

## Nematic–smectic-*C* heat capacity near the nematic–smectic-*A*–smectic-*C* point

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An ac calorimetric technique has been used to investigate the nematic (*N*)–smectic-*C* (*SmC*) transition near the *N*-*SmA*-*SmC* multicritical point in a mixture of heptyloxypentylphenylthiolbenzoate and octyloxycyanobiphenyl ( $\bar{7}S5+8OCB$ ). The heat-capacity data are well described by a Landau model in which the first-order character is very weak. Thus there is a classical tricritical point along the *SmC* transition line at (or very close to) the *N*-*SmA*-*SmC* point. No calorimetric indications of a biaxial *N'* phase were observed.

Recent scattering experiments have provided a significant characterization of liquid-crystal phase-transition behavior near the nematic (*N*)–smectic-*A* (*SmA*)–smectic-*C* (*SmC*) point.<sup>1,2</sup> These investigations were carried out on mixtures of heptyloxypentylphenylthiolbenzoate ( $\bar{7}S5$ ) and octyloxycyanobiphenyl (8OCB), a system whose phase diagram<sup>1</sup> exhibits a substantial region conforming to the universal *N*-*SmA*-*SmC* multicritical behavior<sup>3</sup> for the phase boundaries. However, the nature of this multicritical point is still not clearly established. There are two types of theoretical model for the *N*-*SmA*-*SmC* point. The original formulation of the first type by Chen and Lubensky<sup>4</sup> was a mean-field theory based on a single (infinite-dimensional) order parameter for smectic ordering, and this model predicted that the *N*-*SmA*-*SmC* point was a  $d=3$ ,  $n=2$  (*XY*),  $m=2$  (two soft directions) Lifshitz point. The second type of model utilizes two order parameters (TOP), one for the smectic density and one for the *SmC* tilt.<sup>5,6</sup> In this case, Grinstein and Toner<sup>5</sup> have shown that the *N*-*SmA*-*SmC* point is a decoupled tetra-critical point and that a biaxial nematic (*N'*) phase should exist between the *N* and *SmC* phases (at least in the immediate vicinity of the *N*-*SmA*-*SmC* point). When fluctuations are included in the Chen-Lubensky (CL) model and the possibility of a *N'* phase is taken into account, the CL model gives rise to the same type of phase diagram as the Grinstein-Toner model with subtle differences “at” the *N*-*SmA*-*SmC* point which would be difficult to distinguish experimentally.<sup>7</sup>

Light scattering studies of  $\bar{7}S5+8OCB$  agree with both types of theoretical models, in that the elastic constant  $K_3$  scales like the longitudinal correlation length  $\xi_{||}$ .<sup>2</sup> However, the observed variations in  $K_3$  and  $\xi_{||}$  near the *N*-*SmA*-*SmC* point are not accounted for in any of the existing theoretical models. X-ray scattering studies yield mass density fluctuations very near the *N*-*SmA*-*SmC* point that are well described by the original CL Lifshitz model<sup>4</sup> and are inconsistent with current versions of TOP models.<sup>5</sup> There is, however, the problem that one-loop self-consistent random-phase-approximation perturbation theory predicts that the  $d=3$ ,  $m=2$  Lifshitz point should occur at 0 K.<sup>8</sup> It should also be noted that neither light scattering<sup>2</sup> nor x-ray scattering<sup>1</sup> provides any indication of the biaxial nematic phase predicted for TOP models.

The goal of the present work is to characterize the heat-capacity behavior through the weakly first-order *N*-*SmC* transition near the *N*-*SmA*-*SmC* multicritical point. Our measurements were carried out on a  $\bar{7}S5+8OCB$  mixture with mole fraction  $X_{8OCB}=0.01945$  (weight percent 1.506), which is very close to the *N*-*SmA*-*SmC* composition  $X_{N-A-C}=0.0217$  (1.68 wt%).<sup>1</sup> The observed heat-capacity variation is well described by a Landau model with a substantial sixth-order term and a very small negative fourth-order term. It should be recalled that *SmA*-*SmC* transitions are second order with small positive fourth-order terms and significant sixth-order terms.<sup>9,10</sup> Thus, a Landau tricritical point must occur along the *SmC* transition line at or very close to the *N*-*SmA*-*SmC* point. The heat-capacity  $C_p$  data taken above the *SmC* phase give no indications of the presence of a biaxial *N'* phase. In particular, if the proposed *N*-*N'* transition actually exists, any thermal anomaly associated with this transition is too small to detect. This conclusion is supported by data from a recent adiabatic calorimetry study of  $\bar{6}O\bar{8}+\bar{6}O\bar{1}0$  mixtures near the *N*-*SmA*-*SmC* point.<sup>11</sup>

Our ac calorimetric technique has been described elsewhere.<sup>12</sup> The *N*-*SmC* transition for 1.5 wt% 8OCB is monotropic. On cooling at a slow constant rate ( $dT/dt \approx -1.0$  K/h) from 335 K, the *N* phase freezes into the crystal *K* phase in the range 317.5–321 K. Subsequent cooling runs were carried out by cooling very rapidly from 335 to 320 K and then scanning through the region of the *N*-*SmC* transition at a rate of approximately  $-1.5$  K/h. Three separate runs were in good agreement, with the *N*-*SmC* transition occurring at 314.9 K and the *SmC* phase freezing at  $\sim 310.5$  K. An overview of the  $C_p$  data from all of our runs is shown in Fig. 1. The inset gives the phase diagram in the range  $X_{8OCB}=0$  to 0.0387 (3 wt%).<sup>1,13</sup> The specific heat  $\bar{C}_p$  is displayed in Fig. 1 rather than the dimensionless quantity  $\bar{C}_p/R$  (where  $\bar{C}_p$  is the molar heat capacity). This choice is convenient since  $\bar{C}_p$  values for liquid crystals away from any transition are fairly insensitive to molecular mass or structure; typical  $\bar{C}_p$  values lie in the range 2.0–2.4 J K<sup>-1</sup> g<sup>-1</sup>.

Figure 2 shows in detail the  $\bar{C}_p$  variation near the *N*-*SmC* transition. For the scan rate used, each data point represents the specific heat averaged over a temperature range of  $\sim 0.1$  K. Data points below 311.6 K are not in-

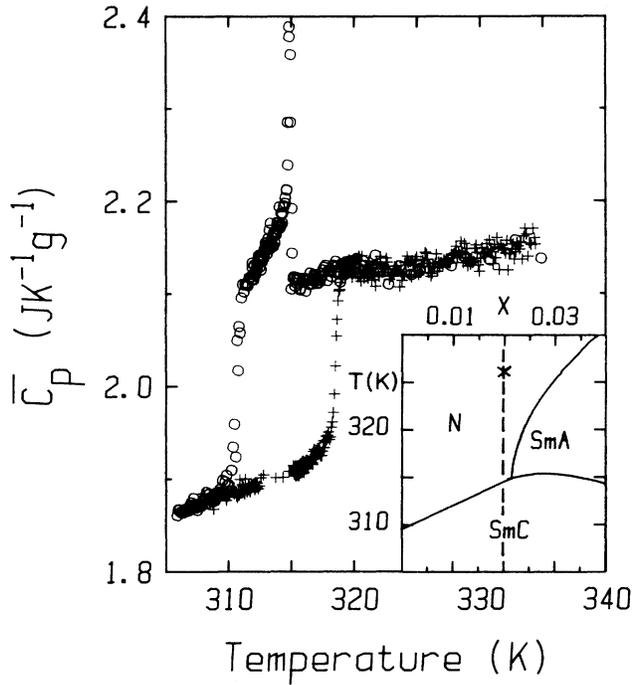


FIG. 1. Specific heat  $\bar{C}_p$  for a  $\bar{7}S5+8OCB$  mixture with  $X_{8OCB}=0.01945$  (1.506 wt%). All data were obtained on cooling; the drop of  $\sim 10\%$  in  $\bar{C}_p$  is associated with freezing of the sample into the crystal  $K$  phase. The  $+$  points were obtained on slow cooling runs and show  $N \rightarrow K$  freezing. Open points obtained on rapid cooling runs show the monotropic  $N \rightarrow SmC$  transition prior to  $SmC \rightarrow K$  freezing. The inset shows the phase diagram near the  $N$ - $SmA$ - $SmC$  point, where  $X$  denotes the mole fraction of 8OCB. Heat-capacity measurements were made along the path marked by a dashed vertical line.

cluded since these points are influenced by the onset of freezing. The highly asymmetric  $\bar{C}_p$  peak with no excess heat capacity above the transition is exactly what one expects from a Landau model near a tricritical point.<sup>9,14</sup>

The Landau free energy can be written as

$$\bar{G} = \bar{G}_0 + at\psi^2 + b\psi^4 + c\psi^6, \quad (1)$$

where  $t \equiv (T - T_0)/T_0$ ,  $\bar{G}_0$  is the free energy per gram in the disordered phase, and  $\psi$  is the order parameter. Explicit gradient terms are suppressed in Eq. (1); thus the coefficients  $a, b, c$  are effective values with  $a > 0$ ,  $c > 0$ , and  $b$  allowed to be either positive, zero, or negative. It follows directly from Eq. (1) that below the transition temperature the excess specific heat  $\Delta\bar{C}_p \equiv \bar{C}_p - \bar{C}_p^0$  and the order parameter are given by<sup>14</sup>

$$\Delta\bar{C}_p = A^*(T/T_0)(T_k - T)^{-1/2} \approx A^*(T_k - T)^{-1/2}, \quad (2)$$

$$\psi^2 = -(b/3c) + (2A^*/a)(T_k - T)^{1/2}, \quad (3)$$

where  $T_k \equiv T_0 + (b^2T_0/3ac)$  and  $A^* \equiv (a^3/12cT_0)^{1/2}$ . When  $b > 0$ , a second-order transition takes place at  $T_0$ . When  $b < 0$ , a first-order transition takes place at  $T_1 = T_0 + (b^2T_0/4ac)$  and  $T_k$  represents the metastability limit for the ordered phase ( $T_0 < T_1 < T_k$ ). When  $b = 0$ ,

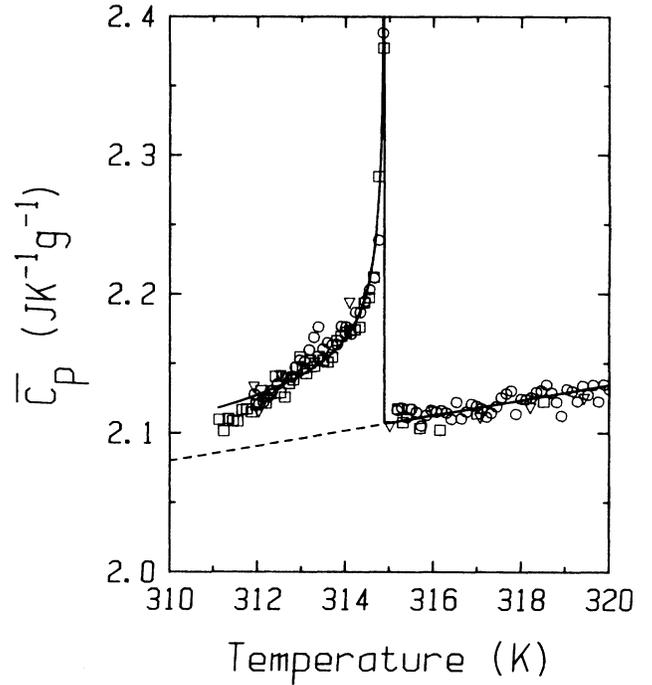


FIG. 2. Detailed view of the  $N$ - $SmC$  specific-heat peak shown in Fig. 1. Data from three separate cooling runs are distinguished by different symbols. The line represents the best least-squares fit to the data with Eq. (2) and  $\bar{C}_p^0 = 2.11 + 0.006(T - 315)$ , which was determined from the regular specific-heat variation observed in the nematic phase. The value of  $T_1$  was taken to be 314.884 K, which lies at the center of the small gap between the points just above and just below the transition.

a Landau tricritical point occurs at  $T_k = T_0$ . It is sometimes convenient to rewrite Eq. (2) in either of the following forms:<sup>9</sup>

$$\begin{aligned} \Delta\bar{C}_p &= 2|A| \left[ \frac{T}{T_0} \right] \left[ \frac{T_k - T_1}{T_k - T} \right]^{1/2} \\ &= |A| \left[ \frac{T}{T_0} \right] \left[ \frac{T_k - T_0}{T_k - T} \right]^{1/2}, \end{aligned} \quad (4)$$

where  $A = a^2/2bT_0$ . Since  $(T/T_0)$  ranges from 0.99 to 1.015 for the present data, this trivial temperature dependence can be neglected in Eqs. (2) and (4) by setting  $(T/T_0)$  equal to unity.

In the analysis of second-order  $SmA$ - $SmC$  transitions, it has become common to use a quantity  $t_0 \equiv b^2/ac = 3(T_k - T_0)/T_0$ . It is more convenient here for the analysis of a first-order  $N$ - $SmC$  transition to introduce a parameter  $\delta$  defined by

$$\delta \equiv b^2T_0/4ac = t_0T_0/4 = (T_1 - T_0) = 3(T_k - T_1). \quad (5)$$

Note that  $2|A| \equiv A^*(3/\delta)^{1/2}$  is the value of  $\Delta\bar{C}_p T/T_0 \approx \Delta\bar{C}_p$  at  $T_1$  and  $|A|$  is the value of  $\Delta\bar{C}_p$  at  $T_0 = T_1 - \delta$ . In order to fit the  $\bar{C}_p$  data, one needs the regular contribution  $\bar{C}_p^0(T)$ , which comes from the term  $\bar{G}_0$  in Eq. (1), and the excess contribution  $\Delta\bar{C}_p$  given by either Eq. (2) or (4).

The regular part is determined by fitting the observed  $\bar{C}_p$  data in the nematic phase and extrapolating this linear variation below the transition (see Fig. 2). The most attractive choice for representing  $\bar{C}_p$  is to use Eq. (2) with  $A^*$ ,  $T_k$ , and  $T_1$  as the adjustable parameters. The shape and size of  $\Delta\bar{C}_p$  are fixed by the choice of  $A^*$  and  $T_k$  alone; the parameter  $T_1$  only specifies the temperature where the excess heat capacity drops to zero.

The parameters for the least-squares fit shown in Fig. 2 are

$$A^* = 0.063 \text{ JK}^{-1} \text{ g}^{-1}, T_k = 314.907 \text{ K}; \quad (6)$$

$T_1$  can lie anywhere in the range 314.860–314.907 K (which represents the gap between  $T_k$  and the position of the point with the largest  $\bar{C}_p$  value). Thus  $(T_k - T_1) = \delta/3$  can have any value between 0 and 47 mK, or  $0 < t_0 < 1.79 \times 10^{-3}$ . This magnitude for  $t_0$  at a first-order  $N$ -SmC transition is with the range of  $t_0$  values ( $0.5 - 6.5 \times 10^{-3}$ ) reported for second-order SmA-SmC transitions in typical pure liquid crystals<sup>9</sup> and in the  $\bar{7}S5 + \bar{8}S5$  mixture.<sup>10</sup> We believe that an even smaller upper bound on  $(T_k - T_1)$  could be established if slower scans and therefore higher resolution near  $T_1$  were possible, but the present data make it clear that the first-order character of the transition in a 1.5-wt.% sample is very weak. Thus a Landau tricritical point exists at (or very close to) the  $N$ -SmA-SmC multicritical point.

No pretransitional energy (entropy) fluctuations are observed in the  $N$  phase, in spite of the presence of large and unusual critical variations seen in  $\xi_{\parallel}$  and  $\xi_{\perp}$ .<sup>1,2</sup> Two-scale-factor universality<sup>15</sup> allows us to show that these primarily SmA-like fluctuations in the  $N$  phase should not lead to an observable excess heat capacity. Measurements of  $\Delta\bar{C}_p$  near the  $N$ -SmA transition in a 2.5-wt.% sample<sup>16</sup> combined with the correlation length variations measured at 1.5 and 2.5 wt.% (Ref. 13) indicate that  $\Delta\bar{C}_p$  due to SmA fluctuations in the  $N$  phase should be less than  $0.004 \text{ JK}^{-1} \text{ g}^{-1}$  for this 1.5-wt.% sample.

Our major conclusion is that the thermal behavior along the first-order  $N$ -SmC line evolves toward a tricritical point at (or near) the  $N$ -SmA-SmC point where it joins the second-order SmA-SmC line. Thus the  $N$ -SmA-SmC multicritical point exhibits simultaneously the characteristics of a Lifshitz point and those of a mean-field tricritical

point. X-ray measurements of the temperature variation of the tilt angle<sup>13</sup> support this conclusion and are consistent with the Landau parameters found here. Earlier measurements<sup>10,17</sup> on the system  $\bar{7}S5 + \bar{8}S5$  ( $\bar{8}S5$  is octyloxyphenylthiolbenzoate) are qualitatively consistent with this description although that system exhibits an unusually small multicritical  $N$ -SmA-SmC region and the tricritical nature of the heat capacity cannot be demonstrated. However, differential scanning calorimetry measurements on  $\bar{7}S5 + \bar{8}S5$  do indicate that the latent heat along the first-order  $N$ -SmC line approaches zero at (or very close to) the  $N$ -SmA-SmC point.<sup>17</sup> The combination of a  $N$ -SmC latent heat that goes to zero at the  $N$ -SmA-SmC point and a large asymmetric  $N$ -SmC heat-capacity peak near that point has also been observed in  $\bar{6}O8 + \bar{6}O10$  mixtures<sup>11</sup> and  $\bar{5}O8 + \bar{6}O8$  mixtures.<sup>18</sup> The present experiment does not rule out the possibility that the tricritical point could actually lie on either the  $N$ -SmC or SmA-SmC line very close to rather than exactly at the  $N$ -SmA-SmC point, but all the available information suggests that the SmC transition changes from first to second order at the  $N$ -SmA-SmC multicritical point.<sup>1,2,10,11,13,17-19</sup> Thus it appears that a more general treatment of multicritical Lifshitz points in "incommensurate" liquid-crystal systems is needed, perhaps along the lines suggested in Ref. 20.

Finally, the data shown in Figs. 1 and 2 show no indication of a biaxial  $N'$  phase for a sample with  $X = 0.01945$ . It is perhaps possible such a phase exists but is stable only over a very narrow range of compositions extremely close to  $X_{N-A-C}$ . However, it should be noted that such a restricted  $N'$  phase will be difficult to observe due to the large SmA fluctuations above the  $N$ -SmA-SmC point. It seems more likely that the tricritical nature of the  $N$ -SmA-SmC point destroys the stability of the  $N'$  phase (which should exhibit layer orientational order among SmC cybotactic groups<sup>7</sup>).

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