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### ARTICLES

#### Xe $L$ and $M$ x-ray emission following Xe<sup>44–48+</sup> ion impact on Cu surfaces

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The x-ray emission following the impact of highly charged Xe <sup>$q+$</sup>  ( $q=44-48$ ) ions of 7-keV/ $q$  energy on a Cu surface has been measured. Theoretically we have derived an analytic formula which allows us to calculate the  $2l-nl'$ ,  $3l-nl'$ , and  $4l-nl'$  ( $n \leq 5$ ),  $L$ ,  $M$ , and  $N$  x-ray transition energies averaged over spin and angular-momentum quantum numbers ( $L$  and  $S$ ) as a function of the electron occupation numbers ( $k_i$ ) for the states  $Q = 1s^{k_1} 2s^{k_2} 2p^{k_3} 3s^{k_4} 3p^{k_5} 3d^{k_6} 4s^{k_7} 4p^{k_8} 4d^{k_9} 4f^{k_{10}} 5s^{k_{11}} 5p^{k_{12}}$ . In accordance with our theoretical predictions the observed  $L$  x-ray structures shift toward higher energies and increase in intensity relative to the  $M$  x rays with increasing charge state. We have also observed an increase in high-energy satellite-line intensities with the increasing number of  $2p$  vacancies. In calculating the radiative-transition probabilities we have found that the dominating electric-dipole transitions for  $L$  and  $M$  x rays are of the kind  $2p-3d$  and  $3d-4f$ , respectively. A comparison between measured peak positions and calculated transition energies reveals that direct feeding by approximately 13 electrons can explain the main features of the observed x-ray emission spectra.

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#### I. INTRODUCTION

Much effort is presently directed towards research on ion-surface interactions using very highly charged ions [1]. This research became feasible with the development of new and advanced ion sources such as electron cyclotron resonance (ECR) sources, the electron-beam ion source (EBIS), and the electron-beam ion trap (EBIT) [2–6]. The EBIT is essentially very similar to an EBIS, except for the difference in the length of the electron-ion interaction path. Both ion sources use the principle of producing highly charged ions via successive ionization of atoms with intense energetic electron beams. The recently developed technique [2] to extract highly charged ions from the EBIT, which has been originally designed

as an ion trap, allows the use of ions up to Th<sup>80+</sup> for ion-surface interaction studies. These studies are mainly aimed at understanding the neutralization dynamics of highly charged ions as they approach the surface and penetrate into the solid. Such highly charged projectile ions carry up to several hundred keV potential energy and x-ray emission studies are particularly suited to illuminate the different interaction processes which lead to the transfer of this energy to the surface. Furthermore, such new experiments allow the observation of surface modifications induced by a medium-to-heavy ion impact. A more complete picture may eventually emerge from the simultaneous measurements of the Auger-electron and x-ray emission, sputter-ion yields, and the charge exchange of the projectile ions following the ion-surface in-

teraction [3–16]. X-ray emission has been measured with low resolution for  $\text{Ne}^{9+,10+}$ ,  $\text{Ar}^{17+,18+}$ , and  $\text{Kr}^{36+}$  ion impact on surfaces. For the cases of  $\text{Ne}^{9+}$  and  $\text{Ar}^{17+,18+}$  ions, high-resolution measurements using crystal spectrometers have been conducted. From these measurements the occurrence of “hollow atoms” during the neutralization process has been inferred [3]. Such superexcited states with nearly empty core levels are created mainly at small ion approach velocities where the ion-surface interaction is dominant. Multiple inner-shell vacancy states provide time windows to study stepwise deexcitation and the transfer of excitation energy to the reaction products. In particular, the satellite intensities and energy positions of the emitted x rays and Auger electrons provide important information on the history of the projectile ions interacting with the surface and the bulk material. In these types of studies the following physical processes and parameters are of major importance.

(a) The initial electronic configuration of the approaching ion and the surface potential of the solid determine the transfer of electrons from the surface into states with high- $n$  quantum numbers.

(b) The approach velocity and the autoionization and radiative-decay rates determine the transfer of electrons to lower states or back to the solid, and together with (a) determine the distribution and time evolution of the population of different quantum states during neutralization.

(c) Transfer of electrons into a state with low quantum numbers occurs when the ion enters the surface.

A method to vary the ion-velocity component normal to surface, which has been used for  $\text{Ne}^{9+,10+}$  and  $\text{Ar}^{17+,18+}$  ion impact, is based on the change of the angle of ion impact between normal and grazing incidence. From an analysis of the corresponding  $K$  x-ray emission spectra (energy shifts and satellite-line intensities) the remaining number of inner-shell vacancies can be extracted. These results are generally very similar to those where the ions have been decelerated first to low velocity and then hit the surface at normal incidence. The ion-bulk interaction is more pronounced at higher approach velocities. In this case the inner-shell vacancies have a larger probability to penetrate the first surface layer and the interaction with the bulk may cause a fast filling of shells with lower- $n$  quantum numbers with a simultaneous “peeling off” of electrons in shells with higher- $n$  manifolds. States with intermediate- $n$  quantum numbers can be filled either directly by electron transfer from the solid or by radiative or autoionizing decays. The balance between electron transfer and loss rates, autoionization and radiative transition rates, the approach velocity and the binding energies of the target and the projectile determine the specific population of  $n$  manifolds and the observed spectral-line intensities. We note that the innermost atomic shells are generally not filled by electron-capture reactions if the projectile velocity is too slow for direct electron transfer to occur. In this case surviving multiple inner-shell vacancy states can be used as an “inner atomic clock.” This idea has been first applied by Briand *et al.* [3] studying satellite and hypersatellite  $K$  x-ray transitions in bare Ar ion-surface collisions.

In this paper we present data on the ion-surface neutralization dynamics using more highly charged Xe ions, characterized by an approach velocity of  $v \approx 0.22$  a.u. corresponding to  $7 \text{ \AA}/\text{fs}$ , where the number of  $L$ -shell vacancies in the incident  $\text{Xe}^{q+}$  ( $q=44$  to  $48$ ) projectile is systematically changed. Here,  $q=44+$  represents the closed shell  $1s^2 2s^2 2p^6$  ground-state configuration of Xe and the other charge states are characterized by open  $L$ -shell configurations of the type  $1s^2 2s^2 2p^5$  ( $q=45+$ ),  $1s^2 2s^2 2p^4$  ( $q=46+$ ),  $1s^2 2s^2 2p^3$  ( $q=47+$ ), and  $1s^2 2s^2 2p^2$  ( $q=48+$ ) differing in the incident number of  $2p$  holes.

As a first attempt to study experimentally the decay of highly excited Xe ions in the vicinity of a Cu surface, we have measured the  $L$  and  $M$  x-ray spectra as a function of the incident charge state. The experimental procedure is described in Sec. II. The description of the theoretical method used to predict radiative-transition energies and transition probabilities for Xe ions with different numbers of  $L$ -shell vacancies is presented in Sec. III. A more detailed description of our theoretical approach is given elsewhere [17]. A comparison of the predicted theoretical data with experimental results is presented in Sec. IV. Finally, the general trends of the experimental findings and important conclusions on the ion-surface and ion-bulk neutralization dynamics for the  $\text{Xe}^{q+}$ -plus-Cu system are discussed in Sec. V.

## II. EXPERIMENT

In this paper we report measurements on ion-surface interactions for the impact of highly charged ions  $\text{Xe}^{q+}$  ( $q=44$ – $48$ ) on a solid surface. The  $\text{Xe}^{q+}$  ions have been extracted from the Lawrence Livermore EBIT source. In this work we only briefly address the operation of an EBIT and its upgrade to an ion source by means of an efficient extraction system which is described in detail in Ref. [2]. The EBIT basically consists of a three-segment axial drift-tube assembly. These segments may be individually biased to create an electrostatic well along the central axis. An electron beam, compressed to  $\approx 70 \mu\text{m}$  in a 3-T magnetic field, travels along the central axis. The energy of the electrons is determined by the voltage applied to the drift-tube assembly. Typical electron-beam currents used range from 5 to 160 mA. Neutral gas atoms, such as Xe, are highly ionized by multiple electron impact and then trapped axially within the central well of the drift-tube potential and radially by the electron-beam space charge. After some confinement time they reach an equilibrium charge-state distribution due to successive ionization, excitation, recombination, and other ion-atom, ion-ion, and ion-electron interactions. After equilibrium is reached ( $\tau \lesssim 1$  sec) the ions are ejected from the trap by applying an ejection voltage (e.g., 7 kV) to the drift-tube potentials and by raising the middle drift-tube potential. The ejected ions with energies given by the extraction voltage times the charge state  $q$  are then momentum analyzed and focused onto the target surface. In the present case the target surface was Cu which has been polished ( $\approx 10 \mu\text{m}$  roughness) but not chemically or sputter cleaned. The target is tilted by  $45^\circ$  with respect to the ion-beam axis and the x-ray emission

following electron capture and loss of the ions at the surface is observed with a Si(Li) detector perpendicular to the beam axis. The geometrical solid angle of this detector was  $3 \times 10^{-3}$  sr and its resolution about 170 eV at 5.9 keV, respectively. The detector was separated from the target chamber vacuum by Be foils (total thickness: 0.075 mm). For the Xe *L* x-ray line structures around 4.5 keV, photon absorption in the Be window is negligible. However, for the Xe *M* radiation at around 2 keV the observed line intensities are reduced by a factor of approximately 2 due to absorption.

The vacuum in the beam-transport system between the EBIT and the analyzing magnet was about  $10^{-8}$  Torr and the charge change by electron capture from rest gas molecules was estimated to be small. Between the magnet and the Cu target a vacuum of the order of  $10^{-8}$  Torr was maintained leading to an estimated loss of ions with inner-shell vacancies of less than 10%.

### III. ENERGIES OF A MANY-ELECTRON SYSTEM

The calculation of theoretical x-ray spectra, including transition probabilities and autoionization rates for multiply excited Xe ions with up to 53 electrons represents a challenging many-body problem [17–29]. In this work we have performed comprehensive calculations of transition energies and transition probabilities associated with multiply excited states in Xe by using nonrelativistic and relativistic perturbation theory [24–28].

In particular, we have studied electric-dipole transitions of the type  $2l-3l'$ ,  $2l-4l'$ ,  $2l-5l'$ ,  $3l-4l'$ ,  $3l-5l'$ , and  $4l-5l'$  for configurations

$$Q = 1s_1^k 2s_2^k 2p_3^k 3s_4^k 3p_5^k 3d_6^k 4s_7^k 4p_8^k 4d_9^k 4f_{10}^k 5s_{11}^k 5p_{12}^k, \quad (1)$$

with up to  $N=53$  electrons occupying 12 different subshells, where  $k_i$  ( $i=1$  to 12) are the electron occupation numbers. Our method allows the expression of the desired atomic properties in analytical form as a function

of the electron numbers ( $k_i$ ). As an important example we focus in this paper on x-ray energies and transition probabilities. The procedure to derive the nonrelativistic part of x-ray energies is outlined in the following.

#### A. Nonrelativistic part

In order to reduce the vast number of possible states, we have averaged over the spin and angular-momentum quantum numbers. These *LS*-averaged energy values can be expressed in first-order perturbation theory as [26]

$$E_1^N(Q) = \frac{1}{2} \sum_i k_i (k_i - 1) U(n_i l_i) + \sum_{i,j} k_i k_j U(n_i l_i, n_j l_j), \quad (2)$$

where the two-particle energies  $U(nl), U(nl, n'l')$  are equal to

$$U(nl) = F_0(nl) \sum_{k(>0)} \frac{2l+1}{4l+1} \begin{bmatrix} l & l & k \\ 0 & 0 & 0 \end{bmatrix}^2 F_k(nl, nl), \quad (3)$$

$$U(nl, n'l') = F_0(nl, n'l') - \frac{1}{2} \sum_k \begin{bmatrix} l & l' & k \\ 0 & 0 & 0 \end{bmatrix}^2 G_k(nl, n'l'), \quad (4)$$

and the radial integrals are determined in the usual way [24]

$$F_k(nl, n'l') = R_k(nln'l'; n'l'nl),$$

$$G_k(nl, n'l') = R_k(nln'l'; nln'l'),$$

$$R_k(n_1 l_1 n_2 l_2; n_4 l_4 n_3 l_3)$$

$$= \int_0^\infty r_1^2 dr_1 \int_0^\infty r_2^2 dr_2 \frac{r_1^k}{r_2^{k+1}} R_{n_2 l_2}(r_2)$$

$$\times R_{n_4 l_4}(r_2) R_{n_3 l_3}(r_1) R_{n_1 l_1}(r_1). \quad (5)$$

Substituting into (2) the numerical values for the radial integrals, we obtain for  $E_1(Q)$

$$\begin{aligned} E_1^N(Q) = & \frac{k_1}{2} (k_1 - 1) 0.625 + \frac{k_2}{2} (k_2 - 1) 0.150391 + \frac{k_3}{2} (k_3 - 1) 0.174609 + \frac{k_4}{2} (k_4 - 1) 0.0664062 \\ & + \frac{k_5}{2} (k_5 - 1) 0.0689888 + \frac{k_6}{2} (k_6 - 1) 0.0836637 + \frac{k_7}{2} (k_7 - 1) 0.0372715 \\ & + \frac{k_8}{2} (k_8 - 1) 0.0373409 + \frac{k_9}{2} (k_9 - 1) 0.0412849 + \frac{k_{10}}{2} (k_{10} - 1) 0.0491365 \\ & + \frac{k_{11}}{2} (k_{11} - 1) 0.0238300 + \frac{k_{12}}{2} (k_{12} - 1) 0.0228084 + k_1 k_2 0.198904 + k_1 k_3 0.234263 \\ & + k_1 k_4 0.0966034 + k_1 k_5 0.106560 + k_1 k_6 0.110899 + k_1 k_7 0.0564634 + k_1 k_8 0.0605942 \\ & + k_1 k_9 0.0623842 + k_1 k_{10} 0.0624983 + k_1 k_{11} 0.036928 + k_1 k_{12} 0.0390275 \\ & + k_2 k_3 0.147461 + k_2 k_4 0.0803759 + k_2 k_5 0.0885966 + k_2 k_6 0.0999259 \\ & + k_2 k_7 0.0501547 + k_2 k_8 0.0535040 + k_2 k_9 0.0580181 + k_2 k_{10} 0.0618372 + k_2 k_{11} 0.033794 \\ & + k_2 k_{12} 0.0354930 + k_3 k_4 0.0851854 + k_3 k_5 0.0915985 + k_3 k_6 0.102905 + k_3 k_7 0.0518611 \\ & + k_3 k_8 0.0547236 + k_3 k_9 0.0591497 + k_3 k_{10} 0.0621009 + k_3 k_{11} 0.0346135 + k_3 k_{12} 0.03611 \\ & + k_4 k_5 0.0617407 + k_4 k_6 0.0708551 + k_4 k_7 0.0430152 + k_4 k_8 0.045800 + k_4 k_9 0.049052 \end{aligned}$$

$$\begin{aligned}
& + k_4 k_{10} 0.054 434 6 + k_4 k_{11} 0.030 527 5 + k_4 k_{12} 0.031 830 6 + k_5 k_6 0.073 622 + k_5 k_7 0.044 866 5 \\
& + k_5 k_8 0.046 401 3 + k_5 k_9 0.050 208 3 + k_5 k_{10} 0.055 501 1 + k_5 k_{11} 0.031 256 6 + k_5 k_{12} 0.032 160 4 \\
& + k_6 k_7 0.046 749 1 + k_6 k_8 0.048 611 + k_6 k_9 0.052 399 5 + k_6 k_{10} 0.058 522 7 + k_6 k_{11} 0.032 104 5 \\
& + k_6 k_{12} 0.033 131 6 + k_7 k_8 0.029 884 4 + k_7 k_9 0.037 926 7 + k_7 k_{10} 0.040 885 + k_7 k_{11} 0.026 676 \\
& + k_7 k_{12} 0.027 965 5 + k_8 k_9 0.038 413 1 + k_8 k_{10} 0.041 51 + k_8 k_{11} 0.027 622 4 + k_8 k_{12} 0.028 140 4 \\
& + k_9 k_{10} 0.044 109 2 + k_9 k_{11} 0.028 450 8 + k_9 k_{12} 0.029 085 6 + k_{10} k_{11} 0.029 427 4 \\
& + k_{10} k_{12} 0.030 139 4 + k_{11} k_{12} 0.021 504 5 .
\end{aligned} \tag{6}$$

In zero approximation a simple expression has been derived for the energy of each  $Q$  state,

$$E_0^N(Q) = -\frac{1}{2} \left[ k_1 + \frac{k_2 + k_3}{4} + \frac{k_4 + k_5 + k_6}{9} + \frac{k_7 + k_8 + k_9 + k_{10}}{16} + \frac{k_{11} + k_{12}}{25} \right]. \tag{7}$$

$E_0^N(Q)$  and  $E_1^N(Q)$  are generally not very good approximations for any atomic system; however, the accuracy of the method can be improved by using the following screening approach:

$$E^N(Q) = E_0^N(Q) \left[ Z + \frac{E_1^N(Q)}{2E_0^N(Q)} \right]^2, \tag{8}$$

which incorporates part of the second-order approximation.

It was shown [27] that almost 90% of  $E_2^N$  was actually taken into account by using the above formula. The same type of screening procedure can be applied for the calculation of  $n_1 l_1 - n_2 l_2$  x-ray transition energies, namely,

$$\begin{aligned}
& E^N(Q) - E^N([n_1 l_1]^{-1} n_2 l_2 Q) \\
& \cong Z^2 [E_0^N(n_1 l_1) - E_0^N(n_2 l_2)] \\
& \quad + Z \{ E_1^N(Q) - E_1^N([n_1 l_1]^{-1} n_2 l_2 Q) \} \\
& = [E_0^N(n_1 l_1) - E_0^N(n_2 l_2)] (Z - \sigma^N)^2, \\
& \sigma^N = \frac{-\{ E_1^N(Q) - E_1^N([n_1 l_1]^{-1} n_2 l_2 Q) \}}{2[E_0^N(n_1 l_1) - E_0^N(n_2 l_2)]}, \tag{9}
\end{aligned}$$

$$E^N(nl) = -1/2n^2.$$

In the calculations performed in this work,  $n_1 l_1, n_2 l_2$  are fixed and the occupation numbers  $k_i$  of electrons in each subshell varied. Specifically, we have adopted the following notation for each initial inner-hole state, namely:

$$[n_1 l_1]^{-1} n_2 l_2 Q, \tag{10}$$

where  $[n_1 l_1]^{-1}$  characterizes the inner-shell vacancy to be filled,  $n_2 l_2$  the active electron involved in the electric-dipole transition, and  $Q$  represents the additional spectator electrons distributed over various subshells.

### B. Relativistic corrections

For intermediate- $Z$  atoms, like Xe, relativistic effects may be accounted for by adding additional terms to the

nonrelativistic Hamiltonian resulting from the reduction of the Dirac equation and the Breit interaction [29]. Since we are interested in  $LS$ -averaged energies, only those terms of the Breit operator which cause energy shifts are incorporated here. These operators can be written as [24]

$$\begin{aligned}
H_1(\mathbf{r}) &= -\frac{\alpha^2}{2} \mathbf{p}^4, \\
H_{4'}(\mathbf{r}) &= \frac{\alpha^2}{2} \pi Z \delta(\mathbf{r}), \\
H_{4''}(\mathbf{r}_{12}) &= -\alpha^2 \pi \delta(\mathbf{r}_{12}), \\
H_2(\mathbf{r}_{12}) &= -\frac{\alpha^2}{2} \frac{1}{r_{12}} \left[ \mathbf{p}_1 \cdot \mathbf{p}_2 + \frac{\mathbf{r}_{12}(\mathbf{r}_{12} \cdot \mathbf{p}_1) \cdot \mathbf{p}_2}{r_{12}^2} \right], \\
H_{5''}(\mathbf{r}_{12}) &= -\frac{8}{3} \alpha^2 \pi \mathbf{s}_1 \cdot \mathbf{s}_2 \delta(\mathbf{r}_{12}).
\end{aligned} \tag{11}$$

The matrix elements for two one-particle operators  $H_1$  and  $H_{4'}$  are equal in zero approximation for all  $Q$  states, therefore

$$\langle Q | H_1 + H_{4'} | Q \rangle^{(0)} = -\alpha^2 Z^4 \sum_i q(n_i l_i) E_0^R(n_i l_i), \tag{12}$$

where

$$E_0^R(nl) = -\frac{2}{n^3} \left[ \frac{2}{2l+1} - \frac{3}{4n} - \delta(1,0) \right]. \tag{13}$$

In our case the numerical evaluation of Eq. (12) yields

$$\begin{aligned}
\langle Q | H_1 + H_{4'} | Q \rangle^{(0)} &= -\alpha^2 Z^4 \left[ \frac{1}{8} k_1 + \frac{5}{128} k_2 + \frac{7}{384} k_3 + \frac{1}{72} k_4 + \frac{5}{648} k_5 + \frac{1}{360} k_6 \right. \\
& \quad + \frac{13}{2^{11}} k_7 + \frac{23}{3 \times 2^{11}} k_8 + \frac{17}{5 \times 2^{11}} k_9 \\
& \quad \left. + \frac{11}{7 \times 2^{11}} k_{10} + \frac{27}{5000} k_{11} + \frac{31}{15000} k_{12} \right]. \tag{14}
\end{aligned}$$

We further note that the zero-order approximation for the three-particle operators  $H_2$ ,  $H_{5''}$ , and  $H_{4''}$  are proportional to  $Z^3$ . Thus

$$\langle Q | H_2 + H_{4''} + H_{5''} | Q \rangle^{(0)} \cong \alpha^2 Z^3 F(Q). \tag{15}$$

The calculation of  $F(Q)$  is described in detail in [24]. It is sufficient to calculate only the two radial integrals,  $T$  and  $K$ ,

$$T(n_1l_1n_2l_2;n_4l_4n_3l_3) = \int_0^\infty r_1^2 dr R_{n_1l_1}(r_1)R_{n_2l_2}(r_2)R_{n_4l_4}(r_2)R_{n_3l_3}(r_1), \quad (16)$$

$$K_l(n_1l_1n_2l_2;n_4l_4n_3l_3) = \int_0^\infty r_1^2 dr_1 \int_0^\infty r_2^2 dr_2 \theta(r_1 - r_2) \frac{r_2^l}{r_1^{l+1}} R_{n_1l_1}(r_1)R_{n_2l_2}(r_2) \frac{d^2}{dr_1 dr_2} R_{n_4l_4}(r_2)R_{n_3l_3}(r_1). \quad (17)$$

Moreover, the angular part for the two-particle operators  $H_2 + H_{4''} + H_{5''}$  is the same as for the  $1/r_{12}$  electrostatic operator. Hence, Eqs. (2)–(5) can be applied to evaluate the corresponding relativistic matrix elements. The same equations have also been used to determine the first-order corrections originating from the one-particle operators  $H_1$  and  $H_{4'}$ . The radial part of the relativistic first-order contribution is [24]

$$E_k^i(n_1l_1n_2l_2;n_4l_4n_3l_3) = \sum_n \frac{1}{E_n + E_{n_2} - E_{n_4} - E_{n_3}} \begin{bmatrix} l & l_1 & l_3 \\ 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} l & l_2 & l_4 \\ 0 & 0 & 0 \end{bmatrix} M^i(nl, n_1l_1) R_k(n_1l_1n_2l_2;n_4l_4n_3l_3), \quad (18)$$

with

$$M^i(nl, n'l') = -\frac{1}{2} \delta(l, l') \int_0^\infty r^2 dr \left[ \frac{2}{r} - \frac{1}{n^2} \right] \left[ \frac{2}{r} - \frac{1}{(n')^2} \right] R_{nl}(r) R_{n'l'}(r), \quad (19)$$

$$M^{4'}(nl, n'l') = -\frac{1}{2} \delta(l, 0) \delta(l', 0) R_{nl}(0) R_{n'l'}(0). \quad (20)$$

Summation in (15) extends over the discrete part of the spectrum and includes integration over the continuous part [24]. In this study we have calculated all the relevant expressions, i.e.,  $T$ ,  $K_1$ ,  $E_k^1$ , and  $E_k^{4'}$  for all possible  $nl$  values associated with different  $Q$  states. In particular, we have found that all terms scale as  $Z^3$  and hence

$$\langle Q | H_2 + H_{4''} + H_{5''} | Q \rangle^{(0)} + \langle Q | H_1 + H_{4'} | Q \rangle^{(1)} = \alpha^2 Z^3 E_1^R(Q). \quad (21)$$

Here we have evaluated  $E_1^R(Q)$  for the inner  $1s$ ,  $2s$ ,  $2p$ ,  $3s$ ,  $3p$ , and  $3d$  shells

$$\begin{aligned} E_1^R(1s^k 1s^k 2s^k 2p^k 3s^k 3s^k 3p^k 3s^k 3d^k 3d^k) \\ = \frac{k_1}{2}(k_1 - 1)0.480140 + \frac{k_2}{2}(k_2 - 1)0.0608865 + \frac{k_3}{2}(k_3 - 1)0.0440925 + \frac{k_4}{2}(k_4 - 1)0.0190056 \\ + \frac{k_5}{2}(k_5 - 1)0.0128910 + \frac{k_6}{2}(k_6 - 1)0.00757975 + \frac{k_7}{2}(k_7 - 1)0.00802 + \frac{k_8}{2}(k_8 - 1)0.00544 \\ + \frac{k_9}{2}(k_9 - 1)0.00320 + \frac{k_{10}}{2}(k_{10} - 1)0.00250 + \frac{k_{11}}{2}(k_{11} - 1)0.00411 + \frac{k_{12}}{2}(k_{12} - 1)0.00278 \\ + k_1 k_2 0.100071 + k_1 k_3 0.0817048 + k_1 k_4 0.039433 + k_1 k_5 0.0321032 + k_1 k_6 0.011363 \\ + k_1 k_7 0.018886 + k_1 k_8 0.015372 + k_1 k_9 0.006766 + k_1 k_{10} 0.003073 + k_1 k_{11} 0.010278 \\ + k_1 k_{12} 0.008345 + k_2 k_3 0.049104 + k_2 k_4 0.0250261 + k_2 k_5 0.022652 + k_2 k_6 0.015815 \\ + k_2 k_7 0.03355 + k_2 k_8 0.011327 + k_2 k_9 0.007649 + k_2 k_{10} 0.003737 + k_2 k_{11} 0.007570 \\ + k_2 k_{12} 0.006378 + k_3 k_4 0.025932 + k_3 k_5 0.019465 + k_3 k_6 0.012703 + k_3 k_7 0.013422 \\ + k_3 k_8 0.010158 + k_3 k_9 0.006786 + k_3 k_{10} 0.003270 + k_3 k_{11} 0.007608 + k_3 k_{12} 0.005763 \\ + k_4 k_5 0.01286 + k_4 k_6 0.00531 + k_4 k_7 0.01331 + k_4 k_8 0.00823 + k_4 k_9 0.00391 \\ + k_1 k_{10} 0.003737 + k_4 k_{11} 0.007570 + k_4 k_{12} 0.00493 + k_5 k_6 0.00552 + k_5 k_7 0.01367 \\ + k_5 k_8 0.00834 + k_5 k_9 0.00400 + k_5 k_{10} 0.00203 + k_5 k_{11} 0.00797 + k_5 k_{12} 0.00498 \\ + k_6 k_7 0.01424 + k_6 k_8 0.00873 + k_6 k_9 0.00418 + k_6 k_{10} 0.00216 + k_6 k_{11} 0.00819 \\ + k_6 k_{12} 0.00514 + k_7 k_8 0.00537 + k_7 k_9 0.00302 + k_7 k_{10} 0.00151 + k_7 k_{11} 0.00680 \\ + k_7 k_{12} 0.00433 + k_8 k_9 0.00306 + k_8 k_{10} 0.00153 + k_8 k_{11} 0.00704 + k_8 k_{12} 0.00436 \\ + k_9 k_{10} 0.00162 + k_9 k_{11} 0.00725 + k_9 k_{12} 0.00451 + k_{10} k_{11} 0.00750 + k_{10} k_{12} 0.00467 + k_{11} k_{12} 0.00456. \quad (22) \end{aligned}$$

TABLE I. Range of 3d-2p transitions for Xe ions with 2p vacancies.

Number of 2p holes	X-ray energies (eV)	Approximate width of structure (eV)	Energy shift (eV)	Radiative transition probabilities ( $10^{13} \text{ s}^{-1}$ )
1	4053-4647	594	102	5.4-51.0
2	4147-4749	602	102	11.7-109.0
3	4243-4851	608	102	18.7-137.9
4	4339-4955	616	104	26.6-248.6
5	4437-5060	623	105	35.4-331.3
6	4536-5166	630	106	45.2-423.7

The zero- ( $E_0^R$ ) and first- ( $E_1^R$ ) order energies can be represented in the form of a screening formula similar to Eq. (8) for  $E^N(Q)$ ,

$$E^R(Q) = \alpha^2 Z E_0^R(Q) \left[ Z + \frac{E_1^R(Q)}{3E_0^R(Q)} \right]^3. \quad (23)$$

Then the resulting total energy  $E(Q)$  can be expressed as

$$E(Q) = E^N(Q) + E^R(Q), \quad (24)$$

where  $E^N(Q)$  and  $E^R(Q)$  are the nonrelativistic and relativistic contributions, respectively. Finally the x-ray transition energies may be written as

$$\begin{aligned} E(Q) - E([n_1 l_1]^{-1} n_2 l_2 Q) \\ = [E^N(n_1 l_1) - E_0^N(n_2 l_2)] (Z - \sigma^N)^2 \\ + \alpha^2 Z [E_0^R(n_1 l_1) - E_0^R(n_2 l_2)] (Z - \sigma^R)^3, \end{aligned} \quad (25)$$

where  $\sigma^N$  and  $\sigma^R$  are equal to

$$\begin{aligned} \sigma^N &= - \frac{\{E_1^N(Q) - E_1^N([n_1 l_1]^{-1} n_2 l_2 Q)\}}{2[E_0^N(n_1 l_1) - E_0^N(n_2 l_2)]}, \\ \sigma^R &= - \frac{\{E_1^R(Q) - E_1^R([n_1 l_1]^{-1} n_2 l_2 Q)\}}{3[E_0^R(n_1 l_1) - E_0^R(n_2 l_2)]}. \end{aligned} \quad (26)$$

As a representative example the relevant 2p-3d x-ray energies ( $Q-2p^{-1}3dQ$ ) are discussed in more detail.

For each  $L$  spectrum correlated with a specific 2p-hole state, i.e.,

$$\begin{aligned} \Phi_0 \Phi_1 - 2p^{-1} 3d \Phi_0 \Phi_1, \\ \Phi_0 = 1s^2 2s^2 2p^6, \\ \Phi_1 = 3s^{k_4} 3p^{k_5} 3d^{k_6} 4s^{k_7} 4p^{k_8} 4d^{k_9} 5s^{k_{11}} 5p^{k_{12}}. \end{aligned} \quad (27)$$

$$W(Q, [n_1 l_1]^{-1} n_2 l_2 Q) = A_0(n_1 l_1, n_2 l_2) Z^4 \frac{k_1}{g_1} \left[ 1 - \frac{k_2}{g_2} \right] \left[ \frac{E(Q) - E([n_1 l_1]^{-1} n_2 l_2 Q)}{E_0(Q) - E_0([n_1 l_1]^{-1} n_2 l_2 Q)} \right]^3 \left[ 1 - \frac{P(k_1)}{Z} \right]^2, \quad (28)$$

where  $g_i = 2(2l_i + 1)$  are the statistical weights and  $P(k_i)$  the first-order correction for dipole matrix elements. The hydrogenic transition probabilities  $A_0$  ( $10^8 \text{ s}^{-1}$ ) are listed in Table III. It is evident from this table that the 3d-2p, 4d-2p, 4f-3d, and 5g-4f transitions are characterized by large transition probabilities. For the  $L$  spectra we expect the 2p-3d decays to dominate the observed x-ray

350 different 2p-3d transition energies have been calculated [17,23]. Due to the limited resolution of our x-ray detector, these lines have been treated as a quasicontinuum with an energy-band width of roughly 600 eV. 2p-3d transitions with ten electrons have the largest energy values while those transitions with the maximum number of electrons ( $N \leq 53$ ) are shifted to lower energies by about 600 eV. The energy range of 3d-2p transitions for different 2p hole configurations in Xe are summarized in Table I.

The key parameters in our theoretical model of multiply core-excited states are the occupation numbers  $k_i$ , in particular, the number of 2p and 3d electrons are of primary importance. Table I clearly indicates that changing the number of 2p vacancies by one shifts the  $L$  x-ray energies by about 102-106 eV. On the other hand, adding one extra 3d electron decreases the energy by about 26 eV. To obtain accurate  $L$  and  $M$  x-ray energies, relativistic corrections have been included in the calculation. As an illustrative example we present here relativistic corrections for 3d-2p transitions characterized by  $1s^2 2s^2 2p^k - 1s^2 2s^2 2p^{k-1}$  with varying initial  $2p^k$  hole states, where  $k$  is ranging from  $k=1$  to 6. As can be seen relativistic corrections for the 3d-2p decay are of the order 119-141 eV, depending on the specific  $2p^k$  core states (see Table II). These results agree within a few eV with recent multiconfiguration Dirac-Fock calculations.

### C. Transition probabilities

In this study we have also investigated transition probabilities within the framework of the  $LS$ -averaged approximation. As an example we present here the analytic formula for  $LS$ -averaged transition probabilities

TABLE II. Relativistic corrections for 3d-2p transitions characterized by  $1s^2 2s^2 2p^k - 1s^2 2s^2 2p^{k-1}$  initial-to-final-state configurations.

$k$	1	2	3	4	5	6
$E^R$ (eV)	149	141	135	130	124	119

TABLE III. Averaged hydrogenic transition probabilities  $A_0(nl, n'l')$  in units of  $10^8 \text{ s}^{-1}$ .

$nl-n'l'$	$A_0$	$nl-n'l'$	$A_0$	$nl-n'l'$	$A_0$	$nl-n'l'$	$A_0$
2p-1s	37.575	3s-2p	0.1262	3d-2p	6.4625	4p-3d	0.020 84
3p-1s	10.106	4s-2p	0.051 54	4d-2p	2.0617	5p-3d	0.008 97
4p-1s	4.0896	5s-2p	0.025 76	5d-2p	0.9422	6p-3d	0.004 69
5p-1s	2.0617	6s-2p	0.014 02	6d-2p	0.4906	5p-4d	0.011 30
6p-1s	1.1706	4s-3p	0.036 69	4d-3p	0.7035	6p-4d	0.005 65
3p-2s	1.3464	5s-3p	0.018 09	5d-3p	0.3390	4f-3d	1.9296
4p-2s	0.5799	6s-3p	0.010 14	6d-3p	0.1877	5f-3d	0.6356
5p-2s	0.2968	5s-4p	0.012 90	5d-4p	0.1485	6f-3d	0.3003
6p-2s	0.1635	6s-4p	0.007 162	6d-4p	0.086 18	5f-4d	0.3617
4p-3s	0.1838			5d-4f	0.050 46	6f-4d	0.1801
5p-3s	0.077 70			6d-4f	0.021 44	5g-4f	0.7654
6p-3s	0.057 28					6g-4f	0.2470
5p-4s	0.044 21						
6p-4s	0.026 73						

features. Furthermore, from Table III it may be inferred that  $3d-4f$  transitions are of major significance for the observed *M* x-ray spectra. The experimental results are discussed in the following section.

#### IV. RESULTS AND DISCUSSION

Figure 1 gives an overview of the observed x-ray spectra originating from Xe<sup>44+</sup> to Xe<sup>48+</sup> ions impinging on Cu surfaces. As can be seen the Xe<sup>44+</sup> spectrum [Fig. 1(a)] consists only of lower-energy *M* x-ray peaks due to the missing *L* vacancy states of the incoming projectile ion. From this figure we can also deduce that no additional *L*-shell vacancies are produced during the impact of the ion on the surface. In contrast the spectra resulting from Xe<sup>45+</sup> to Xe<sup>48+</sup> initial projectiles show, as expected, higher-energy *L* x-ray-line structures, which arise

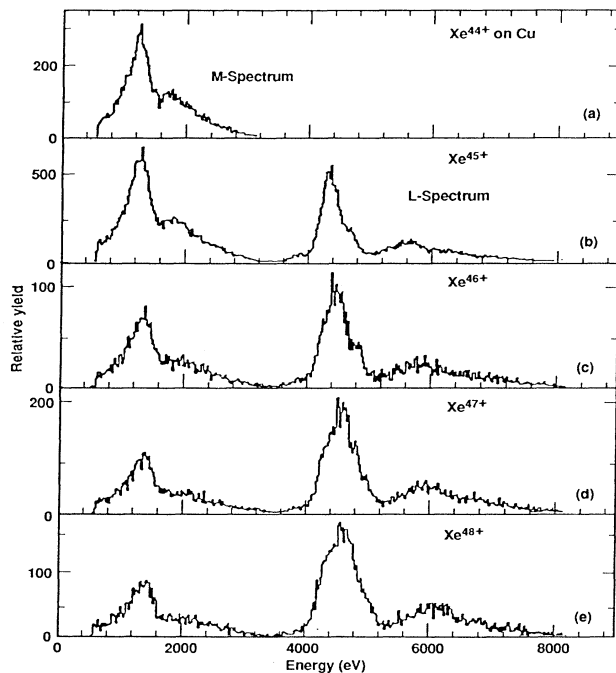


FIG. 1. (a)–(e) Xe *M, L* x-ray spectra following  $7q$  keV Xe<sup>*q*</sup> ( $q = 44-48$ ) ion impact on a Cu surface as a function of the projectile charge  $q$ .

predominantly from electric-dipole transitions into empty  $2p$  states. In accordance with the increasing number of  $2p$  vacancies (see Table I and the Appendix), the measured *L* line energy positions shift towards higher energies with increased charge state  $q$ . Due to many satellite-line groups and limited energy resolution of our Si (Li) detector the observed line intensities are averaged over several closely spaced line groups. For the following discussion we have displayed the Xe<sup>44+</sup>, Xe<sup>45+</sup>, and Xe<sup>48+</sup> spectra in more detail in Figs. 2(a)–2(c). For a comparison we have indicated the range of the calculated  $2p-3d$  transition energies in Figs. 2(b) and 2(c) for the satellite groups originating from  $1s^2 2s^2 2p^k$  ( $k = 2-5$ ) initial inner-hole-state configurations. These include about 350 possible satellite groups (see the Appendix) with centroid energies varying from 4053 to 5166 eV for Xe<sup>45+</sup> and  $4 \times 350$  satellite groups for Xe<sup>48+</sup> projectiles with a total-energy variation of about 4053 to 4955 eV. The simplest case is Xe<sup>45+</sup>, where the projectile ion arrives at the surface with only one  $2p$  hole. The following configurations of multiply excited states with main quantum numbers  $n \leq 4$  and angular-momentum states  $l \leq 2$  were con-

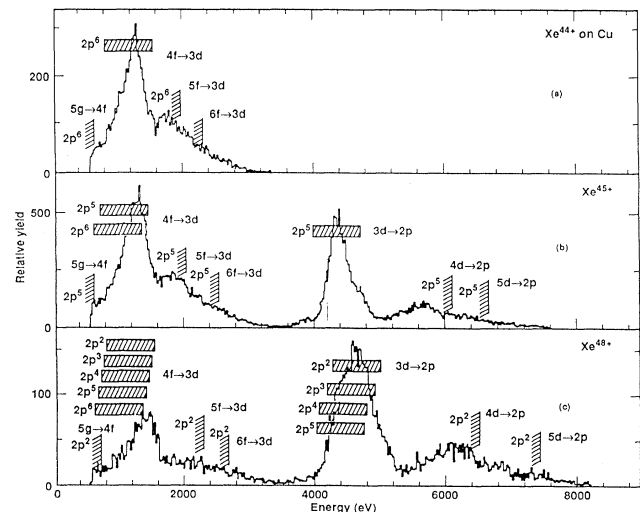


FIG. 2. Comparison of observed and predicted x-ray structures for (a) Xe<sup>44+</sup>, (b) Xe<sup>45+</sup>, and (c) Xe<sup>48+</sup>.





lies in the energy range predicted for the satellite group listed above, there is a tail of the intensity to higher energy with a shoulder at about 4.7 keV. Additionally one observes a group of lines at around 5.6–6 keV which originates mainly from 4*d*-2*p* transitions. A striking feature is the substantial shift of the position of the maximum in the *L* intensity from Xe<sup>45+</sup> to Xe<sup>48+</sup> of about 300 eV in accordance with the predicted shift of about 308 eV (see Table I).

By comparing the line profile of the 3*d*-2*p* structure for Xe<sup>48+</sup> with the Xe<sup>45+</sup> profile, we find that the *L*-line

structure of the Xe<sup>48+</sup> spectrum is much broader than the corresponding Xe<sup>45+</sup> peak due to the subsequent filling of the *L* shell. The fact that the intensity originating from the 1*s*<sup>2</sup>2*s*<sup>2</sup>2*p*<sup>2</sup> core state is higher than for 1*s*<sup>2</sup>2*s*<sup>2</sup>2*p*<sup>5</sup> could have the following reasons: The lower energetic *L* x rays associated with the 2*p*<sup>3</sup>, 2*p*<sup>4</sup>, and 2*p*<sup>5</sup> core states may be emitted by sequential filling at a later state of the ion-surface interaction in combination with cascade feeding, for example from 5*g*-4*f*-3*d* decays, when the ions have already penetrated into the solid.

In Figs. 2(b) and 2(c) we have also indicated the series

TABLE V. Transition energies (eV) for ions with  $Z=54$  (Xe<sup>45+</sup>)  $\Phi_0\Phi_1-2p^{-1}3d\Phi_0\Phi_1$ ;  $\Phi_0=1s^22s^22p^5$ ;  $\Phi_1=3s^{n_4}3p^{n_5}3d^{n_6}4s^{n_7}4p^{n_8}4d^{n_9}5s^{n_{11}}5p^{n_{12}}$ ;  $N=9+n_4+n_5+n_6+n_7+n_8+n_9+n_{11}+n_{12}$ ;  $n_4=1-2$ ,  $n_5=0-6$ ,  $n_7=0-2$ ,  $n_8=0-8$ ,  $n_9=0-10$ ,  $n_{11}=0-2$ ,  $n_{12}=0-6$ . I,  $\Phi_1=3d^n\Phi_0$ ,  $n=0-9$ ; II,  $\Phi_1=\Phi_03s^{n_4}3p^{n_5}4s^{n_7}4p^{n_8}4d^{n_9}5s^{n_{11}}5p^{n_{12}}=\Phi^*$ ; III,  $\Phi_1=3d\Phi^*$ ; IV,  $\Phi_1=3d^2\Phi^*$ ; V,  $\Phi_1=3d^3\Phi^*$ ; VI,  $\Phi_1=3d^4\Phi^*$ ; VII,  $\Phi_1=3d^5\Phi^*$ ; VIII,  $\Phi_1=3d^6\Phi^*$ ; IX,  $\Phi_1=3d^7\Phi^*$ ; X,  $\Phi_1=3d^8\Phi^*$ ; XI,  $\Phi_1=3d^9\Phi^*$ .

N	I	II	III	IV	V	VI	VII	VIII	IX	X	XI
9	4749										
10	4722	4728									
11	4695	4706	4701								
12	4669	4681	4680	4674							
13	4642	4655	4654	4653	4648						
14	4616	4630	4629	4628	4627	4621					
15	4589	4605	4604	4603	4601	4600	4595				
16	4563	4580	4578	4577	4576	4575	4574	4569			
17	4537	4554	4553	4552	4551	4550	4549	4548	4542		
18	4511	4548	4528	4527	4526	4525	4524	4523	4516		
19		4541	4521	4502	4501	4500	4499	4498	4497	4496	4490
20		4533	4515	4495	4476	4475	4474	4473	4472	4471	4470
21		4525	4507	4489	4469	4450	4449	4448	4447	4446	4445
22		4517	4499	4481	4463	4443	4424	4423	4422	4421	4420
23		4509	4491	4473	4455	4437	4418	4398	4397	4396	4395
24		4501	4483	4465	4447	4429	4411	4392	4373	4372	4371
25		4493	4475	4457	4439	4421	4403	4385	4366	4347	4346
26		4484	4467	4449	4431	4413	4395	4377	4360	4341	4322
27		4475	4458	4441	4423	4405	4387	4369	4352	4334	4315
28		4466	4449	4432	4415	4397	4379	4362	4344	4326	4308
29		4457	4440	4423	4406	4389	4371	4354	4336	4318	4301
30		4449	4432	4414	4397	4380	4363	4346	4328	4310	4293
31		4440	4423	4406	4389	4372	4355	4338	4320	4303	4285
32		4431	4414	4397	4380	4363	4346	4329	4312	4295	4277
33		4422	4405	4388	4371	4354	4337	4321	4304	4287	4269
34		4414	4396	4379	4363	4346	4329	4312	4295	4278	4262
35		4405	4388	4371	4354	4337	4320	4303	4286	4270	4253
36		4401	4379	4362	4345	4328	4311	4295	4278	4261	4244
37		4398	4376	4359	4342	4325	4308	4291	4274	4257	4240
38		4394	4372	4355	4338	4321	4304	4287	4270	4253	4236
39		4391	4369	4352	4335	4318	4301	4284	4267	4250	4233
40		4387	4365	4348	4331	4314	4297	4280	4263	4246	4229
41		4383	4361	4344	4327	4310	4293	4276	4259	4242	4225
42		4379	4357	4340	4323	4306	4289	4272	4255	4238	4221
43		4375	4353	4336	4319	4302	4285	4268	4251	4234	4217
44			4349	4332	4315	4298	4281	4264	4247	4230	4213
45				4328	4311	4294	4277	4260	4243	4226	4209
46				4324	4307	4290	4273	4256	4239	4222	4205
47					4298	4281	4264	4247	4230	4213	4196
48						4273	4256	4239	4222	4205	4188
49							4258	4241	4224	4207	4190
50								4242	4225	4208	4191
51									4229	4212	4195
52										4218	4201









line intensities and the group of  $L$  lines shift to higher energies with increasing number of  $L$  vacancies. A comparison of the experimental data with calculated transition energies and rates originating from  $n=3-5$  states suggests that transitions of the type  $3d-2p$  dominate for the  $L$  spectra and  $4f-3d$  for the  $M$  line structures, where  $4f$  states cannot directly decay to the  $2p$  states. In addition, we have also observed weaker transitions arising from  $4d-2p$  and  $5d-2p$  as well as  $5g-4f$ .

The discussion of charge-transfer processes and time scales involved when highly charged Xe ions impinge on a Cu surface suggest the following picture: During the approach on the way in to the surface highly excited states ( $n \cong 20-40$ ) are formed. These so-called "hollow atoms" survive until the ions hit the surface. Those ions penetrating into the solid interact with single Cu atoms, leading to a side feeding of  $n \geq 3$  states, which give rise to the observed x-ray lines, energies, and intensity ratios.

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#### APPENDIX

As a representative example the relevant  $2p-3d$  x-ray energies ( $Q-2p^{-1}3dQ$ ) are given in Tables IV-IX with

respect to the number of initial  $2p$  vacancies. Thus Table IV shows the energy values in eV for radiative transitions of the type

$$\begin{aligned} & \Phi_0 \Phi_1 - 2p^{-1} 3d \Phi_0 \Phi_1, \\ & \Phi_0 = 1s^2 2s^2 2p^k, \\ & \Phi_1 = 3s^k 3p^k 3d^k 4s^k 4p^k 4d^k 5s^k 5p^k. \end{aligned} \quad (\text{A1})$$

This table is divided into I-XI columns, depending on the initial number of electrons in the system. As can be seen in the first column labeled I, the  $3d$  subshell becomes progressively filled ( $\Phi_1 = 3d^k$ ). The next column (II) gives the corresponding energy values for  $\Phi_1$  with different numbers of electrons in all subshells except  $3d$ , by occupying first  $3s^k$ , then  $3p^k$ , and so on. Initially, all outer subshells are filled. The columns labeled III-XI are organized in an analogous way, but by progressively adding one  $3d$  electron for every new column. The key parameters in our theoretical mode of multiply core-excited states are the occupation numbers  $k_i$ ; in particular, the number of  $2p$  and  $3d$  electrons are of primary importance. The data listed in Tables IV-IX clearly indicate that changing the number of  $2p$  vacancies by one sifts the  $L$  x-ray energies above 100 eV. On the other hand, adding one extra  $3d$  electron decreases the energy by about 20-30 eV.

For each  $L$  spectrum correlated with a specific  $2p$ -hole state, i.e.,

$$\Phi_0 = 1s^2 2s^2 2p^k \quad (k=6 \text{ to } 1),$$

350 different  $2p-3d$  transition energies have been calculated.

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