

**Closed-form solutions for the production of ions in the collisionless ionization of gases by intense lasers**

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We derive in closed form the solutions to the rate equations for sequential field ionization of gases by a focused laser beam. We obtain the low-intensity and the high-intensity limits of the ion yield for each charge state produced by the laser pulse. Furthermore we derive the scaling laws for the appearance intensity and the saturation intensity of the ion yield. We find that the appearance intensity depends not only on the binding energy of the ionized electron but also on the quantum numbers of the shell from which the electron ionizes. We interpret this dependence on the quantum number to be the species dependence in the appearance intensities discussed by Meyerhofer and co-workers [Phys. Rev. Lett. **63**, 2212 (1989)]. Formulas for five ionization models are presented.

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**I. INTRODUCTION**

Although the equations for calculating the ion yield in the ionization of collisionless gases by lasers appear to be simple, no one has yet solved them in closed form. The absence of closed-form solutions severely limits our ability: (i) to predict ion yields for new sets of parameters, (ii) to test the atomic models of ionization, and (iii) to improve these models. Their absence also hampers our ability to use the ionization process for practical applica-

tions. For example, closed-form solutions enable us to examine appearance and threshold intensities for ion production easily and to detect any significant deviations from the sequential prediction. Since the ionization of gases is essential for collisionless-recombination lasers [1], relativistic propagation of ionization fronts [2], and competes with the production of higher-order harmonics in optical harmonic generation [3,4], our closed form solutions may also be applicable to investigations in these fields of physics.

TABLE I. Scaling laws and formulas for the simple-atom and cycle-averaged simple-atom models.

Quantity	Simple-atom model	Cycle-averaged simple-atom model
$\Gamma$	$\gamma \frac{1}{F_k} \exp \left[ -\frac{1}{F_k} \right]$	$\gamma \left[ \frac{2}{\pi} \right] \left[ \frac{1}{F_k} \right] K_0 \left[ \frac{1}{F_k} \right]^a$
$\gamma$	$6\omega_0 E_k$	$6\omega_0 E_k$
$\phi$	$\gamma \left[ \frac{2\tau}{1.76} \right] \left[ \frac{1}{F_k} \right] K_1 \left[ \frac{1}{F_k} \right]^a$	$\gamma \left[ \frac{2\tau}{1.76} \right] \exp \left[ -\frac{1}{F_k} \right]$
$N_{k+1}$	$\gamma \left[ \frac{2\tau}{1.76} \right] (\pi\rho V_0) (F_k + F_k^2 + F_k^3) \exp \left[ -\frac{1}{F_k} \right]$	$\gamma \left[ \frac{2\tau}{1.76} \right] (2\rho V_0) \left[ 3F_k^2 K_1 \left[ \frac{1}{F_k} \right] + F_k K_0 \left[ \frac{1}{F_k} \right] + 2Ki_1 \left[ \frac{1}{F_k} \right] \right]^b$
$F_{k+1}^{thres}$	$\frac{2}{3} E_k^{3/2} / \ln \left[ \frac{\gamma \left[ \frac{2\tau}{1.76} \right] \left[ \frac{\pi}{2} \right]^{1/2}}{0.01} \right]$	$\frac{2}{3} E_k^{3/2} / \ln \left[ \frac{\gamma \frac{2\tau}{1.76}}{0.01} \right]$
$F_k^{sat}$	$\frac{2}{3} E_k^{3/2} / \ln \left[ \frac{\gamma \left[ \frac{2\tau}{1.76} \right] \left[ \frac{\pi}{2} \right]^{1/2}}{4.605} \right]$	$\frac{2}{3} E_k^{3/2} / \ln \left[ \frac{\gamma \frac{2\tau}{1.76}}{4.605} \right]$
$F_{k+1}^{ap}$	$\frac{2}{3} E_k^{3/2} / \ln \left[ \frac{\gamma \left[ \frac{2\tau}{1.76} \right] (\pi\rho V_0)}{N_{ap}} \right]$	$\frac{2}{3} E_k^{3/2} / \ln \left[ \frac{\gamma \left[ \frac{2\tau}{1.76} \right] (2\rho V_0) \left[ 2 \left[ \frac{\pi}{2} \right]^{1/2} \right]}{N_{ap}} \right]$

<sup>a</sup> $K_1$  and  $K_0$  are the modified Bessel functions of the second kind of the order of 1 and 0.

<sup>b</sup> $Ki_1$  is the repeated integral of  $K_0$  of the order of 1, Sec. 11.2 of Ref. [18].

TABLE II. Scaling laws and formulas for the complex-atom and cycle-averaged complex-atom models.

Quantity	Complex-atom model	Cycle-averaged complex-atom model
$\Gamma$	$\gamma \left( \frac{1}{F_k} \right)^{P+1} \exp \left[ -\frac{1}{F_k} \right]^a$	$\gamma \left( \frac{2}{\pi} \right) \left[ -\frac{\partial}{\partial \beta} \right]^{P+1} \frac{1}{\beta} \left[ \frac{\beta}{F_k} \right] K_0 \left[ \frac{\beta}{F_k} \right]$
$\gamma$	$\omega_0 \bar{C}_{nl}^2 G_{lm} \frac{E_k}{2}^b$	$\omega_0 \bar{C}_{nl}^2 G_{lm} \frac{E_k}{2}^b$
$\phi$	$\gamma \left( \frac{2\tau}{1.76} \right) \left[ -\frac{\partial}{\partial \beta} \right]^P \frac{1}{\beta} \left[ \frac{\beta}{F_k} \right] K_1 \left[ \frac{\beta}{F_k} \right]$	$\gamma \left( \frac{2\tau}{1.76} \right) \left[ -\frac{\partial}{\partial \beta} \right]^P \frac{1}{\beta} \exp \left[ -\frac{\beta}{F_k} \right]$
$N_{k+1}$	$\gamma \left( \frac{2\tau}{1.76} \right) (\pi \rho V_0) \left[ -\frac{\partial}{\partial \beta} \right]^P$ $\times \frac{1}{\beta} \left[ \frac{F_k^1}{\beta^1} + \frac{F_k^2}{\beta^2} + \frac{F_k^3}{\beta^3} \right] \exp \left[ -\frac{\beta}{F_k} \right]$	$\gamma \left( \frac{2\tau}{1.76} \right) (2\rho V_0) \left[ -\frac{\partial}{\partial \beta} \right]^P \frac{1}{\beta} \left[ 3 \left( \frac{F_k}{\beta} \right)^2 K_1 \left[ \frac{\beta}{K_k} \right] \right.$ $\left. + \frac{F_k}{\beta} K_0 \left[ \frac{\beta}{F_k} \right] + 2 K_{i1} \left[ \frac{\beta}{F_k} \right] \right]$
$F_{k+1}^{\text{thres}}$	$\frac{2}{3} E_k^{3/2} / \ln \left[ \frac{\gamma \left( \frac{2\tau}{1.76} \right) \left[ \frac{\pi}{2} \right]^{1/2}}{0.01} \right]$	$\frac{2}{3} E_k^{3/2} / \ln \left[ \frac{\gamma \frac{2\tau}{1.76}}{0.01} \right]$
$F_k^{\text{sat}}$	$\frac{2}{3} E_k^{3/2} / \ln \left[ \frac{\gamma \left( \frac{2\tau}{1.76} \right) \left[ \frac{\pi}{2} \right]^{1/2}}{4.605} \right]$	$\frac{2}{3} E_k^{3/2} / \ln \left[ \frac{\gamma \frac{2\tau}{1.76}}{4.605} \right]$
$F_{k+1}^{\text{ap}}$	$\frac{2}{3} E_k^{3/2} / \ln \left[ \frac{\gamma \left( \frac{2\tau}{1.76} \right) (\pi \rho V_0)}{N_{\text{ap}}} \right]$	$\frac{2}{3} E_k^{3/2} / \ln \left[ \frac{\gamma \left( \frac{2\tau}{1.76} \right) (2\rho V_0) \left[ 2 \left[ \frac{\pi}{2} \right]^{1/2} \right]}{N_{\text{ap}}} \right]$

<sup>a</sup> $P = 2n - |m| - 2$ .

<sup>b</sup>Equations (28) and (29) of text.

We have examined five ionization models and have found closed-form solutions for them. These solutions give scaling laws for the appearance intensity as a function of the ionization potential. The scaling laws derived from the static complex-atom model [5,6] and its cycle-averaged variant [5,6] agree with the measured [7–9] appearance intensities better than the scaling laws of the other three examples. Furthermore, the scaling laws for these two models predict the species dependence of the measured appearance intensities for noble gases.

Augst *et al.* [7] have argued intuitively that laser suppression of the Coulomb barrier predicts the species dependence. On the other hand, Gibson, Luk, and Rhodes [8] have argued that barrier suppression could not be the reason for the species dependence because the suppression of the Coulomb barrier yields unrealistically large rates. They concluded that the barrier-suppression model works because of a fortuitous cancellation of errors. We show the species dependence to be a dependence on the quantum numbers of the valence shell from which the electron is removed. This dependence on the quantum numbers arises in the complex-atom model because the rate at which an atom or ion ionizes in this model depends not only on the binding energy of the electron but also on the initial state of the electron.

We also derive saturation intensities from our closed-form solutions. The saturation intensity  $I_{\text{sat}}$  is where the

growth in the ion-yield curve levels off to a  $\frac{3}{2}$  power law in intensity. In contrast to experimentally defined appearance intensities, saturation intensities are independent of the ambient gas density. Raising the gas density shifts the ion yield versus intensity curve upwards but does not alter the shape of the curve. If the goal is to use ion yields to measure intensities [10], then the saturation threshold may be a better indicator of intensity than the detection threshold; the density independence of the saturation threshold eliminates an experimental uncertainty.

Tables I–III show our results for five rate laws. The five cases are the following: (i) the static simple atom [11], (ii) the cycle-averaged simple atom, (iii) the complex atom [5,6], (iv) the cycle-averaged complex atom [5,6], and (v) the multiphoton-ionization (MPI) model [12]. We derive only the results for the static simple atom because the reader can easily use the integration technique, provided in Sec. IV, to derive the formulas for cases 2–4. The form of the MPI rate law is different from the other four so the technique is not applicable. However, the MPI rate law is simple enough to permit derivation of the corresponding formulas by direct integration.

## II. THE RATE EQUATIONS

We assume that the ambient gas density is low enough that recombination and collisional ionization occur on a

TABLE III. Scaling laws and formulas for the multiphoton-ionization model.

Quantity	Multiphoton-ionization model
$\Gamma$	$\gamma F_k^{2n a}$
$\gamma$	$6\omega_0 E_k (2n+1)^{2n+1} e^{-(2n+1)b}$
$\phi$	$\gamma \left[ \frac{\tau}{1.76} \right] B(\frac{1}{2}, n) F_k^{2n c}$
$N_{k+1}$	$\gamma \left[ \frac{\tau}{1.76} \right] B(\frac{1}{2}, n) \frac{3\pi}{n} \frac{(2n-5)!!}{(2n-4)!!} \rho V_0 F_k^{2n}$
$F_{k+1}^{\text{thres}}$	$\frac{2}{3} E_k^{3/2} / \left[ \frac{\gamma \left[ \frac{\tau}{1.76} \right] B(\frac{1}{2}, n)}{0.01} \right]^{1/2n}$
$F_k^{\text{sat}}$	$\frac{2}{3} E_k^{3/2} / \left[ \frac{\gamma \left[ \frac{\tau}{1.76} \right] B(\frac{1}{2}, n)}{4.605} \right]^{1/2n}$
$F_{k+1}^{\text{ap}}$	$\frac{2}{3} E_k^{3/2} / \left[ \frac{\gamma \left[ \frac{\tau}{1.76} \right] B(\frac{1}{2}, n) \frac{3\pi}{n} \frac{(2n-5)!!}{(2n-4)!!} (\rho V_0)}{N_{\text{ap}}} \right]^{1/2n}$

<sup>a</sup> $n$  is the number of photons required to ionize the atom.

<sup>b</sup> $\gamma$  was chosen so that the MPI rate law joins smoothly to the simple-atom rate law.

<sup>c</sup> $B(u, v) = \Gamma(u)\Gamma(v)/\Gamma(u+v)$ , Eqs. (3.512.2) and (8.384.1) of Ref. [19].

time scale that is greater than the width of the laser pulse and any drift time to a detector. We also assume that ionization occurs sequentially [13]. This model ignores multiple ionization processes in which two or more electrons detach simultaneously (or almost simultaneously) from the atom or from the parent ion. Although some evidence exists for these higher-order processes [14,15], sequential mechanisms describe the bulk of the ion yield [7–9,12,16,17]. The rate equations for sequential ionization under collisionless conditions are

$$\begin{aligned}
 \frac{dp_0}{dt} &= -\Gamma_0 p_0, \\
 \frac{dp_1}{dt} &= -\Gamma_1 p_1 + \Gamma_0 p_0, \\
 \frac{dp_2}{dt} &= -\Gamma_2 p_2 + \Gamma_1 p_1, \\
 &\dots \\
 \frac{dp_n}{dt} &= \Gamma_{n-1} p_{n-1}.
 \end{aligned} \tag{1}$$

Here  $p_k$  represents the probability that the atom is  $k$  times ionized. The rate coefficients  $\Gamma_k$  depend implicitly on both space and time, and are given for example by the dc tunneling formula [11] (which Gibson, Luk, and Rhodes [8] call the static simple atom cases),

$$\Gamma_k = (6\omega_0 E_k) \left[ \frac{\frac{2}{3} E_k^{3/2}}{F} \right] \exp \left[ -\frac{\frac{2}{3} E_k^{3/2}}{F} \right]. \tag{2}$$

$E_k$  is the twice the ionization potential, the atomic unit of frequency  $\omega_0$  is equal to  $4.1 \times 10^{16} \text{ sec}^{-1}$ , and  $F$  represents the space- and time-dependent electric field of the laser. Although we use atomic units in the formulas, we plot the figures in this paper in eV, cm<sup>3</sup>, and W/cm<sup>2</sup>. Furthermore the numerical examples given in this paper are specifically for argon. We assume that the laser intensity is a focused beam of Gaussian spatial profile with a squared hyperbolic-secant time envelope. The electric field is then

$$\begin{aligned}
 F = & \frac{F_0}{\left[ 1 + \left( \frac{\lambda z}{\pi w_0^2} \right)^2 \right]^{1/2}} \exp \left[ -\frac{r^2/w_0^2}{1 + \left( \frac{\lambda z}{\pi w_0^2} \right)^2} \right] \\
 & \times \text{sech} \left[ 1.76 \frac{t}{\tau} \right].
 \end{aligned} \tag{3}$$

Here  $F_0$  is the peak strength of the electric field,  $\lambda$  is the laser wavelength,  $w_0$  is the  $1/e$  radius of the focal spot, and  $\tau$  is the full width at half maximum of the pulse.

#### A. Closed-form solutions

The formal solution of Eq. (1) at a fixed point in space is

$$\begin{aligned}
 p_0(t) &= \exp[-\phi_0(t)], \\
 p_1(t) &= \exp[-\phi_1(t)] \int_{-\infty}^t \exp[\phi_1(s)] \Gamma_0(s) p_0(s) ds, \\
 p_2(t) &= \exp[-\phi_2(t)] \int_{-\infty}^t \exp[\phi_2(s)] \Gamma_1(s) p_1(s) ds, \\
 &\dots \\
 p_{n-1}(t) &= \exp[-\phi_{n-1}(t)] \int_{-\infty}^t \exp[\phi_{n-1}(s)] \\
 &\quad \times \Gamma_{n-2}(s) p_{n-2}(s) ds, \\
 p_n(t) &= \int_{-\infty}^t \Gamma_{n-1}(s) p_{n-1}(s) ds,
 \end{aligned} \tag{4}$$

where  $\phi_k$  is the running integral of  $\Gamma_k$ ,

$$\phi_k(t) = \int_{-\infty}^t \Gamma_k(t') dt'. \tag{5}$$

It is generally impossible to solve Eq. (4) beyond  $p_0$  in closed form because the higher indexed  $p_k$  are multiple integrals of  $p_0$ . Figure 1 shows the numerical integration of Eq. (4) for the laser parameters of  $I_0 = 3 \times 10^{16} \text{ W/cm}^2$ ,  $\lambda = 616 \text{ nm}$ ,  $w_0 = 7 \text{ }\mu\text{m}$ , and  $\tau = 125 \text{ fs}$ . The time evolution of the ionizing laser pulse, also shown in Fig. 1, reconfirms Lambropoulos's assertion [12] that the rising edge of the intense laser pulse creates the lower charge states.

Figure 1 graphically illustrates the sequential nature of Eq. (1). The low temporal overlap of the probabilities means that the charge states exist sequentially in time, and allows us to simplify Eq. (4). The integrals in Eq. (4) are difficult to solve because they require the probabilities of the lower charge states. For example, solving for  $p_{k-1}$

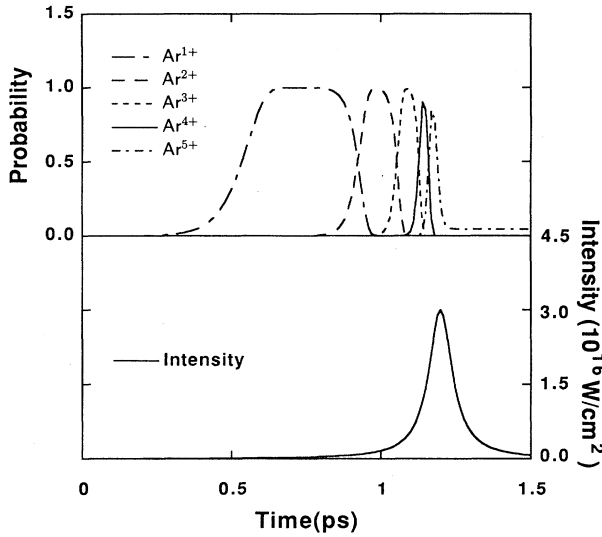


FIG. 1. The time evolution of the ionization state of argon at a fixed point in space with the ionizing laser pulse below. These curves illustrate graphically the sequential ionization process assumed in Eq. (1). They show that the charge states exist in almost nonoverlapping periods of time.

involves the subset of equations for the lower charge states  $\{k-2, k-3, \dots, 0\}$ . However, it is not necessary to use the entire subset because the temporal separation implies that the probabilities of the adjacent charge states contain the greatest contribution to  $p_{k-1}$ . Furthermore it is sufficient to use only the decaying edge of the lower charge-state probability. Since  $p_{k-1}$  can be accurately represented by its decaying edge  $\exp(-\phi_{k-1})$  in the integrand of  $p_k$  in Eq. (6), the substitution of  $\exp(-\phi_{k-1})$  for  $p_{k-1}$  in the right-hand side of Eq. (4) yields

$$\begin{aligned}
 p_0(t) &= \exp[-\phi_0(t)], \\
 p_1(t) &= \exp[-\phi_1(t)] \int_{-\infty}^t \exp[\phi_1(s)] \Gamma_0(s) \\
 &\quad \times \exp[-\phi_0(s)] ds, \\
 p_2(t) &\approx \exp[-\phi_2(t)] \int_{-\infty}^t \exp[\phi_2(s)] \Gamma_1(s) \\
 &\quad \times \exp[-\phi_1(s)] ds, \\
 &\dots \\
 p_{n-1}(t) &\approx \exp[-\phi_{n-1}(t)] \int_{-\infty}^t \exp[\phi_{n-1}(s)] \Gamma_{n-2}(s) \\
 &\quad \times \exp[-\phi_{n-2}(s)] ds, \\
 p_n(t) &\approx \int_{-\infty}^t \Gamma_{n-1}(s) \exp[-\phi_{n-1}(s)] ds.
 \end{aligned} \tag{6}$$

Equation 6 is an exact solution for  $p_0$  and  $p_1$  but is an approximation for the other probabilities.

Equation 6 cannot be evaluated in closed form for an arbitrary laser-pulse envelope. However, for narrow laser pulses, a very good representation for the final probability at the extinction of the pulse is

$$\begin{aligned}
 p_0(\infty) &= \exp(-\phi_0), \\
 p_1(\infty) &\approx \phi_0 \frac{\exp(-\phi_0) - \exp(-\phi_1)}{\phi_1 - \phi_0}, \\
 p_2(\infty) &\approx \phi_1 \frac{\exp(-\phi_1) - \exp(-\phi_2)}{\phi_2 - \phi_1}, \\
 &\dots \\
 p_{n-1}(\infty) &\approx \phi_{n-2} \frac{\exp(-\phi_{n-2}) - \exp(-\phi_{n-1})}{\phi_{n-1} - \phi_{n-2}}, \\
 p_n(\infty) &\approx 1 - \exp(-\phi_{n-1}),
 \end{aligned} \tag{7}$$

where

$$\begin{aligned}
 \phi_k &\equiv \phi_k(\infty) \\
 &= (6\omega_0 E_k) \int_{-\infty}^{+\infty} dt \frac{\frac{2}{3} E_k^{3/2}}{F} \exp\left[-\frac{\frac{2}{3} E_k^{3/2}}{F}\right] \\
 &= (6\omega_0 E_k) \left[ \frac{2\tau}{1.76} \right] \frac{2E_k^{3/2}}{3F} K_1 \left[ \frac{2E_k^{3/2}}{3F} \right]
 \end{aligned} \tag{8}$$

is the total area under the rate curve. Equation 8 results from the integration of the dc tunneling rate for the hyperbolic secant pulse of Eq. (3) over all time.  $K_1$  in Eq. (8) is the modified Bessel function of the order of 1 [18]. Table I–III give the results for the other rate laws. Figures 2(a) and 2(b) compare Eq. (7) and the results of numerically integrating Eq. (1). We can find no discernible difference between them, and we show in Appendix A that the global error in Eq. (7) is exponentially small.

We can understand intuitively how Eq. (7) follows from Eq. (6) by assuming the rates to be narrow square functions of time. We use  $\tau$  in Eq. (8) as the widths of the effective square functions, and we use the factors multiplying  $\tau$  as the heights of the effective square functions. We may also interpret the height of the effective square function  $H_k$  as the averaged rate for ionizing charge state  $k$  by a square laser pulse of width  $\tau$ . The substitution  $H_k \tau$  for  $\phi_k$  in Eq. (7) would be the result of the substitution and the integration of these square functions in Eq. (6). In Appendix B we prove that the value of every  $p_k$  in Eq. (7) is between zero and one. Thus we may still interpret  $p_k$  in Eq. (7) as the probability for the charge state  $k$  to be present at a given laser intensity.

Equation (7), being the solution to the rate equations of Eq. (1), is a critical formula for two reasons. First, it reduces the time for calculation of the ion yield. The rate equations are stiff differential equations because the rate coefficients differ by many orders of magnitude at each time and because the rate coefficients are very rapidly varying implicit functions of time (according to the laser-pulse envelope); therefore, the numerical integration of Eq. (1) requires small time steps, and is the most time consuming part of the calculation for an ion yield. Second, we can deduce the threshold intensity  $I_{\text{thres}}$ .

For a given point in space, Eq. (7) gives the final ion balance as a function of intensity. It describes how the population of charge state  $k$  ( $p_1$ , for example, in Fig. 2) increases and diminishes with intensity. The intensities

marking the onset and extinction of  $p_1$  bear heavily on the shape of the ion-yield curve for the singly ionized atom because we obtain the yield by integrating  $p_1$  of Eq. (7) over all space. Let us call the intensity corresponding to the onset of  $p_1$  the threshold intensity, and the intensity corresponding to the extinction of  $p_1$  the saturation intensity. We will justify this nomenclature below.

### B. Threshold and saturation intensities

To determine the threshold and saturation intensities of  $p_{k+1}$  in Eq. (7), we need to know the behavior of  $\phi_k$

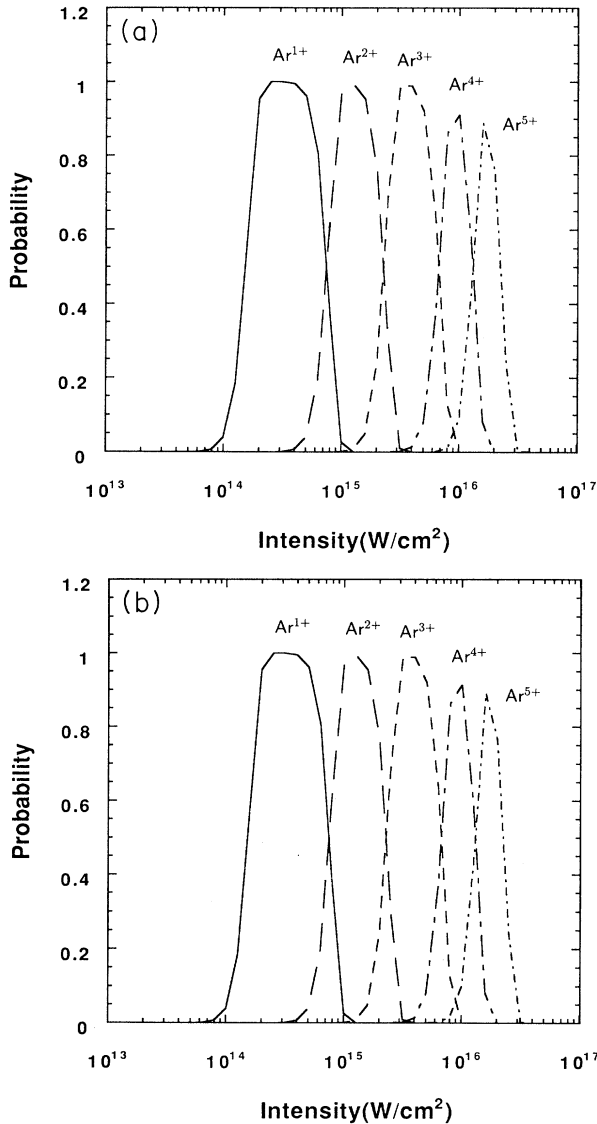


FIG. 2. (a) The numerically calculated ion balance of charge states  $\text{Ar}^{1+}$ ,  $\text{Ar}^{2+}$ ,  $\text{Ar}^{3+}$ ,  $\text{Ar}^{4+}$ , and  $\text{Ar}^{5+}$  [final probability of Eq. (4)] is plotted as a function of intensity. (b) The closed-form solution [Eqs. (7) and (8)] to Eq. (1) giving the ion balance of charge states  $\text{Ar}^{1+}$ ,  $\text{Ar}^{2+}$ ,  $\text{Ar}^{3+}$ ,  $\text{Ar}^{4+}$ , and  $\text{Ar}^{5+}$ . It agrees almost perfectly with the numerically calculated results of Fig. 2(a).

with respect to the field strength. Figure 3 shows that  $\phi_0$ , for example, increases monotonically with increasing field strength in the manner of a “smoothed” Heaviside step function. For small field strengths, both  $\phi_k$  and  $\phi_{k+1}$  are small [see Fig. 3 or use the asymptotic limit of the Bessel function in Eq. (8) to determine more precisely that they are both of order  $\exp(-2E^{3/2}/3F)$ ]. Therefore  $p_{k+1}$  is approximately equal to  $\phi_k$  because the ratio  $[\exp(-\phi_k) - \exp(-\phi_{k+1})]/[\phi_{k+1} - \phi_k]$  is close to one,

$$p_{k+1} = \phi_k \left[ 1 + \frac{1}{2}(\phi_k + \phi_{k+1}) + \dots \right] \geq \phi_k$$

$$= (6\omega_0 E_k) \left[ \frac{2\tau}{1.76} \right] \frac{2E_k^{3/2}}{3F} \left[ \frac{\pi}{2} \frac{3F}{2E_k^{3/2}} \right]^{1/2}$$

$$\times \exp \left[ -\frac{2E_k^{3/2}}{3F} \right], \quad (9)$$

where we substitute the asymptotic limit of  $K_1$  for  $\phi_k$  in Eq. (9). The exponential in Eq. (9) gives the rising edge of  $p_{k+1}$ , and it shows that  $p_{k+1}$  rises steeply from small  $F$ . According to Eq. (9),  $p_{k+1}$  is identically zero only for  $F$  equal to zero; however,  $p_{k+1}$  decreases exponentially for small  $F$ . We define the threshold field strength to be the value where  $p_{k+1}$  is equal to 1%. Solving Eq. (9) for the threshold field strength gives

$$F_{k+1}^{\text{thres}} = \frac{\frac{2}{3}E_k^{3/2}}{\ln \left[ \frac{(6\omega_0 E_k)(2\tau/1.76)\sqrt{\pi/2}}{0.01} \right]}. \quad (10)$$

If  $p_{k+1}$  had been one-tenth of 1%, then 0.001 would replace the 0.01 in the denominator of the logarithm’s argument in Eq. (10). The threshold field strength is rela-

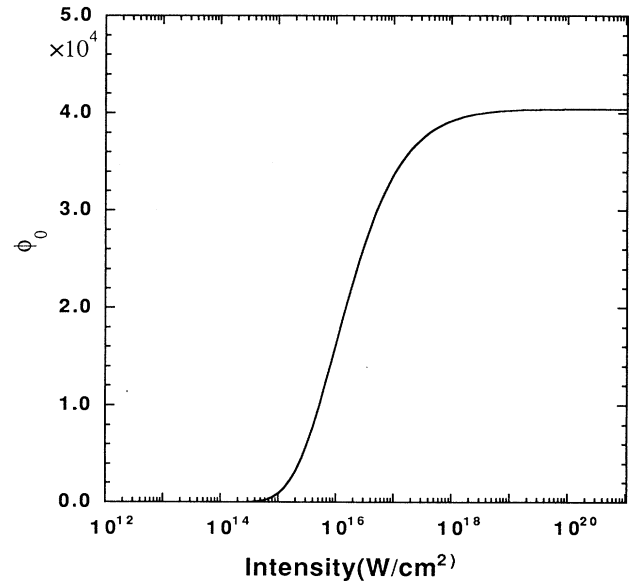


FIG. 3. A plot of  $\phi_0$ , the total area under the rate curve, as a function of intensity.  $\phi_k$  can be thought of as the degree of ionization of charge state  $k$ , and it increases monotonically with increasing intensity.

tively insensitive to  $p_{k+1}$  (by a factor of a few) because the defining  $p_{k+1}$  value is weakened by the logarithm function of Eq. (10), and is also outweighed by the numerator of the logarithm function, which is of order of  $10^4$ . Table IV lists the threshold intensities calculated from Eq. (10) for  $k=0, \dots, 4$ . A comparison of the threshold intensities in Table IV and Fig. 2 demonstrates the accuracy of Eq. (10).

The threshold field strength defined in the above manner is different from the experimental appearance strength. The threshold field strength is where the ionization probability turns on, but the appearance field strength is defined pragmatically to be the lowest field strength at which an experimenter detects a few ions. Clearly the number of ions detected depends on the ambient gas density and the detection efficiency, so it follows that the appearance field strength does also. The threshold intensity is independent of the ambient gas density because we define it in terms of the ionization probability of a single atom or ion.

Similarly, we define the saturation field strength of  $p_{k+1}$  as the field strength where  $p_{k+1}$  decreases to the value of .01. As shown in Figs. 2(a) and 2(b), the saturation intensity is greater than the threshold intensity. In this intensity regime,  $\phi_k$  and  $\phi_{k+1}$  are both large. However,  $\phi_k$  is larger than  $\phi_{k+1}$ , so  $\exp(-\phi_{k+1})$  dominates  $\exp(-\phi_k)$ . For high field strengths,  $p_k$  is approximately equal to  $\exp(-\phi_k)$  because

$$p_{k+1} = \frac{1}{\frac{\phi_{k+1}}{\phi_k} - 1} [\exp(-\phi_k) - \exp(-\phi_{k+1})] \approx \frac{1}{0-1} [-\exp(-\phi_{k+1})]. \quad (11)$$

In this intensity regime, it is also valid to use the asymptotic form of  $\phi_{k+1}$  in Eq. (11). Therefore the saturation field strength is

$$F_{k+1}^{\text{sat}} = \frac{\frac{2}{3} E_{k+1}^{3/2}}{\ln \left[ \frac{(6\omega_0 E_{k+1})(2\tau/1.76)\sqrt{\pi/2}}{4.605} \right]}. \quad (12)$$

The value 4.605 =  $-\ln(0.01)$  in Eq. (12) arises from setting  $p_{k+1}$  equal to 0.01 in Eq. (11). An inspection of Table IV and Figs. 2(a) and 2(b) shows that Eq. (12) does not predict the saturation field strength as accurately as

TABLE IV. Argon threshold and saturation intensities for the simple-atom model calculated using Eqs. (10) and (12). The parameters used are  $\omega_0 = 4.1 \times 10^{16} \text{ sec}^{-1}$ ,  $\tau = 1.25 \times 10^{-13} \text{ sec}$ , and the  $E_k$ 's are twice the ionization potentials of argon in atomic units.

Charge state	$I_{\text{thres}}$ (W/cm <sup>2</sup> )	$I_{\text{sat}}$ (W/cm <sup>2</sup> )
+1	1.00 (14)	1.32 (15)
+2	5.02 (14)	3.96 (15)
+3	1.56 (15)	1.14 (16)
+4	4.59 (15)	2.19 (16)
+5	8.93 (15)	3.76 (16)

Eq. (10) predicts the threshold field strength. This loss of accuracy in predicting the saturation field strength occurs because both  $\phi_k$  and  $\phi_{k+1}$  must be very large for the approximation of Eq. (11) to be valid, but  $\phi_k$  and  $\phi_{k+1}$  are only of the order of a few. Like the threshold field strength, the saturation field strength is independent of the gas density because we define it in terms of probabilities and not in terms of integrated ion counts.

Equations (9) and (11) have simple interpretations for the probability distribution functions shown in Fig. 2(b). The rising edge of  $p_{k+1}$  is  $\phi_k$ , and its decaying edge is  $\exp(-\phi_{k+1})$ . Furthermore, since  $\phi_k$  is the total area under the  $\Gamma_k$  rate curve,  $\phi_k$  represents the degree of ionization of charge state  $k$ . Until the laser intensity rises enough to ionize charge state  $k+1$ , the probability of the ions being in charge state  $k+1$  increases with increasing laser intensity. Once the laser intensity rises enough to ionize charge state  $k+1$ , the probability of the ions being in charge state  $k+1$  decays like  $\exp(-\phi_{k+1})$ .

### III. ION-YIELD CURVES

To determine the ion yield, we need to integrate Eq. (7) over all space; integrating over iso-intensity shells greatly simplifies the integration. In mathematical terms, we perform the volume integral by changing the integration variable from volume to intensity. We can enhance our physical understanding (i) of how the change of variable simplifies the spatial integration, and (ii) of the spatial inhomogeneity of the ionization in the focal region of the laser. Figure 4 shows the spatial distributions for  $\text{Ar}^{2+}$  and  $\text{Ar}^{4+}$  produced at the focus of the Gaussian beam with a peak intensity of  $10^{17} \text{ W/cm}^2$ . The ions fall within borders defined by the threshold and saturation iso-intensity contours. The laser pulse creates the higher charge states close to the focus where the electric field is the

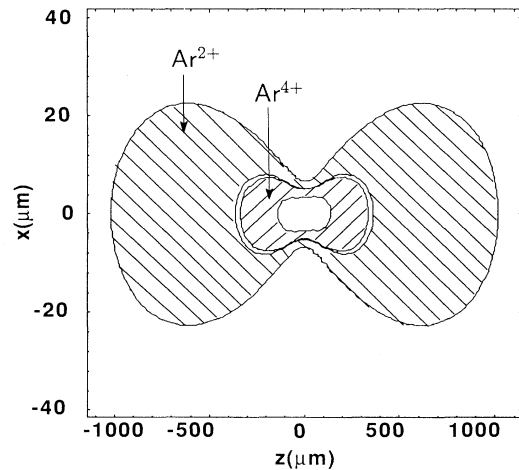


FIG. 4. The spatial distributions for  $\text{Ar}^{2+}$  and  $\text{Ar}^{4+}$  produced in a Gaussian beam with peak intensity of  $10^{17} \text{ W/cm}^2$ . The focus is for an  $f$  number of 5. The ions fall within borders defined by the threshold and saturation iso-intensity contours.

highest and the lower charge states further from the focus where the electric fields are lower. The small temporal overlap of the probabilities for a fixed intensity is apparent in the low spatial overlap of nonconsecutive charge states such as  $\text{Ar}^{2+}$  and  $\text{Ar}^{4+}$  at the end of the pulse.

For a Gaussian beam of peak intensity  $I_0$ , the volume [9] inside an isointensity boundary defined by  $I$  is

$$V = V_0 \left[ \frac{2}{3} \xi^3 + \frac{4}{3} \xi - \frac{4}{3} \arctan(\xi) \right], \quad (13)$$

where

$$\xi = \left[ \frac{I_0}{I} - 1 \right]^{1/2}, \quad V_0 = \frac{\pi^2 \omega_0^4}{\lambda}. \quad (14)$$

Equation (13) is the change of variable needed for the spatial integral. We can find the ion yield  $N_{k+1}$  for ion  $k+1$  as a function of the peak intensity from

$$N_{k+1} = \rho \int_0^{I_0} \frac{dV}{dI} p_{k+1} dI, \quad (15)$$

where  $\rho$  is the density of the target gas.

#### A. Low-intensity limit of Eq. (15) and appearance intensities

The ion-yield curve for laser fields below the theoretical threshold fields calculated from Eq. (10) can be obtained in closed form by substituting Eq. (9), the low-intensity-field form of  $p_{k+1}$ , into Eq. (15) and performing the integration [19],

$$N_{k+1} = (6\omega_0 E_k) \left[ \frac{2\tau}{1.76} \right] \rho (\pi V_0) (F_k + F_k^2 + F_k^3) \times \exp \left[ -\frac{1}{F_k} \right], \quad (16)$$

where

$$F_k = \frac{F_0}{\frac{2}{3} E_k^{3/2}}. \quad (17)$$

Figure 5 compares Eq. (16) with the numerically integrated results. The two curves are numerically identical for intensities below the theoretical threshold intensity and diverge for intensities above it.

The experimental appearance field can be derived from Eq. (16) by finding the field at which  $N_{k+1}$  is equal to a few. For example the appearance field for  $N_{\text{ap}}$  ions is

$$F_{k+1}^{\text{ap}} = \frac{\frac{2}{3} E_k^{3/2}}{\ln \left[ \frac{(6\omega_0 E_k)(2\tau/1.76)\rho(\pi V_0)}{N_{\text{ap}}} \right]}. \quad (18)$$

With  $N_{\text{ap}}$  set equal to 1, Fig. 6 shows the appearance intensity calculated from Eq. (18) plotted as a function of the ionization potential for three gas densities. This plot illustrates the density dependence of the appearance intensities in Eq. (18). Since density is a macroscopic property of the gas, we cannot associate an atomic interpretation to the appearance intensity.

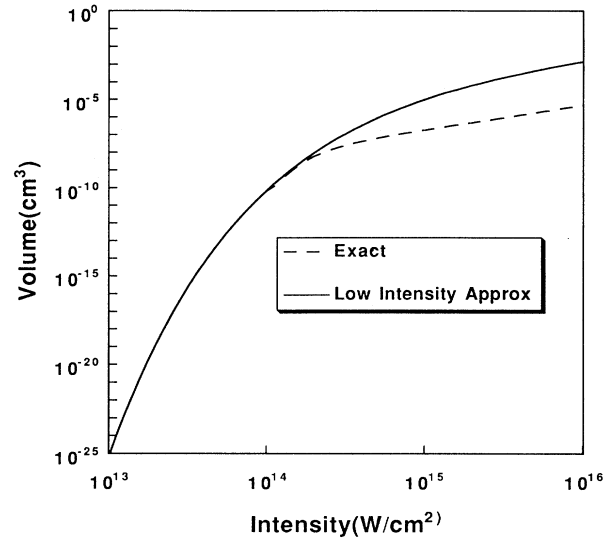


FIG. 5. The low-intensity limit, Eq. (16), of  $N_1/\rho$ , the density-normalized ion yield for  $\text{Ar}^{1+}$ , is compared to the numerically calculated results. The two curves diverge at the theoretical threshold intensity of Eq. (10), whose value in Table IV is  $1.00 \times 10^{14} \text{ W/cm}^2$ .

The appearance intensity is relatively insensitive to all the parameters that are in the logarithmic denominator of Eq. (18). If we want to determine laser intensities from ion yields, then the appearance yield is a good measure of intensity because of this insensitivity. On the other hand, this insensitivity hinders our ability to distinguish atomic ionization models based on appearance intensities.

The important physics in Eq. (18) is that the appearance field strength is predominantly a  $\frac{1}{2}$  power law with respect to the ionization potential and departs from this

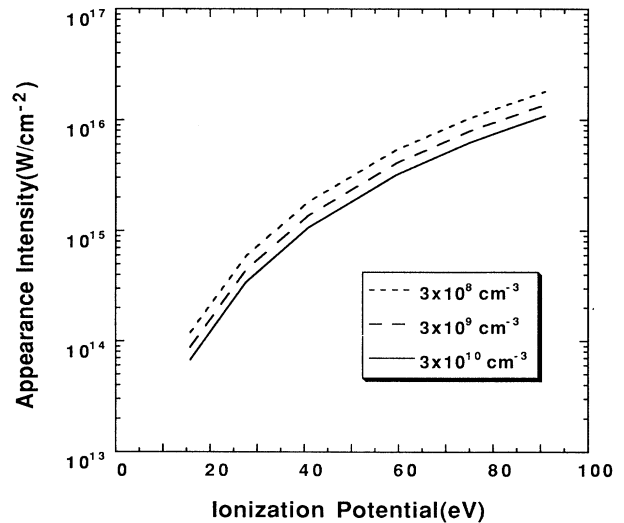


FIG. 6. The appearance intensity as a function of ionization potential is plotted for the three target gas densities of  $3 \times 10^8$ ,  $3 \times 10^9$ , and  $3 \times 10^{10} \text{ cm}^{-3}$ .

power law by the weak logarithmic denominator. The logarithmic term decreases the growth rate of the appearance field strength as the ionization potential increases. Furthermore the  $\frac{3}{2}$  power law is common to the models in Tables I–III, while only the four models in Tables I and II possess the  $\ln(E_k)$  factor in their denominators. On the other hand, the MPI model has in its denominator the factor of  $E_k^{1/(2n)}$ , where  $n$  is the number of photons needed for ionization, which is an upper bound [20] of  $\ln(E_k)$ . The  $\frac{3}{2}$  power law arises from the  $\frac{2}{3} E_k^{3/2}$  term for normalizing the laser field strength in the rate laws. This term represents the intra-atomic forces acting on the electron to be removed by the laser and is the scale by which the laser field is measured [5]. On the other hand, the  $E_k$  in the  $\ln(E_k)$  term of Eq. (18) arises from the numerical frequency factor ( $6\omega_0 E_k$ ) in the front of the rate law. This is the frequency of the electron's motion and the normalization of the time scale [5].

### B. High-intensity limit of Eq. (15) and saturation intensities

The ion-yield curve for laser intensities above the saturation intensity in Eq. (12) can be obtained in closed form by substituting in Eq. (15) the asymptotic form of the volume Jacobian,

$$\frac{dV}{dI} \approx \frac{V_0}{3} \frac{I_0^{3/2}}{I^{5/2}}. \quad (19)$$

The integration domain in Eq. (15) is effectively  $I_{\text{thres}}$  to  $I_{\text{sat}}$  because  $p_{k+1}$  is vanishingly small for intensities beyond this range (see Fig. 2). Within this range  $p_{k+1}$  can be approximated by the unit function giving the ion yield as

$$N_{k+1} = \frac{2}{3} \rho \left( \frac{2}{9} V_0 \right) \left[ \frac{1}{(I_{k+1}^{\text{thres}})^{3/2}} - \frac{1}{(I_{k+1}^{\text{sat}})^{3/2}} \right] I_0^{3/2}. \quad (20)$$

The  $\frac{2}{3}$  factor in Eq. (20) arises as follows. If we had approximated  $p_{k+1}$  by a parabola instead of the rectangular function, we would have obtained a more accurate approximation than Eq. (20). The result is slightly lower but more complicated than Eq. (20). The numerical factor is approximately equal to  $\frac{2}{3}$ , which is the ratio of the area of a parabola inscribed by a rectangular function to the area of the rectangular function.

Figure 7 compares Eq. (20) for  $k$  equal to one with the numerically integrated results. We find that the numerically integrated result approaches its asymptote, Eq. (20), at the saturation intensity given by Eq. (12) (the value from Table IV is  $1.32 \times 10^{15}$  W/cm<sup>2</sup>). The ion-yield curve converges to its asymptotic limit at the saturation intensity because it is where the integral of Eq. (15) slows down to a  $\frac{3}{2}$  power rate of growth with peak intensities. When  $I_0$  increases from zero to  $I_{\text{sat}}$ , the integral in Eq. (15) increases for two reasons: (i) the range of integration increases, and (ii) the integrand is an increasing function of  $I_0$ . However, as  $I_0$  goes beyond  $I_{\text{sat}}$ ,  $p_{k+1}$  truncates the integrand. We accomplish the truncation by setting the upper limit of integration equal to  $I_{\text{sat}}$ . The trunca-

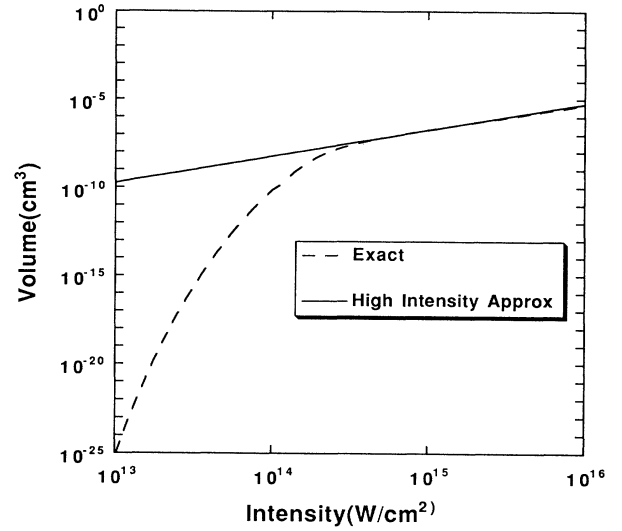


FIG. 7. The high-intensity limit, Eq. (20), of  $N_1/\rho$ , the density normalized ion yield, for  $\text{Ar}^{1+}$ , is compared to the numerically calculated result. The two curves converge at the saturation intensity of Eq. (12), whose value in Table IV is  $1.32 \times 10^{15}$  W/cm<sup>2</sup>.

tion cuts off an avenue of growth for the integral, and thus reduces the integral's growth rate to a  $\frac{3}{2}$  power law. This asymptotic dependence is commonly known as the "volume expansion effect [9]."

### IV. INTEGRATING THE OTHER RATE LAWS

Let us demonstrate our integration method for the static case of a complex atom. The static complex atom rate can be written as

$$\Gamma_{\text{CA}} = \gamma_{\text{CA}} \left[ \frac{1}{F_k} \right]^{P+1} \exp \left[ -\frac{1}{F_k} \right], \quad (21)$$

where  $F_k$  is the field strength divided by  $(\frac{2}{3})E_k^{3/2}$ , and  $F_k$  is the same normalized field strength given in Eq. (17). We define  $P = 2n - |m| - 2$ , where  $n$ ,  $l$ , and  $m$ , are the standard quantum numbers of the electron's valence shell. Let us cast Eq. (21) into the form of the simple atom rate law by rewriting it as

$$\Gamma_{\text{CA}} = \gamma_{\text{CA}} \left[ -\frac{\partial}{\partial \beta} \right]^P \frac{1}{F_k} \exp \left[ -\frac{\beta}{F_k} \right], \quad (22)$$

where  $\beta$  is a dummy variable we set equal to one at the end of the calculation, and  $\gamma_{\text{CA}}$  is a field independent coefficient.

By interchanging the order of integration and differentiation, we may write the total time integral of Eq. (22) as



$$\phi_{CA} = \gamma_{CA} \int_{-\infty}^{+\infty} dt \left[ -\frac{\partial}{\partial \beta} \right]^P \frac{1}{F_k} \exp \left[ -\frac{\beta}{F_k} \right], \quad (23a)$$

$$= \gamma_{CA} \left[ -\frac{\partial}{\partial \beta} \right]^P \int_{-\infty}^{+\infty} dt \frac{1}{F_k} \exp \left[ -\frac{\beta}{F_k} \right]. \quad (23b)$$

Furthermore Eq. (23b) can also be expressed as

$$\phi_{CA} = \gamma_{CA} \left[ -\frac{\partial}{\partial \beta} \right]^P \frac{1}{\beta} \int_{-\infty}^{+\infty} dt \frac{\beta}{F_k} \exp \left[ -\frac{\beta}{F_k} \right]. \quad (24)$$

A comparison of Eqs. (8) and (24) shows that Eq. (24) can be expressed in closed form as

$$\phi_{CA} = \gamma_{CA} \left[ \frac{2\tau}{1.76} \right] \left[ -\frac{\partial}{\partial \beta} \right]^P \frac{1}{\beta} \left[ \frac{\beta}{F_k} K_1 \left[ \frac{\beta}{F_k} \right] \right], \quad (25)$$

with the provision that  $\beta$  be set equal to 1 in Eq. (25) after the differentiation process.

We may also use this method to find the low-intensity form of the ion-yield curve, which is the volume integral of Eq. (25). Furthermore cycle averaging is the process of integrating over the phase of the  $E$  field. We note that differentiation by  $\beta$  commutes with both volume integration and phase integration. Therefore the other formulas in Tables I–III can be derived explicitly by this integration method.

#### V. THE SPECIES DEPENDENCE OF THE APPEARANCE INTENSITY

For the convenience of the reader, we reproduce here from Table II the appearance intensity for the complex-atom model [5,6],

$$F_{k+1}^{\text{ap}} = \frac{\frac{2}{3} E_k^{3/2}}{\ln \left[ \frac{\gamma_{CA} (2\tau/1.76) \rho(\pi V_0)}{N_{\text{ap}}} \right]}. \quad (26)$$

For the complex-atom model, Eq. (26) depends on the quantum numbers of the valence shell from which the electron escapes through the factor,

$$\gamma_{CA} = \omega_0 \frac{E_k}{2} G_{lm} \tilde{C}_{nl}^2, \quad (27)$$

which is the frequency scale of the complex-atom rate law. For completeness, the  $G_{lm}$  and  $\tilde{C}^2$  terms in Eq. (27) are

$$G_{lm} = \frac{(2l+1)(l+|m|)!}{2^{|m|} (|m|)! (l-|m|)! 3^{|m|}}, \quad (28)$$

and

$$\tilde{C}_{nl}^2 = \left[ \frac{2e^1}{n} \right]^{2n} \frac{1}{(2\pi n)} 3^{2n-1}. \quad (29)$$

Figure 8 plots the appearance intensity calculated from Eq. (26) as a function of the ionization potential for different values of  $n$  corresponding to the valence shells of noble atoms He–Xe. We computed these curves with

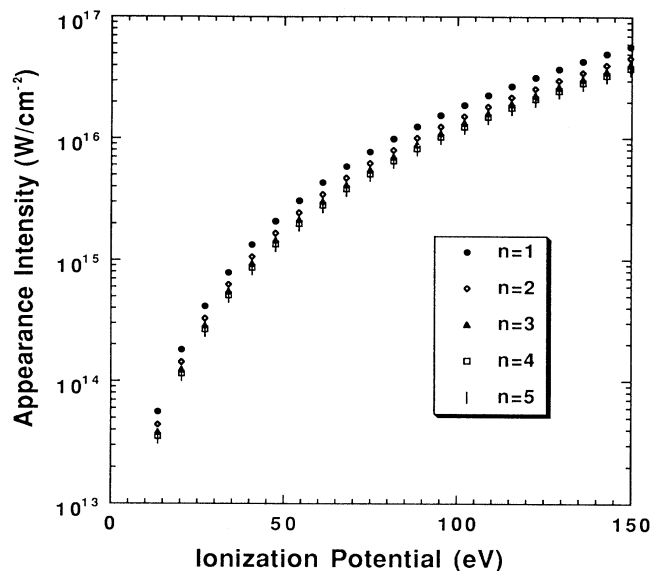


FIG. 8. The appearance intensity of the static complex-atom model for  $n=1, 2, 3, 4,$  and  $5$ . These curves represent the appearance intensities of He, Ne, Ar, Kr, and Xe. The curves of lower  $n$  values lie above those of higher  $n$  values, which is ordering observed in the data of Augst and Gibson. The agreement can be improved by allowing for noninteger values of  $n$  (quantum-defect theory [21]), and by employing nonzero  $l$  and  $m$  values for the subshell dependence of Eq. (28).

$l$  and  $m$  set equal to zero. The appearance intensities shown in Fig. 8 separate into a group of curves labeled by the principle quantum number  $n$ . The curves of smaller  $n$  values lie above those of larger  $n$  values. This ordering means that laser fields remove electrons from higher valence shells more easily than from lower valence shells (for a fixed ionization potential). This characteristic ordering was observed in the data of Augst (Fig. 2 of Ref. [17]) and of Gibson (Fig. 1(a) of Ref. [8]). We interpret the observed species dependence to be the principal-quantum-number dependence as suggested in Eq. (26). Substitution of the ionic charge  $Z$  and effective principle quantum number  $n^*$  for the ionization potential yields the same  $Z^6$  dependence as derived in the barrier suppression model [7].

The appearance intensity decreases with increasing  $n$  because the  $\gamma$  term in the denominator of Eq. (26) is an increasing function of  $n$  (in the range of  $1 < n < 5$ ). Our effective quantum-number dependence of this intensity is slightly stronger than  $(n^*)^{-6}$ . For comparison we point out that the barrier-suppression model predicts a  $(n^*)^{-8}$  dependence. In Fig. 9, we plot  $\tilde{C}^2$  as a function of  $n$ , and we can see that  $\tilde{C}^2$  is a strongly peaked function of  $n$ . The appearance intensity is sensitive to  $n$  because  $\tilde{C}^2$  is large enough to overcome the weakening effect that the logarithmic function has on it in Eq. (26). Furthermore Fig. 9 shows that  $\tilde{C}^2$  peaks for a value of  $n$  close to 5.6. Consequently, Eq. (29) implies that for  $l=m=0$  radon, which has a valence shell of  $n=6$ , should have the same or a higher appearance intensity than xenon. On the oth-

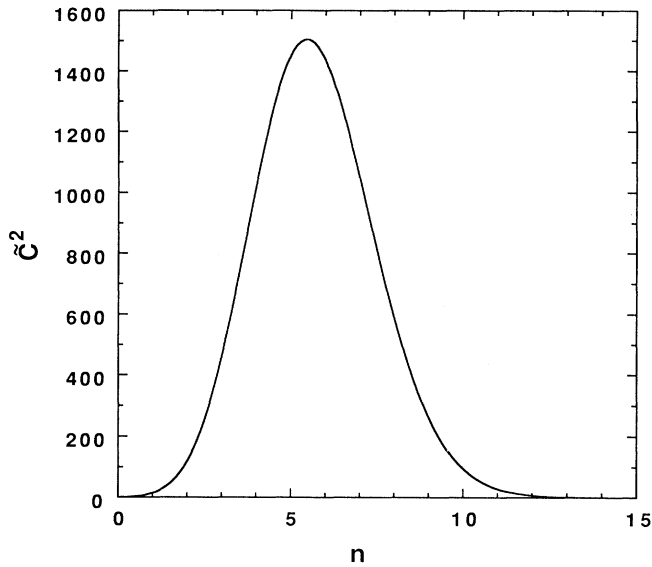


FIG. 9. The  $\tilde{C}^2$  term as a function of  $n$ . For a free atom,  $\tilde{C}^2$  decreases monotonically with  $n$  from the value of the order of 4. However, the external field makes  $\tilde{C}^2$  nonmonotonic, and raises the peak value of  $\tilde{C}^2$  to the order of 1500.

er hand, a simple extrapolation of the observed trend in the measured data would indicate otherwise.

We can understand the physics in the  $n$  scaling of the appearance intensity by recognizing that the  $\tilde{C}^2$  factor comes from the normalization constant of a free-atom wave function, as well as the field strength of the laser. The normalization constant of a Coulomb wave function is a monotonically decreasing function of  $n$ . This decrease in  $\tilde{C}^2$  induces an ordering that would be opposite to the observed ordering. However, the laser field changes the wave function into an autoionizing state. This change appears in the wave function through the normalization constant (Ammosov, Delone, and Krainov [6]). The laser causes  $\tilde{C}^2$  to lose its monotonicity and to become a large peaked function of  $n$ .

There are two ways to improve the agreement between Eq. (26) and the measured data. The first is to use the Ammosov-Delone-Krainov [6] prescription of replacing  $n$  with  $n^*$  in Eq. (29) to account for quantum defects [21]. The agreement between theory and data can be improved further by using the appropriate quantum numbers of the subshell from which the electron is removed in Eq. (28). There is some ambiguity in the choice of  $l$  and  $m$  in the  $G_{lm}$  term of Eq. (28) because an ion has many configurations for a given valence. However, averaging over configurations should give judicious choices for  $l$  and  $m$ .

## VI. CONCLUSION

The closed-form solution to the rate equations for the sequential case enables us to extend our understanding of strong-field ionization of gases by providing scaling laws

for (i) threshold intensities, (ii) appearance intensities, (iii) saturation intensities, and (iv) the integrated ion yields as functions of laser intensities. These scaling laws also enable us to probe efficiently a large domain of atomic parameters. For example, we can examine the source of the species dependence of the appearance intensities for the inert gases. We predict that the appearance intensity curve for radon would not lie below the appearance intensity curve of xenon, if the theory [6] of Ammosov, Delone, and Krainov is correct. Given the validity of the ionization rates, such closed-form solutions aid in determining deviations from sequential ionization.

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## APPENDIX A: ERROR ANALYSIS OF EQ. (7)

The rate equations in Eq. (1) conserve probability. Therefore a test of Eq. (7) is its ability to conserve probability. We write the sum of the expressions in Eq. (7) as

$$\sum_{i=0}^n p_i = 1 + \sum_{i=1}^{n-1} \frac{\phi_i}{\phi_{i-1}} p_i. \quad (\text{A1})$$

The sum on the right-hand side of Eq. (A1) is a global error of Eq. (7). One term dominates the error because for a given intensity all the  $p_i$ 's except for one are small (see Fig. 2). The  $p_i$  that is large refers to the charge state that can be created without being ionized by the laser operating at the given intensity. Let us call this charge state  $j$ . The second sum in Eq. (A1) is then

$$\sum_{i=1}^{n-1} \frac{\phi_i}{\phi_{i-1}} p_i < (n-1) \frac{\phi_j}{\phi_{j-1}}. \quad (\text{A2})$$

At the intensity where  $p_j$  is large, it is appropriate to use the asymptotic forms of  $\phi_j$  and  $\phi_{j-1}$  in Eq. (A2),

$$\sum_{i=1}^{n-1} \frac{\phi_i}{\phi_{i-1}} p_i < (n-1) \frac{E_j^{7/4}}{E_{j-1}^{7/4}} \exp \left[ -\frac{2}{3F} (E_j^{3/2} - E_{j-1}^{3/2}) \right]. \quad (\text{A3})$$

Hence the error of Eq. (7) is like  $\exp(-1/F)$ , and there is favorable comparison with the numerically calculated results.

## APPENDIX B: THE RANGE OF VALUES FOR $p_k$ IN EQ. (7)

The values of  $p_k$  in Eq. (7) range between zero and one, which we prove by showing that  $p_k$  is positive and has maximum values of at most one.  $p_k$  is positive because  $[\exp(-\phi_{k-1}) - \exp(\phi_k)] / [\phi_k - \phi_{k-1}]$  is always positive. The maximum value of any  $p_k$  can be found easily from

its maximum with respect to  $\tau$ . Let  $H_k$  be the factor that multiplies  $\tau$  in Eq. (8), then Eq. (7) can be expressed simply as

$$p_k = H_{k-1} \frac{\exp(-H_{k-1}\tau) - \exp(-H_k\tau)}{H_k - H_{k-1}}. \quad (\text{B1})$$

Solving for  $dp_k/d\tau=0$ , we find the maximum value of  $p_k$  to be

$$p_k = \alpha^{\alpha/(1-\alpha)}, \quad (\text{B2})$$

where

$$\alpha = \frac{H_k}{H_{k-1}}. \quad (\text{B3})$$

Therefore  $p_k$  is less than or equal to 1 for all positive values of  $\alpha$ .

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