# Contribution of forbidden orbits in the photoabsorption spectra of atoms and molecules in a magnetic field

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In a previous work [Phys. Rev. A **66**, 013410 (2002)], we noted a partial disagreement between quantum R matrix and semiclassical calculations of photoabsorption spectra of molecules in a magnetic field. We show that this disagreement is due to a nonvanishing contribution of processes, which are forbidden according to the usual semiclassical formalism. Formulas to include these processes are obtained by using a refined stationary phase approximation. The resulting higher order in  $\hbar$  contributions also account for previously unexplained "recurrences without closed orbits." Quantum and semiclassical photoabsorption spectra for Rydberg atoms and molecules in a magnetic field are calculated and compared to assess the validity of the first-order forbidden orbit contributions.

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#### I. INTRODUCTION

The photoabsorption spectrum of excited atoms or molecules placed in a magnetic field displays complex structures. Closed-orbit theory consists of a fully quantitative approach, in which the large-scale structures of the spectra are explained in terms of classical trajectories closed at the nucleus, i.e., leaving and returning to the core. Each orbit produces on its return an oscillation in the photoabsorption cross section; the Fourier transform of the spectrum, known as the recurrence spectrum, therefore, exhibits sharp peaks at the period of the orbits. First developed for the hydrogen atom [1], closed-orbit theory was then extended to treat the case of nonhydrogenic Rydberg atoms: the additional spectral modulations appear as the result of successive quantum encounters of the Rydberg electron with the core [2]. The wave function follows the hydrogenic classical orbits in the region, where the Coulomb and the external fields compete ("outer region"), but near the core, where the external fields are negligible ("inner region"), the wave function is described quantum mechanically.

More recently, we proposed a closed-orbit theory treatment of molecules in external fields [3,4]: in addition to elastic scattering, inelastic scattering gives rise to novel spectral modulations. The relative importance of elastic and inelastic scattering was shown to depend on the short-range phase shifts, the molecular quantum defects. In the inelastic collision process, the molecular core undergoes a transition from its ground state to an excited state, and the dynamical regime of the Rydberg electron changes accordingly, say from a chaotic to a near-integrable classical regime. Good quantitative agreements between quantum calculations and closed-orbit theory in the case of an external magnetic field were obtained. However, we noted in Ref. [4] a discrepancy between the quantum and the semiclassical results for certain peaks of the recurrence spectrum. In general, disagreements between semiclassical and quantum recurrence spectra are due to

higher-order (in  $\hbar$ ) effects such as bifurcations or ghost orbits, and specific formulas to account for these effects have been developed [5,6]. The discrepancies we observed in Ref. [4] are due to another type of effect, namely, the manifestation of orbits that are forbidden to first order in  $\hbar$ ; these orbits are forbidden either (i) because they should not be excited according to the usual semiclassical formalism or (ii) because they do not classically exist.

We investigate in this work the effects of such first-order suppressed orbits. We will derive formulas for including their contribution in the recurrence spectra by going beyond the usual stationary phase approximation employed in the standard form of closed-orbit theory. The formulas will be tested versus exact quantum calculations for different model atomic and molecular systems in an external magnetic field. The two orbits that will be dealt with specifically are the orbit perpendicular to the field, which should not be excited when it lies in the node of a wave function, and the orbit parallel to the field, which does not exist classically when the electron's angular-momentum projection on the field axis  $L_z$  is nonvanishing (since the Hamiltonian remains divergent on the z axis even after regularization). The contribution of orbits lying in the node of a wave function was first observed by Shaw et al. [7] when comparing quantum and semiclassical calculations for the diamagnetic hydrogen atom in the nearintegrable regime (scaled energies  $\epsilon \approx -0.7$ ). They obtained a formula for including the first-order forbidden contribution of the perpendicular orbit, which appeared as a small feature in the recurrence spectra. In nonhydrogenic systems, we can expect the effects associated with "forbidden" orbits to be far more important than in hydrogen given that core scattering mixes the contributions of different orbits. Moreover, although it may have been expected that at higher scaled energy, the contribution of on-node orbits would become insignificant (as the classical amplitudes decrease), we will see that their inclusion is necessary to account for the correct amplitude in the modulations produced by orbits that have

bifurcated from them. The existence of recurrences produced by "nonexisting" orbits on the field axis was reported for Rydberg atoms in an electric field by Robicheaux and Shaw [8], who developed a heuristic formula which yielded a poor agreement between semiclassical and quantum calculations. We will derive a formula for appropriately taking into account such classically forbidden orbits and compare it to quantum calculations in the case of an external magnetic field. In passing, we will also show that the contribution of the parallel orbit when it is allowed (i.e., for  $L_z=0$ ) can be obtained by treating it as any other orbit, provided a higher-order refined stationary phase integration is used (whereas the parallel orbit has always been treated as a special case, following the original derivation given by Gao and Delos [9]).

The paper is organized as follows. We recall in Sec. II the usual semiclassical formulas of closed-orbit theory (with provision for multichannel core scattering). Section III details the derivation of the contribution to the photoabsorption spectra of the first-order forbidden orbits. We report in Sec. IV quantum and semiclassical calculations for different values of the quantum defects, scaled energies, or magnetic-field ranges, focusing on the contribution of those forbidden orbits. We give our conclusions in Sec. V.

# II. OVERVIEW OF STANDARD MULTICHANNEL CLOSED-ORBIT THEORY

Closed-orbit theory explains the dynamics underlying the photoabsorption spectra of Rydberg atoms or molecules in external fields in terms of closed orbits: following initial photo-excitation, the wave function of the excited electron propagates first in a region near the ionic core ("inner region"), in which the external field can be neglected. Beyond the inner region, the wave function is propagated semiclassically along classical trajectories. Some trajectories return to the inner region, and the semiclassical wave function carried by those trajectories is matched to an exact wave function in the inner region given by a standard (field-free) multichannel

quantum-defect theory (MQDT) expansion. The superposition of these returning waves with the initially dipole-excited wave function produces sinusoidal modulations in the photoabsoprtion spectrum, which appear as isolated peaks in the Fourier-transformed ("recurrence") spectrum. Further modulations (i.e., peaks in the recurrence spectrum) are caused by the core-scattering process; in a multichannel problem, the electron can exchange energy and angular momenta with the core, so that after the collision, the electron wave function propagates outward again and when it leaves the inner region, the wave function will follow once again classical trajectories. If the collision was perfectly elastic, the electron will follow one of the previously followed trajectories; on the other hand, inelastic collisions will result in trajectories pertaining to a different classical regime.

In Ref. [4], we described in detail photoabsorption from a ground-state diatomic molecule in a static magnetic field. After photoexcitation, the molecular core could either be rotationally excited or nonexcited The molecular core then plays the role of an effective two-level scatterer, which combines classical trajectories belonging to two different dynamical regimes (typically, chaotic and near-integrable regimes), thereby producing additional modulations in the photoabsorption spectrum. The way these combinations occur depends both on the classical characteristics (amplitude  $A_k$  and action  $S_k$  of the kth trajectory), and on the properties of the scatterer (which is given by the scattering transition matrix, T). Scaled energy spectroscopy consists of simultaneously varying the magnetic-field strength  $\gamma$  and the laser excitation frequency so as to keep  $\epsilon = E \gamma^{-2/3}$  constant, where E is the energy of the Rydberg electron;  $\epsilon$  is the scaled energy, which depends on the core state j through the energy partition between the core and the outer electron. Although scaling for molecules is only approximate, we have seen in Ref. [4] how a molecular system can be scaled conveniently;  $h_{\rm eff}$  will stand for  $\gamma^{1/3}$ , since the field strength plays the rôle of the Planck constant [10]. The absorption rate in the one core-scatter approximation is then given by [see Eq. (3.30) in Ref. |4||

$$\mathcal{F}(\hbar_{\mathrm{eff}}) = 2^{19/4} \pi^{3/2} \sum_{j} \sum_{\alpha} \sum_{\alpha'} \mathrm{Im} \left\{ \langle \alpha | j \rangle C_{\alpha} C_{\alpha'} e^{i\pi(\mu_{\alpha} + \mu_{\alpha'})} \right.$$

$$\times \left[ \langle j | \alpha' \rangle \sum_{k} \widetilde{\mathcal{R}}_{k}^{j}(\epsilon_{j}) + \hbar_{\mathrm{eff}}^{1/2} 2^{11/4} \pi^{3/2} \sum_{j'} \langle j' | \alpha' \rangle T_{jj'} \sum_{k} \widetilde{\mathcal{R}}_{k}^{j'}(\epsilon_{j'}) \sum_{q} \widetilde{\mathcal{R}}_{q}^{j}(\epsilon_{j}) \right] \right\}. \tag{1}$$

The notation has been completely detailed in Sec. III of Ref. [4], but in short: j (and j') is a compound index accounting for the core-electron couplings when the electron dynamics has uncoupled from the core. If we assume  $H_2$  as the prototype molecule, the initial state has the quantum numbers  $J=0,\ l=0$  (J is the total angular momentum, l the orbital momentum of the outer electron), the sum over j runs over the core states  $N=0,\ M_N=M,\$ and  $N=2,\ M_N=M$ 

-1,M,M+1, where  $M_N$  is the projection of N on the field axis and N the core angular momentum; M, the projection of the total angular momentum on the field axis, is the only quantum number conserved throughout the entire physical process.  $\alpha$  gives the set of quantum numbers in the molecular frame when the electron is coupled to the molecular axis; the sum runs here on  $\Lambda=0$  ( $\Sigma$  state) and  $\Lambda=1$  ( $\Pi$  state);  $\mu_{\Sigma}$  and  $\mu_{\Pi}$  are the corresponding short-range molecular

quantum defects.  $C_{\alpha}$  is a coefficient giving the relative strength of the electronic dipole transition amplitudes; from the united dipole approximation, known to be valid for  $H_2$ , we have  $C_{\Sigma} = 1$  and  $C_{\Pi} = \sqrt{2}$ .  $\langle j | \alpha \rangle$  are the transformation coefficients between the molecular and the uncoupled frames. The elements of the scattering matrix  $T_{jj'}$  depend solely upon the quantum defects and the  $\langle j | \alpha \rangle$  elements. The quantities  $\widetilde{\mathcal{R}}_k^j(\epsilon_j)$  are the only ones that depend on the classical properties of the Rydberg electron trajectories. We have, for the trajectory k associated with the core in state j [Eqs. (3.18) and (D1) of Ref. [4]]:

$$\begin{split} \widetilde{\mathcal{R}}_{k}^{j}(\boldsymbol{\epsilon}_{j}) &= |\sin \theta_{ik} \sin \theta_{fk}|^{1/2} \\ &\times \sum_{l_{j}l_{j'}} (-1)^{l_{j}+l_{j'}} Y_{l_{j'}m_{j'}}(\theta_{ik}) Y_{l_{j}m_{j}}^{*}(\theta_{fk}) \\ &\times \widetilde{r}_{f}^{-1/4} A_{k}^{N_{j}m_{j}}(r_{f}, \theta_{fk}) e^{i(2\pi \widetilde{S}_{k}^{N_{j}m_{j}}/\hbar_{\text{eff}} - \omega_{k}^{N_{j}m_{j}}\pi/2 - 3\pi/4)}, \end{split}$$

where  $l_j, l_{j'} \ge |m_j|$  and  $m_{j'} = m_j$ .  $\theta_{ik}$  and  $\theta_{fk}$  are the initial and final angles of the kth trajectory relative to the magneticfield direction, which is taken to be along the z axis.  $A_k^{N_j m_j}$ and  $\widetilde{S}_k^{N_j m_j}$  are the scaled classical amplitude and action, evaluated at the corresponding scaled energy  $\epsilon_i$ ;  $\omega_i^{N_j m_j}$  is the associated Maslov index.  $Y_{l_i m_i}(\theta_{ik})$  will be used throughout as a shorthand notation for  $Y_{l_im_i}(\theta_{ik},0)$ , since the conserved axial symmetry has been separated from the two-dimensional semiclassical problem; we have accordingly used a different notation for the quantized value of the Rydberg electron's angular-momentum projection m and its classical counterpart  $L_{z}$  appearing in the two-dimensional diamagnetic Hamiltonian. Equation (2) must be modified for orbits lying along the magnetic-field axis  $(\theta_{ik} = \theta_{fk} = 0)$  as detailed below. Note that Eq. (1) is also valid for ground-state hydrogen photoexcited to odd-parity states (by setting  $\mu_{\Sigma}$  and  $\mu_{\Pi}$  to zero; then the T matrix vanishes) as well as for nonhydrogenic Rydberg atoms with a single quantum defect  $\mu_{l=1}$  (by setting  $\mu_{\Sigma} = \mu_{\Pi} = \mu_{l=1}$ ; the *T* matrix is then diagonal).

Equations (1) and (2) are obtained by matching the semiclassical wave function  $\psi_{SC}^{N_j m_j}$  associated with the core in state  $|N_j m_j\rangle$  which returns to the core region to a MQDT expansion on a boundary circle  $(r_f, \theta_f)$ . The semiclassical wave function reads

$$\psi_{SC}^{N_j m_j}(r_f, \theta_f) = \sum_k \psi_{\text{out}}^{N_j m_j}(r_i, \theta_{ik}) \left| \frac{r_i^2 \sin \theta_{ik}}{r_f^2 \sin \theta_f} \right|^{1/2}$$

$$\times A_k^{N_j m_j}(r_f, \theta_f) \exp\{i[S_k^{N_j m_j}(r_f, \theta_f) - \omega_k^{N_j m_j} \pi/2]\}, \tag{3}$$

where  $\psi_{\mathrm{out}}^{N_j m_j}(r_i, \theta_{ik})$  represents the initially outgoing waves, which were propagated semiclassically beyond the boundary  $(r_i, \theta_i)$ . We shall write  $\psi_{\mathrm{out}}^{N_j m_j}(r_i, \theta_{ik})$  as

$$\psi_{\text{out}}^{N_j m_j}(r_i, \theta_{ik}) = \sum_{l_j \ge |m_j|} \mathcal{Q}_{l_j} Y_{l_j m_j}(\theta_{ik}), \tag{4}$$

with

$$\begin{aligned} \mathcal{Q}_{l_{j}} &= -i\,\pi^{1/2} 2^{3/4} r_{i}^{-3/4} \\ &\qquad (-1)^{l_{j}} e^{i(\sqrt{8r_{i}} - 3\,\pi/4)} \sum_{\alpha} \,\, e^{i\,\pi\mu_{\alpha}} \langle N_{j} l_{j} m_{j} |\, \alpha \rangle D_{\alpha} \,, \quad (5) \end{aligned}$$

where the  $D_{\alpha}$  are the dipole transition amplitudes in the molecular frame. The MQDT expansion reads in the uncoupled basis

$$\psi_{\text{qdt}}(r_f) = \sum_{j} |j\rangle \sum_{j'} c_{j'} [\delta_{jj'} f_{l_j}(r_f) + T_{jj'} g_{l_j}^+(r_f)],$$
 (6)

where f and  $g^+$  are the Coulomb functions (f is regular at the origin,  $g^+$  is an outgoing wave). The expansion coefficients  $c_{j'}$  are obtained by matching Eqs. (3) and (6) on the boundary. The matching condition reads

$$c_{j}g_{l_{j}}^{-}(r_{f})/2i = -2\pi \int_{0}^{\pi} d\theta_{f} \sin\theta_{f} Y_{l_{j}m_{j}}^{*}(\theta_{f}) \psi^{N_{j}m_{j}}(r_{f}, \theta_{f});$$
(7)

the integral is performed for each trajectory k in the *stationary phase approximation*, since the phase is stationary along the final angle of the trajectory  $\theta_{fk}$  [11]. The value of the coefficients  $c_j$  are then inserted in the expression giving the dipole transition amplitudes, of the form  $\langle \psi_0 | \mathbf{D} | \psi_{\text{qdt}} \rangle$ , where  $|\psi_0\rangle$  is the initial state prior to photoabsorption. The formulas for the oscillator strength and the absorption rate are then obtained. Obviously when Eqs. (3) or (7) vanish, e.g.,  $Y_{l_j m_j}(\theta_{ik}) = 0$  or  $Y_{l_j m_j}^*(\theta_f) = 0$ , then  $\widetilde{\mathcal{R}}_k^j(\epsilon_j)$  vanishes, and these orbits should not produce modulations in the oscillator strength.

# III. CONTRIBUTION OF FIRST-ORDER FORBIDDEN ORBITS

#### A. General remarks

Although Eqs. (1) and (2) predict that if  $\tilde{\mathcal{R}}_k^j(\epsilon_j)$  vanishes, the orbit k should not contribute to the recurrence spectrum, we had observed in Ref. [4] a mismatch between semiclassical and quantum calculations for molecules in fields in the amplitude of certain peaks in the recurrence spectrum. This mismatch was interpreted as arising from the interference of the orbit perpendicular to the field (which lies on the node of a spherical harmonic when M=0 and is thus semiclassically forbidden) with the  $R_2^1$  orbit. As stated, the recurrences associated with classical orbits lying in the node of a wave function were first observed by Shaw  $et\ al.$  [7] when comparing

quantum and semiclassical calculations for the diamagnetic hydrogen atom at low scaled energies ( $\epsilon \simeq -0.7$ ). They obtained a formula for the contribution of the perpendicular orbit by matching the returning semiclassical wave to an ansatz (a rotated first-order Bessel function). In this section, we shall derive simply the contribution to the oscillator strength of this type of first-order suppressed orbit by employing the same framework introduced in Sec. II, without introducing additional assumptions; only the stationary phase integration needs to be performed differently. We will also derive a formula to account for the peaks in the m=1 recurrence spectra appearing at the scaled action of the m=0parallel orbit, since the parallel orbit does not exist classically when  $L_z \neq 0$  and there is therefore no corresponding  $\widetilde{\mathcal{R}}_k^j(\boldsymbol{\epsilon}_i)$  factor. Numerical results and examples will be given in Sec. IV.

### B. Contribution of on-node suppressed orbits

The rationale for including the contribution of orbits lying on the node of a wave function was already given in Ref. [7]: strictly speaking, an orbit k closed at the core with initial and returning angles  $\theta_{ik}$  and  $\theta_{fk}$  is not isolated, but has neighboring orbits that are not closed at the origin. We assume a neighboring orbit returns with an angle  $\overline{\theta}_f$  and envisage the initial angle  $\overline{\theta}_i$  of this orbit to be a function of  $\overline{\theta}_f$ , i.e.,  $\overline{\theta}_i = \theta(\overline{\theta}_f)$ . To the first order in  $\overline{\theta}_f - \theta_{fk}$ , we have

$$Y_{l_{j}m_{j}}(\overline{\theta}_{i}) = Y_{l_{j}m_{j}}(\theta_{ik}) + \frac{\partial Y_{l_{j}m_{j}}(\theta_{ik})}{\partial \theta_{ik}} \frac{\partial \theta_{ik}}{\partial \overline{\theta}_{f}} \bigg|_{\theta_{fk}} (\overline{\theta}_{f} - \theta_{fk}),$$

$$(8)$$

$$Y_{l_j m_j}^*(\overline{\theta}_f) = Y_{l_j m_j}^*(\theta_{fk}) + \frac{\partial Y_{l_j m_j}^*(\overline{\theta}_f)}{\partial \overline{\theta}_f} \bigg|_{\theta_{fk}} (\overline{\theta}_f - \theta_{fk}). \tag{9}$$

In the usual case, the contribution of the neighboring orbits with initial and final angles ( $\bar{\theta}_i$ ,  $\bar{\theta}_f$ ) is negligible when com-

pared to the central orbit with angles  $(\theta_{ik}, \theta_{fk})$ . However, when the central orbit lies on a node of a spherical harmonic, the semiclassical wave function can only be carried by the neighboring orbits, and this contribution can be significant provided the classical density of trajectories is sufficiently large on return to the core.

It turns out that, to the first order in  $\overline{\theta}_f - \theta_{fk}$ , such a contribution comes into play if we have both  $Y_{l_j m_j}(\theta_{ik}) = 0$  and  $Y_{l_j m_j}^*(\theta_{fk}) = 0$ . If k is such an orbit, its contribution to the outgoing wave  $\psi_{\text{out}}^{N_j m_j}$  [Eq. (4)] vanishes. The contribution of the neighboring orbits are taken into account by inserting Eq. (8) in Eq. (4) and Eq. (9) in Eq. (7); the right-hand side of Eq. (7) then takes the form

$$-2\pi \int_{0}^{\pi} d\overline{\theta}_{f} |\sin \overline{\theta}_{f} \sin \theta_{ik}|^{1/2}$$

$$\times \sum_{l_{j'}} \mathcal{Q}_{l_{j'}} \frac{\partial Y_{l_{j'}m_{j}}(\theta_{ik})}{\partial \theta_{ik}} \frac{\partial \theta_{ik}}{\partial \overline{\theta}_{f}} \bigg|_{\theta_{fk}} \frac{\partial Y_{l_{j}m_{j}}^{*}(\overline{\theta}_{f})}{\partial \overline{\theta}_{f}} \bigg|_{\theta_{fk}}$$

$$\times A_{k}^{N_{j}m_{j}}(r_{f}, \overline{\theta}_{f}) \bigg[ (\overline{\theta}_{f} - \theta_{fk})^{2}$$

$$\times \exp\{i[S_{k}^{N_{j}m_{j}}(r_{f}, \overline{\theta}_{f}) - \omega_{k}^{N_{j}m_{j}}\pi/2]\} \bigg]. \tag{10}$$

Following Hüpper *et al.* [11], we express the action on the boundary  $(r_f, \overline{\theta}_f)$  in terms of the action of the orbit closed at the origin,  $S_k^{N_j m_j}(r_f, \overline{\theta}_f) \simeq S_{k(\operatorname{closed})}^{N_j m_j} + \sqrt{r_f/8}(\overline{\theta}_f - \theta_{fk})^2$ . The integral can now be performed; a straightforward stationary phase integration would lead to zero, since the integrand vanishes at the point of stationary phase  $\overline{\theta}_f = \theta_{fk}$ . However, we can assume the integrand to vary slowly around the angle of stationary phase, and integrate exactly the term between square brackets (see Appendix A). In the semiclassical limit, Eq. (A4) is appropriate. Equation (10) then becomes

$$\begin{split}
&\hbar e^{i\pi/2} 2^{1/2} \left[ r_f^{-1/4} A_k^{N_j m_j} (r_f, \theta_{fk}) \right]^2 \operatorname{sgn} \left( \frac{\partial \theta_{ik}}{\partial \theta_{fk}} \right) \\
&\times \left\{ -2^{3/4} \pi (2\pi \hbar)^{1/2} e^{i\pi/4} \sum_{l_{j'}} \mathcal{Q}_{l_{j'}} \frac{\partial Y_{l_{j'} m_j} (\theta_{ik})}{\partial \theta_{ik}} \frac{\partial Y_{l_{j} m_j}^* (\overline{\theta}_f)}{\partial \overline{\theta}_f} \right|_{\theta_{fk}} |\sin \theta_{ik} \sin \theta_{fk}|^{1/2} r_f^{-1/4} A_k^{N_j m_j} (r_f, \theta_{fk}) \\
&\times \exp \left\{ \left[ i \left( S_{k(\text{closed})}^{N_j m_j} - 2\sqrt{8r_f} - \omega_k^{N_j m_j} \pi/2 \right) \right] \right\} \right\},
\end{split} \tag{11}$$

where we have used  $A_k^{N_j m_j}(r_f, \theta_{fk}) = |\partial \theta_{ik}/\partial \theta_{fk}|^{1/2}$ . For clarity we have singled out the factor specific to on-node orbits (in front of the curly brackets) relative to the expression valid for "typical" allowed orbits (inside the curly brackets). In particular, it can be seen on-node orbits are suppressed by a factor  $\hbar$  relative to typical orbits.

The relevant scaled factor  $\tilde{\mathcal{R}}_{k\text{-node}}^{j}(\epsilon_{j})$  giving the contribution of an orbit lying on the node of a wave function in the absorption rate is thus

$$\widetilde{\mathcal{R}}_{k-\text{node}}^{j}(\boldsymbol{\epsilon}_{j}) = \hbar_{\text{eff}} 2^{1/2} \operatorname{sgn}\left(\frac{\partial \theta_{ik}}{\partial \theta_{fk}}\right) \left| \sin \theta_{ik} \sin \theta_{fk} \right|^{1/2} \sum_{l_{j}l_{j'}} (-1)^{l_{j}+l_{j'}} \frac{\partial Y_{l_{j'}m_{j}}(\theta_{ik})}{\partial \theta_{ik}} \frac{\partial Y_{l_{j}m_{j}}^{*}(\overline{\theta}_{f})}{\partial \overline{\theta}_{f}} \right|_{\theta_{fk}} \\
\times \left[ \widetilde{r}_{f}^{-1/4} A_{k}^{N_{j}m_{j}} (r_{f}, \theta_{fk}) \right]^{3} \exp\left[ i (2\pi \widetilde{S}_{k}^{N_{j}m_{j}} / \hbar_{\text{eff}} - \omega_{k}^{N_{j}m_{j}} \pi / 2 - \pi / 4) \right]. \tag{12}$$

This formula holds for nonvanishing angles. Specializing to our atomic and molecular models described above, we have l=1, so this formula only applies to the orbit perpendicular to the field ( $\theta_{ik} = \theta_{fk} = \pi/2$ ) for the m=0 manifolds (e.g., for a molecule, when M=0, for an outer electron associated with core states having a projection  $M_N=0$ ). Note that in the absence of core effects, Eq. (10) becomes strictly equivalent to the correction obtained in Ref. [7] for the hydrogen atom.

#### C. Contribution of the "classically nonexisting" parallel orbit

#### 1. Contribution of the parallel orbit when $L_z = 0$

We first recall that the orbit parallel to the field ( $\theta_{ik} = \theta_{fk} = 0$ ) classically exists if  $L_z = 0$ . Even then, this orbit is treated as a special case, because the formulas valid for the other orbits, Eqs. (1) and (2), need to be modified. This modification was originally obtained by matching the semiclassical returning wave to a particular Bessel function on the z axis [9]. We show here that the reason this orbit is "special" is that the standard stationary phase approximation vanishes. Indeed, setting  $|\sin\theta_{ik}/\sin\theta_{fk}|^{1/2} \rightarrow |\partial\theta_{ik}/\partial\theta_{fk}|^{1/2}$  in the outgoing wave (3), the expression to be integrated arising from the matching condition [Eq. (7)] is

$$\int_{0}^{\pi} d\overline{\theta}_{f} \sin \overline{\theta}_{f} \exp(i\sqrt{r_{f}/8}\overline{\theta}_{f}^{2}/\hbar), \tag{13}$$

which is zero in the standard stationary phase approximation. However, an approximate closed form may be obtained (see Appendix B). To the first order in  $\hbar$ , we have

$$\int_{0}^{\pi} d\overline{\theta}_{f} \sin \overline{\theta}_{f} \exp(i\sqrt{r_{f}/8}\overline{\theta}_{f}^{2}/\hbar) \approx \hbar e^{i\pi/2} \sqrt{2/r}.$$
 (14)

This result is reduced by a factor  $h^{1/2}e^{i\pi/4}2^{-1/4}\pi^{-1/2}$  relative to the standard stationary phase integration for nonzero degree orbits, which is exactly the result obtained in [9]. The parallel orbit thus appears as a first-order suppressed orbit, which is apparent from its  $\hbar$  dependence.

### 2. Contribution of the parallel orbit when $L_z \neq 0$

When  $L_z$  is nonvanishing, the diamagnetic Hamiltonian contains the repulsive term proportional to  $\tilde{L}_z^2/\tilde{\rho}^2$ , where  $\tilde{L}_z = \gamma^{1/3}L_z$  is the scaled angular momentum and  $\tilde{\rho} = \gamma^{2/3}\rho$  the scaled distance from the z axis [10]. Even though in the

semiclassical limit,  $\tilde{L}_z$  is small (since  $h_{\rm eff} \equiv \gamma^{1/3} \rightarrow 0$ ), the centrifugal term is infinite on the z axis, and the parallel orbit no longer exists [12]. However, we may expect orbits neighboring the z axis and not closed at the nucleus to contribute to the oscillator strength, in the same manner as for the onnode orbit (orbits near the z axis for  $L_z \neq 0$  and their structural stability as  $L_z \rightarrow 0$  were actually investigated in Ref. [12]). Starting from Eqs. (8) and (9) and setting  $|\sin\theta_{ik}/\sin\theta_{fk}|^{1/2} \rightarrow |\partial\theta_{ik}/\partial\theta_{fk}|^{1/2}$  in Eq. (3) as in Sec. III B leads, after matching the semiclassical returning wave to the MQDT expansion, to the integral

$$\int_{0}^{\pi} d\overline{\theta}_{f} \sin \overline{\theta}_{f} \overline{\theta}_{f}^{2} \exp(i\sqrt{r_{f}/8}\overline{\theta}_{f}^{2}/\hbar). \tag{15}$$

To the lowest order in  $\hbar$ , we have (see Appendix B)

$$\int_{0}^{\pi} d\bar{\theta}_{f} \sin\bar{\theta}_{f} \bar{\theta}_{f}^{2} \exp(i\sqrt{r_{f}/8}\bar{\theta}_{f}^{2}/\hbar) \approx \frac{-4\hbar^{2}}{r}.$$
 (16)

The resulting scaled contribution to the oscillator strength is given by

$$\widetilde{\mathcal{R}}_{k\text{-forb }0}^{j}(\epsilon_{j}) = \hbar_{\text{eff}}^{3/2} 2^{5/4} \pi^{-1/2} \operatorname{sgn} \left( \frac{\partial \theta_{ik}}{\partial \theta_{fk}} \right) \sum_{l_{j}l_{j'}} (-1)^{l_{j}+l_{j'}} \\
\times \frac{\partial Y_{l_{j'}m_{j}}(\theta_{ik})}{\partial \theta_{ik}} \frac{\partial Y_{l_{j}m_{j}}^{*}(\overline{\theta}_{f})}{\partial \overline{\theta}_{f}} \bigg|_{\theta_{fk}} \\
\times \left[ \widetilde{r}_{f}^{-1/2} A_{k}^{N_{j}m_{j}}(r_{f}, \theta_{fk} = 0) \right]^{2} \\
\times \exp\left[ i \left( 2 \pi \widetilde{S}_{k}^{N_{j}m_{j}} / \hbar_{\text{eff}} - \omega_{k}^{N_{j}m_{j}} \pi / 2 \right) \right]. \quad (17)$$

Within our molecular model, this correction applies when |m|=1; this is of course the case when M=1, but even for M=0, the outer electron may be associated with core states having a projection  $|M_N|=1$ , i.e., N=2  $M_N=1,-1$ . Note that we have followed the standard notation used previously, whereby the zero-degree orbit amplitude is set as  $A_k^{N_j m_j}(r_f,\theta_{fk}=0)=|\partial\theta_{ik}/\partial\theta_{fk}|$  (although *stricto sensu*, this is the square of the genuine two-dimensional semiclassical amplitude), so that now  $\tilde{r}_f^{-1/2}A_k^{N_j m_j}(r_f,\theta_{fk}=0)$  is independent of the boundary radius  $r_f$ .

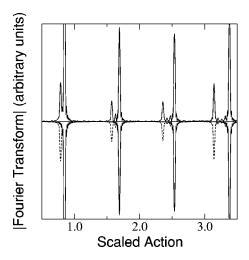


FIG. 1. Recurrence spectrum (Fourier transform of the photoabsorption spectrum) for a nonhydrogenic atom with  $\mu_{l=1}=0.5$ , M=0, at  $\epsilon=-0.7$ , in the range  $\gamma^{-1/3}=[60,120]$ . Top: quantum calculations. Bottom: standard semiclassical calculations (solid line), semiclassical calculation including the higher-order contribution from the on-node orbit (broken line).

#### D. $\hbar$ dependence

Unsurprisingly, the contribution of the forbidden orbits in the recurrence spectra have a different  $\hbar$  dependence. The on-node orbit is suppressed by a factor  $\hbar$  relative to a typical primitive orbit; the parallel orbit is suppressed by a factor  $\hbar^{1/2}$  relative to a typical orbit, and the forbidden parallel by a factor  $\hbar$  relative to the classically allowed parallel orbit and  $\hbar^{3/2}$  relative to a typical orbit. This is to be contrasted with the core-scattered ("diffractive") orbits: each encounter with the core brings in for a typical orbit a factor  $\hbar^{1/2}$ . Thus, single core scattering is expected to dominate the photoabsorption spectrum in the semiclassical regime; however, the  $\hbar$  dependence is balanced by the amplitude factors, explaining why for individual orbits the forbidden contribution may be strong, as will be seen below. It may also be noted that the combination of orbits having different individual  $\hbar$  dependence through core scattering [last term in Eq. (1)] will give rise to peaks in the recurrence spectra with a dependence of the form  $\hbar^{\nu/2}$ , where  $\nu$  is an integer depending on the type of primitive orbits connected by the core scattering process. In particular, core-scattering between two forbidden parallel orbits is expected to be highly suppressed in the semiclassical limit.

#### IV. RESULTS

We compare below quantum and semiclassical calculations to assess the importance of the forbidden orbits in the recurrence spectra of atoms and molecules. The numerical examples given in this section correspond to nonhydrogenic atoms and different molecules obtained by choosing different sets of quantum defects, within the framework of the model described in Sec. II.

Figure 1 displays the recurrence spectrum of a nonhydrogenic atom with  $\mu_{l=1}=0.5$ , M=0, at  $\epsilon=-0.7$ , in the range  $\gamma^{-1/3}=[60,120]$ . The top figure gives the quantum calcula-

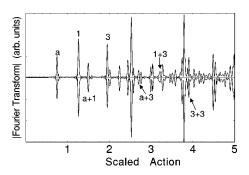


FIG. 2. General view of the recurrence spectrum for a molecule with the set of quantum defects  $\mu_{\Sigma} = -0.3$ ,  $\mu_{\Pi} = 0.1$ , M = 0, at  $\epsilon_{N=0} = -0.3$  and  $\epsilon_{N=2} = -0.8$  in the range  $\gamma^{-1/3} = [60,120]$ . The semiclassical result (bottom) has been calculated in the one corescatter approximation, but the first-order suppressed contributions have been included.

tion, whereas the solid line in the bottom part of the plot results from the standard semiclassical treatment; this solid line only accounts for less than half of the peaks in the recurrence spectrum. The missing peaks relative to the quantum results arise from the orbit  $R_1$  perpendicular to the field (and its nth repetition  $R_n$ )—which lies on the node of the wave function and is thus not excited according to the standard treatment—as well as from the combinations produced by core scattering between  $R_n$  and the parallel orbit and between the on-node orbits. The broken line includes the contribution of the on-node orbit [Eq. (12)] in the semiclassical calculation.

At higher scaled energies, the contribution of the forbidden  $R_n$  orbit is visible through the mismatch observed in Ref. [4] between the height of the peaks in the quantum and semiclassical recurrence spectra. Figure 2 displays a global view of the recurrence spectrum for a molecule with the set of quantum defects  $\mu_{\Sigma}=-0.3$ ,  $\mu_{\Pi}=0.1$ , M=0, at  $\epsilon_{N=0}=-0.3$  and  $\epsilon_{N=2}=-0.8$  in the range  $\gamma^{-1/3}=[60,120]$ . These quantum defects yield a balanced contribution of the different type of orbits: the primitive geometric orbits (that is, the orbits that appear in the recurrence spectrum of the hydrogen atom), the elastic scattered diffractive orbits (that appear in the recurrence spectra of nonhydrogenic atoms and in molecules) and the inelastic scattered diffractive orbits (that solely appear in molecular systems). In Figs. 3-5, we focus on some individual peaks in the recurrence spectra, choosing different sets of quantum defects but keeping the other parameters (scaled energies,  $\gamma$  range) constant, to observe the presence of the on-node orbit and how its interplay with core scattering affects the amplitude of the recurrence peaks.

Figure 3 displays the recurrence spectra for nonhydrogenic atoms with  $\mu_{l=1}=0.5$  [a],  $\mu_{l=1}=0.25$  [b], and  $\mu_{l=1}=0.1$  [c], at  $\epsilon=-0.3$ , around the peaks labeled 3 and 1 +3 in Fig. 2. According to the standard treatment (solid line), peak 3 is produced by the  $R_2^1$  "pac-man" orbit (the shapes and characteristics of the orbits mentioned here are given in Table I and Fig. 6 of Ref. [4];  $R_2^1$  has bifurcated from  $R_2$  at a slightly lower energy, and thus the two orbits have nearly the same scaled action), and 1+3 results from the combination of the  $V_1^1$  "balloon" orbit (peak 1) and  $R_2^1$ 

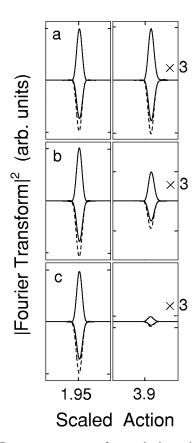


FIG. 3. Recurrence spectra for nonhydrogenic atoms with  $\mu_{l=1}$ =0.5 [a],  $\mu_{l=1}$ =0.25 [b], and  $\mu_{l=1}$ =0.1 [c]. The left panel represents the peak labeled 3 in Fig. 2, the right panel shows the combination peak 1+3 (the amplitude of the 1+3 peak has been multiplied by 3 relative to the amplitude of the peak on the left panel). For each peak, the quantum result (top) is plotted versus semiclassical calculations (upside down) without (solid line) and with (broken line) the higher-order contributions.

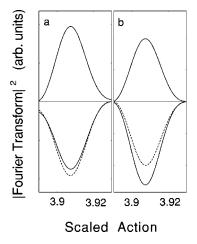


FIG. 4. The peak labeled 3+3 in Fig. 2 is shown for a molecule with quantum defects  $\mu_{\Sigma}{=}0.5$ ,  $\mu_{\Pi}{=}0$  [a] and  $\mu_{\Sigma}{=}0.5$ ,  $\mu_{\Pi}{=}0.5$  [b]. The inclusion of the higher-order contributions (broken line) gives a better agreement with the quantum calculations (top) than the standard semiclassical formalism (solid line upside-down).

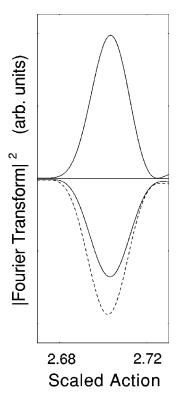


FIG. 5. The peak labeled a+3, due to inelastic core scattering in Fig. 2 is shown for the choice of quantum defects  $\mu_{\Sigma}=0.5$ ,  $\mu_{\Pi}=0$ . The inclusion of the higher-order contributions (broken line) gives a better agreement with the quantum calculations (top) than the standard semiclassical formalism (solid line upside-down).

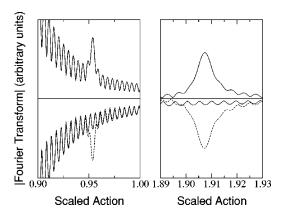


FIG. 6. Recurrence spectrum for the hydrogen atom at  $\epsilon$ = -0.55, M=1, in the range  $\gamma^{-1/3}$ =[30,240]. The plot focuses on the first and second repetitions of the first-order suppressed "parallel" orbit, which is clearly visible on the quantum calculations (top). This feature is absent from the standard semiclassical calculations (bottom, solid line), but the inclusion of the higher-order contributions (bottom, broken line) results in an excellent agreement with the quantum calculations. Note that the peak at  $\tilde{S}$  = 0.95 sits on the right shoulder of the much stronger  $R_1$  orbit; the oscillations are due to the finite range of the Welch-windowed Fourier transform.

through core scattering. The mismatch for the peak 3 arises from interference between the contributions of the  $R_2^1$  and the on-node  $R_2$  orbit; indeed, including the on-node orbit in the semiclassical calculations results in excellent agreement with the quantum result. The peak 3, thus, results from the interference of primitive orbits and accordingly does not depend on the value of the quantum defect; however, the peak 1+3 does depend on the quantum defect and vanishes in the limit  $\mu_{l=1} \rightarrow 0$ ; the contribution of the on-node orbit in 1+3 is seen to be important (in absolute terms), only provided the quantum defect is large. Note that, in principle, we should also have taken into account the first and third repetitions of the perpendicular orbit, but their corresponding amplitudes are very small, so these orbits have a negligible contribution to the recurrence spectra.

The situation depicted in Fig. 4 is more involved: a close up of the peak at  $\tilde{S} = 3.9$  (labeled 3 + 3 in Fig. 2) is shown for a molecule with quantum defects  $\mu_{\Sigma}$ =0.5,  $\mu_{\Pi}$ =0 [a] and  $\mu_{\Sigma}$ =0.5,  $\mu_{\Pi}$ =0.5 [b]; the peak 3+3 arises from recurrences produced by different orbits: the second return of  $R_2^1$ and the fourth return  $R_4$  of the on-node perpendicular orbit, the combinations  $R_2^1 + R_2^1$ ,  $R_2^1 + R_2$ , and  $R_2 + R_2$  via core scattering. The resulting peak amplitude depends both on the quantum defects (which rule the core-scattering amplitudes) and on the inclusion of the two on-node orbits: in the first case, the standard semiclassical result underestimates the exact quantum calculation, whereas in Fig. 4(b), the standard semiclassical result overestimates the correct recurrence strength. Adding the contribution of the on-node orbits in the semiclassical treatment results in both cases in a better agreement with the quantum calculations.

Figure 5 displays the peak labeled a+3 in Fig. 2 but for the choice of quantum defects  $\mu_{\Sigma} = 0.5$ ,  $\mu_{\Pi} = 0$ . This peak results from the inelastic scattering between  $R_2^1$  at  $\epsilon = -0.3$  and the perpendicular orbit associated with the core state N = 2,  $m = \pm 1$  at  $\epsilon = -0.8$ . Again, the standard closed-orbit result underestimates the recurrence strength and the inclusion of the first-order suppressed on-node orbit improves the agreement with the quantum results.

Finally, Fig. 6 shows a portion of the recurrence spectrum for the hydrogen atom at  $\epsilon = -0.55$ , M = 1, in the range  $\gamma^{-1/3} = [30,240]$ . We have focused on the peaks at  $\tilde{S} = 0.95$ and  $\tilde{S} = 1.91$ , which are due to the first and second returns of the classically "nonexisting" forbidden parallel orbit. Note that the peak at  $\tilde{S} = 0.95$  sits on the right shoulder of the much stronger  $R_1$  orbit, whereas the second return at  $\tilde{S}$ = 1.91 is sufficiently isolated. The quantum calculation for M=1 thus displays peaks for orbits, which classically "do not exist," at the actions of the corresponding M = 0 parallel orbit. The standard semiclassical treatment (solid line) cannot obviously account for those peaks, but including Eq. (17), which takes into account higher-order contributions, yields an excellent agreement with the quantum results, since those forbidden orbits contribute, albeit modestly, to the photoabsorption spectrum.

#### V. DISCUSSION AND CONCLUSION

The feature developed in this paper is one of the many refinements that can be undertaken to improve a semiclassical formalism such as closed-orbit theory. Some processes are forbidden on purely classical grounds (e.g., the above-barrier reflection of excited lithium in an electric field which results in very broad resonances in the absorption spectrum [13]), whereas other processes are semiclassically distorted (e.g., diverging amplitudes at bifurcations).

The role of the perpendicular on-node orbit was first observed in calculations for the diamagnetic hydrogen atom at low scaled energy ( $\epsilon \approx -0.7$ ) [7]. Subsequent high-resolution experiments on helium in a magnetic field in the same dynamical regime did not clearly detect the on-node orbits (they were within the experimental noise) [14]. We have given a simpler derivation of the contribution of these first-order suppressed orbits, and our numerical results indicate that on-node orbits are more likely to be detected in nonhydrogenic atomic or molecular systems with strong quantum defects. At low scaled energies, peaks resulting from the core scattering of the on-node orbit with a strong allowed orbit could be more easily detected; at higher scaled energies, the on-node orbit is most likely to affect the amplitude of peaks due to typical allowed orbits.

The presence of contributions in the quantum photoabsorption spectra which were not correlated with any classical orbit was observed in calculations for nonhydrogenic atoms with  $m \neq 0$  in an electric field by Robicheaux and Shaw [8]; these contributions were coined "recurrences without closed orbits" because they appear at the scaled action of the parallel orbit, which only exists classically when  $L_z = 0$  and should, therefore, be absent in an  $m \neq 0$  recurrence spectrum. These authors also gave an ad hoc semiclassical formula akin to the on-node correction which resulted in a poor agreement with the quantum calculations. Main [15] later pointed out that, for small but nonvanishing  $L_z$ , periodic orbits having nearly the same action as the  $L_z = 0$  parallel orbit do exist; it was unclear however, whether, the recurrences without closed orbits could be attributed to such orbits, in particular, because the starting point of these orbits is several atomic units away from the core. Our formula [Eq. (17)] correctly accounts for the peaks in the recurrence spectrum associated with these apparently nonexisting orbits; the  $\hbar$  dependence is different to that of the suppressed on-node orbits. The physical picture is similar in both cases: just as Eq. (12) accounts for close neighbors to the on-node orbit, which are not closed at the origin but carry a portion of the wave function back to the core region, Eq. (17) takes into account nonradial orbits close to the z axis which also give rise to recurrences by carrying the wave function from and into the core region.

To conclude, we have seen that the first-order forbidden processes can be included within the closed-orbit theory in a simple and unified manner by elementary manipulations of the stationary phase integral, which yield a higher-order  $\hbar$  dependence. In passing, we have shown that the zero-degree orbit, which has always required special treatment, is in fact a case calling for a refined stationary phase integration. Analogous manipulations of the stationary phase integral of the Green's function were performed in Ref. [16] to obtain an improved semiclassical long-range scattering matrix or Rydberg atoms in fields. Our method provides a convenient and effective way of including nonradial and nonclosed tra-

jectories that nevertheless contribute to the photoabsorption spectra of Rydberg atoms and molecules in fields without the need to calculate explicitly the involved classical dynamics of those trajectories. The validity of the method was assessed by comparing our semiclassical results to quantum calculations for Rydberg atoms and molecules in an external magnetic field.

#### ACKNOWLEDGMENT

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#### APPENDIX A

We briefly work out the integral needed to determine the contribution of an orbit lying on the node of a wave function in Sec. III,

$$\int_{0}^{\pi} d\overline{\theta}_{f} (\overline{\theta}_{f} - \theta_{fk})^{2} \exp[i\sqrt{r_{f}/8}(\overline{\theta}_{f} - \theta_{fk})^{2}/\hbar]. \quad (A1)$$

This integral can be integrated directly but for present purposes, it is convenient to express it in terms of sine and cosine Fresnel integrals and take the limit for the range, in which the standard stationary phase approximation holds for the usual orbits. For example, in the neighborhood of  $\theta_{fk}$ , the real part of Eq. (A1) can be expressed in the form

$$I(n) = 2 \int_{\theta_{fk}}^{\theta_{fk} + \varepsilon_n} d\overline{\theta}_f (\overline{\theta}_f - \theta_{fk})^2 \cos[\sqrt{r_f/8} (\overline{\theta}_f - \theta_{fk})^2 / \hbar]$$
(A2)

=
$$[-2^{3/4}\hbar^{3/2}r^{-3/4}\pi^{1/2}]{2S(\sqrt{1+2n})-2\sqrt{1+2n}\cos\pi n},$$
 (A3)

where S is the sine Fresnel integral and  $\varepsilon_n = 2^{1/4}r^{-1/4}\pi^{1/2}\hbar^{1/2}\sqrt{1+2n}$  with n a real number n>-1/2. For large half-integer values of n,  $I(n+1)-I(n)\approx 0$  and  $S(\sqrt{1+2n})\sim 1/2$ . I(n) can then be approximated by the term between square brackets in Eq. (A3). This is consistent with having neglected terms of order  $(\overline{\theta}_f - \theta_{fk})^4$  in Eq. (A1) provided  $\hbar \to 0$ . The imaginary part of Eq. (A1) is treated in the same way by writing the result in terms of the cosine Fresnel integral  $\mathcal{C}(x)$ . Hence

$$\int_0^{\pi} d\overline{\theta}_f (\overline{\theta}_f - \theta_{fk})^2 \exp[i\sqrt{r_f/8}(\overline{\theta}_f - \theta_{fk})^2/\hbar] \approx \frac{\hbar^{3/2} \pi^{1/2} e^{3i\pi/4}}{r^{3/4} 2^{-5/4}}.$$
(A4)

Note that this result is independent of the value of  $\theta_{fk}$ , provided  $\theta_{fk} \neq 0$ .

#### APPENDIX B

A closed-form expression for the integrals

$$I_1(\varepsilon) = \int_0^{\varepsilon} d\overline{\theta}_f \sin \overline{\theta}_f \exp(is\overline{\theta}_f^2)$$
 (B1)

and

$$I_2(\varepsilon) = \int_0^{\varepsilon} d\bar{\theta}_f \sin \bar{\theta}_f \bar{\theta}_f^2 \exp(is\bar{\theta}_f^2), \tag{B2}$$

with s real, are obtained in the limit, in which the standard stationary phase approximation holds for the usual orbits by replacing the upper bound by  $\varepsilon \rightarrow \infty$ . Then Eqs. (B1) and (B2) are given in terms of infinite series [17], which are actually representations of special functions. Choosing for simplicity a representation in terms of Fresnel integrals, Eq. (B1) becomes in this limit,

$$I_{1}(\infty) = \left(\frac{\pi}{2s}\right)^{1/2} \exp i\left(-\frac{1}{4s} + \frac{\pi}{2}\right) \left\{\mathcal{C}[(2\pi s)^{-1/2}]\right\} + i\mathcal{S}[(2\pi s)^{-1/2}],$$
(B3)

whereas for Eq. (B2), we have

$$I_{2}(\infty) = -\frac{1}{4s^{2}} \left\{ 1 + \left(\frac{\pi}{2s}\right)^{1/2} (2s - i) \exp\left(-\frac{i}{4s}\right) \right.$$
$$\left. \times \left\{ \mathcal{C}[(2\pi s)^{-1/2}] + i \mathcal{S}[(2\pi s)^{-1/2}] \right\} \right\}. \tag{B4}$$

When  $s \to \infty$ , to the first order, only  $\mathcal{C}[(2\pi s)^{-1/2}] \sim (2\pi s)^{-1/2}$  contributes to  $I_1(\infty)$ , whereas for  $I_2(\infty)$ , the term between the braces simply gives 2.

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