

# Multiconfiguration time-dependent Hartree-Fock treatment of electronic and nuclear dynamics in diatomic molecules

D. J. Haxton,<sup>1</sup> K. V. Lawler,<sup>1</sup> and C. W. McCurdy<sup>1,2</sup><sup>1</sup>*Chemical Sciences and Ultrafast X-ray Science Laboratory, Lawrence Berkeley National Laboratory, Berkeley, California, 94720, USA*<sup>2</sup>*Departments of Applied Science and Chemistry, Davis, California, 95616, USA*

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The multiconfiguration time-dependent Hartree-Fock (MCTDHF) method is formulated for treating the coupled electronic and nuclear dynamics of diatomic molecules without the Born-Oppenheimer approximation. The method treats the full dimensionality of the electronic motion, uses no model interactions, and is in principle capable of an exact nonrelativistic description of diatomics in electromagnetic fields. An expansion of the wave function in terms of configurations of orbitals whose dependence on internuclear distance is only that provided by the underlying prolate spheroidal coordinate system is demonstrated to provide the key simplifications of the working equations that allow their practical solution. Photoionization cross sections are also computed from the MCTDHF wave function in calculations using short pulses.

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## I. INTRODUCTION

New sources of short radiation pulses, in particular high-harmonic generation [1,2] and free-electron lasers [3], promise to enable a new generation of pump-probe experiments on molecules in which the central frequencies of both pulses are in the ultraviolet or x-ray spectrum. The short time scales that have recently become practical for these measurements extend to subfemtosecond pulses with delays between them on the order of femtoseconds or tens of femtoseconds. This generation of experiments can also involve probe pulses which ionize or dissociate the target molecule and thus add the dimension of the time-resolved measurement of the electron and molecular fragment energy distributions. To accurately interpret and describe the results of these experiments, *ab initio* methods must be developed to treat highly electronically excited and strongly nonadiabatic molecular dynamics. Coincidence experiments [4], for instance, demand the capability to describe multiple ionization and dissociation, and nonlinear effects [5,6] may entail the excitation of multiple electrons. In general, most of these phenomena currently remain beyond the reach of accurate *ab initio* theoretical descriptions.

However, significant headway has been made. Approaches employing classical trajectories on coupled Born-Oppenheimer surfaces [7,8] are well suited for dynamics on low-lying excited bound electronic states, and have been applied to molecules as large as DNA bases [9]. With an approximate treatment of the coupling to the ionization continuum, such trajectory methods may describe time-resolved photoelectron signals in pump-probe experiments [8,9]; such a treatment also permitted the study of the quantum nuclear dynamics of Auger decay [10].

While these methods have already shown great progress in describing a range of non-Born-Oppenheimer and excited-state effects, their utility is greatest for situations in which ionization may be treated approximately and in which the interacting electronic states may be explicitly identified. Several other approaches avoid the use of Born-Oppenheimer states altogether and show promise for treating highly electronically excited or nonadiabatic electronic and nuclear dynamics [11,12]. In a similar context, ionization has been included in a

variational treatment that explicitly includes electron-nuclear correlation [13] and has also been treated with coupled electronic and semiclassical nuclear wave packets [14].

The multiconfiguration time-dependent Hartree-Fock (MCTDHF) approach would seem to be a natural and widely applicable starting point to the electronic part of this problem, because it is capable in principle of exactly describing the dynamics of many-electron motion. There is considerable literature on this subject already, including analysis of the formulation of MCTDHF and its application to small or model systems [15–23], and also attacks on the combination of electronic and nuclear motion [24]. On the basis of this literature, the fundamental idea can be said to be well established that a time-dependent linear combination of determinants of time-dependent orbitals should be flexible enough to describe the electronic response of a molecule to short intense pulses in any part of the spectrum.

Similar ideas in a different context have underpinned the development of the multiconfiguration time-dependent Hartree (MCTDH) method [25–30], which has had considerable success in treating problems of nuclear dynamics, including vibronic coupling and reactive scattering. However, by comparison, the MCTDHF method for electrons has still not delivered its full potential, particularly in the presence of ionizing fields or including nuclear motion. The reason appears to lie in several serious technical barriers to its implementation and general application:

- (1) Electronic and nuclear motions are strongly correlated; the cusps in the electronic wave function at the positions of the nuclei must be accurately represented for all geometries, and the basis set error in the electronic part of the calculation must not depend strongly on nuclear coordinates.
- (2) The evaluation of the two-electron integrals over the time-dependent orbitals must be numerically efficient, otherwise it will dominate the computational time required.
- (3) The ionization continuum must be properly treated within the MCTDHF description if it is relevant to the problem at hand.
- (4) The nonlinear, unitary, stiff differential equation involving orbitals and configuration coefficients must be efficiently numerically integrated.

Here we address all these difficulties for the case of diatomic molecules. The key to overcoming the first three is the use of the finite-element method (FEM) and discrete variable representation (DVR) in prolate spheroidal coordinates. The electronic basis is a set of piecewise interpolating polynomials with cusps at the nuclei, parametrically dependent upon the bond distance. Such an atom-centered, parametrized basis has been used before [13], but the choice of prolate spheroidal DVR has several important advantages.

(1) Orbitals defined in these coordinates are orthogonal for all values of the internuclear distance  $R$ , and therefore a single set of orbitals may be used for all nuclear geometries, radically reducing numerical effort. The prolate spheroidal DVR basis allows for a sparse representation of the primitive two-electron integrals and their rapid contraction into orbital matrix elements, and it leads to rapid convergence of both bound and continuum wave functions, as we have found in previous fixed-nuclei calculations on single and double photoionization of  $H_2$  [31–33].

(2) The basis enables rigorous inclusion of the ionization continuum via exterior complex scaling (ECS) [34]. The implementation of the ECS formalism with the FEM DVR approach is well established as a formally sound and computationally efficient treatment of both photoionization and electron-impact ionization [35], because it imposes correct outgoing scattering boundary conditions for both single and double ionization [31–33,36–40].

(3) Finally, inclusion of nuclear motion in the case of diatomics, without the necessity of the Born-Oppenheimer approximation, is also greatly simplified by the prolate spheroidal FEM DVR basis. We employ a good approximation to the exact nonrelativistic molecular Hamiltonian including the interaction with the radiation field, omitting only the Coriolis coupling and mass polarization terms.

Thus, the prolate spheroidal DVR basis is ideally suited for the study of time-dependent excited-state dynamics of diatomic molecules. Its matrix elements appear in a nonlinear differential equation of potentially large dimension, however; and the integration of this equation is a formidable challenge in its own right. Several different methods of integrating the MCTDHF equations have been described, and we have found an efficient and stable generalization of the method of Ref. [27].

The choice of a single set of electronic orbitals with parametric dependence on  $R$  that is used in this approach might appear to be, from the traditional perspective of the Born-Oppenheimer approximation, an unnatural starting point, and raises questions regarding the convergence of the wave function for coupled electronic and nuclear motion with respect to the numbers of configurations included, which we must address. However, this choice also offers important advantages, simplifying the working equations in a critical way and allowing the slow, nuclear degree of freedom to be distributed across supercomputer processors.

The outline of this paper is as follows. In Sec. II, we first review the working formalism for the MCTDHF approach without nuclear motion. In Sec. III we describe the formulation of the diatomic problem in prolate spheroidal coordinates, and the form of the Hamiltonian appropriate to those coordinates when the underlying electronic basis has a

parametric dependence on internuclear distance. Sections IV and V describe the inclusion of nuclear motion using a DVR basis in the nuclear coordinate  $R$  in combination with the MCTDHF treatment of electronic motion. We then discuss the application of the ECS transformation to treat ionization within the MCTDHF framework in Sec. VI. The remaining sections discuss computational details and some preliminary results for both bound and continuum electronic motion. We use atomic units throughout.

## II. MCTDHF FORMALISM FOR FIXED NUCLEI

The MCTDHF working equations have been formulated previously [15–17,19,22,23], and we will give here only a brief description of the working equations in order to establish the starting point for the inclusion of nuclear motion using this approach, and to indicate how exterior complex scaling of the electronic coordinates is implemented in this context to treat ionization. The MCTDHF approach begins with an expansion of the electronic wave function in antisymmetrized products (determinants) of time-dependent spin orbitals

$$|\Psi(t)\rangle = \sum_a A_a(t) |\vec{n}_a(t)\rangle, \quad (1)$$

in which each antisymmetrized product of  $N$  spin orbitals is specified by the vector  $\vec{n}_a$  and is defined by

$$|\vec{n}_a(t)\rangle = \mathcal{A}(|\phi_{n_{a1}}(t)\rangle \times \cdots \times |\phi_{n_{aN}}(t)\rangle). \quad (2)$$

We use spin restricted orbitals which are product functions of the space and spin coordinates  $\vec{q}_i = \{\vec{r}_i, \Xi_i\}$  of a single electron,

$$\langle \vec{q} | \phi_n(t) \rangle = \phi_{\alpha_n}(\vec{r}) \Omega_{ms_n}(\Xi), \quad (3)$$

where  $\Omega$  is a spinor with spin projection  $ms = \pm 1$ :  $\Omega_{\frac{1}{2}} = \alpha$  and  $\Omega_{-\frac{1}{2}} = \beta$ . Alternatively, in second quantization we can write

$$|\phi_n(t)\rangle = a_{\alpha_n ms_n}^\dagger(t) |0\rangle \quad (4)$$

in terms of the creation operator  $a^\dagger$  for spin orbitals. The space part of each spin orbital is expanded in a set of time-independent DVR basis functions,  $f_j$ , which we will specify in Sec. III below,

$$\phi_\alpha(\vec{r}, t) = \sum_j c_j^\alpha(t) f_j(\vec{r}), \quad (5)$$

so each spatial orbital is associated with a time-dependent coefficient vector  $\vec{c}_\alpha(t)$ ; the vector of all  $\vec{c}_\alpha$  is denoted  $\vec{c}$ .

The MCTDHF equations are based on the application of the Dirac-Frenkel variational principle for the time-dependent Schrödinger equation to the trial function in Eq. (1),

$$\langle \delta\Psi(t) | \hat{H} - i \frac{\partial}{\partial t} | \Psi(t) \rangle - \sum_{\alpha \leq \beta} \lambda_{\alpha\beta} (\langle \delta\phi_\alpha | \phi_\beta \rangle - \delta_{\alpha\beta}) = 0, \quad (6)$$

where we include the constraint that the orbitals remain orthonormal,  $\langle \phi_\alpha | \phi_\beta \rangle - \delta_{\alpha\beta} = 0$ , along with the corresponding Lagrange multipliers  $\lambda_{\alpha\beta}$ . The variations in Eq. (6) are variations in the coefficients  $A_{\vec{n}}$  and  $\vec{c}_\alpha$ .

In this work we employ a full configuration-interaction (CI) representation of the wave function in Eq. (1), although further

application of the method could entail restricted CI wave functions, such as the “complete active space” approach, which are standard in modern quantum chemistry. An important point is that in the full CI case addressed here the solution of Eq. (6) yields  $\lambda_{\alpha\beta} = 0$ . The wave function is invariant with respect to rotations among the orbitals, which may be compensated for by rotations among the  $A$  coefficients. The solution of Eq. (6) is therefore not uniquely defined, and unique time propagation requires an additional constraint besides orthogonality of the orbitals. The simplest constraint is to set the time-derivative matrix  $g$  in the orbital basis to zero,

$$g_{\alpha\beta} = \langle \phi_\alpha | i \frac{\partial}{\partial t} | \phi_\beta \rangle = 0. \quad (7)$$

For the orbitals, one obtains the equation of motion

$$i \frac{\partial}{\partial t} \vec{c}_\alpha = (\mathbf{1} - \mathbf{P}) \sum_\beta \left[ \mathbf{h}^{(1)} \delta_{\alpha\beta} + \sum_\gamma \rho_{\alpha\gamma}^{-1} \tilde{\mathbf{W}}^{\gamma\beta} \right] \vec{c}_\beta, \quad (8)$$

where the projector  $\mathbf{P}$  is the matrix representation of the projection operator,  $\hat{P} = \sum_\alpha |\phi_\alpha(t)\rangle \langle \phi_\alpha(t)|$ , onto the space spanned by the orbitals at time  $t$ ,

$$\mathbf{P}_{j,j'} = \sum_\alpha c_j^\alpha(t) c_{j'}^{\alpha*}(t), \quad (9)$$

so that  $\mathbf{1} - \mathbf{P}$  projects this equation onto the space orthogonal to that spanned by the orbitals. Our convention is that boldface symbols are matrices in either the orbital ( $\vec{c}$ ) or configuration ( $\vec{A}$ ) basis, and  $\mathbf{O}\vec{v}$  stands for matrix multiplication. In Eq. (8),  $\rho_{\alpha\gamma}$  is the reduced one-electron density matrix for the wave function in Eq. (1),

$$\rho_{\alpha\beta} = \sum_{abms} A_a^* A_b \langle \vec{n}_a | a_{\alpha ms}^\dagger a_{\beta ms} | \vec{n}_b \rangle, \quad (10)$$

and  $\mathbf{h}^{(1)}$  is the matrix of one-body operators in the Hamiltonian with respect to the underlying time-independent basis in Eq. (5). All quantities in Eq. (8) are time-dependent except for the identity and  $\mathbf{h}^{(1)}$  matrices (in the absence of an external time-dependent field). The reduced two-electron operator  $\tilde{\mathbf{W}}$  is defined [15,16] in terms of the reduced two-particle density matrix,  $\Gamma_{\gamma\sigma\alpha l}$  and the electron repulsion,  $\mathbf{W}_{sl}$ , expressed as its matrix representation in  $\mathbf{r}_1$  in the underlying time-independent basis,

$$\tilde{\mathbf{W}}^{\gamma\beta} = \frac{1}{R} \sum_{sl} \Gamma_{\gamma\sigma\alpha l} \mathbf{W}_{sl}(t), \quad (11)$$

$$\mathbf{W}_{sl}(t) = \int \phi_s^*(\vec{r}_2, t) \frac{R}{|\vec{r}_1 - \vec{r}_2|} \phi_l(\vec{r}_2, t) d\vec{r}_2. \quad (12)$$

In Eq. (12) the electron repulsion operator appears as  $R/|\vec{r}_1 - \vec{r}_2|$  because its matrix elements then have no  $R$  dependence in the underlying prolate spheroidal DVR, and therefore also in the orbital basis. That fact significantly simplifies the implementation of the MCTDHF equations in these coordinates.

The time derivative of the orbital coefficients being specified, one then obtains the equations of motion for the  $A$  coefficients:

$$i \frac{\partial}{\partial t} \vec{A} = \mathbf{H} \vec{A}, \quad \mathbf{H}_{a,a'} = \langle \vec{n}_a | H | \vec{n}_{a'} \rangle, \quad (13)$$

where  $\mathbf{H}$  is the matrix of the Hamiltonian in the configuration basis, consistent with Eq. (7).

For Hermitian Hamiltonians, the MCTDHF equations conserve the norm and the expectation value of the energy [26]. We next turn to the specification of the underlying basis in Eq. (5) in prolate spheroidal coordinates and the implementation of ECS in that basis for the treatment of ionization during the propagation of Eqs. (13) and (8).

### III. FIXED-NUCLEI HAMILTONIAN AND WAVE FUNCTION

#### A. Hamiltonian

Prolate spheroidal coordinates were used in early quantum chemical calculations on diatomic molecules, because analytic basis sets, such as Slater-type orbitals, in those coordinates could exactly satisfy the cusp conditions on the two nuclei, and because their scaling properties with internuclear distance offered additional computational advantages [41,42]. We use them here for some of the same reasons, but also because the implementation of the FEM DVR approach in these coordinates dramatically simplifies the calculation of the two-electron integrals.

If the nuclei of our diatomic molecule are at  $\vec{R}_A$  and  $\vec{R}_B$ , we can define prolate spheroidal coordinates  $(\xi, \eta, \varphi)$  for each electron in the usual way by rotating a two-dimensional elliptical coordinate system  $(\xi, \eta)$  about the focal axis of the ellipse,

$$\begin{aligned} \xi &= \frac{|\vec{r} - \vec{R}_A| + |\vec{r} - \vec{R}_B|}{R} \quad (1 \leq \xi \leq \infty), \\ \eta &= \frac{|\vec{r} - \vec{R}_A| - |\vec{r} - \vec{R}_B|}{R} \quad (-1 \leq \eta \leq 1), \end{aligned} \quad (14)$$

and the remaining coordinate  $\varphi$  ( $0 \leq \varphi \leq 2\pi$ ) is the azimuthal angle. The one-body operators in our Hamiltonian in these coordinates are specified by the Laplacian,

$$\begin{aligned} \nabla^2 &= \frac{4}{R^2(\xi^2 - \eta^2)} \left[ \frac{\partial}{\partial \xi_i} (\xi^2 - 1) \frac{\partial}{\partial \xi} + \frac{\partial}{\partial \eta} (1 - \eta^2) \frac{\partial}{\partial \eta} \right. \\ &\quad \left. + \left( \frac{1}{(\xi^2 - 1)} + \frac{1}{(1 - \eta^2)} \right) \frac{\partial^2}{\partial \varphi^2} \right], \end{aligned} \quad (15)$$

and the electron-nuclear attraction

$$-\frac{Z_A}{|\vec{r} - \vec{R}_A|} - \frac{Z_B}{|\vec{r} - \vec{R}_B|} = \frac{-2(Z_A + Z_B)\xi + 2(Z_A - Z_B)\eta}{R(\xi^2 - \eta^2)}, \quad (16)$$

while the one-electron volume element is

$$dV = (R/2)^3 (\xi^2 - \eta^2) d\xi d\eta d\varphi. \quad (17)$$

Thus, for an  $N$ -electron problem, a factor of  $R^{3N}$  appears in the volume element for integration over the electronic coordinates. We eliminate that factor in a fixed-nuclei calculation by solving for  $R^{3N/2}$  times the electronic wave function. In Sec. V when we consider nuclear motion we will solve for  $R^{3N/2+1}$  times the total wave function to further simplify the form of the nuclear kinetic energy operator.

### B. Wave function

We make use of an FEM DVR basis in both  $\xi$  and  $\eta$  for each electron, and our primitive basis functions are products of those DVR functions with a factor describing the  $\phi$  motion with a particular angular momentum projection,  $\mathcal{M}$ , along the molecular axis. As we have noted in previous studies [32,33] on  $\text{H}_2$ , the specification of the FEM DVR basis depends on whether  $\mathcal{M}$  is even or odd, in order to properly represent the analytic dependence of the wave function as  $\xi$  or  $\eta$  approach the singularity at  $\pm 1$ . Since we are constructing a DVR in each finite element, we use Gauss-Radau quadrature on the element in  $\xi$  beginning at  $\xi = 1$ , and Gauss-Lobatto quadrature for the others. We use Gauss-Legendre quadrature to define the DVR in  $\eta$ . So we define the basic DVR interpolating functions in terms of the quadrature points and weights by (with  $x = \eta$  or  $\xi$ )

$$\chi_i(x) = \frac{1}{\sqrt{w_i}} \prod_{j \neq i}^N \frac{x - x_j}{x_i - x_j} \times \begin{cases} 1 & \text{even } \mathcal{M} \\ \sqrt{\frac{x^2-1}{x_i^2-1}} & \text{odd } \mathcal{M} \end{cases}. \quad (18)$$

We define the one-electron primitive FEM DVR functions according to

$$f_{ia}^{\mathcal{M}} = \sqrt{\frac{8}{\xi_a^2 - \eta_i^2}} \chi_i(\eta) \chi_a(\xi) \frac{e^{i\mathcal{M}\phi}}{\sqrt{2\pi}}. \quad (19)$$

Note that if we use the underlying quadrature to calculate the overlaps, because for fixed nuclei we solve for  $R^{3N/2}$  times the wave function, these functions are orthonormal with respect to the volume element  $\frac{1}{8}(\xi^2 - \eta^2)d\xi d\eta d\phi$ .

We have discussed the simplifications of the integrals of  $1/r_{12}$  in a similar basis before [33], in which we used spherical harmonics in the variables  $\eta$  and  $\phi$  instead of the DVR in  $\eta$  we are using here. In this basis the simplifications are even more powerful. By making use of the Neumann expansion of  $1/r_{12}$  in prolate spheroidal coordinates, and solving Poisson's equation in  $\xi$  in the FEM DVR basis followed by using the Gauss quadrature in  $\eta$  we arrive ultimately at expressions for the two-electron integrals of the general form

$$\begin{aligned} & \langle f_{ia}^{\mathcal{M}_1}(\vec{r}_1) f_{jb}^{\mathcal{M}_2}(\vec{r}_2) | \frac{1}{r_{12}} | f_{i'a'}^{\mathcal{M}_3}(\vec{r}_1) f_{j'b'}^{\mathcal{M}_4}(\vec{r}_2) \rangle \\ & = \delta_{i,i'} \delta_{j,j'} \delta_{a,a'} \delta_{b,b'} F_{i,j,a,b}^m, \end{aligned} \quad (20)$$

where in addition we have the requirement that  $m = \mathcal{M}_3 - \mathcal{M}_1 = \mathcal{M}_2 - \mathcal{M}_4$ . We give the explicit formula for these and other matrix elements in Appendices A and B. We can see therefore that the two-electron integrals in the FEM DVR basis are diagonal in the indices corresponding to both  $\xi$  and  $\eta$ . This is an immense simplification over the use of analytic basis functions, like Gaussians, and dramatically reduces the effort in transforming the two-electron integrals from the FEM DVR basis to the time-dependent orbital basis, and thereby simplifies and speeds up both the construction of the two-electron portion of the reduced Hamiltonian in Eq. (12) and its operation in Eq. (8).

## IV. HAMILTONIAN AND WAVE FUNCTION FOR NUCLEAR MOTION

### A. Hamiltonian

When we include nuclear motion, we must account for the fact that this FEM DVR basis is  $R$  dependent through the dependence of the electronic coordinates on internuclear distance. The DVR quadrature points that define the basis in Eq. (19) move with  $R$ . As has been discussed before—for example, by Esry and Sadeghpour [43]—in a basis of functions of prolate spheroidal coordinates, the derivatives with respect to  $R$  in the Hamiltonian must be calculated holding the Cartesian coordinates,  $x$ ,  $y$ , and  $z$  of the electrons fixed instead of holding  $\xi$ ,  $\eta$ , and  $\phi$  fixed. The relation between those derivatives is

$$\left( \frac{\partial}{\partial R} \right)_{xyz} = \left( \frac{\partial}{\partial R} \right)_{\xi\eta\phi} - \sum_{i=1}^N \frac{1}{R} \hat{Y}_i, \quad (21)$$

where the sum is over electrons, and the operator  $\hat{Y}$  is

$$\begin{aligned} \hat{Y} = & \frac{1}{\xi^2 - \eta^2} \left( (\xi + \alpha\eta)(\xi^2 - 1) \frac{\partial}{\partial \xi} \right. \\ & \left. + (\eta + \alpha\xi)(1 - \eta^2) \frac{\partial}{\partial \eta} \right), \end{aligned} \quad (22)$$

with  $\alpha = (M_A - M_B)/(M_A + M_B)$  being the mass asymmetry parameter. We must use these relations when constructing the second derivative term,  $(\partial^2/\partial R^2)_{x,y,z}$ , in the nuclear kinetic energy. When including nuclear motion, we calculate  $R^{3N/2+1}$  times the wave function, and so the radial part of the nuclear kinetic energy operator then becomes

$$\begin{aligned} K_R = & -\frac{1}{2\mu_R} \left\{ \left( \frac{\partial^2}{\partial R^2} \right)_{\xi\eta\phi} + \frac{1}{R^2} \left( \sum_i \hat{Y}_i + \frac{3N}{2} \right)^2 \right. \\ & \left. - \left( \sum_i \hat{Y}_i + \frac{3N}{2} \right) \left[ \frac{2}{R} \left( \frac{\partial}{\partial R} \right)_{\xi\eta\phi} - \frac{1}{R^2} \right] \right\}. \end{aligned} \quad (23)$$

For more than one electron, we do not employ an exact treatment, which might be accomplished in polyspherical coordinates [44,45], for example, but instead employ a straightforward adaptation of the one-electron Hamiltonian [43] that omits several minor terms unimportant for a host of nonadiabatic dynamics relevant to attosecond physics. In particular, our electron position vectors are represented in prolate spheroidal coordinates relative to the center of mass of the nuclei. We therefore omit terms relating to mass polarization, i.e., for  $N$  electrons, the deviation of the center of mass of the nuclei from the center of mass of the nuclei and any  $N - 1$  subset of the  $N$  electrons. We are unaware of any experiment in which the effect of such mass polarization terms has been demonstrated.

We additionally omit Coriolis coupling and write the Hamiltonian for the rotational quantum number  $J$  as

$$\begin{aligned} H = & K_R + \frac{1}{2\mu_R R^2} [J(J+1) - 2J_z^2 + \hat{l}^2] \\ & - \frac{1}{2\mu_e} \sum_i \nabla_i^2 + V. \end{aligned} \quad (24)$$

The reduced masses are defined as  $\mu_R = m_A m_B / (m_A + m_B)$  where  $A$  and  $B$  are the two nuclei, and (in atomic units)  $\mu_e$  for an  $N$ -electron system is  $\mu_e = (m_A + m_B + N - 1) / (m_A + m_B + N)$ .  $J_z$  is the projection of the electronic angular momentum on the internuclear axis and equals the sum over the  $l_z$  eigenvalues of the individual electrons,  $\sum_{i=1}^N \mathcal{M}_i$ . The operator  $\hat{l}^2$  is the square of the electronic angular momentum operator. The potential  $V$  includes the electron-electron repulsion, electron-nuclear attraction, internuclear repulsion, and time-dependent dipole interaction term, if an external field is being applied. At present, we also omit the two-electron terms in  $\hat{l}^2$  (proportional to  $\hat{l}_i \hat{l}_j$ ) and in  $(\sum_i \hat{Y}_i)^2$  (proportional to  $\hat{Y}_i \hat{Y}_j$ ). These terms are in general similar in magnitude to the mass polarization terms that we have already omitted and are not relevant to the processes of immediate interest. The usual nonadiabatic effects such as curve crossing transitions are driven by the cross term in Eq. (23) involving products of electronic and vibrational momenta, as opposed to the terms that we have omitted containing terms quadratic in the electronic momenta. They and the Coriolis coupling may be included in future applications, and their omission here accelerates the numerical implementation.

### B. Wave function

To include nuclear motion and electronic motion simultaneously, and also avoid the Born-Oppenheimer approximation, we begin with a trial function in which we use the same configurations specified in Eq. (1), expressing the time-dependent orbitals in the prolate spheroidal FEM DVR basis, and taking advantage of the implicit dependence on the internuclear distance  $R$  of those orbitals,

$$\langle R | \Psi(t) \rangle = \sum_{a,\kappa} A_{a,\kappa}(t) |\vec{n}_a(t; R)\rangle \chi_\kappa(R). \quad (25)$$

In Eq. (25) the function  $\chi_\kappa(R)$  is an ordinary FEM DVR basis function based on Gauss-Lobatto quadrature within finite elements in  $R$ , and is labeled by the grid point  $R_\kappa$  at which it is nonzero. The coefficients  $A_{a,\kappa}(t)$  of the configurations depend explicitly on the index  $\kappa$  as well as the configuration index  $a$ . So this representation of the wave function uses MCTDHF for the electrons while using a full primitive basis DVR representation of nuclear motion. The configurations have a parametric dependence on  $R$ , which we emphasize with the notation  $|\vec{n}_a(t; R)\rangle$ , and that dependence comes entirely through the  $R$  dependence of the prolate coordinates, and thus of the FEM DVR grid for the electrons,

$$\langle \vec{q} | \phi_n(t; R) \rangle = \phi_{\alpha_n}(\vec{r}(R), t) \Omega_{m_s}(\Xi), \quad (26)$$

where  $\vec{r}(R) = (\xi(R), \eta(R), \varphi)$  are the prolate spheroidal coordinates.

Using this trial function in the variational principle in Eq. (6) means that a single set of orbitals is used to describe the electronic motion for all  $R$ , and thus that the coefficients  $c_i^\alpha(t)$ ,

$$\phi_\alpha(\vec{r}, t) = \sum_i c_i^\alpha(t) f_i(\vec{r}(R)), \quad (27)$$

do not depend on  $R$ . This fact simplifies the resulting MCTDHF equations in a fundamental way, and is key to the practicality of this approach. The ansatz in Eq. (25) is largely

motivated by this fact, but it is clear nonetheless that it is capable in principle of representing the exact wave function if a sufficient number of orbitals is included. Our numerical tests below will test the efficiency of this expansion. The coefficients of the configurations depend explicitly on the  $R$  index and thus are capable of weighting the configurations constructed from those orbitals differently at different internuclear distances, and thus different orbitals can contribute differently at different values of  $R$ . The nuclear cusps of the wave function are represented accurately at all values of  $R$  in this approach, and the orbitals are automatically orthogonal for all internuclear distances.

### V. MCTDHF WORKING EQUATIONS INCLUDING NUCLEAR MOTION

Using the following notation for combining parts of the Hamiltonian in Eq. (24),

$$\begin{aligned} \hat{T}^{\text{el}} &= \sum_i \left[ -\frac{R^2}{2\mu_e} \nabla_i^2 - \frac{1}{2\mu_R} \left( \hat{Y}_i + \frac{3}{2} \right)^2 + \frac{1}{2\mu_R} \hat{l}_i^2 \right], \\ \hat{T}^R &= -\frac{1}{2} \frac{\partial^2}{\partial R^2}, \quad \hat{D}^{\text{el}} = \sum_i \left[ \hat{Y}_i + \frac{3}{2} \right], \\ \hat{D}^R &= \left[ \frac{2}{R} \left( \frac{\partial}{\partial R} \right)_{\xi\eta\phi} - \frac{1}{R^2} \right], \end{aligned} \quad (28)$$

the Hamiltonian including a radiation field employed here for  $R^{3N/2+1}$  times the wave function, omitting Coriolis and two-electron terms in  $\hat{l}^2$  and  $(\sum_i \hat{Y}_i)^2$ , may be compactly expressed as

$$\hat{H}_0 = \frac{1}{R^2} \hat{T}^{\text{el}} + \frac{1}{R} V + \frac{1}{\mu_R} (\hat{T}^R + \hat{D}^R \hat{D}^{\text{el}}), \quad (29)$$

$$\begin{aligned} H(t) &= \hat{H}_0 + R \mathcal{E}(t) \hat{\mu} \quad (\text{length}), \\ &= \hat{H}_0 + \frac{1}{R} \frac{\mathcal{A}(t)}{c} \hat{\mu} \quad (\text{velocity}), \end{aligned} \quad (30)$$

where  $\mathcal{E}(t)$  and  $\mathcal{A}(t)$  are the electric field and vector potential, and  $\hat{\mu}$  is a coordinate or derivative operator in the electronic prolate spheroidal coordinates. The operators  $\hat{D}^R$  and  $\hat{D}^{\text{el}}$  are anti-Hermitian, first-order differential operators, and the potential is a separable product of  $1/R$  times a potential for the nuclear repulsion plus two-electron repulsion that is a function of only the prolate spheroidal coordinates:

$$V = [Z_1 Z_2 + v_{12}(\xi_1, \eta_1, \varphi_1, \xi_2, \eta_2, \varphi_2)], \quad (31)$$

with  $v_{12} = R/r_{12}$ .

The working equations for MCTDHF with nuclear motion are similar to the Born-Oppenheimer version. The inverse of the reduced single-particle (electronic) reduced density matrix still appears, and that matrix is a sum over the nuclear grid points,

$$\rho_{\alpha\beta} = \sum_\kappa \rho_{\kappa\alpha\kappa\beta}, \quad (32)$$

where

$$\rho_{\kappa\alpha\tau\beta} = \sum_{a,b,m,s} A_{a,\kappa}^* A_{b,\tau} \langle \vec{n}_a | a_{\alpha m s}^\dagger a_{\beta m s} | \vec{n}_b \rangle, \quad (33)$$

and the reduced two-electron matrix  $\mathbf{W}^{\gamma\beta}$  is defined similarly. We also have the reduced two-electron density matrix defined as

$$\Gamma_{\kappa\alpha\alpha'\kappa\beta\beta'} = \sum_{a b m s m s'} A_{a,\kappa}^* A_{b,\kappa} \langle \vec{n}_a | a_{\alpha m s}^\dagger a_{\alpha' m s'}^\dagger a_{\beta m s} a_{\beta' m s'} | \vec{n}_b \rangle. \quad (34)$$

By defining reduced matrices for  $1/R$ ,  $1/R^2$ , and  $R$  (which appears as the length gauge dipole operator), and a reduced derivative operator,

$$\begin{aligned} \mathcal{R}_{\alpha\beta}^{(Q)} &= \sum_{\kappa} \rho_{\kappa\alpha\kappa\beta} R_{\kappa}^Q, \quad Q = 1, -1, -2 \\ \mathcal{R}_{\alpha\beta\alpha'\beta'}^{(-1)} &= \sum_{\kappa} \Gamma_{\kappa\alpha\alpha'\kappa\beta\beta'} \frac{1}{R_{\kappa}}, \\ \mathcal{D}_{\alpha\beta}^{\mathcal{R}} &= \sum_{\kappa\tau} \rho_{\kappa\alpha\tau\beta} \mathbf{D}_{\kappa\tau}^{\mathbf{R}}, \end{aligned} \quad (35)$$

along with matrix elements of the differential operators in Eq. (28), we arrive at the MCTDHF equations for the orbital coefficients,

$$\begin{aligned} i \frac{\partial}{\partial t} \vec{c}_{\alpha} &= \sum_{\beta} (\mathbf{1} - \mathbf{P}) \rho_{\alpha\beta}^{-1} \sum_{\gamma} \left[ \mathcal{R}_{\beta\gamma}^{(-2)} \mathbf{T}^{\text{el}} + \mathcal{D}_{\beta\gamma}^{\mathcal{R}} \mathbf{D}^{\text{el}} \right. \\ &\quad \left. + \sum_{\beta'\gamma'} \mathcal{R}_{\beta\gamma\beta'\gamma'}^{(-1)} \bar{\mathbf{W}}_{\beta'\gamma'} \right] \cdot \vec{c}_{\gamma}. \end{aligned} \quad (36)$$

The equation for the coefficients of the configurations still has the form  $i \frac{\partial}{\partial t} \vec{A} = \mathbf{H} \vec{A}$ . We provide expressions for the various matrix elements of the one-electron operators appearing in this section in Appendix A.

## VI. EXTERIOR COMPLEX SCALING AND THE TREATMENT OF IONIZATION

In the application of the MCTDHF approach to molecules subject to short UV pulses, there is in general some component of the wave function that is ionized. As the wave function is propagated, the outgoing electron flux will inevitably reach the end of the FEM DVR grid in  $\xi$  and reflect back from it. In a number of other time-dependent applications of grid methods, it has been shown that the ECS transformation is capable of perfectly extinguishing those reflections, both in the absence of an external field [46] and in the presence of a time-varying field [47,48].

In a time-dependent calculation, the ECS transformation enforces outgoing wave boundary conditions for the ionized part of the wave function, even at very long times. In the application of the ECS method in prolate spheroidal coordinates, the electronic coordinates are scaled only beyond a radius  $\xi_0$  by a complex phase factor according to  $\xi \rightarrow \xi_0 + (\xi - \xi_0)e^{i\Theta}$ , where  $0 < \Theta < \pi/2$  is an angle on which the results do not depend formally. The value of  $\xi_0$  is chosen large enough that the physical quantities of interest can be calculated from the wave function for all electronic coordinates satisfying  $\xi < \xi_0$ . This is a formally exact procedure, and in a converged calculation it does not alter the wave function in the inner region from its exact value. In fact, we may calculate

TABLE I. Converged Hartree-Fock energies from MCTDHF relaxation calculations and the FEM DVR basis sets required to converge them, compared with literature values. For  $\text{H}_2$  and  $\text{CO}$ , the calculation is repeated with complex scaling with  $\xi_0 = 1$ , for two scaling angles. In these ECS results, the last real digit and the imaginary components are not converged with respect to primitive basis. Also in the last entry, an MCTDHF relaxation calculation equivalent to a 10 orbital full CI MCSCF result for  $\text{N}_2$  is compared with results computed with Cartesian Gaussian functions and a triple- or quadruple- $\zeta$  basis set.

	$R_0$	$N_{\eta}$	$n_{\xi}$	$\xi$ elements	Energy (hartree)
$\text{H}_2$	1.4	9	14	3.0, 10.0, 10.0	-1.133 629 571 46
	Same with $\theta = 15^\circ$				+1.1 $\times 10^{-9} i$
	Same with $\theta = 30^\circ$				+1.2 $\times 10^{-9} i$
	HF limit				-1.133 629 5715 [53]
$\text{Li}_2$	5.051	25	20	0.75, $3 \times 4.0$	-14.871 562 0178
				Elliptic basis HF	-14.871 5619 [54]
$\text{LiH}$	3.015	21	19	1.0, $3 \times 5.0$	-7.987 352 237
				Numerical HF	-7.987 352 237 [55]
$\text{CO}$	2.132	21	19	1.5, 7.5, 7.5	-112.790 907 18
	Same with $\theta = 15^\circ$				+1.1 $\times 10^{-8} i$
	Same with $\theta = 30^\circ$				+8 $\times 10^{-8} i$
	Numerical HF				-112.790 907 [55]
$\text{N}_2$	2.068	21	19	1.5, 7.5, 7.5	-108.993 825 63
				Numerical HF	-108.993 825 7 [55]
$\text{N}_2$	Same basis, (14/10) CAS SCF				-109.141 847 93(5)
	(14/10) COLUMBUS cc-pVTZ <sup>a</sup>				-109.132 509 251
	(14/10) COLUMBUS cc-pVQZ <sup>b</sup>				-109.140 039 408

<sup>a</sup>Correlation-consistent polarized triple- $\zeta$  basis set.

<sup>b</sup>Correlation-consistent polarized quadruple- $\zeta$  basis set.

accurate bound state energies even with  $\xi_0 = 1$ , as shown in Table I. However, to extract ionization information, we choose a larger  $\xi_0$  and perform an analysis inward of that value, on the real  $\xi$  axis.

The analytic continuation of the Hamiltonian under the ECS transformation leads to a complex symmetric matrix representation when the basis functions are real at real values of the coordinates, and the DVR implementation of ECS, detailed previously [35,49] also leads to complex symmetric matrices. So, for example, the matrix representation of the one-body operators in the Hamiltonian,  $\mathbf{h}^{(1)}$  in the present FEM DVR basis is complex symmetric, and the DVR basis functions, as defined in Eq. (18), are orthonormal when their overlap is quadratured along the ECS contour [49].

Once the MCTDHF orbitals are expanded in terms of the orthonormal FEM DVR basis in Eq. (19), they are represented by the coefficient vectors  $\vec{c}_{\alpha}$  in Eq. (27). We may define the inner product of a pair of those orbitals in terms of the expansion coefficients in the usual, Hermitian way,

$$\langle \phi_a | \phi_b \rangle = \vec{c}_a^\dagger \cdot \vec{c}_b, \quad (37)$$

making use of the orthonormality of the DVR functions on the ECS contour. We can then arrange the coefficients defining the MCTDHF orbitals as the matrix  $C_{i,\alpha}$ , and use this inner product when transforming the operators from the FEM DVR

basis to the orbital basis. So, for example, we would transform the ECS-scaled one-body Hamiltonian according to

$$\tilde{\mathbf{h}}^{(1)} = \mathbf{C}^\dagger \mathbf{h}_{\text{ECS}}^{(1)} \mathbf{C}, \quad (38)$$

where  $\dagger$  denotes the Hermitian conjugate of the matrix. Because it is unitary (in the limit that there are the same number of orbitals as FEM DVR basis functions), this transformation does not change the spectrum of the ECS-scaled matrix representation of  $\mathbf{h}^{(1)}$ . Doing every transformation to the orbital basis that is involved in constructing the matrices in the working equations, Eqs. (13) and (8), in this way preserves the analytic properties of the ECS solutions.

The implementation of ECS in this manner in the MCTDHF equations has another very important advantage. As the solutions are propagated forward in time, the constraint that the orbitals remain orthogonal, and the constraint on their variations in time imposed by Eq. (7), are then imposed with the usual sense of the Hermitian inner product in Eq. (37). This procedure is essential to the numerical robustness of the MCTDHF method, because if an inner product without complex conjugation were used instead, as it is frequently in the complex scaling literature, an orbital could in principle have zero overlap with itself. While there may be no formal reason to choose one implementation over the other, there is therefore a compelling numerical reason to choose the Hermitian inner product. This choice does result in matrices  $\mathbf{H}$  and  $\mathbf{W}$  that appear in the working equations, Eqs. (13) and (8), for example, with no symmetry, but nonetheless the overall properties of the solutions, in particular their outgoing wave character, under ECS are correctly reproduced.

## VII. NUMERICAL INTEGRATION

The integration of the coupled nonlinear differential equations of Eqs. (13) and (8) has been the source of several theoretical and numerical studies over the past years. Splitting the orbital equation by separating the one-body, stiff kinetic energy terms from the two-body, local, nonstiff potential terms has received considerable attention [49–51], but we do not pursue this avenue here.

In the context of nuclear motion only within the MCTDH method, it has been shown [27] that it is useful to decouple the orbital and  $A$ -vector equations for short times, which is enabled by the fact that the product of the inverse density matrix and the reduced operator  $\rho^{-1}\tilde{\mathbf{W}}$  in Eq. (8) is in general slowly changing with time. Within the MCTDH implementation,  $\rho^{-1}\tilde{\mathbf{W}}$  and the  $A$ -vector Hamiltonian  $\mathbf{H}$  are taken as constant over a short time step, which approximation is denoted as “constant mean field” (CMF). The orbital and  $A$ -vector equations are integrated separately over the CMF time step, which is typically much bigger than, for instance, the time step used in the integration of the nonlinear equation for the orbitals. The error is determined by backward propagation, and the CMF time step is adjusted to keep it within a specified tolerance.

We employ a similar method, but without intelligent error control and with constant step size, and with a predictor-corrector scheme that appears numerically robust. The predictor step is identical to the CMF step in the MCTDH implementation, and the corrector step incorporates a linear approximation to the matrices  $\mathbf{H}(t)$  and  $\rho^{-1}\mathbf{W}(t)$ . In the

MCTDH terminology, this would be “linear mean field” (LMF). Thus, in the CMF predictor step starting at  $t_0$ , with the matrices  $\rho_0$ ,  $\mathbf{W}_0$ , and  $\mathbf{H}_0$  for that time in hand, the wave function for the next time  $t_1 = t_0 + \delta t$  is obtained as

$$\begin{aligned} \vec{A}_1 &= e^{-i\mathbf{H}_0\delta t} \vec{A}_0, \\ i \frac{\partial}{\partial t} \vec{c} &= [\mathbf{1} - \mathbf{P}(t)] [\mathbf{h}^{(1)} + \rho_0^{-1} \tilde{\mathbf{W}}_0] \vec{c}, \\ t &= t_0, \dots, t_1. \end{aligned} \quad (39)$$

This first, predictor step in the propagation yields a first guess for  $\vec{A}(t_1)$  and  $\vec{c}(t_1)$ , which yields first guesses for the matrices  $\rho_1$ ,  $\mathbf{W}_1$ , and  $\mathbf{H}_1$  at  $t_1$ . These first guesses are used to propagate the wave function in a LMF corrector step, in which the first-order Magnus approximation is used for the  $A$  vector and a linear approximation for the product  $\rho^{-1}\mathbf{W}$  is used.

$$\begin{aligned} \vec{A}_1 &= e^{-i(\mathbf{H}_0 + \mathbf{H}_1)\delta t/2} \vec{A}_0, \\ i \frac{\partial}{\partial t} \vec{c} &= [\mathbf{1} - \mathbf{P}(t)] \left[ \mathbf{h}^{(1)} + \left( \frac{t_1 - t}{\delta t} \rho_0^{-1} \tilde{\mathbf{W}}_0 + \frac{t - t_0}{\delta t} \rho_1^{-1} \tilde{\mathbf{W}}_1 \right) \right] \vec{c}, \\ t &= t_0, \dots, t_1. \end{aligned} \quad (40)$$

The splitting of the orbital and  $A$ -vector equations over the mean field step is beneficial for, among other things, ensuring unitarity and parallelizing the algorithm. Although we have implemented versions of this method of higher order than linear (LMF), none exhibited its nearly unconditional stability. We use the EXPOKIT package [52] to calculate both the matrix exponential for the  $A$ -vector equation and the solution of the orbital equation. The exponential propagation of the orbital equation was the fastest method tried in this study, although we note that the explicit, basic Verlet method also gave good results.

Our wave functions are Slater determinants and are not spin adapted; it is most efficient to calculate the high-spin case, so for a triplet we include projections of total spin  $M_s = 1$ , but therefore higher multiplets are present in the configuration basis. However, we intermittently project the wave function on the proper spin subspace to ensure that it is not contaminated by numerical error. A full description of the integration method will be presented in a forthcoming publication.

## VIII. GROUND ELECTRONIC STATES FROM IMAGINARY TIME PROPAGATION

Of course, one requires initial-state eigenfunctions to be used as a starting point for a time-dependent calculation. While some aspects of the present method have been well established in the literature, others—in particular, the use of prolate spheroidal orbitals shared among all points in  $R$ —have not, and for this reason here we provide various ground, metastable, and excited vibrational state properties calculated with the present method. These are obtained by “improved relaxation” [56,57], in which the orbitals are propagated forward in imaginary time and the CI Hamiltonian (except when there is only one configuration) is diagonalized at every time step. We have also implemented a state-averaged version analogous to a multiconfiguration state-averaged self-consistent field (MCSCF) calculation in which the orbitals are optimized to minimize the average energy of the first  $N$  eigenfunctions of the  $A$ -vector Hamiltonian. This procedure requires averaging the density matrices and reduced operators for the first  $N$

eigenfunctions of the  $A$ -vector Hamiltonian and propagating their shared orbitals in imaginary time.

### A. Fixed-nuclei ground electronic states

First, as a measure of the performance of the primitive basis in representing electronic wave functions, we list calculated fixed-nuclei Hartree-Fock energies for a variety of molecules in Table I. A MCTDHF calculation using full CI in the space of ten orbital for  $N_2$  is also reported and compared with the corresponding calculation using the COLUMBUS quantum chemistry suite [58] and the correlation-consistent triple- and quadruple- $\zeta$  bases of Dunning [59]. We also include results for a few molecules including complex scaling with  $\xi_0 = 0$ , in which the coordinates of all electrons are continued into the complex plane. These latter complex scaling results were obtained by using the  $c$ -norm, not the Hermitian norm, as explained in Sec. VI, and demonstrate that the electronic Hamiltonian has been accurately analytically continued to complex  $\xi$ . To achieve a given accuracy, these in general require slightly more DVR basis functions because of the oscillatory nature of the solutions under complex scaling.

### B. Nuclear motion: $HD^+$ and natural orbitals for electrons and nuclei

In our treatment the orbitals are used to span the entire range of internuclear distances  $R$ . Because the prolate spheroidal coordinates do not mimic the behavior of molecular orbitals—which asymptotically limit to atomic orbitals with constant size, whereas the prolate spheroidal coordinates continue to expand with increasing  $R$ —a greater number of orbitals is required to represent a wave function with nuclear motion than one without. To precisely quantify this behavior, in Table II we give ground-state  $HD^+$  energies calculated both with a numerically exact, converged calculation we performed using a large primitive FEM DVR basis, and with the MCTDHF method for an increasing number of orbitals. One can see that submicrohartree accuracy is achieved with three orbitals, and essentially the exact result is achieved with five orbitals. For  $HD^+$ , our Hamiltonian is exact for  $J = 0$  and our exact result agrees with that of Balint-Kurti [60] to all significant figures given.

Also shown in Table II are the occupation numbers corresponding to the eigenfunctions of reduced density matrices for the ground  $J = 0$  state of  $HD^+$ . These are the natural orbitals for electronic and nuclear motion in this coupled system. These natural orbitals and their eigenvalues provide a compact representation of the wave function known in the quantum-information literature as a Schmidt decomposition [66–68].

According to the theorems on which the Schmidt decomposition is based we may divide the  $HD^+$  molecule with  $J = 0$  into two subsystems, namely, that represented by (1) the coordinates of the electron and (2) the nuclear separation,  $R$ . Then the two reduced density operators, operating from unprimed variables to primed variables, that for electronic motion

$$\hat{\rho}_{el} = \int dV dR R^2 \psi(\xi', \eta', \phi', R) \psi^*(\xi, \eta, \phi, R), \quad (41)$$

with  $dV$  given by Eq. (17), and that for nuclear motion,

$$\hat{\rho}_{nuc} = \int dV dR R^2 \psi(\xi, \eta, \phi, R') \psi^*(\xi, \eta, \phi, R) \quad (42)$$

TABLE II. Left: Energies of MCTDHF wave functions including nuclear motion for ground state  $HD^+$  as a function of orbitals, along with the exact result using our prolate spheroidal basis and the exact  $J = 0$  nonadiabatic result of Balint-Kurti *et al.* [60]. Right: natural prolate spheroidal occupation numbers for Born-Oppenheimer and non-Born-Oppenheimer  $HD^+$  calculations.

No. orbitals	Energy		Natural occ.	
	$E$ (hartree)	Orb.	BO	NBO
1	−0.594 612 8688	1	0.996 34	0.996 29
2	−0.597 852 6051	2	$3.64 \times 10^{-3}$	$3.68 \times 10^{-3}$
3	−0.597 897 4489	3	$2.44 \times 10^{-5}$	$2.48 \times 10^{-5}$
4	−0.597 897 9622	4	$2.36 \times 10^{-7}$	$2.42 \times 10^{-7}$
5	−0.597 897 9683	5	$2.50 \times 10^{-9}$	$2.6 \times 10^{-9}$
6	−0.597 897 9683	6	$2.94 \times 10^{-11}$	$3.1 \times 10^{-11}$
Exact	−0.597 897 9686	7	$4.4 \times 10^{-13}$	$5 \times 10^{-13}$
Ref. [60]	−0.597 897 9686	8	$7 \times 10^{-15}$	$1 \times 10^{-14}$

have exactly the same nonzero eigenvalues,  $\rho_i$ . The complete wave function may be expressed in terms of the eigenfunctions  $\varphi_i^{el}(\xi, \eta, \phi)$  and  $\varphi_i^{nuc}(R)$  of these matrices as

$$\psi(\xi, \eta, \phi, R) = \sum_i \rho_i^{1/2} \varphi_i^{el}(\xi, \eta, \phi) \varphi_i^{nuc}(R). \quad (43)$$

The  $\rho_i$  are the natural occupations and are a measure of the degree to which the parametric dependence of the prolate spheroidal coordinates upon the bond length follows the change in the electronic wave function within the Franck-Condon region. In contrast, beyond  $\rho_1$  the occupation numbers in Cartesian coordinates  $x, y, z$  that do not follow the nuclei would be much higher. In Table II we show two sets of occupation numbers, those for the Born-Oppenheimer approximation to the ground vibrational state and those for the numerically exact wave function whose energy agrees with Ref. [60], and the occupations are comparable. In Fig. 1 we plot the pairs of corresponding natural orbitals in  $\xi, \eta$  (independent of  $\phi$  since  $m = 0$ ) and in  $R$ , obtained from the exact wave function natural orbitals. In this example, only slight differences exist between these and those from the Born-Oppenheimer or improved adiabatic [43] wave functions in the same coordinate system.

For a more complicated system, the concept of these coordinate-system-dependent natural occupations can be generalized. For a multielectron wave function, the generalization of the natural orbitals in  $R$  is straightforward. In this case, for the electronic degrees of freedom, we would have natural multielectron wave functions, not just orbitals, corresponding to the same set density matrix eigenvalues. For a polyatomic system, we expect that the number of terms needed to converge the Schmidt decomposition analogous to Eq. (43), as indicated by the the  $R$  natural orbital or natural wave function occupation numbers, will be a measure of the suitability of any geometry-dependent electronic coordinate system. One could compare two different choices of coordinate systems for the electronic degree of freedom (which like prolate spheroidal coordinates need not be orthogonal to  $R$ ) by computing only the eigenvalues of the reduced density matrix,  $\rho_{nuc}$ , for a suitable wave function.



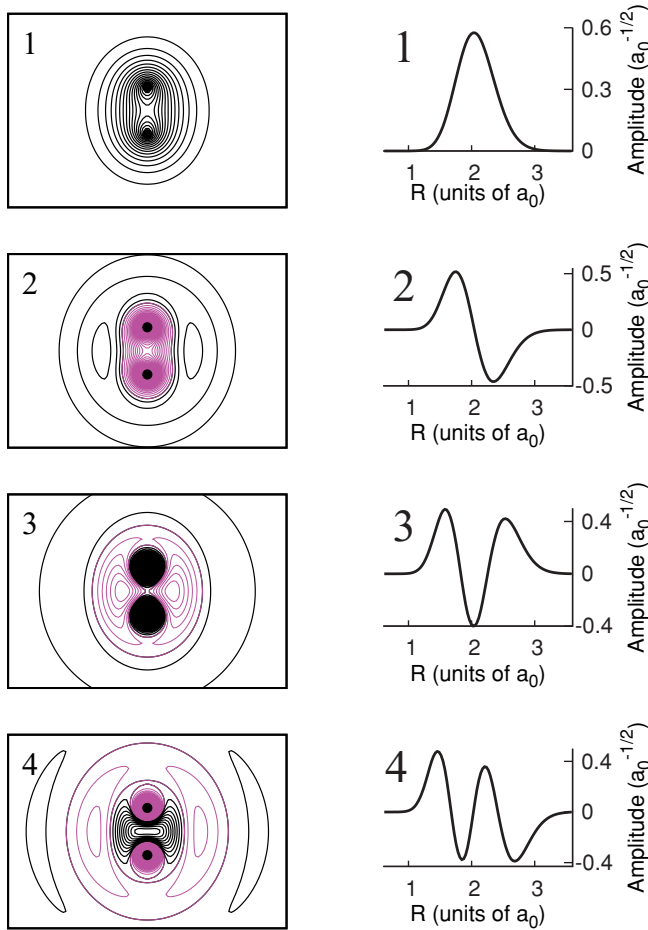


FIG. 1. (Color online) Schmidt decomposition of the  $\text{HD}^+$  ground-state wave function: Natural prolate spheroidal (elliptic) orbitals (left) and conjugate natural orbitals in  $R$  (right) for  $J = 0$  ground-state  $\text{HD}^+$ , diagonalizing the reduced density matrices in Eqs. (41) and (42), corresponding to the occupation numbers listed in Table II. On left, the scale is determined by the origins of the coordinate system denoted by black dots and aspect ratio of 1:1 (prolate spheroidal coordinates are unitless).

### C. Vibrational states

In Table III we give properties calculated using the MCTDHF approach for the  $J = 0$  ground vibrational states of LiH,  $\text{H}_2$ , and HD, using a modest number of orbitals, with comparison to exact nonadiabatic results from the literature. The reported values for  $\text{H}_2$  are obtained from a calculation in which the first two vibrational states are simultaneously optimized using the same set of orbitals, whereas the other results are from optimizing the ground  $v = 0$  state only. These calculations all use a single  $\pi$  orbital and varying numbers of  $\sigma$  orbitals. Differences in energies from the exact result on the order of several millihartree for HD and  $\text{H}_2$  or tens of millihartree for LiH are apparent. The various expectation values differ by approximately 1% or less from their exact values, even though in our multiconfiguration wave function a relatively small number of electronic orbitals have been used to span all grid points in  $R$  which number from 36 for  $\text{H}_2$  to 48 for LiH. For the calculations in Tables II and III and in Fig. 2 we use nuclear masses  $m_{\text{H}} = 1836.152701$ ,  $m_{\text{D}} = 3670.483014$ ,  $m_{\text{Li}} = 12789.395862$ .

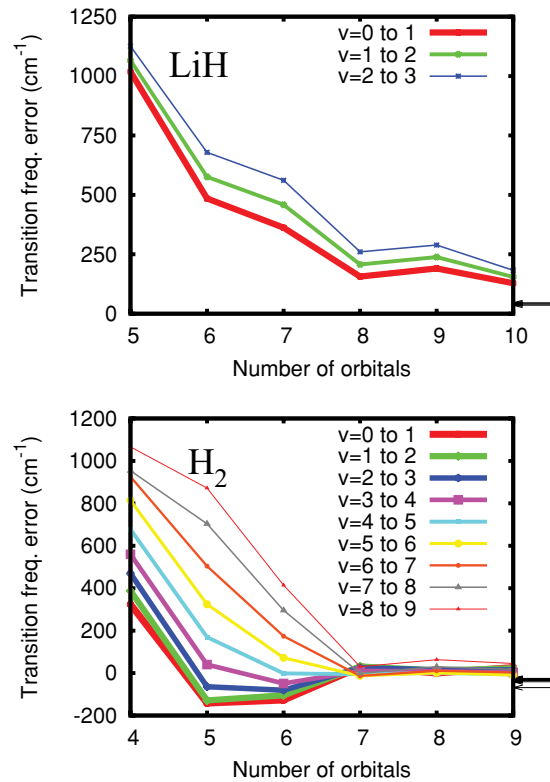


FIG. 2. (Color online) Convergence of vibrational transition frequencies for  $\text{H}_2$  and LiH from state averaged MCTDHF calculations using one  $\pi$  orbital while optimizing four vibrational states for LiH and ten vibrational states for  $\text{H}_2$ . The difference between the calculated transition frequency and the experimental one is plotted with respect to the total number of orbitals, varying the number of  $\sigma$  orbitals. For LiH, the errors using the Hartree-Fock Born-Oppenheimer curve are also plotted, with atomic masses as the arrows on the right side. For  $\text{H}_2$ , the errors for the same transitions from a Born-Oppenheimer calculation with five  $\sigma$ , one  $\pi$  orbitals are also plotted as arrows to the right.

In Table III we also report properties calculated for the Born-Oppenheimer wave function, i.e., the solution  $\chi_0(R)\psi_1(\vec{r}; R)$  obtained by diagonalizing the Born-Oppenheimer vibrational Hamiltonian using the ground Born-Oppenheimer electronic state  $\psi_1(\vec{r}; R)$  with orbitals optimized for each  $R_i$  separately, and using the atomic masses. For  $\text{H}_2$ , the error in these results is comparable to that of our nonadiabatic MCTDHF wave functions. For LiH, we achieve better agreement with the previously computed accurate values using the full nonadiabatic treatment than we do with the Born-Oppenheimer calculation using fixed masses.

There is a striking observation to be made about these results concerning the convergence of our approach in which a single set of electronic orbitals is used for all  $R$ . By accounting for the nonadiabatic coupling terms in the Hamiltonian and using one small set of orbitals for all  $R$ , we achieve results closely approaching those of previous accurate calculations on these systems. This point, and the importance of nonadiabatic coupling, can be judged in particular by examining the last three lines of Table III.

In Fig. 2 we show the performance of the method in representing the vibrational spectrum of  $\text{H}_2$  and LiH. For LiH,

TABLE III. Properties of vibronic states. The  $H_2$  calculation is from a state averaged calculation on the  $\nu = 0$  and  $\nu = 1$  states. Otherwise the energy of the ground vibrational state has been minimized. With six  $\sigma$  and one  $\pi$  orbital for LiH, fixed nuclei at 3.015 bohr bond length, the dipole moment calculated was 2.2856 atomic units, compared with the prior result of 2.306 [65].

	$\nu$	$\langle E \rangle$	$\langle T \rangle$	$\langle V \rangle$	$\langle r_1 \rangle$	$\langle r_1^2 \rangle$	$\langle r_2 \rangle$	$\langle r_2^2 \rangle$	$\langle R \rangle$	$\langle R^2 \rangle$	$D$
<b><math>H_2</math></b>											
$5\sigma 1\pi$	0	-1.16008	1.16006	-2.32014	1.5834	3.1894	1.5834	3.1893	1.4553	2.1451	0.0000
$8\sigma 1\pi$	0	-1.16088	1.16085	-2.32174	1.5784	3.1620	1.5784	3.1620	1.4527	2.1383	0.0000
Ref. [61]	0	-1.16403							1.4487	2.1270	
Ref. [62]	0	-1.16403	1.16403	-2.32805							
$5\sigma 1\pi$ BO	0			-2.32242	1.5773	3.1557	1.5773	3.1557	1.4520	2.1367	0.0000
$5\sigma 1\pi$	1	-1.14047	1.14040	-2.28087	1.6270	3.3691	1.6270	3.3691	1.5482	2.4787	0.0000
$8\sigma 1\pi$	1	-1.14156	1.14167	-2.28324	1.6295	3.38286	1.6295	3.3826	1.5482	2.4817	0.0000
Ref. [61]	1	-1.14506							1.5453	2.4740	
<b>HD</b>											
$5\sigma 1\pi$	0	-1.16164	1.16158	-2.32322	1.57520	3.14928	1.57547	3.15029	1.44634	2.11511	-0.0005391
Ref. [63]	0	-1.16547			1.57119	3.13009	1.57148	3.13120	1.44223	2.10432	
$5\sigma 1\pi$ BO	0			-2.32506	1.5738	3.1409	1.5738	3.1409	1.4456	2.1142	0.0
<b>LiH</b>											
$6\sigma 1\pi$	0	-8.03762	8.03765	-16.0753	2.5808	7.8354	1.9864	6.6936	3.0834	9.5398	2.3458
Ref. [64]	0	-8.06644			2.5651	7.74517	1.9719	6.5857	3.0610	9.4197	
$6\sigma 1\pi$ BO	0			-16.08629	2.6219	8.1238	2.0066	6.8593	3.1285	9.8404	2.3306

the first four vibrational states are simultaneously optimized using the same orbitals in these calculations, and for  $H_2$  the first ten are optimized. The errors in the vibrational transition frequencies are plotted with respect to the total number of orbitals. The corresponding errors in the transition frequencies for the vibrational states of the Born-Oppenheimer curves (again, computed with atomic masses) are plotted as arrows on the right. These errors are comparable, so the arrows overlap.

Because in these calculations we are simultaneously optimizing a set of vibrational states spanning a larger range of internuclear distances than  $\nu = 0$  and  $\nu = 1$ , the errors in Fig. 2 are greater than those in Table III. However, despite this fact the errors in the vibrational transitions may be made to be quite small even in a state averaged calculation. We obtain  $4173.4 \text{ cm}^{-1}$  versus the correct value of 4161.1 for the  $\nu = 0$  to 1 transition of  $H_2$ .

In Fig. 3 we plot the natural orbitals from a Born-Oppenheimer calculation and the MCTDHF calculation with the same number of orbitals for the  $\nu = 0$  state, labeled by their occupations. Although some differences may be seen, particularly in the sixth  $\sigma$  orbital, the overall impression is that the two sets of natural orbitals are remarkably similar. That similarity suggests that the electron-nuclear correlation is substantially accounted for by the dependence of the orbitals on  $R$  via the prolate spheroidal coordinate system, since they have no other  $R$  dependence. A similar conclusion can be drawn from an examination of the natural orbitals for the state averaged calculation (not shown).

## IX. CALCULATION OF IONIZATION CROSS SECTIONS

We calculate ionization probabilities and cross sections using the flux formalism of Jäckle and Meyer [69]. Three MCTDHF steps are involved: (1) relaxation to the ground initial state, (2) propagation from  $t = -T$  to  $t = 0$  in which a pulse of duration  $T$  is applied, and (3) propagation of  $\Psi(0)$

forward in time until the ionized portion has been absorbed by the ECS grid in  $\xi$ . The wave function propagated during the third step, from  $t = 0$  onward, is saved and used in the following analysis.

As per Ref. [69], the total ionized flux at energy  $E$  is defined as

$$f(E) = \int_0^\infty dt \int_0^\infty dt' \langle \Psi(0) | e^{i(\hat{H}-E)t'} \hat{F} e^{-i(\hat{H}-E)t} | \Psi(0) \rangle. \quad (44)$$

The flux operator  $\hat{F}$  is defined as the flux through a hypersurface, the region exterior to which corresponds to the breakup process of interest—in this case, ionization. Defining the Heaviside operator  $\Theta(\vec{r}_1, \vec{r}_2, \dots)$  to be the unit operator in this exterior region and zero within, the flux operator may be expressed as the commutator of the Hamiltonian with this Heaviside function,

$$\hat{F} = i[\hat{H}, \Theta]. \quad (45)$$

Under appropriate assumptions and after some algebra [69], one arrives at

$$f(E) = \int_0^\infty dt \int_0^\infty dt' e^{iE(t-t')} \langle \Psi(t') | i(\hat{H} - \hat{H}^\dagger) | \Psi(t) \rangle. \quad (46)$$

In this expression, the flux is obtained through matrix elements of the anti-Hermitian part of the Hamiltonian between wave functions at different times; in deriving it, we have exploited the fact that the anti-Hermitian part of the Hamiltonian lies only on the complex part of the ECS contour.

In the present context, the anti-Hermitian part of the Hamiltonian comes from the exterior complex scaling in the  $\xi$  coordinates, and is nonzero only when at least one electron has reached the FEM DVR element that terminates at  $\xi_0$ , the start of the ECS tail. As long as  $\xi_0$  has been chosen

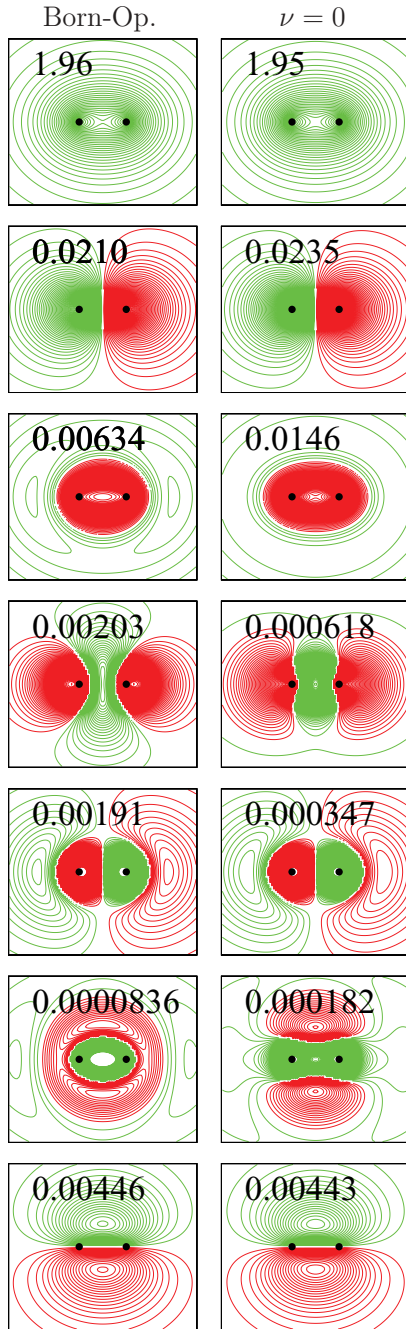


FIG. 3. (Color online) Electronic natural orbitals of ground state  $H_2$ , labeled with occupation numbers, from calculations with one  $\pi$  and six  $\sigma$  orbitals. Left column: Born-Oppenheimer natural orbitals at  $R = 1.4a_0$ ; right column: natural orbitals from nonadiabatic calculation of the  $\nu = 0$  state. The scale is determined by the origins of the coordinate system denoted by black dots and aspect ratio of 1:1 (prolate spheroidal coordinates are unitless).

sufficiently large, the anti-Hermitian part is nonzero in the region corresponding to single or multiple ionization. In the MCTDH implementation for heavy-particle motion, complex absorbing potentials (CAPs) are used instead of ECS in the exterior region to absorb the outgoing part of the wave function, and the validity of the above equations for the flux depend on the CAP being weak enough not to perturb the wave

function in the inner region. In contrast, ECS is an analytic continuation of the Hamiltonian to complex coordinates, and does not perturb the solution in the inner region at all, unless a significant basis set error is present.

In terms of the flux, the integral photoionization cross section (summed over final channels) is

$$\sigma(E) = \frac{2\pi\alpha\omega}{|\mathcal{F}(E)|^2} f(E), \quad (47)$$

where  $\alpha$  is the fine structure constant,  $\omega$  is the photon energy,  $\omega = E - E_0$  where  $E_0$  is the ground-state energy, and  $\mathcal{F}(E)$  is the Fourier transform of the pulse from a length gauge calculation, for example,

$$\mathcal{F}(E) = \int_{-T}^0 dt \mathcal{E}(t) e^{i(E-E_0)t}. \quad (48)$$

To evaluate Eq. (46) it is necessary to evaluate the matrix element of  $\hat{H} - \hat{H}^\dagger$  between wave functions at two different times, comprised of two different sets of orbitals, in an efficient manner. Although for the present applications to  $H_2$  simpler methods would suffice, we use an approach that will be applicable to larger systems. To that end, we transform to a biorthogonal set of orbitals, in the spirit of the treatment of Malmqvist [70], after which we may evaluate matrix elements of arbitrary operators, for instance, the flux operator, via Slater's rules for zero, single, and double excitations just as in the usual orthogonal case. Thus, given orbitals and  $A$  vectors at  $t$  and  $t'$ , we first transform the orbitals  $\phi(t')$  into a new set  $\varphi(t')$  which obeys a biorthogonality relationship to  $\phi(t)$ :  $\langle \varphi_i(t') | \phi_j(t) \rangle = \delta_{ij}$ . Whereas the MCTDHF orbitals  $\phi$  are themselves orthonormal at all times, the  $\varphi$  functions alone obey no such relationship,

$$s_{ij} = \langle \phi_i(t) | \phi_j(t') \rangle, \quad \varphi_i(t') = \sum_j (s^{-1})_{ji} \phi_j(t'). \quad (49)$$

The full wave function at time  $t'$  has a new  $A$  vector of configuration coefficients—which we denote as the  $B$  vector,  $\vec{B}$ —corresponding to its expansion in the new biorthogonal orbitals  $\varphi(t')$ . In our notation the  $A$  vector corresponds to the configuration basis  $|\vec{n}(t')\rangle$ , and we denote the configurations made from the  $\varphi(t')$  orbitals as  $|\vec{m}\rangle$ , where  $\langle \vec{n}(t) | \vec{m}(t') \rangle = \delta_{\vec{n}\vec{m}}$ . We solve for  $\vec{B}$  via

$$\Psi(t') = \sum_{\vec{m}\vec{n}} B_{\vec{m}}(t') |\vec{n}\rangle \langle \vec{n} | \vec{m} \rangle = \sum_{\vec{n}} A_{\vec{n}}(t') |\vec{n}\rangle, \quad \vec{A}(t') = \mathbf{S}(t') \vec{B}(t'), \quad \mathbf{S}_{\vec{n}\vec{m}} = \langle \vec{n}(t') | \vec{m}(t') \rangle. \quad (50)$$

To solve these equations we must first construct  $\mathbf{S}_{\vec{n}\vec{m}}$ , the overlap between configurations defined in terms of nonorthogonal sets of orbitals, a task which becomes increasingly more demanding as the number of electrons increases. We can take advantage of the remarkable fact that for full CI wave functions, although the matrix  $\mathbf{S}$  is dense, its logarithm,  $\ln \mathbf{S}$ , has sparse representations. In fact, the matrix  $\ln \mathbf{S}$  is not unique, for the same reason that the multibranch complex function  $\ln(z)$  is not unique, and it has both sparse and nonsparse representations.

The nonzero matrix elements of a sparse branch of  $\ln \mathbf{S}$  may be calculated by applying Slater's rules for the matrix elements of a one-electron operator using any branch of the

matrix logarithm  $\ln s$  of the orbital overlap matrix appearing in Eq. (49), thereby treating the matrix elements of  $\ln s$  as though they were the matrix elements of a one-electron operator such as the kinetic energy. In particular, the matrix element  $\ln S_{\vec{n}\vec{m}}$  with respect to configurations  $|\vec{n}(t)\rangle$  and  $|\vec{m}(t')\rangle$  is zero if these configurations differ by more than one index.

For full CI wave functions, which are employed in the present work, the solution of the linear equation  $\vec{A} = \mathbf{S}\vec{B}$  can be done in sparse arithmetic using the Krylov-space EXPOKIT [52] subroutine ZGEXPV, which performs a matrix exponential onto a vector. The solution is thereby expressed as

$$\vec{B} = \exp(-\ln \mathbf{S})\vec{A}. \quad (51)$$

The transformation to the biorthogonal basis being done, we proceed to evaluating the matrix elements of the anti-Hermitian parts of the Hamiltonian operators appearing due to our use of exterior complex scaling, calculating orbital matrix elements and assembling them into the configuration matrix elements in the same manner as in constructing the  $A$ -vector Hamiltonian matrix  $H$ .

The results for ionization of  $H_2$  in  $\Sigma$  symmetry (polarization parallel to the bond axis) are shown in Fig. 4. We find that calculation is converged at a total of one  $\pi$  and nine  $\sigma$  orbitals. The other parameters of the calculation are given in the caption to Fig. 4. In obtaining Eq. (46) we assumed that  $\langle \psi(0) | \psi(t) \rangle = \langle \psi(0) | \psi(-t) \rangle^*$ , and via backward time propagation, we verified that this identity is obeyed in general for these MCTDHF wave functions, at least to one part in  $10^{-4}$ . To eliminate the oscillations of the Gibbs phenomenon in the Fourier transform over a finite interval we additionally multiply Eq. (46) by sinusoids in  $t$  and  $t'$ , as  $\cos \frac{t\pi}{2T}$ , where  $T$  is the time for which we propagate the wave function after the pulse. The result is converged to visual accuracy within approximately 1600 atomic time units.

In Fig. 4 we also plot the results for one, two, and three  $\sigma$  orbitals only. All reproduce the overall magnitude and shape of the cross section, but are incorrect in the energy range where the autoionizing resonances appear. The one-orbital treatment is featureless there, as the parent  $H_2^+$  ( $\Sigma_u^+$ ) state is not represented in the basis, but is otherwise correct. The two- and three-orbital treatments reproduce the Fano line shapes of the resonances but place them at incorrect energies, and additionally their locations do not appear to converge until the nine  $\sigma$ , one  $\pi$  result shown in black.

However, the result converges to a cross section slightly different than the accurate results of Sanchez and Martín [71]. We may be able to understand this numerical behavior by realizing that at these intensities, only about 1/1000 of the wave function has been ionized, and that these calculations have not converged that portion. This slow convergence behavior would seem to be a problem for the utility of the MCTDHF method for describing photoionization or other perturbative processes in general. One would like to treat perturbative problems just as well as nonperturbative ones, but the variational ansatz of the MCTDHF wave function will use the variational flexibility in the calculation to optimize the larger, unperturbed (initial state) portion of the wave function at the expense of the smaller components in which we are more interested.

In the limit of a large number of orbitals, the MCTDHF equation should converge to the exact result. It is likely that this

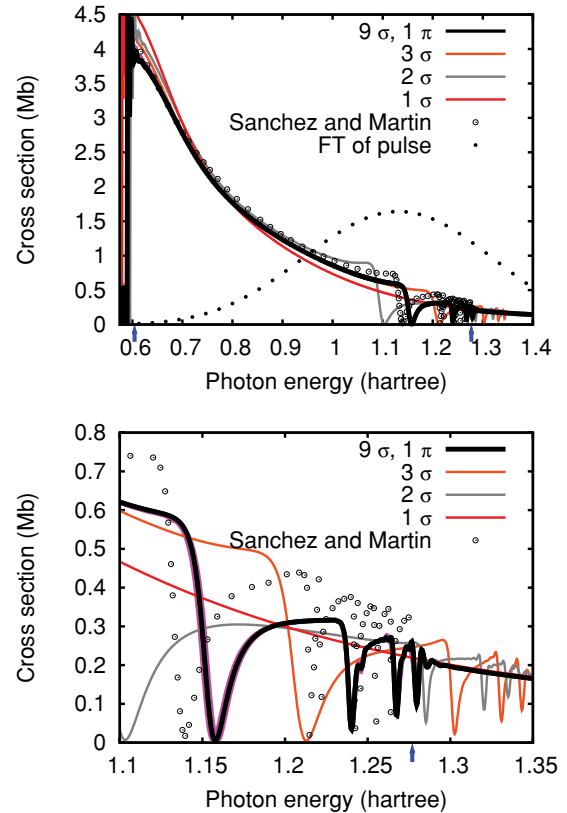


FIG. 4. (Color online) Fixed-nuclei ionization cross section of  $H_2$ ,  $\Sigma$  symmetry, calculated using the full wave function with one  $\pi$  and nine  $\sigma$  orbitals, with a single pulse of intensity  $1 \times 10^{-13} \text{ W cm}^{-2}$ , frequency 1.1 hartree, and duration 0.5 fs in the length gauge. Top: cross section from threshold (0.60 449 hartree) to above the  $H_2^+$   $A^2\Sigma_u^+$  threshold at 1.28 hartree. The thresholds are marked with arrows and the Fourier transform of the pulse is plotted with arbitrary units as dots. Also shown are the results of Sanchez and Martín [71]. Bottom: magnification of resonance region, including result using only three  $\sigma$  orbitals. Several other results are plotted that nearly coincide: lower intensity ( $1 \times 10^{-15} \text{ W cm}^{-2}$ ); velocity gauge; and more orbitals of  $\sigma$ ,  $\pi$ , and  $\delta$  symmetry.

number is much larger than we have used in the calculations shown in Fig. 4, as additional orbitals are likely to mostly further optimize the correlation within the ground state until enough have been added so that the occupation numbers of the natural orbitals describing the ground-state correlation have fallen at least two orders of magnitude. There is, however, an alternative approach.

## X. MORE EFFICIENT CALCULATION OF IONIZATION

The problem that additional orbitals mostly serve to improve the description of the initial state is particular to the present application to calculate a perturbative result, and more intense-field applications would not suffer from it. This state of affairs is unsatisfactory, but fortunately there is a straightforward solution to this problem. We can calculate not  $\Psi(t)$ , the full wave function, but the quantity we label  $\Psi'(t)$ ,

the change in the wave function due to the pulse:

$$\begin{aligned}\Psi(t) &= e^{-iE_0t}\Psi(0) + \Psi'(t), \\ i\frac{\partial}{\partial t}\Psi'(t) &= H(t)\Psi'(t) + V(t)e^{-iE_0t}\Psi(0),\end{aligned}\quad (52)$$

where  $E_0$  is the initial-state eigenvalue and  $V(t)$  is the perturbation. This formulation modifies the MCTDHF working equations, Eqs. (8) and (13), introducing driving terms to each, but we were not immediately able to implement the orbital driving term in a numerically stable way. We thus double the orbital dimension at the start of the pulse, generating additional orbitals by operating with the dipole operator upon the occupied orbitals of the initial state, and causing the orbital driving term to equal zero at the start of the pulse. We then calculate  $\Psi^\circ(t) \equiv e^{iE_0t}\Psi'(t)$  by modifying Eq. (13) as

$$i\frac{\partial}{\partial t}\vec{A}^\circ(t) = [\mathbf{H}(t) - E_0]\vec{A}^\circ(t) + \mathbf{V}(t)\vec{A}(0), \quad (53)$$

where the matrix  $\mathbf{V}$  is the matrix of the dipole operator in the nonorthogonal basis of orbitals at times  $t$  and  $0$ ,  $\mathbf{V}_{\vec{n}\vec{n}'} = \langle \vec{n}(t) | \hat{\mu} | \vec{n}'(0) \rangle$ . This equation is solved with routine ZGPHIV in the EXPOKIT package [52].

The results are significantly better than in our first treatment, calculating the entire wave function, although we find that we cannot accurately calculate the entire range between the  $\text{H}_2$  ionization thresholds with the single pulse we used for the calculation of the full wave function  $\Psi(t)$ . We focus on the resonance region, where our  $\hbar\omega = 1.1$  hartree, 0.5 fs pulse is centered. The results are again insensitive to intensity across the whole energy range.

In Fig. 5 we show that the ionization cross section converges to essentially its correct value using  $\sigma$  orbitals only. In our treatment we double the number of orbitals going from the ground initial state to the propagation steps of the calculation; thus the minimum is two. We can see that the minimum one orbital (Hartree-Fock) ground state, two propagation orbital treatment yields an ionization cross section without the correct resonance features; the two orbitals of the propagation of  $\Psi'(t)$  correspond to the ground  $\text{H}_2^+ 1\sigma_g$  cation state and the wavepacket ionized in its field. The resonances, which are based on the  $1\sigma_u$  cation state, are thus not represented. For three initial and six propagation orbitals, the resonances appear in essentially their correct locations. Only two additional propagation (one additional initial) orbitals are needed to give good agreement with the calculations of Sanchez and Martín [71].

## XI. CONCLUSION AND OUTLOOK

We have explored the formulation of the MCTDHF approach both for fixed nuclei and including nuclear motion for application to any diatomic molecule within the nonrelativistic approximation. Furthermore, methods for overcoming several important technical barriers to such calculations have been demonstrated. The use of prolate spheroidal coordinates and an expansion of the wave function including nuclear motion in terms of configurations of orbitals which depend on  $R$  only through the dependence of the underlying coordinates on internuclear distance are crucial parts of the strategy described here. We have demonstrated that the use of such orbitals gives

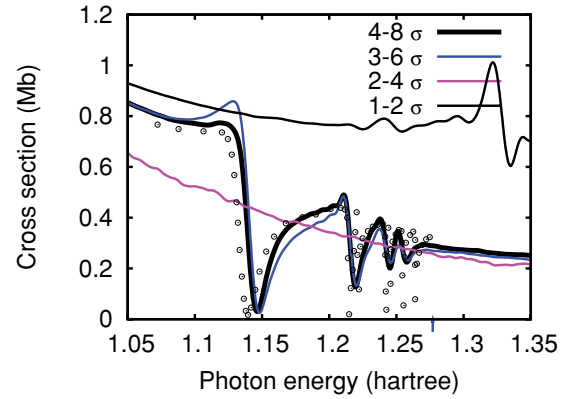


FIG. 5. (Color online) Fixed-nuclei ionization cross section of  $\text{H}_2$ ,  $\Sigma$  symmetry, using the treatment of Eq. (52) to solve for only the perturbation to the wave function: results for one through four initial state  $\sigma$  orbitals, with twice that number for the pulse and flux steps, using the same pulse parameters as in Fig. 4. Circles: results of Sanchez and Martín [71].

a rapidly convergent representation of the vibrational states of diatomics in calculations that avoid the Born-Oppenheimer approximation. Furthermore, we have shown that photoionization cross sections can be extracted from the MCTDHF wave functions in full dimensionality, and demonstrated that accurate photoionization cross sections can be calculated using a method for solving for only the perturbation caused by a time-dependent potential. These methods are immediately applicable, with no modification, to photoionization including nuclear motion and to photoionization of larger diatomic molecules. A straightforward extension to include projection on states of the cation will allow calculations of excitation ionization. Finally, the problem of Auger decay spectra, with or without nuclear motion, should be amenable to treatment using these methods.

## ACKNOWLEDGMENTS

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## APPENDIX A: ONE-ELECTRON MATRIX ELEMENTS

We follow Ref. [33] in the derivation of the matrix elements of the electronic Hamiltonian in our prolate spheroidal DVR basis, and provide additional formulas for the nonadiabatic terms. For details on the use of the FEM DVR basis, see Ref. [35]. We evaluate all the integrals by quadrature; the only nonpolynomial terms approximated by quadrature are the repulsive inverse integer powers that appear, for example, in centrifugal potentials.

We refer to the matrix elements using the notation of Eq. (28) representing the Hamiltonian we use here, which is exact for  $J = 0$  except for the omission of the two-electron terms in  $\hat{I}^2$ . The Hamiltonian is otherwise accurate except for Coriolis coupling for  $J \neq 0$ . In the equations below,  $f_{i\alpha}^M$  refers to an electronic FEM DVR product basis function of Eq. (19),  $\chi$  to a primitive DVR function of Eq. (18), and  $\chi'$  to its first derivative.

The matrix elements in  $R$  are straightforward,

$$\begin{aligned} \mathbf{T}_{ij}^R &= \langle \chi_i(R) | -\frac{1}{2} \frac{\partial^2}{\partial R^2} | \chi_j(R) \rangle \\ &= \frac{1}{2} \sum_k w_k \chi'_i(R_k) \chi'_j(R_k), \end{aligned} \quad (\text{A1})$$

$$\begin{aligned} \mathbf{D}_{ij}^R &= \langle \chi_i(R) | \frac{2}{R} \left( \frac{\partial}{\partial R} \right)_{\xi\eta\phi} - \frac{1}{R^2} | \chi_j(R) \rangle \\ &\approx \frac{1}{R_i} \chi'_i(R_i) - \frac{1}{R_j} \chi'_j(R_j). \end{aligned}$$

The electronic matrix elements

$$\begin{aligned} \mathbf{T}_{iajb\mathcal{M}}^{\text{el}} &= \langle f_{ia}^{\mathcal{M}} | \frac{-R^2}{2\mu_e} \nabla^2 + \frac{1}{2\mu_R} \left[ -\left( \hat{Y} + \frac{3}{2} \right)^2 + \hat{l}^2 \right] | f_{jb}^{\mathcal{M}} \rangle, \\ \mathbf{D}_{iajb\mathcal{M}}^{\text{el}} &= \langle f_{ia}^{\mathcal{M}} | \hat{Y} + \frac{3}{2} | f_{jb}^{\mathcal{M}} \rangle, \end{aligned} \quad (\text{A2}) \quad \text{as}$$

$$\begin{aligned} \mathbf{T}_{iajb\mathcal{M}}^{\text{el}} &\approx \delta_{ij} \sum_c \chi'_a(\xi_c) \chi'_b(\xi_c) w_c \left[ \frac{\xi_c^2 - 1}{2\mu_e} + \frac{(\xi_c + \alpha\eta)^2 (\xi^2 - 1)^2 + \rho^2 (\eta + \alpha\xi)^2}{2\mu_R} \right] + \delta_{ab} \sum_k \chi'_i(\eta_k) \chi'_j(\eta_k) w_k \\ &\times \left[ \frac{1 - \eta_k^2}{2\mu_e} + \frac{(\eta_k + \alpha\xi_a)^2 (1 - \eta_k^2)^2 + \rho^2 (\xi + \alpha\eta)^2}{2\mu_R} \right] - \frac{3}{2} \delta_{ij} [(\xi_a + \alpha\eta_i)(\xi_a^2 - 1) \chi'_b(\xi_a) + (\xi_b + \alpha\eta_i)(\xi_b^2 - 1) \chi'_a(\xi_b)] \\ &- \frac{3}{2} \delta_{ab} [(\eta_i + \alpha\xi_a)(1 - \eta_i^2) \chi'_j(\eta_i) + (\eta_j + \alpha\xi_a)(1 - \eta_j^2) \chi'_i(\eta_j)] + \delta_{ij} \delta_{ab} \left[ \frac{9}{4} + \left( \mathcal{M} \frac{\xi_a \eta_i + \alpha}{\rho} \right)^2 \right], \end{aligned} \quad (\text{A4})$$

$$\begin{aligned} \mathbf{D}_{iajb\mathcal{M}}^{\text{el}} &\approx \delta_{ij} [(\xi_a + \alpha\eta_i)(\xi_a^2 - 1) \chi'_b(\xi_a) - (\xi_b + \alpha\eta_i)(\xi_b^2 - 1) \chi'_a(\xi_b)] + \delta_{ab} [(\eta_i + \alpha\xi_a)(1 - \eta_i^2) \chi'_j(\eta_i) \\ &+ (\eta_j + \alpha\xi_a)(1 - \eta_j^2) \chi'_i(\eta_j)]. \end{aligned} \quad (\text{A5})$$

In the present application, the pulse was parallel to the bond axis, and the dipole operator is given in length and velocity gauge as

$$\langle f_{ia}^{\mathcal{M}} | \hat{\mu} | f_{jb}^{\mathcal{M}} \rangle = \langle f_{ia}^{\mathcal{M}} | \frac{z}{R} | f_{jb}^{\mathcal{M}} \rangle \approx \delta_{ij} \delta_{ab} \frac{\xi_a \eta_i + \alpha}{2} \quad (\text{A6})$$

and

$$\begin{aligned} \langle f_{ia}^{\mathcal{M}} | \hat{\mu} | f_{jb}^{\mathcal{M}} \rangle &= \langle f_{ia}^{\mathcal{M}} | R \frac{\partial}{\partial z} | f_{jb}^{\mathcal{M}} \rangle \\ &\approx 2\delta_{ij} [(\xi_a^2 - 1)(\eta_i + \alpha\xi_a) \chi'_b(\xi_a) \\ &- (\xi_b^2 - 1)(\eta_i + \alpha\xi_b) \chi'_a(\xi_b)] \\ &+ 2\delta_{ab} [(1 - \eta_i^2)(\xi_a + \alpha\eta_i) \chi'_j(\eta_i) \\ &- (1 - \eta_j^2)(\xi_b + \alpha\eta_j) \chi'_i(\eta_j)], \end{aligned} \quad (\text{A7})$$

respectively. Extension to perpendicular or circular polarization is straightforward.

## APPENDIX B: TWO-ELECTRON MATRIX ELEMENTS

We can follow the method of Refs. [33,35] to construct the matrix elements of  $1/r_{12}$  in the DVR basis. We evaluate the matrix elements of a multipole expansion of this operator by

involving the operators

$$\begin{aligned} R^2 \nabla^2 &= \frac{4}{(\xi^2 - \eta^2)} \left[ \frac{\partial}{\partial \xi} (\xi^2 - 1) \frac{\partial}{\partial \xi} + \frac{\partial}{\partial \eta} (1 - \eta^2) \frac{\partial}{\partial \eta} \right], \\ \hat{Y} &= \frac{(\xi + \alpha\eta)(\xi^2 - 1)}{\xi^2 - \eta^2} \frac{\partial}{\partial \xi} + \frac{(\eta + \alpha\xi)(1 - \eta^2)}{\xi^2 - \eta^2} \frac{\partial}{\partial \eta}, \\ \hat{l}^2 &= \mathcal{M}^2 + \frac{1}{2} (\hat{l}^+ \hat{l}^- + \hat{l}^- \hat{l}^+) = \mathcal{M}^2 + \frac{1}{2} (\hat{l}^- \hat{l}^- + \hat{l}^+ \hat{l}^+), \\ l^\pm &= e^{\pm i\phi} \left( \pm \frac{\rho(\eta + \alpha\xi)}{\xi^2 - \eta^2} \frac{\partial}{\partial \xi} \mp \frac{\rho(\xi + \alpha\eta)}{\xi^2 - \eta^2} \frac{\partial}{\partial \eta} - \frac{\xi\eta + \alpha}{\rho} \mathcal{M} \right), \end{aligned}$$

where we use the shorthand  $\rho \equiv \sqrt{(\xi^2 - 1)(1 - \eta^2)}$ , may be expressed using the identities

$$\begin{aligned} \hat{Y} + \frac{3}{2} &= \frac{1}{2} (\hat{Y} - \hat{Y}^\dagger), \\ (\hat{Y} + \frac{3}{2})^2 &= -(\hat{Y} + \frac{3}{2})^\dagger (\hat{Y} + \frac{3}{2}), \end{aligned} \quad (\text{A3})$$

as

solving Poisson's equation in the radial ( $\xi$ ) coordinate while evaluating the  $\eta$  integrals by quadrature. The resulting matrix elements retain the sparsity of the DVR representation, being diagonal in  $\xi$  and  $\eta$  and off-diagonal only in the  $\mathcal{M}$  quantum numbers of the electrons. This point is crucial for the present time-dependent application, as it means that the two-electron transformations do not dominate the computational time, which is instead primarily determined by the action of the Jacobian of Eq. (8) onto the orbitals within the mean field step.

We begin by defining regular and irregular Legendre functions [72] with an additional normalization factor,

$$\tilde{P}_{lm}(\xi) \equiv N_{lm} P_{lm}(\xi) = \sqrt{\frac{(2l+1)(l-m)!}{2(l+m)!}} P_{lm}(\xi), \quad (\text{B1})$$

$$\tilde{Q}_{lm}(\xi) \equiv N_{lm} Q_{lm}(\xi) = \sqrt{\frac{(2l+1)(l-m)!}{2(l+m)!}} Q_{lm}(\xi),$$

so that the Neumann expansion of  $1/r_{12}$  may be written

$$\begin{aligned} \frac{1}{r_{12}} &= \frac{8\pi}{R} \sum_{lm} (-1)^m \frac{2}{2l+1} \frac{e^{im\phi_1}}{\sqrt{2\pi}} \frac{e^{-im\phi_2}}{\sqrt{2\pi}} \\ &\times \tilde{P}_{lm}(\xi_<) \tilde{Q}_{lm}(\xi_>) \tilde{P}_{lm}(\eta_1) \tilde{P}_{lm}(\eta_2). \end{aligned} \quad (\text{B2})$$

Thus to compute the two-electron integrals we require the matrix elements of  $\tilde{P}_{lm}(\xi_{<})\tilde{Q}_{lm}(\xi_{>})$  in the DVR basis in  $\xi$ . This function is the Green's function for the following equation:

$$\left[ \frac{\partial}{\partial \xi_1} (\xi^2 - 1) \frac{\partial}{\partial \xi_1} - l(l+1) - \frac{m^2}{\xi_1^2 - 1} \right] \tilde{P}_{lm}(\xi_{<}) \tilde{Q}_{lm}(\xi_{>}) = (\xi_1^2 - 1) W(\tilde{P}_{lm}(\xi_1), \tilde{Q}_{lm}(\xi_1)) \delta(\xi_1 - \xi_2), \quad (\text{B3})$$

where  $W$  is the Wronskian of the two Legendre functions, which has the value

$$W_{lm}(\xi_1) = \frac{(-1)^m 2^{2m} \Gamma(\frac{l+m+2}{2}) \Gamma(\frac{l+m+1}{2})}{(1 - \xi_1^2) \Gamma(\frac{l-m+2}{2}) \Gamma(\frac{l-m+1}{2})}. \quad (\text{B4})$$

Expressing the operator in square brackets in Eq. (B3) in the DVR basis and approximating the matrix elements of both sides of that equation using the DVR quadrature, we arrive at an expression for the matrix elements of  $\tilde{P}_{lm}(\xi_{<})\tilde{Q}_{lm}(\xi_{>})$ ,

$$\begin{aligned} R_{ab}^{lm} &\equiv \langle \chi_a \chi_b | \frac{2}{2l+1} \tilde{P}_{lm}(\xi_{<}) \tilde{Q}_{lm}(\xi_{>}) | \chi_c \chi_d \rangle \\ &\approx \delta_{ac} \delta_{bd} \left[ \frac{2}{2l+1} \frac{\tilde{P}_{lm}(\xi_a) \tilde{P}_{lm}(\xi_b) \tilde{Q}_{lm}(\xi_N)}{\tilde{P}_{lm}(\xi_N)} \right. \\ &\quad \left. - (-1)^m \frac{(l-m)! \Gamma(\frac{l+m+2}{2}) \Gamma(\frac{l+m+1}{2})}{(l+m)! \Gamma(\frac{l-m+2}{2}) \Gamma(\frac{l-m+1}{2})} \frac{(T_{lm}^{-1})_{ab}}{\sqrt{w_a w_b}} \right]. \end{aligned} \quad (\text{B5})$$

In Eq. (B5),  $N$  is the last Gauss-Radau grid point in  $\xi$ , corresponding to a DVR function discarded to enforce the correct boundary condition at the end of the  $\xi$  grid on the

solution of Eq. (B3), and  $T_{lm}$  is the the matrix of the operator in square brackets in that equation in terms of the DVR functions  $\chi_i(\xi)$  (for even  $m$ ) or  $\sqrt{(\xi^2 - 1)/(\xi_i^2 - 1)} \chi_i(\xi)$  (for odd  $m$ ), which are normalized to unity with respect to integration over  $\xi$ . Those matrix elements are

$$(T_{lm})_{ij} \approx -\delta_{ij} \left( \frac{m^2}{\xi_i^2 - 1} + l(l+1) \right) - \sum_k \chi_i'(\xi_k) \chi_j'(\xi_k) w_k (\xi_k^2 - 1). \quad (\text{B6})$$

Using the expression for  $R_{ab}^{lm}$  in Eq. (B5) we obtain the final result for the two-electron matrix elements in our DVR basis,

$$\begin{aligned} \langle f_{ia}^{\mathcal{M}_1} f_{jb}^{\mathcal{M}_2} | \frac{1}{r_{12}} | f_{kc}^{\mathcal{M}_1-m} f_{ld}^{\mathcal{M}_2+m} \rangle \\ = \delta_{ac} \delta_{bd} \delta_{ik} \delta_{jl} \frac{4}{R} \sum_{l=0}^{l_{\max}} R_{ab}^{lm} \tilde{P}_{lm}(\eta_i) \tilde{P}_{lm}(\eta_j), \end{aligned} \quad (\text{B7})$$

which has exactly the form in Eq. (20). This expression depends also on having used a fixed DVR quadrature to approximate the  $\eta_1$  and  $\eta_2$  integrations, and a given quadrature order cannot be used for arbitrarily large  $l$  values in the Neumann expansion in Eq. (B2). In our numerical calculations we use  $l_{\max} = N_\eta$  where  $N_\eta$  is the number of DVR functions in  $\eta$ . We have found this choice to be optimal when using this algorithm implemented for spherical polar coordinates, and at least near optimal for the present case of prolate spheroidal coordinates.

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