_{1,2}$ and a and take V_0 as a fitting parameter. In Fig. 3 we show the values of $N_j(\tau)/N$ given by our theoretical calculation with Eq. (9) and the lattice depth V_{0R} given by the fitting calculation. It is shown that the theoretical curve fits well with the experimental results. Therefore, in our experiments the atoms are successfully loaded in the state $|\Psi_L(V_{0R})\rangle$. It is pointed out that the theoretical curves of $N_1(\tau)/N$ and $N_{-1}(\tau)/N$ are the same, while the experimental data differ by an amount of 5%. That difference may be caused by the

imperfect alignment of the optical lattice along the long axis of the BEC.

In Fig. 2(b) we display the designed values V_0 and the realistic values V_{0R} of the lattice depth in our experiments for the cases (a)–(c), and the realistic fidelities

$$F_R(V_{0R}) = |\langle \Psi_L(V_{0R}) | \Psi_a(V_{0R}) \rangle|^2 \quad (11)$$

of the preparation processes in our experiments. It is shown that in cases (a) and (b), where the target states are selected to be the single d -band Bloch state $|d, 0; V_0\rangle$, the fidelities $F_R(V_{0R})$ of our experimental preparation processes are as high as 98.2% and 97.3%. In case (c), where the target state is the superposition state $(|s, 0; V_0\rangle + |d, 0; V_0\rangle)/\sqrt{2}$, the fidelity is 99.5%. According to these results, in all of our experiments with various target states and lattice depths, the preparation processes are successfully accomplished within several ten microseconds via our approach.

IV. COLLISIONAL DECAY AND LIFETIME OF BEC IN EXCITED BAND

In above sections, we show our approach to load the BEC to the excited band of an optical lattice. As an application, we study decay process of the ^{87}Rb BEC loaded in the d -band state with zero quasimomentum of the 1D optical lattice. It is well known that the atoms in the excited bands of an optical lattice can decay to the lower bands via interatomic collision, and the lifetime of these atoms is usually determined by this collisional decay.

In this section, we first give an analytical calculation for the collisional decay of the ^{87}Rb BEC in our experiments. We then compare our theoretical result to our experimental measurements. The quantitative agreement between them confirms our analytical result for the collisional decay rate. Our result can be straightforwardly generalized to other systems of weakly interacting BEC in the high-energy bands of an optical lattice.

We consider the ultracold bosonic atoms condensed in the d -band state with zero quasimomentum. When two atoms in the condensate decays to lower bands via collision, they likely become thermal due to the large interband energy gap. In the beginning of the collisional decay, these collisional products are very rare. Thus, we can neglect the scattering between the thermal atoms and the condensed ones and consider only the collision of the atoms in the condensate. Therefore, the decreasing of the density $n_d(t)$ of the ultracold bosonic atoms condensed in the d band can be described by the master equation [16]

$$\frac{dn_d(t)}{dt} = -Kn_d(t)^2. \quad (12)$$

Here the factor K is given by

$$K = 2 \sum_{(n_1, n_2) \neq (d, d)} \sigma(n_1, n_2) v, \quad (13)$$

where $\sigma(n_1, n_2)$ is the cross section of the two-atom inelastic collision, with the i th ($i = 1, 2$) atom in the n_i band after the collision and v is the relative velocity of the two atoms before collision. In Eq. (13) the factor 2 comes from the

bosonic statistics. Solving Eq. (12), we obtain

$$n_d(t) = \frac{n_d(0)}{1 + Kn_d(0)t}, \quad (14)$$

thus, the decay rate of our system can be defined as $\Gamma = Kn_d(0)$.

In the Appendix we calculate the cross section $\sigma(n_1, n_2)$ for the system in our experiment and obtain the result ($\hbar = 1$),

$$\sigma(n_1, n_2)v = \frac{4\pi a_s^2 a^2}{m} \int dq \left[\theta(2\mathcal{E}_{d,0} - \mathcal{E}_{n_1,q} - \mathcal{E}_{n_2,-q}) \times \left| \int_0^a dx u_{n_1,q}^*(x) u_{n_2,-q}^*(x) u_{d,0}^2(x) \right|^2 \right], \quad (15)$$

with m the mass of a single ^{87}Rb atom and a_s the scattering length of two ^{87}Rb atoms. Here a is the lattice constant of the optical lattice, the periodic Bloch function $u_{n,q}(x)$ and the eigenenergy $\mathcal{E}_{n,0}$ of the Hamiltonian H_x in the x direction are defined in Sec. II and Sec. III, respectively. In Eq. (15) the θ function is defined as $\theta(x) = 1$ for $x > 0$ and $\theta(x) = 0$ for $x < 0$.

In our experiment, the collisional decay of the BEC in the excited-band state is observed via the following approach. We first perform the preparation process for the case (a) in the above section and prepare the ^{87}Rb BEC at the state $|\Psi_L(V_0)\rangle = \sum_n f_{L,n}(V_0)|n,0\rangle$. Here the depth V_0 of the optical lattice is $10E_R$ and the coefficients $f_{L,n}(V_0)$ is given by the numerical calculation in Eq. (8), with $\tau_{1,2}$ and $\tau'_{1,2}$ given in Fig. 2(b). We have $|f_d|^2 = 98\%$. We then hold the optical lattice for time τ and measure the number $N_j(\tau)$ ($j = 0, \pm 1$) of atoms in the state $|p_x = 2j\pi/a\rangle$. As shown in Figs. 4(a)–4(c), we do the measurements for the cases with $\tau = \tau_0 + \tau'$ with $\tau_0 = 0, 300 \mu\text{s}, 600 \mu\text{s}, 900 \mu\text{s}$, and $\tau' \in (0, 100 \mu\text{s})$.

Since τ' is much smaller than the characteristic time of the collisional decay in our system, in time evolution of the BEC in the interval $\tau \in (\tau_0, \tau_0 + \tau')$, the effect given by the collisional decay can be neglected. Therefore, we have the relation $N_j^d(\tau)/N = n_d(\tau_0)/n_d(0)P_j(\tau)$, with $j = 0, \pm 1$ and the function $P_j(\tau)$ defined in Eq. (9). Here N is the total number of the atoms in the condensate prepared in our experiment. Namely, when τ is large, N is the summation of the atom number of the remained condensate and the one of the product of the collisional decay. In the above expression $N_j^d(\tau)$ is the number of the atoms with $p_x = 2j\pi/a$ in the remained condensate at time τ . Nevertheless, in our experiments, when τ is large, the atoms in the remained condensate are mixed with some of the thermal atoms produced by the collisional decay, which have the similar momentum with the condensed ones. It is, thus, hard for us to exactly measure $N_j^d(\tau)$ for the cases with large τ . Therefore, the number N_j given by our measurement is actually the summation of the number of condensed atoms and the thermal atoms with $p_x \approx 2j\pi/a$ [17]. Since the thermal atoms without quantum coherence do not attend the Rabi oscillation, we have

$$\frac{N_j(\tau)}{N} \approx \frac{n_d(\tau_0)}{n_d(0)} P_j(\tau) + n_j^t(\tau_0) \quad (16)$$

with $j = 0, \pm 1$ and $n_j^t(\tau_0)$ the density of the thermal atoms with $p_x \approx 2j\pi/a$. It is pointed out that, because $P_j(\tau)$ is an

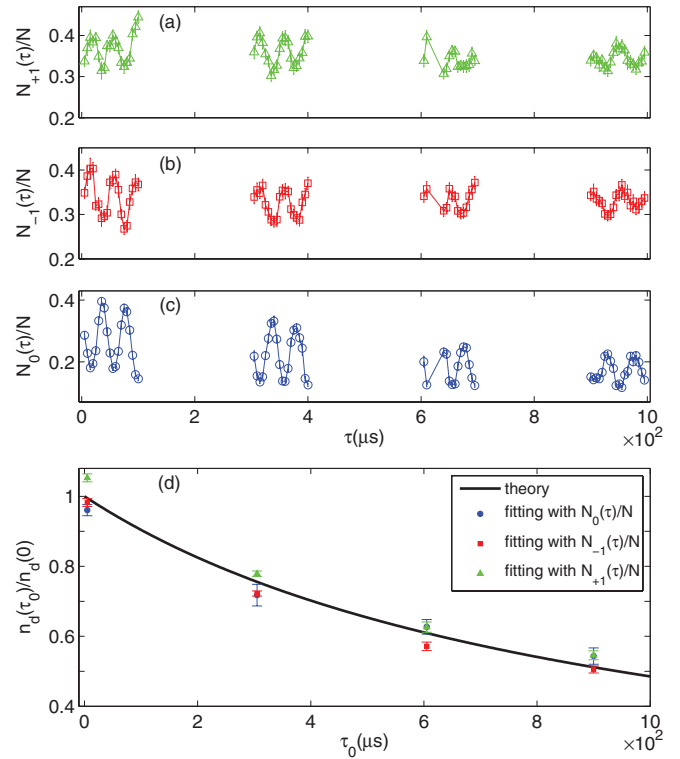


FIG. 4. (Color online) (a)–(c) The fraction $N_j(\tau)/N$ ($j = 0, \pm 1$) given by our experimental measurements. Here $N_j(\tau)$ is the number of the atoms with $p_x \approx 2j\pi/a$, and N is the total atom number in the condensate prepared in our experiment. In our experiments we first perform the preparation process for the case (a) in Sec. III and hold the optical lattice for time τ . (d) The value of $n_d(\tau_0)/n_d(0)$ given by the fitting of Eq. (16) with the experimental measurements of $N_j(\tau)/N$ ($j = 0, \pm 1$, blue dots, green triangles, and red squares with error bars) and the one given by the theoretical calculation with Eq. (14) (black solid line).

oscillating function of τ , the fraction $N_j(\tau)/N$ also oscillates with the time τ . Physically speaking, that is because the quantum coherence is maintained in the remained condensate. As shown in Figs. 4(a)–4(c), such behavior is clearly observed in our measurements.

We fit expression (16) of $N_j(\tau)/N$ with the experimental measurements in each time interval $\tau_0 < \tau < \tau_0 + \tau'$ and take $n_d(\tau_0)/n_d(0)$ and $n_j^t(\tau_0)$ as the fitting parameter. In Fig. 4(d) we compare the value of $n_d(\tau_0)/n_d(0)$ given by such a fitting and the one given by Eq. (14) with the factor K calculated from Eq. (15) and $n_d(0) = 2.39 \times 10^{14} \text{ cm}^{-3}$. Here we approximate $n_d(0)$ to be the average atomic density of the condensate in our magnetic trap without optical lattice. The good agreement between the theoretical and experimental results confirms our analysis of the decay mechanisms and the calculations of scattering amplitude. In particular, *all* of the oscillating amplitudes of the curves $N_{0,\pm 1}(\tau)/N$ given by our measurements quantitatively consist with the condensate fraction $n_d(\tau_0)/n_d(0)$ given by our theoretical calculation. This consistency shows that in our experiment the quantum coherence is successfully maintained in the undecayed condensate and does not exist in the decay products.

V. CONCLUSION

In this paper we present a method for effective preparation of a BEC in excited bands of an optical lattice. With our approach the BEC can be prepared in either a pure Bloch state in the excited band or the superposition of Bloch states in different bands via the sequence of standing-wave laser pulses. We experimentally demonstrate our method by preparing the ^{87}Rb BEC into the d -band state and the superposition of s - and d -band states of a 1D optical lattice within a few tens of microseconds. We further measure the collisional decay process of the d -band BEC prepared in our experiment and analytically derive the collisional-decay rate atoms in the excited-band states. The experimental and theoretical results agree well with each other. Our method and result are helpful for the study of orbital optical lattice and simulation of condensed matter physics.

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APPENDIX: THE CROSS SECTION OF INELASTIC COLLISION BETWEEN d -BAND ATOMS

In this Appendix we calculate the cross section $\sigma(n_1, n_2)$ of the inelastic collision between the two atoms in the d -band state with zero momentum and prove Eq. (15). In the two-atom scattering problem of our system, the total Hamiltonian is given by ($\hbar = 1$)

$$H = -\frac{1}{m} \frac{\partial^2}{\partial y^2} - \frac{1}{m} \frac{\partial^2}{\partial z^2} + \sum_{i=1,2} \left[-\frac{1}{2m} \frac{\partial^2}{\partial x_i^2} + V(x_i) \right] + U(\vec{r}) \equiv H_0 + U(\vec{r}), \quad (\text{A1})$$

with $\vec{r} = (x, y, z)$ the relative position of the two atoms and x_i ($i = 1, 2$) the x coordinate of the i th atom in the x direction. Namely, we have $x = x_1 - x_2$. In Eq. (A1), V is the potential given by the optical lattice in the x direction, and $U(\vec{r})$ is the two-atom interaction potential. In this paper we model the interatomic interaction with the Huang-Yang pseudopotential

$$U(\vec{r}) = \frac{4\pi a_s}{m} \delta(\vec{r}) \frac{\partial}{\partial r} (r \cdot), \quad (\text{A2})$$

where a_s the s -wave scattering length.

Here we calculate the cross section with the approach in Sec. 3-e of Ref. [18]. In Eq. (A1), H_0 is defined as the free Hamiltonian of the two atoms without interaction. The

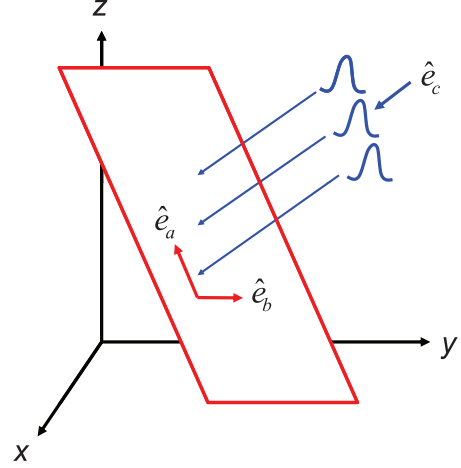


FIG. 5. (Color online) The motion of the wave packets of the two-atom relative coordinate through a two-dimensional plane.

eigenstate of H_0 can be written as

$$|\lambda, n_1, n_2\rangle \equiv \frac{a}{(2\pi)^2} e^{ik_y y} e^{ik_z z} e^{iq_1 x_1} e^{iq_2 x_2} u_{n_1, q_1}(x_1) u_{n_2, q_2}(x_2), \quad (\text{A3})$$

with $k_{y(z)}$ the two-atom relative momentum in the y (z) direction and a the lattice constant of the optical lattice. Here q_i and n_i ($i = 1, 2$) are the quasimomentum and the quantum number for the energy band of the i th atom, respectively. As shown in the main text, $u_{n, q}(x)$ is the periodic Bloch function of the n band with quasimomentum q . We further define

$$\lambda = (k_y, k_z, q_1, q_2) \quad (\text{A4})$$

as the set of all the four quantum numbers. It is easy to prove that

$$H_0 |\lambda, n_1, n_2\rangle = \left(\frac{k_y^2 + k_z^2}{m} + \mathcal{E}_{n_1, q_1} + \mathcal{E}_{n_2, q_2} \right) |\lambda, n_1, n_2\rangle \equiv E_{\lambda, n_1, n_2} |\lambda, n_1, n_2\rangle, \quad (\text{A5})$$

where $\mathcal{E}_{n, q}$ is the single-atom energy associated to the n -band state with quasimomentum q and satisfies

$$\left[-\frac{1}{2m} \frac{\partial^2}{\partial x^2} + V(x) \right] [e^{iqx} u_{n, q}(x)] = \mathcal{E}_{n, q} e^{iqx} u_{n, q}(x). \quad (\text{A6})$$

We now calculate the cross section of the collision of two atoms in the d band with zero quasimomentum. According to the standard scattering theory, the cross section is defined with respect to a two-dimensional plane. Here we assume the plane is spanned by the vectors \hat{e}_a and \hat{e}_b . They satisfy $\hat{e}_a \cdot \hat{e}_b = 0$, and the x component of $\hat{e}_{a(b)}$ is equal to a (Fig. 5). To define the cross section, we should consider the incident wave packets

$$|\Psi_{(\kappa_a, \kappa_b)}\rangle = \int dk_y dk_z dq_1 dq_2 e^{-i(\kappa_a \hat{e}_a + \kappa_b \hat{e}_b) \cdot \vec{K}} \phi(\lambda) |\lambda, d, d\rangle, \quad (\text{A7})$$

where κ_a, κ_b are two integers and $\lambda = (k_y, k_z, q_1, q_2)$ is the set of all the four quantum numbers. Here $\phi(\lambda) \equiv \phi(k_y, k_z, q_1, q_2)$ is a normalized wave packet which sharply peaks at the point $k_y = k_{y0}$, $k_z = k_{z0}$, $q_1 = q_{10}$, $q_2 = q_{20}$, and the vector \vec{K} is

defined as $\vec{K} \equiv [(q_2 - q_1)/2, k_y, k_z]$. With these assumptions, it is easy to prove that the average two-atom relative position given by the wave function $|\Psi_{(\kappa_a, \kappa_b)}\rangle$ is distributed in the two-dimensional plane spanned by \hat{e}_a and \hat{e}_b (Fig. 5).

According to the scattering theory, the cross section $\sigma(n_1, n_2)$ is defined as

$$\sigma(n_1, n_2) = |\hat{e}_a||\hat{e}_b| \sum_{\kappa_a, \kappa_b} \int dk'_y dk'_z dq'_1 dq'_2 \times |\langle \lambda', n_1, n_2 | (S - 1) | \Psi_{(\kappa_a, \kappa_b)} \rangle|^2, \quad (\text{A8})$$

where $\lambda' = (k'_y, k'_z, q'_1, q'_2)$ and S is the S operator with respect to the scattering process and satisfies

$$\begin{aligned} \langle \lambda', n_1, n_2 | S | \lambda, d, d \rangle &= \langle \lambda', n_1, n_2 | \lambda, d, d \rangle \\ &\quad - 2\pi i \delta(E_{\lambda, d, d} - E_{\lambda', n_1, n_2}) \\ &\quad \times \langle \lambda', n_1, n_2 | T | \lambda, d, d \rangle, \end{aligned} \quad (\text{A9})$$

with T the associated T operator.

Therefore, to obtain the scattering cross section $\sigma(n_1, n_2)$, we should, first, calculate the T -matrix element $\langle \lambda', n_1, n_2 | T | \lambda, d, d \rangle$. In our experiments, since the scattering length of ^{87}Rb atoms is much smaller than the atomic de Broglie wavelength and the lattice constant a of the optical lattice, we can use the Born approximation

$$T \approx U(\vec{r}). \quad (\text{A10})$$

Using the Huang-Yang pseudopotential in Eq. (A2), we obtain

$$\langle \lambda', n_1, n_2 | T | \lambda, d, d \rangle = \frac{a^2 a_s}{4m\pi^2} A \quad (\text{A11})$$

with the parameter A defined as

$$\begin{aligned} A &\equiv \int dx_1 dx_2 u_{n_1, q'_1}^*(x_1) u_{n_2, q'_2}^*(x_2) \\ &\quad \times \delta(x_1 - x_2) u_{d, q_1}(x_1) u_{d, q_2}(x_2). \end{aligned} \quad (\text{A12})$$

To obtain the value of A , we first assume the length of the optical lattice in the x direction is $N_x a$. The direct calculation then gives

$$A = N_x \Gamma(q_1, q_2, q'_1, q'_2) \delta_{q_1+q_2, q'_1+q'_2}, \quad (\text{A13})$$

$$\begin{aligned} \sigma(n_1, n_2) &= \frac{4\pi a_s^2 a^2}{m} \int dq dk_y dk_z dq_1 dq_2 \frac{|\phi(\lambda)|^2}{|\partial E_{\lambda, d, d} / \partial k_{\parallel}|} \theta(\mathcal{E}_{d, q_1} + \mathcal{E}_{d, q_2} - \mathcal{E}_{n_1, (q_1+q_2)/2+q} - \mathcal{E}_{n_2, (q_1+q_2)/2-q}) \\ &\quad \times |\Gamma(q_1, q_2, (q_1 + q_2)/2 + q, (q_1 + q_2)/2 - q)|^2, \end{aligned} \quad (\text{A19})$$

where k_{\parallel} is defined as $k_{\parallel} = (q_2 - q_1, k_y, k_z) \cdot \hat{e}_c$. Here \hat{e}_c is the unit vector perpendicular to the plane spanned by \hat{e}_a and \hat{e}_b , i.e., we have $|\hat{e}_c| = 1$ and $\hat{e}_c \cdot \hat{e}_a = \hat{e}_c \cdot \hat{e}_b = 0$. In Eq. (A19) the derivative $\partial E_{\lambda_0, d, d} / \partial k_{\parallel}$ is taken for fixed values of $q_{20} + q_{10}$ and $(q_2 - q_1, k_y, k_z) \cdot \hat{e}_a$ and $(q_2 - q_1, k_y, k_z) \cdot \hat{e}_b$. To obtain Eq. (A19), we have used the relation

$$\sum_{\kappa_a, \kappa_b} e^{-i(\kappa_a \hat{e}_a + \kappa_b \hat{e}_b) \cdot \vec{J}} = \frac{4\pi^2}{|\hat{e}_a||\hat{e}_b|} \delta\left(\vec{J} \cdot \frac{\hat{e}_a}{|\hat{e}_a|}\right) \delta\left(\vec{J} \cdot \frac{\hat{e}_b}{|\hat{e}_b|}\right), \quad (\text{A20})$$

where the function $\Gamma(q_1, q_2, q'_1, q'_2)$ is defined as

$$\begin{aligned} \Gamma(q_1, q_2, q'_1, q'_2) &= \int_0^a dx u_{n_1, q'_1}^*(x) u_{n_2, q'_2}^*(x) u_{d, q_1}(x) u_{d, q_2}(x). \end{aligned} \quad (\text{A14})$$

Here the Kronecker symbol is defined as $\delta_{ij} = 1$ for $i = j$ and $\delta_{ij} = 0$ for $i \neq j$. Therefore, for a slow-varying function $f(q_1, q_2)$, we have

$$\begin{aligned} &\left(\frac{2\pi}{N_x a}\right)^2 \sum_{q_1, q_2} A f(q_1, q_2) \\ &= \frac{1}{N_x} \left(\frac{2\pi}{a}\right)^2 \sum_{q_1} \Gamma(q_1, q'_1 + q'_2 - q_1, q'_1, q'_2) \\ &\quad \times f(q_1, q'_1 + q'_2 - q_1) \\ &= \frac{2\pi}{a} \int dq_1 \Gamma(q_1, q'_1 + q'_2 - q_1, q'_1, q'_2) f(q_1, q'_1 + q'_2 - q_1) \\ &= \frac{2\pi}{a} \int dq_1 dq_2 \Gamma(q_1, q_2, q'_1, q'_2) f(q_1, q_2) \\ &\quad \times \delta[(q'_1 + q'_2) - (q_1 + q_2)]. \end{aligned} \quad (\text{A15})$$

Here we have used the relation

$$\left(\frac{2\pi}{N_x a}\right) \sum_{q_1} = \int dq_1, \quad (\text{A16})$$

which is applicable in the limit $N_x \rightarrow \infty$. The result in Eq. (A15) implies

$$A = \frac{2\pi}{a} \Gamma(q_1, q_2, q'_1, q'_2) \delta[(q'_1 + q'_2) - (q_1 + q_2)]. \quad (\text{A17})$$

Substituting Eq. (A17) into Eq. (A11), we finally obtain the element of T matrix

$$\begin{aligned} \langle \lambda', n_1, n_2 | T | \lambda, d, d \rangle &= \frac{aa_s}{2m\pi^2} \Gamma(q_1, q_2, q'_1, q'_2) \\ &\quad \times \delta[(q'_1 + q'_2) - (q_1 + q_2)]. \end{aligned} \quad (\text{A18})$$

Substituting Eq. (A18) into Eqs. (A7)–(A9), we can obtain the scattering cross section $\sigma(n_1, n_2)$. The straightforward calculation gives

with \vec{J} a vector in the three-dimensional space. Moreover, using the fact that $|\phi(\lambda)|$ is sharply peaked at $\lambda = \lambda_0$ and the relations

$$\int dk_y dk_z dq_1 dq_2 |\phi(\lambda)|^2 = 1, \quad (\text{A21})$$

$$q_{10} \approx 0, \quad q_{20} \approx 0, \quad k_{y0} \approx 0, \quad k_{z0} \approx 0, \quad (\text{A22})$$

we can further simplify Eq. (A19) and obtain the final expression for the cross section of inelastic collisions between

two d -band atoms with zero quasimomentum:

$$\sigma(n_1, n_2) = \frac{4\pi a_s^2 d^2}{m|\partial E_{\lambda, d, d}/\partial k_{\parallel}|_{\lambda=\lambda_0}} \int dq [\theta(2\mathcal{E}_{d,0} - \mathcal{E}_{n_1, q} - \mathcal{E}_{n_2, -q}) |\Gamma(0, 0, q, -q)|^2]. \quad (\text{A23})$$

Now we prove Eq. (15). To this end, we need to calculate the component v of the two-atom relative velocity along the direction which is perpendicular to the plane spanned by \hat{e}_a and \hat{e}_b . It is apparent that v is defined as

$$v = \left| \langle \Psi_{(\kappa_a, \kappa_b)} | -i \frac{\vec{\nabla}_r}{m} | \Psi_{(\kappa_a, \kappa_b)} \rangle \cdot \hat{e}_c \right|, \quad (\text{A24})$$

where $\vec{\nabla}_r = (\partial/\partial x, \partial/\partial y, \partial/\partial z)$, and the derivative is taken for fixed values of $x_1 + x_2$. With the expression (A7) of $|\Psi_{(\kappa_a, \kappa_b)}\rangle$, it is easy to see that v is independent on the values of κ_a

and κ_b . To calculate the value of v , we need the expressions of the periodic Bloch function $u_{d,q}(x)$ and the single-atom energy $\mathcal{E}_{n,q}$. We find that Eq. (A6) for $u_{d,q}(x)$ and $\mathcal{E}_{n,q}$ can be simplified to

$$\left[-\frac{1}{2m} \frac{\partial^2}{\partial x^2} + V(x) + h_1 \right] u_{n,q}(x) = \mathcal{E}_{n,q} u_{n,q}(x) \quad (\text{A25})$$

with $h_1 = -i(q/m)(\partial/\partial x)$. Since the $|\phi(\lambda)|$ is sharply peaked at $(q_1, q_2) = (q_{10}, q_{20}) \approx (0, 0)$, we can treat the term h_1 in the above equation as a perturbation. The second-order perturbation calculation gives the result

$$v = |\partial E_{\lambda, d, d}/\partial k_{\parallel}|_{\lambda=\lambda_0}. \quad (\text{A26})$$

Using Eq. (A23) and Eq. (A26), we immediately obtain the result in Eq. (15).

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