# Fermion exchange in ring polymer quantum theory

Malcolm A. Kealey,<sup>1</sup> Philip A. LeMaitre<sup>,2</sup> and Russell B. Thompson<sup>1,\*</sup>

<sup>1</sup>Department of Physics & Astronomy and Waterloo Institute for Nanotechnology, University of Waterloo,

200 University Avenue West, Waterloo, Ontario, Canada N2L 3G1

<sup>2</sup>University of Innsbruck, Institute for Theoretical Physics, Technikerstrasse 21a, A-6020 Innsbruck, Austria

(Received 15 February 2024; accepted 29 April 2024; published 16 May 2024)

A mapping is made between fermion exchange and excluded volume in the quantum-classical isomorphism using polymer self-consistent field theory. Apart from exchange, quantum particles are known to be exactly representable in classical statistical mechanics as ring polymers, with contours that are parametrized by the inverse thermal energy, often called the imaginary time. Evidence in support of a previously used approximation for fermion exchange in ring polymer self-consistent field theory is given, specifically, that the use of allcontour interactions in the mean field picture instead of equal imaginary time interactions is justified based on the symmetry of ring polymers. It is also shown that the removal of forbidden thermal trajectories, both those that violate excluded volume directly and those that represent topologically inaccessible microstates, is equivalent to antisymmetric exchange. The electron density of the beryllium atom is calculated with ring polymer self-consistent field theory ignoring classical correlations, and very good agreement is found with Hartree-Fock theory which also neglects Coulomb correlations. The total binding energies agree to within less than 6%, which, while still far from chemical accuracy, is remarkable given that the field theory equations are derived from first principles with zero free parameters. The discrepancy between self-consistent field theory and Hartree-Fock theory is attributed to classical Coulomb self-interactions which are included in Hartree-Fock theory but not in self-consistent field theory. A potential method to improve the agreement by more accurately representing electron-electron self-interactions in self-consistent field theory is discussed, as are the implications for quantum foundations of the quantum-classical mapping between fermion exchange and thermal trajectory excluded volume.

DOI: 10.1103/PhysRevA.109.052819

## I. INTRODUCTION

Modern quantum simulation methods often use a dimensional trick to exactly convert quantum many-body calculations into classical ones [1-3]. This method, introduced by Feynman in 1953 [4–6] and since referred to as the quantum-classical isomorphism [7], treats the inverse temperature as a fictional dimension and so allows the statistical mechanics of a quantum system to be viewed as the classical statistical mechanics of a ring polymer system, where the term "ring polymer" refers to a mathematical contour that starts and ends at the same point, with the inverse temperature parametrizing the curve [1,8-12]. The inverse temperature is sometimes referred to as the imaginary time due to it being a Wick rotation of the quantum matrix element [5]. This quantum-classical mapping is exact except for the lack of quantum exchange in the ring-polymer partition function. The mathematics of boson exchange can be viewed classically as the merging of polymer rings into larger rings, including separation back into smaller rings [11,12], and so fits naturally into the quantum-classical isomorphism. For this reason, ring-polymer simulations of quantum systems are primarily applied to bosons (Feynman originated

the method for explaining the superfluid transition in helium) [4-6]. To our knowledge, there is no similar quantum-classical mapping for fermions. Such a mapping would be extremely valuable not only because it would allow for effective approximations to be made for many-body fermion computations within the quantum-classical isomorphism [9,13,14], but also because of the implications for quantum foundations (see Sec. IV and Refs. [10,11]). In this paper, we present and justify a quantum-classical mapping for fermions within the quantum-classical isomorphism using polymer self-consistent field theory (SCFT).

SCFT is a mean-field statistical mechanics formalism for classical coarse-grained polymers [15–19], including ring polymers [20,21]. In recent years, it has been applied to study quantum systems using the quantum-classical isomorphism both in its mean-field form [8–11,13,14,22] and including fluctuations through field-theoretic simulations (FTS) [12,23,24]. Only boson systems have been examined to date using FTS due to the difficulty of incorporating fermion exchange in the quantum-classical isomorphism. Feynman and Hibbs discussed the numerical problems caused by fermion exchange [25], and as mentioned, there has been no classical interpretation along the lines of boson exchange. Despite this, mean-field SCFT has been applied exclusively to fermion systems in the form of the electron densities of atoms [8,9,13,14] and diatomic molecules [22]. These applications have been

2469-9926/2024/109(5)/052819(7)

<sup>\*</sup>thompson@uwaterloo.ca

based on a postulated equivalence between fermion exchange in three dimensions (real space) and excluded volume between ring-polymer trajectories in four dimensions (real space plus the fictitious thermal dimension) [9–11,13,14].

An excluded volume assumption for the paths of quantum particles in thermal space is not new. It is implicit in quantum simulations [1] and explicit in Feynman's original work [5]. Although these are boson systems, the assumption is independent of quantum symmetry: any particle with mass should be expected to have excluded volume trajectories and so the assumption should be kept for electrons or other fermion systems. It does not necessarily follow that excluded volume in four dimensions is the same as fermion exchange in three dimensions, although from a quantum-classical perspective, the assumption is not unreasonable: a three-dimensional (3D) fermion system is mathematically identical to a fourdimensional (4D) classical ring-polymer system except that the 3D system has fermion exchange but not excluded volume, whereas the 4D system has excluded volume but not exchange. Given that boson exchange has a classical interpretation in terms of merging and separating ring polymers, it is logical to expect a similar mapping for fermion exchange, and excluded volume seems an obvious choice.

Evidence in support of the excluded volume postulate is also available: SCFT calculations which use the assumption give correct qualitative atomic shell structure [9,13,14] and molecular bonding [22]. The SCFT predictions are also quantitatively quite good, although they do not come close to chemical accuracy [13,14]. This is not surprising, however, since implementing excluded volume accurately is notoriously difficult even in classical systems, so there will always be confounding factors in computationally testing the excluded volume hypothesis. Instead, one option is to turn to scaling theory, where for the case of a high-density uniform electron gas, the excluded volume hypothesis correctly predicts the energy of the system to scale with the electron density following a 5/3 power, in agreement with Thomas-Fermi theory [9,13,26,27]. A further scaling theory correction term agrees with Dirac's exchange correction to the Thomas-Fermi energy and scales with the density to the 4/3 power [13,28]. Another option is to make use of the known analytic constraints on the electron density and fields [29,30] to test whether the excluded-volume hypothesis adheres to these constraints or not. In Ref. [14], a number of these constraints were tested numerically, and it was shown that the electron density does not violate any of them, even for the approximate implementation of the excluded-volume hypothesis. These results are either remarkable coincidences, or there is some reason to take the excluded volume postulate seriously. In particular, the agreement with Dirac exchange suggests a strong link between 4D excluded volume and 3D fermion exchange.

In this paper, we put the excluded volume-exchange hypothesis on a stronger footing, and give a mathematical justification for the equivalence. We give a numerical example of the beryllium atom using first-principles SCFT with no free parameters, which shows very good agreement with Hartree-Fock (HF) theory, and we explain the remaining discrepancies between SCFT and HF in terms of residual classical approximations. We give some reasons why previous

implementations using the excluded volume postulate failed to give perfect agreement with HF theory, and we show that some of those approximations are actually better than expected.

#### **II. SUMMARY OF THEORY**

We give only a brief synopsis of the relevant SCFT equations; further details and derivations can be found in Refs. [8–11,13,14,22] for the quantum case, and in Refs. [15–19] for SCFT applied to polymers.

The SCFT equations for a system of N quantum particles have the identical structure as those of a system of N classical ring polymers. The quantum particle spatially inhomogeneous number density is

$$n(\mathbf{r},\beta) = \frac{N}{Q(\beta)}q(\mathbf{r},\mathbf{r},\beta),$$
(1)

where  $\beta = 1/k_B T$  with temperature *T* and Boltzmann's constant  $k_B$ .  $q(\mathbf{r}, \mathbf{r}, \beta)$  is a real and nonnegative propagator that is the diagonal of the solution to a modified diffusion equation

$$\frac{\partial q(\mathbf{r}_0, \mathbf{r}, s)}{\partial s} = \frac{\hbar^2}{2m} \nabla^2 q(\mathbf{r}_0, \mathbf{r}, s) - w(\mathbf{r}, \beta) q(\mathbf{r}_0, \mathbf{r}, s), \quad (2)$$

subject to the influence of a field  $w(\mathbf{r}, \beta)$ , which contains all interactions between quantum particles and the initial condition

$$q(\mathbf{r}_0, \mathbf{r}, 0) = \delta(\mathbf{r} - \mathbf{r}_0). \tag{3}$$

Equation (1) is normalized by the single-particle partition function

$$Q(\beta) = \int q(\mathbf{r}, \mathbf{r}, \beta) d\mathbf{r}.$$
 (4)

Previously [9,11,13,14], quantum exchange and the Pauli exclusion principle were encoded in SCFT for fermions using a simple mean-field excluded volume contact force based on repulsions between all polymer segments. That is, an Edwards-excluded volume term [31,32] contributes a Pauli field  $w_P(\mathbf{r}, \beta)$  that is linear in the density to the total field in the diffusion equation (2)

$$w_P(\mathbf{r},\beta) = g_0^{-1} n(\mathbf{r},\beta).$$
(5)

The basic form (5) should be modified to remove selfinteractions following Refs. [13,14], but, in the interest of clarity, the simple form given here can be used for discussion purposes without loss of generality. The prefactor  $g_0^{-1}$ , which has units of an inverse density of states, sets the strength of the contact forces and is analogous to the Flory-Huggins parameter  $\chi$  (which is a contact repulsion between different chemical species in polymer SCFT [16]) and the excluded volume parameter v in the work of Edwards (which enforces average repulsions between different macromolecule segments in SCFT [31,32]). Although Eq. (5) gives semiquantitatively correct results for the shell structure of atoms [9,13,14] when combined with an external potential (for atoms, the Coulomb attraction of a nucleus), electron-electron

#### **III. RESULTS AND DISCUSSION**

Allowing contact force repulsion between all polymer segments is a coarse approximation. It is known that, unlike for polymer systems, excluded volume in path integral simulations of quantum particles should be enforced only between contour values of equal imaginary time [1,5,12]. To accomplish this, one needs to keep track of the density at each imaginary time-slice value *s*, rather than just the density at  $s = \beta$ . In other words, the field given by Eq. (5) should be replaced by the set of fields

$$w_P(\mathbf{r},s) = g_0^{-1} n(\mathbf{r},s).$$
(6)

Instead of a single field calculated only at  $s = \beta$ , a family of fields, one for each value of  $0 \le s \le \beta$  is required. This, in turn, requires a family of densities  $n(\mathbf{r}, s)$  for  $0 \le s \le \beta$  to be computed. The formula for the density of segments at position  $\mathbf{r}$  and imaginary time *s* is [20]

$$n(\mathbf{r},s) = \frac{N}{Q(\beta)} \int q(\mathbf{r},\mathbf{r}',s)q(\mathbf{r},\mathbf{r}',\beta-s)d\mathbf{r}', \quad (7)$$

where

$$Q(\beta) = \iint q(\mathbf{r}, \mathbf{r}', s)q(\mathbf{r}, \mathbf{r}', \beta - s)d\mathbf{r}d\mathbf{r}'.$$
 (8)

Equation (8) is independent of *s* in that any value of *s* will give the same result for  $Q(\beta)$ . One can verify that choosing  $s = \beta$  in Eqs. (7) and (8) gives back Eqs. (1) and (4) for the density at  $s = \beta$ . It is straightforward to calculate the densities at each value of *s* using Eqs. (7) and (8) and to find the Pauli potentials for each value of *s* from Eq. (6).

We implemented this numerically for atomic systems by subdividing the s contour into small intervals and using initial perturbations on the fields and densities to break the symmetry along the contour. Not surprisingly, the perturbations were found to die away giving results identical to those found with the simpler formulas (1) and (5), which ignore imaginary time slices and calculate the Pauli potential and densities allowing all polymer segments to interact.<sup>1</sup> This happens because there is nothing to maintain broken symmetry along the imaginary time direction. In an atom, for example, besides the Pauli potential, the Coulomb potentials of the ion and electron-electron interactions do not depend on s. Only the Pauli potential can depend on s through its dependence on the density and the density through the Pauli potential. Unlike systems such as block copolymers (macromolecules with distinct blocks of different monomers, see Refs. [15–19]),

where asymmetry in the molecular architecture allows for the breaking of spatial symmetry, there is no inherent symmetry breaking mechanism in the quantum particle trajectory (for homogeneous ring polymers, including quantum particles, all segments of the ring are identical). Therefore, in the mean-field approximation, all time-slice densities give identical results. One is therefore free to choose any single value of *s*, such as  $s = \beta$ , meaning that formulas (1) and (5) are the correct mean-field-excluded volume expressions.

One must then consider other reasons why the results of Refs. [9,13,14] do not completely agree with HF theory, assuming for the moment that excluded volume is a correct hypothesis. Ignoring fluctuations about the mean field is undoubtedly partially responsible, but there is also a topological reason for the discrepancy. Equation (1) shows that the one-particle density is proportional to the diagonal of the propagator  $q(\mathbf{r}, \mathbf{r}, \beta)$ . This is illustrated in Fig. 1(a), where one possible trajectory that starts at position  $\mathbf{r}$  for s = 0 returns to the same position **r** for  $s = \beta$ : the probability of finding a particle at position **r** is proportional to  $q(\mathbf{r}, \mathbf{r}, \beta)$ . Following Ref. [11], a two-particle density may be defined which is related to the probability of finding one particle at **r** and another particle at  $\mathbf{r}'$ , as illustrated in Fig. 1(b). If the particles are completely uncorrelated, then the two-particle density is just proportional to the product of the propagators

$$n(\mathbf{r}, \mathbf{r}') \propto q(\mathbf{r}, \mathbf{r}, \beta)q(\mathbf{r}', \mathbf{r}', \beta).$$
(9)

Two classical ring polymers which start at  $\mathbf{r}$  and  $\mathbf{r'}$  for s = 0, respectively, must each return to their starting points at  $\mathbf{r}$  and  $\mathbf{r'}$  for  $s = \beta$ . Quantum particles, however, are indistinguishable, and so may exchange final  $s = \beta$  locations, as shown in Fig. 1(c). The boson case was discussed in Refs. [1,5,11,12], for example, where it was shown that boson exchange was equivalent to a classical ring-polymer system including microstates where the rings can merge and separate. We show here that it is similarly possible to give a classical description to the fermion case. For fermions, exchange is included in the two-particle density expression (9) by changing the sign of the boson case in Ref. [11] to give

$$n(\mathbf{r}, \mathbf{r}') \propto q(\mathbf{r}, \mathbf{r}, \beta)q(\mathbf{r}', \mathbf{r}', \beta) - q(\mathbf{r}, \mathbf{r}', \beta)q(\mathbf{r}', \mathbf{r}, \beta).$$
(10)

Following the reasoning of Feynman [5], if the positions **r** and  $\mathbf{r}'$  are very far from each other, there are unlikely to be many trajectories that cross from  $\mathbf{r}$  to  $\mathbf{r}'$  or vice versa. Therefore the probability  $q(\mathbf{r}, \mathbf{r}', \beta)$  will approach zero, and the two-particle expression (10) will just be the product of two single-particle probabilities, that is, the first term of Eq. (10). However, if  $\mathbf{r}$  is sufficiently close to  $\mathbf{r}'$ , such that their trajectories overlap, then  $q(\mathbf{r}, \mathbf{r}', \beta)$  will not be small, and Eq. (10) subtracts off these overlaps. In the limit where  $\mathbf{r} = \mathbf{r}'$ , the probability of finding the two particles at the same place  $\mathbf{r} = \mathbf{r}'$  at the same imaginary time  $s = \beta$  is exactly zero from Eq. (10). Thus this equation can be interpreted as enforcing a mean-field-level excluded volume between the imaginary time trajectories of the two particles. To see this, one can consider the possible imaginary time paths of the particles. Figure 1(d) shows a configuration where excluded volume is violated, and the two ring-polymer trajectories touch at a

<sup>&</sup>lt;sup>1</sup>To within our numerical resolution, density profiles and free energies were exactly the same as those found in Ref. [13] for the beryllium atom for both  $g_0^{-1} = 10$  and  $g_0^{-1} = 3$ . See Figs. 2(a), 3(a) and 4(a) and Tables I and II of Ref. [13] (deviations due to numerical precision do not show on the scale of that graph).



FIG. 1. Schematics of possible imaginary time trajectories for quantum "ring polymers." (a) One possible single-particle path. Threedimensional space is collapsed onto the horizontal position axis, and the vertical axis is the inverse thermal energy. (b) One possible configuration of two particles. (c) A configuration of two particles under exchange. (d) Two trajectories that have an overlap at position  $\mathbf{r}''$  and imaginary time s''. (e) Same as panel (d), except the trajectories are cross paths rather than ring paths. (f) Two crossing trajectories that do not touch; the dashed portion of the red curve indicates that it passes behind the black curve without contact.

point  $\mathbf{r}''$  for s = s''. Figure 1(e) shows that this is identical to the conformations of two crossing trajectories, which are subtracted off in the second term of Eq. (10). In fact all points of trajectory overlap will be subtracted off in Eq. (10), meaning that all excluded volume violating conformations are removed from the possible trajectories. Of course, the second term of Eq. (10) also subtracts conformations beyond excluded volume ones, as shown in Fig. 1(f). Although such conformations do not violate excluded volume directly, they are topologically inaccessible due to excluded volume. An analogy from real ring polymers is concatenated versus nonconcatenated rings. Concatenated rings are joined together like the links of a chain. Although they do not violate excluded volume, such conformations cannot be formed continuously from nonconcatenated rings unless excluded volume is broken. SCFT does not capture such topological subtleties, and so will include such forbidden microstates even when excluded volume is included in the model through contact energy penalties of the Edwards-Flory-Huggins type used in Refs. [9,13,14]. In a similar way, configurations such as that shown in Fig. 1(f) cannot be continuously accessed from configurations such as Fig. 1(b) unless that conformation first passes through an excluded volume situation such as Figs. 1(d) and 1(e). All such topologically inaccessible conformations are removed from the two-particle density  $n(\mathbf{r}, \mathbf{r}')$  by the second term of Eq. (10).

This argument is valid beyond the two-particle density. For example, the three-particle density, including negative signs only for odd permutations, is

$$n(\mathbf{r}, \mathbf{r}', \mathbf{r}'') \propto q(\mathbf{r}, \mathbf{r}, \beta)q(\mathbf{r}', \mathbf{r}', \beta)q(\mathbf{r}'', \mathbf{r}'', \beta)$$

$$-q(\mathbf{r}, \mathbf{r}, \beta)q(\mathbf{r}', \mathbf{r}'', \beta)q(\mathbf{r}'', \mathbf{r}', \beta)$$

$$-q(\mathbf{r}', \mathbf{r}', \beta)q(\mathbf{r}, \mathbf{r}'', \beta)q(\mathbf{r}'', \mathbf{r}, \beta)$$

$$-q(\mathbf{r}'', \mathbf{r}'', \beta)q(\mathbf{r}, \mathbf{r}', \beta)q(\mathbf{r}', \mathbf{r}, \beta)$$

$$+q(\mathbf{r}, \mathbf{r}', \beta)q(\mathbf{r}', \mathbf{r}', \beta)q(\mathbf{r}', \mathbf{r}, \beta)$$

$$+q(\mathbf{r}, \mathbf{r}'', \beta)q(\mathbf{r}'', \mathbf{r}', \beta)q(\mathbf{r}', \mathbf{r}, \beta), \quad (11)$$

where overlaps between neighboring pairs of trajectories are subtracted off, and double counting of these overlap subtractions are added back by the last two terms of Eq. (11). Fermion exchange can be viewed as enforcing both excluded volume and topologically inaccessible conformations. This must be part of the reason why the excluded volume model used in Refs. [9,13,14] only agrees semi-quantitatively with HF (the Edwards-Flory-Huggins parameter enforces a mean-field version of excluded volume, but does not exclude topologically forbidden conformations).

Generalizing Eqs. (10) and (11) for bosons or fermions, including normalization, gives

$$n(\mathbf{r}_{1}, \dots, \mathbf{r}_{N}, \beta) = \frac{N \sum_{\sigma \in S_{N}} \prod_{k}^{N} q(\mathbf{r}_{k}, \mathbf{r}_{\sigma(k)}, \beta)(\pm 1)^{\sigma}}{\sum_{\sigma \in S_{N}} \prod_{k}^{N} \prod_{j}^{N} \int d\mathbf{r}_{j} q(\mathbf{r}_{k}, \mathbf{r}_{\sigma(k)}, \beta)(\pm 1)^{\sigma}}, \quad (12)$$

where  $\sum_{\sigma \in S_N}$  is the sum over all possible permutations  $\sigma$  up to length N;  $(1)^{\sigma}$  is for the case of bosons while  $(-1)^{\sigma}$  is for fermions. Equation (12) is derived by carrying through the anti or symmetrized position basis states  $\frac{1}{N_{\pm}!} \prod_k^N \sum_{\sigma \in S_N} (\pm 1)^{\sigma} |\mathbf{r}_{\sigma(k)}\rangle$ , with  $N_{\pm}!$  equal to  $N! \prod_i^N n_i!$  for bosons (*n* is the occupation number) and N! for fermions, when deriving the SCFT equations [8,14]. One can also arrive at Eq. (12) by using the diagrammatic arguments presented for Eqs. (10) and (11), although it becomes more cumbersome to express for increasing *N*.

We implemented Eq. (10) numerically to show that it gives expected fermion behavior for atomic systems. Integrating  $n(\mathbf{r}, \mathbf{r}')$  over all  $\mathbf{r}$  and  $\mathbf{r}'$  gives the number of particle pairs in the system, N(N - 1)/2. We can, therefore, find the constant of proportionality for Eq. (10) and write

$$n(\mathbf{r}, \mathbf{r}', \beta) = \frac{N(N-1)}{2[Q(\beta)^2 - Q(2\beta)]} [q(\mathbf{r}, \mathbf{r}, \beta)q(\mathbf{r}', \mathbf{r}', \beta) - q(\mathbf{r}, \mathbf{r}', \beta)q(\mathbf{r}', \mathbf{r}, \beta)].$$
(13)

Still following Ref. [11], the one-particle density is obtained by integrating Eq. (13) over  $\mathbf{r}'$ , including a factor 2/(N-1) to switch from counting pairs to singlets

$$n(\mathbf{r},\beta) = \frac{N}{[Q(\beta)^2 - Q(2\beta)]} [q(\mathbf{r},\mathbf{r},\beta)Q(\beta) - q(\mathbf{r},\mathbf{r},2\beta)].$$
(14)

The SCFT equations were solved following the method and details of Refs. [8,9,13]. The diffusion equation (2) for ring polymers can be more computationally demanding than for linear polymers due to the double spatial dependence on **r** and  $\mathbf{r}_0$ . Using a spectral approach, however, the numerical cost for solving rings becomes almost equivalent to that for solving linear polymers with a single spatial variable  $\mathbf{r}$  [21]. We, therefore, chose a spectral expansion in terms of Gaussian basis functions which is a common and efficient basis set often used for atomic and molecular systems [33]. We selfconsistently solved the equations without a Pauli potential, in contrast to Refs. [8,13,14], by using instead Eq. (14) in place of Eq. (1) for the beryllium atom. The beryllium atom was chosen for simplicity since it is the lightest atom with symmetric spin-up and spin-down electrons that requires the Pauli exclusion principle to give proper shell structure. Since it has only two electrons for each of spin up and spin down, we do not need higher-order excluded volume terms, such as the three-body expression (11).

Equation (14) is numerically troublesome because both the numerator and denominator involve differences between extremely large terms that are almost equal (the terms become identical in the limit  $\beta \rightarrow \infty$ ). Feynman and Hibbs noted this sign problem decades ago when they studied the ring-polymer partition function [25], and this is part of the reason why bosons are studied with quantum simulation techniques based on ring polymers much more than fermions. We use a brute force solution: replacing double precision floating points in our calculation with very high-precision floats. We also chose the smallest  $\beta$  value that was still large enough to approach zero temperature: we are interested in approaching the ground state at zero temperature, that is,  $\beta \to \infty$ , so we need a  $\beta$ that is large enough to approach this but still small enough to be numerically tractable. To determine this, we increased  $\beta$ until the binding energy and electron density profile stopped changing in any significant way.  $\beta = 40$  was found to be large enough. We used 75 Gaussian basis functions of the type described in Ref. [13] and converged the fields to a selfconsistent tolerance below an L2-norm of  $10^{-7}$ . We verified that nothing changed by also using over 150 basis functions and a self-consistent tolerance of  $10^{-8}$ . We note that the computational complexity of spectral SCFT scales with the cube of the number of basis functions, but the spherical symmetry of the problem is encoded in the basis functions to reduce the numerical burden.<sup>2</sup> The electron density is shown in Fig. 2 contrasted with HF theory. HF implements exchange exactly but uses a mean-field Coulomb potential, and so makes a



FIG. 2. Electron density for the beryllium atom calculated with SCFT (black solid line) and HF (red dashed line). The first-principles SCFT result uses no free parameters. Deviation between SCFT and HF is attributed to approximate Coulomb self-interactions in SCFT.

suitable comparator since we would like to ignore classical correlations for simplicity. We stress that the SCFT result in Fig. 2 is calculated from first principles using only the classical ring polymer partition function with zero free parameters, so despite some differences between the HF and SCFT results in Fig. 2, the agreement is remarkable. SCFT spontaneously shows shell structure and a total binding energy within less than 6% of HF without any free parameters, and as already discussed, these features can be explained in entirely classical excluded volume terms. The residual disagreement between SCFT and HF can be accounted for classically as well: despite using a mean-field Coulomb electron-electron potential, HF accounts for electron electrostatic self-interactions exactly whereas the SCFT used here does not. In previous work [13,14], self-interactions were included exactly by calculating a different propagator for each pair of spin-up or spin-down electrons, and thus an individual density for each pair. It was thus possible to subtract off the contribution of each electron (half of each pair) to the electron-electron field to exactly compensate for self-interactions. This is equivalent to a Fermi-Amaldi expression [34], and was exact in the previous works. Here, however, expressions (13) and (14) prevent such a direct self-interaction correction since these expressions do not allow one to keep track of the densities of individual electrons or pairs. Instead, we use the Fermi-Amaldi expression for the classical electron-electron potential [34] on the total electron density. If one, therefore, compares the present approach to the previous exact self-interaction method for just the beryllium atom, one might note that the previous method agrees more closely with HF. This is misleading, however, since there is a free adjustable parameter in the method of Refs. [13,14], whereas the present method is parameterfree. Moreover, the free parameter of Refs. [13,14] must be changed for each atom, and for heavier atoms, agreement is not possible unless multiple parameters are introduced. While the Fermi-Amaldi expression in the current work is a rather crude approximation, it is the only major approximation besides ignoring classical Coulomb correlations. There may be

<sup>&</sup>lt;sup>2</sup>In principle, one can also examine nonspherical electron densities using more complicated basis functions as done in Ref. [14]. A more detailed discussion of SCFT computational complexity and methods, with comparisons to some other quantum methodologies can be found in Refs. [13,14].

opportunity for improvement here (see Sec. IV), but this is beyond the scope of this work.

# **IV. SUMMARY AND FUTURE OUTLOOK**

Fermion quantum exchange can be incorporated into the quantum-classical isomorphism using thermal trajectory excluded volume. For beryllium, Eq. (10) treats antisymmetric exchange exactly, to the extent that the mean-field propagator  $q(\mathbf{r}, \mathbf{r}, \beta)$  can be determined exactly, by subtracting off forbidden two-body conformations. The microstates that are removed from the one-particle density in Eq. (10) are those which violate the classical excluded volume of the thermalspace paths directly (two particles occupy the same position at the same imaginary time) or indirectly (the paths are inaccessible topologically). Thus 3D quantum fermion exchange is equivalent to 4D classical excluded volume. This result parallels that of the boson case, which has already been shown to have a quantum-classical mapping between 4D merging and separation of rings and 3D boson exchange [1,5,11,12]. Although an exact agreement between the electron density of beryllium calculated using SCFT via Eq. (10) and HF was not found, the remaining discrepancy can be attributed to selfinteractions in the SCFT classical electron-electron potential.

Better agreement between HF and SCFT could be achieved by replacing the Fermi-Amaldi self-interaction correction in the electron-electron potential. Previous work enforcing a Pauli exclusion principle using 4D excluded volume modeled this more approximately as an energy penalty rather than an entropic correction (removal of forbidden paths). The energetic approach required the use of phenomenological parameters analogous to Flory-Huggins parameters in polymer physics, in contrast to the more correct parameter-free entropic approach described here, but the two methods could potentially be combined to improve the self-interaction estimate. The energetic method allows one to keep track of the electron densities of distinguishable electrons, so if one could calibrate the parameters against the entropic method iteratively, one would have a method without free parameters and with a high accuracy self-interaction correction. Once calibrated, such a bootstrapping, or multiscale, approach could be applied to more complex quantum many-body systems since the high-precision floating point numerics necessary for Eq. (10) would no longer be required. This combined approach is a possible future direction.

In the mean-field SCFT context, keeping track of excluded volume between imaginary time slices is not necessary. Due to the symmetry of the ring polymer architecture, all interactions between contour values of equal imaginary time are the same. Therefore, it is allowable to compute results using only a single contour value, provided the entire polymer contour on either side of the chosen segment contributes following Eq. (7). The simplest value is thus  $s = \beta$ , as chosen in previous work [9,13,14].

Going beyond the mean field requires comparisons to data which contain classical correlations instead of comparisons to HF which does not. There is much current work on polymer FTS, which includes fluctuations missing in mean field SCFT, and it might be possible to extend the formalism given here into Langevin simulations, either real or complex, following FTS protocols [12,35,36]. In particular, Delaney, Orland, and Fredrickson applied FTS to quantum boson systems [12,23,24]. However, it is impossible to exclude ring polymer topologically forbidden states using FTS based on SCFT [35], and so a multiscale bootstrap mapping, as described above, might still be needed. However, Fredrickson *et al.* apparently avoided this problem by in-corporating exchange through a coherent states approach [12,23,24]. This sacrifices part of the quantum-classical isomorphism, but gives, according to the authors of Refs. [12,23,24], exact results.

An advantage of keeping a fully classical perspective via the fictitious thermal dimension (imaginary time) using SCFT, is that it can inform quantum foundations. It was shown that the first-principles derivation of the SCFT equations in terms of ring polymers is equivalent to quantum density functional theory (DFT) [8]. This means that the theorems of DFT give a rigorous one-to-one mapping between an imaginary time ringpolymer ontology and predictions of nonrelativistic quantum mechanics, including temperature and time-dependent properties [37-40]. This approach gives intuitive perspectives on the measurement problem, quantum kinetic energy, the uncertainty principle, the stability of atoms, tunneling, the double slit experiment, and geometric phase including the Aharonov-Bhom effect [11]. It has also been connected with time-dependent quantum phenomena through the Keldysh formalism [10,12] and electromagnetism through Kaluza theory [10]. The inclusion of 3D quantum exchange through a 4D classical mapping strengthens the quantum-classical correspondence, especially since 4D excluded volume gives rise spontaneously and without free parameters via Eq. (10) to atomic shell structure, as shown in Fig. 2, fulfilling the role of the Pauli exclusion principle and fermion exchange. This gives a completely classical perspective on the Pauli exclusion principle, and, when combined with the quantum-classical mechanism for bosons, quantum exchange in general. More practically, the SCFT methodology offers a different way to compute both atomic or molecular and solid-state electron densities [8,9,13,14,22]. Issues remain, however: for fermion systems, the sign problem of the alternating series [Eqs. (10) or (11) will be difficult to scale up using high-precision floats for problems with more electrons than the beryllium atom; important quantum phenomena, such as entanglement, have not been definitively interpreted through the quantum-classical isomorphism. How much, if any, numerical advantage might the SCFT method have over standard quantum methods like DFT? Are there direct dynamical SCFT methods that are practical for time-dependent problems? These and other questions remain open for future investigation.

## ACKNOWLEDGMENTS

The authors thank M. W. Matsen for helpful discussions and suggesting the use of direct exchange mechanisms such as Eqs. (10) and (11).

- D. M. Ceperley, Path integrals in the theory of condensed helium, Rev. Mod. Phys. 67, 279 (1995).
- [2] P.-N. Roy and G. A. Voth, Feynman path centroid dynamics for Fermi-Dirac statistics, J. Chem. Phys. 111, 5303 (1999).
- [3] T. Zeng and P.-N. Roy, Microscopic molecular superfluid response: Theory and simulations, Rep. Prog. Phys. 77, 046601 (2014).
- [4] R. P. Feynman, The  $\lambda$ -transition in liquid helium, Phys. Rev. 90, 1116 (1953).
- [5] R. P. Feynman, Atomic theory of the  $\lambda$ -transition in helium, Phys. Rev. **91**, 1291 (1953).
- [6] R. P. Feynman, Atomic theory of liquid helium near absolute zero, Phys. Rev. 91, 1301 (1953).
- [7] D. Chandler and P. W. Wolynes, Exploiting the isomorphism between quantum theory and classical statistical mechanics of polyatomic fluids, J. Chem. Phys. 74, 4078 (1981).
- [8] R. B. Thompson, An alternative derivation of orbital-free density functional theory, J. Chem. Phys. 150, 204109 (2019).
- [9] R. B. Thompson, Atomic shell structure from an orbital-freerelated density-functional-theory Pauli potential, Phys. Rev. A 102, 012813 (2020).
- [10] R. B. Thompson, An interpretation of quantum foundations based on density functional theory and polymer selfconsistent field theory, Quantum Stud.: Math. Found. 9, 405 (2022).
- [11] R. B. Thompson, A holographic principle for non-relativistic quantum mechanics, Int. J. Theor. Phys. 62, 34 (2023).
- [12] G. H. Fredrickson and K. T. Delaney, *Field-Theoretic Simula*tions in Soft Matter and Quantum Fluids (Oxford University Press, Oxford, UK, 2023).
- [13] P. A. LeMaitre and R. B. Thompson, Gaussian basis functions for an orbital-free-related density functional theory of atoms, Int. J. Quantum Chem. **123**, e27111 (2023).
- [14] P. A. LeMaitre and R. B. Thompson, On the origins of spontaneous spherical symmetry-breaking in open-shell atoms through polymer self-consistent field theory, J. Chem. Phys. 158, 064301 (2023).
- [15] M. W. Matsen, Self-consistent field theory and its applications, in *Soft Matter, Volume 1: Polymer Melts and Mixtures*, edited by G. Gompper and M. Schick (Wiley, Weinheim, Germany, 2006), pp. 87–178.
- [16] M. W. Matsen, The standard Gaussian model for block copolymer melts, J. Phys.: Condens. Matter 14, R21 (2002).
- [17] G. H. Fredrickson, *The Equilibrium Theory of Inhomogeneous Polymers* (Oxford University Press, New York, 2006).
- [18] Y. Yang, F. Qiu, P. Tang, and H. Zhang, Applications of selfconsistent field theory in polymer systems, Sci. China: Ser. B Chem. 49, 21 (2006).
- [19] F. Schmid, Self-consistent-field theories for complex fluids, J. Phys.: Condens. Matter 10, 8105 (1998).

- [20] J. U. Kim, Y. B. Yang, and W. B. Lee, Self-consistent field theory of Gaussian ring polymers, Macromolecules 45, 3263 (2012).
- [21] G. Zhang, Z. Fan, Y. Yang, and F. Qiu, Phase behaviors of cyclic diblock copolymers, J. Chem. Phys. 135, 174902 (2011).
- [22] S. Sillaste and R. B. Thompson, Molecular bonding in an orbital-free-related density functional theory, J. Phys. Chem. A 126, 325 (2022).
- [23] K. T. Delaney, H. Orland, and G. H. Fredrickson, Numerical simulation of finite-temperature field theory for interacting bosons, Phys. Rev. Lett. **124**, 070601 (2020).
- [24] G. H. Fredrickson and K. T. Delaney, Direct free energy evaluation of classical and quantum many-body systems via fieldtheoretic simulation, Proc. Natl. Acad. Sci. 119, e2201804119 (2022).
- [25] R. P. Feynman and A. R. Hibbs, *Quantum Mechanics and Path Integrals* (Dover, Mineola, NY, 1965).
- [26] L. H. Thomas, The calculation of atomic fields, Proc. Cambridge Philos. Soc. 23, 542 (1927).
- [27] E. Fermi, Un metodo statistico per la determinazione di alcune prioprieta dell'atomo, Accad. Lincei **6**, 602 (1927).
- [28] P. A. M. Dirac, Note on exchange phenomena in the Thomas atom, Proc. Cambridge Philos. Soc. 26, 376 (1930).
- [29] R. G. Parr and W. Yang, *Density Functional Theory of Atoms and Molecules* (Oxford University Press, New York, 1989).
- [30] E. H. Lieb, Density functionals for Coulomb systems, in *Inequalities* (Springer, New York, 2002), pp. 269–303.
- [31] S. F. Edwards, The statistical mechanics of polymers with excluded volume, Proc. Phys. Soc. 85, 613 (1965).
- [32] M. Doi and S. F. Edwards, *The Theory of Polymer Dynamics* (Oxford University Press, New York, 2001).
- [33] V. Badhan, B. Arora, and B. K. Sahoo, Role of basis functions and kinetic balances on atomic structure calculations, (unpublished).
- [34] P. W. Ayers, R. C. Morrison, and R. G. Parr, Fermi-Amaldi model for exchange-correlation: Atomic excitation energies from orbital energy differences, Mol. Phys. 103, 2061 (2005).
- [35] M. W. Matsen, Field theoretic approach for block polymer melts: SCFT and FTS, J. Chem. Phys. 152, 110901 (2020).
- [36] M. W. Matsen and T. M. Beardsley, Field-theoretic simulations for block copolymer melts using the partial saddle-point approximation, Polymers 13, 2437 (2021).
- [37] P. Hohenberg and W. Kohn, Inhomogeneous electron gas, Phys. Rev. 136, B864 (1964).
- [38] W. Kohn and L. J. Sham, Self-consistent equations including exchange and correlation effects, Phys. Rev. 140, A1133 (1965).
- [39] N. D. Mermin, Thermal properties of the inhomogeneous electron gas, Phys. Rev. 137, A1441 (1965).
- [40] E. Runge and E. K. U. Gross, Density-functional theory for time-dependent systems, Phys. Rev. Lett. 52, 997 (1984).