

## Computing positron annihilation in polyatomic gases: An exploratory study

F. A. Gianturco,\* T. Mukherjee,† and A. Occhigrossi

*Department of Chemistry, The University of Rome, Città Universitaria, 00185 Rome, Italy*

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The rates of positron annihilation in molecular gases are known to depend on the nanoscopic structural features of the ambient molecules. The aim of the present study is to explore the possible relationships that exist between some of the most salient molecular structural features and the ensuing positron annihilation rates at room temperature. Quantum dynamical calculations are applied to a broad variety of polyatomic targets using a parameter-free model interaction with the impinging positrons. The  $Z_{\text{eff}}$  values, as well as the integral elastic cross sections, are computed for such systems over the relevant range of collision energies. The dynamical treatment is shown to yield realistic values for the cross sections and to produce  $Z_{\text{eff}}$  values whose agreement with the few existing measured data varies greatly from one system to another.

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### I. INTRODUCTION

The annihilation of low-energy positron beams in molecular gases, always a process of fundamental interest in atomic and molecular physics [1], has received considerable attention in the last few years because of the expanded experimental capabilities that have improved both the quality of the data and the intensity of the positron beams available for the measurements [2,3]. Thus, stored positrons are providing a broadening of the experiments that have become available and the generation of collimated positron beams with narrow energy spreads is suggesting a new class of scattering experiments [4].

The historical definition of the annihilation rate for positrons in a given ambient gas is usually given via a dimensionless parameter  $Z_{\text{eff}}$

$$Z_{\text{eff}} = \frac{\lambda}{\pi r_0^2 c n}, \quad (1)$$

where  $\lambda$  is the observed rate of annihilation,  $r_0$  the classical radius of a single electron,  $c$  the speed of light, and  $n$  the number density of atoms or molecules at the conditions of the ambient gas [5]. The above definition comes from the original formulation given by Dirac for a positron in a free electron gas. It represents a modification of it in the sense that the parameter  $Z_{\text{eff}}$  gives the effective number of electrons of the target molecule in the gas contributing to the annihilation process [6]. Such an approximation is, however, rather crude and is not really holding out even for atomic hydrogen [5]. It is even less realistic for molecular systems, from the simpler diatomics where  $Z_{\text{eff}}$  values are already found to be larger than the number of bound electrons [7], to the bigger polyatomic systems where  $Z_{\text{eff}}$  values up to five orders of magnitude larger than the total  $Z$  were observed

[8,9]. Thus, it becomes very interesting, from a fundamental point of view as well as for the numerous applications of positron processes in molecular gases, to be able to provide some general explanation, at the nanoscopic level, for the findings on positron annihilation at room temperature. It is already clear that the uncorrelated dynamical picture implied by Eq. (1) is insufficient to explain the experimental observations, especially when the size of the molecules of the ambient gas increases [9]. An earlier phenomenological analysis of the data suggested an empirical linear scaling between the natural logarithm of  $Z_{\text{eff}}$  with the atomic ionization potentials minus the  $E_{ps}$  formation energy of 6.8 eV [10], hence conjecturing that a highly correlated electron-positron pair is created and moves in the field of the resulting molecular ions. Simple empirical relations between measured  $Z_{\text{eff}}$  values and molecular properties have been summarized and critically analyzed in recent work [9,10,11]. Additional studies [12] of polyatomics have put forward phenomenological modelings that consider two chief mechanisms.

(i) Direct annihilation of the incoming positron with one of the molecular electrons, a process dominant for atoms and small molecules and possibly related to the existence of low-lying, virtual states of a weakly bound positron to the molecular target.

(ii) Enhanced annihilation that occurs when the impinging positron undergoes resonant capture within one of the vibrationally excited, Feshbach-type resonances of the (target +  $e^+$ ) complex that are formed during the scattering process. This latter mechanism was suggested to dominate for large molecules and for systems with high densities of vibrational states. On the whole, however, very little work has been done from first principles to obtain  $Z_{\text{eff}}$  values for large molecules and employing the full interaction and quantum dynamics of the positron-molecule scattering process. Some earlier calculations of ours have examined diatomic molecules [13] (and a linear molecule like  $\text{CO}_2$  [7]) agreeing reasonably well with the existing experimental data but still finding discrepancies with some of them. Da Silva, Germane, and Lima [14] have also analyzed with an *ab initio* method the case of acetylene but found values that still disagree with the experi-

\*FAX: +39-6-49913305.

Email address: FAGIANT@CASPUR.IT

†Present address: Physics Department, Bhairab Ganguly College, Calcutta, 700056, India.

ments. In other words, the theoretical and computational treatment of positron annihilation processes for large, non-linear polyatomic gases has not been attempted as yet using nonparametric methods and therefore our knowledge of the most likely molecular mechanism is still not securely founded on a rigorous, and physically convincing explanation.

The aim of the present work is therefore that of providing an *ab initio*, parameter-free, model for the quantum treatment of positron annihilation in small polyatomic gases at room temperature, with the hope of obtaining some insight on the molecular properties that are likely to control the process and correlate best with either observed or computed  $Z_{\text{eff}}$  values. Whenever possible, we will also carry out a comparison with experiments and therefore try to assess the reliability of our method. As we shall see below, no unique answer on the most likely mechanism comes out of our analysis but the dominance of specific molecular properties will be fairly clearly established by our calculations and will support, for most of the molecules examined, the direct mechanism mentioned before.

In the following Sec. II we will outline our quantum-dynamical treatment while Sec. III reports the computed quantities and compares them, whenever possible, with experiments. Section IV finally summarizes our present conclusions.

## II. THE QUANTUM DYNAMICS

### A. The theoretical model

When discussing the quantum dynamics of positron collisions with molecular systems at energies below the threshold for  $P_s$  formation one wishes to know the following aspects of the process: (i) the anisotropic charge distributions of the molecular targets and the corresponding static interactions of their electronuclear structures with the impinging positron; (ii) the short-range and medium-range description of the electron-positron dynamical correlation, and (iii) the long-range behavior of the target response to the  $e^+$  perturbation, i.e., the polarization potential. For simplicity we shall assume that the nuclear motion is for the moment decoupled from the positron dynamics during the scattering process and we shall compute the scattering attributes of the process within the fixed-nuclei (FN) approximation. This simplification will, of course, prevent us from testing in this study the possible presence of nuclear-excited Feshbach resonances [12], an alternative that will be considering in our future work.

The above three points, however, have to be taken into account to carry out the quantum dynamics. As in our previous work on positron-molecule scattering [16,17], the actual evaluation of the static interaction,  $V_{\text{st}}(\mathbf{r}_p)$ , is done by expanding the self-consistent-field (SCF) wave function of each target molecule at its equilibrium geometry (see below for details) around the molecular center of mass (c.m.) using symmetry-adapted angular functions that transform with the relevant irreducible representation (IR) of the molecular point groups to which each molecule belongs. The details of the actual procedure will be given in the following section.

Below the threshold of  $P_s$  formation, and above it for the elastic component of the total integral cross sections, one of the most serious questions concerns the clarification of the role played by long-range polarization forces and by short-range dynamical correlation effects. The final cross sections, in fact, turn out to be very sensitive to the detailed handling of both of the above contributions, especially at energies below  $P_s$  formation [17].

The direct approach to the inclusion of positron-electron correlation usually involves an extensive configuration-interaction expansion of the target electronic wave function over a set of excited electronic states and possibly a further improvement by adding Hylleraas-type functions that can describe the positron within the physical space of the target electronic charge distribution [18]. Such expansions, however, are markedly energy dependent and usually converge too slowly to be a useful tool for general implementation to complex molecular targets, where truncated expansions need to be very large before they get to be realistic in describing correlation effects [19]. As a consequence, we have developed over the years more tractable global models that do not depend on empirical parameters but can be easily implemented via a simplified, local representation of the positron correlation-polarization interactions,  $V_{\text{pcp}}(\mathbf{r}_p)$  [20,21].

To begin with, one should note that the asymptotic form of the above interaction is independent of the sign of the impinging charged particle and, in its simpler spherical form, is given by the well-known second-order perturbation expansion formula (in atomic units)

$$V_{\text{pcp}}(\mathbf{r}_p) = \sum_{l=1}^{\infty} -\frac{\alpha_l}{2r_p^{2l+2}}, \quad (2)$$

where  $r_p$  represents now the scalar positron distance from the molecular c.m., and the  $\alpha_l$  are the multipolar static polarizabilities of the molecule, which depend obviously on the nuclear coordinates and on the electronic state of that target. In most cases only the lowest order is kept in the expansion given in Eq. (2) and therefore the target distortion is viewed as chiefly resulting from the induced dipole contribution with the molecular dipole polarizability as its coefficient [22]. In particular, we will be including only the spherical component of the above tensor quantity, i.e., the  $\alpha_0$  term. The drawback of the above expansion, however, is that it fails to correctly represent the true short-range behavior of the interaction and does not contain any effect from both static and dynamic correlation contributions. Therefore, a while ago, in order to correct for such failures, we proposed [15,16,20,21] to use a local density-functional approximation whereby the dynamical correlation that dominates the short-range behavior of the  $V_{\text{pcp}}(\mathbf{r}_p)$  in closed-shell molecular targets can be treated using a density-functional theory (DFT) approach within the range of the target electronic density and can be further connected with the asymptotic dipolar form of Eq. (2) in the long-range region by ensuring the physically correct continuous behavior of the  $V_{\text{pcp}}$  potential over its whole range of action.

We therefore describe the full  $V_{\text{pcp}}(\mathbf{r}_p)$  interaction as given by two distinct contributions that are connected at a

distance  $r_p^c$  generated by the continuity constraints and not, therefore, as a dispensable parameter. [21]

$$V_{\text{pcp}}(\mathbf{r}_p) = \begin{cases} V_{\text{corr}}^{\text{DFT}}(\mathbf{r}_p), & r_p \leq r_p^c \\ V_{\text{pol}}(\mathbf{r}_p), & r_p > r_p^c. \end{cases} \quad (3)$$

As discussed earlier [16], the short-range correlation contributions in Eq. (3) can be included either by considering the correlation effects on a homogeneous electron gas without reference to the positron projectile, as presented in Ref. [23], or by explicitly considering the positron as an impurity within a homogeneous electron gas [24]. We have derived both forms of  $V_{\text{pcp}}$  and discussed their merits for several molecular targets in our earlier work: only the latter model will be employed here. The form based on the density-functional theory for an isolated positron as an impurity of the electronic cloud will therefore provide here the chosen  $V_{\text{corr}}^{\text{DFT}}(\mathbf{r}_p)$  interaction [25]. It was first derived following the density-functional expression of Boronski and Nieminen [24] and we have further modified its behavior beyond the matching region ( $r_p > 4.0a_0$ ) to smoothly extend it, as  $r_p \rightarrow \infty$ , via Eq. (3).

The total interaction potential is then given as the sum of the static and correlation-polarization potentials, the latter being given by Eq. (3) while the former is calculated exactly from the target electronic density [16],

$$V_{\text{tot}}(\mathbf{r}_p) = V_{\text{st}}(\mathbf{r}_p) + V_{\text{pcp}}(\mathbf{r}_p). \quad (4)$$

The corresponding close-coupling (CC) scattering equations, in the single-center-expansion (SCE) formulation, are therefore given by the following expression:

$$\begin{aligned} & \left\{ \frac{1}{2} \frac{d^2}{dr_p^2} - \frac{l(l+1)}{2r_p^2} + E_{\text{coll}} \right\} u_{hl}^{p\mu}(r_p) \\ & = \sum_{h'l'} V_{hl,h'l'}^{p\mu}(r_p) u_{h'l'}^{p\mu}(r_p), \end{aligned} \quad (5)$$

where  $E_{\text{coll}}$  is the collision energy and the positron-continuum radial functions  $u_{hl}^{p\mu}(r_p)$  are the required unknown quantities originating from the symmetry-adapted SCF form of the wave function of the scattered particle:

$$F_{p\mu}(\mathbf{r}_p) = \sum_{hl} r_p^{-1} u_{hl}^{p\mu}(r_p) X_{hl}^{p\mu}(\hat{r}_p). \quad (6)$$

Here  $p\mu$  labels the relevant IR, with  $p$  describing the IR of the scattered positron and  $\mu$  being one of its component. The  $X_{hl}^{p\mu}(\hat{r}_p)$  are the generalized harmonics. The index  $h$  further labels a specific angular basis function for each partial wave contribution  $l$  in the  $p$ th IR under consideration. The coupling matrix element on the right-hand side (rhs) of Eq. (5) is then given by

$$V_{hl,h'l'}^{p\mu}(r_p) = \langle X_{hl}^{p\mu} | V_{\text{tot}}(\mathbf{r}_p) | X_{h'l'}^{p\mu} \rangle. \quad (7)$$

The details of the angular products have been described before [26] and will therefore not be repeated here. Suffice it to say that, when using the static+correlation+polarizatic (SCP) interaction within the SCE formulation and the CC

dynamical formalism of Eq. (5) the corresponding coupled-differential equations (CDE) are solved to yield rotationally summed, integral elastic cross sections for each contributing IR. The total cross section is therefore simply given as

$$\sigma_{\text{tot}}(E_{\text{coll}}) = \sum_{p,\mu} \sigma_{p,\mu}^{el}(E_{\text{coll}}). \quad (8)$$

The individual  $K$ -matrix elements, for each  $p\mu$ , will then provide the total elastic (rotationally summed) cross sections that include all contributing IR at the considered collision energy.

One should mention at this point that the above treatment does not include any contribution from the Ps formation. Considering that the first ionization potentials are often around 10 eV and that the binding energy of Ps is 6.8 eV, then one sees that the threshold for Ps formation in many systems is really only of a few eV, in most cases well below 10 eV. Despite many experimental attempts, however, very few accurate measurements of absolute cross sections for this process have become available to date for molecular targets. The general findings from the more recent experiments are that Ps formation in molecular systems usually peaks around 27–30 eV while its percentage value just above threshold varies significantly with the type of molecule [27]. Hence, considering that the measured  $Z_{\text{eff}}$  refer to a very low range of collision energies, we qualitatively expect that this exclusion in our model should not be very significant.

In the following analysis we will see how realistically the present modeling of the interaction, and its use within the quantum formulation of the scattering, can obtain total elastic cross sections for a broad range of polyatomic molecules of medium size below the threshold of Ps formation, or right above it. The comparison with the existing experiments will, in fact, reveal that the present *ab initio* modeling of the elastic scattering is able to yield dynamical observables in fair accord with the measured data. This is not an idle point since the calculation of  $Z_{\text{eff}}$ , which is one of the main objects of the present study, is closely related to the evaluation of the scattering  $K$  matrix, as we shall show below, and therefore to the cross sections given by Eq. (8). If one considers, in fact, one of the mechanisms put forward to explain the anomalous  $Z_{\text{eff}}$  values in polyatomic molecules, i.e., the direct binary collision mechanism [12] (one of the main objects of the present study) one sees that it is closely related to the evaluation of the  $K$  matrix. It is the scope of the present study just to see how well the description of annihilation within a FN treatment of molecular motion can perform *ab initio*  $Z_{\text{eff}}$  estimates for the broad range of medium-size molecules discussed below.

## B. The $Z_{\text{eff}}$ calculation

As mentioned in the Introduction, the  $Z_{\text{eff}}$  parameter is a measure, at a given relative energy or for a given temperature of the ambient gas, of the effective number of electrons that take part in the annihilation process when the molecular target interacts with an impinging positron. According to earlier models [5,6], when the relative energy increases and the

Born approximation is expected to hold, the positron wave function is approximated by a plane wave impinging on a single-particle, free-electron wave function at a time. Hence  $Z_{\text{eff}}$  tends to  $Z$ , the number of bound electrons which are all treated as “free” electrons [6]. The actual physical situation of the interacting electrons bound in a molecular environment is however different from this simple picture and therefore  $Z_{\text{eff}}$  should be more properly defined as

$$Z_{\text{eff}}(k|\mathbf{R}) = \sum_{i=1}^Z \int d\mathbf{r}_1, \dots, d\mathbf{r}_Z \times \delta(\mathbf{r}_i - r_p) |\Psi_0(\mathbf{r}_1 \dots \mathbf{r}_Z, \mathbf{r}_p|\mathbf{R})|^2 d\mathbf{r}_p, \quad (9)$$

where the nuclear coordinate  $\mathbf{R}$  is temporarily considered as a fixed parameter in the FN approach,  $\mathbf{r}_i$  labels each electronic coordinate of the molecule and  $Z$  is the number of bound electrons in the target.  $\Psi_0$  is the total wave function of the full system ( $Z$  electrons,  $M$  nuclei, one positron) which, at the simplest level of description, could be written as

$$\Psi_0(\mathbf{r}_1 \dots \mathbf{r}_Z, \mathbf{r}_p|\mathbf{R}) = \chi_0(\mathbf{r}_1 \dots \mathbf{r}_Z|\mathbf{R}) \varphi(\mathbf{r}_p|\mathbf{R}), \quad (10)$$

where we are considering for the moment only the normalized electronic ground state of the target molecule  $\chi_0$  and  $\varphi$  is the single-positron continuum function. One can further write

$$Z_{\text{eff}}(k|\mathbf{R}) = \int d\mathbf{r}_p \rho(\mathbf{r}_p|\mathbf{R}) |\varphi(\mathbf{r}_p|\mathbf{R})|^2, \quad (11)$$

where

$$\rho(\mathbf{r}_p|\mathbf{R}) = Z \int |\chi_0(\mathbf{r}_1, \mathbf{r}_2 \dots \mathbf{r}_Z|\mathbf{R}; \mathbf{r}_p)|^2 d\mathbf{r}_1, d\mathbf{r}_2 \dots d\mathbf{r}_Z \quad (12)$$

is the target electron density evaluated at the same point in space where the positron is considered to be located  $\mathbf{r}_p$  and provides the probability distribution for finding any of the electrons and the positron at the annihilation position. Here the target wave function is written down as a single-determinant (SD), expanded over the occupied one-particle molecular orbitals (MOs), and normalized so that  $|\chi_0|^2$  integrated over all space is equal to unity.

It is important to remark at this point that the simplified expansion (10) merely indicates that, within the present treatment, the electronic target wave function does not undergo any excitation into different final electronic states after the positron leaves the system. In other words, it simply tells us that the annihilation process we are considering in our model will not cause permanent electronic excitations of the target after its occurrence. However, since this is too simple a physical picture, we have shifted into the computation of the continuum positron scattering states the effects of the target response to it, as discussed below.

The continuum function  $\varphi(\mathbf{r}_p|\mathbf{R})$ , which is part of it [see Eq. (10)], in fact, refers here to the scattered positron under the *full* action of the force field created by the molecular

electrons and by their response to the impinging projectile as given in the preceding section. Hence, the scattering event is described by our model as realistically as possible and indeed includes the target distortion during the collision process to the extent that it is realistically provided by the  $V_{\text{pcp}}$  model potential discussed above Eq. (4). Furthermore, the multiple cusp features expected from the electron-positron correlated motion are here replaced by the DFT model suggested in [24], whereby the positron impurity is made to correlate with an homogeneous electron gas that has the density of our electronic target molecule.

Following the expansion (6), that FN form of the continuum positron can be used to describe a specific  $|p\mu\rangle$  state of the scattered positron, the ensuing radial solution has therefore the following asymptotic form

$$u_{hl, h'l'}^{p\mu} \underset{r_p \rightarrow \infty}{\sim} A_{hl} \{ \sin(kr_p - \frac{1}{2}l\pi) \delta_{hl, h'l'} + K_{hl, h'l'} \times \cos(kr_p - \frac{1}{2}l\pi) \}, \quad (13)$$

where the boundary conditions give us the  $A_{hl}$  normalization term [13].

A more general radial solution of the scattering problem is further given by

$$u_{h'l'}(r_p|\mathbf{R}) = \sum_{hl} a_{hl} u_{h'l', hl}^{p\mu}(r_p|\mathbf{R}), \quad (14)$$

since the coupled differential equations eventually yield a set of independent solutions labeled by  $h'l'$ . The coefficients  $a_{hl}$  are now chosen from the asymptotic form of the  $\varphi(\mathbf{r}_p|\mathbf{R})$  of Eq. (11)

$$\varphi(\mathbf{r}_p|\mathbf{R})_{r_p \rightarrow \infty} e^{i\mathbf{k} \cdot \mathbf{r}_p} + f(\hat{\mathbf{k}} \cdot \hat{\mathbf{r}}_p) \frac{e^{i\mathbf{k} \cdot \mathbf{r}_p}}{r_p}. \quad (15)$$

The replacement of  $\varphi(\mathbf{r}_p|\mathbf{R})$  into Eq. (10) via its asymptotic form of Eq. (15), and the further integration over  $d\hat{\mathbf{k}}$  of the result, yields the following expression:

$$Z_{\text{eff}}(k|\mathbf{R}) = \sum_{p\mu} Z_{\text{eff}}^{p\mu}(k|\mathbf{R}), \quad (16)$$

where, for a target in its  $A_1$  electronic state,

$$Z_{\text{eff}}^{p\mu}(k|\mathbf{R}) = Z \frac{4\pi}{k^2} \sum_{\bar{h}''\bar{l}'', h''l''} \sum_{\bar{h}'\bar{l}', h'l'} \sum_{\bar{h}\bar{l}} (1+K^2)^{-1}_{\bar{h}''\bar{l}'', h''l''} g_{\bar{h}\bar{l}}^{p\mu} \times (h'l', \bar{h}'\bar{l}') s_{\bar{h}\bar{l}}^{p\mu}(h'l', h''l'', \bar{h}'\bar{l}', \bar{h}''\bar{l}'') \quad (17)$$

here  $K$  is the scattering  $K$  matrix of which the  $h'l', h''l''$  element is indicated, the  $g$ 's are the angular coefficients related to the potential terms of Eq. (7) (see Ref. [13]) and the  $s$ 's are defined (always for the  $A_1$  symmetry) as follows:

$$s_{\bar{h}\bar{l}}^{p\mu}(h'l', h''l'', \bar{h}'\bar{l}', \bar{h}''\bar{l}'') = \int_0^\infty b_{\bar{h}\bar{l}}^\Gamma(r_p|\mathbf{R}) u_{h'l', h''l''}^{p\mu}(r_p|\mathbf{R}) u_{\bar{h}'\bar{l}', \bar{h}''\bar{l}''}^{p\mu}(r_p|\mathbf{R}) dr_p \quad (18)$$



and the  $b$ 's come from an additional multipolar expansion of the target electronic density of Eq. (12), taken to belong to the  $A_1$  symmetry

$$\rho_{A_1}(\mathbf{r}_p|\mathbf{R}) = \sum_{hl} b_{hl}^{A_1}(r_p|\mathbf{R}) X_{hl}^{A_1}(\hat{\mathbf{r}}_p|\mathbf{R}). \quad (19)$$

Since the quantities that are experimentally measured usually come from averaging over the positron-velocity distribution  $v$  the final evaluation of  $Z_{\text{eff}}(k)$  from Eq. (9) now needs to be further convoluted over a Boltzmann-type distribution function,  $f(v|T)$  to obtain a temperature  $T$  dependence of the annihilation parameter

$$Z_{\text{eff}}(T|\mathbf{R}) = \int_0^\infty Z_{\text{eff}}(k|\mathbf{R}) f(v|T) dv, \quad (20)$$

from which one gets

$$Z_{\text{eff}}(T|\mathbf{R}) = \frac{4A^{3/2}}{\sqrt{\pi}} \int_0^\infty Z_{\text{eff}}(k|\mathbf{R}) k^2 \exp(-Ak^2) dk, \quad (21)$$

where, in atomic units:

$$A = \frac{1}{2k_B T}, \quad (22)$$

with  $k_B$  the Boltzmann constant.

Finally, since we have also seen that in the high energy limit the annihilation process should approach the Dirac estimate of the actual number of target electrons [6], we can further introduce an asymptotically normalized annihilation rate

$$Z_{\text{eff}}^N = \frac{Z_{\text{eff}}(T)}{Z}, \quad (23)$$

which allow us to quickly assess the departure of the molecular gas behavior to positron annihilation from its simpler asymptotic limit of the independent electron picture for its bound molecular electrons.

In the following analysis we shall try to see more in detail that: (i) the present modeling of the positron-molecule interaction appears to yield realistic estimates of the elastic integral cross sections for positron scattering from ambient molecular gases with polyatomic components at energy below the  $Ps$  formation threshold; (ii) the ensuing calculations for  $Z_{\text{eff}}$  values as a function of the ambient temperature reveal a fairly clear correlation with the molecular properties of the gases examined, although it is not entirely clear as yet which would be the chief nanoscopic cause of the large values; (iii) the use of the FN approximation, albeit simpler from the computational standpoint, decouples the positron dynamics from the molecular rovibrational states and therefore excludes for the moment the possibility of considering resonant-annihilation mechanisms [12]; and (iv) the study therefore focuses on the direct-annihilation mechanism and examines its validity for a broad range of small, nonlinear polyatomic gases.

### III. COMPUTED AND MEASURED OBSERVABLES

As mentioned in the preceeding section, the present calculations have followed as much as possible a fully *ab initio* approach, in the sense that we have always solved the full-quantum-coupled equations for the dynamics, generating  $K$ -matrix elements to attain both the elastic cross sections for a variety of small polyatomic targets and to construct, via such elements, the annihilation process in the form of the dimensionless  $Z_{\text{eff}}$  parameter as a function of temperature. On the other hand, the collision dynamics was treated within the fixed-nuclei scheme and therefore no dynamical coupling with molecular rovibrational degrees of freedom has been included. Furthermore, the positron-molecule interaction did not include the  $Ps$  formation channels and the  $e^+e^-$  dynamical correlation was treated through a model, local interaction within a DFT scheme [13,20,21].

The dipole polarizabilities employed (as well as the molecular geometries) were always those known experimentally.

#### A. The computed cross sections

A first comparison could be obtained by computing the low-energy elastic cross sections and the corresponding  $Z_{\text{eff}}$  as a function of collision energy. We have therefore calculated both quantities using the same code and implementing the SCE of the bound and continuum particles (electrons and scattered positron, respectively) at the same level of numerical convergence. Thus, all the target wave functions were treated as near-Hartree-Fock SD's expanded over multicenter Gaussian orbitals (GTO's) at the molecular equilibrium geometries. The basis sets employed were those given by the GAUSSIAN 98 package [28]. The bound MO's were expanded up to  $\lambda_{\text{max}}$  values ranging from 10 to 50, ensuring convergence of the statistic potential terms within about 2–5%. Likewise, the potential multipoles were expanded up to twice the relevant  $\lambda_{\text{max}}$  value and the scattering wave function of the positron was expanded up to  $\lambda_{\text{max}}$  values that yielded  $K$ -matrix elements converged within 5%. All the details for the target properties and the scattering calculations are given in Table I.

The results shown in Fig. 1 report the computed cross sections of three molecular gases for which experimental total cross sections were also available: those for  $\text{CH}_4$  from Ref. [29], those for  $\text{NH}_3$  from Ref. [30], and those for  $\text{H}_2\text{O}$  are from [31]. The comparison between our computed (solid lines) and experimental data (filled in circles) clearly show that the calculations of the scattering observables yield values that are all reasonably close to the experimental data, with the exception of  $\text{H}_2\text{O}$ , where the calculations increase with decreasing collision energy much more rapidly than the experimental data. The role of permanent dipole moment of water, however, was not properly corrected in our body-fixed treatments, as is well known to be needed for polar targets [32]. This effect is particularly important at low collision energies and for systems with larger dipole moments, as is the case for water. On the other hand, the present treatment for nonpolar targets could be seen to be fairly realistic when compared with experiments. In Fig. 2, we show, in fact, the computed and measured elastic integral cross sections for

TABLE I. Some properties of the set of molecules examined in this work and details of the scattering calculations (all values in a.u.). [GTO, Gaussian orbital basis set.  $E_{\text{SCF}}$ , equilibrium energy from SCF calculations. SCE,  $\lambda_{\text{max}}$  of the MO's single-center expansions. MCOP, multipolar coefficients of the static potential ( $2\lambda_{\text{max}}$ ). PPWE,  $\lambda_{\text{max}}$  for the positron partial wave expansions.  $\mu$ , molecular permanent dipole moments (Debye).]

Molecule	GTO	$E_{\text{SCF}}$	SCE	MCOP	PPWE	$\mu$
H <sub>2</sub> O	cc-pVTZ	-76.061	12	24	12	1.8473
H <sub>2</sub> S	D95**	-398.646	12	24	12	0.978
O <sub>3</sub>	D95(3df,p)	-224.329	36	72	12	0.534
SO <sub>2</sub>	D95**	-547.203	36	72	12	1.633
NH <sub>3</sub>	D95**	-56.209	42	84	12	1.47
PH <sub>3</sub>	6-311++G	-342.478	24	48	10	0.574
AsH <sub>3</sub>	6-311++G**	-2235.877	10	20	10	0.16
CH <sub>4</sub>	D95	-40.185	12	24	12	
SiH <sub>4</sub>	D95**	-291.230	20	40	20	
GeH <sub>4</sub>	6-311G	-2077.607	20	40	20	
CF <sub>4</sub>	D95*	-435.765	45	90	12	
SiF <sub>4</sub>	D95*	-687.053	45	90	12	
CCl <sub>4</sub>	D95*	-1875.720	45	90	12	
SF <sub>6</sub>	6-311G(2d,2p)	-994.220	42	84	12	

CF<sub>4</sub> (from Ref. [33]) and for SF<sub>6</sub> (from Ref. [34]). In both cases we see that the multielectron nature of the two target gases yield larger cross sections than in the case of CH<sub>4</sub>, the effect being even more marked for SF<sub>6</sub> where our results suggest very large values, larger than those found for CF<sub>4</sub>. Further tetrahedral target molecules are shown in Fig. 3, where we report the elastic cross sections for GeH<sub>4</sub>, CCl<sub>4</sub>, and SiF<sub>4</sub>. The target with the largest number of electrons, CCl<sub>4</sub>, shows indeed the largest elastic cross sections. The latter appear to be much larger than those shown by the SiF<sub>4</sub> molecule, a possible reason for which may be related to the more diffuse electronic density of the external chlorine atoms

with respect to the more compact fluorine atoms. The distinct behavior of fluorinated compounds will be further discussed below when we shall analyze the values found for their  $Z_{\text{eff}}$  coefficients.

Further computed cross sections are shown in Fig. 4 for systems where, unfortunately, no experimental data could be found for comparison. The cross sections are now seen to be very large indeed for all the molecular targets reported on both panels. One further notices the enhancing effects produced by the permanent dipole moments: the SO<sub>2</sub> molecule yields the largest cross sections, while both PH<sub>3</sub> and AsH<sub>3</sub> (where only H atoms are located away from the expansion

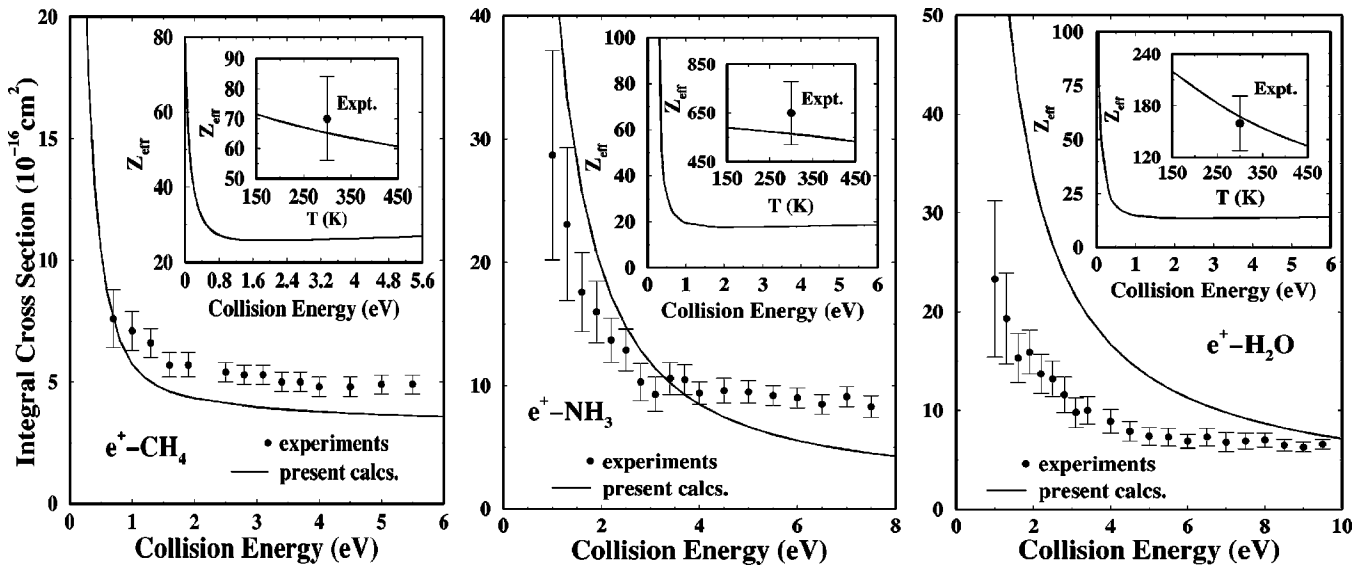


FIG. 1. Computed (elastic) and measured (total) cross sections for positron scattering from methane (left panel), from ammonia (middle panel), and from water (right panel). The experimental data are, from left to right: Refs. [29,30,31]. The inset shows the energy and temperature behavior of the annihilation rates,  $Z_{\text{eff}}$ . The experiments, from left to right, are from Refs. [2,8,9]. Their values have been multiplied by 0.5.

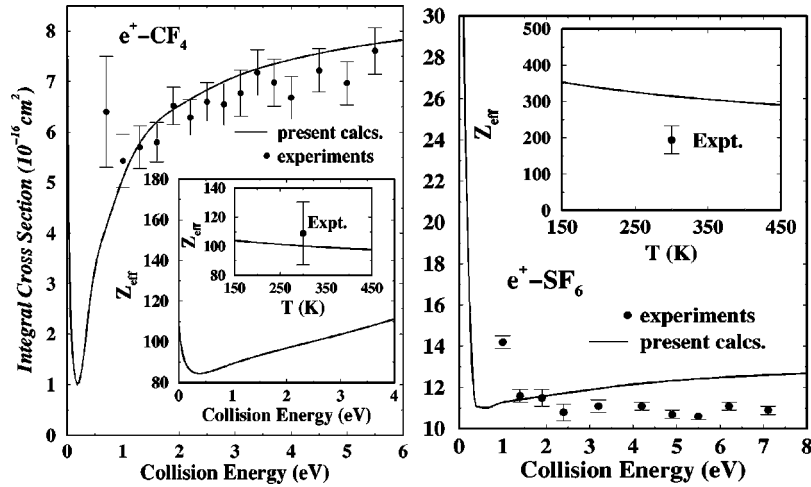


FIG. 2. Same quantities as in Fig. 1] but for carbon tetrafluoride (left panel) and for sulfur hexafluoride (right panel). The experimental total cross sections are from Refs. [33] and [34], while the experimental  $Z_{\text{eff}}$  values are from Refs. [2, 8,9]. They have been multiplied by 2.0.

centers) show smaller cross sections, as is also the case for the  $\text{O}_3$  molecule that has a fairly small value (0.53 D) for its permanent dipole moment.

### B. The computed annihilation parameters

The corresponding values of the annihilation parameters  $Z_{\text{eff}}$  are also shown for all the molecules reported in Figs. 1–4, where their temperature dependence is given and where, whenever possible, the existing experimental values are also shown for comparison. The actual numerical values at 300 K are reported in Table II for all the molecules examined in the present work.

The following comments could be made from a perusal of the results.

(i) The temperature dependence of all the computed  $Z_{\text{eff}}$  values shows a decrease as  $T$  increases.

(ii) From some molecular gases we also report the  $Z_{\text{eff}}$  dependence on collision energy. We see for all systems a dramatic drop of the values over the very-low range of energy ( $\leq 1.0$  eV). This effect suggests that the slower positron projectiles can more efficiently undergo multiple scattering within the spatial region of the target electron densities, thereby making the annihilation process more efficient, at least for the systems where it appears that the direct-annihilation mechanism discussed before [12] is the dominant one.

(iii) For all the molecular gases studied here the  $Z_{\text{eff}}$  are found to be larger than the corresponding total  $Z$  value of each individual molecule (see Table II). This result suggests, therefore, that the FN modeling, which we have used, and which implies the direct-annihilation mechanism to be the only possible one, indicates already an efficient annihilation behavior of the most of molecules examined, in agreement with the experiments.

(iv) The comparison with the available experiments is shown in Fig. 1 for  $\text{H}_2\text{O}$ ,  $\text{NH}_3$  and  $\text{CH}_4$ . The measured values have been halved in order to make them fit on the same scale of those computed. The experimental error bars are also reported [2,4,8,9]. It is interesting to note that the  $\text{NH}_3$  molecule shows the largest measured  $Z_{\text{eff}}$  at 300 K and our calculations confirm this finding. Hence, in spite of the calculated values being smaller than those measured for all three

molecules, we find that our model yields data that have the same order of magnitude of the experiments and give the same relative ordering along the series of molecules.

(v) We further see that the two fluorinated gases reported in Fig. 2 yield fairly small  $Z_{\text{eff}}$  values, only slightly larger than their corresponding total  $Z$ : the  $\text{SiF}_4$  molecule of Fig. 3 shows a  $Z_{\text{eff}}/Z$  ratio of only 1.86. Such findings are in keeping with the experiments available for other fluorinated compounds [8,9]. We see, in fact, in Fig. 2 that the measured values for  $\text{CF}_4$  and  $\text{SF}_6$  need now to be multiplied by 2 or 3 in order to be on the same scale of the calculations. In other words, experiments tell of a marked quenching of the annihilation efficiency when the molecular target contains fluorine atoms [9,12]: our present calculations also follow the same behavior as we see from the data in Figs. 2 and 3.

(vi) The  $Z_{\text{eff}}$  calculations for the  $\text{CCl}_4$  gas, on the other hand, indicate that our results are about nine times smaller than the experiments. This finding suggests that for the  $\text{CCl}_4$

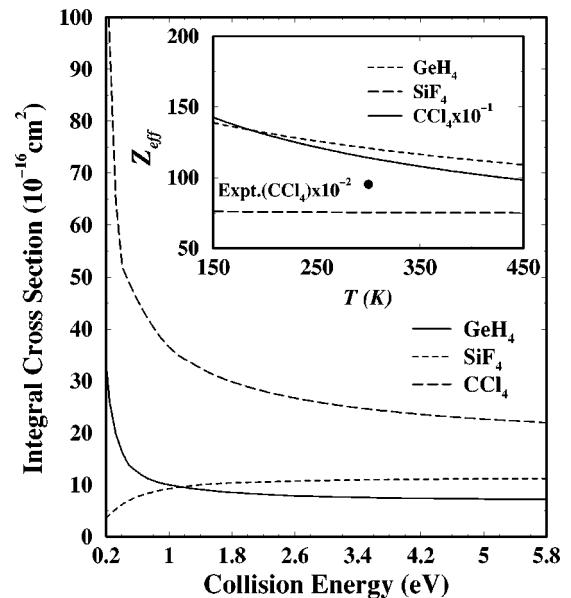


FIG. 3. Same as in Figs. 1 and 2 but for three different molecular gases:  $\text{CCl}_4$  (solid lines),  $\text{GeH}_4$  (short dashes), and  $\text{SiF}_4$  (long dashes). The experimental value of  $Z_{\text{eff}}$  is from Ref. [9].

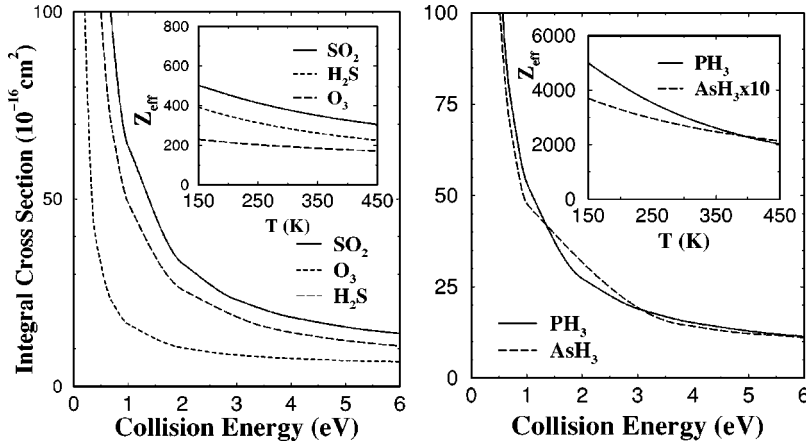


FIG. 4. Same as in Fig. 1 but for a different set of molecules. Only calculated results. Left panel:  $\text{SO}_2$  (solid lines),  $\text{O}_3$  (short dashes),  $\text{H}_2\text{S}$  (long dashes). Right panel:  $\text{PH}_3$  (solid line) and  $\text{AsH}_3$  (long dashes).

molecule, with the highest density of vibrational states per unit of energy, our model presumably describes incorrectly the mechanism for its annihilation rate when the FN approximation is employed. In other words, the marked enhancement of the  $Z_{\text{eff}}$  value of this gas suggests that the direct-annihilation mechanism should be replaced here by some other, more efficient, resonant-coupling dynamical mechanism that is not included within our modeling. Hence the marked underestimation of the experimental rates by our computation.

(vii) With the exception of the fluorinated molecules, the results for the multielectron gases shown in this work (see Table II) indicate nearly always enhancement of  $Z_{\text{eff}}$  with respect to their corresponding total  $Z$  values although the ratio ranges from about 167 for  $\text{PH}_3$  to only 4 for  $\text{GeH}_4$ . In other words, all cases suggest that the efficiency of the direct mechanism can vary markedly with the microscopic structural properties of the relevant molecule in the gases. Below we therefore shall try to analyze in detail such correlation by trying to link the computed parameters to specific molecular features.

#### IV. CORRELATING $Z_{\text{eff}}$ TO MOLECULAR PROPERTIES

As mentioned in previous sections, the molecules analyzed in the present work do not exhibit the orders-of-magnitude increase of  $Z_{\text{eff}}$  that have been experimentally detected in, say, the larger hydrocarbons [5,8,9]. Thus, the FN calculations suggest (with one exception) that the direct-annihilation mechanism is the most likely to act in the molecular gases that we have examined here. What we found in that analysis is also a marked dependence of the  $Z_{\text{eff}}$  values on the characteristics of the molecular gas, i.e., the variations of  $Z_{\text{eff}}$  along the series of molecules do not follow a simple pattern, although clearly showing to be very much molecule dependent.

In Fig. 5 we have collected the molecules that belong to the tetrahedral symmetry point group  $T_d$  and have shown in the four panels of the figure how the computed  $Z_{\text{eff}}$  values behave as a function of: (a) total  $Z$ , (b) the spherical dipole polarizabilities of the target  $\alpha_0$ , (c) the energy threshold for  $P_S$  formation  $E_{P_S}$ , and (d) the equilibrium distances  $R_{\text{eq}}$  between the central atom and the outer atoms in each molecule.

TABLE II. Computed and measured annihilation rates for the set of molecules examined in this work. See text for meaning of symbols. [ $\delta Z_{\text{eff}} = (Z_{\text{eff}}^{\text{th}} - Z)/Z$  and  $\Delta Z_{\text{eff}} = (Z_{\text{eff}}^{\text{exp}} - Z_{\text{eff}}^{\text{th}})/Z_{\text{eff}}^{\text{exp}}$ . The percentage error for the quoted  $Z_{\text{eff}}^{\text{exp}}$  values [8,9] is  $\pm 20\%$ .]

Mol	$Z$	$Z_{\text{eff}}^{\text{th}}$	$Z_{\text{eff}}^{\text{th}}/Z$	$Z_{\text{eff}}^{\text{exp}}/Z$	$Z_{\text{eff}}^{\text{exp}} \pm \Delta Z_{\text{eff}}^{\text{exp}}$	$\alpha_0$ (a.u.)	$E_{P_S}$ (eV)	$R_{\text{eq}}$ (Å)	$\delta Z_{\text{eff}}$	$\Delta Z_{\text{eff}}$
$\text{H}_2\text{O}$	10	167.22	16.72	31.9	$319 \pm 63.8$	9.78	5.81	1.81	15.72	0.48
$\text{H}_2\text{S}$	18	285.17	15.84			25.51	3.65	1.42	14.84	
$\text{O}_3$	24	193.14	8.04			21.66	5.63	1.28	7.05	
$\text{SO}_2$	32	378.93	11.84			26.32	5.52	1.42	10.84	
$\text{NH}_3$	10	564.82	56.48	130	$1300 \pm 260$	15.25	3.36	1.001	55.48	0.57
$\text{PH}_3$	18	3020.1	167.78			32.66	3.07	1.407	166.78	
$\text{AsH}_3$	36	269.66	7.49			25.95	3.78	1.497	6.49	
$\text{CH}_4$	10	64.7	6.47	14	$140 \pm 28$	17.56	5.71	1.083	5.47	0.55
$\text{SiH}_4$	18	102.42	5.69			30.40	4.85	1.480	4.69	
$\text{GeH}_4$	36	120.78	3.35			34.40	4.53	1.530	2.35	
$\text{CF}_4$	42	98.5	2.34	1.29	$54.4 \pm 10.8$	19.60	9.40	1.319	1.35	-0.81
$\text{SiF}_4$	50	75.41	1.51			19.40	8.90	1.560	0.87	
$\text{CCl}_4$	74	1140.6	15.41	128.78	$9539 \pm 1906$	70.50	4.67	1.766	14.41	0.88
$\text{SF}_6$	70	319.93	4.49	1.38	$97 \pm 19$	44.13	8.53	1.560	3.57	-2.29



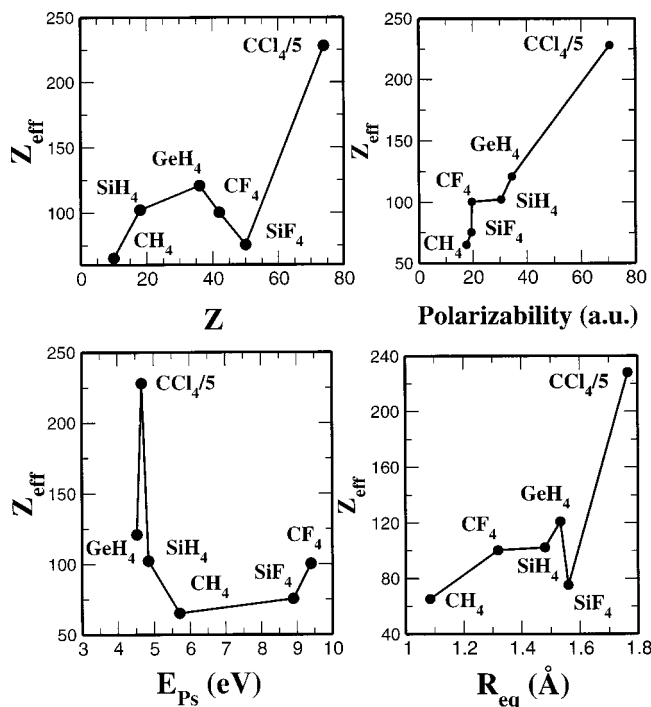


FIG. 5. Behavior of the computed  $Z_{\text{eff}}$  values in tetrahedral molecules as a function of different molecular properties. The data shown in each panels are correlated with the experimental values of each property.

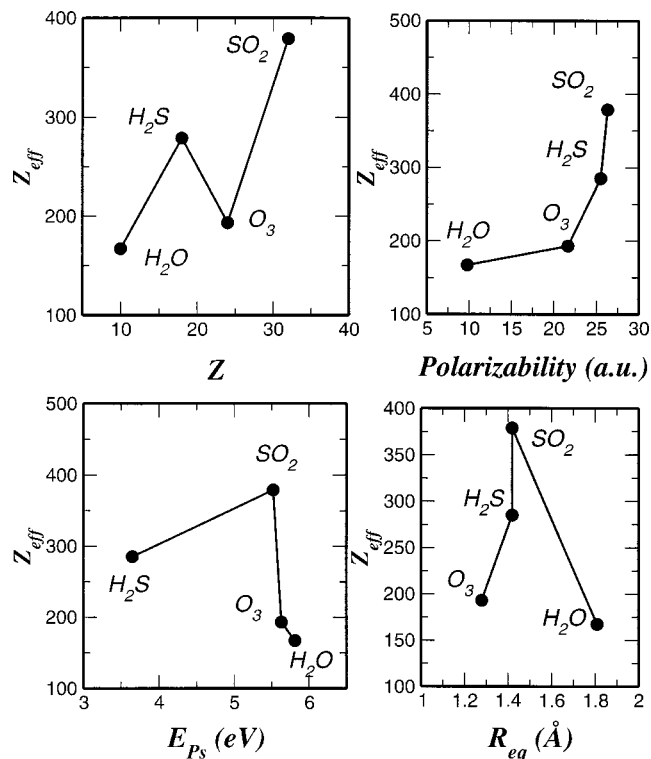


FIG. 6. Same correlation diagrams as in Fig. 5 but for molecules of  $C_{2v}$  symmetry.

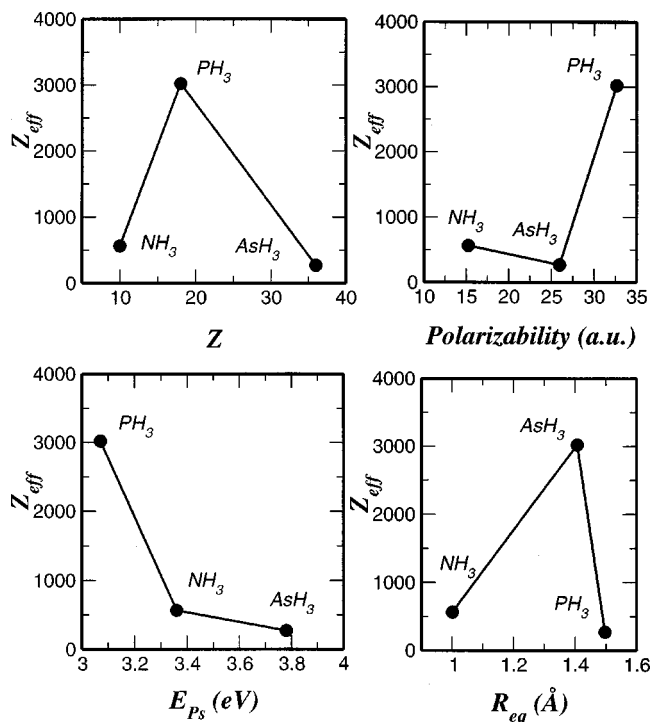


FIG. 7. Same correlation diagrams as in Fig. 5 but for molecules of  $C_{3v}$  symmetry.

The following findings are worth mentioning.

(1) All molecules appear to have annihilation parameters larger than their  $Z$  values. They also show the lowest  $Z_{\text{eff}}/Z$  ratios for the fluorinated gases and the largest ratio for the  $\text{CCl}_4$  molecule (upper left panel).

(2) Both the polarizability values and the size of the  $R_{\text{eq}}$ 's (directly related to the electronic density spatial extension) clearly indicate  $\text{CCl}_4$  the candidate gas for the largest annihilation parameter values, as indeed found experimentally [4,9].

(3) The Ps energy thresholds suggest that the fluorinated compounds should have the least efficient annihilation kinetics, as found by the measurements, while the behavior of the  $\text{CCl}_4$  target gas indicates that its kinetics is probably controlled by a different molecular mechanism from the one we have employed in this study.

That the molecular symmetries could be a possible correlation parameter is also shown by the results presented in Figs. 6 and 7, where we have gathered the same  $Z_{\text{eff}}$  dependence seen in Fig. 5 but this time for the polar targets of  $C_{2v}$  symmetry (Fig. 6) and of  $C_{3v}$  symmetry (Fig. 7).

We see there that the less symmetrical situations indeed blur the overall picture and make it more difficult to identify simple patterns. For instance, the  $Z_{\text{eff}}/Z$  ratios become, broadly speaking, larger than those for the  $T_d$  molecules: a factor of about 2 for the  $C_{2v}$  set and of about one order of magnitude for the  $C_{3v}$  group, with the exception of the  $\text{AsH}_3$  case.

One further gathers from the last two figures that the dipole polarizability plays an important role and clearly correlates, as in the  $T_d$  group, with the computed  $Z_{\text{eff}}$ . The role of energy thresholds and of molecular electron density "vol-

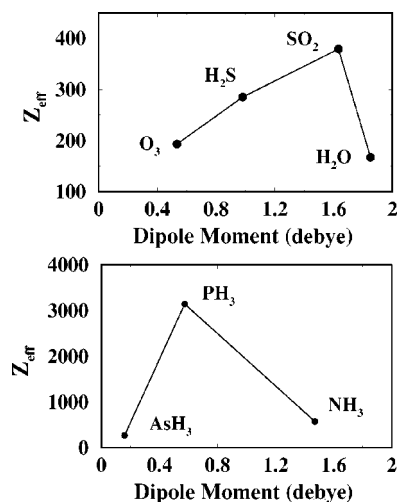


FIG. 8. Correlation between computed annihilation rates (at 300 K) and molecular dipole moments. Top panel:  $C_{2v}$  molecules. Lower panel:  $C_{3v}$  molecules.

umes” is, however, not as clear as before.

Since the  $C_{2v}$  and  $C_{3v}$  symmetries refer to polar gases, it is of some use to try to correlate the computed annihilation efficiency with their permanent dipole moments, as shown in Fig. 8. Both panels indicate only a qualitative correlation between  $Z_{\text{eff}}$  and  $\mu$  but not any straightforward increase of annihilation rates as the molecular dipole increases. As a matter of fact, the experimental  $Z_{\text{eff}}$  for ammonia turns out to be about four times that of water, while the magnitudes of their permanent dipole moments are very similar (see Tables I and II).

A further attempt at correlating the computed parameters with some molecular property common to all the molecular symmetries we have studied here is given in Fig. 9, where the compounds examined are grouped by symmetry to show the dependence of their  $Z_{\text{eff}}$  values on their corresponding spherical dipole polarizabilities. The correlation is now much

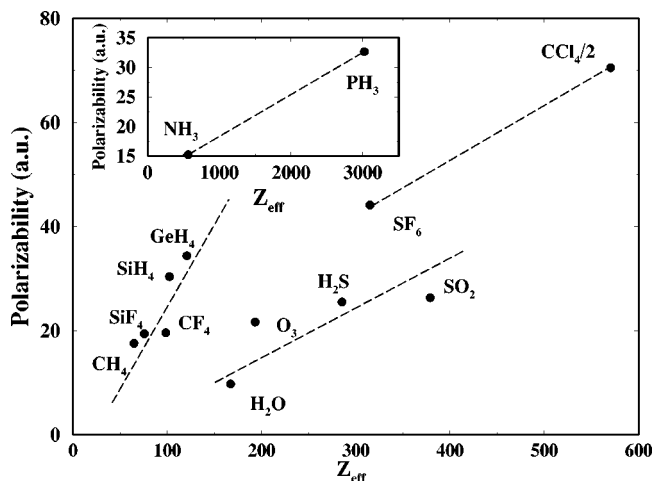


FIG. 9. Correlation diagram between computed  $Z_{\text{eff}}$  values (at 300 K) and experimental molecular dipole polarizabilities (spherical terms). The molecules are grouped together by their point-group symmetries, as shown in previous figures.

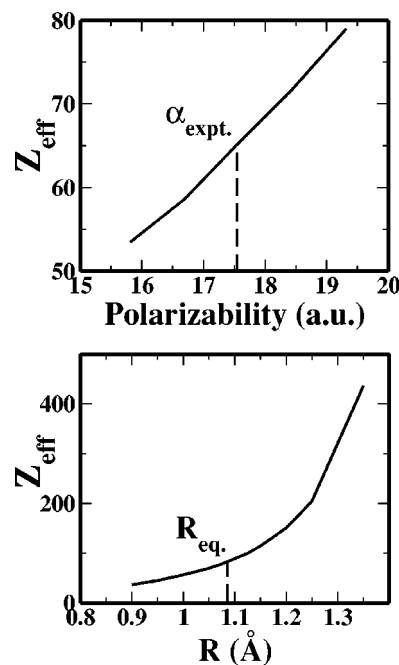


FIG. 10. Computed  $Z_{\text{eff}}$  dependence on two molecular properties for the case of the  $CH_4$  molecular gas. Upper panel: changes of annihilation rates as a function of the dipole polarizability values. Lower panel: changes of the computed  $Z_{\text{eff}}$  as a function of the  $R_{C-H}$  distances in the tetrahedral structure.

clearer and we see that, within each group of molecule, the largest  $Z_{\text{eff}}$  values go with the target that has the largest dipole polarizability. It is interesting to also note that the  $CCl_4$  molecule shows one of the largest values for both quantities but still a marked discrepancy with experiments (see Fig. 3). Thus, its large  $\alpha_0$  value at equilibrium geometry could also point at possible large effects coming from low-energy vibrational couplings dynamics, as we shall briefly discuss below.

A possible alternative mechanism suggested to occur for the cases where  $Z_{\text{eff}}$  reaches much larger values than those discussed here is that of its enhancement through vibrationally excited core resonances induced by some more efficient positron trapping [9,12].

A simple numerical model for the above effect is reported in Fig. 10, where we show in the upper panel the changes of  $Z_{\text{eff}}$  at room temperature, for the methane molecule, when its dipole polarizability is arbitrarily varied from its experimental value, also marked in the figure. One sees there that contained variations of  $\alpha_0$  (by about 20%) can cause  $Z_{\text{eff}}$  variations of the same order of magnitude, i.e., not particularly dramatic. On the other hand, the lower panel in the same figure shows that, when the molecular “size” is varied by changing the  $R_{C-H}$  distance (with its experimental value also marked in the figure) of about the same amount, the corresponding  $Z_{\text{eff}}$  calculated at the different  $T_d$  geometries (only the breathing mode was considered, for simplicity) varies by nearly one order of magnitude. This startling result indicates that, at least for nonlinear polyatom gases, the inclusion of nuclear motion effects could drastically modify the  $Z_{\text{eff}}$  values and could help to explain the different behavior of the  $CCl_4$  gas. With the same token, and considering the fair ac-

cord with experiments afforded by the FN calculations for methane (see Fig. 1), we can also suggest that resonance effects play a minor role in this case and that the direct mechanism is likely to be the dominant one for this gas and for nearly all the molecules examined here.

## V. CONCLUSIONS AND OUTLOOK

In the present work we have analyzed, using quantum dynamics with parameter-free interaction potentials, the low-energy elastic scattering of positrons from polyatomic gases and their corresponding annihilation rates at room temperature. The set of molecules examined involves only nonlinear polyatomics of small and medium size, from 10-electron species (e.g.,  $\text{H}_2\text{O}$ ,  $\text{CH}_4$ ,  $\text{NH}_3$ , etc.) up to carbon tetrachloride and sulfur hexafluoride.

The aim of this work was to test the validity of a quantum treatment in which the internal degrees of freedom associated with the nuclei (e.g., rotations and vibrations) and the permanent excitation of electronic states are essentially excluded from the dynamics. Furthermore, the correlation contributions from electron-positron interactions were included via a global DFT formulation at the local-density approximation level [24], a procedure already found by us to be qualitatively acceptable for calculating other scattering observables [35].

The evaluation of the elastic cross sections, in comparison with the available experiments, suggests that the present procedure is able to provide a fairly realistic representation of the scattering  $K$ -matrix elements, a key factor for the evaluation of the  $Z_{\text{eff}}$  rates in the molecular gases.

On the strength of this general agreement with available experiments, the ensuing evaluation of the annihilation rates allowed us to reach the following, albeit preliminary, conclusions.

(i) The calculated rates, in the cases where comparison with experiment was possible, turned out to be in qualitative agreement with existing data, with the exception of the  $\text{CCl}_4$  gas.

(ii) The absence of including closed, Feshbach-type, vibrational channels in the scattering equations suggests that for most of the above gases the annihilation occurs via a direct process essentially driven by the targets' linear response to the perturbing projectile via its dipole polarizability.

(iii) The reduced rates for fluorinated compounds, a feature found both by experiments and by our calculations, is explained qualitatively by the greater electronegativity of the F atom that therefore reduces in size the electronic density and increases the electron localization near such nuclei. As a consequence of it, positron-annihilation samples a reduced spatial region with non-negligible electron population.

(iv) The strong enhancement of the  $Z_{\text{eff}}$  values for the  $\text{CCl}_4$  gas in comparison with the experiments suggests that, at least for this system, the FN dynamics does not realistically describe the dynamical positron coupling with the molecular nuclei during the annihilation process. Hence, the present calculations indirectly suggest for this system an annihilation mechanism that might involve nuclear-excited close-channel resonances [12]. This conjecture is also suggested by the computed effect of nuclear geometry changes on enhancing  $Z_{\text{eff}}$  values, as seen from the test calculations on methane reported in Fig. 10.

On the whole, therefore, the still preliminary results from this study underline the crucial importance of including detailed analysis of the molecular properties when setting up a theoretical modeling for positron-annihilation rates in polyatomic gases. One should also note that a great deal of work still needs to be done on the full *ab initio* treatment of dynamical correlation effects for positron scattering and on the important issue of the binding properties of these molecular gases with low-energy positrons. Neither of the above features has been included here, where our aim has been to achieve a more heuristic description of the forces at play in order to provide, to our knowledge for the first time, a non-empirical account of the dynamics relevant to positron-annihilation processes in polyatomic molecules. The information gathered from the present findings is hopefully going to be important for guiding further developments in *ab initio* treatment of positron dynamics in polyatomic gases.

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