

## Collisions between ions or atoms and atomic hydrogen

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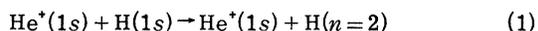
(Received 6 July 1979)

A theory describing collisions between arbitrary atoms or ions and atomic hydrogen is developed. Results are obtained in terms of amplitudes for electron-hydrogen and proton-hydrogen collisions and the arbitrary atom or ion transition form factor. No recourse to scattering by fictitious electron-plus-proton atoms is necessary. Applications are made to the differential and total cross sections for  $\text{He}^+(1s) + \text{H}(1s) \rightarrow \text{He}^+(1s) + \text{H}(n=2)$ . Agreement with recent measurements is obtained. A simple approximation to the results,  $\sigma \approx \sigma_{\text{pe}}(1s \rightarrow n=2) + 8\sigma_{\text{pi}}(1s \rightarrow 2s)$ , is obtained and is in fair agreement with recent data.

### I. INTRODUCTION

I have recently<sup>1,2</sup> developed a theory for describing collisions between arbitrary atomic systems in which one or both systems make transitions to excited states. It was shown that the interaction potential between the incident atom or ion and the target may be expressed in terms of a net point Coulomb interaction if neither system is neutral, plus the remaining interaction which has the following property. It may be written in terms of interactions between electrons or protons and simple fictitious atoms. Each of these "atoms" consists simply of an electron-proton pair with a transition density matrix equal to that of either the target or projectile, depending on which one the "atom" is a constituent. We then derived an approximate expression for the scattering amplitude, in terms of the Glauber approximation amplitudes for collisions between a proton or electron and the fictitious electron-plus-proton atoms, which are obtained analytically. To illustrate the theory, we applied it to collisions between helium ions and hydrogen atoms.<sup>3</sup>

In the present work we show that in the special case where the projectile or target is a hydrogen atom, a theory may be formulated without any recourse to the fictitious electron-plus-proton atoms since the interaction between the projectile and target can indeed be expressed in terms of interactions between protons or electrons and a real hydrogen atom. The hydrogen atom, which is a *real* electron-plus-proton atom, obviates the need for any *fictitious* electron-plus-proton atom. Consequently, we obtain an expression for the scattering amplitude directly in terms of Glauber approximation electron-hydrogen (*e*-H) and proton-hydrogen (*p*-H) scattering amplitudes, which are known analytically. We apply these results to the differential and total cross sections for the excitation process



and compare the results with other calculations and recent measurements.

In Sec. II we formulate the theory for collisions between arbitrary atomic systems and hydrogen atoms. In Sec. III we apply the theory to process (1) and compare the results with other theories and recent data.

### II. COLLISIONS BETWEEN ARBITRARY ATOMIC SYSTEMS AND HYDROGEN ATOMS

In this section we obtain an expression for the amplitude for arbitrary ion-hydrogen or atom-hydrogen collisions in which the hydrogen atom (called target, *T*) undergoes a transition from initial state  $i_T$  to final state  $f_T$  and the arbitrary ion or atom (called projectile, *P*) undergoes a transition from state  $i_P$  to state  $f_P$ .

Let *Z* be the atomic number of the arbitrary ion or atom and *N* the number of bound electrons. Let  $\vec{R}$  be the position of the nucleus of the ion or atom relative to the hydrogen nucleus. Let  $\vec{r}$  denote the position of the electron in hydrogen relative to its nucleus and  $\{\vec{r}_j\}$  the positions of the *N* electrons of the ion or atom relative to its nucleus. The Coulomb interaction between the arbitrary ion or atom and the hydrogen atom may be written

$$V(\vec{R}, \{\vec{r}_j\}, \vec{r}) = Ze^2 \left( \frac{1}{R} - \frac{1}{|\vec{R} - \vec{r}|} \right) + e^2 \sum_{j=1}^N \left( \frac{1}{|\vec{R} + \vec{r}_j - \vec{r}|} - \frac{1}{|\vec{R} + \vec{r}_j|} \right). \quad (2)$$

The first term represents the interaction of the *Z* protons in the nucleus of the atom (ion) with the entire hydrogen atom. The second term represents the interaction of the *N* electrons of the atom (ion) with the entire hydrogen atom.

Let  $\vec{v}$  be the velocity of the projectile relative to the target, and let the components of  $\vec{r}'_j(\vec{r})$  parallel and perpendicular, respectively, to  $\vec{v}$  be  $\vec{z}'_j$  and  $\vec{s}'_j$  ( $\vec{z}$  and  $\vec{s}$ ). Similarly, let  $\vec{R} = \vec{b} + \vec{\zeta}$ , where

$\vec{b}$  and  $\vec{\zeta}$  are, respectively, perpendicular and parallel to  $\vec{v}$ . Define the phase shift function

$$\chi(\vec{b}, \{\vec{s}'_j\}, \vec{s}) = -\frac{1}{\hbar v} \int_{-\infty}^{\infty} V(\vec{R}, \{\vec{r}'_j\}, \vec{r}) d\zeta. \quad (3)$$

The corresponding function  $\chi_{pH}(\vec{b}, \vec{b} - \vec{s})$  for collisions between a proton ( $p$ ) and neutral hydrogen (H) is<sup>4</sup>

$$\chi_{pH}(\vec{b}, \vec{b} - \vec{s}) = (-2e^2/\hbar v) \ln(|\vec{b} - \vec{s}|/b) \quad (4)$$

with a similar expression, differing by a sign, for  $\chi_{eH}$  for  $e$ -H collisions. It follows from Eqs. (2) and (3) that  $\chi$  for collisions between arbitrary atoms or ions and atomic hydrogen may be expressed entirely in terms of  $\chi_{pH}$  and  $\chi_{eH}$  for collisions of protons and electrons with hydrogen atoms. The result is simply

$$\chi(\vec{b}, \{\vec{s}'_j\}, \vec{s}) = Z\chi_{pH}(\vec{b}, \vec{b} - \vec{s}) + \sum_{j=1}^N \chi_{eH}(\vec{b} + \vec{s}'_j, \vec{b} + \vec{s}'_j - \vec{s}). \quad (5)$$

The amplitude  $F_{fi}(\vec{q}, k)$  for collisions in which a composite, incident with momentum  $\hbar\vec{k}$ , transfers momentum  $\hbar\vec{q}$  to another composite and the entire system makes a transition from initial state  $i$  to final state  $f$  is given, in the Glauber approximation, by<sup>5,6</sup>

$$F_{fi}(\vec{q}, k) = \frac{i\hbar k}{2\pi} \int e^{i\vec{q}\cdot\vec{b}} \langle f | 1 - \exp[i\chi(\vec{b}, \{\vec{s}'_j\}, \vec{s})] | i \rangle d^2b, \quad (6)$$

$$= \frac{i\hbar k}{2\pi} \int e^{i\vec{q}\cdot\vec{b}} \Gamma_{fi}(\vec{b}) d^2b, \quad (7)$$

$$F_{fi}(\vec{q}, k) = Z \frac{i\hbar k}{2\pi} \int e^{i\vec{q}\cdot\vec{b}} \langle f | \Gamma_{pH}(\vec{b}, \vec{b} - \vec{s}) | i \rangle d^2b + \frac{i\hbar k}{2\pi} \sum_{j=1}^N \langle f | \int e^{i\vec{q}\cdot\vec{b}} \Gamma_{eH}(\vec{b} + \vec{s}'_j, \vec{b} + \vec{s}'_j - \vec{s}) d^2b | i \rangle. \quad (13)$$

The first integral is precisely the form taken by the  $p$ -H scattering amplitude in the Glauber approximation.<sup>4</sup> Furthermore, since  $\vec{s}$  corresponds to the electron in the hydrogen atom, the integral indeed represents the  $p$ -H scattering amplitude in the Glauber approximation. There is consequently no need to introduce any fictitious electron-plus-proton atom since the target is a real electron-plus-proton atom. Thus we have

$$\begin{aligned} & \frac{i\hbar k_p}{2\pi} \int e^{i\vec{q}\cdot\vec{b}} \langle f | \Gamma_{pH}(\vec{b}, \vec{b} - \vec{s}) | i \rangle d^2b \\ &= \delta_{f_P i_P} f_{pH}(\vec{q}, k_p; i_T \rightarrow f_T), \end{aligned} \quad (14)$$

where  $\hbar k_p$  is the momentum of a proton with rela-

where Eqs. (6) and (7) define a profile function  $\Gamma_{fi}(\vec{b})$ . Consequently,

$$\Gamma_{fi}(\vec{b}) = \delta_{fi} - \langle f | \exp[i\chi(\vec{b}, \{\vec{s}'_j\}, \vec{s})] | i \rangle. \quad (8)$$

Once  $\Gamma_{fi}(\vec{b})$  is obtained, the scattering amplitude  $F_{fi}$  may be calculated from Eq. (7).

The profile function,  $\Gamma_{xH}$ , for  $x$ -H collisions is<sup>4</sup>

$$\Gamma_{xH}(\vec{b}, \vec{b} - \vec{s}) = 1 - \exp[i\chi_{xH}(\vec{b}, \vec{b} - \vec{s})]. \quad (9)$$

We expand  $\Gamma_{fi}$  of Eq. (8) in terms of  $\Gamma_{pH}$  and  $\Gamma_{eH}$  by means of Eqs. (5) and (9). The first-order expansion retains in  $\Gamma_{fi}$  both the  $p$ H and  $e$ H profile function to first order. This procedure still accounts for some multiple collisions since the Glauber approximation for particle-hydrogen atom scattering takes double scattering into account.<sup>4,7</sup> The result is

$$\Gamma_{fi} = \Gamma_{fi}^{(1)} + \dots, \quad (10)$$

where the first-order profile function  $\Gamma_{fi}^{(1)}$  is given by

$$\Gamma_{fi}^{(1)} = \langle f | \Gamma^{(1)} | i \rangle, \quad (11)$$

$$\Gamma^{(1)} = Z\Gamma_{pH}(\vec{b}, \vec{b} - \vec{s}) + \sum_{j=1}^N \Gamma_{eH}(\vec{b} + \vec{s}'_j, \vec{b} + \vec{s}'_j - \vec{s}). \quad (12)$$

We note in passing that since  $\Gamma_{eH}(\vec{b} + \vec{s}'_j, \vec{b} + \vec{s}'_j - \vec{s})$  is a single-particle operator as far as the electrons in the atom (ion) are concerned, its matrix element vanishes if, in the final state  $f$ , more than one electron in the atom (ion) is excited.

To obtain an approximate result for  $F_{fi}$ , we use Eqs. (11) and (12) for  $\Gamma_{fi}$  in Eq. (7) and find

tive velocity  $v$  and  $f_{pH}(\vec{q}, k_p; i_T \rightarrow f_T)$  is the Glauber approximation amplitude for  $p$ -H collisions in which the hydrogen atom makes a transition from initial state  $i_T$  to final state  $f_T$ . The second integral may be evaluated similarly after shifting the origin in the integration to yield

$$\begin{aligned} & \frac{i\hbar k_e}{2\pi} \langle f | \int e^{i\vec{q}\cdot\vec{b}} \Gamma_{eH}(\vec{b} + \vec{s}'_j, \vec{b} + \vec{s}'_j - \vec{s}) d^2b | i \rangle \\ &= \frac{i\hbar k_e}{2\pi} \langle f | \int \exp[i\vec{q}\cdot(\vec{b} - \vec{s}'_j)] \Gamma_{eH}(\vec{b}, \vec{b} - \vec{s}) | i \rangle \end{aligned} \quad (15)$$

$$= S_{f_P i_P}^P(-\vec{q}) f_{eH}(\vec{q}, k_e; i_T \rightarrow f_T), \quad (16)$$

where  $S$  is the transition form factor for the projectile,

$$S_{f_{p i_p}}^P(\vec{q}) = \int e^{i\vec{q}\cdot\vec{r}} \rho_{f_{p i_p}}^P(\vec{r}) d^3r, \quad (17)$$

in which  $\rho_{f_{p i_p}}^P(\vec{r})$  is the one-particle transition density matrix for the projectile. The quantity  $f_{eH}$  is the scattering amplitude for  $e$ -H collisions in the Glauber approximation and  $\hbar k_e$  is the momentum of an electron with relative velocity  $v$ . Combining Eqs. (13), (14), and (16), we obtain the result

$$F_{f_i}(\vec{q}, k) = k[Z\delta_{f_{p i_p}} f_{pH}(\vec{q}, k_p; i_T \rightarrow f_T)/k_p + NS_{f_{p i_p}}^P(-\vec{q})f_{eH}(\vec{q}, k_e; i_T \rightarrow f_T)/k_e]. \quad (18)$$

The atom (ion)-hydrogen scattering amplitude is thereby simply related to the  $e$ -H and  $p$ -H amplitudes and the transition form factor of the atom (ion).

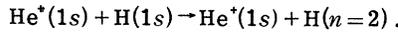
The fictitious electron-proton atom which appeared<sup>12</sup> in the treatment of collisions between arbitrary targets and projectiles does not appear in the present treatment since the target is a hydrogen atom and, therefore, it is unnecessary to "decompose" it into fictitious atoms made up of electron-proton pairs. The entire target is an actual atom made up of an electron-proton pair.

### III. COLLISIONS BETWEEN $\text{He}^+$ IONS AND HYDROGEN ATOMS

If the projectile, say, is  $\text{He}^+$  we have  $Z=2, N=1$ , and

$$F_{f_i}(\vec{q}, k) = k[2\delta_{f_{p i_p}} f_{pH}(\vec{q}, k_p; i_T \rightarrow f_T)/k_p + S_{f_{p i_p}}^P(-\vec{q})f_{eH}(\vec{q}, k_e; i_T \rightarrow f_T)/k_e]. \quad (19)$$

We apply this result to the excitation process



For this case  $i_T = f_p = i_p = 1s$  and Eq. (19) becomes

$$F_{f_i}(\vec{q}, k) = 2kf_{pH}(\vec{q}, k; 1s \rightarrow f_T)/k_p + kS_{1s 1s}^{He^+}(q)f_{eH}(\vec{q}, k_e; 1s \rightarrow f_T)/k_e. \quad (20)$$

The elastic form factor is a simple analytic function, and the Glauber scattering amplitudes are given<sup>8</sup> in closed form in terms of hypergeometric functions. The differential cross section for (1) is given by

$$\frac{d\sigma}{d\Omega}(q, k) = \frac{k'}{k} [ |F_{f_i}(q, k; f_T = 2s)|^2 + \sum_m |F_{f_i}(\vec{q}, k; f_T = 2p_m)|^2 ], \quad (21)$$

where  $\hbar k'$  is the final momentum of the projectile. The total cross section is given by

$$\sigma = \frac{2\pi}{kk'} \int_{q_{\min}}^{q_{\max}} \frac{d\sigma}{d\Omega} q dq, \quad (22)$$

where  $\hbar q_{\min}$  and  $\hbar q_{\max}$  are the minimum and maximum allowable momentum transfers.

In Fig. 1 we present the results obtained from Eqs. (20)–(22) (solid curve) for the total cross section for process (1) together with the Born-approximation results,<sup>9,10</sup> the four-state impact-parameter calculation,<sup>9</sup> and the calculation involving the fictitious electron-proton atom.<sup>3</sup> There is little difference among the Born, four-state impact-parameter, and present results for incident  $\text{He}^+$  energies above  $\sim 250$  keV. At lower energies all calculations exhibit a broad maximum, but they occur at different energies and are of different magnitudes. The maximum near 200 keV in the present calculation is traceable to the maxima in the Glauber approximation proton-hydrogen  $1s \rightarrow 2s$  and  $1s \rightarrow 2p$  cross sections near  $\sim 55$ – $60$  keV,<sup>11</sup> which corresponds to approximately the same relative speed as that of a 200-keV  $\text{He}^+$  ion. Our results also exhibit a very shallow minimum near 50 keV and a maximum near 38 keV. This is traceable to the minimum and maximum in the Glauber-approximation proton-hydrogen  $1s \rightarrow 2s$  cross section near  $\sim 15$  keV and  $\sim 8$  keV, respectively.

The present calculation is in good agreement with the recent preliminary measurements which

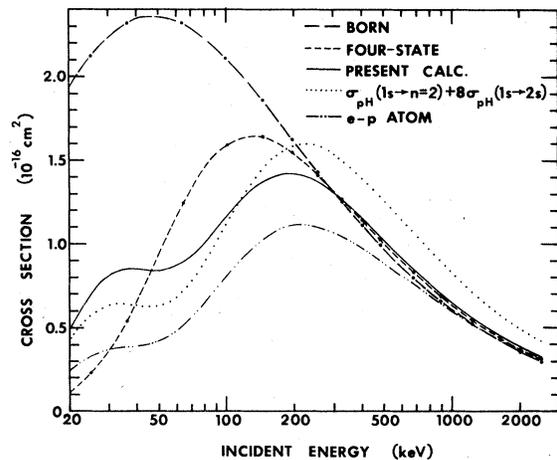


FIG. 1. Total cross section for excitation of atomic hydrogen to the  $n=2$  state by  $\text{He}^+$ . The solid curve is the present calculation. The dotted curve is the approximation Eq. (25). The two dashed curves are the Born approximation and four-state impact-parameter calculations of Ref. 9; the solid circles represent the values actually calculated in Ref. 9. The dash-dot-dot curve is the calculation involving the fictitious electron-proton atom of Ref. 3.

yield a cross section which is approximately  $(0.65 \pm 0.25) \times 10^{-16} \text{ cm}^2$  at 20 keV, remains essentially constant for energies  $\leq 60 \text{ keV}$ , and then rises to approximately  $(0.9 \pm 0.2) \times 10^{-16} \text{ cm}^2$  at 200 keV.<sup>12</sup> We also note that the four-state impact-parameter method yields a rather marked improvement in the cross section over that obtained from the Born approximation.

Replacing  $\text{He}^+$  by a proton with relative velocity  $v$  is a poor approximation to our results for energies below  $\sim 600 \text{ keV}$ . However, Eq. (20) does lead to a simple approximate formula for the cross section for (1) in terms of  $p$ -H cross sections. Since most of the scattering occurs at small momentum transfers, the amplitudes in Eq. (20) might be approximated by their small- $q$  limits. In this limit Eq. (20) becomes

$$F_{fi}(\vec{q}, k) \underset{q \rightarrow 0}{\sim} 2k f_{pH}(\vec{q}, k_p; 1s \rightarrow f_T)/k_p + k f_{eH}(\vec{q}, k_e; 1s \rightarrow f_T)/k_e. \quad (23)$$

Using the small- $q$  results for the  $1s \rightarrow 2s$  and  $1s \rightarrow 2p$  Glauber amplitudes,<sup>8</sup> we find that for small  $q$ ,  $d\sigma/d\Omega$  for (1) is given approximately by

$$\frac{d\sigma}{d\Omega}(1s \rightarrow n=2, v; q) \approx \left(\frac{8}{5}\right)^2 \left( \frac{d\sigma_{pH}}{d\Omega}(1s \rightarrow n=2, v; q) + 8 \frac{d\sigma_{eH}}{d\Omega}(1s \rightarrow 2s, v; q) \right), \quad (24)$$

and the total cross section is given approximately by the simple formula

$$\sigma(1s \rightarrow n=2, v) \approx \sigma_{pH}(1s \rightarrow n=2, v) + 8\sigma_{eH}(1s \rightarrow 2s, v), \quad (25)$$

where the cross sections  $d\sigma_{pH}/d\Omega$  and  $\sigma_{pH}$  are Glauber approximation proton-hydrogen cross sections at relative velocity  $v$ . Approximation (25) is shown by the dotted curve in Fig. 1. It gives results qualitatively similar to the full calculation (solid curve).

In obtain approximations (24) and (25), we used some properties of the Glauber amplitudes  $f_{pH}$  and  $f_{eH}$ . However, approximations (24) and (25) may be more generally valid. Consequently, Eq. (25) could be a useful phenomenological relationship between the cross section for (1) and the  $p$ -H

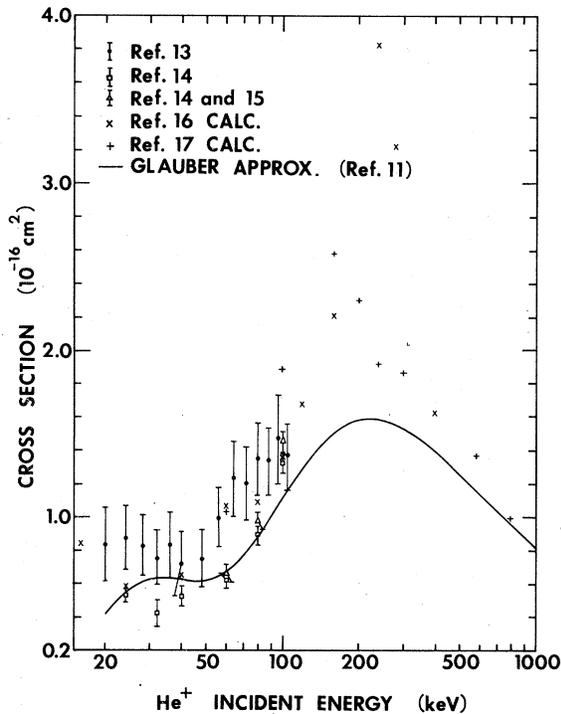


FIG. 2. Combination  $\sigma_{pH}(1s \rightarrow n=2, v) + 8\sigma_{eH}(1s \rightarrow 2s, v)$ . The  $pH$  measurements (data points) are from Refs. 13–15. The crosses ( $\times$  and  $+$ ) represent the  $pH$  calculations of Refs. 16 and 17, respectively. The curve is the Glauber approximation of Ref. 11.

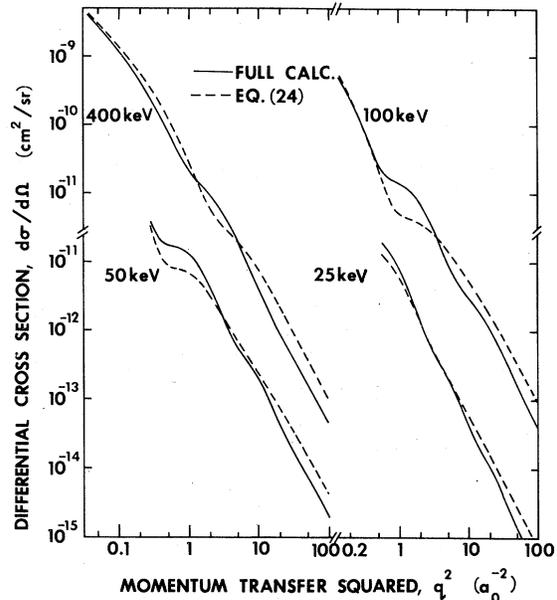


FIG. 3. Center-of-mass differential cross section for excitation of atomic hydrogen to the  $n=2$  state by  $\text{He}^+$  as a function of the squared momentum transfer  $q^2$ , in units of  $a_0^{-2}$ . The solid curves represent the present calculation [Eqs. (20) and (21)]. The dashed curves represent the approximation (24) to Eqs. (20) and (21). The upper scale for  $d\sigma/d\Omega$  belongs to the 400- and 100-keV curves, the lower to the 50- and 25-keV curves. The scale on the left for  $q^2$  belongs to the 400- and 50-keV curves, that on the right to the 100- and 25-keV curves.

$1s \rightarrow n=2$  and  $1s \rightarrow 2s$  cross sections. Reliable calculations or measurements of these  $p$ -H cross sections could be used to predict the cross section for process (1). Appropriate proton-hydrogen measurements at velocities corresponding to  $\text{He}^+$  energies between 20 and 104 keV do exist.<sup>13-15</sup> We therefore have "deduced" the cross sections for (1) from the right-hand side of Eq. (25) and the  $p$ -H data of Refs. 13-15. These deduced cross sections are shown in Fig. 2, where we plot the measured values of  $\sigma_{pH}(1s \rightarrow n=2, v) + 8\sigma_{pH}(1s \rightarrow 2s, v)$  as a function of the equivalent  $\text{He}^+$  energy. The results are in rather good agreement with the recent  $\text{He}^+$ -H measurements.<sup>12</sup> We have also used seven-state close-coupling calculations,<sup>16</sup> the Glauber approximation,<sup>11</sup> and elaborate 35-state close-coupling calculations<sup>17</sup> for  $\sigma_{pH}(n=2, v)$  and  $\sigma_{pH}(1s \rightarrow 2s, v)$  in Eq. (25) for  $\sigma$ ; the results are shown by crosses ( $\times$ ), the solid curve, and the crosses (+), respectively. We note that the calculations<sup>11,16,17</sup> are all in reasonably good agreement with  $p$ -H data. In addition, the use of the calculations of Refs. 11 and 16 in Eq. (25) yields good agreement with the  $\text{He}^+$  data.<sup>12</sup> These results indicate that the simple approximation (25) for process (1) may be generally valid and of considerable utility.

In Fig. 3 we present the differential cross sec-

tion (solid curves) for process (1) at incident energies of 25, 50, 100, and 400 keV, as a function of  $q^2$ . The results are in reasonable agreement with the preliminary measurements except at 100 keV and near the forward direction at 25 keV. The dashed curves represent the approximation (24) to Eqs. (20) and (21) and are seen to give a qualitative representation of the full calculation at small momentum transfers, although there are significant quantitative differences at some energies.

The results we have obtained for the cross section for process (1) represent a substantial improvement over the Born-approximation results and are attained with little more effort than that needed for the Born approximation. The method used is applicable to collisions involving arbitrary ions or atoms and atomic hydrogen. It also leads to simple approximate formulas for the cross section in terms of proton-hydrogen cross sections which may be used to obtain qualitative estimates with great ease.

#### ACKNOWLEDGMENTS

This work was supported in part by the National Science Foundation and by a City University of New York PSC-BHE faculty research award.

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