Temperature-controlled open-quantum-system dynamics using a time-dependent variational method

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The Dirac-Frenkel variational method with Davydov D_2 trial wavefunction is extended by introducing a thermalization algorithm and applied to simulate dynamics of a general open quantum system. The algorithm allows to control temperature variations of a harmonic finite-size bath when in contact with the quantum system. Thermalization of the bath vibrational modes is realized via stochastic scatterings, implemented as a discrete-time Bernoulli process with Poisson statistics. It controls bath temperature by steering vibrational modes' evolution towards their canonical thermal equilibrium. Numerical analysis of the exciton relaxation dynamics in a small molecular cluster reveals that thermalization additionally provides significant calculation speedup due to the reduced number of vibrational modes needed to obtain the convergence.

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I. INTRODUCTION

Obtaining dynamics of open quantum systems, i.e., quantum systems that are identified as separate from their environment yet remain in thermal contact with it, is one of the most general non-equilibrium statistical physics problem. Its applicability ranges from excited state relaxation in optical response [1,2], energy transport in molecular aggregates [3–8], photosynthetic complexes [9–12], to others [13–17]. Prevalent theoretical description is given in terms of a systembath model in constant-temperature bath conditions [18,19], where the *system* degrees of freedom are coupled to the bath-induced thermal fluctuations representing the environment, e.g., phonons or vibrational motion of surrounding molecules. Fluctuations are modeled by an infinite number of quantum harmonic oscillators constituting the *quantum bath* at thermal equilibrium.

These conditions can be fulfilled using the reduced density matrix approach [2,7]. Second order perturbation theory, with respect to the system-bath coupling, leads to the reduced equations of motion of the system-only variables, while the bath is averaged out. Then the system variables indirectly depend on the bath degrees of freedom via fluctuation correlation functions, which are well-behaved analytical functions. At the second perturbation order [7,18], equations of motion are reminiscent of the Pauli master equation with relaxation coefficients calculated with respect to the thermal equilibrium. However, now the resulting equations can lead to unphysical results, e.g., negative probabilities [20]. The more complicated fourth-order equations of motion include divergent parameters and are often avoided [21]. A nonperturbative, numerically exact approach of hierarchical equations of motion for the exponential fluctuation correlation functions is available to obtain the exact dynamics [22–24], and chain-mapping techniques together with the time-dependent density matrix renormalization group are alternatively possible for structured environments [25,26]. However, computational costs limit these methods to models with just few degrees of freedom. A well-known method of stochastic Schrödinger equation requires averaging over many entangled trajectories to obtain dynamics at finite temperature [27–32]. Its hierarchical realization [33] improves convergence; meanwhile, the thermofield dynamics approach tries to directly compute thermally averaged dynamics by mapping the initial thermal density matrix onto a fictitious bath vacuum state and then coupling the system to it [34–37]. Alternatively, dissipative dynamics can be obtained by straightforward addition of a linear friction coefficient to the model Hamiltonian [38]; however, it only applies at zero temperature. Yet, in all these cases, the thermal state of the nearest surrounding is not under control.

An important aspect of the bath, or more explicitly of the finite number of bath oscillators, is its heat capacity. For a single quantum harmonic oscillator the heat capacity in the limit of weak system-bath coupling is given by

$$c(\beta^{-1}) = (\beta\omega)^2 \frac{\exp(\beta\omega)}{[\exp(\beta\omega) - 1]^2},\tag{1}$$

where throughout the paper $\hbar = 1$, $\beta = (k_B T)^{-1}$ is an inverse temperature, and ω is the oscillator frequency. When the system exchanges energy with a bath made of such oscillators, its temperature may be affected. If the system-bath energy exchange is excessively large, the thermal energy can accumulate in the bath oscillators and this will effectively change the thermostat temperature [39]. In most cases, the bath heating effect is undesirable as, in the system-bath models, the bath is generally supposed to represent a constant-temperature thermostat.

On the other hand, the bath heating effect could be related to the natural phenomenon of molecular local heating [40,41]; i.e., if a molecule quickly dissipates a large amount

of thermal energy to its environment, e.g., due to excitonexciton annihilation [42–44] or ultrafast molecular internal conversion [45,46], the local heating of the molecule nearest the surrounding takes place and the further cooling process, the quantum thermalization [47,48], becomes an important ingredient to consider when describing the corresponding experiments.

In this work, we introduce the thermalization algorithm to the time-dependent variational theory that allows explicit control over the bath temperature. By varying the bath size and the thermalization rate, both the degree of bath heating and the cooling time can be adjusted. These properties allow to mimic realistic physical conditions, making the presented approach superior to the density-operator-based approaches, where the bath heating is excluded, and to the explicit bath models, where the bath temperature is not controlled.

II. FLUCTUATING EXCITON MODEL

We consider a molecular aggregate made of N coupled chromophores at specific sites. In the simplest case, the sites represent distinct molecules that can be electronically excited by, e.g., laser or sunlight irradiation in the visible spectral region. Vibrational normal modes of molecules and of the surrounding medium will be treated as the baths of harmonic oscillators. Each chromophore is directly affected only by its own intramolecular vibrations and of its closest environment; therefore, a separate and uncorrelated (local) manifold of vibrational modes $q=1,2,\ldots,Q$ is associated with each chromophore. Such a model is characterized by a Hamiltonian $\hat{H}=\hat{H}_{\rm S}+\hat{H}_{\rm B}+\hat{H}_{\rm SB}$, with system, bath, and system-bath-coupling terms being

$$\hat{H}_{S} = \sum_{n} \varepsilon_{n} \hat{a}_{n}^{\dagger} \hat{a}_{n} + \sum_{n,m}^{n \neq m} J_{nm} \hat{a}_{n}^{\dagger} \hat{a}_{m}, \tag{2}$$

$$\hat{H}_{\rm B} = \sum_{n,q} \omega_{nq} \hat{b}_{nq}^{\dagger} \hat{b}_{nq},\tag{3}$$

$$\hat{H}_{SB} = -\sum_{n} \hat{a}_{n}^{\dagger} \hat{a}_{n} \sum_{q} \omega_{nq} g_{nq} (\hat{b}_{nq}^{\dagger} + \hat{b}_{nq}). \tag{4}$$

Here ε_n denotes the nth chromophore electronic excitation energy, J_{nm} is the resonant coupling between nth and mth chromophores, and \hat{a}_n^{\dagger} and \hat{a}_n are the corresponding electronic excitation creation and annihilation bosonic operators. The frequency of the qth vibrational mode in the nth bath is ω_{nq} , the electron-vibrational coupling is characterized by g_{nq} , and \hat{b}_{nq}^{\dagger} and \hat{b}_{nq} are the creation and annihilation bosonic operators of the qth mode in the nth bath.

In the following we consider only a single electronic excitation in the aggregate. The time evolution of a nonequilibrium state is described by the Davydov D_2 wavefunction [49,50]

$$|\Psi_{D_2}\rangle = \sum_{n}^{N} \alpha_n(t) \hat{a}_n^{\dagger} \hat{a}_n |0\rangle_{el} \times \prod_{m,q}^{N,Q} |\lambda_{mq}(t)\rangle,$$
 (5)

where $\alpha_n(t)$ is the electronic excitation amplitude, and $|0\rangle_{\rm el} = \prod_n |0\rangle_n$ is the global ground state, when all sites are in their electronic ground states. $|\lambda_{mq}(t)\rangle$ is the coherent state of the

*q*th mode in the *m*th bath [51,52]. It is fully described by the time-dependent complex displacements, $\lambda_{mq}(t)$. The time-dependent Dirac-Frenkel variational method allows to obtain equations of motion for parameters α_n and λ_{mq} [3,6,53,54]:

$$\frac{d\alpha_n(t)}{dt} = -i\alpha_n(t)\varepsilon_n - i\sum_{m}^{m\neq n}\alpha_m(t)J_{nm} + i\alpha_n(t)\sum_{q}\omega_{nq}(2g_{nq} - h_q)\operatorname{Re}(\lambda_{nq}(t)), \quad (6)$$

$$\frac{d\lambda_{mq}(t)}{dt} = -i\omega_{mq}(\lambda_{mq}(t) - h_q(t)). \tag{7}$$

Here $h_q(t) = \sum_i^N g_{iq} |\alpha_i(t)|^2$ is the site population-weighted electron-vibrational coupling strength. The first line in Eq. (6) describes the dynamics of an isolated system. Accordingly, the first term on the right-hand side of Eq. (7) describes isolated oscillator. Other terms are due to the system-bath interaction.

Description of the model at a given temperature T requires creation of a statistical ensemble. This is achieved by Monte Carlo sampling over a statistical thermal ensemble, i.e., over initial bath oscillator displacements $\lambda_{mq}(0)$, sampled from the Glauber-Sudarshan probability distribution [55]

$$\mathcal{P}(\lambda_{mq}) = \mathcal{Z}^{-1} \exp(-|\lambda_{mq}|^2 [e^{\beta \omega_{mq}} - 1]). \tag{8}$$

The ensemble describes canonical statistics of quantum harmonic oscillators, which applies to our model prior to external perturbations. The ensemble-averaged quantities will be denoted by $\langle \cdots \rangle_{th}$. The ensemble of exciton trajectories allows to describe irreversible excitation energy relaxation. While the initial thermal state before excitation can be properly defined, the bath accepts energy during exciton relaxation and the state of the bath after relaxation steers away from equilibrium. Equations of motion guarantee energy conservation; hence the combined system-bath cannot thermalize. In order to thermalize the bath, we extend the original model by introducing the *secondary* bath (we will refer to the local baths as the *primary* baths). The effective heat capacity of the secondary bath is infinite; hence, the bath can be characterized by a constant temperature T_{∞} . The secondary bath will not be treated explicitly: modes of the primary baths interact with the secondary bath via stochastic scattering events, or quantum jumps [56,57], which affect the kinetic energy of primary bath modes.

The scattering statistics follows the Poisson distribution $P_{mq}(\theta,\tau) = \frac{1}{\theta!}(\tau \nu_{mq})^{\theta}e^{-\tau \nu_{mq}}$, which defines the probability of observing θ scattering events per time interval τ with individual event scattering rate ν_{mq} . Poisson statistics is obtained by simulating a discrete-time Bernoulli process [58,59] in a limit of $\tau \to 0$ and $\nu_{mq}\tau \ll 1$. This is realized in simulations by dividing the total evolution time t_{total} into equidistant length τ intervals. At the end of each interval, for each mode in the primary bath, we flip a biased coin with probability $\nu_{mq}\tau$ of landing "heads." If the coin lands heads, we shift the momentum of the mode $p_{mq}(kt) = \sqrt{2} \text{Im} \lambda_{mq}(kt)$ to a value drawn from the Glauber-Sudarshan distribution [see Eq. (8)], while the coordinate remains unchanged. Otherwise, if coin lands "tails," no changes are done. To obtain converged statistics,

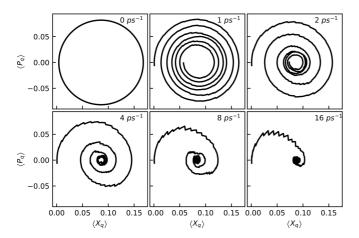


FIG. 1. Phase-space trajectory of one specific bath mode $\omega_q=100~{\rm cm}^{-1}$ for a single excited chromophore calculated with various scattering rates ν . The initial temperature of the primary bath is $T_1(0)=300~{\rm K}$ and the secondary bath is at a constant temperature $T_\infty=200~{\rm K}$. The scattering step size is $\tau=0.01~{\rm ps}$. Wiggles in dynamics are due to finite-size ensemble averaging (5000 trajectories).

we apply the thermalization algorithm to every trajectory of the thermal ensemble.

III. SIMULATION RESULTS

We first demonstrate control of the primary bath temperature of the simplest possible system, a single, N=1, chromophore unit. For demonstration we set up artificial conditions: the initial primary bath temperature is $T_1(0)=300$ K, and the secondary bath is at $T_{\infty}=200$ K. The primary bath consists of Q=750 vibrational modes with frequencies $\omega_q=\omega_0+(q-1)\Delta\omega$. An offset by $\omega_0=0.01$ cm⁻¹ is introduced for stability and a step size $\Delta\omega=1$ cm⁻¹. The coupling parameters g_{nq} follow the super-Ohmic spectral density function $C''(\omega)=\omega^s\exp{(-\omega/\omega_c)}$ with s=2 and $\omega_c=100$ cm⁻¹ [3,60]. The number of modes and discretization parameters are sufficient to obtain convergent model dynamics. For thermalization, we consider scattering rates of all modes to be equal, $\nu_{mq} \rightarrow \nu$, and the scattering step size is $\tau=0.01$ ps⁻¹. The thermal ensemble consists of 5000 trajectories.

In Fig. 1 the coordinate $\langle x_{1q} \rangle_{\rm th} = \langle \sqrt{2} {\rm Re} \lambda_{1q} \rangle_{\rm th}$ and momentum $\langle p_{1q} \rangle_{\rm th} = \langle \sqrt{2} {\rm Im} \lambda_{mq} \rangle_{\rm th}$ phase-space trajectory of a single 100 cm⁻¹ frequency vibrational mode, calculated with various scattering rates ν , is presented. The oscillator, in the absence of thermalization, evolves along a closed trajectory around $x_{1q}^{\rm min} = \sqrt{2}g_{1q}$. Applying the thermalization procedure, a dissipative-type trajectory is observed. The coordinate $\langle x_{1q} \rangle_{\rm th}$ equilibrates to $x_{1q}^{\rm min}$ (equilibrium is shifted from zero due to coupling with the system), while momentum $\langle p_{1q} \rangle_{\rm th}$ approaches zero. The thermalization time can be adjusted by changing the scattering rate, ν . Both weakly damped and overdamped regimes become available.

The transient temperature of the primary bath can be estimated [39] by computing the average kinetic energy $\langle K_{mq}(t,\epsilon)\rangle_{\text{th}}$ over the time interval ϵ . The parameter ϵ then implies the resolution. For the whole primary bath the trans

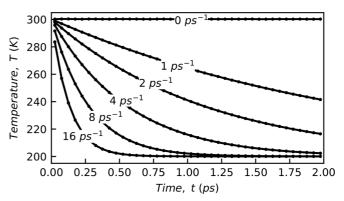


FIG. 2. The primary bath temperature $T_1(t)$ calculated with various scattering rates ν . The initial temperature of the primary bath is $T_1(0) = 300$ K and the secondary bath is at a constant temperature $T_{\infty} = 200$ K. The scattering step size is $\tau = 0.01$ ps.

sient temperature is then given by

$$T_m(t) = \frac{1}{k_{\rm B}Q} \sum_{q=1}^{Q} \omega_{mq} \ln \left(1 + \frac{\omega_{mq}}{2 \langle K_{mq}(t,\epsilon) \rangle_{\rm th}} \right)^{-1}. \quad (9)$$

In Fig. 2 we present the primary bath temperature calculated with $\epsilon=50$ fs and various scattering rates, ν . In the absence of thermalization, the primary bath temperature remains at the initial value of $T_1(0)=300$ K. Meanwhile, thermalization introduces cooling of the primary bath down to the temperature of the secondary bath. The scattering rate, ν , allows to control the thermalization time.

The temperature control and stability considerably affect the electronic excitation dynamics. To demonstrate the sensitivity of the excitation evolution to the thermalization, we consider a linear N=3 chromophore aggregate, with chromophore transition energies 0, 250, and 500 cm⁻¹, and nearest-neighbor coupling J = 100 cm⁻¹. Excited states of such chromophore aggregate are excitons [7,61]. They represent electronic excitations delocalized over several sites with time-dependent delocalization length [62]. Hence, we switch to the eigenstate basis (exciton representation, defined by $\hat{H}_{\rm S}\psi^{\rm (exc)} = \varepsilon\psi^{\rm (exc)}$): $\rho_e^{\rm (exc)}(t) =$ $\sum_{n,m} (\psi_{ne}^{(\text{exc})})^* \langle \alpha_n^*(t) \alpha_m(t) \rangle_{th} \psi_{me}^{(\text{exc})}$. The initial electronic state corresponds to the optically excited highest-energy exciton eigenstate. The parameters of the primary baths of chromophores are the same as above; however, now the initial primary bath temperature and the secondary bath temperature are the same: $T_m(0) = T_{\infty} = 77$ K. The thermal ensemble consists of 240 trajectories. In Fig. 3 we present exciton state populations $\rho_e^{\rm exc}(t)$ and the primary bath temperatures $T_m(t)$ calculated in (i) the *dense* primary bath discretization regime without thermalization (the bath discretization step size is $\Delta \omega = 1 \text{ cm}^{-1}$, Q = 750 vibrational modes per site, (ii) the sparse discretization regime without thermalization $(\Delta \omega = 50 \text{ cm}^{-1}, Q = 15)$, and (iii) the sparse discretized bath with thermalization ($\nu = 2.5 \text{ ps}^{-1}$).

Consider the excitation dynamics without thermalization. In models (i) and (ii) exciton populations sequentially relax to lower-energy exciton states, eventually reaching the lowest energy state [63–65]. The final population distribution in

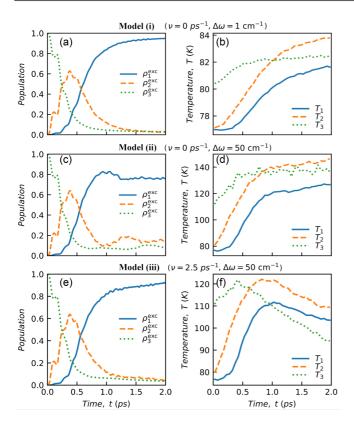


FIG. 3. Multisite bath model exciton state populations $\rho_n^{\rm exc}(t)$ and local bath temperatures $T_m(t)$ calculated in model (i), the *dense* primary bath discretization regime without thermalization, in model (ii), the *sparse* discretization regime without thermalization, and in model (iii), the sparsely discretized bath with thermalization ($\nu = 2.5 \, \mathrm{ps}^{-1}$).

the sparse regime, model (ii), significantly differs from the dense case. The origin of the discrepancy is twofold: the bath recursion time $t_{\rm rec} = 2\pi/\Delta\omega$ for model (ii) is shorter than the calculation time $t_{\rm rec} < t_{\rm total}$, and the sparse primary bath shows significant growth of the bath temperature [compare Figs. 3(b) and 3(d)]. Both of these drawbacks are addressed by introducing the bath thermalization in model (iii). Looking at Fig. 3(e), we see that the exciton population dynamics and steady-state values for model (iii) become quantitatively comparable to the case of model (i).

IV. DISCUSSION

A single quantum harmonic oscillator is characterized by a specific heat $c(\beta^{-1}) < k_{\rm B}$, which depends on temperature as given by Eq. (1). For a given set of bath oscillators the specific heat at a given temperature can be estimated; however, the harmonic oscillators of the bath as defined by Eq. (3) do not exchange energy. Accordingly, as the system relaxes, only a few in-resonant oscillators accept the energy and diverge

away from equilibrium [66]. Hence, the temperature at which excitation dynamics occurs no longer matches the initial bath temperature; local heating takes place.

A straightforward approach to avoid heating is to increase the bath density of states until the dynamics of interest converges (in our model, this is achieved by increasing the number of bath oscillators). However, this is acceptable only for small systems, since computation effort scales quadratically with both number of sites and bath oscillators. Thermalization can be utilized to steer the bath to the required temperature. An additional merit of thermalization is the significant reduction of the number of vibrational modes needed per bath. Our simulations show convergence with just 15 modes per bath while maintaining comparable exciton relaxation dynamics (Fig. 3).

In an effort to reduce the computational effort, Wang et al. [67] used a logarithmic bath discretization. However, high-frequency representation of the continuous spectral density becomes poor. Our model is in line with the explicit surrogate Hamiltonian [68] and its stochastic realization [69–71]; while our approach does not require the explicit modeling of the secondary bath, it still maintains proper quantum dynamics in the system.

The time-dependent variational approach with the Davydov D_2 *Ansatz* can be improved by considering more complex Davydov *Ansätze* family members, e.g., multitude of D_1 *Ansatz* (multi- D_1) and multi- D_2 [53,67,72,73] or its Born-Oppenheimer approximated variant [74], sD_2 . Either way, they all suffer from finite bath heating capacity, in most cases, even stronger than the D_2 *Ansatz*, because of the significantly increased computational effort needed to propagate numerous bath oscillators. Work is in progress on adapting the presented thermalization algorithm to these more intricate *Ansätze*.

In conclusion, we present a system-bath model with stochastic bath thermalization using the time-dependent variational approach with Davydov D_2 *Ansatz*. Thermalization allows to steer the bath vibrational mode evolution towards an equilibrium thermal state of selected temperature in a controlled way, and at the same time for the bath to still maintain an aspect of being coupled to the system. In addition, by analyzing exciton relaxation dynamics of a chromophore aggregate with thermalization, we found the exciton dynamics to converge with a much smaller number of bath modes, significantly speeding up numerical computation.

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