



**Mode-degeneracy lifting in exceptional points of a coupled spaser-dielectric waveguide system**Anton V. Hlushchenko <sup>\*</sup>, Vitalii I. Shcherbinin , and Oksana L. Andrieieva *National Science Center “Kharkiv Institute of Physics and Technology” of National Academy of Sciences of Ukraine,  
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One of the main requirements for advanced optical systems is controllability, which can be greatly improved by introducing gain elements into a guiding system. In this study, we consider a coupled photonic-plasmonic system composed of a dielectric waveguide and a three-layer (dielectric-metal-dielectric) waveguide spaser with loss and gain. Conditions of mode degeneracy in this system are revealed. A classification of waveguide and surface plasmon modes is used to find parameters of the formation of exceptional points (EPs) of the coupled system with loss and gain. It is shown that at these points the lifting of the mode degeneracy occurs. In addition, the response of the coupled system to illumination is investigated for incident Gaussian beams of two orthogonal polarizations. It is demonstrated that the control of the incident beam polarization makes it possible to excite different modes. The revealed operation conditions of the optical system with two-stage control (control of the EP parameters plus control of wave polarization) can widen the application range of coupled spaser-dielectric waveguide systems.

DOI: [10.1103/PhysRevA.108.063513](https://doi.org/10.1103/PhysRevA.108.063513)**I. INTRODUCTION**

One of the main challenges of photonics and plasmonics is the development of on-chip optical devices that provide better control over light at the nanoscale [1,2]. Dynamic control of a light wave can be achieved through the loss-gain distribution, and this is currently the subject of the rapidly developing field of non-Hermitian photonics [3]. The combination of loss and gain constituents within one system leads to the emergence of new optical functionalities such as parity-time ( $\mathcal{PT}$ ) symmetries [4–7], exceptional points (EPs) [7,8], and loss compensation in waveguide systems [9], nanoparticles and their clusters [9–11], and metamaterials [12–14]. In addition to optics and photonics, studies of the gain-loss systems have culminated in discoveries in other fields of physics such as microwave techniques [15], electronics [16], optomechanics [17], and acoustics [18,19].

In optical systems,  $\mathcal{PT}$ -symmetry threshold and exceptional points [4,7] can also be supplemented by polarization

degeneration of modes. This degeneracy is intrinsic to modes with a nonzero azimuthal index in circular waveguides [20] and resonators [21], and is related to field configuration and geometry of the system. Moreover, if the system is operated in the multimode conditions, a dispersion between several modes may occur (intermodal coupling) arising from the various modal propagation constants inside a multimode structure. It results in the pulse broadening as it is propagated inside the system [22] as well as causes mode-instability problems [23]. Therefore, controlling the conditions of the mode degeneracy and intermodal coupling makes it possible to reduce the manifestation of these shortcomings. However, the lifting of degeneracy when working on hybrid modes makes it possible to increase the density of transmitted information along the waveguide channel [24]. Separation of hybrid modes is usually carried out by introducing a spatial asymmetry or by considering the dispersion heterogeneity of the medium [25–31].

Optical structures can be divided into two major groups: metallic or semiconductor systems that support surface-plasmon modes and dielectric systems with modes localized inside the dielectric volume. Recently, hybrid systems, which consist of both metal and dielectric constituents,

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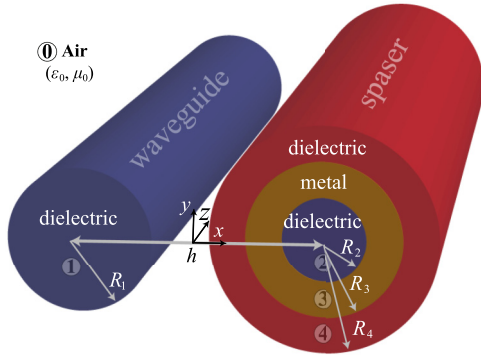


FIG. 1. Schematic illustration and geometric parameters of a spaser-dielectric waveguide system.

have attracted considerable interest [32,33]. Such photonic-plasmonic systems can take advantage of low losses of the dielectric materials and strong localization of the excited electromagnetic field inside metals at the nanoscale. This makes metal-dielectric structures very useful in many applications, including photonic components in sensing [34–36], surface-enhanced Raman spectroscopy [37,38], absorption and fluorescence [39–42], and nonlinear effect enhancement [43–45]. Hybrid metal-dielectric systems combined with active nanophotonics find use in laser applications. In this study, we are concerned with plasmonic nanolasers or spasers [46–49]. The widely used spaser structure consists of a dielectric core coated by a metal nanoshell and embedded into a monolayer of nanocrystalline quantum dots (gain) material [47,50].

Therefore, in this paper, we study a coupled system of a dielectric waveguide and a three-layer (dielectric-metal-dielectric) waveguide spaser. The operation principle of the system under consideration is based on the exceptional points existing in the dispersion characteristics of the waveguide modes of the dielectric waveguide and the surface-plasmon modes of the spaser. It is shown that the presence of exceptional points results in the lifting of the mode degeneracy in the system where the transition between the degenerate and non-degenerate states appears directly at these exceptional points. The observed features can be used to improve the ability to control nanolaser systems, by tuning both the exceptional point parameters and mode polarization. Our results can be considered as a development of the idea experimentally demonstrated in Ref. [4], taking into account that the problem is solved in a multimode configuration for the waveguide structure composed of photonic and plasmonic subsystems.

## II. MODE DEGENERACY

In what follows, we consider a coupled system of a dielectric waveguide (photonic subsystem) and a spaser (plasmonic subsystem), which occupy regions 1 and 2 to 4, respectively, and are surrounded by air 0 as shown in Fig. 1. The waveguide has a form of an all-dielectric cylinder with radius  $R_1$  and is coupled to a three-layer waveguide spaser, which is made from dielectric core 2 (with radius  $R_2$ ) coated by metal shell 3 (with thickness  $|R_3 - R_2|$ ) and surrounded by a layer of amplifying dielectric 4 (with thickness  $|R_4 - R_3|$ ). The

dielectric material of the waveguide and spaser is quartz ( $\epsilon'_{r1} = \epsilon'_{r2} = \epsilon'_{r4} = 4$ ). The dispersion properties of metal shell 3 are described by the Drude model (see Appendix A). The wave factor is chosen as  $\exp[i(\omega t - k_z z)]$ . Therefore, lossy and amplifying dielectric materials with relative complex permittivity  $\epsilon_{rj} = \epsilon'_{rj} - i\epsilon''_{rj}$  are characterized by  $\epsilon''_{r1,2} > 0$  and  $\epsilon''_{r4} < 0$ , respectively. Optical amplification in a quartz-based material can be implemented, for example, using methods for the synthesis of highly luminescent silica nanostructures [51,52].

In this study, we use a multimode analytical approach developed earlier [53,54] for the eigenmode analysis of coupled guiding systems (see Appendix B). Previously [54], a good numerical convergence and high accuracy of this approach were confirmed by comparison with simulation results obtained in the full-wave electromagnetic solver (COMSOL MULTIPHYSICS). Here, such a comparison is omitted for the sake of simplicity.

We begin with the classification of coupled modes supported by the dielectric waveguide and spaser. The modes of dielectric cylinder 1 can be classified as the axially symmetric  $TM_{0m}$  and  $TE_{0m}$  and asymmetric (hybrid)  $HE_{nm}$  and  $EH_{nm}$  modes [20,54]. For the waveguide spaser, we restrict our attention to the surface plasmon modes, which are designated as  $Pl_1 \dots Pl_n$ . Here, the azimuthal index  $n$  of the mode increases from low- to high-frequency modes. As the distance  $h$  between the dielectric waveguide and spaser approaches infinity and the mode coupling vanishes, these modes transform to the pure waveguide modes for dielectric cylinder 1 and the surface plasmon modes for spaser 2 to 4. We classify degenerate modes as  $x$  or  $y$  polarized depending on the polarization of the incident Gaussian beam used for the system excitation.

Without loss of generality, we can fix some parameters of the system under consideration. In particular, the dimensions of the spaser remain unchanged below (see caption to Fig. 2). The properties of the spaser metal shell are chosen in accordance with tabular data and are close to those of silver (Ag) [55] (for details, see Appendix A).

The plots in Fig. 2(a) show frequencies of the low-order modes of the dielectric waveguide and spaser as functions of the radius of dielectric waveguide 1. The distance  $h = 1600$  nm is chosen so that the mode interaction is very weak and can be neglected. Since modes of the spaser are independent of  $R_1$ , their frequencies remain constant. In the selected frequency range, the three lowest-order plasmon modes depicted in Fig. 2(a) are the axially symmetric mode  $Pl_1$  and two frequency degenerate  $Pl_1^x$  and  $Pl_1^y$  modes, where their longitudinal electric field components  $|E_z|$  are shown in Fig. 2(b). In addition to plasmon modes, there are the  $HE_{11}^{x,y}$ ,  $TE_{01}$ ,  $HE_{21}^{x,y}$ , and  $TM_{01}$  waveguide modes with axial field components ( $|E_z|$  or  $|H_z|$ ) depicted in Fig. 2(b). As in the case of plasmonic modes  $Pl_2^{x,y}$ , the hybrid  $HE_{11}^{x,y}$  and  $HE_{21}^{x,y}$  modes of the dielectric waveguide are degenerate.

## III. EXCEPTIONAL POINTS MANIFESTATION

To achieve the mode degeneracy lifting, it is proposed to use the features of the exceptional points of the plasmon-dielectric interaction. For this purpose, we consider the crossings of the plasmon and waveguide modes, which can

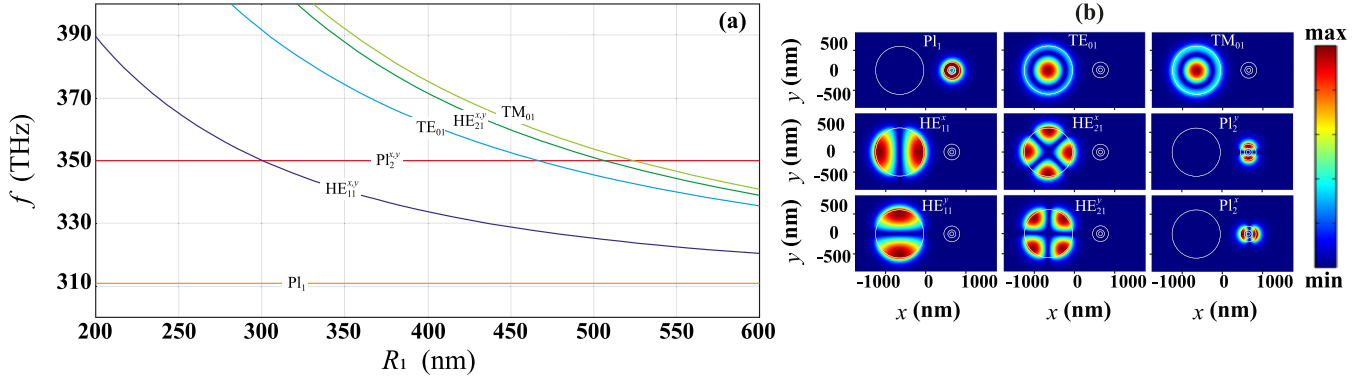


FIG. 2. (a) Dispersion curves of several low-order modes of a dielectric waveguide and a spaser ( $k_z = 1.289 \times 10^7$  rad/m) and (b) magnitude of the dominant longitudinal field components plotted in the transversal cross section of the waveguide system when  $R_1 = 600$  nm. The geometrical parameters of the spaser are  $R_2 = 50$  nm,  $R_3 = 2R_2$ , and  $R_4 = 4R_2$ . The permittivity of the waveguide and dielectric layers of the spaser is  $\epsilon_1 = \epsilon_2 = \epsilon_4 = 12$ . The Drude parameters for metallic layer of the spaser are  $\epsilon_\infty = 1.03$ ,  $\omega_p = 1.3 \times 10^{16}$  rad/s and  $\Gamma = 1.13 \times 10^{14}$  rad/s.

be seen in Fig. 2. Let the spaser be operated on the  $PI_2^{x,y}$  modes at the frequency  $f = 350$  THz. For completeness, it suffices to study the interaction of the hybrid  $HE_{11}^{x,y}$  and axially symmetric  $TM_{01}$  waveguide modes with the plasmonic  $PI_2^{x,y}$  mode since other modes interact in much the same manner. Assume that the dielectric waveguide 1 and dielectric core 2 of the spaser have the same material losses  $\epsilon''_{r1} = \epsilon''_{r2} = 0.001$ . The value of gain  $\epsilon''_4$  in the outer layer of the spaser is chosen to compensate losses in the entire system.

The plots in Fig. 3(a) show the real and imaginary parts of the  $z$  component of the wave vector  $\mathbf{k}$  of coupled  $PI_2^x$  and  $TM_{01}$  modes as functions of the distance between the dielectric waveguide and spaser. For  $R_1 = 522.31$  nm, there is an exceptional point of the plasmon-dielectric interaction at  $h = h_{EP} = 1117$  nm. At this point, a purely real value of  $k_z$  of the guiding system is achieved for  $\epsilon''_4 = -0.057$ , which is sufficient to compensate for losses inherent in all system constituents. The obtained exceptional point serves as a transition between the system with ( $h > h_{EP}$ ) and without ( $h < h_{EP}$ ) the mode degeneracy. Thus, there are two origins of degeneracy in the system: (i) crossing of the modes of the waveguide and spaser at the exceptional point and (ii) convergence of the dispersion curve of the modes with nonzero azimuthal indices. Hence it follows that by maintaining the degeneracy of the “singular point” type [56], it is possible to lift the degeneracy of hybrid modes of the waveguide and spaser. To be more specific, the  $TM_{01}$  mode of waveguide 1 can be used to remove the degeneracy of plasmon  $PI_2^x$  and  $PI_2^y$  modes due to their coupling. The interaction between the  $TM_{01}$  mode and the  $x$ -polarized  $PI_2^x$  mode is due to the specific spatial asymmetry of the given system with respect to the  $x$  axis.

The  $z$  component of the electric field  $|E_z|$  is also presented for several points (1) to (4) depicted in Fig. 3(a). For points with the mode degeneracy ( $h > h_{EP}$ ), the electric field is mainly localized in either the dielectric waveguide or the spaser. For exceptional points and states with removed degeneracy ( $h \leq h_{EP}$ ), the field is hybridized and nonvanishing in all system components. Unlike  $\mathcal{PT}$ -symmetric gain-loss systems, asymmetric systems give a clue to the mode degeneracy lifting of hybrid modes. Indeed, for a sufficiently large

distance  $h$  and negligibly small mode interaction, we can always choose such a coordinate system for either the dielectric waveguide or the spaser that system (B7) is independent of the  $x$  and  $y$  polarization [ $M(x) = M(-x)$  and  $M(y) = M(-y)$ ]. In the case of strong coupling between modes of the system, its asymmetry results in  $M(x) \neq M(-x)$  or  $M(y) \neq M(-y)$ .

Let us next consider the interaction of the hybrid waveguide  $HE_{11}^{x,y}$  modes and surface plasmon  $PI_2^{x,y}$  modes, which undergo crossing at  $R_1 = 299.28$  nm. The plots in Fig. 3(b) show the real and imaginary parts of  $k_z$  of the coupled modes. In this figure, two exceptional points are found for the  $HE_{11}^x$ - $PI_2^x$  and  $HE_{11}^y$ - $PI_2^y$  mode pairs. To fully compensate for losses at the exceptional points, the gain of the outer layer of the spaser is set equal to  $\epsilon''_4 = -0.057$ . Due to the asymmetry of the system under consideration, exceptional points for modes with the  $x$  and  $y$  polarizations are observed for different distances  $h = 937$  nm and  $h = 896$  nm, respectively. For points (5) to (10) in Fig. 3(b), the magnitude of the longitudinal component of the electric field  $|E_z|$  is plotted as color maps. These patterns suggest that for negligible interaction of both the  $x$ -polarized and  $y$ -polarized modes, the longitudinal component of the electric field is mainly presented in either the waveguide or spaser. By contrast, at exceptional points, the hybridized field is distributed throughout the entire guiding system.

In the above consideration, the mode interaction in the system was controlled by choosing an optimal distance between the waveguide and the spaser. Similar behavior of the system properties (as shown in Fig. 3) can be obtained by controlling values of the gain and loss. To do this, we can assume fixed distances  $h$  for the formation of exceptional points and then adjust the parameters of gain and loss.

#### IV. EXCITATION BY A GAUSSIAN BEAM

In this section, we investigate the effect of an external incident field on the excitation of plasmon-dielectric modes. We use a Gaussian beam as an external source of radiation, which makes it possible to focus the incident field in small volumes of space. This is important for better feedback

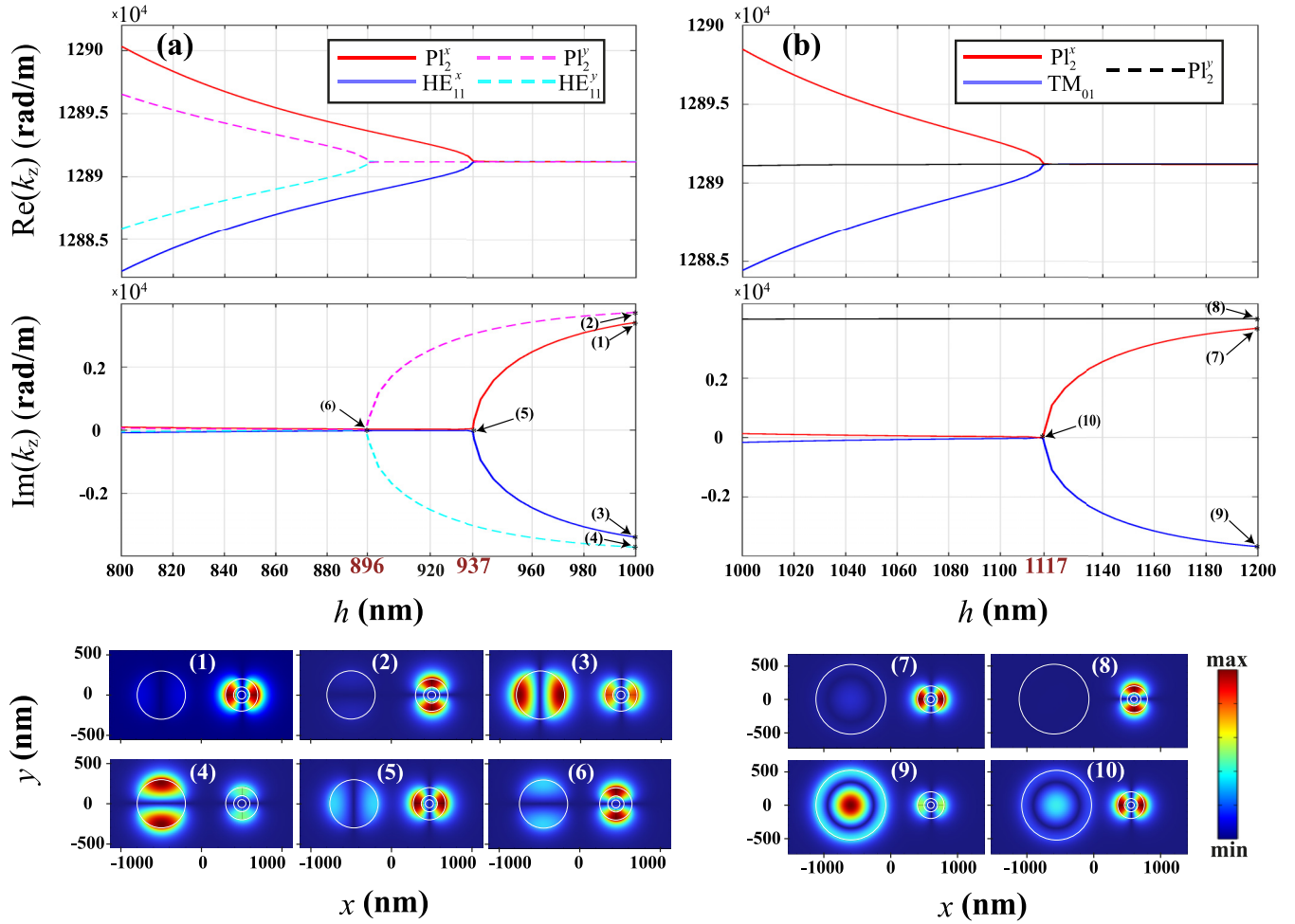


FIG. 3. The real (top panels) and imaginary (middle panels) parts of the eigenvalues  $k_z$  for the  $\text{PI}_2^{x,y}$  modes of the spaser and (a) the  $\text{TM}_{01}$  mode ( $R_1 = 522.31$  nm) and (b) the  $\text{HE}_{11}^x$  mode ( $R_1 = 299.28$  nm) of the dielectric waveguide as functions of the distance  $h$ . The corresponding longitudinal components of the electric field  $|E_z|$  for points (1)–(10) marked on the dispersion curves are shown separately on the bottom panels with color maps. The permittivity of the waveguide and dielectric layers of the spaser is  $\epsilon_1 = \epsilon_2 = 12 - i0.001$ . The permittivity of the gain layer of spaser is  $\epsilon_4' = 12 + i0.057$ .

control of integrated optical systems at the nanoscale [57,58]. The Gaussian beam equation in coordinates  $(\eta, \xi)$  is given in Appendix C. The presented Eqs. (C1) describe the Gaussian beam in the  $\eta$  direction, hence the  $x$  or  $y$  polarization of the field is defined as  $\eta = x$ ,  $\xi = y$  or  $\eta = y$ ,  $\xi = x$ , respectively.

To analyze the excited modes of the considered spaser system of the volume  $V$ , we calculate the electric energy stored in the system. The integral of the electric energy in the volume  $V$  can be written as a sum of energies of each component of the system  $V_i$  ( $i = 1 \dots 4$ ),

$$U_e = \sum_{i=1}^4 \frac{\epsilon_i}{2} \int_{V_i} |\mathbf{E}|^2 dV_i. \quad (1)$$

Figure 4(a) corresponds to the excitation of the axially symmetric waveguide  $\text{TM}_{01}$  and spaser  $\text{PI}_2^x$  modes by the  $x$ -polarized external Gaussian beam. These modes also form an exceptional point on the corresponding dispersion curves which are depicted on the bottom plane of the figure. In addition, for selected points (1) to (3), the longitudinal components

of the electric field  $|E_z|$  excited by the beam are collected in the form of color maps. We should note that the system has no response when exposed to the  $y$ -polarized external Gaussian beam. Therefore, the  $\text{PI}_2^y$  mode is “dark” and is not excited by an external source.

Further, we are interested in the manifestation of the interaction of hybrid waveguide modes with plasmon ones. In particular, the excitation of the  $\text{HE}_{11}^x$  and  $\text{PI}_2^x$  modes by the external  $x$ -polarized Gaussian beam are presented in Fig. 4(b) as a function of the frequency and distance  $h$ , whereas Fig. 4(c) shows the excitation of another pair of the  $\text{HE}_{11}^y$  and  $\text{PI}_2^y$  modes by the  $y$ -polarized Gaussian beam. For points (4) to (9) depicted in this figure, the longitudinal components of the electric field  $|E_z|$  are also shown. In addition, the contributions of modes to the electric energy are calculated for all found exceptional points (see Appendix D). The obtained results confirm that the excitation of modes with different polarizations can be provided by controlling the polarization of the external beam. However tuning the exceptional point conditions by changing the distance between the spaser and the waveguide leads to the possibility of controlling the mode

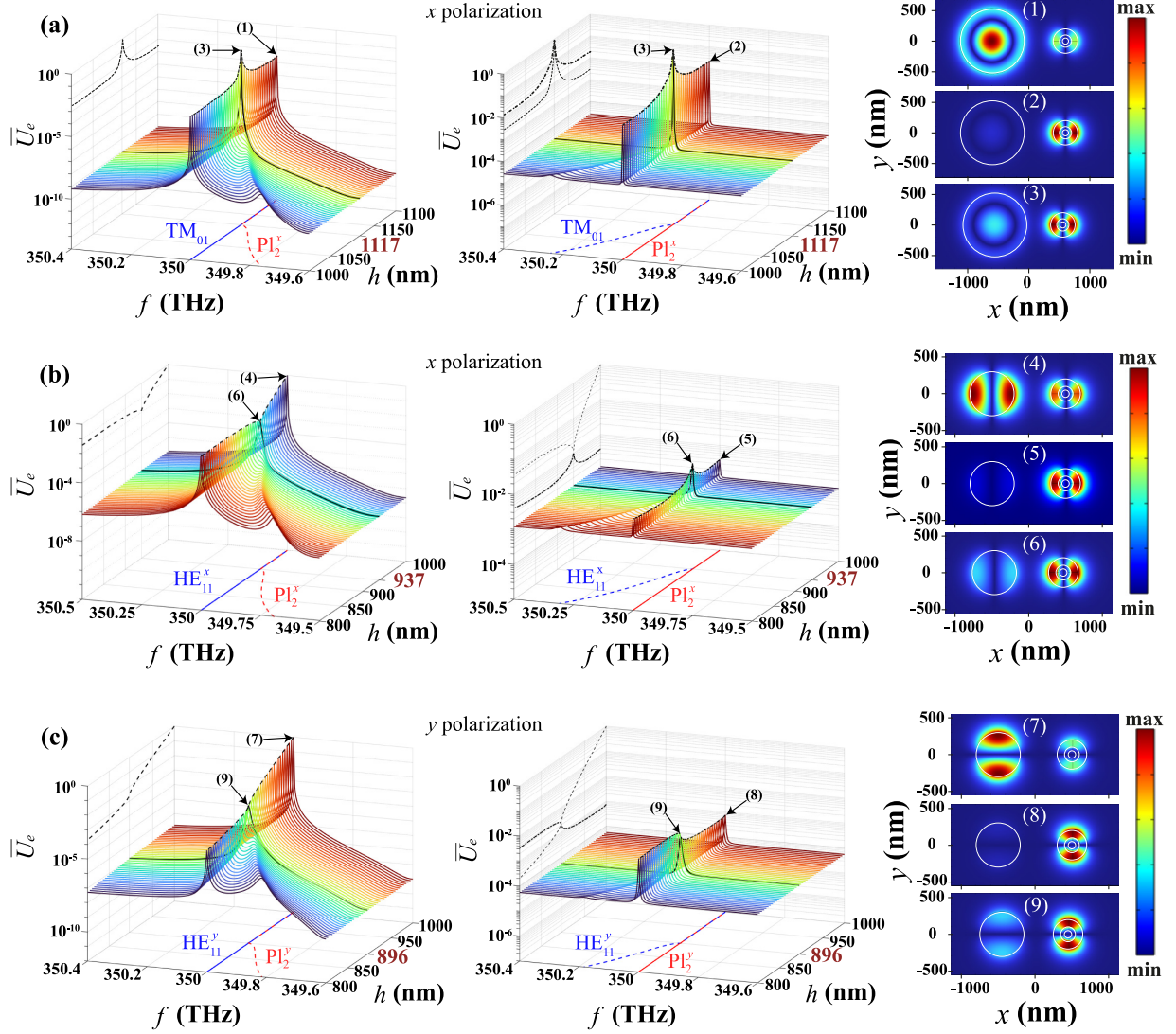


FIG. 4. Electric energy stored in the system  $\bar{U}_e = U_e/\max(U_e)$  for (a)  $TM_{01}$  and  $PL_2^x$ , (b)  $HE_{11}^x$  and  $PL_2^x$ , and (c)  $HE_{11}^y$  and  $PL_2^y$  mode pairs as a function of the frequency  $f$  and the distance  $h$  between the waveguide and spaser in the case of the system irradiation by the  $x$ - and  $y$ -polarized Gaussian beam. The longitudinal components of the electric field  $|E_z|$  are plotted at the corresponding points (1)–(9). The Gaussian beam parameters are  $x_0 = -d/2$ ,  $y_0 = 0$  for the waveguide modes, and  $x_0 = d/2$ ,  $y_0 = 0$  for the spaser modes. All parameters of the system are the same as listed in captions for Figs. 2 and 3.

degeneracy and their separation. Thus we present an optical system with a high degree of controllability, which significantly expands the scope of its implementation.

## V. CONCLUSION

We applied a multimode approach to the analysis of the coupled photonic-plasmonic system of the dielectric waveguide and three-layer (dielectric-metal-dielectric) waveguide spaser. We studied the interaction between the waveguide modes of the dielectric cylinder and the surface-plasmon modes of the spaser, with particular emphasis on the hybrid waveguide or hybrid plasmon modes characterized by degeneracy. The exceptional points caused by coupling between the waveguide and plasmon modes were found. The coupling in the system can be tuned by changing the distance

$h$  between the waveguide and spaser. It was shown that the coupled system features the mode degeneracy, provided that  $h$  is greater than the value at the exceptional point. It was found that the exceptional point enables the mode degeneracy lifting and coupled modes of different polarizations exhibit distinct frequency change with further decrease in  $h$ .

Excitation of the  $x$ - and  $y$ -polarized modes was investigated in relation to the polarization of the incident Gaussian beam. Excitation of different types of modes was achieved by controlling the beam polarization and the shift  $h$  relative to the value at the exceptional point. Thus, the considered system shows the ability to control optical wave properties by tuning the exceptional point location and polarization of the incident wave. Such a two-stage control significantly expands the applications of this structure in hybrid photonic-plasmonic systems.

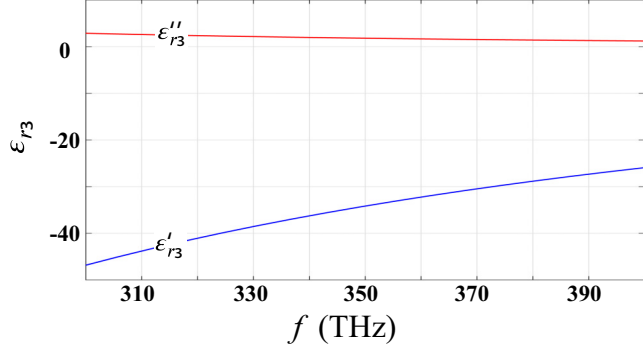


FIG. 5. The real  $\epsilon'_{r3}$  and imaginary  $\epsilon''_{r3}$  parts of the permittivity  $\epsilon_{r3}$  as a function of the frequency  $f$ . The following parameter values are chosen:  $\epsilon_\infty = 1.03$ ,  $\omega_p = 1.3 \times 10^{16}$  rad/s and  $\Gamma = 1.13 \times 10^{14}$  rad/s.

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### APPENDIX A: DRUDE MODEL

The permittivity of metal shell 3 depends on the frequency  $\omega$  and is described by the Drude model as

$$\epsilon_3/\epsilon_0 = \epsilon_{r3}(\omega) = \epsilon_\infty - \frac{\omega_p^2}{\omega^2 + i\Gamma\omega}, \quad (\text{A1})$$

where the constant  $\epsilon_\infty > 1$  is the correction for high-frequency terms that are not explicitly modeled,  $\omega_p$  is the plasma frequency, and  $\Gamma$  is the damping coefficient. Figure 5 shows the behavior of the frequency-dependent permittivity (A1), which is close to the tabular data from [55] for the permittivity of silver (Ag).

### APPENDIX B: MULTIMODE APPROACH

To analyze the coupled spaser-waveguide system, we use a multimode analytical approach [53,54]. In this paper, we consider the coupling of a dielectric circular waveguide (region 1 in Fig. 1) with a three-layer (dielectric-metal-dielectric) waveguide spaser (regions 2 to 4 in Fig. 1). To solve the eigenvalue problem for this system, we consider two polar coordinate frames  $(r_1, \phi_1)$  and  $(r_2, \phi_2)$  whose centers coincide with the centers of dielectric waveguide 1 and spaser 2 to 4, respectively. In terms of these coordinates, we write the  $E_z$  and  $H_z$  components of electromagnetic fields for all regions 0 and 1 to 4 as sums of spatial azimuthal harmonics. First, in region 1, we have

$$\begin{aligned} E_z^1 &= \sum_{n=-N}^N A_n^1 J_n(k_{p,1}r_1) e^{in\phi_1}, \\ H_z^1 &= \sum_{n=-N}^N B_n^1 J_n(k_{p,1}r_1) e^{in\phi_1}. \end{aligned} \quad (\text{B1})$$

In all regions 2 to 4 of the spaser, we use coordinates  $(r_2, \phi_2)$  and can write

$$\begin{aligned} E_z^2 &= \sum_{n=-N}^N A_n^2 J_n(k_{p,2}r_2) e^{in\phi_2}, \\ H_z^2 &= \sum_{n=-N}^N B_n^2 J_n(k_{p,2}r_2) e^{in\phi_2}, \end{aligned} \quad (\text{B2})$$

for dielectric core 2 with  $r_2 \leq R_2$ ,

$$\begin{aligned} E_z^3 &= \sum_{n=-N}^N A_n^3 J_n(k_{p,3}r_2) e^{in\phi_2} + \sum_{n=-N}^N C_n^3 Y_n(k_{p,3}r_2) e^{in\phi_2}, \\ H_z^3 &= \sum_{n=-N}^N B_n^3 J_n(k_{p,3}r_2) e^{in\phi_2} + \sum_{n=-N}^N D_n^3 Y_n(k_{p,3}r_2) e^{in\phi_2}, \end{aligned} \quad (\text{B3})$$

for metal shell 3 with  $R_2 < r_2 \leq R_3$ , and

$$\begin{aligned} E_z^4 &= \sum_{n=-N}^N A_n^4 J_n(k_{p,4}r_2) e^{in\phi_2} + \sum_{n=-N}^N C_n^4 Y_n(k_{p,4}r_2) e^{in\phi_2}, \\ H_z^4 &= \sum_{n=-N}^N B_n^4 J_n(k_{p,4}r_2) e^{in\phi_2} + \sum_{n=-N}^N D_n^4 Y_n(k_{p,4}r_2) e^{in\phi_2}, \end{aligned} \quad (\text{B4})$$

for the layer of amplifying dielectric 4 with  $R_3 < r_2 \leq R_4$ .

In region 0, the field is expressed in terms of coordinates of both local coordinate frames as

$$\begin{aligned} E_z^0 &= \sum_{n=-N}^N C_n^1 H_n^{(1,2)}(k_{p,0}r_1) e^{in\phi_1} \\ &+ \sum_{n=-N}^N C_n^2 H_n^{(1,2)}(k_{p,0}r_2) e^{in\phi_2}, \\ H_z^0 &= \sum_{n=-N}^N D_n^1 H_n^{(1,2)}(k_{p,0}r_1) e^{in\phi_1} \\ &+ \sum_{n=-N}^N D_n^2 H_n^{(1,2)}(k_{p,0}r_2) e^{in\phi_2}. \end{aligned} \quad (\text{B5})$$

In the above equations,  $\{A_n^1 \dots A_n^4, B_n^1 \dots B_n^4, C_n^1 \dots C_n^4, D_n^1 \dots D_n^4\}$  are the amplitudes of azimuthal harmonics,  $k_{p,j}^2 = k_j^2 - k_z^2$ ,  $k_j = k_0 \epsilon_{rj}$  ( $j = 0 \dots 4$ ),  $\epsilon_{rj} = \epsilon_j/\epsilon_0$  is the relative permittivity,  $k_0^2 = \omega^2 \epsilon_0 \mu_0$ ,  $J_n(\cdot)$  and  $Y_n(\cdot)$  are the Bessel function of the first and second kinds, respectively, and  $H_n^{(1)}(\cdot)$  and  $H_n^{(2)}(\cdot)$  are the Hankel functions of the first and second kinds, which take into account the damping of the wave field at infinity:  $E_z^0 \rightarrow 0$  and  $H_z^0 \rightarrow 0$  for  $r_1, r_2 \rightarrow \infty$ . The coordinates  $(r_1, \phi_1)$  and  $(r_2, \phi_2)$  in region 0 are related by the Graph addition theorem [59]

$$\begin{aligned} B_n(r_1) e^{\pm in\phi_1} &= \sum_{k=-N}^N B_{n+k}(h) J_k(r_2) e^{\mp ik\phi_2} e^{\pm ik\pi}, \\ B_n(r_2) e^{\pm in\phi_2} &= \sum_{k=-N}^N B_{n+k}(h) J_k(r_1) e^{\mp ik\phi_1} e^{\pm ik\pi}, \end{aligned} \quad (\text{B6})$$

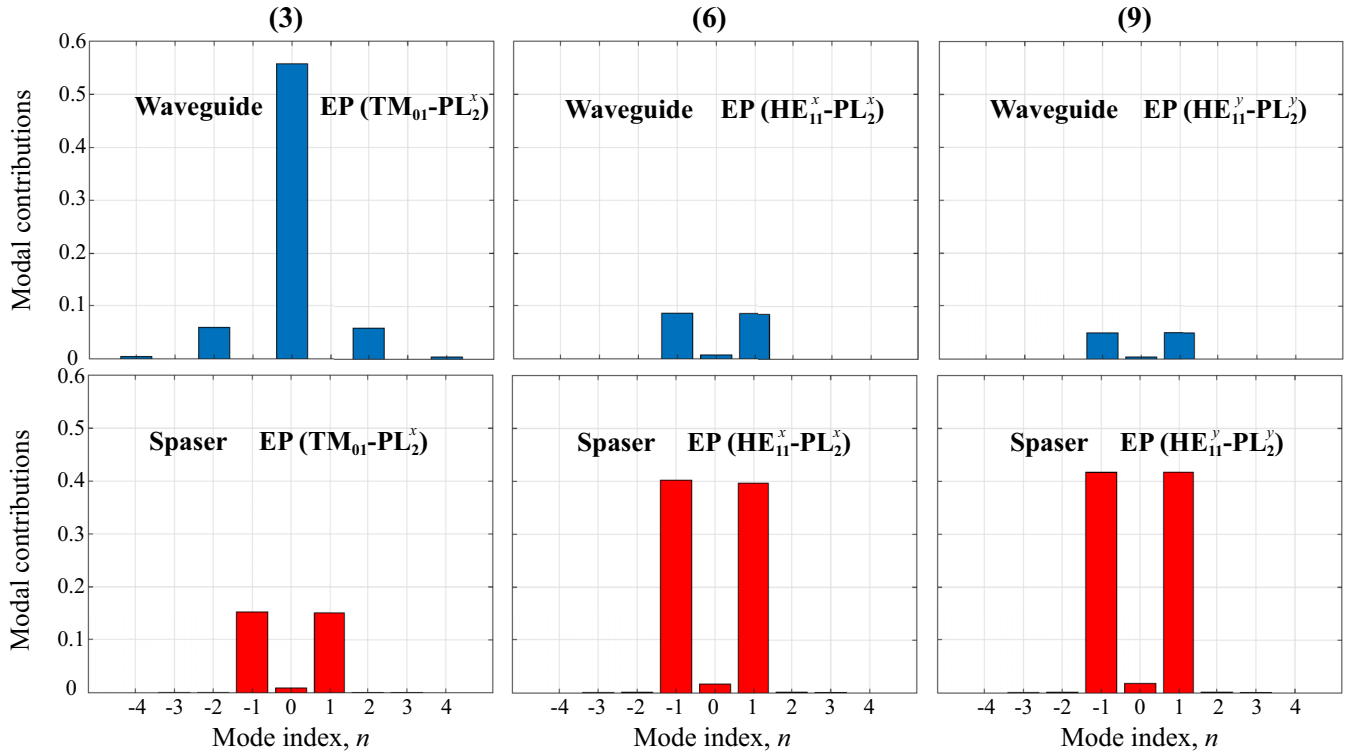


FIG. 6. Modal contributions to the electric energy of the system of the coupled waveguide (blue bars) and spaser (red bars) at the exceptional points (3), (6), and (9) depicted in Fig. 4.

where  $B_n(\cdot)$  is the  $n$ th-order cylindrical function and  $h$  is the distance between the centers of the waveguide and spaser (see Fig. 1).

The azimuthal field components  $E_\phi$  and  $H_\phi$  are expressed in terms of  $E_z$  and  $H_z$ . The sought-for amplitudes  $\{A_n^1 \dots A_n^4, B_n^1 \dots B_n^4, C_n^1 \dots C_n^4, D_n^1 \dots D_n^4\}$  and frequency  $\omega$  are found from the continuity conditions for tangential field components at the interface surfaces

$$\begin{aligned} \{E_{z,\phi}^1; H_{z,\phi}^1\}(R_1, \phi_1) &= \{E_{z,\phi}^0; H_{z,\phi}^0\}(R_1, \phi_1), \\ \{E_{z,\phi}^4; H_{z,\phi}^4\}(R_4, \phi_2) &= \{E_{z,\phi}^0; H_{z,\phi}^0\}(R_4, \phi_2), \\ \{E_{z,\phi}^2; H_{z,\phi}^2\}(R_2, \phi_2) &= \{E_{z,\phi}^3; H_{z,\phi}^3\}(R_2, \phi_2), \\ \{E_{z,\phi}^3; H_{z,\phi}^3\}(R_3, \phi_2) &= \{E_{z,\phi}^4; H_{z,\phi}^4\}(R_3, \phi_2). \end{aligned} \quad (\text{B7})$$

The system of Eqs. (B7) has nontrivial solutions, once its determinant is zero. This condition yields the dispersion relation for eigenfrequencies of the coupled spaser-dielectric waveguide system immersed in free space.

### APPENDIX C: GAUSSIAN BEAM

In the coordinate frame  $(\eta, \xi)$ , the  $\eta$ -polarized Gaussian beam has the following form [60]:

$$\mathbf{E}_b(\eta, \xi) = \mathbf{E}_{b0} \frac{w_0}{w(\eta)} e^{-\frac{(\xi-\xi_0)^2}{w^2(\eta)}} e^{-ik\eta} e^{-ik\frac{(\xi-\xi_0)^2}{2R(\eta)}} e^{i\phi(\eta)}, \quad (\text{C1})$$

where

$$\begin{aligned} w(\eta) &= w_0 \sqrt{1 + \frac{(\eta - \eta_0)^2}{p_0^2}}, \\ R(\eta) &= (\eta - \eta_0) \left( 1 + \frac{p_0^2}{(\eta - \eta_0)^2} \right), \\ \phi(\eta) &= \tan^{-1} \frac{\eta - \eta_0}{p_0}, \end{aligned}$$

$p_0 = \pi w_0^2 / \lambda$ ,  $w_0$  is the minimum width of the Gaussian beam,  $p_0$  is the Rayleigh range,  $\lambda$  is the wavelength, and  $\mathbf{E}_{b0}$  is the electric field amplitude of the Gaussian beam,  $w(\cdot)$  is the beam radius,  $R(\cdot)$  is the radius of curvature of the wavefronts, and  $\phi(\cdot)$  is the Gouy phase shift.

### APPENDIX D: MODAL CONTRIBUTIONS

To study the contributions of the waveguide and spaser modes to the total electric energy stored in the system, we expand the electric field into the following series [61,62]:

$$\begin{aligned} \mathbf{E}(r, \phi) &= \sum_{n=-N}^N \mathbf{A}_n(r) e^{in\phi}, \\ \mathbf{A}_n(r) &= \int_0^{2\pi} \mathbf{E}(r, \phi) e^{-in\phi} d\phi, \end{aligned} \quad (\text{D1})$$

where  $r$  and  $\phi$  are coordinates of the chosen cylindrical coordinate frame and  $\mathbf{A}_n(r)$  are the expansion coefficients.

Substitution of coefficients (D1) into the electric energy equation (1) yields

$$U_e = \frac{1}{2} \int_0^{2\pi} \int_0^R \varepsilon(r, \phi) [\mathbf{E}(r, \phi) \cdot \mathbf{E}^*(r, \phi)] r dr d\phi$$

$$= \pi \sum_{n=-N}^N \int_0^R \varepsilon(r) |\mathbf{A}_n(r)|^2 r dr = \sum_{n=-N}^N U_e^n, \quad (\text{D2})$$

$$U_e^n = \pi \int_0^R \varepsilon(r) |\mathbf{A}_n(r)|^2 r dr. \quad (\text{D3})$$

Here  $R$  is the radius of the cylinder system under consideration (in our case, for the waveguide  $0 \leq r \leq R_1$  and for the spaser  $0 \leq r \leq R_4$ ). The obtained values of  $U_e^n$  make it possible to find the electric energy of each mode. Then the modal contributions can be derived from the relation  $U_e^n/U_e$ .

In Fig. 6, the contributions of separate modes to the total electric energy are collected. They are calculated for the system parameters at the corresponding exceptional points shown in Fig. 4. Since this work considers a coupled system, the contributions of modes to the electric energy of the waveguide (photonic subsystem) and spaser (plasmonic subsystem) are presented separately.

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