Quantum distance to uncontrollability and quantum speed limits

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Distance to uncontrollability is a crucial concept in classical control theory. Here, we introduce quantum distance to uncontrollability as a measure of how close a universal quantum system is to a nonuniversal one. This allows us to provide a quantitative version of the quantum speed limit, decomposing the bound into geometric and dynamical components. We consider several physical examples including globally controlled solid state qubits, scrambling of quantum information, and a cross-Kerr system, showing that the quantum distance to uncontrollability provides a precise meaning to spectral crowding, weak interactions, and other bottlenecks to universality. We suggest that this measure should be taken into consideration in the design of quantum technology.

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I. INTRODUCTION

Just as it is in our day-to-day computers, *universality* (the ability to run any algorithm in principle) is the central concept in quantum computing. In the current race to prove the first traces of it, and with the first success to report it in larger systems [1], this is more true than ever. It is often argued [2] that universality itself is *universal*, e.g., that almost all systems are universal, and if not, a slight change of parameters would render them so. This is even true in noisy systems, where universality needs to be combined with error correction.

However, we argue that there is a flip-side to this: if any nonuniversal system is close to a universal one, then also many universal ones are dangerously close to nonuniversal ones. Universality might be unstable or inefficient then. Indeed, it seems that nature is hesitant to explore highdimensional dynamics [3] and simple nonuniversal systems are often good approximations. Experimentalists working hard to engineer weak nonlinearities in quantum optics, weak anharmonicities in superconducting systems, or to avoid spectral crowding in solid-state systems are well aware of such limitations. Here, we put this intuition in a precise framework we call quantum distance to controllability and we show how it relates to a notoriously difficult to compute yet independently interesting quantity: the quantum speed limit [4–6]. It is worth pointing out that there are many different speed limits, some for the transformation of states, some for unitary transformation, some for uncontrolled dynamics, and some for controlled dynamics, see the review given in [4]. The one we focus on here is for the controlled evolution of a system. Intuitively speaking, the distance to uncontrollability

identifies and quantifies the *control bottleneck* of a quantum system.

But first let us put our result into context. Universality is also universal in (Kalman) linear control, a key subject in engineering with a wide range of applications ranging from mobile communications to space travel. The concept of distance to controllability was introduced in the linear setting [7,8] to quantify the smallest perturbation that would lead to an uncontrollable system. There is no speed limit in linear control when the controls are unbounded [9] and distance to controllability is used as a test of the numerical robustness of controllability.

In quantum mechanics, our results rely on the simple observation that if a controllable quantum system is close to an uncontrollable one, nature needs time to distinguish them. More precisely, for short time evolution, the corresponding unitaries will be close and only over longer times do the difference accumulate. This should provide us with a bound for the quantum speed limit. To make this precise we have to find a path-independent controllability criterion and solve a group theoretic worst-case scenario. We solve both using very recently developed techniques and insights on controllability [10,11].

The last of these is worth motivating independently. Let us imagine Bob wants to buy a quantum computer, but unfortunately the shop is out of stock of universal machines. However, he can choose among *any nonuniversal device*. Bob knows that his friend Alice will try to run the *hardest possible* algorithm on whichever machine he chooses. Which is the best machine to buy and by how much is his machine going to fail at running Alice's task? We give a dimension-independent bound to this question.

II. SETUP

We consider a control system given by a time-dependent Schrödinger equation

$$\frac{d}{dt}U(t) = iH(t)U(t),\tag{1}$$

where U and H are complex valued square matrices of size $d < \infty$ and $U(0) = \mathbb{1}$. First, for simplicity, we assume that H(t) decomposes into a drift and a single control as $H(t) = H_d + f(t)H_c$, with H_d , H_c self-adjoint and traceless and f(t) arbitrary piece-wise continuous. The extension to multiple controls and other classes of control pulses will be considered later. It is well known that the pair (H_d, H_c) is controllable if and only if the smallest real Lie algebra \mathfrak{g} that contains iH_d and iH_c and has maximal dimension dim $\mathfrak{g}(H_d, H_c) = d^2 - 1$. In this case, the corresponding group is SU(d), the unitaries with unit determinant. Equivalently, \mathfrak{g} is generated by iterated commutators and real linear combinations of iH_d and iH_c .

From now on we assume that (H_d, H_c) is such a controllable pair. In this case there is a minimal time $T_* > 0$ such that *all* unitaries U can be reached *exactly* at that time [12] (alternatively one can consider the minimum time such that all unitaries can be reached *up to* this time). We refer to this as the *control time* and note that not much is known about the dependence of the control time on the drift and controls. Interestingly, in linear control theory, controllable systems can, in principle, be controlled arbitrarily fast. In our case, however, it is clear that even for unbounded controls f(t) the evolution of the drift sets a "quantum speed limit" (we first consider pulses as "free resources" and later look at more general scenarios with additional constraints). The goal of the present study is to understand this limit better by finding the distance to uncontrollability.

More specifically, consider the smallest self-adjoint modification to the drift which renders the system not fully controllable (here referred to as "uncontrollable"):

$$\varepsilon_* = \inf\{\|\Delta H\| : \dim \mathfrak{g}(H_d + \Delta H, H_c) < d^2 - 1\}, \quad (2)$$

where $\Delta H = \Delta H^{\dagger}$ and $||A|| \equiv ||A||_{\infty}$ denotes the operator norm of A. Notice that $(0, H_c)$ is uncontrollable [dim $\mathfrak{g}(0, H_c) = 1$] and therefore $\varepsilon_* \leq ||H_d||$. If a system is uncontrollable, intuitively there is a least one "direction" in the dynamics missing. This will be made more precise later. Let us denote with \mathcal{R} the set of unitaries that *can* be reached in the not fully controllable system $(H_d + \Delta H, H_c)$. We are then interested in

$$\delta(\mathcal{R}) = \sup_{U \in \mathrm{SU}(d)} \inf_{V \in \mathcal{R}} \|U - V\|, \tag{3}$$

which describes the unitary that has the worst approximation within \mathcal{R} . For any uncontrollable system $(H_d + \Delta H, H_c)$ there is a U such that any evolution is at least at distance $\delta(\mathcal{R})$ to U:

$$\|\mathcal{T}e^{i\int_0^T (H_d + \Delta H + f(t)H_c)dt} - U\| \ge \delta(\mathcal{R})$$
(4)

for *any* time *T* and *any* control pulse f(t) on [0, T]. However, by the definition of T_* there is a control pulse *f* on the interval $[0, T_*]$ such that

$$\mathcal{T}e^{i\int_{0}^{t_{*}}[H_{d}+f(t)H_{c}]dt} = U.$$
(5)

A simple calculation [13,14] using the unitary invariance of the operator norm states that for this f(t) we have

$$\|\mathcal{T}e^{i\int_0^{t*}[H_d + \Delta H + f(t)H_c]dt} - U\| \leqslant T_*\|\Delta H\|.$$
(6)

Since this holds for any ΔH rendering the system uncontrollable we get $T_* \ge \delta(\mathcal{R})/\varepsilon_*$. This bound characterizes the speed limit in terms of a geometric term $\delta(\mathcal{R})$ and a dynamical one ϵ_* .

Note that $\delta(\mathcal{R})$ still depends on the geometry of specific control system and computing \mathcal{R} typically involves a Lie closure. For applications in quantum information theory \mathcal{R} can be exponentially large and therefore $\delta(\mathcal{R})$ is hard to obtain. Thus, it is interesting to introduce a universal bound

$$\delta_* = \inf_{\mathcal{R} \neq \mathrm{SU}(d)} \delta(\mathcal{R}),\tag{7}$$

which is independent of the details of the model. This allows us to obtain a nontrivial bound on the control time $T_* \ge \delta_* / \varepsilon_*$.

The next part of this paper is dedicated to finding explicit expressions on the geometric δ_* (which is only a function of the dimension *d* of Hilbert space; we, however, give a dimension-independent lower bound) and on the dynamical quantity $\varepsilon_* = \varepsilon_*(H_d, H_c)$. We will then look at examples.

III. UNDERSTANDING THE GEOMETRIC COMPONENT δ_*

The simplest case is when \mathcal{R} has a symmetry, that is, there is a nontrivial projection P such that $[\mathcal{R}, P] = 0$. In such a case, we may choose a target unitary U which moves a particular state $|\psi\rangle$ in the range of P to an orthogonal state in its kernel. Then, for all $V \in \mathcal{R}$, $||V - U|| \ge ||(V - U)|\psi\rangle|| = \sqrt{2}$ (the distance between two orthogonal states). As an example this provides the loose bound $T_* \ge \sqrt{2}/||H_d||$ which is an instance of [14]. In general, this approach does not work since there are uncontrollable systems without symmetry (see the first physical example below).

In the Appendix, we use recent results [10,15] that show uncontrollable systems always have nontrivial symmetries on a doubled system. This allows us to make an argument similar to the simple case above. However, in general, even fully controllable systems in the doubled space cannot move states in the range of such symmetries to their kernel and we need to use a group averaging argument to see how far we can move them at least. Both steps (doubling and averaging) cost us some constants in the bound, but we show in the Appendix that $\delta_* \ge 1/4$. This is remarkable because it is independent of the dimension and only a factor of 8 from the trivial bound $\delta_* \le 2$. In terms of the quantum speed limit, it means we can eliminate the geometric component δ_* at little cost and obtain the bound

$$T_* \geqslant 1/4\varepsilon_* \,. \tag{8}$$

IV. UNDERSTANDING THE DYNAMICAL COMPONENT ε_*

At first glance ε_* is daunting. One reason is the Lie criterion. As opposed to linear systems, where controllability can be checked by computing the rank of the controllability matrix with *fixed dependence* on the perturbation, here we compute

the rank of certain Lie polynomials which depend on the generators. While perturbing the system with ΔH can change this rank, this does not imply uncontrollability: it could just mean that one has to construct another set of polynomials. Fortunately, there is a recent, powerful alternative characterisation of controllability which circumvents this problem [10, Theorem 21]

$$\dim \mathfrak{g}(H_d + \Delta H, H_c) < d^2 - 1 \Leftrightarrow$$
$$\dim \left\{ (H_d + \Delta H)^{(2)}, H_c^{(2)} \right\}' > 2, \tag{9}$$

where $A^{(2)} \equiv A \otimes \mathbb{1} + \mathbb{1} \otimes A$ denotes the tensor symmetrization of a matrix *A* on the doubled space and $\{S\}'$ is the commutant of the set *S* (the vector space of matrices commuting with all elements of *S*). We can boil this down to something more standard by noting that *X* commutes with a matrix *B* if and only if $B^{(ad)} \operatorname{vec}(X) \equiv (B \otimes \mathbb{1} - \mathbb{1} \otimes B^T) \operatorname{vec}(X) = 0$, where we used row vectorization. The dimension of the commutant becomes equivalent to the nullity of the $2d^4 \times d^4$ matrix

$$R(H_d + \Delta H, H_c) \equiv \begin{pmatrix} \left(iH_d^{(2)} + \Delta H^{(2)}\right)^{(\mathrm{ad})} \\ \left(iH_c^{(2)}\right)^{(\mathrm{ad})} \end{pmatrix}.$$
 (10)

Finally, controllability is equivalent to this matrix having a rank of $d^4 - 2$ and ε_* can be defined by reducing this rank with a minimal Hermitian choice of ΔH :

$$\varepsilon_* = \inf\{\|\Delta H\| : \operatorname{rank} R(H_d + \Delta H, H_c) < d^4 - 2\}.$$
(11)

Having mapped Eq. (2) to a rank problem, we may use the vast literature on algorithms designed to approximate ϵ_* in the classical case. For recent computable bounds see [16], and in particular, for structured (including Hermitian) perturbations see [17]. Because the rank criterion (11) involves a structured optimisation not considered in the previous literature, we were only able to find *lower* bounds on ϵ_* with the classical algorithms. We therefore discuss two alternative approaches for the quantum case: one based on energy gaps, and one on graph theory.

A. Relating ϵ_* to the energy gap

There is an intricate relationship between symmetry and controllability [10,15,18]. Altafini [19] and Turinici [20] considered sufficient criteria based on the absence of certain degeneracies. Here we make the following simple observation. Assume the drift H_d has an *n*-fold degeneracy, e.g., there are orthogonal eigenstates $\{H_d | e_k \rangle = e | e_k \rangle, k =$ 1, ..., n}. Assume further that the control acts only nontrivially on a subspace \mathcal{H}_c , that is, $\mathcal{H} = \mathcal{H}_c \oplus \mathcal{H}_c^{\perp}$, and that \mathcal{H}_c is left invariant by the control. Then if dim $\mathcal{H}_c < n$, there is a symmetry in the control system, $\exists M = M^{\dagger} : [H_c, M] =$ $[H_d, M] = 0$, and $\delta_* \ge \sqrt{2}$. To see this, simply build linear combinations of degenerate eigenstates (of which there are enough) to get an eigenstate of H_d that has no support on \mathcal{H}_c . The corresponding projector will be such a symmetry, and by linearity and the Jacobi identity, it will commute with ${\mathcal R}$ and thereby render the system not fully controllable. To summarize: By looking at the block structure of the control(s), and the spectrum of the Hamiltonian, we can see how to make the system uncontrollable. In particular, when H_c is rank 1, we get $\epsilon_* < \min \Delta e_k$, the minimum gap of the energy eigenstates of H_d .

B. Relating ϵ_* to graph properties and min-cut

We bring $H_c = \sum_k |e_k\rangle \langle e_k|$ into a diagonal representation. If $H_0 + \Delta H$ is not a connected graph in this basis, the system is not controllable [19]: the system splits into a block structure with a corresponding symmetry and $\delta_* \ge \sqrt{2}$. To find such a perturbation efficiently, we can consider a graph with weights $|\langle e_k|H_0|e_j\rangle|$ and run the polynomial-sized Stoer-Wagner algorithm [21]. It provides the minimal ΔH in the $L_{1,1}$ norm, and thereby an interesting upper bound on ϵ_* . Before we consider examples, let us also generalize to multiple control functions that are possibly bounded.

V. BOUNDED AND MULTIPLE CONTROLS

Often, control amplitudes are bounded and in such a case we can compute a distance to uncontrollability also by perturbing the controls. We may consider a time-dependent Hamiltonian $H(t) = \sum_{j=1}^{M} g_j(t)\tilde{H}_j + \sum_{k=1}^{L} f_k(t)H_k$ with the first set of controls bounded as $|g_j(t)| < c$ and the remaining controls not bounded. This notation can also include a drift term by setting g(t) = 1. If now the generators $(\tilde{H}_1, \ldots, \tilde{H}_M, H_1, \ldots, H_L)$ are controllable, and the modified set $(\tilde{H}_1 + \Delta_1, \ldots, \tilde{H}_M + \Delta_M, H_1, \ldots, H_L), \|\Delta_j\| \leq \epsilon_*/M$ is uncontrollable, we can derive a similar bound as above. For U_1 generated by $\sum_{j=1}^{M} g_j(t)\tilde{H}_j + \sum_{k=1}^{L} f_k(t)H_k$ on $[0, T_*]$ we have $\|U_1 - U_2\| \leq \int_0^{T_*} \|g(t)\Delta\| \leq c\epsilon_*T_*$ and therefore $T_* \geq \delta_*/(c\epsilon_*)$. Having generalized to this more realistic scenario, we now look at four paradigmatic examples.

VI. PHYSICAL EXAMPLES

As a first and simple example consider two qubits with full local control and the drift $\delta Z \otimes Z$, where Z denotes a Pauli matrix. Even when uncontrollable, this system has no symmetry and we use $\delta_* \ge 1/4$. Our bound provides $T_* \ge 1/(4\delta)$ while the exact limit was shown to be [22] $T_* = \pi/(2\delta)$, which only differs from our bound by a constant factor.

A. Global controls

This is a commonly encountered situation in solid-state quantum technology. The system with drift $H_d = \sum Z_i \otimes Z_j$ and global controls $g_1(t) \sum \gamma_i X_i$ and $g_2(t) \sum \gamma_i Y_i$ is fully controllable as long as $|\gamma_i| \neq |\gamma_j|$ [23]. Therefore, $\epsilon_* \leq \Delta \gamma \equiv \min_{ij}(|\gamma_i| - |\gamma_j|)$. The corresponding quantum speed limit with bounded controls $g_i(t) \leq c$ is given by $T_* \geq \sqrt{2}/c\Delta\gamma$, which makes spectral crowding [24] rigorous.

B. Local controls

As our third example, we consider the nearest-neighbor control system given by $H_d = \sum_{n=1}^{d-1} |n\rangle \langle n + 1| + |n + 1\rangle \langle n|$ and $H_c = |1\rangle \langle 1|$ on a *d*-dimensional space with orthonormal basis $|n\rangle$. This is one of the gold standards [6,25] in quantum speed limits. The control is rank 1 so we can use the energy gap to bound the speed limit. The spectrum of the Hamiltonian is simply given by $e_k = 2\cos(\frac{k\pi}{d+1})$, k = 1, ..., d. The minimum gap can be upper bounded by $3\pi^2/d^2$ and this bound becomes tight as $d \to \infty$. Therefore, we can find a lower bound on the control time as $T_* \ge \sqrt{2}d^2/3\pi^2$. To our knowledge this is the first analytical proof that control times scale at least quadratically with the dimension in this system.

C. Cross-Kerr interaction with fixed particle number

Linear optics, both passive and active, is a premier platform of quantum information processing [26]. Thus, the problem of extension to universality of passive linear optics has gained a lot of attention in recently. In particular, it has been shown that adding a cross-Kerr interaction leads to universality [27,28]. This is of relevance in quantum metrology with random bosonic states [29].

Here we will perform our analysis of distance to controllability in such a platform, considering d qumodes with N photons. The Hamiltonians that we can implement are any linear optical gates with essentially arbitrary strength, and cross-Kerr gates between nearest-neighbor modes with a strength that is typically strongly bounded, i.e., we have a Hamiltonian of the form

$$H = \sum_{j=1}^{d-1} g_j(t) n_j n_{j+1} + \sum_{k \leq l}^d f_{k,l}(t) a_k^{\dagger} a_l + \text{H.c.}, \qquad (12)$$

where a_j^{\dagger} and a_j are the creation and annihilation operators and $n_j = a_j^{\dagger} a_j$ is the particle number operator corresponding to mode *j*. The control functions $f_{k,l}$ can be considered unbounded, while the control functions for the cross-Kerr interactions are bounded $g_j(t) \leq c$. We can now upper-bound the ϵ_* by choosing $\Delta = \sum_j n_j n_{j+1}$. One can easily show that $\|\Delta\| = \frac{N^2}{4}$. Thus we arrive at $T_* \geq 1/cN^2$ which shows us how gate times scale with the number of photons and the strength of the cross-Kerr interaction.

D. Scrambling

One way of interpreting uncontrollability in the context of scrambling is that an uncontrollable system is a bad 2-design. Equation (A4) of the Appendix shows, in fact, that any approximation to a 2-design by an uncontrollable system is at least at distance 1 from a perfect 2-design, independently of the details. This allows us to derive a quantum speed limit for scrambling based on the distance to uncontrollability: Suppose that a controllable system approximates a 2-design at time T_{scramble} with an error μ . A bound of the design based on Eq. (6) of the main text applied to the 2-design shows that the design approximation by the controllable and uncontrollable system can only differ by $4T_{\text{scramble}}\varepsilon_*$. On the other hand, because the controllable system is μ close to a 2-design, and the uncontrollable 1 far, the triangle inequality shows that the distance between the designs must be larger than $1 - \mu$. Combining the bounds gives the promised $T_{\text{scramble}} \ge (1-\mu)/4\varepsilon_*$ This bound also holds for higher designs.

VII. CONCLUSION

We introduced the quantum distance to uncontrollability as a quantitative measure of how good a control systems is and derived bounds on the quantum speed limit. These are provided in terms of a dynamical and geometric component. We obtained a dimension-independent bound for the geometric component and linked the computation of the dynamical part to energy gaps and to graph theory, which provides an easy route to estimating speed limits. We gave several physical examples from quantum technology. Maximizing the distance to the nearest uncontrollable system has also been used in the design of linear controlled systems [30] and it would be interesting to consider it in the design of quantum computers.

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APPENDIX

Here we prove that $\delta_* \ge 1/4$. First, let us derive some simple norm bounds. Note that we can bound norm differences on a doubled system as

$$\|U_{1} \otimes U_{1} - U_{2} \otimes U_{2}\|$$

$$= \|(U_{1} \otimes U_{1} - U_{1} \otimes U_{2}) + (U_{1} \otimes U_{2} - U_{2} \otimes U_{2})\|$$

$$\leqslant \|U_{1} - U_{2}\|\|U_{1}\| + \|U_{1} - U_{2}\|\|U_{2}\|$$

$$\leqslant 2\|U_{1} - U_{2}\|.$$
(A1)

Next, we can relate norm differences of unitaries to their action on states as follows.

$$\begin{split} \|V\rho V^{\dagger} - W\rho W^{\dagger}\|_{1} \\ &= \|\rho - V^{\dagger}W\rho (V^{\dagger}W)^{\dagger}\|_{1} \\ &= \|\rho - V^{\dagger}W\rho + V^{\dagger}W\rho - V^{\dagger}W\rho (V^{\dagger}W)^{\dagger}\|_{1} \\ &\leqslant \|\rho - V^{\dagger}W\rho\|_{1} + \|V^{\dagger}W\rho - V^{\dagger}W\rho (V^{\dagger}W)^{\dagger}\|_{1} \\ &= 2\|(1 - V^{\dagger}W)\rho\|_{1}. \end{split}$$
(A2)

Using Hölder's inequality, we get

$$\|V\rho V^{\dagger} - W\rho W^{\dagger}\|_{1} \leq 2\|\rho\|_{1}\|(1 - V^{\dagger}W)\|_{\infty} = 2\|V - W\|,$$
(A3)

where we dropped the ∞ subscript from the operator norm.

Now suppose $\mathcal{R} \neq SU(d)$. Then by compactness of SU(d), the closure $\overline{\mathcal{R}} \equiv G$ is a connected and *strict* subgroup of SU(d). By the above,

$$\delta(\mathcal{R}) = \sup_{U \in SU(d)} \inf_{V \in \mathcal{R}} \|V - U\|$$

$$\geq \sup_{U \in SU(d)} \inf_{V \in G} \|V - U\|$$

$$\geq \frac{1}{2} \sup_{U \in \mathrm{SU}(d)} \inf_{V \in G} \|V \otimes V - U \otimes U\|$$

$$\geq \frac{1}{4} \sup_{U \in \mathrm{SU}(d)} \inf_{V \in G} \|\mathrm{Ad}_{V \otimes V}(\rho) - \mathrm{Ad}_{U \otimes U}(\rho)\|_{1}, \quad (\mathrm{A4})$$

where ρ is an arbitrary state on the doubled system and $Ad_W(\rho) = W\rho W^{\dagger}$.

We will now use a representation theory argument laid out in [10,11,15] to construct a state ρ that is left invariant by $V \otimes V$. Consider $G^{\otimes 2} = \{g \otimes g | g \in G\}$. It is known that this representation of *G* has at least two invariant subspaces, the symmetric and the antisymmetric subspace. It also follows from [11, Theorem 6] that because $G \neq SU(d)$, then either the symmetric or the antisymmetric subspaces of $G^{\otimes 2}$ (or both) break into more than one irreps of *G*. Let us assume it happens in the symmetric space, with the other case treated analogously. Thus there is a projection *P*, such that for any $V \in G$, we have $(V \otimes V)P(V \otimes V)^{\dagger} = P$; and $PP_+ = P$, where P_+ is the projection to the symmetric space, and $P \neq P_+$. We can assume that the dimension (rank) of *P* is less than or equal to

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half of that of P_+ (otherwise consider its compliment in P_+). Since projections are positive, we can normalize them into a state $\rho = P/\dim P$ that will be a good choice for Eq. (A4).

Due to its invariance, the infinum in Eq. (A4) disappears and we obtain

$$\delta(\mathcal{R}) \ge \frac{1}{4} \sup_{U \in \mathrm{SU}(d)} \|\rho - (U \otimes U)\rho(U \otimes U)^{\dagger}\|_{1}.$$
(A5)

We now need to find a $U \in SU(d)$ which moves ρ far away. This would be a hard problem in general, but we can use Jensen's inequality and move to the average case, which is good enough

$$\delta(\mathcal{R}) \ge \frac{1}{4} \|\rho - \int_{SU(d)} (U \otimes U)\rho(U \otimes U)^{\dagger}\|_{1}$$
$$\ge \frac{1}{4} \|P/\dim P - P_{+}/\dim P_{+}\|_{1} \ge 1/4.$$
(A6)

In the second step, we used that SU(d) acts irreducibly in P_+ . In the last step, we went to a joint diagonal representation of P and P_+ and used the fact that dim $P \leq \dim P_+/2$ to explicitly bound the trace norm.

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