

Temperature-induced dephasing in high-order harmonic generation from solids

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High-order harmonic generation (HHG) in solid and gaseous targets has been proven to be a powerful avenue for the generation of attosecond pulses, whereas the influence of electron-phonon scattering on HHG is a critical outstanding problem. Here we first introduce a temperature-dependent lattice vibration model by characterizing the spacing fluctuation. Our results reveal that (i) structural disorder induced by lattice vibration does not lead to generation of even-order harmonics; (ii) dephasing of HHG occurs as the lattice temperature is growing; (iii) an open-trajectory picture predicts the maximal photon energy in the temperature-dependent HHG spectra. Moreover, a formula assessing dephasing time with lattice temperature is proposed to identify the timescale of electron-phonon scattering. This work paves the way to study the non-Born-Oppenheimer effect in solids driven by a strong field.

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I. INTRODUCTION

High-order harmonic generation (HHG) from solids is at the forefront of ongoing attosecond science and strong-field physics [1,2]. Solid-state HHG provides a significant view to explore the emerging condensed-matter systems [3–13], such as two-dimensional materials [14,15], topological insulators [16,17], Mott insulators [18,19], disorder solids [20–23], and liquids [24]. In contrast to HHG in gases, strong-field induced electronic dynamics among periodic nuclei inevitably suffers many-body scatterings. Moreover, the high intensity and repetition rate of strong laser pulses will lead to a lattice thermal effect. An open question regards the failure to understand the significant discrepancy between experimental and theoretical HHG spectra, where the latter requires an extremely short dephasing times [7–9].

The theoretical HHG simulations are lacking a classification on many-body interactions, and it is challenging to understand fully the solid-state HHG experiments. Currently, all of the many-body interactions in solid-state HHG have an indistinguishable dephasing parameter. One of the critical many-body interactions is the scattering caused by phonons (i.e., quasiparticles reflecting quanta of lattice vibrations).

The non-Born-Oppenheimer full *ab initio* quantum treatments of a condensed-matter system are a nearly impossible task due to the limit of available computing resource. Therefore, a new lattice model involving temperature-dependent nuclear vibrations is desirable to unravel the non-Born-Oppenheimer effect of nuclei in solid-state HHG. Less is known about the temperature-dependent nuclear vibrations in experimental and theoretical HHG from solids, although several results of temperature-dependent HHG from solids [25,26] and liquids [27] have displayed sharply decreasing

HHG yields with increasing temperature. Actually, those results imply dephasing, but there are no explicit discussions in that regard.

In this work, we develop a finite-temperature crystal model characterizing the temperature-dependent distribution of nuclei to capture one of the real-space lattice vibration modes (i.e., structural configurations), and we propose a formula to extract dephasing time that can agree perfectly with “experimental” observations. This opens up the possibility of studying temperature-dependent quantum decoherence in solid-state high-order harmonic spectroscopy.

II. MODEL

To investigate the impact of lattice temperature on HHG, we first propose a model to assess the variation of nuclear location under the different temperatures. In Fig. 1(a), the real-space lattice-vibration modes at a specific temperature appear as the disordered atomic positions x_j ($j = 1, 2, \dots, N$), and they can be approximately modeled by a Gaussian probability distribution function. Nuclear spacing $\xi_j = x_{j+1} - x_j$ for each vibration mode is assumed to obey the standard normal distribution $f(\xi) = (1/\sqrt{2\pi\sigma^2})\exp\{-[(\xi - a)^2/2\sigma^2]\}$ with the mean spacing value, i.e., the lattice constant $a = 10$ a.u. (atomic units are used throughout unless otherwise indicated) and variance σ^2 . The fixed-nuclei chain ($\sigma = 0$) is a periodic arrangement with lattice constant a . However, nuclei will deviate from their equilibrium positions under the non-Born-Oppenheimer picture. Since the Coulomb repulsion increases significantly as two nuclei approach each other, intervals between nuclei have a lower limit. Similarly, an upper limit of the nuclear intervals is also necessary before the structural damage. For the rationality of our model, a truncated normal distribution generator is adopted and thus only the atomic-pair intervals falling within a symmetric range $[a - \zeta, a + \zeta]$ are retained [28,29]. Here ζ adopting $a/3$ in simulations is the maximal deviation under the harmonic-oscillator model.

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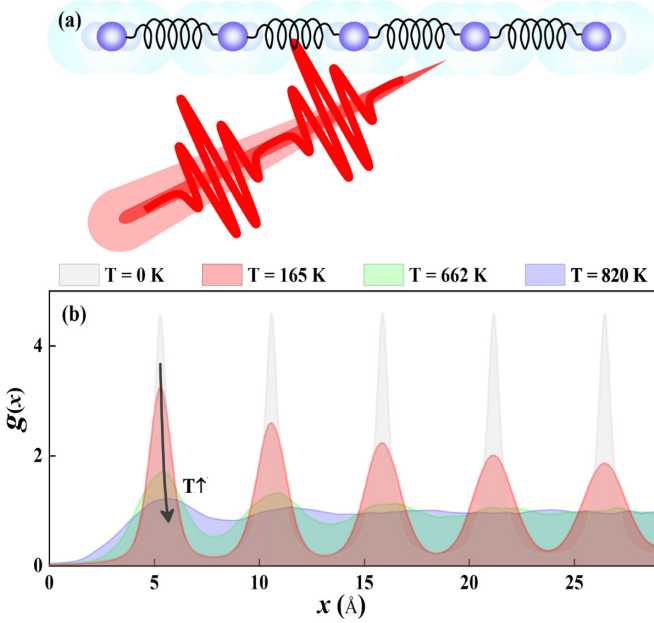


FIG. 1. (a) Schematic diagram of the interaction between femtosecond laser pulses and a vibrating atomic chain. The light-colored balls around each bright ball denote the deviation from the equilibrium position. (b) The radial distribution function with varying lattice temperatures.

Based on the Debye model [30,31], we introduce a temperature-dependent lattice vibration model, in which one refers to the details in Appendix A. The expression of structure fluctuation under a certain temperature can be written as

$$\sigma^2 = \frac{6}{Mw_D} \left[\frac{1}{4} + \left(\frac{T}{\Theta_D} \right)^2 \Phi \right], \quad (1)$$

where M is the atomic mass, $\Theta_D = \hbar w_D/k_B$ is the Debye temperature, and $\Phi = \int_0^{\Theta_D/T} k(e^k - 1)^{-1} dk$ involves the contribution from all the phonon modes described by the phonon density of states. Keeping the truncation in mind, the corresponding relation between fluctuation σ (or the effective fluctuation σ^*) and the lattice temperature is clarified in Appendix B.

The radial distribution function (RDF), an experimental order parameter, is the number of atoms in the shell between x and $x + dx$. Counting the distance ξ_j between any atomic pairs, RDF is expressed as $g(x) = \sum_j \delta(x - \xi_j)$. The structure of RDF could characterize the variation of atomic-pair distributions caused by growing lattice temperatures [32–34]. As shown in Fig. 1(b), the amplitudes of long-range peaks decrease faster than those of the short-range peaks. These characters are in good agreement with the experiments, in which the effect of temperature on RDF had been studied [35,36]. For each structural configuration, the nuclear spacing arrangement displays the destruction of the long-range order, but the short-range order is still kept well before the damage of the atomic structure. To achieve our lattice-vibration model with the solid characteristic of a periodic translation invariant, in structural configurations we reduce significantly the level of atomic-chain disorder by including the mirror symmetry

about the nucleus-vibrating equilibrium position. This scheme includes the phase-breaking events reflecting the electron-phonon scattering. The inclusion of periodic arrangement and phase breaking determines intrinsically the uniqueness of our solid-state model [37].

The electronic structure of solids under a certain temperature is obtained from the diagonalization of the time-independent Hamiltonian $\hat{H}_0 = \frac{\hat{p}^2}{2m} + V(x)$. The calculated details of the electronic structure could be found in Appendix C. We adopt all the valence-band states as the initial states for each structural configuration. And for each occupied state $|\psi_n\rangle$, we independently solve the time-dependent Schrödinger equation (TDSE) under the velocity gauge as

$$i \frac{\partial}{\partial t} |\psi_n(t)\rangle = \hat{H}(t) |\psi_n(t)\rangle, \quad (2)$$

and then we calculate the time-dependent current by $j(t) = -\sum_n \langle \psi_n(t) | \hat{p} + A(t) | \psi_n(t) \rangle$. The laser vector potential with frequency ω is $A(t) = -\int_{-\infty}^t F(t') dt'$, and $F(t)$ is the electric field. A_0 and F_0 are their respective amplitudes.

The duration of femtosecond (fs) laser pulses irradiating targets is comparable to the timescale of phonon modes with the 100-fs magnitude. Furthermore, the laser pulses with a kilohertz (KHz) repetition frequency [24] could encounter thousands of atomic-chain arrangements. Different configurations have the same structural fluctuation but possess the various spacial arrangements at a certain temperature. The events occurring in a normal distribution generator with a given fluctuation σ produce the atomic-chain configurations. The experimental HHG spectrum contains thousands of currents contributed by different structural configurations. To obtain the final harmonic spectrum, we coherently sum the $j(t)$ of each correlative structural configuration as $J(t) = \sum j(t)$ before the Fourier transform of the total current $J(t)$.

III. DISCUSSIONS

We first present the HHG spectra with the increasing configuration number. Taking $\sigma = 1.4$ a.u. as an example, Figs. 2(a)–2(c), respectively, show HHG spectra contributed by the 1, 100, and 4000 configurations. In Fig. 2(a), the HHG spectrum only contains the odd-order harmonics below the 41th harmonic, and the even-order harmonics then appear in the spectral region beyond the cutoff frequency, as shown by the shadow zone. As more structural configurations are adopted in Figs. 2(b) and 2(c), the signals of the even-order harmonics are suppressed, but those of odd-order harmonics remain. Moreover, the plateau-zone intensities do not change, but the harmonic intensities for the spectroscopic region beyond the robust cutoff frequency drop about three orders of magnitude. The statistics of configurations imply the dephasing process, which leads to the shrinking of the even-order harmonic zone. Keeping each configuration corresponding to one of the atomic arrangements in mind, each laser pulse will capture one configuration of the vibrating structure, in which the translation invariance of atomic spacing has been broken. Thus, one observes the even-order harmonics beyond the cutoff frequency. The disappearance of the even-order harmonics in Fig. 2(c) indicates that the atomic chain will retrieve the intrinsic and periodic symmetry with a sufficient

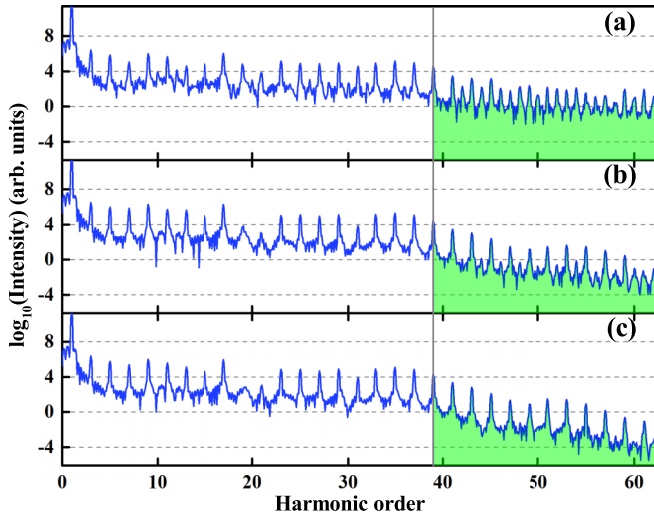


FIG. 2. Parts (a), (b), and (c), respectively, present HHG spectra obtained from 1, 100, and 4000 configurations, in which the lattice temperature is 452 K ($\sigma = 1.4$). The amplitude and wavelength are $F_0 = 0.025$ a.u. and $1.5 \mu\text{m}$, respectively. A uniformly trapezoidal envelope laser with two rising, two falling, and eight plat-top cycles is used in all the simulations. The cutoff frequency is denoted by a vertical line.

configuration number. In brief, the vibration-induced disorder could not give rise to the emergence of even-order harmonics in solid-state HHG spectra, which agrees with the experimental observations [24,38].

Then, we discuss the impact of lattice temperature on the cutoff frequency and the maximum photon energy in the HHG spectra. Note that all the results discussed below are obtained from the 4000 configurations. As the temperature increases, one sees the robustness of the cutoff frequency and the decrease of the maximum photon energy, as shown in Figs. 3(a)–3(d). Here the maximal photon energies marked by blue dashed lines in Figs. 3(a)–3(d) are confirmed by performing time-frequency analyses on the spectral region beyond the cutoff frequency, as presented in Fig. 9 of Appendix D. The dephasing of the quantum trajectory is enhanced with growing lattice temperature, thus lowering the maximal photon energy.

The cutoff frequency ω_{cutoff} of the HHG plateau, in fact, is determined by the maximal kinetic energy obtained by the electrons when the distance between the electron and hole satisfies the condition of zero displacement (i.e., the closed-trajectory model). As shown by Figs. 8(a)–8(d) of Appendix C, we conclude that the nuclear vibration under finite temperature just slightly perturbs the energy band structure, which clarifies the robustness of the cutoff frequency in Figs. 3(a)–3(d). This robust cutoff energy is depicted as $\omega_{\text{cutoff}} = I_p + 3.17U_p$, where I_p is the ionized potential of solids (about 16 eV), and the ponderomotive energy, U_p , is given by $F_0^2/4\omega^2$.

However, the maximal photon energy in the HHG spectrum is related to the coherent overlap between electron and hole wave packets. Taking into account the wavelike property of the electron wave packet in solids, one could remove the condition of zero displacement in a recombined step, i.e., the open-trajectory model [39,40]. When the

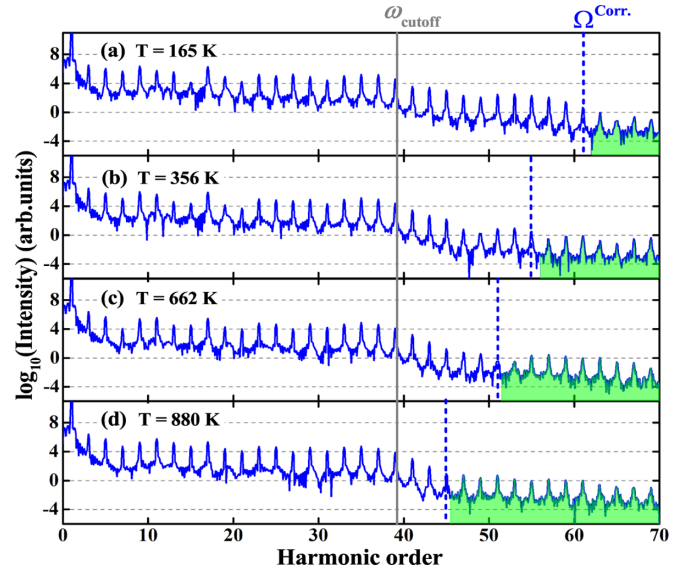


FIG. 3. Temperature-dependent HHG spectra. The spatial fluctuations adopted in (a), (b), (c), and (d) are 0.8, 1.2, 2, and 4 a.u., respectively.

coherent width (\mathcal{D}) between electron and hole wave packets is greater than the excursion distance of the electron, one obtains the high-order harmonic photon energy formulated as $\Omega = I_p + 2U_p[\sin(\omega t) - \sin(\omega t')]^2$ under the free-scattering situation [41,42], where t' and t are the ionized and recombined times, respectively. The maximal photon energy occurs for $\omega t' = \pi/2$ and $\omega t = 3\pi/2$, which results in the upper limit of the emitted photon energy $\Omega = I_p + 8U_p$. Keeping the wave-particle duality in mind, dephasing of the electron wave packet is characterized by its particlelike property. The coherent width could be written as $\mathcal{D} = 2A_0T_2$, where T_2 is the dephasing time. The formula derivation could be found in Appendix E. The HHG induced by quantum coherence will involve an electron-hole-pair polarization energy depicted as $F_0\mathcal{D}$. Thus the emitted maximal photon energy could be corrected as $\Omega^{\text{corr}} = \omega_{\text{cutoff}} + F_0\mathcal{D}$ under the situation of dephasing.

To demonstrate the formulas for the cutoff energy (ω_{cutoff}) and the maximal photon energies (Ω and Ω^{corr}), in Figs. 4(a) and 4(b), respectively, we present the dependencies of HHG spectra on the electric amplitude and wavelength of laser pulses. The emitted photon energies predicted by three formulas reach a good agreement with the results of TDSE simulations in both Figs. 3 and 4. The role of the lattice temperature on the maximal photon energy has been attributed to temperature-induced dephasing in Ω^{corr} . To further confirm the dephasing process induced by the finite-temperature nuclear vibrations, we perform the temporal profiles of HHGs. In Figs. 5(a)–5(d) we display the time-frequency analysis of the HHG spectra in Figs. 3(a)–3(d), respectively. In Fig. 5(a) one could observe that the spectroscopic intensities of the long trajectories are stronger than those of the short trajectories. However, this situation will be reversed with the growing lattice temperature in Figs. 5(b)–5(d), and the dephasing rate of the long trajectory is greater than that of the short trajectory. Similarly, this tendency is also observed in the quantum

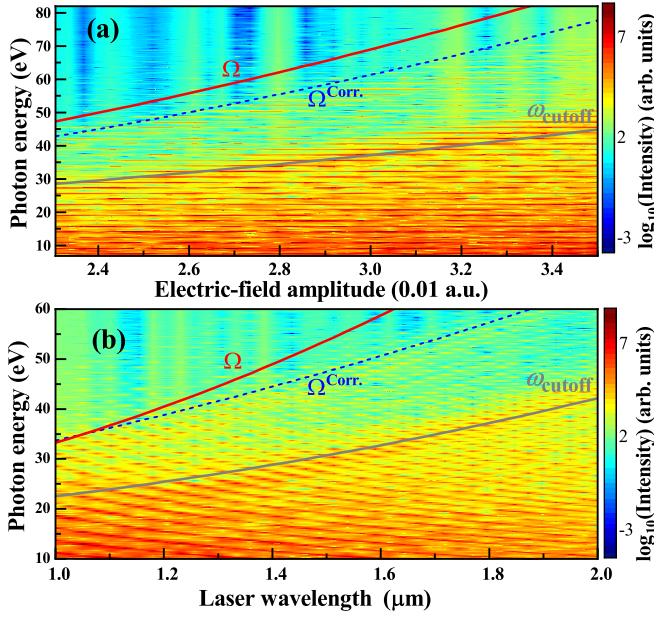


FIG. 4. Dependencies of the cutoff frequency and the maximal photon energy on the laser parameters. The HHG spectra vary with (a) electric field amplitude and (b) wavelength under a lattice temperature of about 100 K ($\sigma = 0.5$ a.u.). The solid and dashed curves are predicted by their respective formulas.

trajectories of the spectroscopic zone beyond the cutoff frequency, as shown in Fig. 9(b). The laser-driven electronic wave packets undergo non-negligible dissipation induced by electron-phonon scattering. Furthermore, the long-trajectory electrons will travel a longer excursion time than those of the short trajectory, which means that the dissipation on the long trajectory is dominated by that of the short trajectory.

The single active electron approximation suffers from neglecting the dephasing from the inelastic scattering by lattices. To remedy the dephasing in the single active electron approximation, we incorporate an imaginary potential $iU(x)$ into the field-free Hamiltonian, which is denoted as $\hat{H}_0 - iU(x)$ [40,43]. In such a treatment, the eigenenergy becomes a

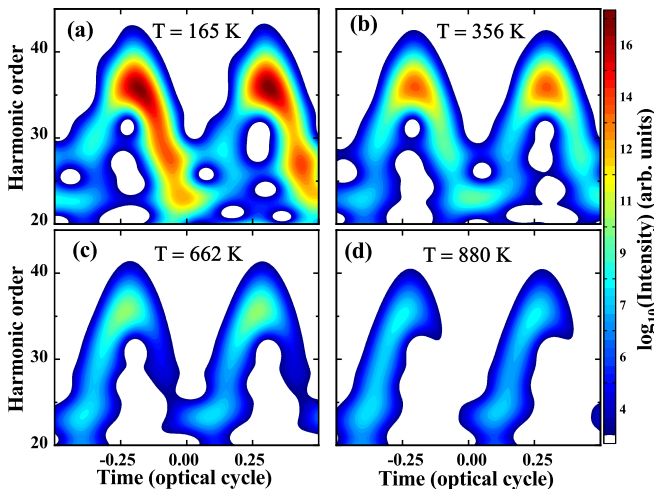


FIG. 5. Time-frequency analyses of the HHG spectra in Fig. 3.

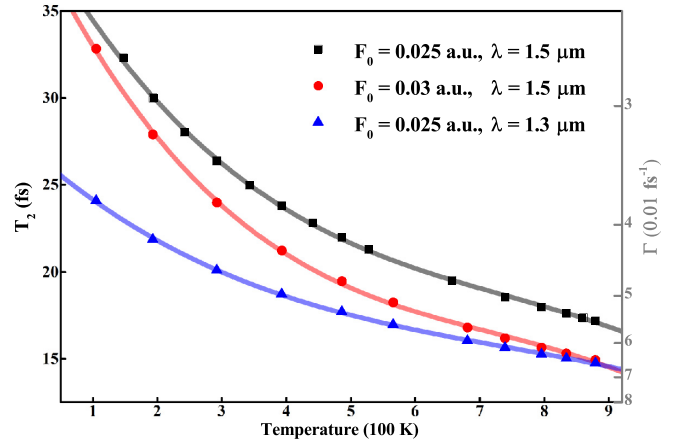


FIG. 6. The extracted dephasing times T_2 with varying lattice temperatures and laser parameters are marked with square, circular, and triangular dots. The dephasing times predicted by the formula are plotted as a solid curve. The parameters β for the black, red, and blue lines are adopted as -0.19 , -0.24 , and -0.16 fs^{-1} , respectively, which are determined by the laser-parameter-dependent ionized rates.

complex value, and its imaginary part $i\Gamma$ corresponds to the dephasing term.

We take the HHG spectra obtained from the fixed-nuclei periodic chain including phenomenological dephasing as theoretical input. The temperature-dependent HHG spectra are regarded as experimental results. To extract the dephasing time, we then perform an analysis as done in typical comparisons of theory and experiment [4,5,44]. Based on the fact that the relative height of the HHG plateau depends very sensitively on the dephasing rate, in the theoretical calculations the value of Γ is used as a freely adjustable parameter of the dephasing HHG spectra. As one can see in Fig. 10 of Appendix F, we obtain rather good agreement between temperature-dependent spectra and dephasing spectra by systematically decreasing the used dephasing times, along with increasing temperature. By comparing delicately the finite-temperature HHG spectrum with that obtained from the theoretical simulations, we could extract the dephasing time with varying lattice temperatures and laser parameters, as presented by the dots in Fig. 6. To understand these results, we introduce a formula for the finite-temperature dephasing rate as

$$\Gamma = \gamma \frac{L_{\max}}{a - \sigma} + \beta, \quad (3)$$

in which the electronic maximal classical excursion $L_{\max} = \frac{\pi F_0}{\omega^2}$ (cf. Appendix E), and the ratio of L_{\max} and the effective lattice constant $a - \sigma$ denote the collision times between electrons and lattices. The parameter γ adopted as 0.026 fs^{-1} represents the contributed dephasing rate from each electron-lattice collision and is relative to the type of solid-state materials. One can observe that the curves predicted by Eq. (3) conform well with the extracted dephasing times ($T_2 = \frac{1}{2\Gamma}$) marked with dots in Fig. 6. Therefore, the dephasing time can be quantitatively linked with the lattice temperature.

IV. CONCLUSION

To summarize, the mechanism of temperature-induced dephasing in solid-state HHG has been revealed. We develop a temperature-dependent lattice vibration model by characterizing the spacing fluctuations between atomic pairs. Moreover, the lattice translation symmetry is not broken by the vibration-induced fluctuation, which is verified by the missing even-order harmonics in this work as well as experimental observations. In the temperature-dependent HHG spectra, we clarify the impact of temperature-induced dephasing on the maximal photon energy in the open-trajectory model. Finally, a formula shedding new light on the timescale of electron-lattice scattering is introduced and also confirmed by temperature-dependent HHG spectra. Our results reaffirm the significance of quantum decoherence in the quantitative analysis of HHG experiments.

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T.-Y.D. and C.M. contributed equally to this work.

APPENDIX A: MEAN-SQUARE RELATIVE DISPLACEMENTS IN THE TEMPERATURE-DEPENDENT LATTICE VIBRATION MODEL

The peak of the atomic pair distribution function (PDF) in simple crystals can be described approximately by a Gaussian-type function with a fluctuation σ_{ij} . By projecting onto the vector linking the atom pairs, the mean-square relative displacement of atom pairs is denoted as

$$\sigma_{ij}^2 = \langle [(\mathbf{u}_i - \mathbf{u}_j) \cdot \hat{\mathbf{e}}_{ij}]^2 \rangle, \quad (\text{A1})$$

where $\mathbf{u}_i, \mathbf{u}_j$ are thermal displacements of atoms i and j from their equilibrium positions. The vector $\hat{\mathbf{e}}_{ij}$ is a unit vector along the direction connecting the atoms i, j , and the angular brackets $\langle \rangle$ indicate an ensemble average. This equation can be expanded as

$$\sigma_{ij}^2 = \langle [\mathbf{u}_i \cdot \hat{\mathbf{e}}_{ij}]^2 \rangle + \langle [\mathbf{u}_j \cdot \hat{\mathbf{e}}_{ij}]^2 \rangle - 2\langle (\mathbf{u}_i \cdot \hat{\mathbf{e}}_{ij})(\mathbf{u}_j \cdot \hat{\mathbf{e}}_{ij}) \rangle. \quad (\text{A2})$$

Here the first two terms correspond to the mean-square thermal displacement of atoms i and j . The third term is a displacement correlation function, which encodes information about the atomic motional correlations. For crystals constituted by a monatomic type, the σ_{ij}^2 is expressed in terms of the lattice phonons as follows:

$$\sigma_{ij}^2 = \frac{2}{NM} \sum_{\mathbf{k}, s} \frac{(\hat{\mathbf{e}}_{\mathbf{k}, s} \cdot \hat{\mathbf{e}}_{ij})^2}{\omega_s(\mathbf{k})} \left[n(\omega_s(\mathbf{k})) + \frac{1}{2} \right] [1 - \cos(\mathbf{k} \cdot \mathbf{r}_{ij})], \quad (\text{A3})$$

where $\omega_s(\mathbf{k})$ is a phonon-mode frequency with crystal wave vector \mathbf{k} in branch s , $n(\omega_s(\mathbf{k}))$ is the phonon occupation number, $\hat{\mathbf{e}}_{\mathbf{k}, s}$ is the polarization vector of the \mathbf{k}, s phonon mode, N is the number of atoms, and M is the mass of an atom. \mathbf{r}_{ij} is the relative interval between atoms i and j along the vector $\hat{\mathbf{e}}_{ij}$. In the calculation, the phonon frequency $[\omega_s(\mathbf{k})]$ and polarization vector ($\hat{\mathbf{e}}_{\mathbf{k}, s}$) could be obtain by solving the dynamical matrix using up to high-order nearest-neighbor

interatomic force parameters. Note that the force parameters of crystals become extractable by open-source first-principles codes such as *Phonopy* and *Quantum Espresso*, and then the phonon-dispersion curves can be obtained by using the Born-von Karman (BvK) model. As mentioned above, the force constants must be known in advance to obtain all phonon modes via the BvK model calculation.

Then, we will simplify the result in Eq. (A3) using some approximations to describe the effects of the lattice vibrations on the peaks of the PDF without knowing the force constants. Following the works reported by Debye [30] and Beni and Platzmann [45], one could make no distinction between longitudinal and transverse phonon branches and further take account of a spherical average. Then Eq. (A3) reduces to

$$\sigma_{ij}^2 = \left\langle \frac{2}{M\omega} \left[n(\omega) + \frac{1}{2} \right] [1 - \cos(\mathbf{k} \cdot \mathbf{r}_{ij})] \right\rangle, \quad (\text{A4})$$

where $\langle \dots \rangle$ is the average over the $3N$ branches, and N is the number of atoms. This equation is a general expression for all crystal materials and is independent of the number of atoms per unit cell. Using the Debye approximation, $\omega = ck$, we can rewrite Eq. (A4) as follows [46]:

$$\sigma_{ij}^2 = \frac{2}{3NM} \int_0^{\omega_D} d\omega \frac{\rho(\omega)}{\omega} \left[n(\omega) + \frac{1}{2} \right] \left[1 - \frac{\sin(\omega r_{ij}/c)}{\omega r_{ij}/c} \right], \quad (\text{A5})$$

where $\rho(\omega) = 3N(3\omega^2/\omega_D^3)$ is the phonon density of states, c is the sound velocity, and $n(\omega)$ is the phonon occupation number. $\omega_D = ck_D$ is the Debye cutoff frequency. The Debye wave vector is given by $k_D = (6\pi^2 N/V)^{1/3}$, where N/V is the number density of atoms in the crystal. After integrating over ω , we obtain

$$\sigma_{ij}^2 = \frac{6}{M\omega_D} \left[\frac{1}{4} + \left(\frac{T}{\Theta_D} \right)^2 \Phi_1 \right] - \frac{6\hbar}{M\omega_D} \left[\frac{1 - \cos(k_D r_{ij})}{2(k_D r_{ij})^2} + \left(\frac{T}{\Theta_D} \right)^2 \int_0^{\Theta_D/T} \frac{\sin\left(\frac{k_D r_{ij} T x}{\Theta_D}\right) / \left(\frac{k_D r_{ij} T}{\Theta_D}\right)}{e^x - 1} dx \right], \quad (\text{A6})$$

where $\Phi_1 = \int_0^{\Theta_D/T} x(e^x - 1)^{-1} dx$, x is a dimensionless integration variable, and $\Theta_D (= \hbar\omega_D/k_B)$ is the Debye temperature. Here, the first term corresponds to the usual uncorrelated mean-square thermal displacements ($2\langle u^2 \rangle$), and the second term is the displacement correlation function. This result is known as the correlated Debye model [45–47].

For simplicity, we could derive an uncorrelated Debye model by neglecting the third term (a displacement correlation function) in Eq. (A2). Only the first term in Eq. (A6) could be retained, and the temperature-dependent mean-square relative displacement of atom pairs i and j is finally denoted as

$$\sigma_{ij}^2 = \frac{6}{M\omega_D} \left[\frac{1}{4} + \left(\frac{T}{\Theta_D} \right)^2 \Phi_1 \right]. \quad (\text{A7})$$

APPENDIX B: CORRESPONDING RELATIONSHIP BETWEEN FLUCTUATION σ AND LATTICE TEMPERATURE

In Fig. 7 the spacing fluctuation σ is obtained from the full width at half-maximum (FWHM) of effective fluctuation

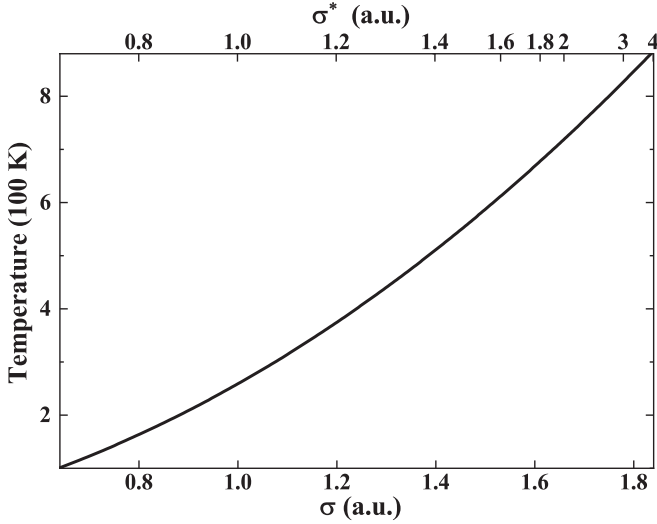


FIG. 7. Lattice-vibration-induced spatial fluctuation σ as a function of temperature according to Eq. (A7). The spacing fluctuation σ is defined by including the role of truncation in the effective fluctuation σ^* .

σ^* in the truncated normal distribution. Taking the Debye temperature (150 K) and the carbon atomic mass into account, the fluctuation of vibration amplitude is linked with the lattice temperature clarified by Eq. (A7), as presented in Fig. 7. For a certain material, Θ_D can be used as an empirical parameter by measurements of the specific heat or channeling experiments. Finally, the atomic-chain structure of a real system under different temperatures can also be indicated by the structure factor σ .

APPENDIX C: TEMPERATURE-DEPENDENT ELECTRONIC STRUCTURE

To generate a smoothly varying model potential, the atomic-chain potential is defined as

$$V(x) = -V_0 \sum_j \left\{ \exp \left[-\frac{(x-x_j)^2}{2\alpha^2} \right] + \exp \left[-\frac{(x-x_{j+1})^2}{2\alpha^2} \right] \right\}, \quad (\text{C1})$$

where $V_0 = 0.52$ a.u. and $\alpha = 0.08$ a.u., and the number of atoms in the vibrating-lattice chain is $N = 500$. We utilize the spectral function technique to directly analyze electronic structure from the eigen-wave-function in the coordinate representation. The function reads

$$I(k, E) = \sum_n \delta(E - E_n) |\langle k | \psi_n \rangle|^2, \quad (\text{C2})$$

where E_n and $|\psi_n\rangle$ are, respectively, the eigenenergy and eigen-wave-function by diagonalization of time-independent Hamilton \hat{H}_0 , and $|k\rangle$ is the plane wave function e^{ikx} . The energy bands with various σ have a similar energy band structure, as shown by Figs. 8(a)–8(d). As σ increases, in the reduced Brillouin zone many bands disappear due to the destruction of spatial symmetry. However, the low-energy band and the parabolic band are maintained well, as presented by the black dash-dotted parabolic curves in Figs. 8(a)–8(d).

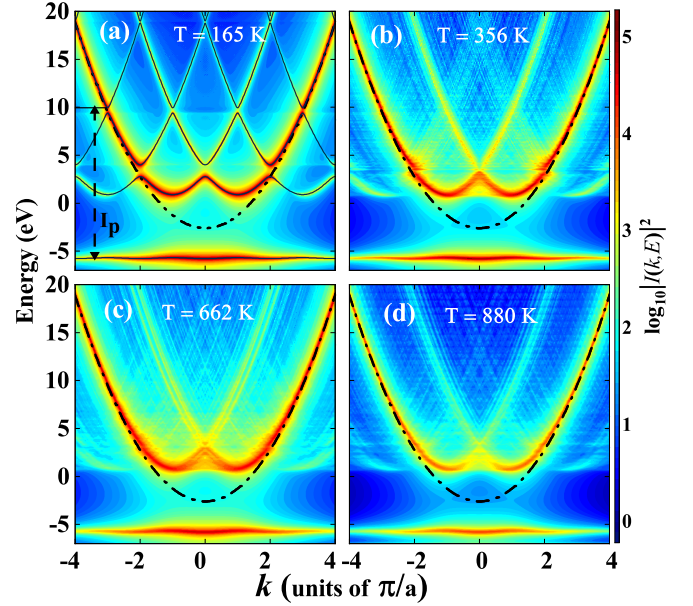


FIG. 8. The electronic structure under various lattice temperatures. In (a)–(d) the results correspond to the spatial fluctuation $\sigma = 0.8, 1.2, 2,$ and 4 a.u., respectively. In (a) the black solid curves are the zero-temperature ($\sigma = 0$) energy bands. The black dash-dotted parabolic curve in (a)–(d) is similar to the energy band for a free electron. According to the discrepancy between zero-temperature energy bands and the parabolic band, we define the ionization potential (I_p) of crystals, as denoted in (a).

Therefore, temperature-induced lattice vibration will not destroy the energy band but only perturb the electronic structure. In Fig. 8(a), one sees that the low-temperature energy bands (colormap) have a great agreement with zero-temperature energy bands (black solid curves), in which the temperature-dependent energy bands (colormap) in the extended Brillouin zone follow the parabolic dispersion (black dotted-dash curve) when the eigenenergies are larger than 10 eV. Here the ionization potential (I_p) of crystals is defined as 16 eV. Another impact of the growing lattice temperature on the energy band is that the small energy gap is gradually closed.

APPENDIX D: EFFECT OF LATTICE TEMPERATURE ON THE MAXIMAL PHOTON ENERGY

The yields of HHG spectra beyond the cutoff frequency decay fast with growing σ . We perform a time-frequency analysis for the HHG spectra beyond the cutoff frequency. The long and short trajectories are complete under the case of zero temperature ($\sigma = 0$), as shown in Fig. 9(a). However, in Fig. 9(b) with a lattice temperature of 165 K, the long trajectory disappears and the emitted maximal photon energy is decreased.

APPENDIX E: MAXIMAL CLASSICAL EXCURSION AND COHERENT WIDTH

The electron-hole pair wave function can be denoted as

$$P(k, t) = - \int_0^\infty d\tau e^{iS(k,t,\tau) - i\omega t} \zeta, \quad (\text{E1})$$

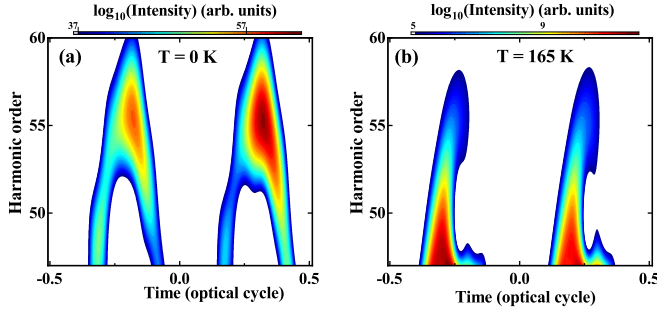


FIG. 9. Time-frequency analysis for the HHG spectra beyond the cutoff frequency under two temperatures. The laser parameters are the same as in Fig. 3.

with the classical action given by

$$\begin{aligned} S(k, t, \tau) &= - \int_{t-\tau}^t dt' \Delta E(k, t') + i\Gamma\tau + \omega t \\ &= - \int_{t-\tau}^t dt' \left\{ 2U_p \left[\frac{k}{A_0} - \sin(\omega t') \right]^2 \right\} + i\Gamma\tau + \omega t. \end{aligned} \quad (\text{E2})$$

Here the dephasing induced by our lattice vibration is introduced via the dephasing rate Γ . ζ is the Rabi frequency of multiplying the electric field amplitude and the transition dipole moment, $\Delta E = \frac{k^2}{2m_R}$ is the energy dispersion of the electron-hole pair under the multiphoton resonant excitation and parabolic approximations, and m_R is the electron-hole reduced mass. $k(t) = k_0 - A_0 \sin(\omega t)$ is the canonical momentum of the electron-hole pair, and $U_p = A_0^2/4m_R$ is the ponderomotive energy. We first expand Eq. (E2) and neglect the indirect driving term with the 2ω frequency component. Then we obtain the saddle-point equation by the first derivative of classical action S with respect to the recollision time t , which can be written as

$$\omega_s = 2U_p \{ \sin[\omega(t - \tau)] - \sin(\omega t) \}^2. \quad (\text{E3})$$

Finally, the highest energy can be obtained at the condition for $\omega t = 3\pi/2$ and $\omega\tau = \pi$. For trajectories that maximize Eq. (E3) ($\omega t = 3\pi/2$ and $\omega\tau = \pi$), the electronic maximal excursion is

$$L_{\max} = - \int_{t-\tau}^t \frac{\partial \Delta E}{\partial k} \Big|_{k(t')} dt' = \frac{\pi F_0}{m_R \omega^2}. \quad (\text{E4})$$

To obtain an estimate of the wave-function diffusion width, we expand the trigonometric functions to first order in $t - \tau$, where only the points of the highest energy electron-hole pair trajectories in Eq. (E3) are included. Evaluating the excursion

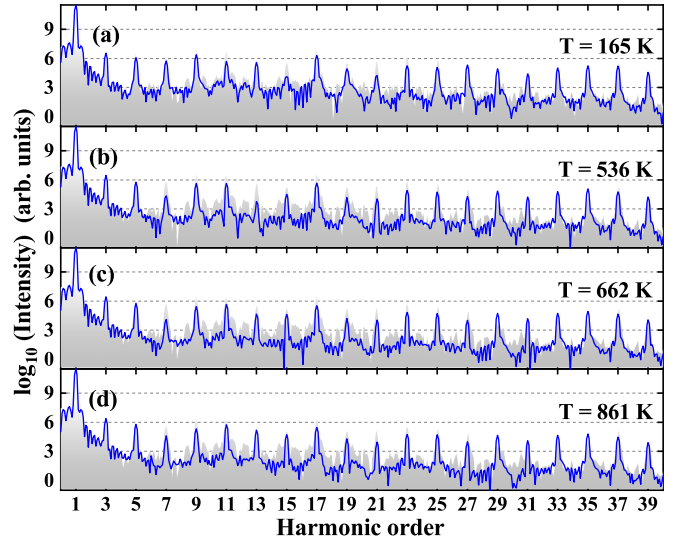


FIG. 10. Comparisons between theoretical (gray background curves) and experimental (blue solid curves) examples of the HHG spectrum.

time τ integral in Eq. (E1) approximatively gives rise to the wave-function distribution in momentum space, which can be denoted as

$$P(k, t) \approx \frac{e^{iS(k,t) - i\omega t} \zeta}{2U_p \left(\frac{k^2}{A_0^2} + 2 \frac{k}{A_0} \right) - i\Gamma}. \quad (\text{E5})$$

Hence the half-maximum of the wave function in the crystal-momentum space appears at $k_{1/2} = -A_0(1 \pm \sqrt{1 + \Gamma/2U_p})$ and then one achieves a full width at half-maximum of $\Delta k_{\text{FWHM}} = A_0\Gamma/2U_p$. The coherent width ($2/\Delta k_{\text{FWHM}}$) between electron and hole wave packets is given as $\mathcal{D} = 2A_0T_2/m_R$, where the dephasing time is clarified as $T_2 = \frac{1}{2\Gamma}$ and the reduced mass m_R adopts 1 a.u. in this work.

APPENDIX F: COMPARISONS BETWEEN THEORETICAL AND EXPERIMENTAL EXAMPLES OF THE HHG SPECTRUM

By including the role of phenomenological dephasing, the HHG spectra obtained from a fixed-nuclei periodic chain are regarded as the theoretical spectra. The temperature-dependent HHG spectra are the experimental HHG spectra. To extract the dephasing time, we have performed a typical comparisons of theory and experiment. Comparing the theoretical (gray background curves) and experimental (blue solid curves) examples of the HHG spectrum (see Fig. 10), one can observe very good agreement between them.

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