Scalar and vector modulation instabilities induced by vacuum fluctuations in fibers: Numerical study

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We study scalar and vector modulation instabilities induced by the vacuum fluctuations in birefringent optical fibers. To this end, stochastic coupled nonlinear Schrödinger equations are derived. The stochastic model is equivalent to the quantum field operators equations and allows for dispersion, nonlinearity, and arbitrary level of birefringence. Numerical integration of the stochastic equations is compared to analytical formulas in the case of scalar modulation instability and nondepleted pump approximation. The effect of classical noise and its competition with vacuum fluctuations for inducing modulation instability is also addressed.

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I. INTRODUCTION

In the early 1980s single-mode silica optical fibers were recognized as a privileged medium for experiments in quantum optics because they exhibit a well defined transverse mode and very low losses. The Kerr nonlinearity of silica has been used to produce nonclassical states of light. Squeezed state production in fibers was pioneered by Levenson *et al.* [1,2] in 1985. Since then a large number of squeezing experiments have been performed with cw light or short optical pulses (for a review see [3]).

More recently, Fiorentino et al. demonstrated that optical fibers may also be used to produce *twin-photons* pairs [4]. This new kind of twin-photon source is well suited for fiberoptic quantum communication and quantum cryptography networks. In contrast with the traditional twin-photon sources, based on the $\chi^{(2)}$ down-conversion process, it avoids large coupling losses occurring when the pairs are launched into long distance communication fibers. The physical process used in [4] to generate twin-photon pairs is a four-wave mixing (FWM) phase-matched by the interplay of the optical Kerr effect and the chromatic dispersion, called (scalar) modulation instability (MI). This process can be basically understood as the destruction of two pump photons at frequency ω_0 and the creation of Stokes (redshifted) and anti-Stokes (blueshifted) photons at frequencies ω_s and ω_a satisfying the energy conservation relation $2\omega_0 = \omega_s + \omega_a$. In the time domain, the beating of the pump, signal and idler waves produces a fast modulation of the pump envelope.

MI is a spontaneous phenomena which can be described in the framework of classical nonlinear optics [5]. In this classical framework, one usually considers that MI is induced by some incoherent noise initially present on the pump wave. Twin-photon pair production requires however a very

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low input noise power to be efficient (otherwise the twin photons are buried in the background photon noise). Such a regime is dominated by vacuum fluctuations and requires a quantized field theory to be described properly. A smallperturbation approach of this problem [6] (nondepleted pump approximation) shows that vacuum fluctuations can induce the MI even in the absence of any classical input noise. This is an ideal situation for twin-photon pairs production, but it never occurs in a real life experiment. In practice, classical input noise and vacuum fluctuations compete for inducing MI.

Understanding the dynamical development of MI from a quantum point of view is an important issue for the design of fiber-optics twin-photon pairs sources. We present a unified approach to this problem based on the *stochastic nonlinear Schrödinger equations* (SNLSE) [7–12]. This formalism is equivalent to quantum-field Heisenberg equations but has two main advantages. First, it is very suitable for numerical simulations of complex situations where classical noise and vacuum fluctuations act together. Second the *correspondence principle* of quantum physics, i.e., the transition from quantum to classical world, looks very natural in the SNLSE formalism.

The MI observed in standard nonbirefringent single-mode silica fibers is often referred to as *scalar* modulation instability (SMI) because polarization of light plays no role in this process. In birefringent fibers different kinds of MI may appear because of the interplay of nonlinearity, chromatic dispersion and birefringence. This case will be referred as *vector* modulation instability (VMI). Twin-photon pairs sources based on VMI have not been demonstrated yet, in contrast with SMI based sources [4]. In this article, we will however address both issues because we recognize that VMI twinphoton pairs sources could be more practicable than SMI based ones. The theoretical and computational tools that we developed apply to all kinds of MI, including the lowbirefringence, high-birefringence and scalar limits.

This article is divided into four sections, beginning with the present introduction. The second section consists of a review of the approach based on a perturbation analysis

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around the steady state solution. This is the simplest method of approaching the problem of MI. It allows us to set the stage for the more sophisticated approach we then develop and to make contact with earlier work in the field. Then in Sec. III we exhibit the SNLSE for scalar and vector MI. Contrary to the approach of Sec. II these equations are not based on a perturbation analysis and are valid in the strongly nonlinear regime. They describe both MI originating from classical noise and from vacuum fluctuations (quantum noise). The SNLSE we obtain generalize the earlier results of [7–11]. In particular the SNLSE we obtain does not require the birefringence to be either small or large as in earlier work [10,11], but are valid for all values of the birefringence. For the interested reader we present a self-contained derivation of these equations in the Appendix. In Sec. IV we use the split-step Fourier method to integrate the SNLSE derived in Sec. III, and illustrate our algorithm on the cases of scalar MI and of vector MI both for weak, intermediate, and strong birefringence. In order to interpret the results of the numerical integration it is essential to introduce the notion of mode. This allows us to compare the numerical results and the analytical solutions derived from perturbation theory. We also compare in detail the characteristics of MI induced by classical noise and by quantum noise. In a companion article [13] we shall show that our numerical results are in very good quantitative agreement with experimental results.

II. SCALAR MODULATION INSTABILITY: PERTURBATION ANALYSIS

As pointed out in the Introduction several kinds of MI can occur in optical fibers. From a general point of view, MI occurs when the continuous wave (steady-state) propagation is unstable. The most straightforward way to get some insight on how the MI develops is to perform a smallperturbation analysis of the steady state. However, this method has limitations: it cannot address the strongly nonlinear regime and simple analytical formulas cannot be always obtained by this method.

In this section we illustrate the perturbation method in the case of SMI, which is the simplest. SMI occurs in isotropic fibers in the anomalous dispersion regime. In what follows we will review the most important aspects of this approach, focusing on the comparison between the classical and quantum descriptions, and on the limitations of this approach. In Sec. IV, we will compare the analytical formulas for SMI derived from the perturbation analysis to numerical results from the SNLSE derived in Sec. III. The discussion of MI in birefringent fibers is also postponed to Sec. III and Sec. IV.

A. Classical description

In an isotropic single-mode fiber, the electric field may be written

$$\mathbf{E}(\mathbf{r},t) = F(x,y)A(z,t)\exp[i\beta_0 z - \omega_0 t]\hat{\mathbf{x}} + \text{c.c.}, \qquad (1)$$

where $\hat{\mathbf{x}}$ is a unit vector orthogonal to the fiber axis (*z* axis), ω_0 the carrier angular frequency and $\beta_0 = \beta(\omega_0)$ the associated propagation wave number (modal propagation constant). F(x, y) stands for the mode profile function and A(z, t)

for the field envelope. The field is supposed to be polarized linearly. This is not restrictive because of the isotropy assumption. The complex envelope *A* evolves according to the *nonlinear Schrödinger equation* (NLSE) that can be established from Maxwell's electromagnetic theory [5]. If the envelope is normalized in such a way that $|A(z,t)|^2$ is equal to the instantaneous power flowing through the plane z=const at time *t*, the NLSE is obtained in the following form:

$$\frac{\partial A}{\partial z} + \frac{1}{v_g} \frac{\partial A}{\partial t} = -i \frac{\beta_2}{2} \frac{\partial^2 A}{\partial t^2} + i \gamma |A|^2 A.$$
(2)

Here $v_g = (d\beta/d\omega)^{-1}$ is the group velocity of the wave, $\beta_2 = d^2\beta/d\omega^2$ the group-velocity dispersion (GVD) parameter, and γ is fiber nonlinearity parameter defined as

$$\gamma = \frac{3\omega_0 \chi_{xxxx}}{4\epsilon_0 n_0^2 c^2 A_{\text{eff}}},\tag{3}$$

where n_0 is the fiber mean linear index of refraction, A_{eff} the mode effective area, and χ_{xxxx} is the diagonal element of the fiber $\chi^{(3)}$ nonlinearity tensor.

SMI is observed when a continuous wave (cw) or a quasi-cw optical pulse is launched into the fiber. In the cwcase, when an optical power P_0 at frequency ω_0 is injected, a first-order perturbation analysis of Eq. (2) shows that the cw steady-state solution $A_{st}(z) = \sqrt{P_0 \exp(i\gamma P_0 z)}$ becomes instable in the anomalous dispersion regime ($\beta_2 < 0$). The instability manifests itself by a parametric gain at frequencies $\omega_0 \pm \Omega$ with $0 < \Omega < 2\sqrt{\gamma P_0/|\beta_2|}$. The maximal gain $g = 2\gamma P_0 L$ occurs for $\Omega = \sqrt{2\gamma P_0} / |\beta_2| \equiv \Omega_{\text{max}}$ [5]. Noise at these frequencies is strongly amplified. As a result, the optical spectrum at the fiber output exhibits two sidebands at frequencies $\omega_0 \pm \Omega_{\rm max}$. This analysis supposes that the pump power remains constant (undepleted pump approximation) and a cw regime. However, numerical simulations of Eq. (2) show that the above formula also hold for quasi-cw (for instance nanosecond) optical pulses (see Sec. IV).

Sideband generation can be interpreted as a FWM process phase-matched by the interplay of dispersion and Kerr nonlinearity. From this point of view, the MI process can be seen as the destruction of two pump photons at frequency ω_0 followed by the creation of Stokes and anti-Stokes photons at frequencies $\omega_s = \omega_0 - \Omega_{max}$ and $\omega_a = \omega_0 + \Omega_{max}$, respectively. In the cw-case and nondepleted pump approximation, the output power spectral density at frequencies ω_s and ω_a can be computed using analytical formulas [14] for given initial conditions. These formulas also hold for quasi-cw pulses provided one replace Stokes and anti-Stokes power spectral densities by the number n_s and n_a of Stokes and anti-Stokes photons located in the same temporal mode as the pump wave (see Sec. IV B for a detailed analysis of this issue). For example, assuming an incoherent initial noise, one finds

$$n_s(L) = n_s(0)\cosh^2(\gamma P_0 L) + n_a(0)\sinh^2(\gamma P_0 L),$$
 (4a)

$$n_a(L) = n_a(0)\cosh^2(\gamma P_0 L) + n_s(0)\sinh^2(\gamma P_0 L), \quad (4b)$$

where *L* is the propagation distance. These equations show that classical incoherent noise is amplified exponentially with gain $g=2\gamma P_0L$.

Noise induced SMI was first demonstrated experimentally by Tai *et al.* in 1986 [15]. This experiment confirmed two main predictions of the classical theory: the amplification of Stokes and anti-Stokes photons and the power-dependence of their frequency shift. However the classical theory of SMI only holds when the initial number of noise photons is high or in a stimulated regime when a coherent probe pulse at Stokes or anti-Stokes frequency is injected together with the pump pulse [16]. Equations (4a) and (4b) are unable to explain *ab nihilo* generation of Stokes and anti-Stokes twin photons reported in [4]. Neither are they valid when the mean photon numbers $n_s(0)$ and $n_a(0)$ are of the order of one or lower. In this regime vacuum fluctuations play a central role and field quantization is required.

B. Quantum description

To take into account vacuum fluctuations, fields must be quantized. The quantum counterpart of the NLSE (2) is known as the quantum nonlinear Schrödinger equation (QNLSE) [9,17–20]:

$$\frac{\partial \hat{A}}{\partial z} + \frac{1}{v_g} \frac{\partial \hat{A}}{\partial t} = -i \frac{\beta_2}{2} \frac{\partial^2 \hat{A}}{\partial t^2} + i \gamma \hat{A}^{\dagger} \hat{A} \hat{A}, \qquad (5)$$

where \hat{A} is the quantum operator corresponding to the field envelope and \hat{A}^{\dagger} its Hermitic conjugated. \hat{A} and \hat{A}^{\dagger} satisfy the bosonic *equal-space* commutation rule:

$$[\hat{A}(z,t),\hat{A}^{\dagger}(z,t')] = \hbar \omega_0 \delta(t-t').$$
(6)

The normalization constant $\hbar\omega_0$ stems from the normalization chosen for the field envelope. In the quantum propagation theory, the expectation value of the optical power flowing through the plane z = const at time t is given by $\langle \hat{A}^{\dagger}(z,t)\hat{A}(z,t)\rangle$. In a one-dimensional system, space and time play a symmetrical role. The dynamics can be described either in terms of spatial wave-packet evolution (evolution picture) or in terms of temporal wave-packet propagation (propagation picture). The first picture is the must common in quantum field theory and leads to equal-time commutation rules between the envelope fields \hat{A} and \hat{A}^{\dagger} . In this section, however, we chose to work in the propagation picture (which is usual in nonlinear optics) in order to get a closer correspondence between quantum (5) and classical (2) equations. In this case equal-space commutation rules (6) must be imposed to \hat{A} and \hat{A}^{\dagger} [21]. (In contrast, the evolution picture is used in the Appendix to derive the stochastic equations of Sec. III.)

In the cw regime, the basics of the quantum theory of SMI can be understood by performing a first-order perturbation analysis of the steady-state solution of Eq. (5). Because the pump field contains a large number of photons one can treat it as a classical coherent wave. Using this approximation the steady-state solution is just the classical one: $A_{st}(z) = \sqrt{P_0} \exp(i\gamma P_0 z)$. The disturbed field can be written as

$$\hat{A}(z,t) = A_{st}(z) + \hat{a}(z,t).$$
 (7)

The disturbance operator $\hat{a}(z,t)$ is defined by Eq. (7). It satisfies the same commutation rule as $\hat{A}(z,t):[\hat{a}(z,t),\hat{a}^{\dagger}(z,t')]=\hbar\omega_0\delta(t-t')$. Injecting the ansatz (7) into Eq. (5) one obtains a propagation equation for the disturbance $\hat{a}(z,t)$. Supposing that the disturbance is small (nondepleted pump approximation) this equation can be linearized and solved analytically in the Fourier domain [6].

Using this method one finds that the quantum and classical theory predict the same frequency dependence of the parametric gain. In contrast the quantum and classical theory differ in that they do not predict the same growth law for Stokes and anti-Stokes photon numbers. One easily finds that the quantum counterparts of Eqs. (4a) and (4b) are

$$n_{s}(L) = n_{s}(0)\cosh^{2}(\gamma P_{0}L) + [n_{a}(0) + 1]\sinh^{2}(\gamma P_{0}L),$$
(8a)
(1)

$$n_a(L) = n_a(0)\cosh^2(\gamma P_0 L) + \lfloor n_s(0) + 1 \rfloor \sinh^2(\gamma P_0 L),$$
(8b)

where n_s and n_a stand now for the *expectation values* of the photon number operators: $n_i = \langle \hat{n}_i \rangle$, i = s, a. These equations show that SMI can be observed even in the absence of any classical input noise. Setting $n_s(0) = n_a(0) = 0$ in Eqs. (8a) and (8b) gives the number of Stokes and anti-Stokes photons produced by the sole action of vacuum fluctuations:

$$n_s(L) = n_a(L) = \sinh^2(\gamma P_0 L). \tag{9}$$

Equations (8a) and (8b) hold only for perfectly phasematched photons at frequencies ω_s and ω_a and uncorrelated initial noise but can be easily generalized to get around these restrictions.

Although Eqs. (8a), (8b), and (9) give a good insight into the physics of vacuum-fluctuations induced SMI and photon pairs generation, they are not suitable for quantitative predictions in the pulsed regime. This is because the effective value of the pump power P_0 depends on pump pulse shape, duration, and spectral width. Furthermore the energy spectral density of Stokes and anti-Stokes waves deduced from Eq. (9) is highly dependent on the precise definition of modes. This will be discussed in Sec. IV B. In the following sections we will show how to get around these difficulties by introducing the SNLSE and solving it numerically.

III. SCALAR AND VECTOR MODULATION INSTABILITIES: STOCHASTIC EQUATIONS

One can go beyond the perturbation analysis of SMI by solving the QNLSE (5) numerically. Such a plan could seem cumbersome because Eq. (5) is a field-operator equation. The problem can be bypassed by converting the operator equation (5) into *c*-number equations. This can be performed by choosing a representation for the electromagnetic field. In this article, we will use the positive *P*-representation ($P^{(+)}$) introduced by Drummond and Gardiner [22]. The *c*-number equations obtained in this way are not standard deterministic partial derivative equations but stochastic (Langevin-type) ones.

Using the $P^{(+)}$ representation, it can be shown [7–9] that the QNLSE (5) is equivalent to the following set of stochastic equations:

$$\frac{\partial A}{\partial z} + \frac{1}{v_g} \frac{\partial A}{\partial t} = -i \frac{\beta_2}{2} \frac{\partial^2 A}{\partial t^2} + i \gamma [A^{\dagger}(z,t)A(z,t)]A(z,t) + \sqrt{i \gamma \hbar \omega_0} \zeta_1(z,t)A(z,t), \qquad (10a)$$

$$\frac{\partial A^{\dagger}}{\partial z} + \frac{1}{v_g} \frac{\partial A^{\dagger}}{\partial t} = + i \frac{\beta_2}{2} \frac{\partial^2 A^{\dagger}}{\partial t^2} - i \gamma [A^{\dagger}(z,t)A(z,t)] A^{\dagger}(z,t) + \sqrt{-i \gamma \hbar \omega_0} \zeta_2(z,t) A^{\dagger}(z,t).$$
(10b)

Equations (10a) and (10b) look like the classical NLSE (2) and its complex conjugated, except for the last terms which accounts for vacuum fluctuations. These last terms contain two independent zero-mean Gaussian white noise random fields $\zeta_1(z,t)$ and $\zeta_2(z,t)$ characterized by the following second-order moments:

$$\langle \zeta_k(z,t)\zeta_l(z',t')\rangle = \delta_{kl}\delta(z-z')\delta(t-t'), \qquad (11)$$

with $(k,l) \in \{1,2\}^2$. Because the random fields ζ_1 and ζ_2 are not complex conjugated of each other, the envelope fields *A* and A^{\dagger} are only complex conjugated "in mean" and have to be treated as different mathematical objects.

Equations (10a) and (10b) can be solved on a computer. The numerical methods used for this task will be briefly explained in Sec. IV A. Note that solving Eqs. (10a) and (10b) gives a single realization of the stochastic process. In order to calculate the expectation value of a quantum observable, a statistical average on many realizations is required. Thus one generates a large number of realizations $(A_{[n]}(z,t), A_{[n]}^{\dagger}(z,t)), n=1, ..., N$. In order to calculate the expectation value of a quantum observable one then carries out a statistical average over the many realizations. For example, the expectation value of the energy spectral density of a pulse is given by

$$S_E(z,\Omega) = \frac{1}{N} \sum_{n=1}^N \tilde{A}^{\dagger}_{[n]}(z,\Omega) \tilde{A}_{[n]}(z,\Omega), \qquad (12)$$

where $\widetilde{X}(z,\Omega) = \int_{-\infty}^{\infty} X(z,t)e^{i\Omega t} dt$ designates the Fourier transform of the field X(z,t) and Ω is the detuning from the pump angular frequency ω_0 .

In practice, a few realizations are enough when the number of photons per mode is high. However in a regime dominated by vacuum fluctuations hundreds of realizations are typically required to get precise values. A comparison between Stokes and anti-Stokes photon production predicted by the SNLSE (10a) and (10b) and the analytical formulas (8a) and (8b) will be presented in Secs. IV B and IV C.

The VMI occurs in birefringent fibers. These fibers are characterized by different propagation constants β_{0x} and β_{0y} and different group velocities v_{gx} and v_{gy} for the *x*- and *y*-axis polarized modes. The numerical study of vacuum fluctuations induced VMI requires an extension of Eqs. (10a) and (10b) that takes into account the phase mismatch parameter $\Delta\beta_0 = \beta_{0x} - \beta_{0y}$ as well as the group-velocity mismatch $\Delta\beta_1 = 1/v_{gx} - 1/v_{gy}$. Such extensions have been established in earlier works for the low-birefringence [10] and the highbirefringence [11] limits. We generalized these results for an *arbitrary* level of birefringence and obtained the following stochastic coupled nonlinear Schrödinger equations:

$$\begin{aligned} \frac{\partial A_x}{\partial z} + \frac{1}{v_{gx}} \frac{\partial A_x}{\partial t} &= -i\frac{\beta_2}{2} \frac{\partial^2 A_x}{\partial t^2} + i\gamma [A_x^{\dagger}A_x + (1-B)A_y^{\dagger}A_y]A_x \\ &+ i\gamma B(A_y)^2 A_x^{\dagger} e^{-2i\Delta\beta_0 z} + \sqrt{i\gamma\hbar\omega_0} [\zeta_1 A_x \\ &+ \sqrt{B}\zeta_3 A_y e^{-i\Delta\beta_0 z}], \end{aligned}$$
(13a)

$$\frac{\partial A_x^{\dagger}}{\partial z} + \frac{1}{v_{gx}} \frac{\partial A_x^{\dagger}}{\partial t} = +i\frac{\beta_2}{2} \frac{\partial^2 A_x^{\dagger}}{\partial t^2} - i\gamma [A_x^{\dagger}A_x + (1-B)A_y^{\dagger}A_y]A_x^{\dagger} -i\gamma B(A_y^{\dagger})^2 A_x e^{+2i\Delta\beta_0 z} + \sqrt{-i\gamma\hbar\omega_0} [\zeta_2 A_x^{\dagger} + \sqrt{B}\zeta_4 A_y^{\dagger} e^{+i\Delta\beta_0 z}], \qquad (13b)$$

$$\frac{\partial A_{y}}{\partial z} + \frac{1}{v_{gy}} \frac{\partial A_{y}}{\partial t} = -i \frac{\beta_{2}}{2} \frac{\partial^{2} A_{y}}{\partial t^{2}} + i \gamma [A_{y}^{\dagger}A_{y} + (1-B)A_{x}^{\dagger}A_{x}]A_{y}$$
$$+ i \gamma B (A_{x})^{2} A_{y}^{\dagger} e^{+2i\Delta\beta_{0}z} + \sqrt{i \gamma \hbar \omega_{0}} [\zeta_{1}A_{y}$$
$$- \sqrt{B} \zeta_{3} A_{x} e^{+i\Delta\beta_{0}z}], \qquad (13c)$$

$$\frac{\partial A_{y}^{\dagger}}{\partial z} + \frac{1}{v_{gy}} \frac{\partial A_{y}^{\dagger}}{\partial t} = + i \frac{\beta_{2}}{2} \frac{\partial^{2} A_{y}^{\dagger}}{\partial t^{2}} - i \gamma [A_{y}^{\dagger} A_{y} + (1 - B) A_{x}^{\dagger} A_{x}] A_{y}^{\dagger}$$
$$- i \gamma B (A_{x}^{\dagger})^{2} A_{y} e^{-2i\Delta\beta_{0}z} + \sqrt{-i \gamma \hbar \omega_{0}} [\zeta_{2} A_{y}^{\dagger}$$
$$- \sqrt{B} \zeta_{4} A_{x}^{\dagger} e^{-i\Delta\beta_{0}z}], \qquad (13d)$$

where (A_x, A_x^{\dagger}) and (A_y, A_y^{\dagger}) are stochastic envelope fields associated to the *x*- and *y*-axis modes, respectively, and $B = \chi_{xyyx}/\chi_{xxxx}$ is a parameter that measures the strength of the nonlinear coupling between the *x* and *y* components. Its value lies between 0 and 1 and depends on the nonlinearity mechanism. For silica fiber, we can set B=1/3 because the Kerr nonlinearity has principally an electronic origin. Four independent Gaussian random fields $\zeta_k(z,t)$ are needed to reproduce the effect of vacuum fluctuations. They are characterized by the second-order moments (11), as in the scalar case, with $(k, l) \in \{1, 2, 3, 4\}^2$. The demonstration of this set of equations is outlined in the Appendix.

Note that, in contrast to the scalar case, a perturbation analysis does not lead to simple analytical formulas for vacuum-fluctuations induced VMI. For this reason, Eqs. (13a)-(13d) constitute a valuable theoretical tool.

IV. NUMERICAL INTEGRATION OF THE SNLSE

A. Sample spectra

We have developed a method for integrating numerically the SNLSE in the case where the pump is represented by a pulse of finite duration. Our method is based on the split-step Fourier (SSF) method [5]. We have had to generalize the method in two ways. First of all the stochastic noise is modeled by including a noise term at each propagation step. Second in the case of the VMI we must alternate not only between the time and Fourier domain, but also between the linear and circular polarization basis. Switching from time to Fourier domain is the basics of the SSF method: it permits us to handle the time derivatives in a simple way. Similarly, it turns out that, whereas the terms with time derivatives are easier to handle in the linear polarization basis, the γ -dependent terms are better managed in the circular polarization basis.

The quantum noise in the SNLSE (13a)–(13d) contains four independent real zero-mean Gaussian white noise functions $\zeta_k(z,t)$ characterized by Eq. (11). In the numerical method, time and space are discretized with respective discretization steps τ and h. So each family of noise functions $\zeta_k(z,t)$ becomes a finite number of random variables $\zeta_k[i_z,i_t]$ chosen according to a zero-mean Gaussian law of variance $1/h\tau$. The variance value is imposed by the normalization condition (11). The matrixes $\zeta_k[i_z,i_t]$ ($k=1,\ldots,4$) define the stochastic path of each realization. In contrast, when we will study the effect of classical noise we will add it once, at the beginning of the pulse propagation, to the spectral distribution of the signal $\tilde{A}(0, \Omega)$.

As we have previously indicated only the expectation values of observables have a physical meaning in the stochastic equations. From a numerical point of view this means that one must average the calculated quantities over several realizations of the stochastic path, and/or the classical input noise. Usually, averaging over a hundred of realizations gives an uncertainty on the numerical results less than 1 dB in the nonzero gain frequency range. Finally we note that including the stochastic terms do not increase significantly the numerical complexity of a single realization.

Some sample spectra obtained using our algorithm are presented in Fig. 1 in the cases of SMI [Fig. 1(a)], low birefringence VMI [Fig. 1(b)], and high birefringence VMI [Fig. 1(c)]. The energy spectral density is plotted versus the frequency detuning from the pump. The physical parameters used in these simulations are listed in Table I. In every simulation, the pump wave has been supposed to be an unchirped linearly polarized Gaussian pulse with peak power P_0 and full width at half maximum duration $T_{\rm FWHM}$. No classical noise has been added, and an average over 50 realizations of the stochastic process has been performed.

The frequency detuning of the sidebands agrees with linear perturbation theory. [In order to make the comparison easier we have indicated in Figs. 1(a)–1(c) the angular frequency shifts Ω_{max} at which maximum gain is expected on the basis of the linear perturbation theory.]

Moreover, the SNLSE predict quantitatively the effect of vacuum fluctuations on the evolution of the energy spectral density of the electromagnetic field. In Sec. IV B we will show that this evolution is also in very good agreement with linear perturbation theory when the number of Stokes and anti-Stokes photons generated is small enough. When the side bands are well-developed, the perturbation theory fails to predict correct values of S_E . In contrast, the SNLSE algorithm still gives accurate results. In this limit numerical results can be easily confronted to experimental data. In [13], we report an experiment on high birefringence spontaneous VMI in the anomalous dispersion regime that shows that the theoretical spectra from the SNLSE model tally with the experimental ones.

It is interesting to note that the curves of Fig. 1(a) look more noisy than those of Fig. 1(b) although we have per-



FIG. 1. MI spectra for various birefringence regime. Simulation parameters are listed in Table I. (a) Spontaneous SMI in perfectly isotropic fiber in the anomalous dispersion regime. Black, dark gray, and light gray curves correspond to a propagation length L = 500, 1000, and 1500 m, respectively. The inset exhibits the pump spectral broadening due to the self-phase-modulation (SPM) effect. (b) Spontaneous VMI in a slightly birefringent fiber in the normal dispersion regime. The pump is polarized along the slow axis; Stokes and anti-Stokes photons appear on the orthogonal axis. Black, dark gray, and light gray curves correspond to a propagation length L=16, 24, and 32 m, respectively. (c) Spontaneous VMI in a strongly birefringent fiber in the normal dispersion regime. The pump polarization axis makes an angle of 45° with the slow axis. Stokes (anti-Stokes) photons appear on the slow (fast) axis. Black, dark gray, and light gray curves correspond to a propagation length L=10, 20, and 30 m, respectively.

formed the same number of stochastic realizations in both cases. This is because the number of realizations needed to achieve a given precision on the expectation value of a quan-

TABLE I. Simulation parameters for Figs. 1(a)-1(c).

| Quantity | Unit | Fig. 1(a) | Fig. 1(b) | Fig. 1(c) |
|-----------------------|------------------------------------|-----------|-----------|-----------|
| λ_0 | nm | 1550 | 1550 | 1064 |
| eta_2 | $\mathrm{ps}^2~\mathrm{km}^{-1}$ | -17 | +60 | +30 |
| γ | $\mathrm{W}^{-1}~\mathrm{km}^{-1}$ | 2 | 2 | 2 |
| $T_{\rm FWHM}$ | ns | 1 | 0.1 | 0.2 |
| P_0 | W | 2 | 400 | 300 |
| Δeta_0 | m^{-1} | 0 | 2.09 | 628.31 |
| Δeta_1 | fs m^{-1} | 0 | 1.72 | 354.91 |
| θ^{a} | deg | 0 | 0 | 45 |

^aAngle between the slow axis and the pump polarization axis.

tum operator is a function of the relative value of the spatial step h and the typical distance over which the nonlinear effects act; both are different in the simulations of Fig. 1(a) and Fig. 1(b). In practice, the smaller the spatial step, the fewer the number of realizations needed to achieve a given precision on expectation values. In Fig. 1, 50 realizations are enough to estimate S_E with an accuracy of about 1.5 dB. We also point out that the noise level visible at non-phasematched frequencies has no physical meaning. It can be lowered by averaging over a higher number of realizations. However, the number of realizations needed to achieve an accurate estimation of S_E in the non-phase-matched part of the spectrum is usually very high. When tractable, a linear perturbation analysis will be less time-consuming.

Because our algorithm permits us to investigate intermediate birefringence, we have also studied the effect of group velocity mismatch on the transition from low to high birefringence limits. To our knowledge, this transition has never been fully investigated before. Figure 2 shows the results of simulations with the same parameters as in Fig. 1(b) except



FIG. 2. The figure illustrates the effect of an increasing birefringence on the weak-birefringence VMI phenomenology. The parameters of the simulations are λ_0 =1550 nm, β_2 =60 ps² km⁻¹, γ =2 W⁻¹ km⁻¹, $T_{\rm FWHM}$ =100 ps, P_0 =400 W, and L=40 m. The pump wave is polarized along the slow axis. The fiber beat length was varied from 10 m to 5 cm. The values of $\Delta\beta_0$ and $\Delta\beta_1$ where deduced from Eq. (14). The plot shows the maximum value of S_E in the sidebands as a function of $\Delta\beta_0$. Black squares (gray squares) correspond to a single (double) peak structure (see the text).

that the propagation length has been set to L=40 m and that the fiber beat length L_B was varied from 10 m to 5 cm. The value of the maximal energy spectral density S_E in the sidebands is plotted versus the phase mismatch parameter. By lowering L_B , we increase the value of the phase mismatch $\Delta\beta_0$ and the group-velocity mismatch $\Delta\beta_1$ according to the relations

$$\Delta\beta_0 = \frac{2\pi}{L_B}, \quad \Delta\beta_1 = \frac{\lambda_0}{cL_B},\tag{14}$$

where c is the vacuum speed of light. When the birefringence increases, the sidebands move away from the pump spectrum and their amplitude decreases. Subsequently the sidebands acquire a double peak structure (see Fig. 2). This behavior is due to the walkoff of the produced Stokes and anti-Stokes photons. One easily shows that $1/v_{ga} - 1/v_{gs} = \sqrt{8\beta_2 \Delta \beta_0}$, where v_{gs} and v_{ga} are the Stokes and anti-Stokes photons group velocities, respectively. Applying this formula to our simulation and taking $\Delta \beta_0 = 10 \text{ m}^{-1}$, one sees that the Stokes and anti-Stokes photons have walked 87.6 ps away while their FWHM duration is 100 ps. Stokes and anti-Stokes walkoff limits the coherent exponential amplification of quantum noise. The typical length scale over which the side bands growth takes place is given by $T_{\rm FWHM}/\sqrt{8\beta_2\Delta\beta_0}$. We point out that this analysis also hold for a cw-pump: The coherent amplification of the sidebands stops when the walkoff of Stokes and anti-Stokes photons exceeds the coherence length of the pump. However, in the cw case, Stokes and anti-Stokes photons generated in the first coherence length act as an input noise that will be amplified in the following coherence length. The process is reproduced as many times as the number of coherence lengths in the propagation distance. One usually argues [5,23] that the weak birefringence phenomenology disappears because the coherent-coupling terms in Eqs. (13a)-(13d) (those containing the factor $\exp[\pm(2)i\Delta\beta_0 z]$) average to zero when $\Delta\beta_0$ is high. This statement is equivalent to saying that Ω_{max} tends to infinity. Our analysis shows however that walkoff has an even stronger effect.

Until now we have not yet demonstrated that modeling vacuum-fluctuations induced MI using SNLSE predicts the correct values of S_E . In Sec. IV B we compare the absolute values of the energy spectral density at the maximum gain obtained using our program and the linear perturbation analysis. Having clarified in this way the interpretation of the results of our numerical simulations we turn to a detailed comparison of the effect of classical and quantum noises. That is we compare the effects of classical noise in the initial conditions and the quantum noise added at each step of the integration.

B. Comparing numerical integration and linear perturbation theory

In our numerical simulations we have taken the pump laser to be a Gaussian pulse without chirp. Its instantaneous power and energy spectral density can be written

$$P(t) = P_0 \exp\left(-\frac{t^2}{2\sigma_t^2}\right),$$
 (15a)

$$S_E(\Omega) = \frac{P_0}{2\sigma_\omega^2} \exp\left(-\frac{\Omega^2}{2\sigma_\omega^2}\right),$$
 (15b)

with $\sigma_t \sigma_{\omega} = \frac{1}{2}$. The numerical integration of the SNLSE provides us with the spectral density of energy $S_E(L, \Omega)$ at the end of the fiber; see Eq. (12). On the other hand, the linear perturbation theory is based on small perturbation analysis around a continuous monochromatic pump. We would like to compare quantitatively the predictions of these two approaches.

For definiteness we carry out this comparison in the case of scalar MI. We shall focus our investigation on the intensity of the sidebands at the peak of the MI gain ($\Omega = \Omega_{max}$) in the two approaches when we modify the propagation length and as we modify the duration σ_t of the pulse.

The linear perturbation theory is based on a continuous monochromatic pump. For this reason the theory predicts a *rate* of photon production per unit time. This suggests that if one takes the pump to be a pulse localized in time, the number of photons produced should be proportional to the pulse duration, all other parameters being kept constant. Simulations based on SNLSE confirm this phenomenology. This is illustrated in Fig. 3(a), where the energy spectral density at Ω_{max} is plotted as a function of $\gamma P_0 L$ for three different pulse durations. Note however that the above argument is valid for square pulses but is not very satisfactory for Gaussian ones. A better understanding of the origin of this scaling can be obtained by making appeal to the notion of mode and of Heisenberg box.

In order to introduce the notion of mode, recall that a temporal signal can be represented by a distribution in the time-frequency plane. But because of the time-frequency uncertainty relations, a point in this plane has no physical meaning. This problem is well known in signal processing where one usually thinks in terms of local time-frequency decompositions, using windowed Fourier transforms (WFT) or wavelet transforms [24]. Such a local time-frequency decomposition allows one to decompose a signal into orthogonal local functions, called *modes*. These can be depicted as surface elements in the time-frequency plane. Fouriertransform limited pulses, such as our pump pulse (15a) and (15b), are represented by Gaussian distributions on the timefrequency plane, called the Wigner-Ville distributions. This distribution is very similar to the Wigner distribution used in quantum optics to represent a quantum state of light. In particular two different Fourier-limited Gaussian pulses sufficiently different in time or central frequency can be considered as quasiorthogonal modes. A set of quasi-nonoverlapping Gaussian Wigner-Ville distributions can be taken as a base for the time-frequency decomposition of the field. One can visualize this modal base by imagining that the time-frequency plane is paved with adjacent elementary surface elements, called Heisenberg boxes, roughly representing the area of Gaussian quasi-non-overlapping Wigner-Ville distributions. The precise area of the Heisenberg boxes



FIG. 3. Values of the number of photons created at the maximum gain frequency obtained by integrating numerically the SNLSE as a function of propagation length L. In order to keep the maximum gain frequency constant we have kept the peak power P_0 constant in each figure. The horizontal axis is given in dimensionless units of $\gamma P_0 L$ where P_0 is either the power of the continuous pump wave in the linear approximation, or the peak power of the Gaussian pump pulse. The top panel is plotted in the density of energy representation whereas the bottom panel is plotted in the number of photons-per-mode representation using the rescaling of Eq. (16). In both panels, the up-triangles, the circles, and the downtriangles correspond to FWHM durations respectively equal to 4 ns, 1 ns, and 0.25 ns. Note that these three curves coincide perfectly in panel (b), thereby showing the relevance of the rescaling (16). In the bottom panel, the dash-dotted line results from the analytic solution Eq. (9). The dashed line corresponds to Eq. (9) convoluted with the pump shape then rescaled according to Eq. (16); see the text. All other parameters are identical to those used in Fig. 1(a).

is a matter of taste depending on how strong orthogonality is required. A usual convention is to take this area equal to $\sigma_t \times \sigma_{\omega} = 1/2$.

This set of (quasi)mode is convenient for our problem because, during the pulse propagation in the fiber, the uncertainty on the creation time of a photon is defined by the variance σ_t^2 of the pump pulse, and implies an uncertainty on its frequency defined by the variance σ_{ω}^2 . More precisely, in the case of SMI, the pump pulse (15a) and (15b) produces photons that occupy single Heisenberg boxes located at the same time as the pump but at angular frequencies $\omega_0 \pm \Omega$ ($0 < \Omega < \sqrt{2}\Omega_{max}$). Formulas (8a), (8b), and (9) of Sec. II B thus give the number of photons created in these Stokes and anti-Stokes modes.

Our numerical simulations provide us with the spectral distribution of energy S_E . Hence we need to reexpress this as the number *n* of photons produced per mode of duration σ_t and spectral width σ_{ω} :

$$n(\Omega) = \frac{S_E(\Omega)}{\hbar\omega_0} \sigma_\omega = \frac{S_E(\Omega)}{\hbar\omega_0} \frac{\sqrt{2 \ln 2}}{T_{\rm FWMH}}.$$
 (16)

Figure 3(b) shows the effect of scaling the spectra according to Eq. (16). When expressed in terms of the number of photons per modes the three curves of Fig. 3(a) (corresponding to three different pump durations) come down to a single one in Fig. 3(b) (continuous line). This shows that the notion of mode helps in interpreting the results of the numerical integration. The number of produced photons does not depend on the pump duration: The pump duration just alters their time-frequency characteristics. The dash-dotted curve in Fig. 3(b) corresponds to a direct application of Eq. (9). A discrepancy with the simulations based on the SNLSE can be noted. It is simply due to the fact that our choice of size of Heisenberg boxes, hence the normalization factor in Eq. (16), is somewhat arbitrary. By taking the Heisenberg boxes a bit bigger, one can put the continuous and dash-dotted curves of Fig. 3(b) in superposition. In the rest of the text, however, we maintain the normalization relation Eq. (16) for clarity.

There is another way to compare the results of SNLSE simulations to the linear perturbation theory that avoids the concept of modes. One first computes the power spectral density given by the quantum perturbation analysis on a monochromatic pump wave. Then one convolutes this with the Gaussian spectral distribution of the real pump pulse. This procedure gives a good approximation of the energy spectral density generated by the Gaussian pump. The dashed curve of Fig. 3(b) corresponds to the peak energy spectral density computed by this method and rescaled according to Eq. (16) in order to be independent of the pulse duration. The agreement with the continuous curve is now much better. The origin of the small difference in slope in the exponential amplification regime still remains unclear. It may be due to the self-phase-modulation broadening of the pump spectrum, which is not taken into account by the linear perturbation analysis.

As a conclusion we obtain a good quantitative agreement between the simulation based on SNLSE and the linear perturbation analysis. We have also shown that the only physical quantity that can be rigorously predicted by the quantum nonlinear propagation theory is the energy spectral density and that the concept of mode, although very useful, must be handled with care. Especially formulas like (8a) and (8b) and/or (9) may only be used as a rough approximation tool because there is no objective way to define a time-frequency mode.

C. Classical versus quantum noise

From Figs. 3(a) and 3(b) it is clear that the spontaneous MI growth can be divided into two different stages. So long as the number of particles created by mode is less than one

(n < 1), one is in a quantum regime dominated by vacuum fluctuations. In contrast, for *n* above 1 the modulation instability is amplified exponentially and quantum effects become negligible.

We now investigate the transition between the quantum and classical regimes in the presence of some *classical* noise. We have chosen to model this noise by modifying the initial conditions and adding a white noise to the amplitude of the pump pulse in the Fourier domain. For definiteness and simplicity, we continue to focus on scalar modulation instability.

The classical initial noise $\tilde{N}(\Omega)$ was chosen according to two criteria: (i) the noise must correspond to a random fluctuation of the pump amplitude in the time domain and (ii) the statistic of $\tilde{N}(\Omega)$ (for each frequency) must lead to a spectral density of energy $\langle \tilde{N}(\Omega) \tilde{N}^*(\Omega) \rangle$ constant as a function of the frequency. Several noise definitions can meet these criteria. We have chosen to study two particular cases: the pure spectral phase noise

$$\bar{N}_{\phi}(\Omega) = \bar{A} \exp[i\pi\zeta(\Omega)], \qquad (17)$$

and the Gaussian noise

$$\widetilde{N}_{\rm G}(\Omega) = \frac{A}{\sqrt{2}} [\zeta_1(\Omega) + i\zeta_2(\Omega)], \qquad (18)$$

where \tilde{A} is a real constant and $\zeta_j(\Omega)$ are independent real zero-mean Gaussian white noise random fields. In our simulations $\zeta_j(\Omega)$ where discretized and replaced with random quantities $\zeta_j[i_{\omega}]$ (one for each discretized frequency) drawn according a zero-mean Gaussian law of variance 1. We have compared SMI spectra averaged over 50 realizations, obtained from both classical noises (with quantum noise set to zero). Both noises lead to equivalent results. The difference between the spectra is lower than 0.3 dB on the full spectral span, which is less than the residual averaging noise [see Fig. 1(a)], and therefore negligible. Hereafter, the classical noise is set according to Eq. (17). It corresponds to a white flux of photons without any phase correlations between each frequency component.

In Fig. 4 we compare the peak intensity of side bands in the case of vacuum-fluctuations induced MI and the (unphysical) case of MI induced by the classical noise alone. In the quantum regime where the number of particles per mode is small the two approaches differ strongly whereas in the exponential amplification regime they give similar results, although different classical noise levels give rise to different final number of photons.

The simulations of Fig. 5 take into account both classical and quantum noises. They illustrate the realistic situation when both noises compete for producing modulation instability. If the order of magnitude of the classical noise is such that there is less than one photon per mode, the quantum noise dominates and the curve is (except for very small values of the gain) indistinguishable from the case where there is no classical noise. On the other hand, if the number of noise photons per mode is much larger than one, the classical noise dominates and the intensity of the sidebands is indistinguishable from purely classical situations depicted in Fig.



FIG. 4. Comparison between a MI growing from quantum noise (solid line) and from purely classical noise. The number of photons in the Stokes and anti-Stokes modes is plotted as a function of the propagation length (expressed in dimensionless units $\gamma P_0 L$). The input noises in the classical situation correspond to the following amount of photons per mode: (i) 1/40 (dash-dotted line), (ii) 1/2 (dotted line), and (iii) 10 (dashed line). The simulation parameters are those of Fig. 1(a).

4. These numerical results are consistent with Eqs. (8a) and (8b), in which the term 1 represents the contribution of the vacuum fluctuation. If n_s or n_a are higher than 1, Eqs. (8a) and (8b) tend to Eqs. (4a) and (4b) corresponding to the classical description.

In summary, we have shown that if one considers only the peak intensity of the sidebands, the quantum origin of the instability can only be seen in the regime where the number of photons per mode (produced or initially present) is small whereas when the number of photons per mode is large the



FIG. 5. Simulated values of the number of photons in the Stokes and anti-Stokes modes in the realistic case when MI grows from both quantum and classical noise. The classical noise intensities are defined in order to correspond to an amount of photons per GHz: (i) 0.1 (circles), (ii) 1 (up-triangles), (iii) 10 (down-triangles), and (iv) 100 (stars). The squares correspond to a purely quantum noise. The simulation parameters are those of Fig. 1(a). The inset is a zoom corresponding to the the lowest $\gamma P_0 L$ parameters. It is drawn in a logarithmic scale.

effect of vacuum fluctuations is indistinguishable from that of classical noise. Good quantitative agreement between the two approaches in the exponential amplification regime is obtained when the number of noise photons is 1/2 per mode. Note that other quantum effects, such as two mode squeezing, may be present in the regime where many photons are produced per mode, but exhibiting them requires looking at correlations between the two sidebands.

D. Using classical noise to compute the instabilities induced by vacuum fluctuations

We can now discuss the well-known trick which consists in introducing a half photon per mode into a classical simulation (then removing it) to simulate the spontaneous effects. To see how this works we compare Eqs. (4a) and (4b) which describe the MI induced by classical noise and Eq. (9) which is derived from the quantum theory and gives the number of photons produced per mode by the action of vacuum fluctuations alone. Now, if we introduce the same amount of Stokes and anti-Stokes noise photons n_0 in Eqs. (4a) and (4b) we find

$$\frac{n_a^{Cl}}{2n_0} - \frac{1}{2} = \frac{n_s^{Cl}}{2n_0} - \frac{1}{2} = \sinh^2(\gamma P_0 L) = n_a^{Qu} = n_s^{Qu}, \quad (19)$$

where *Cl* and *Qu* denote respectively the classical approach and the quantum approach. Taking $n_0 = \frac{1}{2}$, Eq. (19) shows the agreement between the quantum predictions (right-hand side) and the classical predictions (left-hand side). Note that n_0 can be any real value (except for 0), hence the spontaneous growth of the number of photons per mode can be simulated with any number of initial classical photons if the pump depletion is neglected.

We have compared, using numerical integration, the direct quantum approach based on the SNLSE (without classical noise) and the classical approach in which one first integrates the NLSE with some initial classical noise then rescales the spectra according to the left side of Eq. (19). The discrepancy between both approaches is measured by the following ratio in dB scale:

$$\eta = 10 \, \log_{10} \left(\frac{n^{Qu}}{\frac{n^{Cl}}{2n_0} - \frac{1}{2}} \right). \tag{20}$$

Applying Eq. (20) to the data reported in Fig. 4, one founds that for $\gamma P_0 L$ higher than 0.2, η is constant for any classical noise amplitude: $\eta = 1.9 \text{ dB} \pm 0.3 \text{ dB}$. Note that both noise definitions Eq. (17) and Eq. (18) lead to the same η . Moreover, these results may be extended to $\gamma P_0 L$ lower than 0.2 by increasing the number of realizations. The origin of nonzero value of η may come from the mode definition used in Eq. (16). Indeed the numerical integration of SNLSE gives the physical spectral density of energy S_E whereas the calculus trick—left-hand side of Eq. (19)—leads to values interpreted as a number of photons per mode which must be rescaled to give S_E .

In summary, in the case of pump pulses of finite duration, a full quantum treatment based on the SNLSE leads to a direct quantitative prediction whereas the calculus trick only gives a good approximation whose accuracy is dependent on the modes definition.

V. CONCLUSION

We generalized to an arbitrary level of birefringence the stochastic nonlinear Schrödinger equations describing the propagation of pulses through a nonlinear $\chi^{(3)}$ medium with linear birefringence and group-velocity dispersion, and developed numerical routines to compute them. Because these stochastic equations are equivalent to quantum field operator equations, we used them to compute spontaneous (or vacuum-fluctuations induced) modulation instability spectra in various birefringence regimes, including weak, high but also intermediate birefringence which has not been studied so far. In particular we showed that the decline of the number of photons generated by the weak-birefringence VMI when the birefringence increases, is attributable to the increase of the walkoff between Stokes and anti-Stokes photons, although the weak-birefringence VMI gain remains constant. We then investigated the absolute values of the energy spectral density at the maximum gain in the case of scalar modulation instabilities induced by vacuum fluctuations. We obtained good quantitative agreement between the simulation based on SNLSE and the linear perturbation analysis. Then we have carried out a detailed comparison of the effect of classical and quantum noise and shown that the quantum origin of the instability can only be seen in the regime where the number of photons per mode produced or initially present is small. Finally we note that the quantum nonlinear propagation theory predicts the energy spectral density and that the concept of mode, although very useful, must be handled with care. The present work forms the basis for numerical and experimental investigation of vacuum-fluctuations induced VMI in regimes which have been little investigated so far, and we hope to report on this in the near future [13].

Although we have not developed this aspect in this article the stochastic equations can also be used to computed intensity correlations between sidebands and predict special quantum effects like squeezing. For this reason the stochastic equations (13a)–(13d) are a valuable tool for computing quantum effects in birefringent nonlinear $\chi^{(3)}$ media, especially optical fibers. The stochastic model may also be adapted to include Raman and Brillouin effects (see [12] for the scalar case). Higher-order dispersion effects can also be included in a straightforward way.

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APPENDIX: STOCHASTIC COUPLED NONLINEAR SCHRÖDINGER EQUATIONS

In order to derive the SNLSE (13a)–(13d), we will proceed in three stages. First, we will establish the interaction Hamiltonian that governs field evolution in a lossless, dispersive, and birefringent fiber. We will only present an heuristic

derivation of this Hamiltonian and put the emphasis on appropriate approximations. A rigorous derivation requires a discussion of electromagnetic field quantization in material media [12,21,25,26], which is outside the scope of this article. Second, we will use this Hamiltonian to find the Liouville equation describing the evolution of the density operator of the field and convert it into a Fokker-Planck equation using the $P^{(+)}$ representation. Finally, we will establish the connection between the Fokker-Planck equation and the stochastic equations (13a)–(13d).

1. Linear and nonlinear Hamiltonians

In a dispersive birefringent medium the positivefrequency part of the *s*-polarized electric field component (s=x,y) can be decomposed on monochromatic modes in the following way [21]:

$$\hat{E}_{s}^{(+)}(\mathbf{r}) = i \int d\beta \left(\frac{\hbar\omega_{s}(\beta)v_{gs}(\beta)}{4\pi\epsilon_{0}n_{s}(\beta)cA}\right)^{1/2} \hat{a}_{s}(\beta)F(x,y)e^{i\beta z}.$$
(A1)

Equation (A1) can be seen as defining $\hat{a}_s(\beta)$. The operators $\hat{a}_s(\beta)$ and its Hermitic conjugated $\hat{a}_s^{\dagger}(\beta)$ are, respectively, the annihilation and creation operators for a *s*-polarized photon propagating in the fiber with a propagation constant β and having an angular frequency $\omega_s(\beta)$. According to the canonical quantization, they satisfy the commutation rule

$$[\hat{a}(\beta), \hat{a}^{\dagger}(\beta')] = \delta(\beta - \beta').$$
(A2)

In Eq. (A1), $n_s(\beta)$ and $v_{gs}(\beta)$ are respectively the linear index of refraction and group velocity corresponding to the *s*-polarized monochromatic mode with frequency $\omega_s(\beta)$, and

$$A = \int \int |F(x,y)|^2 dx dy.$$
 (A3)

The operator representing the *s*-polarized electric field component is given by

$$\hat{E}_{s}(\mathbf{r}) = \hat{E}_{s}^{(+)}(\mathbf{r}) + \hat{E}_{s}^{(-)}(\mathbf{r}),$$
 (A4)

where $\hat{E}_{s}^{(-)}(\mathbf{r}) = [\hat{E}_{s}^{(+)}(\mathbf{r})]^{\dagger}$ is the negative-frequency part of $\hat{E}_{s}(\mathbf{r})$.

The total Hamiltonian \hat{H}_T representing the sum of the vacuum electromagnetic energy and the dielectric energy stored in the fiber can be decomposed in a linear part \hat{H}_L and a nonlinear one \hat{H}_{NL} .

The linear part,

$$\hat{H}_{L} = \int d\beta \sum_{s=x,y} \hbar \omega_{s}(\beta) \hat{a}_{s}^{\dagger}(\beta) \hat{a}_{s}(\beta), \qquad (A5)$$

takes into account the free-field energy and the energy stored into the dielectric through linear interactions, including the effects of *linear* dispersion and *linear* birefringence through the dispersion relations $\omega_s = \omega_s(\beta)$.

If the field bandwidth is narrow compared to the central angular frequency ω_0 , dispersion can be neglected in the $\chi^{(3)}$

interactions and the nonlinear part of the Hamiltonian can be written

$$\hat{H}_{NL} = -\frac{1}{4}\epsilon_0 d \int d^3 r \sum_{ijkl} \chi_{ijkl} E_i^{(-)} E_l^{(-)} E_j^{(+)} E_k^{(+)}, \qquad (A6)$$

where χ_{ijkl} stands for $\chi_{ijkl}(\omega_0; \omega_0, \omega_0, -\omega_0)$. This simplified Hamiltonian only takes into account the Kerr effect, which is the dominant one for quasi-monochromatic fields. Since the medium is supposed lossless, the $\chi^{(3)}$ tensor has the full permutation symmetry [27]. The degeneracy factor d=6 takes this symmetry into account, by counting the number of ways to permute the frequency arguments and the indexes of the $\chi^{(3)}$ tensor. A further useful approximation is to consider that the $\chi^{(3)}$ process is isotropic [27,28]:

$$\chi_{ijkl} = \chi_{xxyy} \delta_{ij} \delta_{kl} + \chi_{xyxy} \delta_{ik} \delta_{jl} + \chi_{xyyx} \delta_{il} \delta_{jk}.$$

Since the field bandwidth is supposed narrow, the permutation symmetry also requires that $\chi_{xxyy} = \chi_{xyxy}$:

$$\chi_{ijkl} = \chi_{xyxy}(\delta_{ij}\delta_{kl} + \delta_{ik}\delta_{jl}) + \chi_{xyyx}\delta_{il}\delta_{jk}.$$
 (A7)

Using Eq. (A7), the nonlinear Hamiltonian becomes

$$\hat{H}_{NL} = -\frac{3}{2} \epsilon_0 \chi_{XXXX} \int d^3 r \left(\sum_s \hat{E}_s^{(-)} \hat{E}_s^{(-)} \hat{E}_s^{(+)} \hat{E}_s^{(+)} + (1-B) \right) \\ \times \sum_{s \neq s'} \hat{E}_s^{(-)} \hat{E}_{s'}^{(-)} \hat{E}_s^{(+)} \hat{E}_{s'}^{(+)} + B \sum_{s \neq s'} \hat{E}_s^{(-)} \hat{E}_s^{(-)} \hat{E}_{s'}^{(+)} \hat{E}_{s'}^{(+)} \right),$$
(A8)

where we defined $B = \chi_{xyyx} / \chi_{xxxx}$, and factored out $\chi_{xxxx} = 2\chi_{xyxy} + \chi_{xyyx}$.

Another consequence of the narrow-bandwidth assumption is that the square-rooted bracket in Eq. (A1) can be taken out of the integral and one can write

$$\hat{E}_{s}^{(+)}(\mathbf{r}) \approx i \left(\frac{\hbar \omega_{0} v_{gs0}}{2\epsilon_{0} n_{s0} c A} \right)^{1/2} F(x, y) \hat{\psi}_{s}(z, t) e^{i(\beta_{s0} z - \omega_{0} t)},$$
(A9)

where

$$\hat{\psi}_s(z,t) = \frac{e^{i(\omega_0 t - \beta_{s0} z)}}{\sqrt{2\pi}} \int d\beta_s \hat{a}_s(\beta_s) e^{i\beta_s z}.$$
 (A10)

In Eqs. (A9) and (A10), n_{s0} , v_{gs0} , and β_{s0} stand respectively for the index of refraction, the group-velocity, and the propagation constant at frequency ω_0 on the *s*-axis. The operator $\hat{\psi}$ is an envelope operator because fast oscillations in space and time have factored out. This implies that $\hat{\psi}$ is explicitly timedependent in the Schrödinger picture. The operator $\hat{\psi}_s^{\dagger}\hat{\psi}_s dz$ represents the number of *s*-polarized photons in [z, z+dz]. One can easily check that

$$\left[\hat{\psi}_{s}(z,t),\hat{\psi}_{s'}^{\dagger}(z',t)\right] = \delta_{ss'}\delta(z-z').$$
(A11)

Using Eq. (A9), \hat{H}_{NL} takes the following simple form:

$$\hat{H}_{NL} = -\frac{\hbar}{2}\Theta \int \{ (\hat{\psi}_x^{\dagger} \hat{\psi}_x^{\dagger} \hat{\psi}_x \hat{\psi}_x + \hat{\psi}_y^{\dagger} \hat{\psi}_y^{\dagger} \hat{\psi}_y \hat{\psi}_y) + 2(1-B) \hat{\psi}_x^{\dagger} \hat{\psi}_y^{\dagger} \hat{\psi}_x \hat{\psi}_y \\ + B[(\hat{\psi}_x^{\dagger})^2 \hat{\psi}_y^2 e^{2i\Delta\beta_0 z} + (\hat{\psi}_y^{\dagger})^2 \hat{\psi}_x^2 e^{-2i\Delta\beta_0 z}] \} dz, \qquad (A12)$$

where

$$\Theta = \frac{3\hbar\omega_0^2 v_{g0}^2 \chi_{xxxx}}{4\epsilon_0 n_0^2 c^2 A_{\text{eff}}}, \quad A_{\text{eff}} = \frac{A^2}{\int \int |F(x,y)|^4 dx \, dy}.$$
(A13)

Let us note that we have set $n_0 \equiv n_{x0} \approx n_{y0}$ and $v_{g0} \equiv v_{gx0}$ $\approx v_{gy0}$. The linear Hamiltonian \hat{H}_L can also be expressed in function of the operators $(\hat{\psi}_s, \hat{\psi}_s^{\dagger})$, s=x, y, by developing $\omega_s(\beta)$ in a Taylor expansion around β_{s0} up to the second order,

$$\omega_{s}(\beta) = \omega_{0} + \omega_{s}'(\beta - \beta_{s0}) + \frac{\omega_{s}''}{2}(\beta - \beta_{s0})^{2} + \cdots,$$
(A14)

where $\omega'_s = d\omega_s / d\beta|_{\beta_0} = v_{gs0}$ and $\omega''_s = d^2\omega_s / d\beta^2|_{\beta_0}$. Using Eq. (A14) and inverting Eq. (A10), one finds that $\hat{H}_L = \hat{U} + \hat{H}'_L$, where

$$\hat{U} = \hbar \omega_0 \sum_{s=x,y} \int \hat{\psi}_s^{\dagger}(z) \hat{\psi}_s(z) dz$$
 (A15)

and

$$\hat{H}'_{L} = \frac{\hbar}{2} \sum_{s=x,y} \int \left[i\omega'_{s} \left(\frac{d\hat{\psi}^{\dagger}_{s}}{dz} \hat{\psi}_{s} - \hat{\psi}^{\dagger}_{s} \frac{d\hat{\psi}_{s}}{dz} \right) + \omega''_{s} \frac{d\hat{\psi}^{\dagger}_{s}}{dz} \frac{d\hat{\psi}_{s}}{dz} \right] dz.$$
(A16)

In the Heisenberg picture, the Hamiltonian \hat{U} is responsible for a free oscillation $\exp(-i\omega_0 t)$ of the fields $\hat{\psi}_s$ (s=x,y). This oscillation will cancel out the explicit oscillation $\exp(i\omega_0 t)$ already present in the definition (A10). For this reason, we prefer to continue the discussion in the interaction picture:

$$(\hat{\psi}_s)_I = \hat{\psi}_s \exp(-i\omega_0 t), \qquad (A17)$$

$$\hat{H}_I = \hat{H}_T - \hat{U} = \hat{H}'_L + \hat{H}_{NL}.$$
 (A18)

To simplify the notations we will drop the I index in later equations.

2. From Liouville to stochastic equations

In the quantized theory, the state of the electromagnetic field is represented by the density operator $\hat{\rho}(t)$. Its evolution, in the interaction picture, is given by the Liouville equation

$$i\hbar\frac{d}{dt}\hat{\rho} = [\hat{H}, \hat{\rho}], \qquad (A19)$$

where \hat{H} is the Hamiltonian defined by Eq. (A18). Using Eqs. (A16) and (A12), the calculation of the right-hand side

of Eq. (A19) is straightforward, so we do not write it here explicitly.

In order to obtain stochastic equations from the Liouville equation (A19), we generalized the argument of Drummond and Gardiner [22] for monomode fields and their extension to multimode scalar fields given in [9]. We introduce the multimode coherent states $|\{\alpha\}\rangle$ defined as the eigenstates of the annihilation operators $\hat{a}_s(\beta)$

$$\hat{a}_{s}(\beta)|\{\alpha\}\rangle = \alpha_{s}(\beta)|\{\alpha\}\rangle.$$

As a consequence, $|\{\alpha\}\rangle$ are also eigenstates of the envelope operator (A17)

 $\hat{\psi}_{s}(z)|\{\alpha\}\rangle = \psi_{s}(z)|\{\alpha\}\rangle,$

with

$$\psi_s(z) = \frac{1}{\sqrt{2\pi}} \int d\beta_s \alpha_s(\beta_s) e^{i(\beta_s - \beta_{s0})z}$$

This suggests the alternative notation $|\psi(z)\rangle \equiv |\{\alpha\}\rangle$, with $\psi(z) = [\psi_x(z), \psi_y(z)]$, for the multimode coherent state. The basic idea of $P^{(+)}$ representation is to expand the density operator on nondiagonal coherent state projection operators defined as

$$\hat{\Lambda}(\boldsymbol{\Psi}(z)) = \frac{|\boldsymbol{\psi}(z)\rangle\langle(\boldsymbol{\psi}^{\dagger})^{*}(z)|}{\langle(\boldsymbol{\psi}^{\dagger})^{*}(z)|\boldsymbol{\psi}(z)\rangle},$$
(A20)

where $\boldsymbol{\psi}^{\dagger}(z) = [\psi_x^{\dagger}(z), \psi_y^{\dagger}(z)]$ is a new set of fields different from $\boldsymbol{\psi}(z)$. Denoting $\boldsymbol{\Psi}(z) = [\psi_x(z), \psi_x^{\dagger}(z), \psi_y(z), \psi_y^{\dagger}(z)]$, this expansion can be written in the following way:

$$\hat{\rho}(t) = \int P(\Psi; t) \hat{\Lambda}(\Psi) d\mu(\Psi), \qquad (A21)$$

where the integration measure $d\mu(\Psi)$ means that the integration is carried over all the possible fields ψ_s and ψ_s^{\dagger} , s = x, y. Taking into account the definition (A20) one can show that

$$\hat{\psi}_s(z)\hat{\Lambda} = \psi_s(z)\hat{\Lambda},$$
 (A22a)

$$\hat{\psi}_{s}^{\dagger}(z)\hat{\Lambda} = \left(\psi_{s}^{\dagger}(z) + \frac{\delta}{\delta\psi_{s}(z)}\right)\hat{\Lambda},$$
 (A22b)

$$\hat{\Lambda}\hat{\psi}_{s}^{\dagger}(z) = \psi_{s}^{\dagger}(z)\hat{\Lambda}, \qquad (A22c)$$

$$\hat{\Lambda}\hat{\psi}_{s}(z) = \left(\frac{\delta}{\delta\psi_{s}^{\dagger}(z)} + \psi_{s}(z)\right)\hat{\Lambda}, \qquad (A22d)$$

where $\delta/\delta\psi_s(z)$ and $\delta/\delta\psi_s^{\dagger}(z)$ are functional derivatives. Equations (A22a)–(A22d) generalize the corresponding monomode identities of [22].

The *P*-function always exist and is positive for any density operator. The *P*-function is useful for calculating normal ordered moments:

$$\langle (\hat{\psi}_{s}^{\dagger})^{m} (\hat{\psi}_{s'})^{n} \rangle = \int (\psi_{s}^{\dagger})^{m} (\psi_{s'})^{n} P(\boldsymbol{\Psi}; t) d\mu(\boldsymbol{\Psi}). \quad (A23)$$

In particular, Eq. (A23) shows that *P* is normalized to unity: $1 = \int P(\Psi; t) d\mu(\Psi)$. It can be interpreted as a genuine probability density on the (infinite dimensional) space sustained by the field $\psi_s(z)$ and $\psi_s^{\dagger}(z)$.

To obtain the time evolution of P, we insert the expansion (A21) into the Liouville equation (A19) and find

$$\int \frac{\partial P}{\partial t} \hat{\Lambda}(\boldsymbol{\Psi}) d\boldsymbol{\mu}(\boldsymbol{\Psi}) = \int d\boldsymbol{\mu}(\boldsymbol{\Psi}) \int dz P(\boldsymbol{\Psi}; t) \left(C_k(\boldsymbol{\Psi}) \frac{\delta}{\delta \Psi_k(z)} + \frac{1}{2} D_{kl}(\boldsymbol{\Psi}) \frac{\delta^2}{\delta \Psi_k(z) \delta \Psi_l(z)} \right) \hat{\Lambda}(\boldsymbol{\Psi}),$$
(A24)

where summation over k and l is implied. The C_k 's are the components of a four-dimension drift vector C, with

$$C_{1}(\Psi) = -\omega_{x}'\frac{\partial\psi_{x}}{\partial z} + i\frac{\omega_{x}''}{2}\frac{\partial^{2}\psi_{x}}{\partial z^{2}} + i\Theta(\psi_{x}^{\dagger}\psi_{x}\psi_{x} + (1-B)\psi_{y}^{\dagger}\psi_{y}\psi_{x}) + i\Theta B\psi_{x}^{\dagger}\psi_{y}^{2}e^{-2i\Delta\beta_{0}z}.$$
(A25)

The C_2 , C_3 , and C_4 components have a similar form. C_2 is obtained from Eq. (A25) by making the substitution (i) $i \rightarrow -i$, $\psi_x \leftrightarrow \psi_x^{\dagger}$, and $\psi_y \leftrightarrow \psi_y^{\dagger}$. C_3 is obtained by (ii) exchanging x and y indexes in Eq. (A25), and making the subtitution $\Delta\beta_0 \rightarrow -\Delta\beta_0$. To obtain C_4 , both substitutions (i) and (ii) must be performed. The D_{kl} are the elements of a symmetric diffusion matrix D that can be written in the form $D = BB^T$, where

$$\boldsymbol{B} = \sqrt{i\Theta} \begin{pmatrix} \psi_x & 0 & \sqrt{B} \psi_y e^{-i\Delta\beta_0 z} & 0\\ 0 & i\psi_x^{\dagger} & 0 & i\sqrt{B} \psi_y^{\dagger} e^{i\Delta\beta_0 z}\\ \psi_y & 0 & -\sqrt{B} \psi_x e^{i\Delta\beta_0 z} & 0\\ 0 & i\psi_y^{\dagger} & 0 & -i\sqrt{B} \psi_x^{\dagger} e^{-i\Delta\beta_0 z} \end{pmatrix}.$$
(A26)

Using Eqs. (A22a)–(A22d), one can deduce from Eq. (A24) that the $P(\Psi;t)$ verifies a functional Fokker-Planck equation with a semipositive-definite diffusion matrix. We refer to [9] for a demonstration since Eq. (A24) has the same structure as Eq. (4.19) in [9]. Because of the semipositivity of the diffusion matrix, the positivity of P is maintained during evolution.

The stochastic equations equivalent to the Fokker-Planck equation for P can be written in the following compact form:

$$\frac{\partial}{\partial t}\Psi_k(z,t) = C_k(\Psi) + B_{kl}(\Psi)\zeta_l(z,t), \qquad (A27)$$

where $(k,l) \in \{1,2,3,4\}^2$, and $\zeta_l(z,t)$ are the independent zero-mean Gaussian white noise random fields introduced in Sec. III and characterized by the second-order moments (11). The *C* vector gives the deterministic evolution of the fields as predicted by the classical theory of light. The way vacuum fluctuations modify the classical evolution is determined by the structure of the *B* matrix. If one discards the stochastic terms, the fields ψ_s^{\dagger} and ψ_s appear to be just complex conjugated of each other. However, when vacuum fluctuations are taken into account, ψ_s^{\dagger} and ψ_s must be treated as independent fields that are only complex conjugate in mean.

As they stand, Eq. (A27) seems to differ from Eqs. (13a)–(13d). Actually, both writings are equivalent. To highlight the equivalence we first notice that, according to the instantaneous-power normalization of the (A_s, A_s^{\dagger}) fields, one has the following relations:

$$A_s(z,t) = \sqrt{\hbar \omega_0 v_{gs0}} \psi_s(z,t), \qquad (A28a)$$

$$A_s^{\dagger}(z,t) = \sqrt{\hbar \omega_0 v_{gs0}} \psi_s^{\dagger}(z,t).$$
 (A28b)

Inserting Eq. (A28) into Eq. (A27), and noting that $\omega'_s = v_{gs0}$ and $\Theta = \hbar \omega_0 v_{g0}^2 \gamma$, we find

$$\frac{\partial A_x}{\partial z} + \frac{1}{v_{gx0}} \frac{\partial A_x}{\partial t} = +i \frac{\omega_x''}{2v_{gx0}} \frac{\partial^2 A_x}{\partial z^2} + i \gamma [A_x^{\dagger} A_x + (1-B)A_y^{\dagger} A_y] A_x + i \gamma B(A_y)^2 A_x^{\dagger} e^{-2i\Delta\beta_0 z} + \sqrt{i\gamma\hbar\omega_0} [\zeta_1 A_x + \sqrt{B}\zeta_3 A_y e^{-i\Delta\beta_0 z}], \qquad (A29a)$$

$$\frac{\partial A_x^{\dagger}}{\partial z} + \frac{1}{v_{gx0}} \frac{\partial A_x^{\dagger}}{\partial t} = -i \frac{\omega_x''}{2v_{gx0}} \frac{\partial^2 A_x^{\dagger}}{\partial z^2} - i \gamma [A_x^{\dagger} A_x + (1-B)A_y^{\dagger} A_y] A_x^{\dagger} - i \gamma B (A_y^{\dagger})^2 A_x e^{+2i\Delta\beta_0 z} + \sqrt{-i\gamma\hbar\omega_0} [\zeta_2 A_x^{\dagger} + \sqrt{B}\zeta_4 A_y^{\dagger} e^{+i\Delta\beta_0 z}], \qquad (A29b)$$

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$$\begin{aligned} \frac{\partial A_{y}}{\partial z} + \frac{1}{v_{gy0}} \frac{\partial A_{y}}{\partial t} &= +i \frac{\omega_{y}''}{2v_{gy0}} \frac{\partial^{2} A_{y}}{\partial z^{2}} + i \gamma [A_{y}^{\dagger} A_{y} + (1-B)A_{x}^{\dagger} A_{x}]A_{y} \\ &+ i \gamma B (A_{x})^{2} A_{y}^{\dagger} e^{+2i\Delta\beta_{0}z} + \sqrt{i \gamma \hbar \omega_{0}} [\zeta_{1} A_{y} \\ &- \sqrt{B} \zeta_{3} A_{x} e^{+i\Delta\beta_{0}z}], \end{aligned}$$
(A29c)

$$\frac{\partial A_{y}^{\dagger}}{\partial z} + \frac{1}{v_{gy0}} \frac{\partial A_{y}^{\dagger}}{\partial t} = -i \frac{\omega_{y}''}{2v_{gy0}} \frac{\partial^{2} A_{y}^{\dagger}}{\partial z^{2}} - i \gamma [A_{y}^{\dagger} A_{y} + (1-B)A_{x}^{\dagger} A_{x}]A_{y}^{\dagger}$$
$$- i \gamma B (A_{x}^{\dagger})^{2} A_{y} e^{-2i\Delta\beta_{0}z} + \sqrt{-i\gamma\hbar\omega_{0}} [\zeta_{2}A_{y}^{\dagger}$$
$$- \sqrt{B} \zeta_{4} A_{x}^{\dagger} e^{-i\Delta\beta_{0}z}]. \tag{A29d}$$

Equations (A29a)–(A29d) differ from Eqs. (13a)–(13d) only in the first term of the right member. For each axis, the group-velocity dispersion parameter is $\beta_{2s} = -\omega_s''/v_{gs0}^3$. When the typical pulse duration *T* is such that T/β_{2s} is much bigger than the group-velocity v_{gs0} , which is the common situation in fiber optics, the following operator approximation holds

$$\frac{\partial^2}{\partial z^2} \approx \frac{1}{v_{gs0}^2} \frac{\partial^2}{\partial t^2}.$$
 (A30)

Inserting Eq. (A30) into Eqs. (A29a)–(A29d), and noting that usually $\beta_{2x} \approx \beta_{2y} \equiv \beta_2$, one obtains the stochastic equations (13a)–(13d).

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