# Three-electron systems with inner-shell vacancies 

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A computational method is described for obtaining inner-shell-vacancy states of three-electron atoms which combines a block-diagonalization procedure with generalized Feshbach projection operators applicable to systems with three or more electrons. Typically, the accuracy is about 1.5 parts per thousand $\left(\delta E / E \approx 1.5 \times 10^{-3}\right)$. The strength of the method is that it provides many energy levels for each Rydberg series. A quantum-defect analysis is then applied that identifies the members of each series and yields reliable quantum defects and series limits. The method is particularly important in symmetries for which multiple Rydberg series exist. The present work reports on ${ }^{4} P^{e}$ states of three-electron systems with $3 \leq Z \leq 10$, which are compared with other calculations. The energies of ${ }^{2} S^{e}$ states of $C^{3+}$ are also presented as an example requiring both the multielectron Feshbach projection operators and the quantum-defect analysis developed here. Four distinct Rydberg series are found for this case and their series limits obtained.

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## I. INTRODUCTION

Inner-shell-vacancy states, the existence of which was known experimentally in the early 1930s, appear as discrete energy levels embedded in a continuum spectrum. They play an important role in various physical processes such as dielectric recombination, photoabsorption, electron scattering, and multielectron phenomena in ion-atom and atom-atom collisions, to name just a few. The investigation of these states both experimentally and theoretically has yielded important information on the effects of electron correlations in atomic systems. This in turn has led to the development of new theories, classification schemes, and the search for new quantum numbers to characterize these states [1]. The present work describes a computational method for obtaining inner-shell-vacancy states of three-electron atoms that combines a block-diagonalization procedure with Feshbach projection operators. Typically, this method provides energy values that are too high by about 1.5 parts per thousand ( $\delta E / E \approx 1.5 \times 10^{-3}$ ). The error is almost entirely due to the absence of continuum functions in the basis set, a limitation imposed by the matrixdiagonalization techniques employed in this work. This question is more completely addressed in Sec. IV B. The value of the method rather lies in providing ten or more energy levels of comparable accuracy for each series within a given configuration. Thus, reliable quantum defects and series limits can be (and are) calculated.

For configurations with multiple series converging to different thresholds, it is extremely difficult to unscramble the different series, particularly if the thresholds are not
accurately known. Because the computational method developed in this work generates many members of each series, they can be identified by examining their quantum defects after the threshold values have been determined. Results for all Rydberg series for lithiumlike atoms with $3 \leq Z \leq 10$ will appear in a subsequent paper; the present work reports the ${ }^{4} P^{e}$ states, for which comparisons can be made with other works, and a sample multiple Rydberg series for $\mathrm{C}^{3+}$ to illustrate the method. The quartet states can be calculated without the Feshbach projection operators developed in this work because they are separated from the ionized states by different spins. They are therefore not coupled by the model Hamiltonian, which contains kinetic and Coulomb terms only. Because they are coupled to the background continuum only via the very small spin-orbit and spin-spin terms in the true Hamiltonian, which are always neglected in structural calculations, they are very long lived and are called metastable states.

Inner-shell-vacancy states are not true discrete (normalizable) states. In most cases, they are coupled to the continuum background via the interelectron Coulomb interaction. Generally, the coupling is weak, so that the states behave experimentally very much like true bound states. Nevertheless, they are not eigenstates of the physically correct atomic Hamiltonian (as opposed to simplified models of the Hamiltonian used in most computational procedures), and are therefore not stationary states. If they do not first decay via some other deexcitation channel, they must undergo a transition into the background ionization continuum. To obtain these autoionizing states via some calculational procedure, they
must in some way be separated from the background ionization continuum. The two most successful methods devised to accomplish that task are Feshbach projection operators [2] and the complex rotation method [3]. The present work deals exclusively with the former, and extends and generalizes the Hahn, O'Malley, and Spruch [4] version of the Feshbach projection operators.

In the independent-particle model, an atomic state is characterized by its configuration and symmetry, described by the quantum numbers $L, S, L_{z}, S_{z}, \pi$ or $J, J_{z}, \pi$ plus the principal and angular quantum numbers of the individual electrons. The wave function that describes the state is the antisymmetrized product of singleelectron functions, or linear combinations thereof. Thus, the situation in which a particular core electron is excited, thereby leaving a vacancy in the inner shell, is easily visualized. An atom in such a state will have an energy that in general exceeds the ionization energy. In such situations, or with the simultaneous excitation of two or more outer electrons to large distances from the residual ion, correlation effects become important, since the dominant role of the nuclear Coulomb potential is reduced. Electron correlations are described within the independent-particle model by the superposition of configurations of the same symmetry. For example, the lowest doubly excited ${ }^{3} S^{e}$ state (i.e., with two $1 s$ vacancies) in helium, $(2,3 a)^{3} S^{e}$ in the empirical classification of Conneely and Lipsky [5], is composed over $90 \%$ of configurations coherently mixed according to $|2 s n s\rangle+|2 p n p\rangle$. Since two-electron atoms are the simplest systems in which multiple excitations can occur, they are the most extensively investigated and have served as prototypes for the spectroscopy of multiple excitations. Several other classification schemes have been used to describe angular and radial correlations in doubly excited states of two-electron systems, two of which are group theoretical: one introduced by Wulfman [6] and used extensively by Sinanoglu and Herrick [7], and the hyperspherical approach first described by Macek [8] and developed by Lin [9] and by Fano and Rau [10].

The concepts and techniques used in the study of doubly excited two-electron systems are relevant to analogous phenomena in atoms with three or more electrons. Such phenomena are far more varied in atoms for which the excited electrons can exchange energy and angular momentum with ionic cores that include other electrons. Transitions from some quartet states, for which Coulomb autoionization decay is forbidden by spin selection rules, were already observed in optical spectra in the 1920s [11]. Since then, the amount of data on multiexcited atomic systems has grown explosively. There are so many observed levels that their identification seems close to impossible, particularly since there have been very few calculations performed beyond the lowest levels. Many reviews have covered studies of multiply excited states [12-15], and recently Mannervik [16] has reviewed developments for few-electron systems with emphasis on emission spectroscopy, while also giving some historical background.
The energy spectrum of lithiumlike ions can be divided into three groups of levels. The first group, converging
on the ( $1 s)^{2}{ }^{1} S^{e}$ ground state of the corresponding twoelectron residual ion, consists of levels with a filled $1 s$ shell together with one excited electron. They are the ground state and singly excited states $(1 s)^{2} n l^{2} l$ with parity $(-1)^{l}$. These are shown for Li on the left-hand side of Fig. 1. The second group is composed of levels for which there is only one $1 s$ electron. The terminology for these states is not uniform. Either of two electrons can decay down to fill the $1 s$ vacancy. Hence, in analogy with twoelectron systems, they are often referred to as doubly excited states. The other term used to describe inner-shellvacancy states is taken from the Auger effect for manyelectron systems, where it is referred to as a single-inner-shell-vacancy state. A representative sample for Li is shown in the center of Fig. 1. The third spectral group consists of double-K-shell-vacancy states with no electrons in the $1 s$ shell. These are also described as triply excited states. A representative sample of such states is shown on the right-hand side of Fig. 1.

The singly excited states are well studied experimentally by optical spectroscopy methods and theoretically by various approximations of atomic theory. The levels of the second and third groups, in addition to radiative decay channels, also have nonradiative paths. These states are degenerate with a continuum of states of the atomic Hamiltonian having the same quantum numbers [17], and are called autoionizing states. The discrete and continuous states mix to allow the radiationless transition to occur. The selection rules for such a decay require, however, that the total angular momentum $J$ and parity $\pi$ be


FIG. 1. Energy-level diagram for Li singly excited states, $(1 s 2 p n p)^{4} P^{e}$ doubly excited states converging to $1 s 2 p^{3} P^{o}$ threshold, and triply excited ${ }^{2} S^{e}$ and ${ }^{2} P^{o}$ states converging to various $2 l 2 l^{\prime}$ thresholds.
conserved. Since we are considering states in the $L-S$ coupling approximation, the selection rules also require that $L$ and $S$ are conserved. Due to the conservation laws for $L, S$, and $\pi$, many multiply excited states are forbidden to decay via the Coulomb interaction. Such states are metastable, and can only decay radiatively or autoionize via the spin-orbit, spin-other-orbit, or spin-spin interactions. These decay modes are slow compared with Coulomb-mediated autoionization $\left(10^{4}-10^{-9}\right.$ sec versus $\left.10^{-13} \mathrm{sec}\right)$. The $1 s(2 p)^{24} P^{e}$ and the $(2 p)^{34} S^{o}$ states of Li-like ions are two specific examples of multiply excited metastable states. The ${ }^{4} P^{e}$ state is degenerate only with the doublet continuum $\left[(1 s)^{2} S k p\right]^{2} P^{e}$, while the $(2 p)^{34} S^{o}$ state is embedded in ${ }^{4} S$ continua of the wrong parity ( $1 s 2 s k s{ }^{4} S^{e}$ or $1 s 2 p k p{ }^{4} S^{e}$ ).

The first accurate calculations on the doubly excited quartet states of lithium were done by Holoien and Geltman [18], who performed variational calculations using Hylleraas-type wave functions, and by Weiss [19], who performed configuration-interaction calculations. Since then, a number of authors have carried out calculations on the lowest quartet and doublet states of lithiumlike ions using a variety of techniques: Lunell and Beebe [20] and Lunell [21] (multiconfiguration Hartree-Fock), Bhatia and Temkin [22,23] (quasiprojection-operator method), Glass [24] and Bunge [25-29] (configuration interaction), Safronova and co-workers [30-32] ( $1 / Z$ expansion), Chung [33-38] (saddle-point technique). Relativistic and mass-polarization effects are included in Chung's calculations, and the method was also used to calculate the energies of triply excited states [39]. These calculations have been used to identify excited states and Auger lines seen in experiments [40-42]. Chung and Davis [43] have incorporated the saddle-point method with the complex rotation method to calculate the Auger width [44] or the spin-induced width [45] of some atomic ions. Many of these predictions were verified by precise experiments [13,46,47].

Ahmed and Lipsky [48] used a large set of configurations that excluded both $1 s$ orbitals to calculate energy levels for some triply excited states of $\mathrm{He}^{-}$and its isoelectronic sequence. They devised a procedure that automatically sets up all the totally antisymmetric threeelectron wave functions for a given set of orbitals ( $n_{1} l_{1}, n_{2} l_{2}, n_{3} l_{3}$ ) and calculates the matrix elements of the three-electron Hamiltonian using matrix elements already calculated for the two-electron case $[49,50]$. Their procedure was used in this study to calculate energy levels of three-electron systems with a $1 s$ vacancy (i.e., the doubly excited states) for $Z=2-10$ and for all doublet and quartet states with $L=0-4$, some 5000 energy levels all together. Each of the configurations used in the truncated diagonalization procedure has at most one electron in the $1 s$ shell. As will be shown in Sec. III, this procedure turns out to be an extension of the Hahn, O'Malley, and Spruch [4] version of the Feshbach projection operators [2], which was so successful in predicting doubly excited states in the two-electron case [50-53]. In Sec. IV of this paper, the results for the ${ }^{4} P^{e}$ states in the isoelectronic series Li to $\mathrm{Ne}^{7+}$, which have but a single Rydberg series, are presented. Section IV also presents a
multiple-Rydberg series of states for $\mathrm{C}^{3+}$ as an example of an application requiring the generalized version of the Feshbach projection operators. The full catalog of $K-$ shell vacancy states will be published separately. Also in Sec. IV, Rydberg series of levels are fit to effective quantum numbers $n^{*}$, the fractional parts of which (the quantum defects) vary slowly with $n$. To do so, it was found necessary to use threshold energies shifted from the values obtained in equivalent two-electron calculations. The reason for this threshold energy shift is established with the help of a perturbation expansion in $1 / Z$. It turns out to be an artifact of the calculation, due to the absence of continuum orbitals in the configurations used in the truncated diagonalization procedure.

The generalized Feshbach projection operators here developed are valid for a wide range of metastable or autoionizing states of multielectron atoms, including those involving inner-shell vacancies. They are formulated in Sec. III for three-electron atoms, since three is the smallest number of electrons that illustrate the general formulation. They can be used as they stand for Li-like systems, but for applications involving four or more electrons, a straightforward generalization is required. These projection operators were originally formulated by Russek and Furlan [54] for an unrelated molecular physics application, but are also applicable to autoionizing states.

The present formulation of the Feshbach projection operators is particularly well suited to the matrix blockdiagonalization approach, which has been used earlier to obtain autoionizing states [49,50,52,55,56]. Indeed, the formulation of Sec. III places the block-diagonalization approach on a rigorous foundation. However, the present formulation is more general, and permits variational techniques and $Z$-dependent perturbation theory to be used as well.

## II. METHOD

The true physical Hamiltonian describing the system will here be denoted by $H_{p}$ and is of the form

$$
\begin{equation*}
H_{p}=H+V_{s} \tag{1a}
\end{equation*}
$$

where $V_{s}$ stands for a collection of spin-dependent and relativistic terms, all of which are very small, and $H$ is the model Hamiltonian. In its nonrelativistic form in atomic units $H$ is given by

$$
\begin{equation*}
H=H_{0}+V \tag{1b}
\end{equation*}
$$

where

$$
\begin{equation*}
H_{0}=H_{1}+H_{2}+H_{3}=\sum_{i=1}^{3}\left(T_{i}-\frac{Z}{r_{i}}\right) \tag{2a}
\end{equation*}
$$

and

$$
\begin{equation*}
V=V_{12}+V_{23}+V_{31} \tag{2b}
\end{equation*}
$$

Here $T_{i}$ is the kinetic-energy operator for the $i$ th electron and $V_{i j}=1 / r_{i j}$. The states and energies here described are obtained by diagonalizing the model Hamil-
tonian $H$ using the antisymmetric basis functions $\Psi\left(n_{1} l_{1}, n_{2} l_{2}, n_{3} l_{3}: L S\right)$ constructed from hydrogenic functions. The spin-dependent term $V_{s}$ is mentioned only because it is responsible for the eventual autoionization of so-called metastable states, such as quartet states, which are stable against autoionization via the Coulomb interaction (2b), but eventually decay via spin rearrangement.

The procedure described in Ahmed and Lipsky [48] is used for generating the three-electron configurations and the associated matrix of the model Hamiltonian H. A full description of the procedure is given in that work. Following their method, the totally antisymmetric threeelectron wave function is expressed in terms of vectorcoupled products of all antisymmetric two-electron wave functions $\left[\Phi_{j}\left(1,2 \mid L_{j}, S_{j}\right)\right.$ ] constructed from two of the same three orbitals, and multiplied by the wave function of the third electron. That is,

$$
\begin{align*}
\Psi_{i}(1,2,3) & =\sum_{j} a_{i j}\left[\phi_{j}\left(1,2 \mid L_{j} S_{j}\right) \phi_{j}^{\prime}(3)\right]^{L S} \\
& =\sum_{j} a_{i j} \Phi_{j}(1,2: 3), \tag{3}
\end{align*}
$$

where $\phi^{\prime}(\mathbf{r})=R_{n l}(r) \boldsymbol{Y}_{l}^{m}(\theta, \phi) \chi_{1 / 2}^{\mu}$. The $\Psi_{i}$ are fully antisymmetric, while $\Phi_{j}$ is antisymmetric only in variables 1 and 2. The $a_{i j}$ are the generalized fractional-parentage coefficients described in Ref. [48]. They make the linear combination fully antisymmetric in all variables. The nomenclature derives from open-shell theory, where the equivalents to the $\phi_{j}\left(1,2 \mid L_{j}, S_{j}\right)$ are referred to as parents of the $\Psi_{i}$. There may be more than one $\Psi_{i}$ with the same set of orbitals and the same $L, S$ and $\pi$. If so, then a seniority index must be assigned to each of the independent functions. Hence the subscript $i=1,2, \ldots$.

The energies are obtained by calculating (in blocks) and diagonalizing a matrix written symbolically as

$$
\begin{equation*}
H=a h a^{T}, \tag{4}
\end{equation*}
$$

where $a$ is the matrix of the $a_{i j}, a^{T}$ is its transpose, and $h$ is the matrix whose components are given by

$$
\begin{equation*}
h_{i j}=\left\langle\Phi_{i}(1,2: 3)\right| 3\left(H_{3}+1 / r_{12}\right)\left|\Phi_{j}(1,2: 3)\right\rangle \tag{5}
\end{equation*}
$$

In this way, the two-electron interactions can be calculated in terms of

$$
\left\langle\phi_{i}\left(1,2 \mid n_{1}, l_{1}, n_{2}, l_{2}: L_{i} S_{i}\right)\right| V_{12}\left|\phi_{j}\left(1,2 \mid n_{3}, l_{3}, n_{4}, l_{4}: L_{j}, S_{j}\right)\right\rangle,
$$

exactly the matrix elements used in the two-electron problem. The matrix $a$ can be used to construct a representation of the idempotent total antisymmetrization operator $A$ in the basis of the $\Phi_{j}(1,2: 3)$. Note that $a$ is not a square matrix; for a given set of orbitals and configurations, it has the dimensions [number of parents] $\times$ [number of independent functions (seniority number)]. It can be shown that

$$
\begin{equation*}
a a^{T}=I \tag{6a}
\end{equation*}
$$

but

$$
\begin{equation*}
a^{T} a=A \tag{6b}
\end{equation*}
$$

It follows from Eqs. (6) that $A$ is indeed idempotent, since

$$
\begin{equation*}
A^{2}=a^{T} a a^{T} a=a^{T} I a=a^{T} a=A \tag{7}
\end{equation*}
$$

The basis set used in the calculation includes all configurations of the form $n_{1} l_{1}, n_{2} l_{2}, n_{3} l_{3}$, where $0 \leq l_{i} \leq l_{\max }=5$ and $n_{i} \leq n_{\max }=20$, with the following restrictions. Only if two of the three $n_{i}$ are less than or equal to 3 can the third be as large as $n_{\text {max }}$. Otherwise, the maximum value is 6 . (For example, the triplets $\{3,3,20\}$ and $\{6,6,6\}$ are included, but $\{2,4,7\}$ is not.) For any configuration, at most one $n_{i}$ can equal 1 (e.g., $\{1, n, 1\}$ is not allowed). This latter restriction will be translated into projection-operator terminology ( $P, Q_{\mathrm{I}}$, and $Q_{\text {II }}$ project onto subspaces containing 0,1 , and 2 vacancies in the $K$ shell) in Sec. III.

The procedure yields different numbers of configurations depending on the particular symmetry $L, S, \pi$ under consideration. For example, for ${ }^{4} S^{e}$ symmetry, this procedure gives 338 configurations, while for ${ }^{2} D^{e}$ the number of configurations is 924 . Each configuration in turn produces anywhere from zero to ten (or even more) antisymmetric basis functions. For instance, no functions based on $\{2 s, 2 s, 2 s\}$ are allowed, while $\{2 s, 2 s, 2 p\}^{2} P^{o}$ produces but a single function, since the three functions corresponding to the configurations $(2 s)^{21} S 2 p$, $(2 s 2 p)^{1} P^{o} 2 s$, and $(2 s 2 p)^{3} P 2 s$ all yield the same totally antisymmetric ${ }^{2} P^{o}$ basis function. On the other hand, $\{1 s, 2 s, 2 p\}^{2} P^{o}$ produces two functions, while the 30 configurations consistent with $\{3 d, 4 d, 4 f\}^{2} F^{o}$ yield ten orthogonal, independent antisymmetric functions. Since $A$ is idempotent, the number of functions is given by $\operatorname{Tr}(A)$. A computer program automatically generates all the basis functions for a given configuration and also calculates the fractional-parentage coefficients for the basis functions. Further details are provided in Ref. [48].

## III. THEORY

## A. Formulation of the projection operators

Given a basis set of product states constructed from a fixed set of one-electron orbitals, a class of autoionizing states is obtained by diagonalizing a subspace consisting of configurations, all of which have a single vacancy in the $K$ shell. It will here be shown that this process is completely equivalent to solving for the eigenstates of
$Q_{\mathrm{I}} H Q_{\mathrm{I}}$, where $Q_{\mathrm{I}}$ is a projection operator that rejects all states except those having one, and only one, vacancy in the $K$ shell. For the sake of explicitness, the threeelectron case will be considered, since three is the least number of electrons illustrating the general case. The method can be generalized to an arbitrary number of electrons, an arbitrary shell and an arbitrary number of vacancies therein. The form and algebra of projection operators for inner-shell vacancies are essentially the same as those introduced by Russek and Furlan [54], differing only by a notational change to make them consistent with the conventions that have arisen in the literature on autoionizing states. We will show how they reduce to the Hahn, O'Malley, and Spruch projection operators for two-electron atoms. The two-electron situation proves to be too special a case to illuminate the general formulation.

Let $\phi_{n}$ be a predetermined set of one-electron spatial orbitals, with $\phi_{0}$ the ground-state ( $K$-shell) orbital. They can be either hydrogenic or Hartree-Fock orbitals. Actually, only $\phi_{0}$ itself is required. The rest of the complete set need not be explicitly obtained if a variational calculation is being carried out. The one-electron projection operators $p_{i}$ and $q_{i}$ are defined as

$$
\begin{align*}
p_{i} & =\left|\phi_{0}\left(r_{i}\right)\right\rangle\left\langle\phi_{0}\left(r_{i}\right)\right|,  \tag{8a}\\
q_{i} & =1-p_{i}, \tag{8b}
\end{align*}
$$

where $r_{i}$ denotes the coordinates of the $i$ th electron. These projection operators satisfy the well-known relations
$q_{i}^{2}=q_{i}, \quad p_{i}^{2}=p_{i}, \quad p_{i} q_{i}=0, \quad p_{i}+q_{i}=1$.
Projection operators describing different electrons commute:

$$
\begin{equation*}
q_{i} q_{j}=q_{j} q_{i}, \quad p_{i} q_{j}=q_{j} p_{i}, \quad p_{i} p_{j}=p_{j} p_{i} \tag{10}
\end{equation*}
$$

from which it follows that all one-electron operators commute (including $j=i$ ), since $q_{i}$ and $p_{i}$ each commute with themselves and $p_{i} q_{i}=q_{i} p_{i}=0$.

With three pairs of projection operators, exactly eight product terms can be constructed having a $p$ or a $q$ for each electron. The product of any two of the eight product terms vanishes, since different product terms must differ in the factors for at least one electron. For example,

$$
\begin{equation*}
\left(p_{1} q_{2} p_{3}\right)\left(p_{1} q_{2} q_{3}\right)=p_{1}^{2} q_{2}^{2} p_{3} q_{3}=0 \tag{11}
\end{equation*}
$$

It was shown by Russek and Furlan [54] that these eight products can be grouped into four complementary projection operators:

$$
\begin{align*}
& R=p_{1} p_{2} p_{3}, \\
& P=q_{1} p_{2} p_{3}+p_{1} q_{2} p_{3}+p_{1} p_{2} q_{3},  \tag{12}\\
& Q_{\mathrm{I}}=q_{1} q_{2} p_{3}+q_{1} p_{2} q_{3}+p_{1} q_{2} q_{3}, \\
& Q_{\mathrm{II}}=q_{1} q_{2} q_{3} .
\end{align*}
$$

[The notation of Eqs. (12) differs, however, from that of

Ref. [54] to conform with the notational conventions adopted to describe autoionizing states.] Each of the projection operators, $P, Q_{\mathrm{I}}, Q_{\mathrm{II}}$, and $R$ is fully symmetric with respect to any permutation of particle coordinates; hence each of them commutes with the antisymmetrization operator. From the commutative property (10) together with Eqs. (9) and (11), it follows that

$$
\begin{equation*}
P^{2}=P, Q_{J}^{2}=Q_{J}, \quad \text { and } R^{2}=R \tag{13}
\end{equation*}
$$

and

$$
\begin{equation*}
P Q_{J}=R Q_{J}=R P=Q_{\mathrm{I}} Q_{\mathrm{II}}=0 \tag{14}
\end{equation*}
$$

where the subscript $J$ stands for I or II. It is not difficult to show that

$$
\begin{equation*}
P+Q_{\mathrm{I}}+Q_{\mathrm{II}}+R=I \tag{15}
\end{equation*}
$$

The projection operators $P, Q_{\mathrm{I}}$, and $Q_{\mathrm{II}}$ project onto subspaces which contain two, one, and zero electrons, respectively, in the $K$ shell. (The subscripts on $Q$ describe the number of vacancies). The projection operator $R$ projects onto a subspace containing three electrons in the $K$ shell. Of course, when spin and antisymmetry are introduced, $R$ acting on any antisymmetrized state will give zero. The antisymmetrization operator rejects any configuration that contains three electrons with the same quantum numbers. Consequently, in addition to its other properties, the antisymmetrization operator is a projection operator orthogonal to $R$.

The eigenfunctions of $Q_{\mathrm{I}} H Q_{\mathrm{I}}$ are those that diagonalize the space consisting of all configurations with a single- $K$-shell vacancy:

$$
\begin{equation*}
Q_{\mathrm{I}} H Q_{\mathrm{I}} \Psi=Q_{\mathrm{I}} H Q_{\mathrm{I}}\left(Q_{\mathrm{I}} \Psi\right)=E\left(Q_{\mathrm{I}} \Psi\right) \tag{16}
\end{equation*}
$$

Thus if $\Psi$ is an eigenstate of $Q_{\mathrm{I}} H Q_{\mathrm{I}}$, then so is $Q_{\mathrm{I}} \Psi$. Similarly, states with a double vacancy in the $K$ shell are obtained as eigenstates of $Q_{\mathrm{II}} H Q_{\mathrm{II}}$. The calculations described in Sec. II obtain the eigenstates and eigenenergies of $\left(Q_{\mathrm{I}}+Q_{\mathrm{II}}\right) H\left(Q_{\mathrm{I}}+Q_{\mathrm{II}}\right)$ rather than just $Q_{\mathrm{I}} H Q_{\mathrm{I}}$. Thus, the calculations do not assume a priori that there exist single- $K$-shell vacancy states and double- $K$-shell vacancy states. The calculations permit the system to speak for itself, so to speak, and in fact the energy levels do group into single- and double-vacancy states. No energy level is found that would suggest a substantial admixture of single and double vacancies in the $K$ shell.

We now show that the projection operators here described are Feshbach projection operators by establishing lower and higher bounds that bracket the fully discrete spectrum of each $Q_{J} H Q_{J}$ and locate that bracketed energy range completely within the ionization continuum of $H$ itself. The eigenstates of $Q_{J} H Q_{J}$ associated with the fully discrete spectrum are localized and give the autoionizing states.

If the orbital $\phi_{0}$, which generates the projection operators, is an eigenstate of the one-electron Hamiltonian in the field of a bare nucleus,

$$
\begin{equation*}
\left(T-\frac{Z}{r}\right) \phi_{0}=-\frac{1}{2} \frac{Z^{2}}{1^{2}} \phi_{0} \tag{17}
\end{equation*}
$$

then lower bounds $E_{L I}$ and $E_{L I I}$ on the fully discrete spectra of $Q_{\mathrm{I}} H Q_{\mathrm{I}}$ and $Q_{\mathrm{II}} H Q_{\mathrm{II}}$ are easily obtained as the $1 s, 2 l, 2 l^{\prime}$ degenerate energy level of

$$
\begin{equation*}
H_{0}=\sum_{i=1}^{3}\left(T_{i}=\frac{Z}{r_{i}}\right)=Z^{2} \mathcal{H}_{0} \tag{18}
\end{equation*}
$$

for $E_{L \mathrm{I}}$, and the $2 l, 2 l^{\prime}, 2 l^{\prime \prime}$ degenerate energy level for $E_{L I I}$. Thus,

$$
\begin{align*}
& E_{L I}=-\frac{1}{2} Z^{2}\left(\frac{1}{1^{2}}+\frac{1}{2^{2}}+\frac{1}{2^{2}}\right)=-\frac{3}{4} Z^{2}  \tag{19a}\\
& E_{L I I}=-\frac{1}{2} Z^{2}\left(\frac{1}{2^{2}}+\frac{1}{2^{2}}+\frac{1}{2^{2}}\right)=-\frac{3}{8} Z^{2} \tag{19b}
\end{align*}
$$

These are generous lower bounds, since the positivedefinite contributions of the interelectron repulsion terms

$$
\begin{equation*}
H^{\prime}=1 / r_{12}+1 / r_{23}+1 / r_{31}=\boldsymbol{Z} \mathscr{H}^{\prime} \tag{20}
\end{equation*}
$$

to $E_{L I}$ and $E_{L I I}$ have been omitted. These bounds need not be the refined bounds sought in a variational calculation of a given energy level. They only have to bracket the fully discrete spectrum of $Q H Q$ with sufficient accuracy to show that it lies in the ionization continuum of $H$ above the singly excited states. In all cases, the lower bound (19) is quite adequate to accomplish that task. Thus, no eigenstate of $Q H Q$ can converge to an optically excited state in any calculation, even when the basis space is indefinitely enlarged.

The higher bound on the fully discrete spectrum of $Q_{\mathrm{I}} H Q_{\mathrm{I}}$, which signals the onset of the ionized continuum of $Q_{\mathrm{I}} H Q_{\mathrm{I}}$, is the lowest series limit for single vacancy states. For systems controlled by a Coulomb potential, the onset of a continuum is always the series limit of Rydberg series. Consequently, the higher bound is established when the lowest 15 or so levels are fit to a Rydberg series of a form

$$
E_{n}=E_{c}-\frac{(Z-2)^{2}}{2(n-d)^{2}}
$$

where the quantum defect $d(n)$ is a slowly varying function of $n$, and $E_{c}$ is the series limit, which should be the energy of the two-electron core state. Together, $E_{L I}$ and $E_{c}$ provide a quick and simple range for the energies of single- $K$-shell-vacancy autoionizing states.

## B. The Hahn, O'Malley, and Spruch operators

The three-electron projection operators of Sec. III A are in some sense generalizations of the Hahn, O'Malley, and Spruch projection operators for the two-electron case. In the notation of Sec. III A, the Hahn, O'Malley, and Spruch projection operators $P$ and $Q$ are defined by

$$
\begin{align*}
& P=P_{\mathrm{I}}+P_{\mathrm{II}}  \tag{21a}\\
& Q=1-P \tag{21b}
\end{align*}
$$

where

$$
\begin{equation*}
P_{\mathrm{I}}=q_{1} p_{2}+p_{1}+q_{2} \tag{22a}
\end{equation*}
$$

$$
\begin{equation*}
P_{\mathrm{II}}=p_{1} p_{2}, \tag{22b}
\end{equation*}
$$

and where $p$ and $q$ are given by Eq. (8), with $\phi_{0}$ a $1 s$ hydrogenic state in the field of a bare nucleus. The operator $P_{\mathrm{I}}$ could equally well have been denoted by $Q_{\mathrm{I}}$, depending on whether the $1 s$ shell is regarded as half full or half empty. Since Hahn, O'Malley, and Spruch characterized the autoionizing state ( $Q$ space) as having no electron in the $1 s$ shell, the single-occupancy case is included in $P$ space. The Hahn, O'Malley, and Spruch projection operator $P$ is more familiarly written in the form

$$
\begin{align*}
P & =P_{\mathrm{I}}+P_{\mathrm{II}}=\left(1-p_{1}\right) p_{2}+p_{1}\left(1-p_{2}\right)+p_{1} p_{2} \\
& =p_{1}+p_{2}-p_{1} p_{2} \tag{23a}
\end{align*}
$$

so that

$$
\begin{equation*}
Q=1-P=1-p_{1}-p_{2}+p_{1} p_{2}=q_{1} q_{2}, \tag{23b}
\end{equation*}
$$

These projection operators can be easily shown to have adequate bounds $E_{L}=-Z^{2} / 4$ and $E_{U}=-Z^{2} / 8$ to separate the fully discrete spectrum of $Q H Q$ from the optically excited states (which are in PHP space).

## C. Application to lithiumlike atoms

In the three-electron case investigated in this work, a single vacancy in the $K$ shell results in either an autoionizing or a metastable state. As a consequence, the singlevacancy projection operator is here denoted by $Q_{\mathrm{I}}$, consistent with the established convention of having autoionizing states in $Q$ space. The present investigation employs a finite basis set consisting of fully bound eigenstates of $H_{0}$, given by (2a). The orbital $\phi_{0}$, which defines the projection operators (8), is the ground hydrogenic state in a Coulomb field $Z / r$. Figure 1 shows the energy spectrum for neutral lithium decomposed into $P, Q_{\mathrm{I}}$, and $Q_{\text {II }}$ subspaces. The solid lines in the spectrum on the left are eigenenergies of $P H P$, including the ground state as well as optically excited states $\left(1 s^{2} n l\right)^{2} l$, which can only decay via photon emission. The continuum spectrum of $P H P$ commences at $E=-7.280$ a.u., with a ( $1 s)^{2}$ residual ion plus a free electron. The spectrum of $Q_{\mathrm{I}} H Q_{\mathrm{I}}$, shown in the center, is bounded from below by $E=-6.75$ a.u., which is the common energy of a degenerate set of eigenstates of $H_{0}$ characterized by $1 s, 2 l, 2 l^{\prime}$. In addition to $H_{0}$, the full Hamiltonian contains the sum of electron-electron repulsive potentials $\sum_{i<j} 1 / r_{i j}$. Since this latter is a positive-definite operator, its expectation value must always be positive regardless of $\Psi$, raising the lowest energy in the spectrum of $Q_{\mathrm{I}} H Q_{\mathrm{I}}$ to a value higher than -6.75 a.u. by a substantial amount.

All the eigenstates of $Q_{\mathrm{I}} H Q_{\mathrm{I}}$ between the $(1 s)^{2}$ and $1 s 2 l$ thresholds are autoionizing or metastable states that can decay into the eigenstates of $P H P$ via the interaction term $Q_{\mathrm{I}} H_{p} P+P H_{p} Q_{\mathrm{I}}$, where $H_{p}$ is the true physical Hamiltonian. This latter contains spin-dependent terms collected in $V_{s}$ in addition to the interelectron Coulomb interaction terms given in Eq. (2b). If the Coulomb terms are able to mediate a transition between $Q$ and $P$ spaces (Coulomb autoionization), the process can be quite rapid.

If the terms in (2b) cannot mediate the transition, the states are termed metastable. They are actually eigenstates of the model Hamiltonian $H$, but will eventually autoionize via spin-dependent terms in $V_{s}$ such as spinorbit and spin-spin. However, the process is very slow compared with Coulomb autoionization. In the energy range between the $(1 s)^{2}$ and $1 s 2 l$ thresholds, an outgoing electron is energetically possible only if one of the other electrons simultaneously drops down to fill the vacancy in the $1 s$ shell. In this sense, the three-electron Hamiltonian $Q_{\mathrm{I}} H Q_{\mathrm{I}}$ accomplishes exactly what the Hahn, O'Malley, and Spruch Hamiltonian $Q H Q$ accomplishes for the two-electron case.
It should be pointed out that the lower bound of -6.75 a.u. to the eigenstates of $Q_{\mathrm{I}} H Q_{\mathrm{I}}$ is valid even if the configurations are not constructed from pure hydrogenic functions, provided that $\phi_{0}$, which defines the oneelectron projection operator $p$, is a pure hydrogenic function. Whatever functions are used, they can be expanded in the complete set of eigenfunctions of $H_{0}$, and $Q_{\mathrm{I}}$ rejects all eigenfunctions of $H_{0}$ with energies below -6.75 a.u. Thus, screened Coulomb radial functions can be employed, or variational procedures can be used to determine the radial functions. Either of these options may yield more accurate results than those obtained here, but at the expense of a vast increase in effort. If, on the other hand, the orbital projection operator $p$ is changed to the ground state of a screened Coulomb wave function, the entire problem is changed, and the bounds must be recomputed to validate that the resulting projecting operators are Feshbach.

The eigenspectrum of $Q_{\text {II }} H Q_{\text {II }}$ (double- $K$-shell vacancies) starts at even higher energies, $E>-3.375$ a.u., corresponding to the degenerate set of eigenstates of $H_{0}$ characterized by $2 l, 2 l^{\prime}, 2 l^{\prime \prime}$. These are shown at the right, taken from Ahmed and Lipsky [48].

## IV. CALCULATED RESULTS FOR ${ }^{4} P^{e}$ STATES

Since the main purpose of this work is to present the method and demonstrate its validity, only two sets of results are presented as examples. The ${ }^{4} P^{e}$ states are examples of a symmetry that has but a single Rydberg series. These quartet states are orthogonal to the subspace of the projection operator $P$, so they are actually eigenstates of the model Hamiltonian given by (1b). As a consequence, projection operators are not needed here. For this reason, many calculations exist for these metastable states with which to assess the accuracy of the present method. The full power of the method is needed in the multiple-Rydberg case for symmetries in which autoionizing states are coupled to the ionized states of $H$ via the Coulomb interactions (2b) in $H$.

For the set of $\left\{n_{i}, l_{i}\right\}$ described in Sec. II, the ${ }^{4} P^{e}$ symmetry has 524 configurations, from which 694 totally antisymmetric functions are constructed. The $694 \times 694$ Hamiltonian matrix is then computed and diagonalized. There is only one Rydberg series of states below any of the four $1 s 2 l$ two-electron states: $1 s 2 s^{1} S^{e}, 1 s 2 s^{3} S^{e}$, $1 s 2 p{ }^{1} P^{o}$, and $1 s 2 p{ }^{3} P^{o}$. It corresponds to the series

$$
\left\{(1 s 2 p)^{3} P^{o}\right\} n p{ }^{4} P^{e} \text { for } n>2 .
$$

These states happen to be metastable. Since there is no adjacent ${ }^{4} P^{e}$ continuum into which they can decay, they are stable against simple Coulomb autoionization. Moreover, there are no quartet singly excited states, so the states are also stable against photodecay.

The Hamiltonian matrix $H$ can be written as

$$
\begin{equation*}
H=Z^{2} \mathcal{H}_{0}+Z \mathcal{H}^{\prime}, \tag{24}
\end{equation*}
$$

where $\mathscr{H}_{0}$ and $\mathscr{H}^{\prime}$ are independent of $Z$. Therefore, once they have been computed, it is a simple matter to compute $H$ for as many values of $Z$ as desired. Of course, $H$ must be diagonalized anew for each value of $\boldsymbol{Z}$.

## A. The energies

In order to guarantee eight-digit accuracy, all calculations were carried out to at least double precision on the IBM3090 computer at the University of Connecticut Computer Center, which processes numbers to approximately 17 decimal digits. The two-electron matrix elements were computed in quadruple precision on the VAX 8700 computer in the University College, Galway, computer center, since the largest roundoff error is bound to occur in these matrix elements [49]. After calculating the matrix elements described in Eq. (5) for a given $Z, L, S, \pi$, the eigenvalues of $H$ [Eq. (4)] were computed. In principle, this involves finding the orthogonal transformation that satisfies

$$
\begin{equation*}
U^{-1} H U=\Lambda \tag{25}
\end{equation*}
$$

where $\Lambda$ is diagonal. The matrix $U$ yields the eigenfunctions $\bar{\Psi}_{n}\left(1,2,3 ; L, S, E_{n}\right)$ of $H$ via the relation

$$
\begin{equation*}
\bar{\Psi}_{n}\left(1,2,3 ; L, S, E_{n}\right)=\sum_{i} u_{n i} \Psi_{i}(1,2,3) \tag{26}
\end{equation*}
$$

where the $\Psi_{i}(1,2,3)$ are the functions from Eq. (3). However, to explicitly compute $U$ would require three times the space and three times the computer CPU time it takes to find $\Lambda$ alone. Since we are not prepared to examine the wave functions at this time, the simpler course was taken. The resulting energies below total ionization are given (in a.u.) in Table I for $Z=3-10$ for the configuration ${ }^{4} P^{e}$. Although there are over 600 energy eigenvalues, only the lowest $10-16$ (depending on $Z$ ) are physically significant. The cutoff is selected by examining the quantum defects, as described in the next section.

## B. The effective quantum numbers and quantum defects

Whenever an atomic system can be viewed as a single electron orbiting a positive ionic core, the energy levels can be parametrized by the Rydberg formula:

$$
\begin{equation*}
E_{n}=E_{c}-\frac{1}{2}\left(\frac{Z-N_{c}}{n^{*}}\right)^{2} \tag{27}
\end{equation*}
$$

where $Z-N_{c} \geq 1$ is the net charge of the ionic core and $n^{*}$ is the effective quantum number. What makes this formula so useful is the fact that $n^{*}$ can be written as

TABLE I. Energy levels (in a.u.) for $(1 s 2 p)^{3} P^{o} n p^{4} P^{e}$ metastable states, for $Z=3-10$.

| $n$ | $\begin{aligned} & Z \\ & 3 \end{aligned}$ | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 2 | 5.23842 | 9.85519 | 15.97579 | 23.59959 | 32.72585 | 43.35391 | 55.48328 | 69.11362 |
| 3 | 5.08849 | 9.41455 | 15.10322 | 22.15411 | 30.56731 | 40.34279 | 51.48039 | 63.97996 |
| 4 | 5.05330 | 9.29850 | 14.85625 | 21.72695 | 29.91080 | 39.40784 | 50.21805 | 62.34138 |
| 5 | 5.03777 | 9.24076 | 14.73889 | 21.52946 | 29.61044 | 38.98144 | 49.64249 | 61.59369 |
| 6 | 5.03010 | 9.21343 | 14.67927 | 21.42423 | 29.44716 | 38.74797 | 49.32676 | 61.18360 |
| 7 | 5.02570 | 9.19731 | 14.64376 | 21.36204 | 29.35118 | 38.61097 | 49.14138 | 60.94243 |
| 8 | 5.02293 | 9.18698 | 14.62096 | 21.32213 | 29.28957 | 38.52294 | 49.02215 | 60.78716 |
| 9 | 5.02107 | 9.17996 | 14.60544 | 21.29497 | 29.24759 | 38.46293 | 48.94083 | 60.68122 |
| 10 | 5.01975 | 9.17498 | 14.59441 | 21.27563 | 29.21768 | 38.42016 | 48.88286 | 60.60570 |
| 11 | 5.01879 | 9.17131 | 14.58628 | 21.26137 | 29.19562 | 38.38859 | 48.84007 | 60.54995 |
| 12 | 5.01800 | 9.16854 | 14.58013 | 21.25055 | 29.17887 | 38.36461 | 48.80757 | 60.50762 |
| 13 | 5.01699 | 9.16639 | 14.57535 | 21.24216 | 29.16585 | 38.34598 | 48.78231 | 60.47472 |
| 14 | 5.01535 | 9.16467 | 14.57157 | 21.23550 | 29.15553 | 38.33121 | 48.76229 | 60.44864 |
| 15 |  | 9.16285 | 14.56852 | 21.23014 | 29.14722 | 38.31930 | 48.74614 | 60.42761 |
| 16 |  | 9.15963 | 14.56567 | 21.22575 | 29.14042 | 38.30955 | 48.73293 | 60.41040 |
| 17 |  |  | 14.56078 | 21.22122 | 29.13463 | 38.30147 | 48.72198 | 60.39614 |
| $\infty$ | 5.01439 | 9.15420 | 14.54816 | 21.19417 | 29.09126 | 38.23898 | 48.63711 | 60.28549 |

$$
\begin{equation*}
n^{*}(n)=n-d(n), \tag{28}
\end{equation*}
$$

where $n$ is the orbital quantum number of the outermost electron and where $d(n)$ is a slowly varying function of $n$. Here, $E_{c}$ is the total energy of the core. In the present case of a three-electron system, $N_{c}=2$, and there are four possible cores, corresponding to the singly excited twoelectron ions: $(1 s, 2 s)^{1} S^{e},(1 s, 2 s)^{3} S^{e},(1 s, 2 p)^{1} P^{o}$, and $(1 s, 2 p)^{3} P^{o}$. The energies of these ions were calculated using basis functions consistent with those used in the three-electron calculations. The results are given in Table II. When these numbers were used for $E_{c}$, in Eq. (27), the results for $d(n)$ were unsatisfactory. But Eq. (27) must hold for some value of $E_{c}$, so that parameter was varied until a fit was found that made $d(n)$ as smooth as possible. This is not as much a guessing game as it might seem at first, since for $n \geq 10$ or so, $d(n)$ is very sensitive to the value chosen for $E_{c}$. In fact, a change of one unit in the fifth decimal digit of $E_{c}$ causes a change in the fourth decimal digit of $d(n)$. Therefore, it can be assumed that the $E_{c}$ are accurate to at least five digits after the decimal point. The values obtained for the $(1 s, 2 p)^{3} P^{o}$ state, which is the core ion for the ${ }^{4} P^{e}$ doubly excited three-electron states, are also given in Table II, together with their differences from the $a b$ initio calcula-

TABLE II. Threshold energies (in a.u.)

| TABLE II. Threshold energies (in a.u.) |  |  |  |
| ---: | ---: | ---: | :---: |
| $\boldsymbol{Z}$ | Calculated | Fitted | Diff. |
| 3 | 5.0209971 | 5.014392 | 0.0066051 |
| 4 | 9.1646542 | 9.154205 | 0.0104492 |
| 5 | 14.5604777 | 14.548160 | 0.0123177 |
| 6 | 21.2074704 | 21.194170 | 0.0133004 |
| 7 | 29.1051384 | 29.091260 | 0.0138784 |
| 8 | 38.2532253 | 38.238980 | 0.0142453 |
| 9 | 48.6515878 | 48.637111 | 0.0144768 |
| 10 | 60.3001408 | 60.285485 | 0.0146558 |

tions just mentioned. The explanation for these differences is given in the following section, which also demonstrates that these differences should be, and indeed are, a smooth function of $1 / Z$.

Table III gives the quantum defects of the energy levels presented in Table I for all $Z$ from 3 to 10 . Note that the mantissas of the numbers are indeed slowly varying functions of $n$ for each $Z$ (i.e., successive values of $n^{*}$ differ by almost exactly 1). More results could have been included in Table III, but a cutoff was made based on the value of $\quad n \quad$ for which $n^{*}(n+1)-n^{*}(n) \gg n^{*}(n)$ $-n^{*}(n-1)$, so that the $d(n)$ are no longer slowly varying.

Table IV compares the results of several calculations for the $1 s 2 p n p{ }^{4} P^{e}$ states. Because they are exact eigenstates of the model Hamiltonian (1b), these quartet states can be calculated without reference to the Feshbach projection operators developed in this work. Indeed, it is for this very reason that the computations exist; they can be carried out by conventional variational calculations used for nonautoionizing states. The results are presented in Table IV to assess the accuracy of the method developed in the present work. Since the present work does not rely on the fact that quartet states are exact eigenstates of the model Hamiltonian, the errors are expected to be the same for these states as they are for multiple series of doublet states, which require the full strength of the method. Column A lists the results of the present work, using hydrogenic functions. Columns $B-E$ give the results of other investigations. Column $B$ gives the results of Davis and Chung [45], column C the results of Lunell and Beebe [20] and Lunell [21], column D the results of Holoien and Geltman [18], and column E gives the results of Bunge [25]. Comparisons with the best of these calculations show that the percentage error of the present results, $\delta E / E$, remains almost constant. For $Z=3$, $\delta E / E$ varies from $1.4 \times 10^{-3}$ for the $n=2$ state to $2.1 \times 10^{-3}$ for the $n=5$ state. As $Z$ increases, the accu-

TABLE III. Effective quantum numbers of $(1 s 2 p)^{3} P^{o} n p^{4} P^{e}$ metastable states for $z=3-10$.

| $N$ | $\begin{gathered} Z \\ 3 \end{gathered}$ | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 2 | 1.49393 | 1.68912 | 1.77541 | 1.82368 | 1.85450 | 1.87593 | 1.89173 | 1.90388 |
| 3 | 2.59770 | 2.77167 | 2.84733 | 2.88685 | 2.91008 | 2.92505 | 2.93544 | 2.94306 |
| 4 | 3.58492 | 3.72298 | 3.82182 | 3.87499 | 3.90543 | 3.92423 | 3.93664 | 3.94525 |
| 5 | 4.62441 | 4.80701 | 4.85729 | 4.88469 | 4.90677 | 4.92380 | 4.93649 | 4.94581 |
| 6 | 5.64187 | 5.81138 | 5.85857 | 5.89696 | 5.92644 | 5.94678 | 5.96032 | 5.96910 |
| 7 | 6.65028 | 6.81168 | 6.86073 | 6.90331 | 6.93481 | 6.95618 | 6.97031 | 6.97929 |
| 8 | 7.65445 | 7.81162 | 7.86235 | 7.90681 | 7.93935 | 7.96172 | 7.97688 | 7.98665 |
| 9 | 8.56508 | 8.81160 | 8.86341 | 8.90884 | 8.94201 | 8.96526 | 9.98152 | 8.99232 |
| 10 | 9.65618 | 9.81168 | 9.86415 | 9.91010 | 9.94360 | 9.96752 | 9.98483 | 9.99667 |
| 11 | 10.65652 | 10.81185 | 10.86472 | 10.91098 | 10.94456 | 10.96890 | 10.98713 | 10.99991 |
| 12 | 11.76519 | 11.81213 | 11.86503 | 11.91152 | 11.94512 | 11.96973 | 11.98866 | 12.00225 |
| 13 |  | 12.81246 | 12.86547 | 12.91196 | 12.94546 | 12.97013 | 12.98958 | 13.00378 |
| 14 |  | 13.82761 | 13.86573 | 13.91222 | 13.94560 | 13.97027 | 13.99015 | 14.00473 |
| 15 |  | 15.21364 | 14.86716 | 14.91251 | 14.94556 | 14.97028 | 14.99043 | 15.00518 |
| 16 |  | 19.19372 | 16.03018 | 15.91644 | 15.94558 | 15.97022 | 15.99057 | 16.00531 |
| 17 |  |  | 18.88474 | 17.19671 | 16.97678 | 16.97165 | 16.99059 | 17.00535 |

TABLE IV. A comparison of energy-level calculations (in a.u.) for $1 s 2 p n p{ }^{4} P^{e}$ states, $\boldsymbol{Z}=3-10$. A, results of the present study using hydrogenic functions; B, results of Davis and Chung [45]; C, results of Lunel and Beebe [20] and Lunell [21]; D, results of Holoien and Geltman [18] E, results of Bunge [25]; F , results of the present study using generalized Laguerre functions.

| Z | A | B | C | D | E | F |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $n=2$ |  |  |  |  |  |  |
| 3 | 5.2384 | 5.2453 | 5.2433 | 5.2459 | 5.2453 | 5.2449 |
| 4 | 9.8552 | 9.8707 | 9.8684 | 9.8688 |  |  |
| 5 | 15.9758 | 16.0003 | 15.9976 | 15.9968 |  |  |
| 6 | 23.5996 | 23.6317 | 23.6284 | 23.6272 |  |  |
| 7 | 32.7259 | 32.7640 | 32.7599 | 32.7587 |  |  |
| 8 | 43.3539 | 43.3969 | 43.3918 | 43.3910 |  |  |
| 9 | 55.4833 | 55.5302 | 55.5238 | 55.5239 |  |  |
| 10 | 69.1136 | 69.1638 |  | 69.1575 |  |  |
| $n=3$ |  |  |  |  |  |  |
| 3 | 5.0885 | 5.0967 | 5.0941 | 5.0939 | 5.0968 | 5.0950 |
| 4 | 9.4145 | 9.4287 | 9.4240 | 9.4232 |  |  |
| 5 | 15.1032 | 15.1243 | 15.1191 | 15.1190 |  |  |
| 6 | 22.1541 | 22.1821 |  | 22.1765 |  |  |
| 7 | 30.5673 | 30.6016 |  | 30.5961 |  |  |
| 8 | 40.3428 | 40.3826 |  | 40.3770 |  |  |
| 9 | 51.4804 | 51.5250 |  | 51.5192 |  |  |
| 10 | 63.9800 | 64.0286 |  | 64.0225 |  |  |
| $n=4$ |  |  |  |  |  |  |
| 3 | 5.0533 | 5.0639 | 5.0607 | 5.0607 | 5.0641 |  |
| 4 | 9.2985 | 9.3124 |  | 9.2457 |  |  |
| 5 | 14.8562 | 14.8753 |  | 14.8053 |  |  |
| 6 | 21.7269 | 21.7514 |  | 21.6724 |  |  |
| 7 | 29.9108 | 29.9405 |  | 29.8493 |  |  |
| 8 | 39.4078 | 39.4424 |  | 39.3376 |  |  |
| 9 | 50.2181 | 50.2569 |  | 50.1374 |  |  |
| 10 | 62.3414 | 62.3840 |  | 62.2485 |  |  |
| $n=5$ |  |  |  |  |  |  |
| 3 | 5.0378 |  | 5.0463 | 5.0502 |  |  |
| $n=6$ |  |  |  |  |  |  |
| 3 | 5.0301 |  | 5.0385 |  |  |  |

racy improves and becomes less dependent on $n$. For $Z=10, \delta E / E \approx 0.7 \times 10^{-3}$ for all $n$. The primary limitation on the accuracy of the present method is due to the use of hydrogenic functions without the inclusion of continuum hydrogenic functions. A hydrogenic $n=20$ function is of little use in correcting the deficiencies of a hydrogenic $n=2$ orbital in a screened Coulomb setting. Continuum hydrogenic functions are needed for that task, and they are lacking in the present work. Other studies, using basis sets of Slater orbitals, for example, do better than the present hydrogenic basis. To test this interpretation, the lowest few states were recalculated using a basis set of generalized Laguerre functions, which are similar to Sturmian functions, but are orthogonal under a weighting function $r^{2}$. They constitute a complete set of normalizable functions and thus incorporate the hydrogenic continuum. These results, shown in column $F$, are of the quality of the best results. However, the results for higher levels in the Rydberg series obtained with these functions without using parameter variations are very poor. The conclusion thus derived is that artificial functions may do very well for a limited number of low-lying states, but consistent accuracy over an extended Rydberg series is better served with a large basis of hydrogenic states, for which the present method is ideally suited.
Table $V$ presents energies of ${ }^{2} S^{e}$ states for $\mathrm{C}^{3+}$ as an example of a symmetry with multiple Rydberg series, all of which are coupled to the ionization continuum via the interelectron Coulomb repulsion terms. This case requires both the generalized Feshbach projection operators of Sec. III A and the quantum-defect analysis described in this section. The energy levels of $\left(Q_{\mathrm{I}}+Q_{\mathrm{II}}\right) H\left(Q_{\mathrm{I}}+Q_{\mathrm{II}}\right)$ for $\mathrm{C}^{3+}$ that correspond to single- $K$-shell-vacancy states are listed in numerical order in column 2 . Columns $3,4,5$, and 6 show the assignments of the levels of column 2 into the four Rydberg series, with the series limits and core configurations shown at the top of each column. The four series are designated as $\left[(1 s 2 s)^{3} S^{e}\right] n s^{2} S^{e}, \quad\left[(1 s 2 s)^{1} S^{e}\right] n s^{2} S^{e}, \quad\left[(1 s 2 p)^{3} P^{o}\right] n p^{2} S^{e}$, and $\left[(1 s 2 p)^{1} P^{o}\right] n p^{2} S^{e}$, respectively. Columns $3-6$ give the effective quantum number of each state; the fractional part is the quantum defect. It can be seen that the quantum defects are very slowly and very smoothly varying. Any appreciable alteration from the assignments given in Table V would yield sets of quantum defects looking like sets of random numbers. As would be expected, small anomalies in the quantum defects are found in regions where two series intersect, but these are much smaller than the jumps in quantum defects produced by an invalid assignment.

Note that there is only one state with configuration $\left[1 s(2 s)^{2}\right]^{2} S^{e}$. Thus the lowest energy level in Table V (24.034 $68 \mathrm{a} . \mathrm{u}$.) is the first member of two series, namely $\left[(1 s 2 s)^{3} S^{e}\right] 2 s^{2} S^{e}$ and $\left[(1 s 2 s)^{1} S^{e}\right] 2 s^{2} S^{e}$. The same can be said for the second level (23.249 43 a.u.) regarding $\left[(1 s 2 p)^{3} P^{o}\right] 2 p^{2} S^{e}$ and $\left[(1 s 2 p)^{1} P^{o}\right] 2 p^{2} S^{e}$. Note also that as the effective quantum numbers for each series exceed 16, the quantum defects become erratic. This has been acknowledged in the table by replacing such quantum numbers with "-." If the calculated value of an energy level lies above its appropriate threshold, then " $* * *$ " is
used. Finally, it is seen that although the threshold for one series lies in the midst of the levels of series with higher thresholds, the fitting procedure still works well.

## C. Explanation of the threshold shifts

In all calculations we have performed, each Rydberg series of energy levels for every $Z$ and every core state exhibited the expected quantum-defect behavior described by Eq. (27). The series limit $E_{c}$ should be the energy of the two-electron core state. However, in order to fit the calculated energies to a functional dependence of the form (27), the energy of each series limit had to be shifted to a slightly higher energy than that calculated for the two-electron core state by an amount depending only on the quantum numbers of the core state involved (e.g., $1 s 2 p^{3} P^{o}$ ). The differences between the calculated values for $E_{c}\left(1 s 2 p^{3} P^{o}\right)$ and the empirically fitted values given in Table II are plotted in Fig. 2 as a function of $1 / Z$. The smoothness of the resulting curve validates two ideas: (1) the heuristic method for obtaining $E_{c}$ is very stable and accurate, and (2) there appears to be a finite limit for $E_{c}(\boldsymbol{Z})$ as $1 / Z \rightarrow 0$, which in turn implies that a $1 / Z$ expansion is valid. As will be demonstrated, some of this shift (if not all) is an artifact of the approximations inherent in the configuration-interaction calculations using only bound hydrogenic states. The discussion presented below demonstrates that the shifts would be smaller and may even disappear or change sign were the complete hydrogenic manifold including continuum states used.

For large $Z$, the interelectron repulsive terms in the Hamiltonian are small compared with the core attraction terms in the ratio $1 / Z$, and the configuration diagonalization can be reasonably well approximated by a perturbation expansion. More precisely, the perturbation calculation using only a finite subset of bound-state eigenfunctions of $H_{0}$ should render a reasonably good approximation to the result obtained from a full diagonalization using exactly the same finite set of eigenfunctions as basis set. Thus, any effect caused by omitting the continuum


FIG. 2. Differences $D$ of calculated and fitted energies for the $1 s 2 p^{3} P^{o}$ threshold as a function of $1 / Z$. Data are taken from Table II.

TABLE V. Energy levels (in a.u.) and effective quantum numbers of the four Rydberg series for $\mathrm{C}^{3+}$,


TABLE V. (Continued).

|  | Core state <br> Energy | $(1 s 2 s)^{3} S^{e \mathrm{a}}$ <br> $n s$ | $(1 s 2 s)^{1} S^{e \mathrm{~b}}$ <br> $n s$ | $(1 s 2 p)^{3} P^{o \mathrm{c}}$ <br> $n$ | $(1 s 2 p)^{1} P^{o \mathrm{~d}}$ <br> $n$ |
| :--- | :---: | :---: | :---: | :---: | :---: |
| 58 | 21.16634 |  | $* * *$ |  |  |
| 59 | 21.15937 |  |  |  |  |
| 60 | 21.13347 |  |  |  |  |
| 61 | 21.11443 |  |  | 8.96901 |  |
| 62 | 21.10030 |  |  | 9.93636 |  |
| 63 | 21.08956 |  |  | 10.93500 |  |
| 64 | 21.08121 |  |  | 11.93504 |  |
| 65 | 21.07458 |  |  | 12.93611 |  |
| 66 | 21.06924 |  |  | 13.93787 |  |
| 67 | 21.06486 |  |  | 14.94057 |  |
| 68 | 21.06032 |  |  | 15.94778 |  |
| 69 | 21.04988 |  | - |  |  |

${ }^{\text {a }}$ Series-limit energy is 21.40125 a.u.
${ }^{\text {b }}$ Series-limit energy is 21.18780 a.u.
${ }^{\text {c }}$ Series-limit energy is 21.19417 a.u.
${ }^{\mathrm{d}}$ Series-limit energy is 21.03340 a.u.
hydrogenic states should also show up in the perturbative treatment, where it will be much more transparent. To understand the shift in the series limit, it is necessary to carry out the expansion to second order in the energy.

For the sake of explicitness, a ( $1 s 2 s$ ) core (in zeroth order) will be used as an example. The unperturbed states for this case are the single configurations

$$
\begin{equation*}
u_{n} \equiv u_{1 s, 2 s, n l}=|1 s, 2 s, n l\rangle \tag{29a}
\end{equation*}
$$

with energy

$$
\begin{equation*}
Z^{2} \varepsilon_{n}=-\frac{Z^{2}}{2}\left(1 / 1^{2}+1 / 2^{2}+1 / n^{2}\right) \tag{29b}
\end{equation*}
$$

To simplify the notation, the entire three-electron configuration is denoted by the single subscript $n$. Using the notation of Eq. (24), the energy to second order is given by

$$
\begin{equation*}
E_{n}=Z^{2} \varepsilon_{n}+Z \mathscr{H}_{n n}^{\prime}+\sum_{v \neq n} \frac{\left|\mathscr{H}_{v n}^{\prime}\right|^{2}}{\varepsilon_{n}-\varepsilon_{v}} \tag{30}
\end{equation*}
$$

The matrix elements of $\mathscr{H}^{\prime}$ are independent of $Z$. Hence, for a fixed electronic state but varying $Z$ (an isoelectronic sequence), successive orders of the perturbation series (30) scale as $Z^{2}, Z^{1}, Z^{0}, \ldots$

The first-order correction to $Z^{2} \varepsilon_{n}$ contains a contribution to the core energy $E_{c}$ of Eq. (27) that is completely independent of the Rydberg electron, and also a contribution to the second term on the right-hand side of Eq. (27). The contribution to $E_{c}$ is the same as would be calculated for a pure two-electron core state. Hence it cannot be responsible for a shift in $E_{c}$ caused by the Rydberg electron. The second term in $\mathscr{H}_{n n}^{\prime}$ [from Eq. (20)] corrects the leading behavior of the Rydberg energy from $-Z^{2} / 2 n^{2}$ to approximately $-(Z-2)^{2} / 2 n^{2}$. In fact, it accurately corrects for the incomplete screening of the nucleus by the two core electrons. This is clearly not a
contribution to $E_{c}$. Thus, neither of the two contributions to the first-order correction makes a change in $E_{n}$ away from $E_{c}$ as $n \rightarrow \infty$.

The second-order correction to $Z^{2} \varepsilon_{n}$ can be grouped into three categories. Group I contains all those terms in $\Sigma_{v}$ that are diagonal in the Rydberg electron state. These terms comprise a second-order contribution to the two-electron core energy plus a contribution from the Rydberg electron that essentially describes polarization of the core by the Rydberg electron, a contribution that must vanish as $n \rightarrow \infty$. Neither of these contributions generates a shift in the series limit away from the core energy. Group II contains all of those terms that are neither diagonal in the core states nor the Rydberg states. These group-II intermediate states describe a departure from quantum-defect behavior, and account for the interaction between different Rydberg series. Such effects were indeed seen when accidental very near degeneracies occurred between levels in different Rydberg series with the same $L, S, \pi$, and showed up as abrupt departures from smooth quantum-defect behavior.

The energy shift of the series limit arises from the group-III intermediate states: those that are diagonal in the core state. The group-III contribution is of the form

$$
\begin{equation*}
\Delta E_{\mathrm{III}}=\sum_{n^{\prime}, l^{\prime}} \frac{\left.\left|\langle 1 s, 2 s, n l| \mathscr{H}^{\prime}\right| 1 s, 2 s, n^{\prime} l^{\prime}\right\rangle\left.\right|^{2}}{\varepsilon_{n}-\varepsilon_{n}^{\prime}} . \tag{31}
\end{equation*}
$$

As $n \rightarrow \infty$, all intermediate bound-state Rydberg levels $n^{\prime} l^{\prime}$ become lower in energy than $\varepsilon_{n l}$, making all energy denominators positive. Since the numerators are also positive, it follows that $\Delta E_{\text {III }}$ is positive. This is the shift in the series limit observed in the configurationinteraction calculation. Confirmation of the correctness of this analysis is obtained by checking the $Z$ dependence of the calculated shifts. The calculated energy shifts obtained from the full diagonalization are plotted in Fig. 2
as functions of $1 / Z$. The behavior of the energy shifts for large $Z$ is seen to vary as $Z^{0}$ (i.e., independent of $Z$ ), as would be predicted for a second-order perturbation term. The calculated shifts exhibit $1 / Z$ and $1 / Z^{2}$ dependences arising from perturbations of order higher than 2.
These energy shifts obtained in the configurationinteraction (CI) diagonalization were positive because all of the second-order contributions to $\Delta E_{c}$ were positive. However, were continuum hydrogenic states included in the diagonalization, there would be intermediate states with energies higher than $\varepsilon_{\infty / l}$. These intermediate states make a negative contribution to $\Delta E_{\mathrm{III}}$, which would tend to cancel the positive contribution made by the boundstate configurations. The complete absence of a shift would require a fortuitous exact cancellation of the bound-state contributions by the continuum contributions. Such an exact cancellation is rendered unlikely by the fact that the summation over $n^{\prime} l^{\prime}$ in Eq. (31) is dependent upon which states are occupied in the core being considered. Thus, if the cancellation were exact for one particular core, it could not then be exact for other cores.

From Eq. (31) it is seen that the calculated shift in the series limit depends mainly on the core state involved; together with $H^{\prime}$, the core generates a potential that distorts the Rydberg electron state. Each core state gen-
erates its own characteristic potential. On the other hand, since $l^{\prime}$ is summed over, the dependence of $\Delta E_{\text {III }}$ on $l$ should be reduced. However, this derivation does not establish that there should be no variation in shift of the series limit from one Rydberg series to the next for a given core.

## V. FURTHER GENERALIZATION OF FESHBACH OPERATORS

If vacancy states are desired in shells other than $s$ shells, as is often the case in Auger state investigations, the single-electron operator $p$ is given by
$p=\int d^{3} r^{\prime} R_{n l}(r) R_{n l}\left(r^{\prime}\right) \sum_{m} Y_{l}^{m}(\theta, \phi) Y_{l}^{m^{*}}\left(\theta^{\prime}, \phi^{\prime}\right)$,
which can be recast more compactly:

$$
\begin{equation*}
p=R_{n l}(r) \int d^{3} r^{\prime} R_{n l}\left(r^{\prime}\right) \frac{2 l+1}{4 \pi} P_{l}\left(\Theta_{\mathbf{r}, \mathbf{r}^{\prime}}\right) \tag{33}
\end{equation*}
$$

The angular variables must be included in the definition of the projection operator because the radial functions with different values of $l$ are not mutually orthogonal. The operator $p$ defined in (32) selects any state with given $n$ and $l$, regardless of its azimuthal quantum number $m$.
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