

## Lower bounds for thermodynamic quantities of two- and three-dimensional one-component plasmas

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Exact lower bounds for the correlation energy of the one-component plasmas (OCP) with the  $r^{-1}$  potential are given in  $d$  dimensions  $1 < d \leq 3$ . For classical OCP's with  $d = 2$  and  $3$ , this lower bound contains most of the correlation energy in the high-density domain, and the residue is given by two integrals of positive-definite functions, including the pair correlation function and the structure factor. For the classical OCP with  $d = 3$ , a lower bound which improves upon known ones due to Mermin and to Lieb and Narnhofer in the domain  $\Gamma < 15.3$  is given.

Exact bounds for thermodynamic quantities are useful when there exist no well-defined methods to calculate these quantities. For the correlation energy of the one-component plasma (OCP), the system of charged particles in the uniform background of opposite charges, lower bounds have been given by Dyson and Lenard,<sup>1</sup> Mermin,<sup>2</sup> and Lieb and Narnhofer.<sup>3</sup> For the classical three-dimensional OCP, the lower bound due to Mermin,<sup>2</sup> which is applicable only to the classical case, is effective in the low-density domain and that due to Lieb and Narnhofer<sup>3</sup> in the high-density domain. In addition to these three-dimensional plasmas, two-dimensional plasmas on the surface of liquid helium and in metal-oxide-semiconductor (MOS) inversion layers have recently become the subject of experimental and theoretical studies. For two-dimensional plasmas, where particles interact via the ordinary Coulomb potential, no exact bounds of thermodynamic quantities are known; three-dimensional derivations by Mermin<sup>2</sup> or Lieb and Narnhofer<sup>3</sup> which use the properties of the  $r^{-1}$  potential in three dimensions do not work in two dimensions.

In this paper, we show that simple arguments applicable to both three- and two-dimensional cases lead to exact lower bounds of the correlation energy of OCP, and compare them with former exact bounds<sup>2,3</sup> and the results of numerical experiments on classical OCP's in three<sup>4,5</sup> and two dimensions.<sup>6</sup> We also point out that these lower bounds reproduce most of the correlation energy of classical OCP's in the high-density domain without essential information on the pair-correlation function or the structure factor, and may be used as a useful zeroth-order approximation in calculations of thermodynamic quantities. In order to show the dimensionality-independent applicability, we give the result for the  $d$ -dimensional case ( $1 < d \leq 3$ ), extending the number of dimensions to noninteger values.<sup>7</sup>

The correlation energy per particle  $e_c$  is given by

$$e_c = \frac{ne^2}{2} \int \frac{d\vec{r} h(\vec{r})}{r}, \quad (1)$$

where  $h(\vec{r})$  denotes the pair-correlation function,  $n$  and  $e$  the number density and unit charge, and  $d\vec{r}$  the  $d$ -dimensional volume element. The pair-correlation function is related to the structure factor  $S(\vec{k})$  and the density fluctuation  $\rho_{\vec{k}}$  by

$$S(\vec{k}) = \langle |\rho_{\vec{k}}|^2 \rangle / N, \quad (2)$$

$$\rho_{\vec{k}} = \sum \exp(-i\vec{k} \cdot \vec{r}_i), \quad (3)$$

$$nh(\vec{r}) = \int d\vec{k} (2\pi)^{-d} [S(\vec{k}) - 1] \exp(i\vec{k} \cdot \vec{r}), \quad (4)$$

$N$  being the number of particles. Equation (1) is then rewritten

$$e_c = \frac{e^2}{2} \int d\vec{k} (2\pi)^{-d} \phi(k) [S(\vec{k}) - 1]. \quad (5)$$

Here  $\phi(k)$  is the Fourier transform of the Coulomb interaction:

$$\begin{aligned} \phi(k) &= \int \frac{d\vec{r} \exp(-i\vec{k} \cdot \vec{r})}{r} \\ &= \frac{2\pi^{(d-1)/2}}{\Gamma(\frac{1}{2}(d-1))} \int_0^\infty dr r^{d-1} r^{-1} \\ &\quad \times \int_0^\pi d\theta \sin^{d-2}\theta \exp(-ikr \cos\theta) \\ &= (2\pi^{1/2})^{d-1} \Gamma(\frac{1}{2}(d-1)) k^{1-d}, \quad 1 < d \leq 3 \end{aligned} \quad (6)$$

and  $d\vec{k}$  denotes the  $d$ -dimensional volume element in the Fourier space. Rewriting the Coulomb interaction as

$$\frac{1}{r} = \int_0^\infty dt f(r, t) = \left( \int_0^G + \int_G^\infty \right) dt f(r, t) \quad (7)$$

with an arbitrary parameter  $G \geq 0$ , we obtain the Ewald-type hybrid expression for the correlation

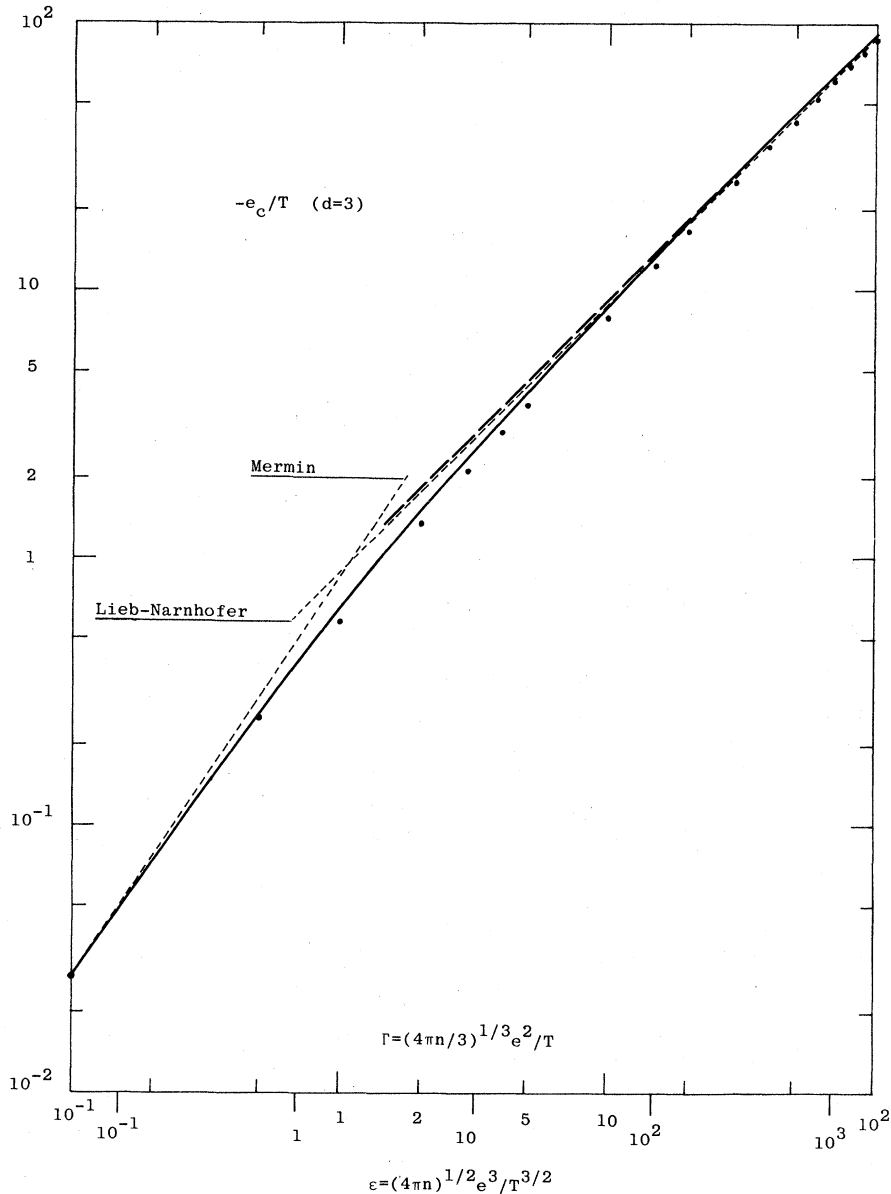


FIG. 1. Correlation energy of the three-dimensional classical one-component plasma. Closed circles are experimental values.<sup>4,5</sup> The dashed and solid lines describe the lower bounds (22) and (27), respectively, and the dotted lines those due to Mermin<sup>2</sup> and to Lieb and Narnhofer.<sup>3</sup>

energy

$$\begin{aligned}
 e_c &= \frac{ne^2}{2} \int_G^\infty dt \int d\vec{r} h(\vec{r}) f(r, t) \\
 &+ \frac{e^2}{2} \int_0^G dt \int d\vec{k} (2\pi)^{-4} [S(\vec{k}) - 1] f(k, t) \\
 &= \frac{ne^2}{2} \int_G^\infty dt \int d\vec{r} [h(\vec{r}) + 1] f(r, t) \\
 &+ \frac{e^2}{2} \int_0^G dt \int d\vec{k} (2\pi)^{-4} S(\vec{k}) f(k, t) + B[f, G], \quad (8)
 \end{aligned}$$

where  $f(k, t)$  is the Fourier transform of  $f(r, t)$  and

$$\begin{aligned}
 B[f, G] &= -\frac{ne^2}{2} \int_G^\infty dt f(k=0, t) \\
 &- \frac{e^2}{2} \int_0^G dt f(r=0, t). \quad (9)
 \end{aligned}$$

Noting the trivial inequalities

$$h(\vec{r}) \geq -1, \quad (10)$$

$$S(\vec{k}) \geq 0, \quad (11)$$

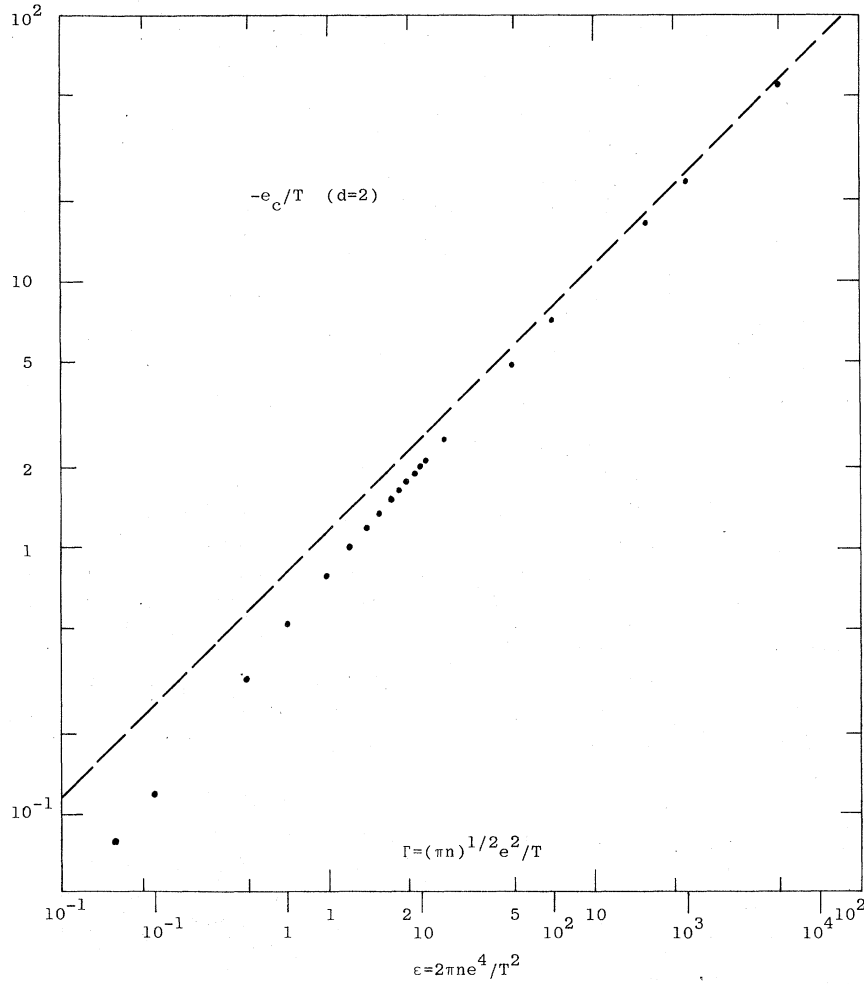


FIG. 2. Correlation energy of the two-dimensional classical one-component plasma. Closed circles are experimental values,<sup>6</sup> and the dashed line describes the lower bound (23).

and assuming

$$f(r, t) \geq 0 \text{ and } f(k, t) \geq 0, \tag{12}$$

we have an exact lower bound for the correlation energy,

$$e_c \geq B[f, G]. \tag{13}$$

The nonideal part of the Helmholtz free energy  $F_{int}$  is bounded as follows:

$$F_{int} \geq \int_0^{e^2} d(\ln e^2) B[f, G]. \tag{14}$$

When the function  $f(r, t)$  has the form

$$f(r, t) = f(rt), \tag{15}$$

the maximum of  $B[f, G]$  is attained at  $G = G_0$ ,

$$G_0 = \left( n \int \frac{d\vec{r} f(r)}{f(r=0)} \right)^{1/d}, \tag{16}$$

and the best lower bound within assumption (15) is given by

$$B[f, G_0] = -\pi^{-1/2} \frac{d}{2(d-1)} \left( \Gamma(\frac{1}{2}d + 1) \int \frac{d\vec{r} f(r)}{f(r=0)} \right)^{1/d} \times \frac{f(r=0)e^2}{a}. \tag{17}$$

Here the mean distance  $a = \pi^{-1/2} [\Gamma(\frac{1}{2}d + 1)/n]^{1/d}$  is defined by

$$n \int_{r \leq a} d\vec{r} = 1. \tag{18}$$

For the function  $f(r, t)$  we now take<sup>8</sup>

TABLE I. Comparison of exact lower bounds for the correlation energy with experimental values,  $e_c/T$ , for three-dimensional classical one-component plasmas.

$\Gamma$	$\epsilon$	Experiments by Brush <i>et al.</i> <sup>4</sup> (BST) or Hansen <sup>5</sup> (H)	Lower bounds	
			Present paper [Eq. (27)]	Mermin <sup>2</sup> (M) or Lieb and Narnhofer <sup>3</sup> (LN)
0.1	$5.477 \times 10^{-2}$	$-2.70 \times 10^{-2}$ (BST)	$-2.693 \times 10^{-2}$	$-2.739 \times 10^{-2}$ (M)
0.5	$6.124 \times 10^{-1}$	$-2.52 \times 10^{-1}$ (BST)	$-2.669 \times 10^{-1}$	$-3.062 \times 10^{-1}$ (M)
1	1.732	$-5.80 \times 10^{-1}$ (H)	$-6.572 \times 10^{-1}$	$-8.660 \times 10^{-1}$ (M)
2	4.899	-1.318 (H)	-1.518	-1.80 (LN)
4	13.86	-2.926 (H)	-3.322	-3.60 (LN)
10	54.77	-7.996 (H)	-8.854	-9.00 (LN)
15	100.6	-12.313 (H)	-13.49	-13.50 (LN)
20	154.9	-16.667 (H)	-18.14	-18.00 (LN)

$$f(r, t) = f_0(rt) = 2\pi^{-1/2} \exp(-r^2 t^2). \quad (19)$$

The function  $f_0(rt)$  and its Fourier transform

$$f_0(k, t) = 2\pi^{-(d-1)/2} t^{-d} \exp(-k^2/4t^2) \quad (20)$$

satisfy conditions (12). The correlation energy is thus bounded as

$$e_c \geq B[f_0, G_0] = -\pi^{-1/2} \frac{d}{d-1} \frac{\Gamma(\frac{1}{2}d+1)^{1/4} e^2}{a}. \quad (21)$$

For  $d=3$  and  $d=2$ , we have

$$e_c \geq -\frac{\frac{3}{2}(3/4\pi)^{1/3} e^2}{a} = -\frac{0.9305 e^2}{a}, \quad d=3 \quad (22)$$

$$e_c \geq -\frac{2\pi^{-1/2} e^2}{a} = -\frac{1.1284 e^2}{a}, \quad d=2. \quad (23)$$

Our lower bound for  $d=3$  is lower by 3% than the lower bound due to Lieb and Narnhofer,<sup>3</sup>

$$e_c \geq -\frac{9}{10} e^2/a, \quad (24)$$

which is very close to the correlation energy of the three-dimensional Wigner lattices,  $e_c(\text{bcc}) = -0.89593e^2/a$ ,  $e_c(\text{fcc}) = -0.89587e^2/a$ , and  $e_c(\text{sc}) = -0.8801e^2/a$ . In the case of two dimensions, our lower bound is lower by 2% than the correlation energy of the Wigner lattices,<sup>6</sup>  $e_c(\text{hexagonal}) = -1.106e^2/a$  and  $e_c(\text{square}) = -1.100e^2/a$ . Our results for  $d=3$  and  $d=2$  are compared with values obtained by the numerical experiments on classical OCP's<sup>4-6</sup> and other lower bounds for  $d=3$  in Figs. 1 and 2. There the values of  $e_c/T$  are plotted as functions of the nondimensional parameter  $\Gamma$  defined by  $\Gamma = e^2/aT$ ,  $T$  being the temperature in energy units. It is shown that most of the correlation energy of the classical OCP in the high-density domain is obtained by the zeroth approximation  $h(\vec{r}) = -1$  and  $S(\vec{k}) = 0$  from Eq. (8), with  $f(r, t)$  and  $G$  given by Eqs. (19) and (16). Equation (8) with the same  $f(r, t)$  and  $G$  may thus be useful, since  $B[f_0, G_0]$  is already included in

the zeroth approximation and the remaining contributions from the pair-correlation function in the short-range domain and the structure factor in the long-range domain, given by the integrals of positive-definite functions, do not cancel each other.

In the case of three-dimensional classical OCP's Mermin<sup>2</sup> has shown that the structure factor is bounded exactly by its RPA value

$$S(\vec{k}) \geq S_{\text{RPA}}(k) = k^2/(k^2 + k_D^2), \quad (25)$$

where  $k_D$  is the Debye wave number defined by  $k_D^2 = 4\pi n e^2/T$ . Substituting this inequality instead of (11) into (8), we obtain an exact lower bound which improves upon  $B[f, G]$ :

$$e_c \geq \frac{e^2}{2} \int_0^G dt \int d\vec{k} (2\pi)^{-3} S_{\text{RPA}}(k) f(k, t) + B[f, G]. \quad (26)$$

Assuming again Eq. (19), we have

$$e_c/T \geq -\frac{1}{2} [x^2 + \epsilon \exp(x^2) \text{erfc}(x)], \quad x = k_D/2G \quad (27)$$

where  $\epsilon$  denotes the plasma parameter defined by  $\epsilon = 3^{1/2} \Gamma^{3/2}$ , and

$$\text{erfc}(x) = 2\pi^{-1/2} \int_x^\infty dt \exp(-t^2). \quad (28)$$

After optimizing with respect to  $G$  for each value of  $\epsilon$ , we have an exact lower bound as shown in Fig. 1 and Table I. Our result improves upon the former bounds<sup>2,3</sup> in the domain  $\Gamma(\epsilon) < 15.3(1.03 \times 10^2)$ . When the plasma parameter is small or large,<sup>9</sup> this lower bound is given approximately by

$$e_c/T \geq -\frac{1}{2}(\epsilon - \epsilon^2/\pi) \quad \text{for } \Gamma, \epsilon \ll 1 \quad (29)$$

$$e_c/T \geq -\frac{3}{2}(3/4\pi)^{1/3} \Gamma + \frac{1}{2} \quad \text{for } \Gamma, \epsilon \gg 1, \quad (30)$$

and reduces to Mermin's result<sup>2</sup>  $e_c \geq -\frac{1}{2}\epsilon$  or Eq. (22).

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- <sup>2</sup>N. D. Mermin, *Phys. Rev.* 171, 272 (1968).
- <sup>3</sup>E. H. Lieb and H. Narnhofer, *J. Stat. Phys.* 12, 291 (1975).
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- <sup>5</sup>J. P. Hansen, *Phys. Rev. A* 8, 3096 (1973).
- <sup>6</sup>H. Totsuji, *Phys. Rev. A* 17, 399 (1978).
- <sup>7</sup>K. G. Wilson, *Phys. Rev. Lett.* 28, 548 (1972).
- <sup>8</sup>Among simple functions  $f_0(rt)$ ,  $c \exp(-rt)$ , and  $c(r^2 t^2 + 1)^{-\nu}$  ( $\nu > \frac{1}{2}d$ ) which satisfy conditions (12),  $f_0$  gives the best lower bound.
- <sup>9</sup>The second term on the right-hand side of (8) gives  $\frac{1}{2}$  in (30), while the non-negative first term may be estimated (not exactly as a lower bound) by the ion-sphere model [E. E. Salpeter, *Aust. J. Phys.* 7, 373 (1954)] as 0.0621 $\Gamma$ .