# **Polarization squeezing in vertical-cavity surface-emitting lasers**

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We further elaborate the theory of quantum fluctuations in vertical-cavity surface-emitting lasers (VCSEL's), developed by [Hermier *et al.* Phys. Rev. A **65**, 053825 (2002)]. In particular, we introduce quantum Stokes parameters to describe the quantum self-correlations and cross correlations between two polarization components of the electromagnetic field generated by this type of laser. We calculate analytically the fluctuation spectra of these parameters and discuss experiments in which they can be measured. We demonstrate that in certain situations VCSEL's can exhibit polarization squeezing over some range of spectral frequencies. This polarization squeezing has its origin in sub-Poissonian pumping statistics of the active laser medium.

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### **I. INTRODUCTION**

In the last years there has been an increasing interest in the polarization properties of vertical-cavity surface-emitting lasers (VCSEL's). This interest is motivated in the first case by the potential applications of this type of laser in high-rate optical communications [1]. But there is also a more fundamental reason for understanding the polarization behavior in VCSEL's—namely, a possibility of generating the intensitysqueezed light using sub-Poissonian pumping of the active medium [2,3]. To date, squeezing in VCSEL's has been demonstrated experimentally for both single-mode operation and in a multi-transverse-mode regime [4,5]. In single-mode operation with only one linearly polarized mode above threshold the fluctuations in a subthreshold mode with polarization orthogonal to the lasing mode can present high intensity noise [6,7] and, moreover, be highly correlated with the intensity fluctuations of the oscillating mode. This phenomenon can result in the deterioration of squeezing observed in experiments with polarization-sensitive optical elements. Therefore, polarization dynamics in VCSEL's plays an important role for a correct description of their quantum fluctuations.

At present, the standard theory that accounts for the dynamics of two polarization components of the electromagnetic field in VCSEL's is the so-called "spin-flip" model developed by San Miguel, Feng, and Moloney [8]. On the basis of this model several authors have formulated semiclassical theories of light fluctuations in VCSEL's [6,7,9–11]. However, a semiclassical description is inappropriate for intensity-squeezed light and, therefore, calls for a fully quantum model of quantum fluctuations in VCSEL's. The "quantum spin-flip" model was developed recently in Ref. [12]. This model takes into account, on the one hand, the dynamics of two polarization components of the electromagnetic field and, on the other hand, the pumping statistics of the active laser medium. In particular, the quantum spin-flip theory allows for sub-Poissonian pumping statistics in which case VCSEL's can generate the intensity-squeezed light.

In this paper we further elaborate the quantum spin-flip model of VCSEL's, developed in [12]. In particular, we apply quantum Stokes parameters to describe the quantum selfcorrelations and cross-correlations of two polarization components of the electromagnetic field generated by VCSEL's. We analytically calculate the fluctuation spectra of the quantum Stokes parameters and discuss experiments in which they can be measured. We demonstrate that for the sub-Poissonian pumping statistics VCSEL's can exhibit polarization squeezing in some range of spectral frequencies.

The paper is organized as follows. In Sec. II we give a short resume of the quantum spin-flip model developed in Ref. [12] and calculate analytically the spectral densities of quantum fluctuations of the quadrature components. In Sec. III we introduce the quantum Stokes parameters and their fluctuation spectra and discuss experiments where these fluctuations spectra can be measured. Using the results obtained in Sec. II we analytically calculate the fluctuation spectra of the quantum Stokes parameters. In Sec. IV with help of the analytical results obtained in Sec. III we illustrate graphically the possibilities of the observation of polarization squeezing in VCSEL's. We also provide the figures of typical crosscorrelation spectra of photocurrents and cross-correlation spectra of the Stokes parameters  $S_2$  and  $S_3$  that can be measured experimentally. In Sec. V we summarize the results.

### **II. QUANTUM SPIN-FLIP THEORY OF VCSEL'S**

# **A. Resume of the model**

In this section we shall give a brief resume of the quantum spin-flip model of VCSEL's developed in Ref. [12]. We shall define the physical parameters of this model and provide the equations which will be used in the following sections. For more details we refer the reader to Ref. [12].

The semiclassical four-level spin-flip model of VCSEL's was developed by San Miguel, Feng, and Moloney [8]. This model describes very well the dynamics of these semiconductor lasers and is widely used for the understanding of such phenomena—for example, as polarization switching. The spin-flip model takes into account the spin sublevels of the total angular momentum of the heavy holes in the valence band and of the electrons in the conduction band.



FIG. 1. Four-level scheme of the active medium of VCSEL.

These four sublevels interact with two circularly polarized electromagnetic waves in the laser resonator, and it is this interaction that is responsible for the complicated polarization dynamics manifested by this type of laser.

The four-level scheme of the semiconductor medium is shown in Fig. 1. Two lower levels  $|b\pm\rangle$  correspond to the unexcited state of the semiconductor medium with zero electron-hole pairs while the upper levels  $|a\pm\rangle$  correspond to the excited states with an electron-hole pair created [13]. Two pairs of levels  $|a+\rangle$ ,  $|b+\rangle$  and  $|a-\rangle$ ,  $|b-\rangle$  are coupled via an interaction with the left and right circularly polarized electromagnetic waves in the laser cavity described by the field operators  $\hat{a}_{+}(t)$  and  $\hat{a}_{-}(t)$ . As explained in Ref. [8], physically these two pairs of transitions are associated with two *z* components  $J_z = \pm 1/2$  of the total angular momentum  $J=1/2$  of the electrons in the conduction band and corresponding *z* components  $J_z = \pm 3/2$  for  $J = 3/2$  of the heavy holes in the valence band. The constants  $\gamma_a$  and  $\gamma_b$  are the decay rates of the populations of the upper and lower levels,  $\gamma_{\perp}$  (not shown in Fig. 1) is the decay rate of the polarization, and  $\gamma_c$  is the spin-flip rate that accounts for mixing of populations with opposite values of  $J<sub>z</sub>$ . The last parameter was introduced in Ref. [8] to describe the spin-flip relaxation process. This parameter is responsible for the coupling of two transitions with different circular polarizations and, as a result, for various polarization dynamics of VCSEL's.

It should be noted that the authors of Ref. [8] have considered the situations of equal relaxation constants of the upper and lower levels,  $\gamma_a = \gamma_b$ . However, it is known from the literature [2,3] that this is not the most favorable condition for the generation of sub-Poissonian light. Therefore, the quantum spin-flip theory in Ref. [12] was developed for arbitrary values of  $\gamma_a$  and  $\gamma_b$ . In this paper we shall also consider this general situation.

Moreover, it has been mentioned in the literature (see, for example, Ref. [12]) that this model describes correctly a semiconductor laser if we assume the decay rate  $\gamma_b$  of the lower levels to be very large compared to the other decay constants—namely,  $\gamma_a$ ,  $\gamma_c$ , and  $\kappa$ . From the classical point of view both situations  $\gamma_b = \gamma_a$  and  $\gamma_b \ge \gamma_a$  result in the same dynamical behavior of VCSEL's. However, it turns out that the statistical properties of two models with  $\gamma_b = \gamma_a$  and  $\gamma_b$  $\gg \gamma_a$  are very different. A detailed discussion of this difference is out of the scope of this paper and we shall address this point elsewhere.

We have indicated in Fig. 1 the pump process with mean total pumping rate 2*R* which is then separated with equal probabilities between two sublevels  $|a+\rangle$  and  $|a-\rangle$ . The quantum spin-flip model of Ref. [12] takes into account the possibility of sub-Poissonian pumping of the laser medium using the technique of pump-noise suppression [2,3]. For a stationary-in-time average pumping rate, the influence of the pump statistics can be characterized by a single parameter  $p \le 1$  [14,15]. For  $p=1$  the pump is perfectly regular while for  $p=0$  the pump has Poissonian statistics. Intermediate values of  $0 \le p \le 1$  correspond to sub-Poissonian pumping while for  $p \le 0$  the pump process possess excess classical fluctuations and corresponds to super-Poissonian statistics.

This pump statistics was introduced into the quantum spin-flip model using the Heisenberg-Langevin equations for the operator-valued collective populations  $\hat{N}_{a\pm}(t)$  and  $\hat{N}_{b\pm}(t)$ of the upper and lower levels in Fig. 1 and for the collective polarization  $\hat{P}_\pm(t)$ . On the basis of the Heisenberg-Langevin equations, the equivalent *c*-number Langevin equations were derived for the collective atomic and field variables, corresponding to the normal ordering of the atomic and field operators [14,15]. Next, using the fact that the relaxation rates  $\gamma_b$  of the lower levels and  $\gamma_\perp$  of the polarization in VCSEL's are much bigger than the relaxation rate  $\gamma_a$  of the upper levels, the macroscopic *c*-number populations  $N_{b\pm}(t)$  and the macroscopic *c*-number polarization  $P_+(t)$  were adiabatically eliminated. The resulting equations can be written in terms of the total population of two upper levels  $|a+\rangle$  and  $|a-\rangle$  and of the total inversion between them. The corresponding variables are defined as  $D(t) = [N_{a+}(t) + N_{a-}(t)]/2$ , and  $d(t)$  $=[N_{a+}(t)-N_{a-}(t)]/2$ . The equations for these variables and the two *c*-number field components  $a_{+}(t)$  are

$$
\dot{a}_{\pm}(t) = -\kappa a_{\pm}(t) - (\kappa_a + i\omega_p)a_{\mp}(t) + c(1 - i\alpha)
$$
  
×[*D*(*t*) ± *d*(*t*)] $a_{\pm}(t) + F_{\pm}(t)$ , (2.1)

$$
\dot{D}(t) = R - \gamma D(t) - c[|a_{+}(t)|^{2} + |a_{-}(t)|^{2}]D(t) - c[|a_{+}(t)|^{2} - |a_{-}(t)|^{2}]d(t) + F_{D}(t),
$$
\n(2.2)

$$
\dot{d}(t) = -\gamma_s d(t) - c[|a_+(t)|^2 - |a_-(t)|^2]D(t) - c[|a_+(t)|^2 + |a_-(t)|^2]d(t) + F_d(t).
$$
\n(2.3)

Here  $\kappa$  is the cavity damping constant, and  $\omega_p$  and  $\kappa_a$  describe the linear birefringence and the linear dichroism of the semiconductor medium. The last parameter was not included in the model in Ref. [12] and is introduced here as a generalization. Next,  $\alpha$  is the linewidth enhancement in semiconductor lasers:

$$
\alpha = \frac{\nu - \omega}{\gamma_{\perp}},\tag{2.4}
$$

where  $\nu$  is the frequency of the semiconductor energy gap and  $\omega$  is the resonator frequency. We have also defined the relaxation rate  $\gamma_s$  as  $\gamma_s = \gamma_a + 2\gamma_c$  and have introduced the shorthand

$$
c = \frac{g^2}{\gamma_{\perp}(1 + \alpha^2)}, \quad \gamma = \gamma_a, \tag{2.5}
$$

where *g* is the coupling constant of the interaction of the electromagnetic field with the polarization.

The functions  $F_{\pm}(t)$ ,  $F_D(t)$ , and  $F_d(t)$  are the *c*-number Langevin forces. Their nonzero correlation functions were calculated in Ref. [12]. In general the results are rather cumbersome but they are simplified in the vicinity of the stationary solutions. For completeness we shall give these correlation functions for the stationary solutions at the end of this section.

#### **B. Stationary semiclassical solutions**

Semiclassical equations of VCSEL's are obtained from Eqs. (2.1)–(2.3) by dropping the *c*-number Langevin forces. In this subsection we shall give the stationary solutions of these equations which characterize the stationary generation of VCSEL's. For an investigation of quantum fluctuations in VCSEL's we shall use the standard assumption that these fluctuations are small compared to the corresponding stationary values. This will allow for linearization of Eqs.  $(2.1)$ – $(2.3)$  around stationary solutions with respect to the quantum fluctuations.

Stationary solutions of Eqs.  $(2.1)$ – $(2.3)$  have been investigated in detail in [8,16]. When  $\omega_p \neq 0$  and  $\kappa_a \neq 0$  there are in general four types of stationary solutions: two of them have linear polarization along the *x* and *y* axes and two other elliptical polarization. We shall consider only linearly polarized solutions because this type of solution is usually realized in experiments. In this case the circularly polarized field components have equal amplitudes and can be written in the form

$$
a_{\pm}(t) = Qe^{i(\Delta t \pm \psi)},\tag{2.6}
$$

where the real amplitude Q is normalized so that  $Q^2 = |a_+|^2$  $=|a_-|^2$  gives the mean number of photons in the corresponding circularly polarized field mode. Two other parameters  $\Delta$ and  $\psi$  determine the type of polarization of the stationary solution (2.6).

We recall that the linearly polarized field components  $a<sub>x</sub>(t)$  and  $a<sub>y</sub>(t)$  are related to the circularly polarized ones as

$$
a_x(t) = \frac{a_+(t) + a_-(t)}{\sqrt{2}}, \quad a_y(t) = \frac{a_+(t) - a_-(t)}{\sqrt{2}i}.
$$
 (2.7)

For the *x*-polarized solution  $\psi=0$  and for the *y*-polarized solution  $\psi = \pi/2$ . The frequency detunings  $\Delta$  in Eq. (2.6) are different for these solutions and are equal to

$$
\Delta_{x,y} = -\left[\kappa_{x,y}\alpha \pm \omega_p\right],\tag{2.8}
$$

where the upper sign corresponds to the *x*-polarized solution and the lower sign to the *y*-polarized one. Here we have introduced the shorthand  $\kappa_x = \kappa + \kappa_a$  and  $\kappa_y = \kappa - \kappa_a$ . The *x*-polarized stationary solution reads

$$
a_x = \sqrt{2}Qe^{i\Delta_x t}, \quad a_y = 0, \tag{2.9}
$$

while the *y*-polarized stationary solution is given by

$$
a_x = 0, \quad a_y = \sqrt{2}Qe^{i\Delta_y t}.\tag{2.10}
$$

For both solutions we have

$$
Q = \sqrt{I_s(r-1)},\tag{2.11}
$$

where  $r = R/R_{\text{th}}$  is the dimensionless pumping rate,  $R_{\text{th}}$  is the threshold pumping rate, and  $I<sub>s</sub>$  is the saturation intensity; the two latter are given by

$$
R_{\text{th}} = \frac{\gamma \kappa_{x,y}}{c}, \quad I_s = \frac{\gamma}{2c}.
$$
 (2.12)

Note that for  $\kappa_a > 0$  the threshold pumping rate for the *y*-polarized solution is lower that for the *x*-polarized one.

The stationary values of the atomic variables  $d_0$  and  $D_0$ for these linearly polarized solutions are equal to

$$
d_0 = 0
$$
,  $D_0 = \frac{R}{\gamma + 2cQ^2} = \frac{\kappa_{x,y}}{c}$ . (2.13)

In the case of VCSEL's as in general for solid-state and semiconductor lasers the question of the stability of stationary solutions is very important. The stability analysis of these stationary solutions was performed in a number of publications, as, for example, Refs. [16,17], and we refer the reader to these papers for details. In our analysis of quantum fluctuations we shall assume that the corresponding stationary operation regime of VCSEL's is stable. Since for a low pumping rate only the *x*-polarized solution is stable, we shall restrict our analysis of quantum fluctuations only for this type of stationary solution.

#### **C. Linearization around stationary solutions**

To calculate the quantum fluctuations around the stationary solution we shall linearize Eqs.  $(2.1)$ – $(2.3)$  around the steady state given by Eq. (2.6). As mentioned above we shall consider here only the *x*-polarized stationary solution. Adding small fluctuations to the stationary solutions we can write the field and the atomic variables as

$$
a_{\pm}(t) = [Q + \delta a_{\pm}(t)]e^{i\Delta t}, \quad D(t) = D_0 + \delta D(t), \quad d(t) = \delta d(t).
$$
\n(2.14)

In this equation and in what follows we have dropped the index x in  $\Delta$ <sub>x</sub> since we shall be concerned only with the *x*-polarized solution. Substituting these expressions into Eqs.  $(2.1)$ – $(2.3)$  and linearizing, we arrive at the following equations for small fluctuations:

$$
\frac{d}{dt}\delta a_{\pm}(t) = (\kappa_a + i\omega_p)[\delta a_{\pm}(t) - \delta a_{\mp}(t)] + c(1 - i\alpha)
$$

$$
\times Q[\delta D(t) \pm \delta d(t)] + F_{\pm}(t)e^{-i\Delta t},
$$

$$
\frac{d}{dt}\delta D(t) = -(\gamma + 2cQ^2)\delta D(t) - \kappa_x Q[\delta a_+(t) + \delta a_-(t) + \text{c.c.}]
$$

$$
+ F_D(t),
$$

$$
\frac{d}{dt}\delta d(t) = -(\gamma_s + 2cQ^2)\delta d(t) - \kappa_x Q[\delta a_+(t) - \delta a_-(t) + \text{c.c.}]
$$
  
+  $F_d(t)$ . (2.15)

It is convenient to introduce the fluctuations of the linearly polarized components of the field  $\delta a_x(t)$  and  $\delta a_y(t)$ , defined according to Eq. (2.7), for which the set of coupled equations (2.15) decouples into two sets of independent equations for  $\delta a_x(t)$  and  $\delta a_y(t)$  with Langevin forces  $F_x(t)$  and  $F_y(t)$  defined similar to Eq. (2.7). Moreover, we shall define the fluctuations of the amplitude and phase quadrature components  $\delta X_r(t)$  and  $\delta Y_r(t)$  of the *x*-polarized field component,

$$
\delta X_x(t) = \frac{1}{2} [\delta a_x(t) + \delta a_x^*(t)], \quad \delta Y_x(t) = \frac{1}{2i} [\delta a_x(t) - \delta a_x^*(t)],
$$
\n(2.16)

and similarly for the *y*-polarized component. For these fluctuations we obtain the equations

$$
\frac{d}{dt} \delta X_x(t) = \sqrt{2c} \mathcal{Q} \delta D(t) + R_x(t),
$$
\n
$$
\frac{d}{dt} \delta Y_x(t) = -\sqrt{2} \alpha c \mathcal{Q} \delta D(t) + T_x(t),
$$
\n
$$
\frac{d}{dt} \delta D(t) = -\Gamma \delta D(t) - 2\sqrt{2} \kappa_x \mathcal{Q} \delta X_x(t) + F_D(t) \quad (2.17)
$$

and

$$
\frac{d}{dt} \delta X_{y}(t) = 2\kappa_{a} \delta X_{y}(t) - 2\omega_{p} \delta Y_{y}(t) - \sqrt{2}\alpha cQ \delta d(t) + R_{y}(t),
$$
\n
$$
\frac{d}{dt} \delta Y_{y}(t) = 2\kappa_{a} \delta Y_{y}(t) + 2\omega_{p} \delta X_{y}(t) - \sqrt{2}cQ \delta d(t) + T_{y}(t),
$$
\n
$$
\frac{d}{dt} \delta d(t) = -\Gamma_{s} \delta d(t) + 2\sqrt{2}\kappa_{x} Q \delta Y_{y}(t) + F_{d}(t), \quad (2.18)
$$

where the new Langevin forces  $R_x(t)$  and  $S_x(t)$  are defined as

$$
R_x(t) = \frac{1}{2} [F_x(t)e^{-i\Delta t} + F_x^*(t)e^{i\Delta t}],
$$
  

$$
T_x(t) = \frac{1}{2i} [F_x(t)e^{-i\Delta t} - F_x^*(t)e^{i\Delta t}],
$$

$$
R_{y}(t) = \frac{1}{2} [F_{y}(t)e^{-i\Delta t} + F_{y}^{*}(t)e^{i\Delta t}],
$$
  
\n
$$
T_{y}(t) = \frac{1}{2i} [F_{y}(t)e^{-i\Delta t} - F_{y}^{*}(t)e^{i\Delta t}].
$$
 (2.19)

In Eqs. (2.17) and (2.18) we have introduced

$$
\Gamma \equiv \gamma + 2cQ^2 = \gamma r, \quad \Gamma_s \equiv \gamma_s + 2cQ^2 = \gamma_s + \gamma(r - 1), \tag{2.20}
$$

as convenient shorthand.

### **D. Spectral densities of quantum fluctuations**

To solve Eqs. (2.17) and (2.18) we take the Fourier transform of the field and atomic fluctuations,

$$
\delta X_x(\Omega) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{+\infty} \delta X_x(t) e^{i\Omega t} dt, \qquad (2.21)
$$

and similar for the other variables, which converts these differential equations into algebraic ones. The spectral correlation functions of these quadratures are  $\delta$  correlated,

$$
\langle \delta X_i(\Omega) \delta X_i(\Omega') \rangle = (\delta X_i^2)_{\Omega} \delta(\Omega + \Omega'),
$$
  

$$
\langle \delta Y_i(\Omega) \delta Y_i(\Omega') \rangle = (\delta Y_i^2)_{\Omega} \delta(\Omega + \Omega'),
$$
  

$$
\langle \delta X_i(\Omega) \delta Y_i(\Omega') \rangle = (\delta X_i \delta Y_i)_{\Omega} \delta(\Omega + \Omega'), \qquad (2.22)
$$

with  $(\delta X_i^2)_{\Omega}$ ,  $i=x, y$ , and  $(\delta Y_i^2)_{\Omega}$  being the spectral densities of the corresponding quadratures and  $(\delta X_i \delta Y_i)_{\Omega}$  their crossspectral density.

After a simple algebra we obtain the following expressions for the fluctuations of the amplitude quadratures  $\delta X_x(\Omega)$  and  $\delta X_y(\Omega)$  and the phase quadrature  $\delta Y_y(\Omega)$ :

$$
\delta X_x(\Omega) = \frac{1}{D_x(\Omega)} \{ (\Gamma - i\Omega) R_x(\Omega) + \sqrt{2} c Q F_D(\Omega) \},
$$
\n(2.23)

$$
\delta X_{y}(\Omega) = \frac{1}{D_{y}(\Omega)} \{ [2\kappa_{x}\gamma(r-1) - (2\kappa_{a} + i\Omega)(\Gamma_{s} - i\Omega)] R_{y}(\Omega) - [2\alpha\kappa_{x}\gamma(r-1) + 2\omega_{p}(\Gamma_{s} - i\Omega)] T_{y}(\Omega) + \sqrt{2}cQ(2\omega_{p} + 2\alpha\kappa_{a} + i\alpha\Omega) F_{d}(\Omega) \},
$$
\n(2.24)

$$
\delta Y_{y}(\Omega) = \frac{1}{D_{y}(\Omega)} \{2\omega_{p}(\Gamma_{s} - i\Omega)R_{y}(\Omega) - (2\kappa_{a} + i\Omega)(\Gamma_{s} - i\Omega) \times T_{y}(\Omega) + \sqrt{2}cQ(-2\alpha\omega_{p} + 2\kappa_{a} + i\Omega)F_{d}(\Omega)\},
$$
\n(2.25)

with

$$
D_x(\Omega) = -i\Omega(\Gamma - i\Omega) + 2\kappa_x \gamma(r - 1),
$$
  
\n
$$
D_y(\Omega) = (\Gamma_s - i\Omega) [(2\omega_p)^2 + (2\kappa_a + i\Omega)^2] + 2\kappa_x \gamma(r - 1)
$$
  
\n
$$
\times (2\alpha\omega_p - 2\kappa_a - i\Omega).
$$
 (2.26)

The other phase quadrature  $\delta Y_x(\Omega)$  will not appear in the observables that we shall discuss below. Using the results obtained in Ref. [12] and taking into account the stationary solutions (2.6) and (2.13) we obtain the following nonzero correlation functions of the Langevin forces  $R_i(t)$ ,  $T_i(t)$ , with  $i=x, y$ , and  $F_D(t)$ ,  $F_d(t)$  for the stationary regime of VCSEL's in approximation of the small fluctuations:

$$
\langle R_x(t)R_x(t')\rangle = \langle R_y(t)R_y(t')\rangle = \langle T_x(t)T_x(t')\rangle = \langle T_y(t)T_y(t')\rangle
$$
  
\n
$$
= \kappa_x \delta(t - t'),
$$
  
\n
$$
\langle F_D(t)F_D(t')\rangle = \frac{\kappa_x}{c} \Gamma\left(1 - \frac{1}{2}p\right) \delta(t - t'),
$$
  
\n
$$
\langle F_d(t)F_d(t')\rangle = \frac{\kappa_x}{c} \Gamma_s \delta(t - t'),
$$
  
\n
$$
\langle F_D(t)R_x(t')\rangle = \langle F_d(t)T_y(t')\rangle = -\sqrt{2}\kappa_x Q \delta(t - t').
$$
\n(2.27)

Equations (2.23)–(2.26) together with correlation functions (2.27) allow us to evaluate an arbitrary correlation function of the laser light emitted by the VCSEL. The spectral densities of the amplitude quadratures  $(\delta X_x^2)_{\Omega}$ ,  $(\delta X_y^2)_{\Omega}$  are given by

$$
(\delta X_x^2)_{\Omega} = \frac{\kappa_x}{|D_x(\Omega)|^2} \{ \Omega^2 + \gamma^2 r [1 - (r - 1)p/2] \}, \quad (2.28)
$$

$$
(\delta X_y^2)_{\Omega} = \frac{\kappa_x}{2|D_y(\Omega)|^2} \{\Omega^4 + A_X \Omega^2 + 4B_X\},\qquad(2.29)
$$

with  $A_X$  and  $B_X$  determined as

$$
A_X = [2\kappa_a - \gamma(r-1)]^2 + [2\omega_p + \alpha\gamma(r-1)]^2 - 4\kappa\gamma(r-1)
$$
  
+  $\gamma_s[\gamma_s + \gamma(r-1)(\alpha^2 + 2)],$ 

$$
B_X = [\kappa_a \gamma_s - \kappa \gamma (r-1)]^2 + [\omega_p \gamma_s + \alpha \kappa \gamma (r-1)]^2 + \gamma_s \gamma (r-1)
$$
  
× $(\alpha \kappa_a + \omega_p)^2$ . (2.30)

The spectral density of the phase quadrature component  $(\delta Y_y^2)_{\Omega}$  is equal to

$$
(\delta Y_y^2)_{\Omega} = \frac{\kappa_x}{2|D_y(\Omega)|^2} \{\Omega^4 + A_Y \Omega^2 + 4B_Y\},\qquad(2.31)
$$

with  $A_Y$  and  $B_Y$  given by

$$
A_Y = 4(\kappa_a^2 + \omega_p^2) + \gamma_s^2 + \gamma (r - 1)(4\alpha\omega_p + \gamma_s),
$$
  
\n
$$
B_Y = \gamma_s^2(\kappa_a^2 + \omega_p^2) + \gamma_s \gamma (r - 1)[\omega_p^2(\alpha^2 + 2) + \kappa_a^2]^2
$$

$$
+\omega_p^2 \gamma^2 (r-1)^2 (\alpha^2+1).
$$
 (2.32)

Finally the cross-spectral density  $(\delta X_y \delta Y_y)_{\Omega}$  reads

$$
(\delta X_y \delta Y_y)_{\Omega} = \frac{-\kappa_x \gamma (r-1)}{2|D_y(\Omega)|^2} \{ \alpha \kappa_x \Omega^2 + 2\kappa \omega_p \gamma (r-1)(\alpha^2 + 1) + 2\gamma_s [\kappa (\alpha \kappa_a + \omega_p) + \alpha \kappa_a (\kappa_a - \alpha \omega_p)] \}.
$$
 (2.33)

These analytical results will be used below for the evaluation of the spectral densities of the quantum Stokes parameters, their cross-spectral densities, and for the cross-correlation spectra of the photocurrents.

# **III. QUANTUM POLARIZATION STATES OF LIGHT: GENERAL DISCUSSION**

#### **A. Quantum Stokes parameters**

There are two equivalent descriptions of the polarization properties of light in classical optics either by the polarization matrix or in terms of the classical Stokes parameters [18]. During the last decade the quantum-mechanical version of the classical Stokes parameters was introduced in the literature and very actively used in quantum optics to describe the quantum fluctuations of polarization of the electromagnetic field [19–22]. There have been several theoretical proposals for generation of polarization-squeezed light [21,23–27] and a few experiments in which such kind of light was observed [28–31].

We shall use the language of the quantum Stokes parameters for the characterization of the quantum fluctuations of polarized light in VCSEL's. In this section we shall express the fluctuation spectra of the quantum Stokes parameters through the spectral densities of the quadrature components evaluated above. In the next section we shall apply these results for the particular case of VCSEL's.

Let us write the operator  $\hat{E}(t)$  of the electromagnetic field at the output of the VCSEL in terms of the *x*- and *y*-polarized components:

 $\rightarrow$ 

 $\rightarrow$ 

$$
\hat{E}(t) = \hat{a}_x(t)\vec{e}_x + \hat{a}_y(t)\vec{e}_y, \qquad (3.1)
$$

where  $\hat{a}_x(t)$  and  $\hat{a}_y(t)$  are the photon annihilation operators in the Heisenberg representation. In what follows we shall omit the time argument when this does not create ambiguities. The quantum Stokes operators  $\hat{S}_{\mu}, \mu=0,1,2,3$ , are introduced similarly to their classical counterparts (see, for example, [27] ):

$$
\hat{S}_0 = \hat{a}_x^{\dagger} \hat{a}_x + \hat{a}_y^{\dagger} \hat{a}_y,
$$
  
\n
$$
\hat{S}_1 = \hat{a}_x^{\dagger} \hat{a}_x - \hat{a}_y^{\dagger} \hat{a}_y,
$$
  
\n
$$
\hat{S}_2 = \hat{a}_x^{\dagger} \hat{a}_y + \hat{a}_y^{\dagger} \hat{a}_x,
$$
  
\n
$$
\hat{S}_3 = i(\hat{a}_y^{\dagger} \hat{a}_x - \hat{a}_x^{\dagger} \hat{a}_y).
$$
\n(3.2)

Using the commutation relations for the photon annihilation and creation operators,

$$
[\hat{a}_i, \hat{a}_j^\dagger] = \delta_{ij} \quad (i, j = x, y), \tag{3.3}
$$

it is easy to verify that the operator  $\hat{S}_0$  commutes with all the others,

$$
[\hat{S}_0, \hat{S}_\mu] = 0 \quad (\mu = 1, 2, 3), \tag{3.4}
$$

and that the operators  $\hat{S}_1$ ,  $\hat{S}_2$ , and  $\hat{S}_3$  satisfy commutation relations similar to the components of the angular momentum operator:

$$
[\hat{S}_1, \hat{S}_2] = 2i\hat{S}_3
$$
,  $[\hat{S}_2, \hat{S}_3] = 2i\hat{S}_1$ ,  $[\hat{S}_3, \hat{S}_1] = 2i\hat{S}_2$ . (3.5)

The noncommutativity of these three Stokes operators does not allow their simultaneous measurement in any real physical experiment. The mean values  $\langle \hat{S}_{\mu} \rangle$ ,  $\mu$ =1,2,3, and the variances  $\Delta S_{\mu} = \sqrt{\langle (\hat{S}_{\mu} - \langle \hat{S}_{\mu} \rangle)^2 \rangle}$  are given by the uncertainty relations [19]

$$
\Delta S_1 \Delta S_2 \ge |\langle \hat{S}_3 \rangle|, \quad \Delta S_2 \Delta S_3 \ge |\langle \hat{S}_1 \rangle|, \quad \delta S_3 \delta S_1 \ge |\langle \hat{S}_2 \rangle|. \tag{3.6}
$$

When the *x*- and *y*-polarized components of the electromagnetic field are in coherent states  $|\alpha_x\rangle$  and  $|\alpha_y\rangle$ , i.e.,

$$
\hat{a}_x|\alpha_x\rangle = \alpha_x|\alpha_x\rangle, \qquad \hat{a}_y|\alpha_y\rangle = \alpha_y|\alpha_y\rangle, \qquad (3.7)
$$

one can speak about the *coherent polarization state* of the electromagnetic field. The mean values of the quantum Stokes parameters in this state are obtained by replacing  $\hat{a}_x$  $\rightarrow \alpha_x$  and  $\hat{a}_y \rightarrow \alpha_y$  in Eq. (3.2). For example, for the first two parameters one obtains

$$
\langle \hat{S}_0 \rangle = |\alpha_x|^2 + |\alpha_y|^2 = \langle \hat{n}_x \rangle + \langle \hat{n}_y \rangle = \langle \hat{n} \rangle,
$$
  

$$
\langle \hat{S}_1 \rangle = |\alpha_x|^2 - |\alpha_y|^2 = \langle \hat{n}_x \rangle - \langle \hat{n}_y \rangle,
$$
 (3.8)

where  $\langle \hat{n} \rangle$  is the mean total number of photons in the electromagnetic wave. The variances of all four quantum Stokes parameters in this case are equal and given by [27]

$$
\Delta S_{\mu}^{2} = \langle \hat{n}_{x} \rangle + \langle \hat{n}_{y} \rangle = \langle \hat{n} \rangle, \qquad \mu = 0, 1, 2, 3. \tag{3.9}
$$

This property of the coherent polarization state allows one to define a *polarization-squeezed state* similar to the definition of a single-mode squeezed state. According to [21] one can speak about polarization squeezing if one of the four variances  $\Delta S_{\mu}$  of the Stokes parameters becomes smaller than that in the coherent state—i.e.,  $\Delta S_\mu^2 < \langle \hat{n} \rangle$  for at least one  $\mu$ .

Classical Stokes parameters  $S_{\mu}$ ,  $\mu=0,1,2,3$  (without carets), are obtained as the mean values of their quantum versions defined in Eq. (3.2),  $S_{\mu} = \langle \hat{S}_{\mu} \rangle$ . From the classical point of view, all polarization properties of light are completely described by these four parameters:  $S_0$  determines the total beam intensity, while three other parameters characterize the polarization state of the light beam. This polarization state in classical optics is often represented in a Poincaré sphere with  $S_1$ ,  $S_2$ , and  $S_3$  forming its three orthogonal axes.

In quantum optics to completely characterize polarization properties of light in addition to the mean values  $S_{\mu}$  of the quantum Stokes parameters one has to determine their variances  $\Delta S_{\mu}$ . In general all these variances can be different and one can speak of an uncertainty ellipsoid in the Stokes-Poincaré space [22]. In the general case, when different Stokes components are correlated, there are three additional parameters which determine the orientation axes of this uncertainty ellipsoid.

While a general description is outside of the scope of our paper, we shall illustrate below graphically that in the case of VCSEL's different quantum Stokes components  $\hat{S}_{\mu}$  can have different variances  $\Delta S_{\mu}$ . The quantum fluctuations of polar-



FIG. 2. Experimental setup for measurement of the classical Stokes parameters.

ization in VCSEL's are therefore characterized by an uncertainty ellipsoid in the Stokes-Poincaré space.

#### **B. Measurement of the classical Stokes parameters**

Four classical Stokes parameters  $S_{\mu}$  can be measured in an experimental setup shown in Fig. 2. This measurement scheme consists of a compensator, a polarizing beam splitter (PBS), and two photodetectors. Let  $\delta_x$  and  $\delta_y$  denote the phase changes produced by the compensator in the *x* and *y* components of the electromagnetic field given by Eq. (3.1). Next, let  $\varphi$  denote the angle between the transmission axis of the PBS and the *x* axis. Then the field amplitudes  $\hat{a}_1$  and  $\hat{a}_2$ of the transmitted and reflected waves after the PBS can be written as

$$
\hat{a}_1 = e^{i\delta_x} (\hat{a}_x \cos \varphi + \hat{a}_y e^{-i\theta} \sin \varphi),
$$
  

$$
\hat{a}_2 = e^{i\delta_x} (-\hat{a}_x \sin \varphi + \hat{a}_y e^{-i\theta} \cos \varphi),
$$
 (3.10)

where  $\theta = \delta_x - \delta_y$  is the phase difference between the *x* and *y* components introduced by the compensator.

The secondary waves after the PBS are photodetected and one observes the mean values of the photocurrents  $\langle i_1 \rangle$  $=\eta c \langle \hat{a}_1^{\dagger} \hat{a}_1 \rangle$  and  $\langle i_2 \rangle = \eta c \langle \hat{a}_2^{\dagger} \hat{a}_2 \rangle$ , where  $\eta$  is the quantum efficiency of photodetection and *c* is the velocity of light (we have put the charge of the electron equal to unity so that the photocurrents are measured in the number of electrons per second). For simplicity in what follows we shall consider the situation of  $\eta=1$ . Using Eq. (3.10) we can write the mean photocurrent  $\langle i_1 \rangle$  measured in the transmission branch of the PBS as

$$
\langle i_1 \rangle \equiv \langle i_1(\varphi, \theta) \rangle = \frac{1}{2} \eta c [S_0 + S_1 \cos 2\varphi + (S_2 \cos \theta + S_3 \sin \theta) \sin 2\varphi], \qquad (3.11)
$$

where  $S_{\mu}$  are the classical Stokes parameters.

Equation (3.11) is the well-known formula for measuring the four classical Stokes parameters. The first three of them are obtained by removing the compensator  $(\theta=0)$  and rotating the transmission axis of the PBS to the angles  $\varphi$  $=0^{\circ}$ , 45°, and 90°, respectively. The fourth parameter  $S_3$  is measured by using a compensator with  $\theta=90^{\circ}$  or so-called quarter-wave plate and setting the transmission axis of the PBS to  $\varphi = 45^\circ$ . The four photocurrents are found to be, respectively,

$$
\langle i_1(0^{\circ}, 0^{\circ}) \rangle = \frac{1}{2} \eta c(S_0 + S_1),
$$
  

$$
\langle i_1(45^{\circ}, 0^{\circ}) \rangle = \frac{1}{2} \eta c(S_0 + S_2),
$$
  

$$
\langle i_1(90^{\circ}, 0^{\circ}) \rangle = \frac{1}{2} \eta c(S_0 - S_1),
$$
  

$$
\langle i_1(45^{\circ}, 90^{\circ}) \rangle = \frac{1}{2} \eta c(S_0 + S_3).
$$
 (3.12)

Solving Eq. (3.12) for  $S_\mu$  we can obtain all classical Stokes parameters from these four measurements.

### **C. Observation of the fluctuation spectra of the quantum Stokes parameters**

In quantum optics in addition to the mean values of the quantum Stokes parameters  $\langle \hat{S}_{\mu} \rangle$  their quantum fluctuations are also taken into account. In this paper to describe the quantum fluctuation we shall introduce the fluctuation spectra of the quantum Stokes parameters.

Let us split the quantum Stokes operators  $\hat{S}_{\mu}(t)$  given by Eq. (3.2) into the stationary mean value  $S_{\mu} = \langle \hat{S}_{\mu} \rangle$  and small fluctuation  $\delta \hat{S}_{\mu}(t)$ :

$$
\hat{S}_{\mu}(t) = S_{\mu} + \delta \hat{S}_{\mu}(t). \tag{3.13}
$$

Taking the Fourier transform of  $\delta \hat{S}_{\mu}(t)$ ,

$$
\delta\hat{S}_{\mu}(\Omega) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{+\infty} \delta\hat{S}_{\mu}(t)e^{i\Omega t}dt,
$$
 (3.14)

we can introduce the normally ordered spectral correlation functions of the fluctuations  $\delta S_{\mu}(\Omega)$  similar to the spectral correlation functions of the quadrature components in Eq. (2.22)—namely,

$$
\langle : \delta \hat{S}_{\mu}(\Omega) \delta \hat{S}_{\mu}(\Omega') : \rangle = (\delta S_{\mu}^{2})_{\Omega} \delta(\Omega + \Omega'),
$$
  

$$
\langle : \delta \hat{S}_{\mu}(\Omega) \delta \hat{S}_{\nu}(\Omega') : \rangle = (\delta S_{\mu} \delta S_{\nu})_{\Omega} \delta(\Omega + \Omega') \quad (\mu \neq \nu).
$$
  
(3.15)

Here  $(\delta S^2_{\mu})_{\Omega}$  are the spectral densities of the corresponding fluctuations and  $(\delta S_\mu \delta S_\nu)_{\Omega}$  their cross-spectral densities. The symbol :...: means normal ordering of operators.

To measure the spectral densities  $(\delta S^2_\mu)_{\Omega}$  and the crossspectral densities  $(\delta S_u \delta S_v)_\Omega$  of the quantum Stokes parameters given by Eq. (3.15) we can use an experimental setup similar to one that we have used for the measurement of the classical Stokes parameters (see Fig. 3). The difference is that instead of detecting the mean photocurrents  $\langle i_1 \rangle$  and  $\langle i_2 \rangle$ after the PBS, one observes now the photocurrent fluctuation spectra  $(\delta \hat{i}_p^2)_{\Omega}$ , *p*=1,2 defined as



FIG. 3. Experimental scheme for measurement of the spectral densities and cross-spectral densities of the quantum Stokes parameters.

$$
(\delta i_p^2)_{\Omega} = \int_{-\infty}^{+\infty} dt \ e^{i\Omega t} \langle \delta i_p(0) \delta i_p(t) \rangle, \tag{3.16}
$$

where  $\langle \delta i_p(0) \delta i_p(t) \rangle$  is the correlation function of the photocurrent fluctuations  $\delta i_p(t) = i_p - \langle i_p \rangle$  and  $\langle i_p \rangle$  is the mean value of the photocurrent. Alternatively, one can add and subtract the individual photocurrents in the secondary channels and to investigate the sum  $i_+(t)=i_1(t)+i_2(t)$  and the difference  $i=(t) = i_1(t) - i_2(t)$  of two photocurrents. In this case information about the fluctuation spectra of the quantum Stokes parameters is contained in the fluctuation spectra

$$
(\delta \hat{i}_{\pm}^2)_{\Omega} = \int_{-\infty}^{+\infty} dt \ e^{i\Omega t} \langle \delta i_{\pm}(0) \delta i_{\pm}(t) \rangle.
$$
 (3.17)

The photocurrent fluctuation spectra  $(\delta \hat{i}_p^2)_{\Omega}$  and  $(\delta \hat{i}_\perp^2)_{\Omega}$  can be easily expressed through the spectral densities  $(\overline{\delta}S_{\mu}^2)_{\Omega}$  and the cross-spectral densities  $(\delta S_\mu \delta S_\nu)_\Omega$  of the four quantum Stokes parameters. The results are conveniently presented in terms of the following linear combination of the three Stokes operators,  $\hat{S}_1$ ,  $\hat{S}_2$ , and  $\hat{S}_3$ :

$$
\hat{S} = \hat{S}_1 \cos 2\varphi + (\hat{S}_2 \cos \theta + \hat{S}_3 \sin \theta) \sin 2\varphi, \qquad (3.18)
$$

which is sometimes called a polarization observable [29,30]. We obtain the following expressions for the fluctuation spectra  $(\delta i_p^2)_{\Omega}$  and  $(\delta i_{\pm}^2)_{\Omega}$ , normalized to the shot-noise levels:

$$
(\delta i_1^2)_{\Omega}/\langle i_1 \rangle = 1 + \frac{\kappa}{2\langle n_1 \rangle} [(\delta S_0^2)_{\Omega} + 2(\delta S_0 \delta S)_{\Omega} + (\delta S^2)_{\Omega}],
$$
\n(3.19)

$$
(\delta i_2^2)_{\Omega}/\langle i_2 \rangle = 1 + \frac{\kappa}{2\langle n_2 \rangle} [(\delta S_0^2)_{\Omega} - 2(\delta S_0 \delta S)_{\Omega} + (\delta S^2)_{\Omega}],
$$
\n(3.20)

$$
(\delta t^2)_{\Omega}/\langle i_+\rangle = 1 + \frac{2\kappa}{\langle n\rangle} (\delta S^2)_{\Omega},
$$
 (3.21)

$$
(\delta \tilde{\iota}_+^2)_{\Omega} \langle i_+ \rangle = 1 + \frac{2\kappa}{\langle n \rangle} (\delta S_0^2)_{\Omega},
$$
 (3.22)

where the corresponding spectral densities and cross-spectral densities of are defined according to Eq. (3.15). Here  $\langle i_{+}\rangle$  $=\langle i_1\rangle + \langle i_2\rangle$  is the shot-noise level of the photocurrent sum and difference,  $\langle n_1 \rangle = \langle \hat{a}_1^{\dagger} \hat{a}_1 \rangle$  and  $\langle n_2 \rangle = \langle \hat{a}_2^{\dagger} \hat{a}_2 \rangle$  are the mean

photon numbers in the corresponding secondary channels after the PBS, and  $\langle n \rangle = \langle n_1 \rangle + \langle n_2 \rangle$ .

Equations  $(3.19)$ – $(3.22)$  are analogous of Eq.  $(3.11)$  for measuring the spectral densities of the quantum Stokes parameters. It is clear from these equations that with the proper choice of angles  $\theta$  and  $\varphi$  all nonzero spectral densities and cross-spectral densities of the Stokes operators can be measured.

# **D. Relations between the spectral densities of the quantum Stokes parameters and of the quadrature components**

In Sec. II D we have provided analytical results for the fluctuations of the quadrature components  $\delta X_{\rm r}(\Omega)$ ,  $\delta X_{\rm v}(\Omega)$ , and  $\delta Y_{y}(\Omega)$  and for their spectral densities and cross-spectral densities [see Eqs. (2.28)–(2.33)]. Now we shall express the spectral densities of the quantum Stokes operators through the spectral densities of these quadrature components. As before, we shall restrict ourselves to the case of the *x*-polarized stationary solution when  $\langle n_x \rangle = 2Q^2$  and  $\langle n_v \rangle = 0$ .

Using the same normal rule of correspondence between the operators and their *c*-number representations as in Ref. [12] we shall introduce the *c*-number variables  $S_{\mu}(t)$  corresponding to the quantum Stokes operators  $\hat{S}_{\mu}(t)$ . Since in Eq. (3.2) the Stokes operators are normally ordered, the same relation holds true for  $S_\mu(t)$  and the *c*-number variables  $a_i(t)$ and  $a_i^*(t)$ ,  $i=x, y$ .

Linearizing the *c*-number variables  $S_{\mu}(t)$  around their stationary values  $S_{\mu}$  as

$$
S_{\mu}(t) = S_{\mu} + \delta S_{\mu}(t), \qquad (3.23)
$$

we can express the fluctuations  $\delta S_n(t)$  through the fluctuations of the field components  $\delta a_x(t)$  and  $\delta a_y(t)$ :

$$
\delta S_0(t) = \delta S_1(t) = \sqrt{2} Q[\delta a_x(t) + \delta a_x^*(t)],
$$
  

$$
\delta S_2(t) = \sqrt{2} Q[\delta a_y(t) + \delta a_y^*(t)],
$$
  

$$
\delta S_3(t) = -\sqrt{2} i Q[\delta a_y(t) - \delta a_y^*(t)].
$$
 (3.24)

Taking into account Eq. (2.16) we obtain the following results relating the spectral densities of the Stokes operators with those of the quadrature components:

$$
(\delta S_0^2)_{\Omega} = (\delta S_1^2)_{\Omega} = 8Q^2(\delta X_x^2)_{\Omega},
$$

$$
(\delta S_2^2)_{\Omega} = 8Q^2(\delta X_y^2)_{\Omega},
$$

$$
(\delta S_3^2)_{\Omega} = 8Q^2(\delta Y_y^2)_{\Omega},
$$

$$
(\delta S_2 \delta S_3)_{\Omega} = 8Q^2(\delta X_y \delta Y_y)_{\Omega}. \tag{3.25}
$$

With the help of these relations we arrive at

$$
(\delta t_1^2)_{\Omega}/\langle i_1 \rangle = 1 + 8\kappa [\cos^2 \varphi (\delta X_x^2)_{\Omega} + \sin^2 \varphi (\delta X_\theta^2)_{\Omega}],
$$
\n(3.26)

$$
(\delta t_2^2)_{\Omega}/\langle i_2 \rangle = 1 + 8\kappa [\sin^2 \varphi (\delta X_x^2)_{\Omega} + \cos^2 \varphi (\delta X_\theta^2)_{\Omega}],
$$
\n(3.27)

$$
(\delta t_{-})_{\Omega}/\langle i_{+}\rangle = 1 + 8\kappa [\cos^{2}2\varphi(\delta X_{x}^{2})_{\Omega} + \sin^{2}2\varphi(\delta X_{\theta}^{2})_{\Omega}],
$$
\n(3.28)

$$
(\delta \hat{i}_+^2)_{\Omega}/\langle i_+ \rangle = 1 + 8\kappa (\delta X_x^2)_{\Omega}.
$$
 (3.29)

To simplify Eqs. (3.26)–(3.28) we have introduced the shorthand notation

$$
\delta X_{\theta}(\Omega) = \cos \theta \, \delta X_{y}(\Omega) - \sin \theta \, \delta Y_{y}(\Omega), \qquad (3.30)
$$

with its spectral density  $(\delta X_{\theta}^2)_{\Omega}$  given by

$$
(\delta X_{\theta}^2)_{\Omega} = \cos^2 \theta (\delta X_y^2)_{\Omega} - 2 \sin \theta \cos \theta (\delta X_y \delta Y_y)_{\Omega}
$$

$$
+ \sin^2 \theta (\delta Y_y^2)_{\Omega}. \tag{3.31}
$$

The mean values of the individual photocurrents  $\langle i_1 \rangle$  and  $\langle i_2 \rangle$ and of the photocurrent sum  $\langle i_{+}\rangle = \langle i_{1}\rangle + \langle i_{2}\rangle$  are equal to

$$
\langle i_1 \rangle = 2Q^2 \kappa \cos^2 \varphi, \quad \langle i_2 \rangle = 2Q^2 \kappa \sin^2 \varphi, \quad \langle i_+ \rangle = 2Q^2 \kappa. \tag{3.32}
$$

In the next section we shall investigate in detail the spectral densities of the quantum Stokes parameters and their crossspectral densities.

### **IV. POLARIZATION STATES OF LIGHT IN VCSEL's**

### **A. Polarization squeezing**

The spectral densities  $(\delta S_\mu^2)_{\Omega}$  of the quantum Stokes parameters can be measured using any of three equations  $(3.19)$ – $(3.21)$ . Here we shall use Eq.  $(3.21)$  corresponding to observation of the noise spectrum  $(\delta t^2)_{\Omega}(\varphi, \theta)$  of the photocurrent difference. With the help of Eq. (3.18) we can bring the photocurrent noise spectrum  $(\delta i^2)_{\Omega}(\varphi, \theta)$  to the form

$$
(\delta i_{-})_{\Omega}(\varphi,\theta)/\langle i_{+}\rangle = 1 + \frac{2\kappa}{Q^{2}}\{(\delta S_{1}^{2})_{\Omega}\cos^{2}2\varphi
$$
  
+  $\sin^{2}2\varphi[(\delta S_{2}^{2})_{\Omega}\cos^{2}\theta$   
-  $(\delta S_{2}\delta S_{3})_{\Omega}2\sin\theta\cos\theta + (\delta S_{3}^{2})_{\Omega}\sin^{2}\theta]\}.$  (4.1)

In this equation we have explicitly indicated the dependence of the observed noise spectrum on the angle  $\theta$  introduced by the compensator and angle  $\varphi$  of the polarization beam splitter.

The spectral densities  $(\delta S_0^2)_{\Omega} = (\delta S_1^2)_{\Omega}$  and  $(\delta S_2^2)_{\Omega}$  of the Stokes parameters  $S_0$ ,  $S_1$ , and  $S_2$  are measured by removing the compensator  $(\theta=0)$  and setting the transmission axis of the PBS to the angles  $\varphi=0^{\circ}$  and  $\varphi=45^{\circ}$ . The spectral density of the parameter  $S_3$  is obtained by using a compensator with  $\theta=90^{\circ}$  (quarter-wave plate) and setting  $\varphi=45^{\circ}$ . The corresponding photocurrent fluctuation spectra are given by

$$
(\delta \hat{i}_-\)_{\Omega}(0^\circ, 0^\circ)/\langle i_+\rangle = 1 + \frac{2\kappa}{Q^2} (\delta S_1^2)_{\Omega},\tag{4.2}
$$

$$
(\delta t^2)_{\Omega} (45^\circ, 0^\circ) / \langle i_+ \rangle = 1 + \frac{2\kappa}{Q^2} (\delta S_2^2)_{\Omega}, \tag{4.3}
$$



FIG. 4. Photocurrent fluctuation spectra for the Stokes parameters  $S_1$ ,  $S_2$ , and  $S_3$ : (a) without dichroism,  $\kappa_a = 0$ , (b) with dichroism,  $\kappa_a = 10$  GHz, and (c) with  $\kappa_a = 50$  GHz. The values of other parameters are:  $\kappa = 100 \text{ GHz}$ ,  $\gamma = 1 \text{ GHz}$ ,  $\gamma_{\perp} = 1000 \text{ GHz}$ ,  $\gamma_s$ =50 GHz,  $\omega_p$ =40 GHz,  $\alpha$ =3, and  $p=1$ .

$$
(\delta i_{-})_{\Omega} (45^{\circ}, 90^{\circ}) / \langle i_{+} \rangle = 1 + \frac{2\kappa}{Q^{2}} (\delta S_{3}^{2})_{\Omega}. \tag{4.4}
$$

In Fig. 4 we have shown the photocurrent fluctuation spectra given by Eqs. (4.2)–(4.4) for physical parameters close to that used in experiment [12] —namely,  $\kappa = 100$  GHz,  $\gamma$  $=1$  GHz,  $γ_1 = 1000$  GHz,  $γ_s = 50$  GHz,  $ω_p = 40$  GHz,  $α = −3$ ,  $r=6$ , and  $p=1$ . The parameter  $\kappa_a$ , describing the dichroism of the laser crystal, was set equal to zero in Fig. 4(a), to  $\kappa_a$ =10 GHz in Fig. 4(b), and to  $\kappa_a$ =50 GHz in Fig. 4(c).

Let us first discuss the case without dichroism [Fig. 4(a)]. As seen from Fig. 4(a), the spectral density  $(\delta S_1^2)_{\Omega}$  of the Stokes parameter  $S_1$  has a peak at a characteristic frequency  $\Omega_1$ , while two other spectra  $(\delta S_2^2)_{\Omega}$  and  $(\delta S_3^2)_{\Omega}$  for the Stokes parameters  $S_2$  and  $S_3$  exhibit peaks at another (higher) characteristic frequency  $\Omega_2$ . These peaks are well known from the theory of solid-state and semiconductor lasers and have their physical origin in the relaxation oscillations due to a periodic energy exchange between the active medium and the laser radiation. Since in our case there are two upper levels  $|a+\rangle$  and  $|a-\rangle$  in the active laser medium, we have two subsystems where periodic energy exchange takes place independently. The first subsystem is described by the total population *D* of the upper levels and the Stokes parameter  $S_1$ [see Eqs.  $(2.17)$ ], and its frequency of the relaxation oscillations is equal to  $\Omega_1$ . In the second subsystem relaxation oscillations take place between the population difference *d* and the two Stokes parameters  $S_2$  and  $S_3$  at the frequency  $\Omega_2$  [see Eqs. (2.18)].

The second important feature that one can observe in Fig. 4(a) is the reduction of quantum fluctuations of the Stokes parameter  $S_1$  below the standard quantum limit at low frequencies  $\Omega$  in the case of regular pumping,  $p=1$ . Thus, we can speak of the phenomenon of *polarization squeezing* with respect to  $S_1$  in VCSEL's with regular pumping. This result is to be expected. In fact, as follows from Eqs. (3.2), for the *x*-polarized stationary solution the Stokes parameter  $S_1$  coincides with the total number of photons in the laser field. It is well known from the literature [2] that a regularly pumped two-level laser can exhibit sub-Poissonian photon statistics; i.e., the fluctuations of its photon number could be reduced below the standard quantum limit. One could therefore say that the polarization squeezing with the respect to  $S_1$  in a regularly pumped VCSEL is the consequence of the sub-Poissonian statistics of photons.

However, it is worth noting that the relation between the sub-Poissonian statistics of photons and the regular pumping statistics in VCSEL's is not so direct as in the case of a two-level laser considered in [2]. Indeed, due to the degeneracy of the upper laser level on two sublevels  $|a+\rangle$  and  $|a-\rangle$ , the regular pumping of the total population *D* of the upper level remains random for each individual sublevel due to the partition noise. It turns out that in the case of an *x*-polarized stationary solution this partition noise does not contribute to the fluctuations of the total photon number and of the Stokes parameter  $S_1$ . The reason for this is that, as follows from Eqs. (2.17), the fluctuations of the Stokes parameter  $S_1$  are coupled only with the fluctuations of the total population *D* and not with fluctuations of the populations of individual sublevels.

The role of dichroism is illustrated in Figs. 4(b) and 4(c). As seen from these figures, the appearance of dichroism in the system has two major consequences. First, the quantum noise reduction below the standard quantum limit in the spectral density  $(\delta S_1^2)_{\Omega}$  of the first Stokes parameter is deteriorated by the factor  $\kappa/(\kappa+\kappa_a)$ . This deterioration has a clear physical explanation. Nonzero dichroism introduces random losses of the laser radiation inside the resonator at the rate  $\kappa_a$ . The total decay rate of the laser field inside the resonator is now given by  $\kappa + \kappa_a$ , while the outcoupling rate determined by the transmission of the cavity mirror is equal to  $\kappa$ .

The second consequence of dichroism in the system is suppression of the relaxation oscillations at the frequency  $\Omega_2$ related to the Stokes parameters  $S_2$  and  $S_3$ . We can see from Fig. 4(b) that for small values of  $\kappa_a$  ( $\kappa_a$ =10 GHz while  $\kappa$  =100 GHz) the peak of relaxation oscillations at  $\Omega_2$  becomes more pronounced. This is explained by the fact that for these values of  $\kappa_a$  we approach closer to the instability region. However, with increasing  $\kappa_a$  as in Fig. 4(c) the relaxation oscillations at  $\Omega_2$  rapidly disappear.

The three spectral densities  $(\delta S_1^2)_{\Omega}$ ,  $(\delta S_2^2)_{\Omega}$ , and  $(\delta S_1^2)_{\Omega}$  in Fig. 4 can be also interpreted in terms of the uncertainty ellipsoid that we have mentioned in Sec. III A. Since the spectral densities depend on the frequency  $\Omega$ , one has to speak about the frequency-dependent uncertainty ellipsoid with tree major axis determined by the corresponding spectral densities. These spectral densities are normalized to the shot-noise level so that a sphere of unit radius in the Stokes-Poincaré space corresponds to the standard quantum limit realized for a coherent polarization state. As follows from Fig. 4(a), for example, for a polarization-squeezed state in the area of low frequencies, where  $(\delta S_1^2)_{\Omega}$  is below the standard quantum limit, the uncertainty ellipsoid has the shape of a pancake. Instead, in the vicinity of the frequency of relaxation oscillations  $\Omega_1$  this uncertainty ellipsoid takes a cigarlike shape with  $(\delta S_1^2)_{\Omega}$  larger than two other components.

## **B. Cross-correlation spectrum of photocurrents**

Using the experimental setup shown in Fig. 3 one can also measure the cross-correlation function of fluctuations between the photocurrents  $i_1(t)$  and  $i_2(t)$ —i.e.,  $\langle \delta i_1(0) \delta i_2(t) \rangle$ —or the corresponding cross-correlation spectrum of fluctuations:

$$
(\delta i_1 \delta i_2)_{\Omega} = \int_{-\infty}^{+\infty} dt \ e^{i\Omega t} \langle \delta i_1(0) \delta i_2(t) \rangle.
$$
 (4.5)

Usually it is more customary to work with the normalized cross-correlation spectrum of the photocurrent fluctuations:

$$
C_{12}(\Omega) = \frac{(\delta i_1 \delta i_2)_{\Omega}}{\sqrt{(\delta i_1^2)_{\Omega}}\sqrt{(\delta i_2^2)_{\Omega}}}.
$$
\n(4.6)

Using the Cauchy-Schwartz inequality one can demonstrate that this spectrum is normalized as  $|C_{12}(\Omega)| \le 1$ . Hence,  $C_{12}(\Omega)$ =−1 corresponds to the maximum anticorrelations between the two photocurrents, while  $C_{12}(\Omega) = 1$  to the maximum correlations. Experimentally this spectrum can be measured as

$$
C_{12}(\Omega) = \frac{(\delta i_+^2)_{\Omega} - (\delta i_1^2)_{\Omega} - (\delta i_2^2)_{\Omega}}{2\sqrt{(\delta i_1^2)_{\Omega}(\delta i_2^2)_{\Omega}}}.
$$
 (4.7)

The normalized cross-correlation spectrum  $C_{12}(\Omega)$  can be expressed through the spectral densities and cross-spectral densities of the amplitude quadrature components  $\delta X_1$  and  $\delta X_2$  as

$$
C_{12}(\Omega) = \frac{8\kappa(\delta X_1 \delta X_2)_{\Omega}}{\sqrt{1 + 8\kappa(\delta X_1^2)_{\Omega}}\sqrt{1 + 8\kappa(\delta X_2^2)_{\Omega}}}.
$$
 (4.8)

Using the relations between the field amplitudes  $\hat{a}_1$  and  $\hat{a}_2$  of the transmitted and reflected waves after the PBS and the incoming amplitudes  $\hat{a}_x$  and  $\hat{a}_y$ , given by Eq. (3.10), we obtain



FIG. 5. Cross-correlation spectrum C<sub>12</sub>( $\Omega$ ) for  $\varphi = \pi/4$  and  $\theta$ =0: (a) without dichroism,  $\kappa_a$ =0 and (b) with dichroism,  $\kappa_a$ =10 GHz and  $\kappa_a$ =50 GHz. The inset in (a) illustrates the role of the statistical parameter *p* at low spectral frequencies. All other parameters are as in Fig. 4.

$$
(\delta X_1 \delta X_2)_{\Omega} = \cos \varphi \sin \varphi \left[ (\delta X_x^2)_{\Omega} - (\delta X_{\theta}^2)_{\Omega} \right],
$$
  

$$
(\delta X_1^2)_{\Omega} = \cos^2 \varphi (\delta X_x^2)_{\Omega} + \sin^2 \varphi (\delta X_{\theta}^2)_{\Omega},
$$
  

$$
(\delta X_2^2)_{\Omega} = \sin^2 \varphi (\delta X_x^2)_{\Omega} + \cos^2 \varphi (\delta X_{\theta}^2)_{\Omega}. \tag{4.9}
$$

These relations allow us to express the cross-correlation spectrum  $C_{12}(\Omega)$  in terms of the spectral densities  $(\delta X_x^2)_{\Omega}$ and  $(\delta X_{\theta}^2)_{\Omega}$  calculated earlier.

In Fig. 5 we have plotted the cross-correlation spectrum  $C_{12}(\Omega)$  for  $\varphi = \pi/4$  and  $\theta = 0$ . In this case the general result for  $C_{12}(\Omega)$  given by Eqs. (4.8) and (4.9) is simplified to

$$
C_{12}(\Omega) = \frac{4\kappa [(\delta X_x^2)_{\Omega} - (\delta X_y^2)_{\Omega}]}{1 + 4\kappa [(\delta X_x^2)_{\Omega} + (\delta X_y^2)_{\Omega}]}.
$$
(4.10)

Figure 5(a) shows this cross-correlation spectrum for the case without dichroism and the same values of physical parameters as in Fig. 4. As follows from Fig. 5(a), the cross correlations are absent at high frequencies  $\Omega$  larger than 30 GHz. At lower frequencies of the order of 15 GHz the curve of  $C_{12}(\Omega)$  shows anticorrelations which turn to correlations at still lower frequencies of the order of 5 GHz. In the area of low frequencies  $\Omega$  smaller then 1 GHz one has again anticorrelations.

This oscillating behavior of the cross-correlation spectrum  $C_{12}(\Omega)$  is in full agreement with behavior of the fluctuation spectra of the Stokes parameters  $S_1$  and  $S_2$  in Fig. 4(a). Indeed, the cross-correlation function  $C_{12}(\Omega)$  is proportional to the difference of the spectral densities of the quadrature components  $(\delta X_x^2)_{\Omega} - (\delta X_y^2)_{\Omega}$  [or the corresponding Stokes parameters  $(\delta S_1^2)_{\Omega} - (\delta S_2^2)_{\Omega}$ ]. Therefore, for  $(\delta X_x^2)_{\Omega} > (\delta X_y^2)_{\Omega}$  we have correlations between the two photocurrents, while in the opposite case anticorrelations.

Figure 5(b) illustrates the same cross-correlation spectrum in presence of dichroism for different values of parameter  $\kappa_a$ . As mentioned above, the essential role of dichroism is in the suppression of the relaxation oscillations. When  $\kappa_a$  approaches the critical value  $\kappa_a = 10$  GHz of the instability border, the relaxation oscillations grow up and reinforce anticorrelations. A further increase of  $\kappa_a$  results in a suppression of the relaxation oscillations and respectively in a transformation of anticorrelations into correlations for  $\kappa_a$  larger than 50 GHz.

# **C. Cross correlations between the Stokes parameters** *S***<sup>2</sup> and** *S***<sup>3</sup>**

For the *x*-polarized stationary solution that we consider in this paper, the linearized field operator  $\hat{E}(t)$  from Eq. (3.1)  $\rightarrow$ can be approximately written as

 $\rightarrow$ 

 $\rightarrow$ 

$$
\vec{\hat{E}}(t) = e^{i\Delta t} [\sqrt{2}Q + \delta \hat{X}_x(t) + i \delta \hat{Y}_x(t)]
$$
\n
$$
\times \left[ \vec{e}_x + \frac{1}{\sqrt{2}Q} [\delta \hat{X}_y(t) + i \delta \hat{Y}_y(t)] \vec{e}_y \right].
$$
\n(4.11)

This representation of the linearized field operator is very useful as it clarifies the physical meaning of the quantum fluctuations of the four quadrature components that appear in Eq. (4.11). The fluctuations  $\delta \hat{X}_x(t)$  and  $\delta \hat{Y}_x(t)$  describe, respectively, the quantum fluctuations of the amplitude and the phase of the electromagnetic field  $\hat{E}(t)$ . The quantum fluctua- $\rightarrow$ tions of two other quadrature components  $\delta \hat{X}_y(t)$  and  $\delta \hat{Y}_y(t)$ characterize the quantum fluctuations of the *polarization* of the field  $\hat{E}(t)$ . To see this more clearly let us compare Eq.  $\rightarrow$ (4.11) with the classical expression often used in the literature on VCSEL's (see, for example, Ref. [6]):

$$
\hat{E}(t) \approx e^{i\Delta t} |E| [\vec{e}_x - (\delta \phi + i \delta \chi) \vec{e}_y]. \tag{4.12}
$$

In this expression we have neglected the amplitude and phase fluctuations of the field and have introduced the fluctuations  $\delta\phi$  and  $\delta\chi$ ,  $\delta\phi \ll 1$ ,  $\delta\chi \ll 1$ , of two angles  $\phi$  and  $\chi$ , which characterize the optical polarization state on the Poincaré sphere. The first angle  $\phi$  ( $0 \le \phi \le \pi$ ) is called the polarization angle and it determines the direction of the polarization ellipse. The second angle  $\chi$  (-π/4≤ $\chi$ ≤π/4) is the ellipticity angle. For an *x*-polarized field both of these



FIG. 6. Cross-correlation spectrum  $C_{23}(\Omega)$  without dichroism,  $\kappa_a = 0$  and with dichroism,  $\kappa_a = 10$  GHz and  $\kappa_a = 50$  GHz for the same values of physical parameters as in Fig. 4.

angles are zero. Comparing Eqs. (4.11) and (4.12) we conclude that these two classical fluctuations can be associated with their quantum counterparts as  $\delta\phi \rightarrow -\delta\hat{X}_y / \sqrt{2}Q$  and <sup>d</sup>x→−d*Y ˆ <sup>y</sup>* /Î2*Q*. Taking into account Eq. (3.24) we can also write  $\delta\phi \rightarrow -\delta\hat{S}_2/4Q^2$  and  $\delta\chi \rightarrow -\delta\hat{S}_3/4Q^2$ .

Thus, the quantum fluctuations of the Stokes parameter  $S_2$ characterize the fluctuations of the polarization angle and those of the  $S_3$  the fluctuations of the ellipticity angle. In the Sec. IV A we have evaluated the fluctuation spectra of the Stokes parameters  $S_2$  and  $S_3$ . However, as follows from Eq. (3.25) these two parameters are also cross correlated. Hence, we shall introduce the cross correlation spectrum  $C_{23}(\Omega)$  between these two parameters in the same way as we did for characterization of the cross correlations of two photocurrents:

$$
C_{23}(\Omega) = \frac{(\delta S_2 \delta S_3)_\Omega}{\sqrt{(\delta S_2^2)_\Omega} \sqrt{(\delta S_3^2)_\Omega}}.
$$
\n(4.13)

This cross-correlation spectrum is normalized as  $|C_{23}(\Omega)|$  $\leq 1$  and can be experimentally determined from the measurements of the following three photocurrent fluctuation spectra:

$$
(\delta \hat{i}_-\)_{\Omega} (45^\circ, 0^\circ)/\langle i_+ \rangle = 1 + \frac{2\kappa}{Q^2} (\delta S_2^2)_{\Omega}, \tag{4.14}
$$

$$
(\delta \tilde{\iota}_-^2)_{\Omega} (45^\circ, 90^\circ)/\langle i_+ \rangle = 1 + \frac{2\kappa}{Q^2} (\delta S_3^2)_{\Omega}, \qquad (4.15)
$$

$$
(\delta \tilde{i}_-\)_{\Omega} (45^\circ, 45^\circ) / \langle i_+ \rangle = 1 + \frac{\kappa}{Q^2} [(\delta S_2^2)_{\Omega} + (\delta S_3^2)_{\Omega} + 2(\delta S_2 \delta S_3)_{\Omega}].
$$
 (4.16)

We have numerically evaluated the cross-correlation spectrum  $C_{23}(\Omega)$  for the same values of physical parameters as in the previous subsection. In Fig. 6 we illustrate these spectra in the absence of dichroism  $(\kappa_a=0)$  and for two different values of  $\kappa_a$  equal to 10 and 50 GHz.

As follows from this figure, in the absence of dichroism the cross-correlation spectrum shows negative correlations at low frequencies  $\Omega$  less than 10 GHz. These anticorrelations appear due to the coupling between the Stokes parameters  $S_2$ and  $S_3$  via the population difference  $d$ . For higher frequencies this coupling becomes less efficient and for  $\Omega$  higher than 30 GHz the fluctuations of  $S_2$  and  $S_3$  become independent  $(C_{23} \rightarrow 0)$ .

For nonzero dichroism the anticorrelations between  $S_2$ and  $S_3$  at low frequencies first disappear and then turn into positive correlations for larger values of  $\kappa_a$ —-for example, at  $\kappa_a$ =50 GHz. Thus, dichroism changes the nature of correlations between  $S_2$  and  $S_3$ .

### **V. CONCLUSIONS**

In conclusion we have presented a generalized and fully analytical theory of quantum fluctuations in VCSEL's, proposed for the first time in Ref. [12]. The original results of our investigation are the analytical expressions for the spectral densities of the quadrature field components and of the corresponding quantum Stokes parameters. These analytical results facilitate the comparison between the theory and experimental measurements. Moreover, we have included into the theory a nonzero linear dichroism of the semiconductor medium that was neglected in Ref. [12].

Our theory is very closely related to possible experimental observation of the quantum fluctuations in VCSEL's that can be performed in a correlation-type measurement shown in Fig. 3. We have calculated analytically and illustrated graphically the typical fluctuation and cross-correlation spectra that could be observed in this type of measurement. Our theoretical results allow for direct comparison with experiments.

We predict theoretically polarization squeezing in VCSEL's when the quantum fluctuations of the Stokes parameter  $S_1$  are reduced below the standard quantum limit. This phenomenon has its origin in regular pumping statistics of the active laser medium. However, the regularity in the pumping statistics alone is not sufficient for polarization squeezing in this type of laser due to the partition noise between two upper sublevels in the laser medium. The second important feature of VCSEL's that guarantees polarization squeezing is their dynamical behavior, which couples the statistical properties of the Stokes parameter  $S_1$  only with those of the *total* population of two upper sublevels.

We have analyzed the role of linear dichroism and have concluded that it mainly influences the relaxation oscillations in VCSEL's. These oscillations are typical for solid-state and semiconductor lasers. The particularity of VCSEL's is that in this case there are two types of relaxation oscillations with clearly distinct characteristic frequencies  $\Omega_1$  and  $\Omega_2$ . The first oscillations (with frequency  $\Omega_1$ ) are related to the total population of two upper sublevels and they contribute to the fluctuation spectrum of the Stokes parameter  $S_1$ . The second type of relaxation oscillation (with frequency  $\Omega_2$ ) is connected to the population difference and its peak appears in the fluctuation spectra of the Stokes parameters  $S_2$  and  $S_3$ . It turns out that the dichroism dumps the relaxation oscillations of the second type and does not influence those of the first type. To understand this result let us recall that the relaxation oscillations appear in the lasers of the second type when the resonator losses are more rapid compared with those of the laser medium. As follows from Eqs.(2.17) and (2.18) dichroism increases the losses for the *y*-polarized light component coupled with the population difference *d* and does not change those of the *x*-polarized component related to the population sum *D*.

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