# **Photoelectron spectra in strong-field ionization by a high-frequency field**

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We analyze atomic photoelectron momentum distributions induced by bichromatic and monochromatic laser fields within the strong-field approximation (SFA), separable Coulomb-Volkov approximation (SCVA), and *ab initio* treatment. We focus on the high frequency regime—the smallest frequency used is larger than the ionization potential of the atom. We observe a remarkable agreement between the *ab initio* and velocity gauge SFA results while the velocity gauge SCVA fails to agree. Reasons of such a failure are discussed.

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# **I. INTRODUCTION**

The simultaneous application of more than one laser field leads to a number of novel effects. These effects include reducing the excitation or ionization rates  $\lceil 1 \rceil$  $\lceil 1 \rceil$  $\lceil 1 \rceil$  or altering the photoelectron angular distributions and the harmonic emission parity selection rules  $[2]$  $[2]$  $[2]$ . Furthermore, a laser field can dress or strongly mix the field-free excited states, including the continuum, of an atom. This produces new resonancelike structures, which could not exist otherwise. Such an effect is called laser-induced continuum structure  $[3-5]$  $[3-5]$  $[3-5]$ , and it has been applied to design lasers without inversion  $[6]$  $[6]$  $[6]$ . Multicolor lasers are also exploited for control of quantum dynamics  $[7-9]$  $[7-9]$  $[7-9]$ , laser-induced electron diffraction  $[10]$  $[10]$  $[10]$ , attosecond laser pulse synthesis  $[11]$  $[11]$  $[11]$ , and above-threshold ionization  $(ATI)$   $[12-14]$  $[12-14]$  $[12-14]$ . Moreover, multistep ionization, where each step is driven by a laser at its resonant frequency, has many useful applications: efficient atomic isotope separation  $[15]$  $[15]$  $[15]$ , extremely sensitive detection of small numbers of atoms in a sample (single-atom detection)  $[16]$  $[16]$  $[16]$ , and very-highresolution photoelectron spectroscopy  $[17]$  $[17]$  $[17]$ . Nevertheless, the presented list of phenomena is not exhausted, and we refer the reader to reviews  $[2,18,19]$  $[2,18,19]$  $[2,18,19]$  $[2,18,19]$  $[2,18,19]$  for further discussions.

Perelomov and Popov were the first to study theoretically ionization of an atom by multicolor laser radiation  $\lceil 20 \rceil$  $\lceil 20 \rceil$  $\lceil 20 \rceil$ within the imaginary-time method  $\lceil 21 \rceil$  $\lceil 21 \rceil$  $\lceil 21 \rceil$ . Afterwards, a broad variety of perturbative  $[22-25]$  $[22-25]$  $[22-25]$  as well as nonperturbative results  $\lceil 26-32 \rceil$  $\lceil 26-32 \rceil$  $\lceil 26-32 \rceil$  has been obtained. In addition, extensive numerical investigations have also been performed  $\left[33-40\right]$  $\left[33-40\right]$  $\left[33-40\right]$ .

In the present paper, we first present a general formalism to tackle the problem of ionization by multiple laser fields with no restriction on the parameters of the laser fields. Two analytical approaches are employed: the strong-field approximation (SFA) and the separable Coulomb-Volkov approximation (SCVA). However, as it will be seen below, both the approximations lead to quite different results for photoelectron momentum distributions. Therefore, *ab initio* calculations have been done in order to be able to make conclusions upon the validity of our analytical predictions.

The main result of this paper is that on the one hand, the SCVA fails to agree with *ab initio* results; on the other hand, the SFA adequately matches with *ab initio* calculations. We will discuss causes of such a fact.

The SFA and SCVA are diametrical opposites in treating the final state of a photoelectron. The former approximation completely ignores the influence of the Coulomb field of an ion by employing the Volkov wave function for the final state of an electron  $[41-43]$  $[41-43]$  $[41-43]$  (atomic units are used throughout),

$$
|\Psi_{\text{SFA}}(t)\rangle = \exp\left\{-\frac{i}{2}\int^{t} [\mathbf{p} + \mathbf{A}(\tau)]^2 d\tau\right\} |\mathbf{p}\rangle, \tag{1}
$$

where  $\mathbf{A}(t)$  is the vector potential of a laser field and  $|\mathbf{p}\rangle$ denotes a plane wave with momentum **p**. On the contrary, the SCVA attempts to account for the Coulomb correction by using the separable Coulomb-Volkov continuum wave function (or the Coulomb-Volkov ansatz) that is defined as

$$
|\Psi_{\text{SCV}}(t)\rangle = \exp\left\{-\frac{i}{2}\int^{t} [\mathbf{p} + \mathbf{A}(\tau)]^2 d\tau\right\} |\psi_{\mathbf{p}}\rangle, \tag{2}
$$

where  $|\psi_{\bf p}\rangle$  denotes a stationary laser-free atomic continuum wave function with a defined asymptotic momentum  $\mathbf{p}$  [the wave function  $|\psi_{\bf p}\rangle$  satisfies the orthonormality condition  $\langle \psi_{\mathbf{p}} | \psi_{\mathbf{p'}} \rangle = \delta(\mathbf{p} - \mathbf{p'})$ . A justification for the SCVA based on the sudden perturbation approximation  $[44, 45]$  $[44, 45]$  $[44, 45]$  $[44, 45]$  $[44, 45]$  and the se-

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quential three-stage model of laser-assisted x-ray photoionization has been presented in Refs.  $[46, 47]$  $[46, 47]$  $[46, 47]$  $[46, 47]$  $[46, 47]$ , where the SCVA has been used to refine the theory of an attosecond streak camera and spectral phase interferometry.

Recent developments and projects of generation of highintensity x-ray laser sources (see, e.g., Refs.  $[48–54]$  $[48–54]$  $[48–54]$  $[48–54]$  and references therein) have stimulated interest in the theoretical description of multiphoton ionization at high laser frequencies. Thus, we illustrate our results for the case when the smallest frequency of a laser is larger than the ionization potential of an atom.

The rest of the paper is organized as follows. In Sec. [II,](#page-1-0) the general formalism for obtaining the velocity gauge SFA and SCVA multicolor ionization amplitudes is developed. In Sec. [III,](#page-2-0) ionization by a bichromatic laser field is investigated by means of both the approximations as well as *ab initio* numerical solution of the Schrödinger equation. Ionization by monochromatic laser radiation is studied in Sec. [IV.](#page-5-0) Finally, conclusions are made in Sec. [V.](#page-6-0)

#### **II. MATHEMATICAL BACKGROUND**

<span id="page-1-0"></span>Before presenting our general scheme, we clarify the main peculiarity of our formalism. The saddle point approximation was applied to calculate photoionization amplitudes in the majority of previously published approaches. Rigorously speaking, the use of the saddle point approximation inevitably poses some restrictions on the parameters of laser fields. To avoid any restrictions, we generalize the ideology of the Keldysh-Faisal-Reiss theory  $\lceil 41-43 \rceil$  $\lceil 41-43 \rceil$  $\lceil 41-43 \rceil$  (and its recent applications to ionization in bichromatic laser radiation [[31](#page-7-32)[,32](#page-7-21)]) and expand the time-dependent part of the Volkov wave function [see Eq.  $(6)$  $(6)$  $(6)$ ] into a multiple Fourier series. Such an expansion allows us to obtain readily close analytical expressions for the SFA and SCVA ionization amplitudes in the velocity gauge.

The velocity gauge SCVA and SFA photoionization amplitudes are defined as

$$
\mathfrak{M}_{\rm SCV} = -i \int_{-\infty}^{\infty} \langle \Psi_{\rm SCV}(t) | \hat{\mathbf{p}} \cdot \mathbf{A}(t) | g \rangle e^{iI_p t} dt, \tag{3}
$$

<span id="page-1-7"></span>
$$
\mathfrak{M}_{\text{SFA}} = -i \int_{-\infty}^{\infty} \langle \Psi_{\text{SFA}}(t) | \hat{\mathbf{p}} \cdot \mathbf{A}(t) + \frac{1}{2} \mathbf{A}^2(t) | g \rangle e^{iI_p t} dt. \tag{4}
$$

Here  $I_p$  is the ionization potential,  $|g\rangle$  is the initial atomic state, and the vector potential reads  $\mathbf{A}(t) = \sum_{n=1}^{N} \mathbf{A}_n(t)$ , with

$$
\mathbf{A}_n(t) = -\frac{\mathbf{E}_1^{(n)}}{\Omega_n} \sin(\Omega_n t + \varphi_n) - \frac{\mathbf{E}_2^{(n)}}{\Omega_n} \cos(\Omega_n t + \varphi_n), \quad (5)
$$

<span id="page-1-2"></span>where  $\mathbf{E}_1^{(n)}$  and  $\mathbf{E}_2^{(n)}$  are mutually orthogonal electrical fields,  $\Omega_n$  is the frequency  $(\Omega_n \neq 0)$ , and  $\varphi_n$  is the absolute phase. Equation  $(5)$  $(5)$  $(5)$  can be alternatively written as

$$
\mathbf{A}_n(t) = A_0^{(n)} [\mathbf{e}_1^{(n)} \sin(\Omega_n t + \varphi_n) \sin \varphi_n + \mathbf{e}_2^{(n)} \cos(\Omega_n t + \varphi_n) \cos \varphi_n],
$$

where  $A_0^{(n)}$  $=-\mathcal{E}_n/\Omega_n$ ,  $\mathcal{E}_n = \sqrt{(\mathbf{E}_1^{(n)})^2 + (\mathbf{E}_2^{(n)})^2}$ ,  $\phi_n$  is the ellipticity parameter (sin  $\phi_n = E_1^{(n)}/\mathcal{E}_n$ , cos  $\phi_n = E_2^{(n)}/\mathcal{E}_n$ ), and  $\mathbf{e}_{1,2}^{(n)}$  are the polarization vectors along the vectors  $\mathbf{E}_{1,2}^{(n)}$ , respectively.

The time-dependent part of the Volkov wave function,

$$
\Phi^V(t) = \exp\left\{-\frac{i}{2}\int^t \left[\mathbf{p} + \sum_{n=1}^N \mathbf{A}_n(\tau)\right]^2 d\tau\right\},\qquad(6)
$$

<span id="page-1-1"></span>can be factorized in the following way:

$$
\Phi^V(t) = \prod_{n=1}^N \left[ \Phi_n^{(1)}(t) \prod_{m=n+1}^N \Phi_{n,m}^{(2)}(t) \right],\tag{7}
$$

<span id="page-1-3"></span>where

<span id="page-1-6"></span>
$$
\Phi_n^{(1)}(t) = \exp\left\{i\frac{N-1}{2N}\mathbf{p}^2t - \frac{i}{2}\int^t [\mathbf{p} + \mathbf{A}_n(\tau)]^2 d\tau\right\},\
$$

$$
\Phi_{n,m}^{(2)}(t) = \exp\left\{-i\int^t \mathbf{A}_n(\tau) \cdot \mathbf{A}_m(\tau) d\tau\right\}.
$$
(8)

The interpretation of factorization  $(7)$  $(7)$  $(7)$  is quite obvious: the term  $\Phi_n^{(1)}(t)$  represents the contribution from propagation solely in the field  $A_n(t)$  and the term  $\Phi_{n,m}^{(2)}(t)$ —a coupling between propagations in the fields  $A_n(t)$  and  $A_m(t)$ . Carrying out tedious but straight forward calculations, we obtain the Fourier expansion of the components  $\Phi^{(1)}$  and  $\Phi^{(2)}$ ,

<span id="page-1-4"></span>
$$
\Phi_n^{(1)}(t) = \sum_{k=-\infty}^{\infty} i^k \exp\{ik(\varphi_n - \varphi_0^{(n)})\} \mathcal{J}_k(-\mathcal{N}_n, -\mathcal{M}_2^{(n)}; 2\varphi_0^{(n)})
$$

$$
\times \exp\{-i\left(\frac{\mathbf{p}^2}{2N} + [2\mathcal{M}_1^{(n)} - k]\Omega_n\right)t\},\tag{9}
$$

<span id="page-1-5"></span>
$$
\Phi_{n,m}^{(2)}(t) = \sum_{k,l=-\infty}^{\infty} J_k(\mathcal{K}_{n,m}) J_l(\mathcal{L}_{n,m}) e^{i(l+k)\varphi_n + i(l-k)\varphi_m - ik\alpha_{n,m} - il\beta_{n,m}}
$$

$$
\times \exp[i(l+k)\Omega_n t + i(l-k)\Omega_m t],
$$
(10)

where

$$
\mathcal{M}_{1,2}^{(n)} = \frac{1}{(2\Omega_n)^3} [(\mathbf{E}_1^{(n)})^2 \pm (\mathbf{E}_2^{(n)})^2],
$$
  

$$
\mathcal{N}_n = \sqrt{(\mathcal{N}_1^{(n)})^2 + (\mathcal{N}_2^{(n)})^2}, \quad \mathcal{N}_{1,2}^{(n)} = \frac{\mathbf{p} \cdot \mathbf{E}_{1,2}^{(n)}}{\Omega_n^2},
$$
  

$$
\mathcal{K}_{n,m} = -\sigma_1^{(n,m)}/[2\Omega_n\Omega_m(\Omega_n - \Omega_m)],
$$
  

$$
\mathcal{L}_{n,m} = -\sigma_2^{(n,m)}/[2\Omega_n\Omega_m(\Omega_n + \Omega_m)],
$$
  

$$
\sigma_{1,2}^{(n,m)} = ([\mathbf{E}_1^{(n)} \cdot \mathbf{E}_2^{(m)} \mp \mathbf{E}_2^{(n)} \cdot \mathbf{E}_1^{(m)}]^2
$$
  

$$
+ [\mathbf{E}_2^{(n)} \cdot \mathbf{E}_2^{(m)} \pm \mathbf{E}_1^{(n)} \cdot \mathbf{E}_1^{(m)}]^2)^{1/2}.
$$

The angle  $\varphi_0^{(n)}$  is defined by the equations cos  $\varphi_0^{(n)}$  $=\mathcal{N}_1^{(n)}/\tilde{\mathcal{N}}_n$  and sin  $\varphi_0^{(n)} = -\mathcal{N}_2^{(n)}/\tilde{\mathcal{N}}_n$ ; the angles  $\alpha_{n,m}$  and  $\beta_{n,m}$ are given as solutions of the following equations:

$$
\cos \alpha_{n,m} = \left[ \mathbf{E}_1^{(n)} \cdot \mathbf{E}_1^{(m)} + \mathbf{E}_2^{(n)} \cdot \mathbf{E}_2^{(m)} \right] / \sigma_1^{(n,m)},
$$

$$
\sin \alpha_{n,m} = \left[\mathbf{E}_1^{(n)} \cdot \mathbf{E}_2^{(m)} - \mathbf{E}_2^{(n)} \cdot \mathbf{E}_1^{(m)}\right] / \sigma_1^{(n,m)},
$$
  
\n
$$
\cos \beta_{n,m} = \left[\mathbf{E}_2^{(n)} \cdot \mathbf{E}_2^{(m)} - \mathbf{E}_1^{(n)} \cdot \mathbf{E}_1^{(m)}\right] / \sigma_2^{(n,m)},
$$
  
\n
$$
\sin \beta_{n,m} = \left[\mathbf{E}_1^{(n)} \cdot \mathbf{E}_2^{(m)} + \mathbf{E}_2^{(n)} \cdot \mathbf{E}_1^{(m)}\right] / \sigma_2^{(n,m)}.
$$

 $\mathcal{J}_n(u, v; \eta)$  denotes a two-variable–one-parameter Bessel function [[55](#page-7-33)]

$$
\mathcal{J}_n(u, v; \eta) = \frac{1}{2\pi} \int_{-\pi}^{+\pi} e^{i[u \sin t + v \sin(2t + \eta) - nt]} dt
$$

$$
= \sum_{k=-\infty}^{\infty} J_{n-2k}(u) J_k(v) e^{ik\eta}, \qquad (11)
$$

where  $J_n(x)$  is the well-known ordinary Bessel function.

It is convenient to write down separately the result for the case of multicolor linearly polarized fields  $(\mathbf{E}_2^{(n)}=0)$ ,

$$
\mathcal{N}_n = \mathcal{N}_1^{(n)}, \quad \mathcal{M}_1^{(n)} = \mathcal{M}_2^{(n)} = U_p^{(n)}/2\Omega_n,
$$
  
\n
$$
\varphi_0^{(n)} = 0, \quad \phi_n = \pi/2, \quad \alpha_{n,m} = \beta_{n,m} = 0,
$$
  
\n
$$
\mathcal{K}_{n,m} = 2\sqrt{U_p^{(n)}U_p^{(m)}}\cos \theta_{n,m}/(\Omega_m - \Omega_n),
$$
  
\n
$$
\mathcal{L}_{n,m} = 2\sqrt{U_p^{(n)}U_p^{(m)}}\cos \theta_{n,m}/(\Omega_m + \Omega_n),
$$
\n(12)

where  $U_p^{(n)} = (E_1^{(n)}/2\Omega_n)^2$  are the pondermotive potentials and  $\theta_{n,m}$  are angles between the fields  $\mathbf{E}_1^{(n)}$  and  $\mathbf{E}_1^{(m)}$ , i.e.,  $\cos \theta_{n,m} = \mathbf{e}_1^{(n)} \cdot \mathbf{e}_1^{(m)}$ .

Equations  $(7)$  $(7)$  $(7)$ ,  $(9)$  $(9)$  $(9)$ , and  $(10)$  $(10)$  $(10)$  form our general formalism. We point out that this formalism is not valid if one of the fields is a dc field (the generalization of the scheme to such a case will be published elsewhere). Having reached Eqs. ([7](#page-1-3)),  $(9)$  $(9)$  $(9)$ , and  $(10)$  $(10)$  $(10)$ , the general form of multicolor ionization amplitudes for an arbitrary *N* can be obtained within the SCVA as well as the SFA. In Sec. [III A,](#page-2-1) we shall study ionization by a two-color laser field  $(N=2)$ , which is a most interesting case from the point of view of applications.

## **III. IONIZATION BY A BICHROMATIC LASER FIELD**

## **A. Two elliptically polarized fields**

<span id="page-2-1"></span><span id="page-2-0"></span>The velocity gauge photoionization amplitudes in the case of a two-color laser field within the SFA and SCVA are given by

<span id="page-2-2"></span>
$$
\mathfrak{M}_{\text{SFA,SCV}}(\mathbf{p}) = -\pi i \sum_{n,m=-\infty}^{\infty} (-i)^{n+m} e^{-in\varphi_1 - im\varphi_2} \mathfrak{M}_{\text{SFA,SCV}}^{(n,m)} \times \delta \left( \frac{\mathbf{p}^2}{2} + I_p + [2\mathcal{M}_1^{(1)} - n] \Omega_1 \n+ [2\mathcal{M}_1^{(2)} - m] \Omega_2 \right),
$$
\n(13)

where

$$
\mathfrak{M}^{(n,m)}_{\text{SFA}} = 2\langle \mathbf{p} | g \rangle \left( \left[ 2\mathcal{M}_1^{(1)} - n \right] \Omega_1 + \left[ 2\mathcal{M}_1^{(2)} - m \right] \Omega_2 \right) S_{n,m},\tag{14}
$$

$$
\mathfrak{M}^{(n,m)}_{SCV} = A_0^{(1)} [M_{ph}^{(1)} (\pi - \phi_1) S_{n+1,m} + M_{ph}^{(1)} (\phi_1) S_{n-1,m}] + A_0^{(2)}
$$
  
×[M\_{ph}^{(2)} (\pi - \phi\_2) S\_{n,m+1} + M\_{ph}^{(2)} (\phi\_2) S\_{n,m-1}], (15)

$$
M_{ph}^{(n)}(\phi) = \langle \psi_{\mathbf{p}} | (i\mathbf{e}_1^{(n)} \sin \phi + \mathbf{e}_2^{(n)} \cos \phi) \cdot \nabla | g \rangle, \quad (16)
$$

<span id="page-2-5"></span>
$$
S_{n,m} = \sum_{k,l=-\infty}^{\infty} (-1)^l J_k(\mathcal{K}_{1,2}) J_l(\mathcal{L}_{1,2})
$$
  
 
$$
\times \mathcal{J}_{n-l-k}(-\mathcal{N}_1, -\mathcal{M}_2^{(1)}; -2\varphi_0^{(1)})
$$
  
 
$$
\times \mathcal{J}_{m-l+k}(-\mathcal{N}_2, -\mathcal{M}_2^{(2)}; -2\varphi_0^{(2)}) \exp\{i([\![n-l-k]\!] \varphi_0^{(1)} + [\![m-l+k]\!] \varphi_0^{(2)} + k\alpha_{1,2} + l\beta_{1,2})\}. \tag{17}
$$

Here  $\langle \mathbf{p} | g \rangle$  is the wave function of the initial state in the momentum representation. Note that neither  $\mathfrak{M}_{\text{SFA}}^{(n,m)}$  nor  $\mathfrak{M}^{(n,m)}_{\text{SCV}}$  depends on the absolute phases  $\varphi_1$  and  $\varphi_2$  of the laser fields.

Hereafter, we shall assume that  $\Omega_2 > \Omega_1$  without lost of generality. Calculating the probability of ionization from Eq. ([13](#page-2-2)), we discern that the result is determined whether  $\Omega_1$  is commensurate with  $\Omega_2$ :

(i) When  $\Omega_1$  and  $\Omega_2$  are noncommensurate, the differential photoionization rate, which is the transition probability per unit time, reads

<span id="page-2-3"></span>
$$
W_{\text{SFA,SCV}}^{(i)}(\mathbf{p}) = \sum_{n,m=-\infty}^{\infty} \frac{\pi}{2} \delta \left( \frac{\mathbf{p}^2}{2} + I_p + [2\mathcal{M}_1^{(1)} - n] \Omega_1 + [2\mathcal{M}_1^{(2)} - m] \Omega_2 \right) |\mathfrak{M}_{\text{SFA,SCV}}^{(n,m)}|^2.
$$
 (18)

From Eq. ([18](#page-2-3)) one notices that the rate does not depend on the absolute phases of the laser fields.

(ii) When  $\Omega_1$  and  $\Omega_2$  are commensurate, we shall introduce the following notations  $\Omega_2 / \Omega_1 = K_2 / K_1$  and  $\omega$  $=\Omega_1/K_1 = \Omega_2/K_2$ , where  $K_1$  and  $K_2$  are coprime (their greatest common divisor equals 1). The choice of  $\omega$  is unique, and we will discus its physical interpretation later.

As an intermediate step in the derivation, we need to solve the following equation:

$$
n = K_1 Q(n) + K_2 P(n),
$$
 (19)

<span id="page-2-4"></span>where *n* is a given integer and  $Q(n)$  and  $P(n)$  are unknown integers. Such an equation is called Bézout's identity  $[56]$  $[56]$  $[56]$ , which is a linear Diophantine equation  $[57]$  $[57]$  $[57]$ . Primarily, we summarize necessary evidences from the theory of Eq. ([19](#page-2-4)). Since  $K_1$  and  $K_2$  are coprime, a solution  $[Q(n), P(n)]$  of this equation always exists and can be found by, e.g., the ex-tended Euclid algorithm. Moreover, Eq. ([19](#page-2-4)) has infinity many solutions because if a pair  $[Q(n), P(n)]$  is a solution of Eq. ([19](#page-2-4)), then pairs  $[Q(n) - mK_2, P(n) + mK_1]$ , where *m* being an arbitrary integer, are also solutions.

It is noteworthy to clarify the physical origin of Eq. ([19](#page-2-4)). This equation follows from the law of conservation of energy, where  $n$  is a number of quanta (the energy of each quantum equals  $\omega$ ) absorbed by the electron and  $Q(n)$  and  $P(n)$  are numbers of quanta gained from the first and second laser fields, correspondingly.

Finally, we obtain the following expression for the differential photoionization rate:

<span id="page-3-0"></span>
$$
W_{\text{SFA,SCV}}^{(ii)}(\mathbf{p}) = \sum_{n=N_0(\omega)}^{\infty} \frac{\pi}{2} \delta \left( \frac{\mathbf{p}^2}{2} + I_p + 2\omega [K_1 \mathcal{M}_1^{(1)} + K_2 \mathcal{M}_1^{(2)}] - n\omega \right)
$$
  
 
$$
\times \left| \sum_{m=-\infty}^{\infty} i^{m(K_2 - K_1)} e^{im(K_2 \varphi_1 - K_1 \varphi_2)} \mathfrak{M}_{\text{SFA,SCV}}^{[Q(n) - K_2 m, P(n) + K_1 m]} \right|^2,
$$
(20)

where  $N_0(\omega)$  is the minimal number of photons of the frequency  $\omega$  absorbed. For the sake of convenience, we provide the differential photoionization rate for the special case of Eq. ([20](#page-3-0)) when the ratio  $\Omega_2 / \Omega_1 = K$  is an integer:

<span id="page-3-1"></span>
$$
W_{\text{SFA,SCV}}^{(\text{iii})}(\mathbf{p}) = \sum_{n=N_0(\Omega_1)}^{\infty} \frac{\pi}{2} \delta \left( \frac{\mathbf{p}^2}{2} + I_p + 2\Omega_1 [\mathcal{M}_1^{(1)} + K \mathcal{M}_1^{(2)}] - n\Omega_1 \right) \Bigg|_{m=-\infty}^{\infty} i^{m(K-1)} e^{im(K\varphi_1 - \varphi_2)} \mathfrak{M}_{\text{SFA,SCV}}^{(n-Km,m)} \Bigg|^2,
$$
\n(21)

where  $N_0(\Omega_1)$  is the minimal number of photons of the frequency  $\Omega_1$  absorbed.

Let us now interpret the parameter  $\omega$ . From the mathematical point of view, the set of arguments of the delta functions in Eq.  $(13)$  $(13)$  $(13)$  must coincide with the corresponding set of arguments in Eq.  $(20)$  $(20)$  $(20)$ . From the physical point of view, since stimulated processes of absorption of *n* photons of the frequency  $\Omega_2$  and subsequent emission of *m* photons of  $\Omega_1$  are possible in the presence of the two laser fields, then  $\omega$  is the energy spacing between two neighboring ATI peaks.

If *K* is large, then the sum over *m* in Eq.  $(21)$  $(21)$  $(21)$  reduces to the term with  $m=0$  (because  $\mathfrak{M}^{(n,m)}_{\text{SFA,SCV}}$  decreases exponentially when either of its indices is large), and therefore rate  $(21)$  $(21)$  $(21)$  will not depend on the absolute phases. Similarly, rate ([20](#page-3-0)) eludes the dependence on the absolute phases in two cases: either  $K_1$  or  $K_2$  is large;  $K_1$  and  $K_2$  are simultaneously large. The physical origin of these statements is as follows: the effect of the absolute phases is to be manifested in ATI peaks that are induced by both the lasers simultaneously. Since  $\Omega_2 K_1 = \Omega_1 K_2$ , such a nearest peak corresponds to absorption of  $K_1$  quanta of  $\Omega_2$  and  $K_2$  quanta of  $\Omega_1$ . Therefore, the effect of the absolute phases is of  $max(K_1, K_2)$  order.

#### **B. Two linearly polarized fields: Special cases**

In this section, we consider ionization by a bichromatic linearly polarized field.

Computation of  $S_{n,m}$  in Eq. ([17](#page-2-5)) is itself a nontrivial prob-lem. Nevertheless, Eq. ([17](#page-2-5)) can be significantly reduced in the case when the coupling between two linearly polarized laser fields is small [this coupling is governed by  $\Phi_{1,2}^{(2)}(t)$  in Eqs. ([7](#page-1-3)) and ([8](#page-1-6))]. Introducing two dimensionless parameters

$$
\delta_{1,2} = \sqrt{U_p^{(1)} U_p^{(2)}} / (\Omega_2 \pm \Omega_1),\tag{22}
$$

we define the *small coupling limit* by means of the following inequalities:

$$
\delta_1 \leq 1, \quad \delta_2 \leq 1. \tag{23}
$$

<span id="page-3-4"></span>Expanding the ordinary Bessel functions in Eq.  $(17)$  $(17)$  $(17)$  into a Taylor series with respect to small parameters  $\mathcal{K}_{1,2}$  and  $\mathcal{L}_{1,2}$ , we obtain  $S_{n,m}^L$ —an asymptotic expansion of  $S_{n,m}$  in the small coupling limit,

<span id="page-3-3"></span>
$$
S_{n,m}^L = J_n \left( -\mathcal{N}_1, -\frac{U_p^{(1)}}{2\Omega_1} \right) J_m \left( -\mathcal{N}_2, -\frac{U_p^{(2)}}{2\Omega_2} \right) + \mathbf{J}_1 \Delta \mathbf{J}_2^T \cos \theta
$$
  
+  $O(\delta_1^2) + O(\delta_1 \delta_2) + O(\delta_2^2)$ , (24)

where  $J_n(u, v) = \mathcal{J}_n(u, v; 0)$  is the two-dimensional Bessel function (see, e.g., Refs. [[43,](#page-7-25)[55,](#page-7-33)[58,](#page-7-36)[59](#page-7-37)]),  $\theta = \theta_{1,2}$  is the angle between the laser fields, and the matrix  $\Delta$  and the vectors  $J_{12}$  are defined as

$$
\Delta = \begin{pmatrix} \delta_2 & -\delta_1 \\ \delta_1 & -\delta_2 \end{pmatrix},\tag{25}
$$

$$
\mathbf{J}_1 = [J_{n-1}(-\mathcal{N}_1, -U_p^{(1)}/2\Omega_1), J_{n+1}(-\mathcal{N}_1, -U_p^{(1)}/2\Omega_1)],
$$
\n(26)

$$
\mathbf{J}_2 = [J_{m+1}(-\mathcal{N}_2, -U_p^{(2)}/2\Omega_2), J_{m-1}(-\mathcal{N}_2, -U_p^{(2)}/2\Omega_2)].
$$
\n(27)

Note that in the case when linearly polarized lasers are perpendicular  $(\theta = \pi/2)$ , the full expression for *S<sub>n,m</sub>* [Eq.  $(17)$  $(17)$  $(17)$ ] reduces immediately to

$$
S_{n,m} = J_n \left( -\mathcal{N}_1, -\frac{U_p^{(1)}}{2\Omega_1} \right) J_m \left( -\mathcal{N}_2, -\frac{U_p^{(2)}}{2\Omega_2} \right). \tag{28}
$$

<span id="page-3-2"></span>Equation  $(28)$  $(28)$  $(28)$  coincides with the leading term in Eq.  $(24)$  $(24)$  $(24)$ . Hence, the small coupling limit is an advantageous approximation only for nonperpendicular field configurations, i.e.,  $\theta \neq \pi/2$ .

#### **C. Analytical results vs** *ab initio* **calculations**

<span id="page-3-5"></span>We shall consider the case of small couplings [see Eq. ([23](#page-3-4))] between two laser fields, and the ground state of a hydrogen atom shall be selected as the initial state. According to the delta function in Eq.  $(13)$  $(13)$  $(13)$ , a photoelectron spectrum is confined to spheres. Therefore, to be able to completely analyze the momentum distribution of photoelectrons, we are to consider unfoldments of these ATI spheres. ATI spheres within the SFA, SCVA  $[Eq. (21)]$  $[Eq. (21)]$  $[Eq. (21)]$ , and *ab initio* calculations are presented in Figs. [1](#page-4-0) and  $2 \times 66$  $2 \times 66$  $2 \times 66$ .

The *ab initio* calculations were performed in spherical polar coordinates with the wave function expanded in spherical harmonics  $Y_l^m(\Omega)$ ,

$$
\Psi(r,\Omega,t) = \sum_{l,m} R_{lm}(r,t) Y_l^m(\Omega). \tag{29}
$$

The resulting set of coupled one-dimensional radial Schrödinger equations including two laser fields,

<span id="page-4-0"></span>

FIG. 1. Normalized ATI spheres (within the SFA, *ab initio*, and SCVA results) for the ground state of a hydrogen atom with field parameters given by  $\mathbf{E}_1^{(1)} = (0, 0, 0.1)$  (a.u.),  $\mathbf{E}_2^{(1)} = \mathbf{0}$ ,  $\Omega_1 = 1$  (a.u.),  $\varphi_1 = 0$  and  $\mathbf{E}_1^{(2)} = (0, 0.1, 0)$  (a.u.),  $\mathbf{E}_2^{(2)} = \mathbf{0}$ ,  $\Omega_2 = 3$  (a.u.),  $\varphi_2 = 0$ ;  $\theta$  and  $\phi$  are spherical angles (zenith and azimuth). Linear color scale goes from zero (black) to maximum (white).

$$
i\frac{\partial}{\partial t}R_{lm}(r,t) = \left[ -\frac{1}{2}\nabla^2 + \frac{l(l+1)}{2r^2} + V(r) \right] R_{lm}(r,t)
$$

$$
- \sum_{l',m'} \langle Y_l^m | \mathbf{E}_1^{(1)}(t) \cdot \mathbf{r} | Y_{l'}^{m'} \rangle R_{l'm'}(r,t)
$$

$$
- \sum_{l',m'} \langle Y_l^m | \mathbf{E}_1^{(2)}(t) \cdot \mathbf{r} | Y_{l'}^{m'} \rangle R_{l'm'}(r,t),
$$

is solved using finite-difference methods. The angular laser coupling matrix elements are evaluated using 3*j* symbols. The pulse shape was  $f(t) = \exp[-4 \ln 2(t/30)^2]$ , where  $\mathbf{E}_1^{(n)}(t) = f(t)\mathbf{E}_1^{(n)}$  sin $(\Omega_n t)$ , and the system was initially in the ground state.

The SFA within the velocity gauge agrees remarkably well with the results of numerical calculations; nevertheless, the velocity gauge SCVA fails to agree. From *ab initio* as well as the SFA data, we readily notice that SCVA ATI spheres 3 and 6 are quite different from the corresponding SFA and numerical spheres. Indeed, ATI sphere 3 should deviates substantially from ATI spheres 1 and 2 because it is the first sphere accessible to the  $\Omega_2$ =3 field, and further there may be imprinted a strong interference between one-photon ionization by the field with  $\Omega_2 = 3$  and three-photon ionization by the field with  $\Omega_1 = 1$ . Similar arguments holds for ATI sphere 6.

Despite the similarities between the SFA and numerical results, small deviations remain. The numerical results exhibit a stronger left/right ( $\phi = \pi/2$  and  $\phi = 3\pi/2$ ) symmetry breaking than seen in the SFA results, although the SFA does show some symmetry breaking, most notably in spheres 2 and 5. Part of the symmetry breaking is captured by the SFA. The presence of stronger symmetry breaking in the numerical results may be related to Coulomb asymmetry effects previously seen in single-color elliptical strong-field ionization  $\lceil 60 \rceil$  $\lceil 60 \rceil$  $\lceil 60 \rceil$ . In the present scenario, two fields of different frequencies polarized at a relative angle generate ellipticity

<span id="page-5-1"></span>

FIG. 2. Normalized ATI spheres (within the SFA, *ab initio*, and SCVA results) for the ground state of a hydrogen atom with field parameters given by  $\mathbf{E}_1^{(1)} = (0, 0, 0, 1)$  (a.u.),  $\mathbf{E}_2^{(1)} = \mathbf{0}$ ,  $\Omega_1 = 1$  (a.u),  $\varphi_1 = 0$  and  $\mathbf{E}_1^{(2)} = 0.1(0, \sin[\pi/4], \cos[\pi/4])$  (a.u.),  $\mathbf{E}_2^{(2)} = \mathbf{0}$ ,  $\Omega_2 = 3$  (a.u.),  $\varphi_2$ =0. Linear color scale goes from zero (black) to maximum (white).

which could modify symmetries in the ATI spectra following Ref.  $\lceil 60 \rceil$  $\lceil 60 \rceil$  $\lceil 60 \rceil$ .

### **IV. IONIZATION BY A MONOCHROMATIC FIELD**

<span id="page-5-0"></span>In Sec. [III C,](#page-3-5) it is seen that the SCVA inadequately describes ionization by a bichromatic laser field; moreover, the SFA has turned out to be a quite reliable approximation for the problem at hand. We now consider the single-color scenario to see previous unnoticed deficiencies of the SCVA appear in this case  $[46, 47]$  $[46, 47]$  $[46, 47]$  $[46, 47]$  $[46, 47]$ .

The differential rate of photoionization by a linearly polarized monochromatic laser field,

$$
\mathbf{A}(t) = -\mathbf{E} \, \sin(\Omega t) / \Omega,
$$

within the velocity gauge SCVA reads

<span id="page-5-2"></span>
$$
W_{SCV}^{(L)}(\mathbf{p}) = \sum_{n=-\infty}^{\infty} \delta \left( \frac{\mathbf{p}^2}{2} + I_p + U_p - n\Omega \right) 2\pi U_p |M_{ph}(\pi/2)|^2
$$
  
 
$$
\times [J_{n+1}(u_0, v_0) + J_{n-1}(u_0, v_0)]^2, \tag{30}
$$

where  $U_p = (E/2\Omega)^2$  is the pondermotive potential,  $u_0 = -\mathbf{p} \cdot \mathbf{E}/\Omega^2$ , and  $v_0 = -U_p/2\Omega$ . For the sake of completeness, we quote the SFA differential photoionization rate for the linearly polarized laser field (the Keldysh-Faisal-Reiss theory  $[41-43]$  $[41-43]$  $[41-43]$ , see also Ref.  $[61]$  $[61]$  $[61]$ ) written in a suitable language for our discussion,

<span id="page-5-3"></span>
$$
W_{\text{SFA}}^{(L)}(\mathbf{p}) = \sum_{n=-\infty}^{\infty} \delta \left( \frac{\mathbf{p}^2}{2} + I_p + U_p - n\Omega \right)
$$
  
 
$$
\times 2\pi (U_p - n\Omega)^2 |\langle \mathbf{p}|g \rangle J_n(u_0, v_0)|^2.
$$
 (31)

These equations are known in the literature and can be de-

<span id="page-6-1"></span>

FIG. 3. Normalized ATI cuts within the SCVA [Eq. ([30](#page-5-2))], SFA [Eq. ([31](#page-5-3))], and *ab initio* results for the ground state of a hydrogen atom in the linearly polarized monochromatic laser field with *E*  $= 0.1$  (a.u.) and  $\Omega = 2$  (a.u.). The figures correspond to the first ATI peak (top), second peak (middle), and third peak (bottom). The thin solid lines are the SFA results, dashed lines are the SCVA results, and the thick solid lines are the *ab initio* results. Note that all lines overlap in the top plot. The insets show magnifications of the corresponding plots in the vicinity of  $\theta = \pi/2$ .

rived using the same formalism present above by starting with a single-color form for the field.

Let us illustrate and test the analytical formulas. In the case of the monochromatic field, ATI spheres are cylindrically symmetric for the ground state of a hydrogen atom, viz., momentum distributions do not depend on the azimuthal angle  $\varphi$ ; thus, we present cuts along the zenith angle  $\theta$ . Such cuts are presented in Fig. [3](#page-6-1) [[66](#page-7-38)]. From the figure, one concludes that the SFA and SCVA are not so radically different as in the bichromatic case. Note that the normalized first ATI peaks within the SCVA, SFA, and *ab initio* treat-ment are the same (top panel of Fig. [3](#page-6-1)). However, for the second ATI peak, the velocity gauge SFA slightly better reproduces the results of numerical simulations in the vicinity  $\theta = \pi/2$  than the velocity gauge SCVA. The SCVA momentum distributions, given by Eq.  $(30)$  $(30)$  $(30)$ , always equal to zero at  $\theta = \pi/2$  because of the presence of the one-photon ionization amplitude  $M_{ph}$  whereas the *ab initio* and SFA both give nonzero yield at  $\theta = \pi/2$ . Such nonzero yield at  $\theta = \pi/2$  appears for all even order peak in monochromatic ionization (not

shown), and in each case SCVA fails to capture this yield while SFA at least gives a nonzero yield.

# **V. CONCLUSIONS AND DISCUSSIONS**

<span id="page-6-0"></span>The general analytical formalism for calculating SFA and SCVA multicolor ionization amplitudes is presented. Analyzing photoelectron spectra induced by a bichromatic laser field in the regime when smallest frequency of the laser field is larger than the ionization potential of the atom, we conclude that the velocity gauge SFA is more adequate than the velocity gauge SCVA. Note that the length gauge SFA better describes photoelectron momentum distributions than the velocity gauge SFA in the case of ionization by a low frequency field (see, e.g., Ref.  $[62]$  $[62]$  $[62]$ ). As the next step, one may try to compare the length gauge SCVA and SFA; there is no simple analytical approach to the problem within the length gauge. However, we suppose that the length gauge might not lead to qualitatively different results since the canonical momentum **p** approaches the kinetic momentum  $\mathbf{k} = \mathbf{p} + \mathbf{A}(t)$  in the high frequency limit.

The origin of the observed qualitative difference between the velocity gauge SFA and SCVA is related to the term  $A<sup>2</sup>(t)/2$  in the definition of the velocity gauge SFA ionization amplitude [Eq.  $(4)$  $(4)$  $(4)$ ]. If we had ignored that term in Eq.  $(4)$ , we would have obtained qualitatively the same results as by the SCVA. Ostensibly, the minor difference between the SFA and SCVA for the case of ionization by monochromatic ra-diation (Sec. [IV](#page-5-0)) is enhanced through interference between different one-photon ionization channels in the presence of a bichromatic laser field (Sec. [III C](#page-3-5)).

Finally, we point out that the formalism developed in Sec. [II](#page-1-0) may have two further applications in addition to its original purpose.

The first one is two-electron strong-field phenomena in the presence of a multicolor laser field. As it has been shown in Refs.  $[63,64]$  $[63,64]$  $[63,64]$  $[63,64]$ , there exists the exact solution of the Schrödinger equation for two interacting electrons in a laser field. Moreover, this solution is a product of "Volkov-type" phase ([6](#page-1-1)) and a time-independent coordinate part. (Regarding some applications of the exact solution  $[64]$  $[64]$  $[64]$  to twoelectron phenomena induced by a single-color laser field see review  $[61]$  $[61]$  $[61]$ .)

The second application is to study analytically the effects of a pulse envelope, which are of ongoing interest. In particularly, the effect of a carrier-envelope phase on ionized electron momentum can be investigated (see, e.g., Ref. [[65](#page-7-44)]): the vector potential used in Eqs.  $(5)$  and  $(6)$  in Ref.  $\left[65\right]$  $\left[65\right]$  $\left[65\right]$  is equivalent to a three-color case. In this formulation, the carrier-envelope phase effects will be the effects of the absolute phases.

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