

## Observation of zeros and amplification of quadrupole-matrix-element contributions to photoelectron angular distributions

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We point out several possible observable features of quadrupole contributions to intermediate- and low-energy photoelectron angular distributions  $d\sigma/d\Omega$ , including zeros (Cooper minima) in the quadrupole-matrix elements. These features result in deviations from the dipole symmetry of  $d\sigma/d\Omega$  about  $90^\circ$  (under  $\theta \rightarrow \pi - \theta$ ) and include an oscillation with energy in distributions which vary from peaking forward and backward of  $90^\circ$ . The quadrupole contribution to photoelectron angular distributions can remain significant even for outer shells in the low-energy region, particularly when there are zeros (Cooper minima) in the dominant dipole-matrix elements. As an example we discuss the photoelectron angular distribution from the  $5s$  subshell of tin and estimate the magnitudes of the deviations from symmetry which would be the experimental signatures of these features.

In this paper we discuss the role of quadrupole-matrix elements in determining the character of low- and intermediate-energy photoelectron angular distributions. Recent theoretical work<sup>1-6</sup> has considered the effect of correlations between atomic electrons and the effect of relativity on low-energy outer-shell photoelectron angular distributions, but within the electric dipole approximation. In contrast, Tseng *et al.*<sup>7</sup> found that higher multipole contributions are important in determining the features of the angular distribution for inner shells of high- $Z$  elements, even for photon energies down to the  $K$ - or  $L$ -shell threshold.

Here we want to point out that in some circumstances the quadrupole contributions can have a significant effect on the character of photoelectron angular distributions for outer shells in the low- and intermediate-photoelectron-energy region. We describe these features in terms of the general relativistic multipole angular distribution<sup>8</sup>

$$\frac{d\sigma}{d\Omega} = \frac{\sigma_{nLJ}}{4\pi} \sum_m B_m P_m(\cos\theta),$$

where  $B_0 = 1$  and the angular distribution coefficients  $B_m$  can be expressed in terms of multipole radial matrix elements and continuum electron phase shifts.  $B_1$  and  $B_3$  vanish in dipole approximation; at low energy they can be specified in terms of products of dipole- and quadrupole-matrix elements and differences of  $p$ - and  $d$ -wave phase shifts (see below). The contribution of the

quadrupole-matrix elements can be seen in the following features of the angular distribution:

(1)  $B_1$  and  $B_3$  can be enhanced in the region of zeros (Cooper minima) of dipole-matrix elements, where the relative magnitude of the quadrupole-matrix elements increases.

(2) The quadrupole-matrix elements themselves have zeros (exhibit the Cooper minimum phenomena), which can cause sign changes in  $B_1$  and  $B_3$ .

These observable magnitudes and features of  $B_1$  and  $B_3$ , which are due to the quadrupole-matrix elements, result in deviations from the dipole symmetry of  $d\sigma/d\Omega$  about  $90^\circ$  (under  $\theta \rightarrow \pi - \theta$ ) including oscillation with energy in distributions peaking forward and backward of  $90^\circ$ .

As an example we consider predictions for the photoelectron angular distribution from the  $5s$  subshell of tin within a single electron transition Dirac-Slater central potential calculation. In Fig. 1 we show as a function of photoelectron energy  $T$  the cross section  $\sigma$  and the first few angular distribution coefficients  $B_m$ . The dominant coefficient is  $B_2$  and its dominant feature is the rapid variation near 10 eV associated with the Cooper minima of dominant dipole-matrix elements.<sup>9</sup> We have expanded the scale of the smaller coefficients ( $B_1, B_3$ ) which are the subject of this paper, so that one can see their sign changes, the approximate symmetry  $B_1 \sim -B_3$ , and the enhancement when  $B_2$  is rapid-

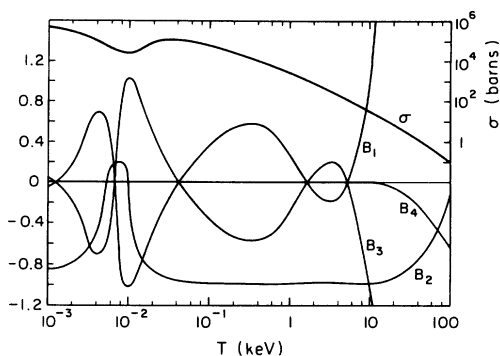


FIG. 1. Photoeffect cross section  $\sigma$  and angular distribution coefficients  $B_1, B_2, B_3, B_4$  for the  $5s$  subshell of tin as a function of photoelectron energy. The right-hand-side scale is for the cross section (barns), the left-hand scale for  $B$ 's. In plotting the graph,  $B_1$  and  $B_3$  were multiplied by 10.

ly varying.

We wish to understand these coefficients in terms of multipole radial matrix elements, defined as<sup>8</sup>

$$R_{n2JL}^{\Lambda\lambda 2jl} = (-\kappa | S_{\Lambda}^{\lambda} | K) \int G_{Kj\lambda} f_{\kappa} dr - (\kappa | S_{\Lambda}^{\lambda} | -K) \int F_{Kj\lambda} g_{\kappa} dr,$$

where  $G, F$  and  $g, f$  are the large and small components of Dirac electron wave functions of bound and continuum states, respectively,  $j_{\lambda}$  is the spherical Bessel function,  $K$  and  $\kappa$  are the bound and continuum Dirac quantum numbers,  $J, L$  and  $j, l$  are initial- and final-state photoelectron total and orbital angular momentum and<sup>8</sup>

$$\langle \kappa' | S_{\Lambda}^{\lambda} | \kappa \rangle = (-1)^{L'} \left[ \frac{3}{8\pi} (2j'+1)(2J'+1)(2\Lambda+1)(2l'+1)(2L'+1) \right]^{1/2} C(l'L'\lambda; 00) \chi \begin{pmatrix} \lambda & 1 & \Lambda \\ l' & \frac{1}{2} & j' \\ L' & \frac{1}{2} & J' \end{pmatrix}.$$

For low energies (small  $k$ )  $j_{\lambda}(kr) \sim (kr)^{\lambda}$  where  $k$  is the incident photon momentum and the multipole matrix element will be small for higher  $\lambda$ . Also it can be shown that the leading terms of  $R_{n10}^{1110}$  and  $R_{n10}^{1132}$  are of order  $(E_{nLJ}/m_e c^2)kr$  instead of  $kr$ , where  $E_{nLJ}$  is the binding energy of the  $nLJ$  state (here  $L=0, J=\frac{1}{2}$ ). For these reasons, in the low-energy region the leading contributions to an outer  $s$  subshell cross section  $\sigma$  and its angular distribution coefficients  $B_1, B_2, B_3$  are

$$\sigma = \frac{8\pi\alpha p E}{9k} [(1011)^2 + 2(1031)^2], \quad (1a)$$

$$B_1 = \frac{4\pi\alpha p E}{25k\sigma} [5(1011)(2132) \cos(\delta_1 - \delta_2) + (1031)(2132) \cos(\delta_{-2} - \delta_2) + 9(1031)(2152) \cos(\delta_{-2} - \delta_{-3})], \quad (1b)$$

$$B_2 = -\frac{8\pi\alpha p E}{9k\sigma} [(1031)^2 + 2(1031)(1011) \cos(\delta_{-2} - \delta_1)], \quad (1c)$$

$$B_3 = -\frac{4\pi\alpha p E}{25k\sigma} [5(1011)(2152) \cos(\delta_1 - \delta_{-3}) + 6(1031)(2132) \cos(\delta_{-2} - \delta_2) + 4(1031)(2152) \cos(\delta_{-2} - \delta_{-3})], \quad (1d)$$

where<sup>10</sup>

$$(1011) = \sqrt{24\pi} R_{n10}^{1011}, \quad (1031) = -\sqrt{12\pi} R_{n10}^{1031},$$

$$(2132) = \sqrt{40\pi} R_{n10}^{2132}, \quad (2152) = -\left[ \frac{80\pi}{3} \right]^{1/2} R_{n10}^{2152},$$

and  $p, E$ , and  $k$  are photoelectron momentum and energy and photon momentum,  $\delta_{\kappa} - \delta_{\kappa'}$  is the difference between the phase shifts of continuum states of Dirac quantum numbers  $\kappa$  and  $\kappa'$ . In the

nonrelativistic limit  $(1011) = (1031)$  and corresponds to the nonrelativistic dipole-matrix element,  $(2132) = (2152)$  and corresponds to the nonrelativistic quadrupole-matrix element,  $\delta_{-2} - \delta_1 = 0$ ,

$$\begin{aligned}\delta_1 - \delta_2 &= \delta_{-2} - \delta_2 = \delta_{-2} - \delta_{-3} \\ &= \delta_1 - \delta_{-3} = \delta_p - \delta_d,\end{aligned}$$

$B_2 = -1$ , and  $B_1 = -B_3$ .

In Fig. 2 we show for the 5s subshell of Sn these matrix elements and phase-shift differences as a function of photoelectron energy  $T$ . We see that the relative magnitude of the quadrupole terms remains significant down to threshold. When the photoelectron energy is in and below the keV region (less than 10 keV) higher multipole contribution are small compared with these dipole<sup>11</sup> and quadrupole terms. Figure 2 shows that even near the Cooper minima (zeros) of the dipole-matrix

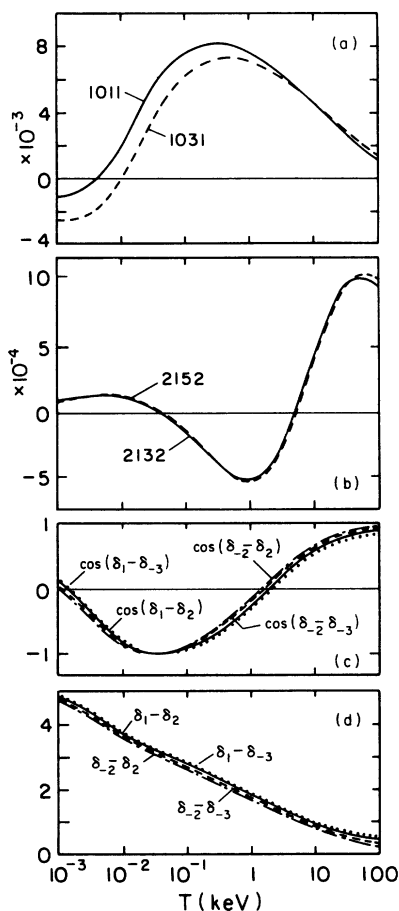


FIG. 2. Results for (a) dipole and (b) quadrupole-matrix elements, for (c) the cosine of phase-shift differences and (d) the phase-shift differences themselves. In (a) solid line — (1011), dashed line ---- (1031); (b) solid line — (2132), dashed line ---- (2152); (c) solid line —  $\cos(\delta_1 - \delta_2)$ , dashed line ----  $\cos(\delta_{-2} - \delta_{-3})$ , dotted line  $\cdots \cdots \cos(\delta_1 - \delta_{-3})$ , broken line - - - -  $\cos(\delta_{-2} - \delta_2)$ ; (d) same as (c) for the phase-shift differences.

elements the dipole terms still dominate due to their relativistic splitting. So throughout this energy range  $\sigma$  and  $B_2$  are mainly determined by the dipole-matrix elements,  $B_1$  and  $B_3$  by the dipole- and quadrupole-matrix elements and the phase-shift differences, in accord with Eq. (1). The behavior of  $B_2$ , including its major structure and deviation from nonrelativistic behavior, associated with the displaced zeros of the two dipole-matrix elements, has been discussed previously.<sup>9</sup> Here we will focus on the behavior of  $B_1$  and  $B_3$ , governed by the dipole- and quadrupole-matrix elements and phase-shift differences.

Since the numerator of our expression for  $B_1$  and  $B_3$  is linear in the dipole-matrix elements while the denominator  $\sigma$  is quadratic in them, we expect that in the region where the dipole-matrix elements change sign the values of  $B_1$  and  $B_3$  can be enhanced. This requires that the splitting between the two dipole-matrix elements not be too large, so that a significant Cooper minimum can be observed in the subshell cross section, but also not so small that  $\sigma$  is determined by the quadrupole terms and  $B_1$  and  $B_3$  become linear in still higher multipoles. Further, assuming the quadrupole-matrix elements and phase shifts are slowly varying through the enhancement region,  $B_1$  and  $B_3$  must change sign in the middle of the region of their enhancement, since both dipole elements change sign in the course of this region. Figure 1 illustrates this behavior in our calculation for the 5s subshell of tin, showing an enhancement in  $B_1$  and  $B_3$  associated with the zeros (Cooper minima) of the dipole-matrix elements. (In the uranium 7s subshell<sup>9</sup> the splitting between the two dipole-matrix elements is larger, the Cooper minima do not have a significant effect on the cross section, and there is no significant enhancement of  $B_1$  and  $B_3$ , which do still change sign.)

While Fig. 2 shows one sign change for each dipole-matrix element (the well known Cooper minimum), it shows two sign changes for the quadrupole-matrix elements above threshold; there are also two sign changes in the cosine of the phase-shift differences which enter the expressions for  $B_1$  and  $B_3$ . (The magnitude for the phase-shift difference near threshold is consistent with Manson's Hartree-Slater calculation.<sup>12</sup>) From these features and the formula [(1b),(1d)] the origin of the five zeros of  $B_1$  and  $B_3$  in Fig. 1 can be identified. These zeros, in order from high energy to low energy, are due (1) to the first sign change of the quadrupole-matrix element, (2) to the first sign

change of the cosine of the phase difference, (3) to the second sign change of the quadrupole-matrix element, (4) to the sign change of the dipole-matrix element (normal Cooper minimum), and (5) to the second sign change in the cosine of the phase difference. To the extent that the quadrupole-matrix elements remain non-negligible down to threshold, one may hope to see consequences of these sign changes even without the enhancement previously discussed.

From the above discussion we see that quadrupole contributions can be important in photoelectron angular distributions for outer shells in the low-energy region, particularly when there is a significant Cooper minimum in the subshell cross section. The role of the quadrupole contribution in determining the photoelectron angular distribution can be experimentally observed through the measurement of  $B_1$  and  $B_3$ , which to a good approximation can be characterized at these energies as a measurement of the deviation of  $d\sigma/d\Omega$  from symmetry about  $90^\circ$ . For photoelectron energy in and below the few-keV region,  $B_4$  and higher  $B_{2m}$  are small compared with 1;  $B_5$  and higher  $B_{2m+1}$  are small compared with  $B_1$  and  $B_3$ . Neglecting  $B_4$  and all higher  $B_m$  we have

$$\frac{d\sigma}{d\Omega} = \frac{\sigma_{nLJ}}{4\pi} (\alpha + \beta),$$

where

$$\alpha = 1 + \frac{B_2}{2} (3 \cos^2 \theta - 1),$$

$$\beta = B_1 \cos \theta + \frac{B_3}{2} (5 \cos^3 \theta - 3 \cos \theta),$$

using the symmetry and antisymmetry of  $\alpha$  and  $\beta$  under  $\theta \rightarrow \pi - \theta$ ,

$$\frac{\beta}{\alpha} = \frac{(d\sigma/d\Omega)_\theta - (d\sigma/d\Omega)_{\pi-\theta}}{(d\sigma/d\Omega)_\theta + (d\sigma/d\Omega)_{\pi-\theta}}.$$

Figure 3 shows  $\beta/\alpha$  as a function of  $\theta$  for photoelectron energy between 0.001 and 10 keV; each panel shows a range of energies across which the energy dependence of  $\beta/\alpha$  at fixed angle is monotonic. From this figure one may identify the choices of energy and angle for which the quadrupole effects are largest. Effects (+/-) greater than 5% are available in several energy ranges: (+) 3–4 eV, (-) 10–20 eV, (+) 100–800 eV, and (+) above 7 keV. At low energy  $B_1 \simeq B_3$  for photoeffect from  $s$  subshell,<sup>7</sup> as illustrated in Fig. 1. Substituting  $-B_1$  for  $B_3$  we have

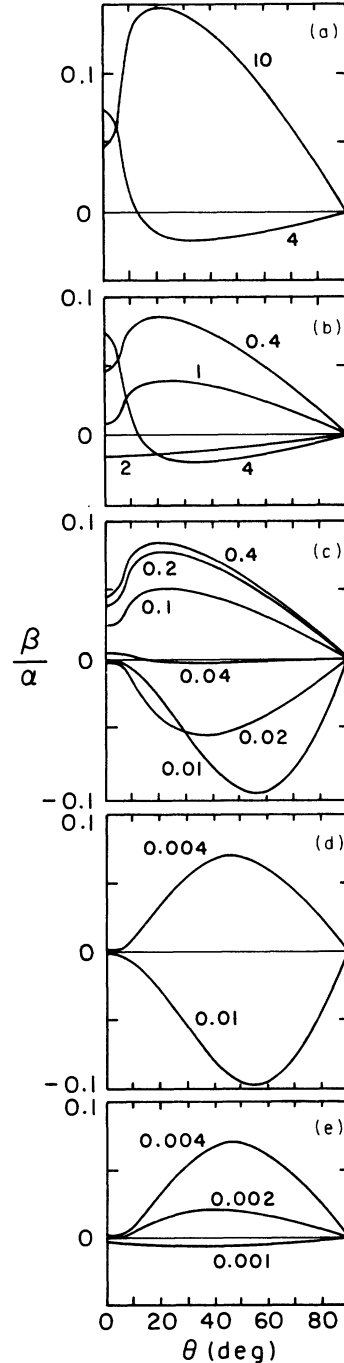


FIG. 3. The forward-backward asymmetry  $\beta/\alpha$  as a function of angle (in degrees) for various photoelectron energy (a) 10 keV (top), 4 keV (bottom); (b) from top to bottom 0.4, 1, 2, and 4 keV; (c) from top to bottom 0.4, 0.2, 0.1, 0.04, 0.02, and 0.01 keV; (d) top 0.004 keV, bottom 0.01 keV; (e) from top to bottom 0.004, 0.002, and 0.001 keV.

$$\frac{d\sigma}{d\Omega} \simeq \frac{\sigma_{nLJ}}{4\pi} \left[ (1+B_2) - \frac{1}{2}(3B_2 - 5B_1 \cos\theta) \sin^2\theta \right],$$

$$\frac{\beta}{\alpha} \simeq \frac{5B_1 \cos\theta \sin^2\theta}{2(1+B_2) - 3B_2 \sin^2\theta} \quad (2)$$

At intermediate energies it is also a good approximation to set  $B_2 = -1$  as in nonrelativistic dipole approximation (well satisfied from 50 eV, above the Cooper minimum, to 10 keV), so that

$\beta/\alpha \simeq \frac{5}{3} B_1 \cos\theta$ , giving a maximum asymmetry ( $0^\circ/180^\circ$ ) of  $\frac{5}{3} B_1$ . We indeed see a trend from  $\beta/\alpha$  small in the forward direction at low energy [as in Eq. (2)] to large near the forward direction at higher energy, as with  $B_2 = -1$ .

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<sup>10</sup>The notation  $(\Lambda, \lambda, 2j, l)$  used here for dipole- and quadrupole-matrix element is the same as defined in Ref. 9.

<sup>11</sup>The quadrupole terms are about  $10^{-1}$  of dipole terms. Higher multipole terms are about  $10^{-2}$  or less of dipole terms.

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