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## Construction of Projection Operators in the Semiclassical Approximation\*

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The projection operators onto various subsets of states of a quantum-mechanical system are constructed in a semiclassical approximation based on the Wigner transformation of statistical mechanics. As illustrations, explicit operator expressions are derived for the cases of central Coulomb potential, one-dimensional harmonic oscillator, and radial Coulombic states of specified angular momenta. The accuracy of these operators is then examined in some detail in terms of the overlap integrals and dipole transition probabilities. The semiclassical approximation is found to be effective in the energy regions away from the classical turning points. Extensions of the approach to partially projected Green's functions and other related moments are discussed and their applications to scattering problems pointed out.

### I. INTRODUCTION

Projection operators occur frequently in formulations of theories of scattering reactions, such as that of Feshbach<sup>1</sup> and its subsequent developments.<sup>2</sup> For example, a calculation of compound resonance states may be set up in terms of the closed-channel operator  $Q$  which is orthogonal to all open channels at a given energy  $E$ . The variational bound formulation<sup>3</sup> of effective potentials and resulting bounds on reaction matrix elements is also developed with the use of projection operators.

The difficulty of constructing such projection operators has been an obstacle in the application of these theories. In this paper we describe the use of the Wigner<sup>4</sup> transformation of statistical mechanics to provide a semiclassical approximation for projection operators.

The Wigner transformation expresses the Boltzmann function as a certain Fourier transform of the quantum-mechanical density matrix. Applied to the case of the single-particle distribution function this relation is<sup>5</sup>

$$f(\vec{x}, \vec{p}) = h^{-3} \int (\vec{x} - \frac{1}{2}\vec{r} | \rho | \vec{x} + \frac{1}{2}\vec{r}) e^{i\vec{p}\cdot\vec{r}/\hbar} d^3r. \quad (1.1)$$

Here  $f$  is the Boltzmann function for a particle at  $\vec{x}$  with momentum  $\vec{p}$  and  $(\vec{x} | \rho | \vec{x}')$  is the density matrix in a coordinate-space representation.<sup>6</sup> The inverse of (1.1) is

$$(\vec{x} | \rho | \vec{y}) = \int d^3p f(\frac{1}{2}(\vec{x} + \vec{y}), \vec{p}) e^{i\vec{p}\cdot(\vec{x}-\vec{y})/\hbar}. \quad (1.2)$$

The normalization in Eq. (1.1) is so chosen that

$$\int f(\vec{x}, \vec{p}) d^3x d^3p = \int d^3x (\vec{x} | \rho | \vec{x}) = 1, \quad (1.3)$$

when  $\rho$  is expressed in a Hilbert-space representation:

$$(\vec{x} | \rho | \vec{y}) = \frac{1}{M} \sum_{\alpha=1}^M \psi_{\alpha}(\vec{x}) \psi_{\alpha}^{\dagger}(\vec{y}), \quad (1.4)$$

the average being over an appropriate ensemble with  $\psi_{\alpha}$ , the wave function of  $\alpha$  in that ensemble.

### II. PROJECTION OPERATOR ONTO STATES OF ONE OR MORE PARTICLES

Relations (1.1), (1.2), and (1.4) suggest the application to projection-operator construction with a change in normalization, of course. Consider a complete set  $\chi_{\lambda}(\vec{x})$  of orthonormal single-particle wave function. The projection operator onto a subset  $\mathcal{S}$  of these is

$$(\vec{x} | \Lambda | \vec{y}) = \sum_{\mathcal{S}} \chi_{\lambda}(\vec{x}) \chi_{\lambda}^*(\vec{y}). \quad (2.1)$$

A classical phase-space function  $F(\vec{x}, \vec{p})$  is introduced as<sup>7</sup>

$$F(\vec{x}, \vec{p}) = \int (\vec{x} - \frac{1}{2}\vec{r} | \Lambda | \vec{x} + \frac{1}{2}\vec{r}) e^{i\vec{p}\cdot\vec{r}/\hbar} d^3r. \quad (2.2)$$

The inverse transformation is

$$(\vec{x} | \Lambda | \vec{y}) = \frac{1}{h^3} \int F(\frac{1}{2}(\vec{x} + \vec{y}), \vec{p}) e^{i\vec{p}\cdot(\vec{x}-\vec{y})/\hbar} d^3p. \quad (2.3)$$

If the  $\chi_{\lambda}$  are normalized to unity, the normalization of  $F$  is

$$\int F(\vec{x}, \vec{p}) d^3x d^3p = h^3 \sum_{\mathcal{S}}. \quad (2.4)$$

Consider now the plane-wave states

$$\chi_{\vec{p}}(\vec{x}) = h^{-3/2} e^{i\vec{p}\cdot\vec{x}/\hbar}$$

in some large volume  $\mathcal{V}$ . The projection operator

onto states of momentum less than  $P$  is

$$\langle \vec{x} | \Lambda | \vec{y} \rangle = h^{-3} \int_{p < P} d^3 p e^{i(\vec{x}-\vec{y}) \cdot \vec{p} / \hbar} \quad (2.5)$$

For this projection operator Eq. (2.2) gives

$$F(\vec{x}, \vec{p}) = \int_{q < P} d^3 q \delta(\vec{q} - \vec{p}), \quad \vec{x} \text{ in } \mathcal{U}. \quad (2.6)$$

We see that  $F$  is unity in the "allowed" region ( $p < P$ ) of phase space and vanishes outside this region.

The above suggests the following approximate model for a projection operator. Define

$$F(\vec{x}, \vec{p}) = 1,$$

with  $(\vec{x}, \vec{p})$  in a region  $\mathcal{R}$  of classical phase space, and

$$F(\vec{x}, \vec{p}) = 0, \quad (\vec{x}, \vec{p}) \text{ not in } \mathcal{R}. \quad (2.7)$$

Then the quantum-mechanical projection operator corresponding to the classical phase space  $\mathcal{R}$  is

$$\langle \vec{x} | \Lambda | \vec{y} \rangle = h^{-3} \int d^3 p e^{i\vec{p} \cdot (\vec{x}-\vec{y}) / \hbar}, \quad (\tfrac{1}{2}(\vec{x}+\vec{y}), \vec{p}) \text{ in } \mathcal{R}. \quad (2.8)$$

The generalization to the case of  $N$  particles is obvious. Let  $F(\vec{x}, \vec{p}_1, \dots, \vec{x}_N, \vec{p}_N)$  be unity in a region  $\mathcal{R}$  of the  $N$ -particle phase space and let  $F$  vanish outside this region. Then the corresponding projection operator is

$$\langle \vec{x}_1, \dots, \vec{x}_N | \Lambda | \vec{y}_1, \dots, \vec{y}_N \rangle = h^{-3N} \int d^3 p_1 \cdots d^3 p_N F \times \exp\left(i \sum_{j=1}^N \frac{\vec{p}_j \cdot (\vec{x}_j - \vec{y}_j)}{\hbar}\right). \quad (2.9)$$

The case of a particle in a spherically symmetric attractive potential  $V(r)$  is very simple. Suppose  $V(r)$  approaches zero as  $r \rightarrow \infty$ . Then choose

$$F(\vec{x}, \vec{p}) = 1 \quad \text{for } (p^2/2m) + V(r) \leq E \leq 0, \\ = 0 \quad \text{otherwise}. \quad (2.10)$$

Let

$$P(x) = \{2m[E - V(x)]\}^{1/2}. \quad (2.11)$$

[We are to set  $P = 0$  if the right-hand side of (2.11) is imaginary.] The expression (2.8) then gives us

$$\langle \vec{x} | \Lambda | \vec{y} \rangle = 2\pi^2 |\vec{x} - \vec{y}|^{-3} (\sin G - G \cos G), \\ G = [|\vec{x} - \vec{y}| P(\tfrac{1}{2}|\vec{x} + \vec{y}|)] / \hbar. \quad (2.12)$$

The projection operator onto all bound states of a Coulomb potential, for example,

$$V(r) = -Ze^2/r,$$

is then [now  $E = 0$  in Eq. (2.11)]

$$\langle \vec{x} | \Lambda_B | \vec{y} \rangle = \{2\pi^2 [a_0 |\vec{x} + \vec{y}| / 4Z]\}^{3/2} {}^{-1} \\ \times [(\sin G) / G^3 - (\cos G) / G^2], \quad (2.13)$$

$$G = |\vec{x} - \vec{y}| \left( \frac{4Z}{a_0 |\vec{x} + \vec{y}|} \right)^{1/2},$$

where  $a_0$  is the Bohr radius.

The projection operator onto the continuum of the Coulomb states is, in the present approximation, obtained as follows:

$$F_C = 1 - F,$$

so

$$\langle \vec{x} | \Lambda_C | \vec{y} \rangle = \delta(\vec{x} - \vec{y}) - \langle \vec{x} | \Lambda_B | \vec{y} \rangle. \quad (2.14)$$

The relation (2.14) is, of course, exact. This results from the fact that if  $F = 1$  on all phase space, our approximation gives the exact result

$$\langle \vec{x} | \Lambda | \vec{y} \rangle = \delta(\vec{x} - \vec{y}). \quad (2.15)$$

We emphasize that the expressions (2.12) and (2.13) are defined only in that domain of  $\vec{x}$  and  $\vec{y}$  for which  $P(\tfrac{1}{2}(\vec{x} + \vec{y}))$  is real. Thus  $\langle \vec{x} | \Lambda | \vec{y} \rangle$  vanishes for  $\tfrac{1}{2}(\vec{x} + \vec{y})$  outside the classical turning points. Extension of our procedure into the classically forbidden regime appears possible (as in the WKB method) but is not discussed here.

The formal relation

$$\Lambda^2 = \Lambda \quad (2.16)$$

to be satisfied by projection operators is not satisfied exactly by the approximation (2.8). As the domain  $\mathcal{R}$  becomes large compared with  $\hbar^3$  (the semiclassical limit), it is easily seen that (2.16) becomes valid, however. For the classical phase space  $\mathcal{R}$  described by the symmetric variable  $\tfrac{1}{2}(\vec{x} + \vec{y})$ , the operator given by (2.8) is Hermitian.

The expression corresponding to (2.3) when a momentum space representation is used is

$$\langle \vec{q} | \hat{\Lambda} | \vec{q}' \rangle = h^{-3} \int d^3 x d^3 y e^{i(\vec{q}' \cdot \vec{y} - \vec{q} \cdot \vec{x})} \langle \vec{x} | \Lambda | \vec{y} \rangle \\ = h^{-3} \int d^3 r F(\vec{r}, \tfrac{1}{2}(\vec{q} + \vec{q}')) e^{i(\vec{q}' - \vec{q}) \cdot \vec{r}}. \quad (2.17)$$

It is also of interest to consider the applications of (2.5) and (2.9) to collision problems. For scatterings in which all the states in the set  $\mathcal{S}$  correspond to open channels,  $\Lambda_B$  for the states in  $\mathcal{S}$  may be used to construct the open-channel projection operators. Thus, for example, in the  $e$ -H scattering near the ionization threshold, we may use  $\Lambda_B(\vec{1}; \vec{1}')$  of (2.12) and construct the operator as

$$\Lambda_B(\vec{1}, \vec{2}; \vec{1}', \vec{2}') = \Lambda_B(\vec{1}; \vec{1}') + \Lambda_B(\vec{2}; \vec{2}') \\ - \Lambda_B(\vec{1}; \vec{1}') \Lambda_B(\vec{2}; \vec{2}') \equiv \Lambda^P \quad (2.18)$$

and its complement

$$\Lambda_C(\vec{1}, \vec{2}; \vec{1}', \vec{2}') = \delta(\vec{1} - \vec{1}') \delta(\vec{2} - \vec{2}') \\ - \Lambda_B(\vec{1}, \vec{2}; \vec{1}', \vec{2}') \equiv \Lambda^Q, \quad (2.19)$$

where  $[\Lambda_B(\vec{1}, \vec{1}'), \Lambda_B(\vec{2}, \vec{2}')] = 0$ . These operators, which are Hermitian and almost idempotent, may then be used to study the resonance structure, distortion effect, and the bounds on scattering parameters near the ionization threshold. Alternative-

ly, a similar projection operator  $\Lambda^Q$  onto the closed-channel space  $Q$  for the two-electron system may also be obtained directly from the multiparticle generalization (2.9). The effective pseudopotential for this process can then be constructed with  $\Lambda^Q$ .

Generalizations of (2.18) and (2.19) to systems involving more than two electrons are also straightforward, and the result could be used with greater advantage in the  $e$ -atomic and  $e$ -molecular reactions, simply because  $\Lambda^P$  and  $\Lambda^Q$  are now very simple to evaluate.

### III. APPLICATIONS OF COULOMB PROJECTION OPERATOR

As a first application of the projection operators derived in Sec. II, we study the ionization transitions of hydrogenic targets by fast-electron impact.<sup>8</sup> Since the bound-state  $\rightarrow$  bound-state transitions are dominant in this case, the usual closure approximation to the transition probabilities leads to gross overestimate, and it is necessary to isolate carefully the continuum contributions. Thus, the relevant transition probability is given in the leading order by

$$M_{ni}^C = \frac{1}{2l+1} \sum_m \langle n, l, m; \vec{x} | \vec{x} \cdot \Lambda_C(\vec{x}, \vec{y}) \vec{y} | n, l, m; \vec{y} \rangle, \quad (3.1)$$

where all the dipole transitions from  $|n, l, m; \vec{x}\rangle$  to the continuum are included. Similarly, we also have

$$M_{ni}^B = \frac{1}{2l+1} \sum_m \langle n, l, m; \vec{x} | \vec{x} \cdot \Lambda_B(\vec{x}, \vec{y}) \vec{y} | n, l, m; \vec{y} \rangle \quad (3.2)$$

corresponding to the transitions to all the bound states.

In (3.1) and (3.2), we have denoted the hydrogenic states as

$$|n, l, m; \vec{x}\rangle \equiv \psi_{nlm}(\vec{x}) = R_{nl}(x) Y_{lm}(\hat{x}), \quad (3.3)$$

while the projection operators  $\Lambda_B$  and  $\Lambda_C$  are given by (2.13) and (2.14), with  $E=0$ .

Evidently, the contribution to (3.1) coming from the  $\delta$ -function part in (2.14) corresponds to transitions to all available states, both bound and continuum, and is given in this case by<sup>9</sup>

$$\bar{M}_{ni}^A = \frac{1}{2l+1} \sum_m \langle n, l, m; \vec{x} | \vec{x} \cdot \delta(\vec{x} - \vec{y}) \vec{y} | n, l, m; \vec{y} \rangle$$

$$= \bar{M}_{ni}^B + \bar{M}_{ni}^C = \frac{1}{2} [5n^2 + 1 - 3l(l+1)], \quad (3.4)$$

where the quantities defined with bar denote exact values. An additional quantity of interest for our study is the overlap integral defined as

$$S_{ni} = \frac{1}{2l+1} \sum_m \langle n, l, m; \vec{x} | \Lambda_B(\vec{x}, \vec{y}) | n, l, m; \vec{y} \rangle. \quad (3.5)$$

Obviously, with the exact  $\bar{\Lambda}_B$  in (3.5), in place of the approximate form (2.13), we expect that

$$\begin{aligned} \bar{S}_{ni} &= 1 \quad \text{for the } n\text{th state in } \bar{\Lambda}_B \\ &= 0 \quad \text{otherwise.} \end{aligned} \quad (3.6)$$

The exact values of  $\bar{M}_{ni}^B$  and  $\bar{M}_{ni}^C$  are also available<sup>9</sup> for  $n \leq 4$  for ready comparison.

More explicitly, after the sum over the magnetic quantum numbers is carried out,  $M_{ni}^B$  becomes

$$\begin{aligned} M_{ni}^B &= \frac{1}{\pi} \int_0^\infty x^2 dx \int_0^\infty y^2 dy R_{ni}(x) R_{ni}(y) \\ &\times \int_{-1}^1 d\mu P_l(\mu) \mu \left( \frac{\sin G - G \cos G}{u^3} \right). \end{aligned} \quad (3.7)$$

For some values of  $\mu$  in the range  $|\mu| \leq 1$ , the variable  $v$  goes through zeros and thus  $G$  becomes singular. This causes the integrand in (3.9) to oscillate violently and makes the  $d\mu$  integration difficult. To avoid this problem in the actual numerical integration, we change the variables  $(\vec{x}, \vec{y})$  to  $(\vec{x}, \vec{t})$  where  $\vec{t} \equiv \vec{x} + \vec{y}$  and rewrite the  $M_{ni}^B$  in the form

$$\begin{aligned} M_{ni}^B &= \frac{1}{\pi} \int_0^\infty x^2 dx \int_0^\infty t^2 dt R_{ni}(x) \int_{-1}^1 R_{ni}(b) \frac{1}{d^3} \\ &\times (\sin G - G \cos G) (t\alpha - x) P_l(\mu) d\alpha, \end{aligned} \quad (3.8)$$

where

$$\begin{aligned} d &= (4x^2 + t^2 - 4xt\alpha)^{1/2}, \quad b = (t^2 + x^2 - 2xt\alpha)^{1/2}, \\ G &= 2d/t^{1/2}, \quad \mu = (t\alpha - x)/b. \end{aligned} \quad (3.9)$$

The form (3.8) and the corresponding expression for  $S_{ni}$  were used in the actual numerical calculations, and the result is given in Table I for the cases  $n=1, 2, 3$  and  $l=0$ . A semianalytic integration formula, which is useful for rapidly oscillating integrands, and the Newton-Cotes five-point formulas with varying mesh sizes are used to ascertain the accuracy of the triple integrations in (3.8). The actual values given in the table are ob-

TABLE I. Dipole transition probabilities and overlap integrals for the hydrogenic system, with  $n=1, 2, 3$  and  $l=0$ . The full projection operator  $\Lambda_B$  for all bound partial-wave states is used. The quantities with bar denote the exact values, in atomic units.

$n$	$\bar{M}_{n0}^A$	$\bar{M}_{n0}^B$	$\bar{M}_{n0}^C$	$M_{n0}^A$	$M_{n0}^B$	$M_{n0}^C$	$S_{n0}$
1	3.00	2.15	0.85	3.00	2.07 $\pm$ 0.01	0.93 $\pm$ 0.01	0.87 $\pm$ 0.02
2	42.00	39.30	2.70	42.00	39.6 $\pm$ 0.1	2.4 $\pm$ 0.1	0.95 $\pm$ 0.02
3	207.00	202.56	4.44	207.00	189 $\pm$ 6	18 $\pm$ 6	

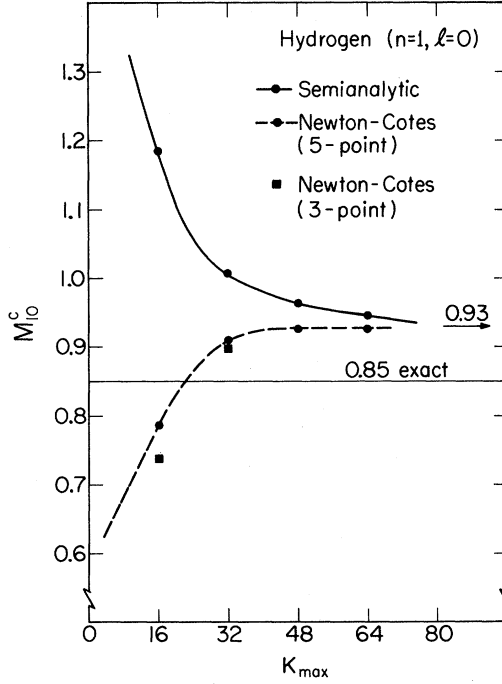


FIG. 1. Convergence of the  $M_{10}^{C0}$  integrations for the hydrogenic system. The angular integral in (3.8) is carried out using both the semianalytic method and the Newton-Cotes (five-point) integrations. The mesh size  $h$  is defined by  $h = 2/K_{\max}$ .

tained by rough extrapolations to the limit of zero mesh sizes (Fig. 1). The mesh size  $h$  is defined here by  $h = 2/K_{\max}$ ; the convergence was found to be extremely slow, and becomes worse as  $n$  was increased, especially in the  $d\alpha$  integration of (3.8).  $K_{\max}$  is the number of meshes in the angular integration.

We have encountered additional difficulties in the evaluation of  $M_{nl}^C$ , because of the severe cancellation between  $M_{nl}^A$  and  $M_{nl}^B$  for  $n > 1$ , where  $M_{nl}^A \approx M_{nl}^B \gg M_{nl}^C$ . This seems to be a peculiarity of the Coulomb problem under consideration. The difficulty could in principle be avoided by defining  $\Lambda_C$  directly in a form similar to (2.8), rather than through  $\Lambda_B$  as we have done in (2.14). When the order of integrations  $d^3p$  and  $du$  are interchanged, the resulting integral is then well defined. However, we are left with four-dimensional integrations which are difficult to carry out numerically. For the case  $n = 1$  and  $l = 0$ , we obtain  $M_{10}^C \approx 1.1 \pm 0.2$ .

#### IV. PROJECTION OPERATOR IN ONE DIMENSION (HARMONIC OSCILLATOR)

We consider in this section a one-dimensional harmonic-oscillator model. Aside from the simplification in the numerical analysis, the model involves a very simple dipole coupling scheme and also allows us to study the accuracy of  $\Lambda_B$  near

the classical turning point.

The parameters of the model are defined in the units  $m = \hbar = 1$ , by<sup>10</sup>

$$\frac{d^2\phi_n}{dx^2} + a^2 x^2 \phi_n = E_n \phi_n, \quad (4.1)$$

with

$$E_n = (2n+1)a, \quad n = 0, 1, 2, \dots$$

$$\phi_n(x) = \Lambda_n H_n[(a)^{1/2} x] e^{-(1/2)ax^2}, \quad (4.2)$$

$$\int_{-\infty}^{\infty} |\phi_n(x)|^2 dx = 1.$$

The dipole coupling produces

$$\left[ \int_{-\infty}^{\infty} \phi_n^*(x) x \phi_m(x) dx \right]^2 = \begin{cases} (n+1)/2a \equiv \bar{M}_{n,n+1} & \text{for } m = n+1 \\ n/2a \equiv \bar{M}_{n,n-1} & \text{for } m = n-1 \\ 0 & \text{otherwise.} \end{cases} \quad (4.3)$$

We let

$$\bar{M}_n = \bar{M}_{n,n+1} + \bar{M}_{n,n-1} = (2n+1)/2a. \quad (4.4)$$

The operator  $\bar{\Lambda}_B$  which projects onto all the states with energy  $E_n \leq E_C$  is

$$(x | \bar{\Lambda}_B | y) = \sum_{E_n \leq E_C} \phi_n(x) \phi_n^*(y). \quad (4.5)$$

The one-dimensional analog of (2.8) gives us the semiclassical approximation to (4.5):

$$(x | \Lambda_B | y) = \frac{1}{2\pi} \int_{-P}^P dp e^{ip(x-y)} = \frac{1}{\pi} \frac{\sin Pu}{u}. \quad (4.6)$$

Here

$$P^2(v) = 2E_C - a^2 v^2, \quad (4.7)$$

$$u = x - y, \quad v = \frac{1}{2}(x + y). \quad (4.8)$$

In addition to (4.6) we define

$$(x | \Lambda_B^\pm | y) = \begin{cases} (1/2\pi) \int_0^P dp \cos px \cos py, & \text{even} \\ (1/2\pi) \int_0^P dp \sin px \sin py, & \text{odd} \end{cases} \\ = (1/2\pi) [(\sin Pu)/u \pm (\sin 2Pv)/2v]. \quad (4.9)$$

We note that  $\Lambda_B$  and  $\Lambda_B^\pm$  vanish for  $|v| > v_C$ , where

$$v_C \equiv (2E_C/a)^{1/2}. \quad (4.10)$$

The quantities  $\Lambda_B^\pm$  would represent projection operators onto even (odd) states, corresponding to even (odd)  $n$  values, except for the wrong symmetry of Eqs. (4.7) and (4.8). We shall see, however, that for those cases considered below,  $\Lambda_B^\pm$  represent very good approximations to the projection operators on even (odd) states.

The quantities of interest are defined by

$$M_n^A = (n, x | x^2 | n, x), \quad (4.11)$$

TABLE II. Dipole transition probabilities  $M_n$  and overlap integrals  $S_n$  for the one-dimensional harmonic-oscillator model. The force constant  $a^2=0.45$  and the cutoff energy is chosen at the tenth level, with  $n_c=10$ . Both cases with  $\Lambda_B$  and  $\Lambda_B^\pm$  are considered.

$n$	Exact		$\Lambda_B$			$\Lambda_B^\pm$		
	$\bar{M}_n^A = \bar{M}_n^B$	$S_n$	$M_n^B$	$M_n^C$	$S_n^\pm$	$M_n^{B^\pm}$	$M_n^{C^\pm}$	$\bar{S}_n^\pm$
0	1.1111	1.0000	1.1111	0.0000	1.0000	1.1112	-0.0001	0.0000
1	3.3333	1.0000	3.3333	0.0000	1.0002	3.3323	0.0011	0.0000
2	5.5556	1.0000	5.5556	0.0000	0.9996	5.5593	-0.0038	0.0004
3	7.7778	1.0000	7.7774	0.0004	1.0007	7.8052	-0.0274	-0.0002
4	10.0000	1.0000	9.9968	0.0032	1.0074	9.7765	0.2235	-0.0074
5	12.2222	0.9994	12.2011	0.0212	0.9717	12.7276	-0.5054	0.0287
6	14.4444	0.9969	14.3345	0.1100	1.0196	14.070	0.374	-0.0227
7	16.6667	0.9952	16.2100	0.4566	1.0197	16.361	0.305	-0.0315
8	18.8889	0.9513	17.352	1.537	0.9931	17.945	0.944	-0.0418
9	21.1111	0.8584	16.884	4.227	0.9159	18.86	2.26	-0.0541
10	23.3333	0.6632	13.807	9.527	0.7678	18.69	4.64	-0.105

$$M_n^B = (n, x | x \Lambda_B y | n, y), \quad (4.12)$$

$$M_n^C = M_n^A - M_n^B, \quad (4.13)$$

$$S_n = (n, x | \Lambda_B | n, y), \quad (4.14)$$

and the corresponding integrals with  $\Lambda_B^\pm$  in the place of  $\Lambda_B$ . We denote them by  $M_n^{B^\pm}$ ,  $M_n^{C^\pm}$ , and  $S_n^\pm$ .

The dipole-coupling scheme of this model is very simple, and we have the exact values for comparison:

$$\bar{M}_n^A = \bar{M}_n^B, \quad (4.15)$$

$$\bar{M}_n^C = 0, \quad (4.16)$$

and

$$\bar{S}_n^\pm = 1 \text{ or } 0$$

depending on the symmetry of  $n$  and  $\Lambda_B^\pm$ ,

$$\bar{S}_n = 1.$$

The parameters of the model are chosen as

$$a^2 = 0.45, \quad (4.17)$$

$$2E_C = 2n_C + 1 \text{ with } n_C = 10,$$

which in turn gives the cutoff value

$$v_C = [(2n_C + 1)/a]^{1/2} \approx 5.6. \quad (4.18)$$

Table II contains the result of calculation for both cases in which  $\Lambda_B$  and  $\Lambda_B^\pm$  are used. The extra term in  $\Lambda_B^\pm$  causes both  $M_n^{B^\pm}$  and  $S_n^\pm$  to oscillate around their respective exact values, while the integrals with  $\Lambda_B$  give smoother variations in  $n$ . The deviations of  $M_n^B$ ,  $S_n$ ,  $M_n^{B^\pm}$ , and  $S_n^\pm$  are illustrated in Figs. 2 and 3. Also included in Table II is the overlap integral  $\bar{S}_n^\pm$  which was calculated using the wrong symmetry, that is,  $\Lambda_B^\pm$  for the case with  $n$  odd, and vice versa. Although the form of integrands in (4.9) is either symmetric or anti-symmetric under  $x \rightarrow -x$  (or  $y \rightarrow -y$ ),  $\Lambda_B^\pm$  itself does not have the definite symmetry because of the cut-

off (4.10). However, we expect that  $\bar{S}_n^\pm \approx 0$  for those  $n$  which are away from  $n_C$ , as given in the table. In fact, we have  $\bar{S}_n^\pm = S_n - S_n^\pm$ , and the exact value would of course be  $\bar{S}_n^\pm = 0$ .

#### V. PROJECTION OPERATORS ONTO RADIAL WAVE FUNCTIONS

The numerical calculation of the dipole transitions of Sec. III can be simplified by the construction of projection operators onto radial states.

Thus, the exact projection operator onto the bound

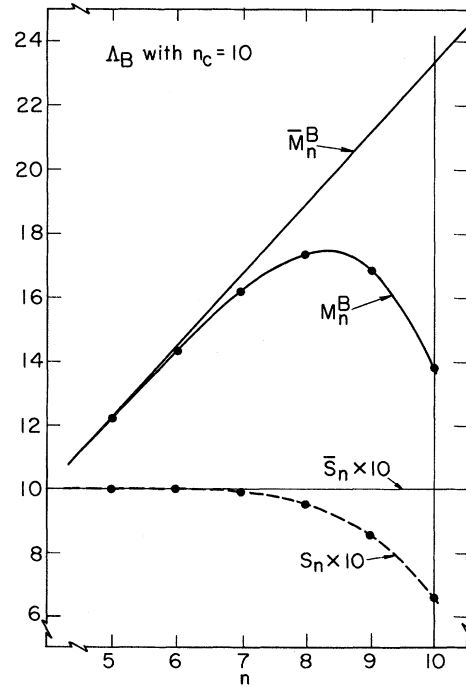


FIG. 2. Values of  $M_n^B$  and  $S_n$  for the one-dimensional harmonic-oscillator model are given near the cutoff  $n_c=10$ , where the projection operator  $\Lambda_B$  is used.

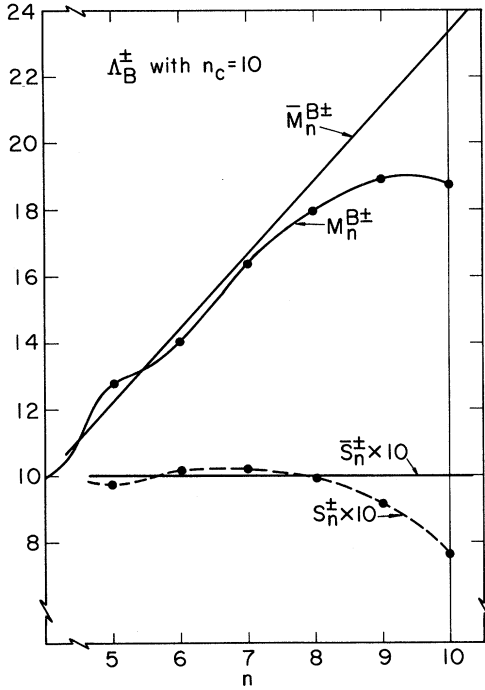


FIG. 3. Values of  $M_n^{B\pm}$  and  $S_n^{\pm}$  for the one-dimensional harmonic-oscillator model are given near the cutoff  $n_c=10$ , where the projection operator  $\Lambda_B^{\pm}$  is used.

Coulomb states corresponding to an angular momentum  $l$  is

$$\langle r | \bar{\Lambda}_B^l | r' \rangle = \sum_{n=i+1}^{\infty} R_{ni}(r) R_{ni}(r'). \quad (5.1)$$

To construct the semiclassical approximation to this, we proceed as follows.

The classical Hamiltonian for a particle with angular momentum  $L$  in a potential  $V(r)$  is

$$H = p^2/2m + L^2/2mr^2 + V(r), \quad (5.2)$$

where  $(p, r)$  are the momentum and canonical radial coordinates. For fixed  $L$ , if the energy is to be less than  $E$ , we must have the momentum  $p$  less than

$$P(r) = \{2m[E - L^2/2m^2 - V(r)]\}^{1/2}. \quad (5.3)$$

For the semiclassical approximation to  $\bar{\Lambda}_B^l$  we then write

$$\langle r | \Lambda_B^l | r' \rangle = (2/\pi) \int_0^{P(r)} dp p^2 j_l(pr) j_l(pr'), \quad (5.4)$$

with

$$v \equiv \frac{1}{2}(r+r'), \quad u \equiv (r-r'). \quad (5.5)$$

We note that  $\Lambda_B^l$  vanishes outside the classical turning points  $v_c$ , where

$$P(v_c) = 0. \quad (5.6)$$

It is consistent with the semiclassical approximation to use the asymptotic form of the spherical

Bessel functions. This leads to

$$\langle r | \Lambda_B^l | r' \rangle = (1/\pi r r') [(\sin Pu)/u - (-)^l (\sin 2Pu)/2v]. \quad (5.7)$$

To use this, we must relate  $L$  in Eq. (5.2) to  $l$ .

Two reasonable choices are

$$L^2 = l(l+1) \equiv L_Q^2, \quad (5.8a)$$

$$L^2 = (l + \frac{1}{2})^2 \equiv L_C^2. \quad (5.8b)$$

There is a strong temptation to drop the second term in brackets in (5.7), writing

$$\langle r | \Lambda_B^l | r' \rangle \approx (1/\pi r r') (\sin Pu)/u \equiv \Lambda_{B0}^l. \quad (5.9)$$

Later, we shall compare use of both expressions with some exact results.

For the projection operator onto all the bound states of the Coulomb field, we have  $E = 0$  and  $V = -1/r$  in Eq. (5.3). (We set  $m = e = \hbar = 1$ .) Then (5.6) gives

$$v_c = \frac{1}{2} L^2,$$

with  $\Lambda_B^l$  vanishing within the centrifugal barrier defined by  $v < v_c$ .

By performing the angular integrations, the quantity (3.2) can be reduced to the form

$$\begin{aligned} M_{ni}^B &= [(2l+1)]^{-1} \int_0^{\infty} x^3 dx \int_0^{\infty} y^3 dy \\ &\quad \times R_{ni}(x) R_{ni}(y) [l(x | \Lambda_B^{l-1} | y) + (l+1)(x | \Lambda_B^{l+1} | y)] \\ &\equiv [(2l+1)]^{-1} [l M_{ni-}^B + (l+1) M_{ni+}^B]. \end{aligned} \quad (5.10)$$

The corresponding quantity  $M_{ni\pm}^C$  is

$$M_{ni\pm}^C = M_{ni\pm}^A - M_{ni\pm}^B, \quad (5.11)$$

where  $M_{ni}^A$  is given by Eq. (3.6). In general,  $M_{ni+}^C \gg M_{ni-}^C$ , so that we have  $M_{ni}^C \approx M_{ni+}^C$ . Finally, the normalization integral  $S_{ni}$ , defined by Eq. (3.7), is

$$S_{ni} = \int_0^{\infty} x^2 dx \int_0^{\infty} y^2 dy R_{ni}(x) R_{ni}(y) (x | \Lambda_B^l | y). \quad (5.12)$$

We can also define analogous quantities using the approximation (5.9) and  $\Lambda_{B0}^l$ , and denote them as  $M_{ni\pm}^{B0}$ ,  $S_{ni\pm}^{C0}$ ,  $M_{ni\pm}^{C0}$ , etc.

As is clear from the above discussion, there are essentially two ambiguities in the present case; we could choose either (5.8a) or (5.8b) for the value of  $L^2$  and thus the cutoff  $v_c$ , and second we could use either (5.7) or (5.9) for  $\Lambda_B$  (and  $\Lambda_{B0}$ ). We have considered here all four possibilities. Table III contains the result of calculations with  $L^2 \approx L_C^2 = (l + \frac{1}{2})^2$  and both  $\Lambda_{B0}^{l+1}$  and  $\Lambda_B^{l+1}$ . We thus have  $M_{ni+}^{B0}$ ,  $M_{ni+}^B$ ,  $M_{ni+}^{C0}$ , and  $M_{ni+}^C$  corresponding to the transitions  $l \rightarrow l+1$ . The cases with  $L^2 \approx L_Q^2 = l(l+1)$  are considered in Table IV.

First of all, we should point out that, as in the three-dimensional Coulomb case studied in Sec. III,  $M_{ni}^A \gg M_{ni}^B \gg M_{ni}^C$  (Fig. 4). Therefore the accuracy of  $\Lambda_B$  and  $\Lambda_{B0}$  should be judged in terms of  $S_{ni}$  and

TABLE III. Hydrogenic dipole transition probabilities and overlap integrals using the radial projection operators  $\Lambda_{B0}^l$  and  $\Lambda_{B+}^l$  for the case  $l \rightarrow l+1$ . The choice  $L^2 \approx L_C^2 \equiv (l + \frac{1}{2})^2$  is used in the cutoff  $v_C$  and momentum  $P(v)$ .

$n l$	Exact values				$\Lambda_{B0}, L_C^2$		$\Lambda_B, L_C^2$		
	$\bar{M}_{nl}^A$	$\bar{M}_{nl+}^B$	$\bar{M}_{nl+}^C$	$S_{nl}$	$M_{nl+}^{B0}$	$M_{nl+}^{C0}$	$S_{nl}$	$M_{nl+}^B$	$M_{nl+}$
1 0	3.00	2.15	0.85	0.917	2.24	0.76	0.910	2.20	0.80
2 0	42.00	39.30	2.70	0.959	40.90	1.10	0.825	44.3	-2.3
3 0	207.00	202.56	4.44	0.972	205.59	1.41	1.059	190.4	16.6
4 0	648.0	642.7	5.3	0.979	646.5	1.5	0.943	668.9	-20.9
5 0	1575			0.983	1573.4	1.6	0.972	1581.5	-6.5
6 0	3255			0.985	3252.2	2.8	1.021	3151.5	103.5
2 1	30.00	27.62	2.38	0.965	26.52	3.48	0.890	27.6	2.4
3 1	180.00	174.54	5.46	0.980	176.7	3.3	0.899	181.6	-1.6
4 1	600.0	591.7	8.3	0.987	596.4	3.6	1.063	564.5	35.5
5 1	1500			0.990	1496.2	3.8	0.982	1536	-36
6 1	3148			0.991	3142.5	5.5	0.974	3174	-26

$M_{nl}^B$ , rather than by looking at the small  $M_{nl}^C$ . Second, the results in Tables III and IV are not very sensitive to the choices of  $L^2$ , so long as it is chosen judiciously. Incidentally, the cutoff for  $v < v_C$  partially corrects for the error caused by the use of asymptotic  $j_l$  in (5.7). Finally, we note that the extra term in  $\Lambda_B$  (i. e.,  $\Lambda_B - \Lambda_{B0}$ ) gives rise to small oscillations in  $M_{nl+}^C$ , while  $\Lambda_{B0}$  yields fairly smooth  $M_{nl+}^{C0}$ .

#### VI. APPROXIMATION TO GREEN'S FUNCTIONS

The reasonable accuracy of the semiclassical approximation for projection operators suggests a similar application to certain other operators. In the present section we discuss the construction of partially projected Green's functions by this technique.

We introduce the "Green's function"

$$\langle \vec{x} | \bar{G}_B | \vec{y} \rangle = \sum_s \chi_\lambda(\vec{x}) (E - E_\lambda)^{-1} \chi_\lambda^*(\vec{y}). \quad (6.1)$$

Here the  $E_\lambda$  are eigenvalues of the energy for states  $\chi_\lambda$ , members of a complete orthonormal set  $\{\chi_\lambda\}$ ,

and the sum extends over a subset  $s$  of these.

The semiclassical approximation to (6.1) may be obtained in exactly the same way as with (2.8) and (2.12). Thus, for all states with  $E_\lambda \leq E_c$  and potential  $V(x)$ , we define

$$P(v) = \{2m[E_c - V(v)]\}^{1/2} \quad (6.2)$$

and

$$E_\lambda - (p^2/2m) + V(v), \quad (6.3)$$

where  $\vec{v} \equiv \frac{1}{2}(\vec{x} + \vec{y})$  as before. Then, the operator  $G_B$  in the semiclassical approximation is given by

$$\langle \vec{x} | G_B | \vec{y} \rangle = \frac{1}{h^3} \int_{p \leq P} d^3p \frac{e^{i\vec{v} \cdot \vec{u}/h}}{E_c - (p^2/2m) - V(v)}, \quad (6.4)$$

where  $\vec{u} \equiv \vec{x} - \vec{y}$ . After the angular integrations, (6.4) becomes ( $m = \hbar = e^2 = 1$ )

$$\langle \vec{x} | G_B | \vec{y} \rangle = \frac{1}{\pi^2 u} \int_0^{P(v)} \frac{p dp \sin pu}{2E_c - p^2 - 2V(v)}, \quad (6.5)$$

which is the desired result. Analogously, the op-

TABLE IV. Hydrogenic dipole transition probabilities and overlap integrals using the radial projection operators  $\Lambda_{B0}^l$  and  $\Lambda_{B+}^l$  for the case  $l \rightarrow l+1$ . The choice  $L^2 \approx L_Q^2 \equiv l(l+1)$  is used in the cutoff  $v_C$  and momentum  $P(v)$ .

$n l$	Exact values				$\Lambda_{B0}, L_Q^2$			$\Lambda_B, L_Q^2$	
	$\bar{M}_{nl}^A$	$\bar{M}_{nl+}^B$	$\bar{M}_{nl+}^C$	$S_{nl}$	$M_{nl+}^{B0}$	$M_{nl+}^{C0}$	$S_{nl}$	$M_{nl+}^B$	$M_{nl+}^C$
1 0	3.00	2.15	0.85	0.947	2.35	0.65	0.981	2.26	0.74
2 0	42.00	39.30	2.70	0.968	41.02	0.98	0.829	44.66	-2.66
3 0	207.00	202.56	4.44	0.977	205.74	1.26	1.062	190.62	16.38
4 0	648.00	642.7	5.3	0.981	646.7	1.3	0.948	668.1	-20.1
5 0	1575			0.984	1573.6	1.4	0.972	1584.0	-9.0
6 0	3255			0.986	3252.5	2.5	1.023	3149	106
2 1	30.00	27.62	2.38	0.973	26.85	3.15	0.899	27.85	2.15
3 1	180.00	174.54	5.46	0.984	176.93	3.07	0.895	182.5	-2.5
4 1	600.0	591.7	8.3	0.990	596.7	3.3	1.062	564.6	35.4
5 1	1500			0.992	1496.5	3.5	0.985	1535	-35
6 1	3148			0.992	3142.8	5.2	0.974	3177	-29

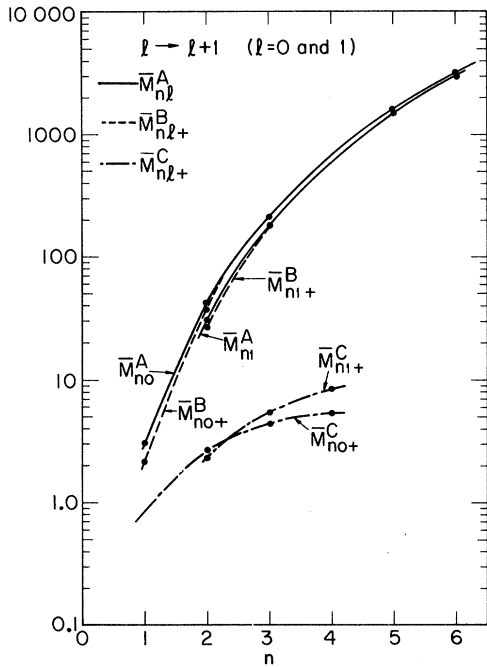


FIG. 4. Variations in  $n$  of the exact dipole transition probabilities of the hydrogenic system for the processes  $(n, l) \rightarrow$  (all states with  $l+1$ ),  $\rightarrow$  (all bound states with  $l+1$ ), and  $\rightarrow$  (all continuum states with  $l+1$ ). The cases with  $l=0$  and 1 are considered.

erator in the complementary space  $\bar{\mathfrak{S}} = 1 - \mathfrak{S}$  can also be given directly this time as

$$(\bar{\mathfrak{x}} | G_C | \bar{\mathfrak{y}}) = \frac{1}{\pi^2 u} \int_{P(v)}^{\infty} \frac{p dp \sin pu}{2E_c - p^2 - 2V(v)}, \quad (6.6)$$

which should be convergent at large  $p$  because of the Riemann-Lebesgue theorem. Of course, both  $G_B$  and  $G_C$  are defined only in the region of  $v$  such that  $P(v)$  is real.

Generalizations of (6.5) and (6.6) to operators of higher moments are obvious, as

$$(\bar{\mathfrak{x}} | G_B^\gamma | \bar{\mathfrak{y}}) = \frac{2^{\gamma-1}}{\pi^2 u} \int_0^{P(v)} \frac{p dp \sin pu}{[2E - p^2 - 2V(v)]^\gamma}, \quad \gamma \geq 0 \quad (6.7)$$

and the corresponding expression for  $G_C^\gamma$ .

There are many possible applications of the operators (6.7); for example, the adiabatic dipole and higher-multipole polarizabilities  $\alpha_l$  may be

evaluated<sup>11</sup> using (6.5), while the leading nonadiabatic corrections  $\beta_l$  to the adiabatic pseudopotentials in the low-energy electron-atom collisions involve operators with  $\gamma=2$ . The low-energy scattering parameters are known to be determined principally by these parameters.

The form (6.7) immediately suggests that the case with  $\gamma < 0$  may also be of interest, although special care is required in the direct evaluation of  $G_C^\gamma$  because of the convergence difficulties at large values of  $p$ . Thus, we have

$$(\bar{\mathfrak{x}} | F_B^\delta | \bar{\mathfrak{y}}) = \frac{1}{\pi^2 u (2)^{\delta+1}} \int_0^{P(v)} p dp \sin pu (2E - p^2 - 2V)^\delta. \quad (6.8)$$

The case with  $\delta=1$  may be used, for example, in the calculation of the partially projected oscillator strength. The main advantage of dealing with the operators in the restricted set  $\mathfrak{S}$  or  $\bar{\mathfrak{S}}$  is that the contributions from each state in that set are all of the same sign, often resulting in some type of bound property.

Some insight into the nature of the approximation (6.4) can be obtained by using it to construct the actual Green's function  $G^*$ , obtained by setting  $P = \infty$ , and using the proper retarded boundary condition.<sup>12</sup> This gives

$$(\bar{\mathfrak{x}} | G^* | \bar{\mathfrak{y}}) = -[M/(2\pi u)] e^{i u K(v)}, \quad (6.9)$$

where

$$K(v) = \{2M[E - V(v)]\}^{1/2}. \quad (6.10)$$

The corresponding expression for  $G^*$  in the straight-line eikonal approximation<sup>13</sup> [which is certainly more accurate than (6.9)] is

$$(\bar{\mathfrak{x}} | G^* | \bar{\mathfrak{y}}) = -\frac{M}{2\pi u} \exp[i \int_{\bar{\mathfrak{y}}}^{\bar{\mathfrak{x}}} K(r) ds(\bar{\mathfrak{r}})]. \quad (6.11)$$

Here the path integral is taken on the straight line joining points  $\bar{\mathfrak{y}}$  and  $\bar{\mathfrak{x}}$ .

We see that (6.9) corresponds to approximating the eikonal integral as

$$\int_{\bar{\mathfrak{y}}}^{\bar{\mathfrak{x}}} K(r) ds(\bar{\mathfrak{r}}) \cong |\bar{\mathfrak{x}} - \bar{\mathfrak{y}}| K(\frac{1}{2}|\bar{\mathfrak{x}} + \bar{\mathfrak{y}}|). \quad (6.12)$$

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## Resonance-Narrowed-Lamb-Shift Measurement in Hydrogen, $n=3$ <sup>†</sup>

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A new measurement of the Lamb shift in the  $n=3$  state of atomic hydrogen is reported. The experiment was performed in zero magnetic field with a fast hydrogen beam. The radio-frequency spectroscopy measurements were made with both a single oscillating field and two separated oscillating fields. The final result for the Lamb shift was  $S(H, n=3) = (314.819 \pm 0.048)$  MHz. In a further experiment, the separated-oscillating-field technique was used to narrow the resonance so that the linewidth was less than the natural linewidth.

### I. INTRODUCTION

Precise measurements of the Lamb shift in hydrogenic atoms give one of the most sensitive tests of quantum electrodynamics.<sup>1</sup> The presently accepted theoretical value for the Lamb shift in the  $n=2$  state of hydrogen agrees very well with the most recent measurements; it is calculated with a precision which is a factor of 5 greater than the experimental precision achieved to date.<sup>2,3</sup> Despite extensive experimental work, the precision of the measured value for the Lamb shift in the  $n=2$  state of hydrogen has not been improved over that obtained by Lamb and his co-workers 20 years ago.<sup>4,5</sup> The new measurements significantly disagree with the older measurements.<sup>5,6</sup>

In these Lamb-shift measurements the width of the resonance is greater than or equal to the natural linewidth and it is determined essentially by the lifetime of the short-lived  $P$  state. Obtaining the stated one-standard-deviation precision requires determining the center of the resonance line to six parts in  $10^4$  of the natural linewidth. The natural linewidth imposes a fundamental limitation on the obtainable precision and a significant reduction of the experimental uncertainty will require a comparable reduction in linewidth.

This paper reports a measurement of the Lamb shift in the  $n=3$  state of atomic hydrogen by a tech-

nique which uses the method of separated oscillating fields to narrow the resonance.<sup>7,8</sup> This technique was also used to show the feasibility of reducing the linewidth to less than the natural linewidth by selecting those atoms in which the  $P$  state lives longer than a mean life. The paper deals in succession with the principle of the experiment, the theory of the line shape, the apparatus, and the results.

### II. PRINCIPLE OF THE EXPERIMENT

The reduction of the linewidth was performed with a technique familiar to molecular-beam spectroscopy which is known as the Ramsey separated-oscillating-field method.<sup>9</sup> The single Rabi-type interaction region used in earlier Lamb-shift measurements was replaced by two coherent rf fields separated by the distance  $L$ . Between the two rf regions the atoms spend a time  $T=L/v$  in a field-free region. The linewidth is determined by  $T$  and the time spent in the two rf transition regions. The line can be made arbitrarily narrow by making  $T$  very long. Because of an interference effect between the  $S$ - and  $P$ -state wave functions, even when  $T=0$  the linewidth is narrower than for a conventional Rabi-type rf system with the same over-all length. Since the mean life of a  $P$  state is quite short and the number of  $P$  states available for the interference measurement decreases as  $e^{-\gamma_p T/2}$ , where  $\gamma_p$  is the decay constant for the  $P$  state, the