

Natural orbitals in multiconfiguration calculations of hyperfine-structure parametersSacha Schiffmann *Spectroscopy, Quantum Chemistry, and Atmospheric Remote Sensing, Université libre de Bruxelles, CP160/09, 1050 Brussels, Belgium and Division of Mathematical Physics, Department of Physics, Lund University, SE-22100 Lund, Sweden*Michel Godefroid **Spectroscopy, Quantum Chemistry, and Atmospheric Remote Sensing, Université libre de Bruxelles, CP160/09, 1050 Brussels, Belgium*

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We are reinvestigating the hyperfine structure of sodium using a fully relativistic multiconfiguration approach. In the fully relativistic approach, the computational strategy somewhat differs from the original nonrelativistic counterpart used by P. Jönsson *et al.*, *Phys. Rev. A* **53**, 4021 (1996). Numerical instabilities force us to use a layer-by-layer approach that has some broad unexpected effects. Core correlation is found to be significant and therefore should be described in an adequate orbital basis. The natural-orbital basis provides an interesting alternative to the orbital basis from the layer-by-layer approach, allowing us to overcome some deficits of the latter, giving rise to magnetic dipole hyperfine structure constant values, in excellent agreement with observations. Effort is made to assess the reliability of the natural-orbital bases and to illustrate their efficiency.

DOI: [10.1103/PhysRevA.101.062510](https://doi.org/10.1103/PhysRevA.101.062510)**I. INTRODUCTION**

The hyperfine structure of neutral sodium was investigated by Jönsson *et al.* [1] using the nonrelativistic multiconfiguration Hartree-Fock (MCHF) approach [2]. Large-scale calculations were performed to estimate not only the hyperfine structure constants of the $[\text{Ne}]3s\ ^2S$ and $[\text{Ne}]3p\ ^2P^\circ$ terms, but also the transition probability of the $3s\ ^2S/3p\ ^2P^\circ$ resonance transition. Their calculations resolved a long-standing discrepancy between theory and experiment of the transition probability and provided accurate values for the hyperfine structure constants. Their optimization strategy consisted of the simultaneous variation of all correlation orbitals together with the spectroscopic $3s/3p$ orbital for the $3s\ ^2S/3p\ ^2P^\circ$ state. The simultaneous optimization of all correlation orbitals together with the spectroscopic valence orbitals, which we refer to as the “full variational” (FV) approach, has been widely used in nonrelativistic calculations [3–5]. Because of numerical convergence issues, the relativistic counterpart of the MCHF method, the multiconfiguration Dirac-Hartree-Fock (MCDHF) method, employs almost exclusively a layer-by-layer (LBL) strategy [6,7] in which only the newly introduced orbitals for the layer considered are optimized while the remaining ones are kept frozen. In this context, a layer is a set of new orbitals to be optimized consisting of one orbital per

angular momentum symmetry. To first order, the total energy should converge toward the same limit in the two optimization schemes, if considering orbital active spaces that are large enough in the single- and double-excitation processes. The LBL approach is attractive as the computation time for each new layer is much shorter than the corresponding computation time of the FV approach. The price to pay for the LBL strategy is a larger active set of correlation orbitals to compensate for the lost degrees of freedom in the variational process relatively to the FV method.

As a complement to Ref. [1], we performed nonrelativistic calculations of the hyperfine structure constant $A_{1/2}$ of the sodium ground state using the Atomic Structure Package (ATSP) [8], keeping the same correlation model as in Ref. [1] for describing the core-valence electron correlation, but adopting the LBL approach instead of the FV approach. In agreement with its lower variational flexibility, the layer-by-layer approach requires additional orbital layer(s) to reach convergence of the hyperfine constant and to reproduce the original result. To improve the correlation model, core-core (CC) correlation is added in the last step through configuration interaction (CI) calculations. The comparison of the final values reveals a surprisingly large difference (≈ 23 MHz) between the two approaches, suggesting that the magnetic dipole hyperfine constant is considerably underestimated in the LBL approach.

The MCDHF method as implemented in the GRASP2K and GRASP2018 packages [9,10] often fails in optimizing

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all orbitals simultaneously, forcing the user to adopt the layer-by-layer optimization strategy. The *a priori* unexpected discrepancy between the LBL and FV $A_{1/2}$ values observed in the nonrelativistic framework is therefore disturbing and raises the relevant question of reliability of the final LBL relativistic hyperfine structure value, the only one that can be estimated so far with the MCDHF codes. In the present paper, we investigate the use of different orbital bases in hyperfine structure calculations in both the nonrelativistic and relativistic frameworks and evaluate the usefulness and reliability of natural orbitals (NO).

The relevant nonrelativistic theoretical background can be found in Ref. [1]. Sections II and III briefly describe respectively the MCDHF and the hyperfine structure theories. Natural orbitals are introduced in Sec. IV. Section V presents their application to the sodium ground-state hyperfine structure while Sec. VI extends the analysis to sodium-like ions' ground state and sodium excited states.

II. THE MCDHF THEORY

In the multiconfiguration Dirac-Hartree-Fock (MCDHF) method [11], an atomic state (ASF) of total angular momentum J and parity π is expanded over configuration state functions (CSFs) as

$$\Psi(\Gamma J \pi) = \sum_{j=1}^{N_{\text{CSF}}} c_j \Phi(\gamma_j J \pi), \quad (1)$$

where γ_j specifies the angular coupling tree of the j th CSF. CSFs are themselves built as sums of antisymmetric products of one-electron Dirac spinors

$$\phi_{n\kappa m}(\mathbf{r}, \sigma) = \frac{1}{r} \begin{pmatrix} P_{n\kappa}(r) \chi_{\kappa m}(\theta, \phi) \\ i Q_{n\kappa}(r) \chi_{-\kappa m}(\theta, \phi) \end{pmatrix}, \quad (2)$$

which satisfy the following normalization condition: $\int_0^\infty \{[P_{n\kappa}(r)]^2 + [Q_{n\kappa}(r)]^2\} dr = 1$. The $P_{n\kappa}(r)$ and $Q_{n\kappa}(r)$ radial orbitals, defined on an exponential grid, are determined by iteratively solving coupled integrodifferential equations derived by applying the variational principle to the energy functional based on the N -electron Dirac-Coulomb Hamiltonian

$$H_{\text{DC}} = \sum_{j=1}^N [c \boldsymbol{\alpha}_j \cdot \mathbf{p}_j + (\beta_j - 1)c^2 + V_{\text{nuc}}(r_j)] + \sum_{i>j=1}^N \frac{1}{r_{ji}}. \quad (3)$$

The orthogonality constraints $\langle \phi_{n\kappa m} | \phi_{n'\kappa' m'} \rangle = \delta_{nn'} \delta_{\kappa\kappa'} \delta_{mm'}$ are introduced in the functional through appropriate Lagrange parameters [12]. For a given set of one-electron orbitals, the c_j mixing coefficients of Eq. (1) are normalized solutions of the secular equations. The MCDHF method iteratively computes the radial orbitals and the mixing coefficients until self-consistency.

As a last step, the MCDHF method is usually followed by relativistic configuration interaction (CI) calculations to include higher order excitations and/or additional interactions in the Hamiltonian such as the long-wavelength-

approximation Breit interaction

$$H_{\text{Breit}} = - \sum_{i>j=1}^N \frac{1}{2r_{ji}} \left[\boldsymbol{\alpha}_j \cdot \boldsymbol{\alpha}_i + \frac{(\boldsymbol{\alpha}_j \cdot \mathbf{r}_{ji})(\boldsymbol{\alpha}_i \cdot \mathbf{r}_{ji})}{r_{ji}^2} \right] \quad (4)$$

or QED corrections [12,13].

III. HYPERFINE STRUCTURE THEORY

The hyperfine structure results from the noncentral interaction between the electromagnetic multipole moments of the nucleus and the electron cloud. The hyperfine structure Hamiltonian is a sum over multipole moments

$$H_{\text{hfs}} = \sum_{k=1}^{\infty} \mathbf{T}^{(k)} \cdot \mathbf{M}^{(k)}, \quad (5)$$

where $\mathbf{T}^{(k)}$ and $\mathbf{M}^{(k)}$ are electronic and nuclear tensorial operators of rank k , respectively. The coupling of the nuclear and atomic electromagnetic properties leads us to combine the total electronic angular momentum \mathbf{J} and the total nuclear spin \mathbf{I} in a total angular momentum $\mathbf{F} = \mathbf{J} + \mathbf{I}$ [14].

In our calculations, the multipole expansion of Eq. (5) is truncated to its two lowest rank terms, describing, respectively, the magnetic dipole interaction ($k = 1$) and the electric quadrupole interaction ($k = 2$). The corresponding electronic tensors are defined as

$$\mathbf{T}^{(1)} = -i\alpha \sum_{i=1}^N [\boldsymbol{\alpha}_i \cdot \mathbf{l}_i C^{(1)}(i)] r_i^{-2} \quad (6)$$

and

$$\mathbf{T}^{(2)} = - \sum_{i=1}^N C^{(2)}(i) r_i^{-3}. \quad (7)$$

The energy splitting induced by the hyperfine interaction is commonly expressed in term of the hyperfine magnetic dipole constant A_J and the hyperfine electric quadrupole constant B_J [2], which are defined by the relations

$$A_J = \frac{\mu_I}{I} \frac{1}{[J(J+1)(2J+1)]^{1/2}} \langle \gamma_J J \pi || \mathbf{T}^{(1)} || \gamma_J J \pi \rangle \quad (8)$$

and

$$B_J = 2Q \left[\frac{J(2J-1)}{(J+1)(2J+1)(2J+3)} \right]^{1/2} \langle \gamma_J J \pi || \mathbf{T}^{(2)} || \gamma_J J \pi \rangle. \quad (9)$$

The magnetic dipole moment μ_I results from the nuclear reduced matrix element $\langle I || \mathbf{M}^{(1)} || I \rangle$ while the electric quadrupole moment Q results from $\langle I || \mathbf{M}^{(2)} || I \rangle$. Both nuclear moments are experimentally known quantities [15].

IV. CANONICAL AND NATURAL ORBITALS

A. On the use of natural orbitals in quantum chemistry

In their pioneer work, Kutzelnigg *et al.* [16,17] investigated the direct determination of natural orbitals and natural expansion coefficients of many-electron wave functions. NOs have been introduced in modern methods and algorithms in quantum chemistry for the development of *ab initio* methods for electron correlation in molecules [18,19]. Although they

are known for generating compact CI wave functions of high quality, as discussed by Bytautas *et al.* [20], the question of their usefulness for generating an efficient expansion of the wave function is still open and is the subject of recent investigations by Giesbertz [21]. In the framework of density-functional theory (DFT), natural (spin-)orbitals are explored to describe the motion of individual electrons in small molecular systems and to develop a method for correlated electronic structure calculation [22].

More in line with the present work, Engels *et al.* [23] observed that improved isotropic hyperfine coupling constants of radicals can be obtained if natural orbitals are used instead of molecular orbitals, thanks to the increased compactness of the wave function.

B. On the use of natural orbitals in atomic physics

The use of natural orbitals (and approximate Brueckner orbitals) has been investigated by Lindgren *et al.* [24] in their study of the hyperfine interaction in alkali atoms in many-body perturbation calculations. They found that when these orbitals are used to evaluate the polarization and lowest-order correlation effects, some important higher order effects are automatically included. They also observed that for the alkali atoms, the modification of the HF orbitals toward Brueckner or natural orbitals affects considerably the valence orbital, pulling the electron closer to the nucleus, increasing the hyperfine interaction. Brueckner orbitals were later used on Ca^+ and Ca hyperfine structures by Mårtensson-Pendrill *et al.* [25] and Salomonson [26], following the work of Lindgren *et al.* [24].

Natural orbitals have never been used for optimization strategies in multiconfiguration variational calculations of atomic properties to the knowledge of the authors except for studies of states of two-electron systems, where the expansion is also referred to as the “reduced form.” The latter, applied to pair-correlation functions, leads to nonorthogonal orbital sets [27,28].

The electron density and natural orbitals [29] of nonrelativistic multiconfiguration expansions can be computed using the DENSITY program [30] designed for (ATSP2K) program package. The approach of the DENSITY program has been extended to the relativistic framework [31]. Introducing, for each κ , the density matrix

$$\rho^\kappa = \rho_{nn'}^\kappa, \quad (10)$$

with elements

$$\rho_{nn'}^\kappa = \sum_{ij} c_i v_{nn'\kappa}^{ij} c_j, \quad (11)$$

where $v_{nn'\kappa}^{i,j}$ are angular coefficients, the computation of which are detailed in Ref. [28], the natural orbitals $\tilde{\phi}^\kappa = \tilde{\phi}_{n\kappa}$ are obtained from the eigenvectors U^κ of the density matrix

$$U^\dagger \rho U = \tilde{\rho}, \quad (12)$$

$$\tilde{\phi} = \phi U. \quad (13)$$

Written explicitly, the large and small radial functions of the natural orbitals are, respectively,

$$\tilde{P}_{n'\kappa}(r) = \sum_n u_{nn'}^\kappa P_{n\kappa}(r), \quad (14)$$

$$\tilde{Q}_{n'\kappa}(r) = \sum_n u_{nn'}^\kappa Q_{n\kappa}(r). \quad (15)$$

The eigenvalues of the density matrix can be interpreted as the occupation numbers $\eta_{n'}^\kappa$ of the NOs with

$$0 \leq \eta_{n'}^\kappa \leq 1, \quad \sum_\kappa \sum_{n'} \eta_{n'}^\kappa = N. \quad (16)$$

C. Rotations in complete active spaces toward reduced forms

1. Nonuniqueness of the wave functions

The ASF (1) is expanded over the CSFs belonging to an active space. The active space is built by defining an active set (AS) of orbitals and generation rules to generate CSFs. If all possible CSFs are generated, then the active space is *complete* [2]. The size of complete active spaces (CASs) grows rapidly with the number of electrons. Considering the ground state of sodium, an active set of orbitals may include all spectroscopic $\{1s, 2s, 2p_-, 2p, 3s\}$ orbitals and correlation orbitals up to a given principal maximum number, n , with *a priori* all angular momenta $l \leq n - 1$. An active set of orbitals is defined as the set of all orbitals from which and to which electron substitutions are allowed. In this work, the active set of orbital is denoted nl . If the l value is not specified, then it corresponds to its maximum value $l_{\max} = n - 1$. The reference spectroscopic orbitals are often split into core orbitals and valence orbitals. The former are the innermost closed shells, e.g., $\{1s, 2s, 2p_-, 2p\}$ for sodium, while the latter correspond the outermost orbitals, e.g., $\{3s\}$ for sodium. The generation of all possible CSFs would require to allow up to 11 simultaneous substitutions from the reference orbitals to the AS. The smallest CAS built on the $n = 3$ active set already generates more than 100 000 CSFs and the CAS $n = 4$ generated more than 750 000 000 CSFs.

When the active space is complete, then the total wave function supports rotations among orbitals of the same κ symmetry within the active set, i.e., transforms $\Psi(\Gamma J \pi)$ to itself, with different mixing coefficients. Applying any unitary transformation to the radial part of the orbitals leaves the total wave function invariant, and therefore the total energy or any other observable. The nonuniqueness of the wave function is discussed in greater details in Ref. [2] in the nonrelativistic framework. If the active space is complete, the equivalent reduced form of the two-electron pair wave function is often selected to enhance the convergence [28]. The corresponding orbitals are precisely the natural orbitals.

2. Reduced form of the MCDHF Ca expansion

When discussing the invariance of the total wave function under orbital rotations, completeness for the whole many-electron system is not required. Completeness for a subspace is enough. The $[\text{Ar}]4s^2 \ ^1S_0$ calcium ground state can be used as an example. The set of single and double (SD) excitations from the $4s^2$ pair to a virtual orbitals set make up the VV active space. The VV active space is complete and can be

TABLE I. The mixing coefficients of the first 20 CSFs of the Ca $4s^2 \ ^1S_0$ ground-state expansion are given in the LBL and NO orbital bases. Note how in the NO orbital basis the weight is concentrated to CSFs resulting from double excitations to the same orbital.

CSFs	LBL	NO
$3d^2$	-0.04020926	-0.04021725
$3d^2_-$	-0.03245460	-0.03246118
$3d4d$	-0.00031844	-0.00000002
$3d5d$	0.00070691	-0.00000004
$3d6d$	0.00011109	-0.00000000
$3d7d$	0.00009347	-0.00000005
$3d_4d_$	-0.00025446	-0.00000003
$3d_5d_$	0.00057827	-0.00000007
$3d_6d_$	0.00008856	0.00000001
$3d_7d_$	0.00007677	-0.00000010
$4s^2$	0.95883438	0.95946635
$4s5s$	-0.02923057	-0.00000006
$4s6s$	-0.01969643	-0.00000008
$4s7s$	-0.00071432	-0.00000014
$4p^2$	0.22286640	0.22290319
$4p^2_-$	0.15940321	0.15942915
$4p5p$	0.00273900	-0.00000015
$4p6p$	-0.00286431	0.00000023
$4p7p$	-0.00058552	0.00000048
$4p_5p_$	0.00194042	-0.00000004
\vdots	\vdots	\vdots
$7f^2_-$	-0.00018046	-0.00018168

used to illustrate the feature and interest of the NO basis. In the present approach, the $[\text{Ar}]4p^2 \ ^1S_0$ and $[\text{Ar}]3d^2 \ ^1S_0$ configurations are added to the reference $4s^2 \ ^1S_0$ to form a multireference (MR) set. Other choices of MR sets could be done on the basis of some refined analysis of the eigenvector composition such as in Refs. [4,32] but this discussion is beyond the scope of the present paper. In a layer-by-layer (LBL) optimization scheme based on valence-valence correlation (VV), the CSF active space is progressively increased by allowing all single and double excitations (SD) from the $\{3d_-, 3d, 4s, 4p_-, 4p\}$ valence orbital set to the $7f$ active set, containing seven s orbitals, six p/p_- orbitals, five d/d_- orbitals, and four f/f_- orbitals. The VV active space is complete and therefore supports any transformation, including the one producing the natural orbitals. In Table I are reported the mixing coefficients of the first few CSFs of the ASF expansion in both LBL and NO bases. The mixing coefficients of all CSFs of the form $n\kappa n'\kappa$ with $n \neq n'$ approach zero within the numerical accuracy in the natural-orbital basis, bringing the ASF in its reduced form as expected for a single pair-correlation function [27,28]. Note that the leading configurations, such as $3d^2 \ ^1S_0$, $4s^2 \ ^1S_0$, or $4p^2 \ ^1S_0$, gain weight by the transformation to the natural orbitals. This observation suggests the use of natural orbitals for getting a compact multireference VV wave function based on a set of CSFs with larger mixing coefficients. These results follow closely the work on neutral beryllium of Borgoo *et al.* [30] in nonrelativistic MCHF calculations.

3. Superiority of NO in nonequivalent orbital bases

The previous section presented the reduced form of the calcium ground state for VV correlation. We should emphasize here that the wave function is left unchanged by the transformation to the natural orbitals and so is the total binding energy or any observable $\langle \hat{O} \rangle_\psi$. The two representations (LBL and NO) are therefore equivalent. Strengthened by this experience, another set of rotations may be applied to the LBL basis. These rotations are arbitrary chosen to highly mixed pairs of orbitals of the same symmetry. For each such pairs, $(4s, 5s)$; $(6s, 7s)$; $(4p_-, 5p_-)$; $(3d, 4d)$; \dots , maximal rotations with coefficients $(\pm 1/\sqrt{2}, 1/\sqrt{2})$ are selected. The result is a strong rearrangement of the radial orbitals in all symmetries. Since the active space is complete, the energy and the wave function remain unchanged by the transformations. These three bases, LBL, NO, and ROT, are therefore strictly equivalent. They can then be used in more extensive CI calculations including e.g., core-valence (CV) and core-core (CC) correlation. The VV + CV+CC active space is generated by allowing all SD excitations from the $\{3s, 3p_-, 3p, 3d_-, 3d, 4s, 4p_-, 4p\}$ orbitals to the $7f$ active set. The introduction of core and core-valence correlation breaks the completeness of the active space. The extended CSF expansion beyond valence excitations does not support rotations anymore, and the resulting computed energies differ in each basis. As anticipated, the complete VV active space shows no energy difference between the orbital bases. When considering the VV + CV+CC active space, the NO orbital basis provides the lowest estimation of the total energy by 67 cm^{-1} with respect to the original LBL value, while the rotated (ROT) orbital basis gives a disastrous result, with far too high energy by around $27\,500 \text{ cm}^{-1}$. This sequence, $E_{\text{NO}} < E_{\text{LBL}} < E_{\text{ROT}}$, indicates that these orbital bases are nonequivalent. The NO basis leading to the lower total binding energy might be optimal. Other criteria than the total energy can be used to differentiate the orbital bases. Among them, the mean radius of the spectroscopic valence orbital and its associated generalized occupation number provide valuable information. The mean radii, $\langle r_{4s} \rangle_{\text{LBL}} = 4.20207$, $\langle r_{4s} \rangle_{\text{NO}} = 4.13432$ and $\langle r_{4s} \rangle_{\text{ROT}} = 6.33763$, and the corresponding generalized occupation numbers, $\eta_{\text{LBL}}^{4s} = 1.83997$, $\eta_{\text{NO}}^{4s} = 1.84115$ and $\eta_{\text{ROT}}^{4s} = 0.883646$, point toward the same direction, i.e., the $4s$ natural orbital is the most contracted one and has its η^{4s} closer to the expected $\eta^{4s} = 2$ for the $[\text{Ar}]4s^2 \ ^1S_0$ pure configuration. We could therefore argue that the NOs are better suited to core-valence and core-core correlation, which explains the lower energy value. The larger generalized occupation number enhances the weight of the dominant CSF. Finally, one should notice that if the VV + CV+CC active space was complete, i.e., would allow simultaneously up to 10 substitutions from the $\{3s, 3p_-, 3p, 3d_-, 3d, 4s, 4p_-, 4p\}$ orbitals, the invariance of the total wave function would be preserved and the computed energies would be identical. In the present case, we started with a complete active space for VV correlation, leading to equivalent orbital bases. This equivalence is then spoiled by the introduction of core excitations that make the expansion incomplete. To illustrate the impact of missing CSFs that prevent the invariance property under orbital rotations, a simpler case is considered in the Appendix in

which an incomplete active space is progressively enriched until completeness is reached.

V. HYPERFINE STRUCTURE OF THE SODIUM GROUND STATE

The $1s^2 2s^2 2p^6 3s^2 S_{1/2}$ ground state of neutral sodium (^{23}Na) is investigated using the full relativistic MCDHF method. Two different orbital bases are optimized and compared. They both span the same active space since their active set of orbitals (AS) are identical; i.e., the number of available orbitals per κ -symmetry is the same.

A. LBL orbital basis

The first orbital basis, labeled LBL, is optimized in three steps following a layer-by-layer scheme:

(i) The reference orbitals are determined by solving the N -electron Dirac-Hartree-Fock (DHF) equations. The $1s$, $2s$, $2p_-$, and $2p_+$ orbitals are then frozen to their DHF solution.

(ii) Seven layers of correlation orbitals are optimized based on a core-valence active space generated by allowing single and restricted double (SrD) substitutions from the DHF configuration (at most one excitation from the $1s^2 2s^2 2p^6$ core). The largest core-valence active set is represented by its maximum principal quantum number $n = 9$ and maximum angular quantum number l , corresponding to $9h$. The first correlation layer optimizes together all $n = 3$ orbitals, including the spectroscopic $3s$ orbital which is therefore no longer solution to the DHF equations.

(iii) Two additional layers of correlation orbitals are optimized based on a core-valence plus core-core active space generated by allowing all SD substitutions from the DHF configuration. The largest active set is $11h$ and corresponds to the final LBL orbital basis set.

B. Natural-orbital basis

The natural-orbital basis requires the prior knowledge of the LBL orbital basis and is computed in two steps as follows:

(i) The density matrix based on the mixing coefficients of the ASF expansion in the LBL representation is evaluated and diagonalized for the $9h$ CV active space according to Eq. (12). Its eigenvectors (13) provide the required coefficients to build the natural orbitals by linear combinations of the LBL orbitals.

(ii) As in step (iii) for generating the LBL basis, two additional layers of correlation orbitals are optimized based on a CV + CC active space generated by allowing all SD substitutions from the DHF configuration. The orbitals with $1 \leq n \leq 9$ are the natural orbitals resulting from the transformation described in the previous step (i) while orbitals with $n \geq 10$ are not transformed to NOs.

The transformation to the natural orbitals leads to a radial reorganization of the LBL orbitals within each κ symmetry. Table II shows the vector compositions of each g_-^{NO} orbitals in the LBL basis. The $5g_-^{\text{NO}}$, $8g_-^{\text{NO}}$, and $9g_-^{\text{NO}}$ are all largely dominated (more than 85%) by the original $5g_-$, $8g_-$, and $9g_-$, respectively. The other two g_- orbitals are highly mixed and reveal a change in their dominant character ($6g_- \rightleftharpoons 7g_-$). The principal quantum number of each natural orbital is

TABLE II. Vector compositions of the natural orbitals in the LBL orbital basis for the g_- symmetry.

	$5g_-$	$6g_-$	$7g_-$	$8g_-$	$9g_-$
$5g_-^{\text{NO}}$	-0.969	0.173	-0.174	0.021	-0.005
$6g_-^{\text{NO}}$	0.245	0.630	-0.715	0.165	-0.072
$7g_-^{\text{NO}}$	0.018	0.757	0.633	-0.157	0.038
$8g_-^{\text{NO}}$	-0.020	0.007	0.241	0.934	-0.264
$9g_-^{\text{NO}}$	0.007	0.020	-0.014	0.275	0.961

chosen accordingly to the density matrix eigenvalues sorted in decreasing order, i.e., the dominant component of the eigenvectors does not necessarily define the principal quantum number (as shown for the $6g_-^{\text{NO}}$ and $7g_-^{\text{NO}}$ orbitals). Moreover, when the active space is symmetric with respect to the $6g_-$ and $7g_-$ orbital labels, permutations are unimportant. However, the strong radial mixing of $n\kappa n'\kappa$ orbital pairs might strongly perturb the representation of the transformed total wave function as shown in the Appendix.

C. Configuration interaction

Higher order effects are taken into account in CI calculations for both bases independently. The Breit interaction is added to the Dirac-Coulomb Hamiltonian (see Sec. II) together with QED corrections such as the self-energy correction or the vacuum polarization correction [33]. The active space is further increased to include S, D, triple (T), and quadruple (Q) substitutions from the reference to the largest active set of orbitals, i.e., $11h$. Because of the limits of the available computational resources, triple and quadruple excitations are only allowed to a subset of orbitals. The largest active space therefore combines SD excitations from the reference orbitals to the $11h$ active set with T substitutions to the $6f$ active subset and Q substitutions to the $n = 4$ active subset, leading to 617 695 CSFs.

D. Full-variational, layer-by-layer, and natural orbitals in MCHF

For light elements such as sodium, nonrelativistic calculations are often used as guidelines for relativistic calculations. Moreover, in the context of the layer-by-layer optimization strategy and natural orbitals, the nonrelativistic MCHF method enables us to compare the LBL and full-variational (FV) strategies, contrary to MCDHF for which only the LBL approach is available. The nonrelativistic active space is expanded similarly as the relativistic one with only two noticeable differences: the angular quantum number is limited to $l_{\text{max}} = 6$ instead of $l_{\text{max}} = 5$ and the density matrices are evaluated for each l symmetry instead of each κ symmetry. Two different natural orbital bases can be found for FV and LBL bases. They are labeled FV_{NO} and LBL_{NO} , respectively.

Table III compares the hyperfine constant $A_{1/2}$ values of Na $3s^2 S_{1/2}$ obtained with FV, FV_{NO} , LBL, and LBL_{NO} optimization strategies for an increasing active space. In the FV and LBL approaches, the corresponding natural orbitals (FV_{NO} and LBL_{NO} , respectively) are computed before including CC correlation and triple substitutions. A relativistic

TABLE III. Hyperfine constant $A_{1/2}$ of the sodium ground state for an increasing active space using the MCHF method. The full-variational (FV) and layer-by-layer (LBL) optimization strategies are compared. In both strategies, the natural orbitals are computed after seven layers of CV correlation orbitals. A DHF/HF correction is included to account for relativistic effects [1].

Active set	$A_{1/2}$ (MHz)			
	FV	FV _{NO}	LBL	LBL _{NO}
HF	626.645		626.645	
MCHF CV				
3	683.576		683.576	
4	843.205		828.179	
5	884.187		859.807	
6	907.610		885.089	
7	927.596		897.176	
8 <i>i</i>	928.142		928.097	
9 <i>i</i>	927.113	925.846	927.742	926.409
MCHF CV+CC				
10 <i>i</i>	864.982	878.130	842.763	878.142
11 <i>i</i>	865.345	879.018	843.267	878.441
CI CV + CC + T				
SD[11 <i>i</i>]				
∪ T[4]	868.465	877.001	849.721	876.401
∪ T[5]	871.197	876.784	856.027	875.938
∪ T[6]	870.596	874.483	857.876	873.025
× DHF/HF (1.0137)	882.523	886.463	869.629	884.985
Expt.		885.813 064 4(5) [34]		

correction is finally included by multiplying the nonrelativistic results by a DHF/HF factor, as described in Ref. [1]. The largest calculations in the FV, FV_{NO}, and LBL_{NO} show relative differences below 0.5% while the LBL orbital basis leads to relative differences around 1.5%. The use of NOs restores the agreement between the FV and LBL bases. These observations comfort us in using the natural-orbital basis in the relativistic LBL optimization strategy.

E. Layer-by-layer and natural orbitals in MCDHF

Table IV compares the $A_{1/2}$ magnetic dipole hyperfine constant computed in the two different orbital bases. The convergence of the $A_{1/2}$ value is observed along the active space expansion. The difference between the LBL and the NO bases is less than 2 MHz when CV correlation alone is included, i.e., at the end of the second optimization step (see Sec. V A). The introduction of CC correlation surprisingly degrades the agreement between the two bases up to a difference of ≈ 36 MHz. The triple substitutions have opposite influence on the $A_{1/2}$ hyperfine constant, reducing the difference to ≈ 16 MHz. According to Engels [35], the effect of the triple substitutions is to increase the value of the hyperfine constant. The LBL orbital basis exhibits this behavior whereas the NO basis shows an unexpected decrease in its $A_{1/2}$ value.

The form of the nonrelativistic hyperfine operators suggests that s orbitals contribute the most to dipole magnetic constant of a 2S state [13]. The radial dependency of the relativistic hyperfine operators is $\propto r^{-2}$ and therefore the mean radii of s orbitals provide valuable information to

TABLE IV. Relativistic hyperfine constant $A_{1/2}$ (MHz) along the active space expansion in the LBL and NO orbital bases.

Active set	$A_{1/2}$ (MHz)	
	LBL	NO
DHF	633.698	
MCDHF+CI CV		
3	691.693	
4	837.150	
5	870.354	
6 <i>h</i>	895.195	
7 <i>h</i>	906.639	
8 <i>h</i>	939.435	
9 <i>h</i>	938.813	937.083
MCDHF+CI CV + CC		
10 <i>h</i>	852.679	888.676
11 <i>h</i>	852.806	888.725
CI CV + CC + T		
SD[11 <i>h</i>]		
∪ T[4]	859.307	886.605
∪ T[5 <i>f</i>]	865.388	885.925
∪ T[6 <i>f</i>]	866.826	883.113
CI CV + CC + T + Q		
SD[11 <i>h</i>]		
∪ TQ[4]	862.146	889.111
∪ T[6 <i>f</i>] ∪ Q[4]	869.945	885.841
Expt.	885.813 064 4(5) [34]	

understand the difference between the two orbital bases. Table V presents the mean radii and the expectation value of r^{-2} of the spectroscopic and core-valence correlation s orbitals in the LBL and NO orbital bases. The transformation to natural orbitals results in a contraction of the spectroscopic $3s$ orbital

$$\langle r_{3s} \rangle_{\text{LBL}} = 4.12007 \rightarrow \langle r_{3s} \rangle_{\text{NO}} = 4.05088,$$

$$\langle r_{3s}^{-2} \rangle_{\text{LBL}} = 0.43488 \rightarrow \langle r_{3s}^{-2} \rangle_{\text{NO}} = 0.48120,$$

which affects more the $\langle r_{3s}^{-2} \rangle$ expectation value (10.7%) than its mean radii (1.7%). A strong mixing between the $7s$ and the $8s$ orbitals

$$\langle r_{7s} \rangle_{\text{LBL}} = 6.16109 \rightarrow \langle r_{7s} \rangle_{\text{NO}} = 2.12338$$

TABLE V. Mean radii $\langle r \rangle$ and $\langle r^{-2} \rangle$ expectation value of the spectroscopic and core-valence correlation s -orbitals in the LBL and NO orbital bases.

Orbital	$\langle r \rangle_{\text{LBL}}$	$\langle r \rangle_{\text{NO}}$	$\langle r^{-2} \rangle_{\text{LBL}}$	$\langle r^{-2} \rangle_{\text{NO}}$
1 <i>s</i>	0.14257	0.14373	229.695	228.337
2 <i>s</i>	0.77744	0.77649	14.6385	15.9002
3 <i>s</i>	4.12007	4.05068	0.43488	0.48120
4 <i>s</i>	1.88585	2.07477	10.9785	9.5595
5 <i>s</i>	1.83942	1.89431	22.1684	22.0093
6 <i>s</i>	1.71470	1.82421	41.7204	46.2714
7 <i>s</i>	6.16109	2.12338	5.4562	135.812
8 <i>s</i>	0.60187	3.62967	346.067	173.626
9 <i>s</i>	1.11220	1.83795	168.514	207.676

and

$$\langle r_{8s} \rangle_{\text{LBL}} = 0.60187 \rightarrow \langle r_{8s} \rangle_{\text{NO}} = 3.62967$$

is also observed. Moreover, the LBL $7s$ orbital exhibits a large mean radius, larger than the valence $3s$ orbital. However, from an optimization based on CV correlation, we would expect its mean radius to lie between the $2s$ core orbital and the $3s$ valence orbital. This diffuse correlation orbital already gives a hint that the layer-by-layer optimization strategy leading to the LBL orbital basis is not well suited for hyperfine structure calculations.

F. Quadruple excitations

Quadrupole (Q) excitations are known to play a small role into the computations of the hyperfine constant [23]. Since the corresponding number of CSFs grows rapidly with the active space expansion, they can only be included in a very limited subset of orbitals. They were neglected in original nonrelativistic calculations [1]. Since then, the available computational resources have increased, allowing us to expand further the active space. The CI calculations including Q excitations are shown in Table IV. Two sets of additional CI are performed. The first one is based on the $\text{SD}[11h] \cup \text{TQ}[4]$ active space, with identical active set for the triple and quadruple excitations, and gives $A_{1/2} = 889.111$ MHz to be compared with $A_{1/2} = 886.605$ MHz obtained with the $\text{SD}[11h] \cup \text{T}[4]$ active space expansion in the NO basis. The introduction of quadruple excitations therefore increases the hyperfine constant value by 2.5 MHz. The second one is based on the $\text{SD}[11h] \cup \text{T}[6f] \cup \text{Q}[4]$ active space, with slightly larger active set for the triple excitations than quadruple excitations, leading to a $A_{1/2}$ value of 885.841 MHz in the NO basis. The comparison of this value with the one obtained based on the $\text{SD}[11h] \cup \text{T}[6f]$ attributes to the quadruple excitations an increase of the hyperfine constant value by 2.7 MHz, confirming that the effect of the quadruples is almost additive to the effect of the triple excitations. Since the triple and quadruple excitations have opposite effects on the magnetic dipole constant, both should be included in the final CI calculations. As for the triple excitations, the effect of the quadruple excitations is opposite in the LBL and NO bases. Because of limitation in the available computational resources, the 885.8 MHz value is kept as our final estimation of the $A_{1/2}$ value of the Na ground state.

G. Discussion and comparison with other methods and experiments

The results presented in previous sections showed that natural orbitals are an interesting tool to compute hyperfine structure constants of alkali-like systems. The small difference between the LBL and NO basis when $A_{1/2}$ is computed using the CV correlation active space allows us to use one or the other for further calculations. The discrepancy arising from the core-core CSFs raises questions about the physical meaning of the NO and the optimal choice of an orbital basis for CI calculations. The particularities of the NOs are not well known for systems with more than two electrons [29]. We observe that in the NO basis the spectroscopic orbitals have a larger

TABLE VI. The hyperfine structure constants of sodium are compared to theoretical methods and experiments.

Method	$3s^2S_{1/2}$ $A_{1/2}$ (MHz)	Ref.
DHF	633.7	This work
LBL	869.9	This work
NO	885.8	This work
CI	882.2	[1]
CCSD	883.8	[36]
SD	884.5	[37]
SD	888.1	[38]
Expt.	885.8 ^a	[34]

^a885.813 064 4(5).

generalized occupation number and the corresponding DHF CSFs larger mixing coefficients. The mean radii of the orbitals are another comparison point to differentiate the two bases. From Table V, it was already shown that the spectroscopic $3s$ orbital is more contracted in the NO basis. The contraction of the valence spectroscopic orbital results naturally from the core-valence correlation as it is seen in the fully variational approach [1]. Table VI presents the magnetic dipole hyperfine structure constant of the neutral sodium ground state. The results of the present work are compared to other theoretical models [1,36–38] and experiments [34]. Our final $A_{1/2}$ values are provided for the LBL and NO bases. Coupled cluster and MBPT-based theories are in agreement with the experimental value with relative error below 0.3%. Nonrelativistic calculations performed by Jönsson *et al.* [1] are also in agreement with the experimental value (0.4%). The NO basis result is close to both the nonrelativistic CI value (0.4%) and the experiment (0.001%) while the LBL basis leads to a relative error of 1.8% to the experiment. The extraordinary small error of the NO basis result relative to the experiment is impressive, but for a more decisive comparison information about the uncertainties of the final value is needed. Our goal is to prove the efficiency of the natural-orbital basis rather than to provide the most accurate value of the magnetic dipole constant of neutral sodium. However, Table VI shows that the hyperfine structure constant in the NO basis is at least as close to the experimental value as the other theoretical results.

VI. SODIUM-LIKE IONS AND SODIUM EXCITED STATES

The unexpected difference between the two orbital bases in the calculations of the neutral sodium hyperfine structure constants leads us to consider the lightest sodium-like ions $^{25}\text{Mg}^+$, $^{27}\text{Al}^{2+}$, $^{29}\text{Si}^{3+}$, $^{31}\text{P}^{4+}$, $^{33}\text{S}^{5+}$, and $^{35}\text{Cl}^{6+}$. For each ion, the ground-state hyperfine structure constant is computed and monitored along the active space expansion using the exact same optimization strategy as for the sodium ground state. Table VII presents the magnetic dipole hyperfine constants of the sodium isoelectronic sequence from Na to Cl along the active space expansion. The observations are similar than for sodium. First, the LBL and NO bases give close results when core-valence correlation alone is included. Second, the two bases lead to larger differences when core-core correlation is added through the optimization of two more layers. Finally,

TABLE VII. Magnetic dipole hyperfine constant of the $[\text{Ne}]3s^2S_{1/2}$ ground state for Na-like ions. The $A_{1/2}$ is given for $^{23}\text{Na I}$ ($I = 3/2$, $\mu = 2.2176556\mu_N$), $^{25}\text{Mg II}$ ($I = 5/2$, $\mu = -0.85545\mu_N$), $^{27}\text{Al III}$ ($I = 5/2$, $\mu = 3.6415069\mu_N$), $^{29}\text{Si IV}$ ($I = 1/2$, $\mu = -0.555290\mu_N$), $^{31}\text{P V}$ ($I = 1/2$, $\mu = 1.13160\mu_N$), $^{33}\text{S VI}$ ($I = 3/2$, $\mu = 0.64382120\mu_N$) and $^{35}\text{Cl VII}$ ($I = 3/2$, $\mu = 0.8218743\mu_N$). The nuclear data are taken from [40].

Active set	Na		Mg ⁺		Al ²⁺		Si ³⁺		P ⁴⁺		S ⁵⁺		Cl ⁶⁺	
	LBL	NO	LBL	NO	LBL	NO	LBL	NO	LBL	NO	LBL	NO	LBL	NO
	$A_{1/2}$ (MHz)													
DHF	633.698		-471.811		4055.30		-5205.17		16168.9		4385.82		7656.53	
MCDHF+CI CV														
3	691.693		-494.936		4187.307		-5329.86		16472.4		4453.19		7755.91	
4	837.150		-576.279		4743.16		-5920.79		18038.2		4823.46		8328.85	
5	870.354		-587.583		4807.10		-5986.86		18222.6		4870.93		8409.41	
6	895.195		-607.470		4961.12		-6155.59		18667.5		4973.66		8562.93	
7h	906.639		-612.707		4979.66		-6169.12		18693.5		4978.23		8568.27	
8h	939.435		-617.675		5001.17		-6180.33		18712.6		4981.22		8571.36	
9h	938.813	937.083	-618.338	-617.636	4999.64	4995.93	-6186.04	-6182.69	18722.2	18714.3	4982.21	4980.48	8570.97	8568.42
MCDHF+CI CV+CC														
10h	852.679	888.676	-587.105	-596.202	4838.59	4873.52	-6042.21	-6067.10	18401.6	18446.8	4917.36	4924.95	8484.12	8492.66
11h	852.806	888.725	-587.499	-596.461	4841.14	4875.21	-6044.18	-6068.60	18407.4	18451.6	4918.63	4926.01	8485.81	8494.08
CI CV+CC+T														
SD[11h]														
U T[4]	859.307	886.605	-590.192	-596.185	4855.31	4875.95	-6056.19	-6070.46	18433.2	18457.7	4923.65	4927.53	8492.31	8496.44
U T[5f]	865.388	885.925	-591.889	-596.007	4862.32	4875.77	-6061.28	-6070.65	18442.9	18458.9	4925.42	4927.93	8494.48	8497.13
U T[6f]	866.826	883.113	-592.535	-595.262	4866.08	4873.46	-6064.63	-6069.40	18450.4	18457.6	4926.88	4927.91	8496.39	8497.36
$\frac{2 A_{\text{NO}} - A_{\text{LBL}} }{ A_{\text{NO}} + A_{\text{LBL}} } \times 100\%$	1.86%		0.45%		0.15%		0.08%		0.04%		0.02%		0.01%	
[38]	888.1		-597.6		4885		-6060		18407		4910			
Expt.	885.813 [34]		-596.254 [39]											

the opposite effects of the triple excitations in the LBL and NO bases is confirmed in all considered ions, i.e., the hyperfine structure constant increases in the LBL basis and decreases in the NO basis. The quadruple substitutions were omitted in the calculations within the isoelectronic sequence and the excited states since the goal of these calculations is to observe a similar discrepancy between the LBL and NO bases as for the neutral sodium ground state rather than providing the most accurate values for the hyperfine structure constants. Table VII also presents the relative error on the final A value computed with both bases on the largest active space. Since neither the NO or the LBL basis is *a priori* better, the relative errors are computed as $\frac{2|A_{\text{NO}} - A_{\text{LBL}}|}{|A_{\text{NO}} + A_{\text{LBL}}|} \times 100\%$, where the reference value is taken as the mean of the two bases. We observe that the difference between the two bases drops below 0.5% already for the Mg^+ ion. It continues to drop as heavier ions are considered. It reaches its lowest value for the heavier ion, Cl^{6+} , for which the relative difference between the two bases is 0.01%. The analysis of the sodium ground state presented in Sec. V showed that the $3s$ orbital was more contracted in the NO basis. Going from neutral Na to Cl^{6+} , the spectroscopic $3s$ orbital is more contracted due to the stronger attractive potential with the nucleus leading to larger A value. The contraction of the $3s$ orbital due to the interaction with the core, however, does not depend equally strong on Z explaining the relatively small importance for the more highly charged ions. For Mg^+ , as for Na, the NO basis provides results as accurate as the MBPT calculations [38] when compared to the available experimental value. When no experimental values are available (from Al^{2+} to Cl^{6+}), both the NO and the LBL results are close to the MBPT calculations with relative uncertainties below 0.16%.

The $1s^2 2s^2 2p^6 3p^2 P_{1/2}^\circ$ and $1s^2 2s^2 2p^6 3p^2 P_{3/2}^\circ$ excited states of sodium are investigated to check if the observations made for the ground state can be generalized. The active space expansion and the optimization of the orbital basis closely follow the strategy employed for the $1s^2 2s^2 2p^6 3s^2 S_{1/2}$ ground state. Small differences arise since the $3s$ orbital is now a correlation orbital while the $3p$ orbital is spectroscopic. The $J = 1/2$ and $J = 3/2$ levels are optimized together following an extended optimal level scheme (EOL) [41]. The natural-orbital basis is also computed after seven CV correlation layers. Its computation somewhat differs from the sodium ground state case since the corresponding density matrix is evaluated as the weighted average of the density matrix of each level. The $A_{1/2}$ and $A_{3/2}$ hyperfine magnetic dipole constants converge to 90.908 and 18.083 MHz, respectively, in the LBL orbital basis and to 94.31 and 18.803 MHz in the natural-orbital basis. The corresponding experimental values are 94.42(19) MHz [42] and 18.69(6) MHz [43], leading to relative errors on $A_{1/2}$ of 3.7% and 0.1% for the LBL and natural-orbital bases, respectively and on $A_{3/2}$ of 3.2% and 0.6%, respectively. These results confirm the potential of the natural orbital basis in the calculations of hyperfine structures as already demonstrated for the sodium ground state. They allow us to achieve an accuracy below 1% compared to the experiments, which is better by more than a factor of 3 than LBL basis results. The present results are also compared to the CI calculations [1], the coupled cluster method [36], and MBPT-based theories [37,38]. The NO basis, contrary to the

LBL basis, leads to results in excellent agreement with the original nonrelativistic calculations, which is reassuring. Results are also consistent with the other theoretical approaches although the lack of uncertainties does not allow us to present a deeper quantitative comparison. The $B_{3/2}/Q$ (\propto EFG) values converge to 25.675 and 26.599 MHz/b in the LBL and NO bases, respectively. The convergence of $A_{1/2}$, $A_{3/2}$, and $B_{3/2}/Q$ along the active space expansion is illustrated in Table VIII.

VII. CONCLUSION

We report hyperfine structure calculations of the neutral sodium ground state and first excited states. We presented a detailed analysis on the use of the natural orbitals in multi-configuration methods for hyperfine structure constants and the limitations of the traditional layer-by-layer optimization scheme. Extensive testing was performed on the natural orbital basis to assess its reliability in incomplete active spaces, looking at the basis transformation as any other rotations. The lack of variational freedom of the layer-by-layer scheme was found to be directly related to the shape of the spectroscopic orbitals, inducing a large discrepancy between our computed values and the experimental measurements. The natural-orbital basis method allows us to relax the frozen condition on the spectroscopic orbitals and to modify them according to the correlation model, leading to results in better agreement with experiments and other theoretical works. We provide evidence that natural orbitals have interesting properties and can be considered as a promising alternative to the LBL optimization scheme in more complex systems, e.g., with more than one valence electron. Beside their own particular properties that make the natural orbitals an interesting alternative, the simplicity of their computations could help in assessing the stability of computational atomic properties, i.e., they could participate in the estimation of the theoretical uncertainty. The present analysis of the equivalence (or nonequivalence) of orbital basis in neutral calcium suggests that natural orbitals provide a compact VV multireference wave function that could be used for capturing efficiently CV + CC correlation through SD-MR calculations in complex systems. Further theoretical investigations are needed to confirm this conjecture.

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TABLE VIII. Relativistic magnetic dipole hyperfine constants $A_{1/2}$ (MHz) and $A_{3/2}$ (MHz) of the $^2P_{1/2}$ and $^2P_{3/2}$ sodium excited states, respectively, along the active space expansion in two different orbital bases. Similar results are given for the $B_{3/2}/Q$ (MHz/b) ratio of the $J = 3/2$ level.

Active set	$A_{1/2}$ (MHz)		$A_{3/2}$ (MHz)		$B_{3/2}/Q$ (MHz/b)	
	LBL	NO	LBL	NO	LBL	NO
DHF	64.157		12.744		15.939	
MCDHF+CI CV						
3	69.348		12.054		16.605	
4	91.212		20.611		27.648	
5 <i>f</i>	93.643		21.077		27.291	
6 <i>f</i>	98.294		20.300		27.822	
7 <i>f</i>	100.751		20.770		28.520	
8 <i>f</i>	101.791		20.544		28.562	
9 <i>f</i>	101.861	101.817	20.554	20.543	28.453	28.433
MCDHF+CI CV + CC						
10 <i>f</i>	87.740	94.655	17.184	18.592	24.424	26.274
11 <i>f</i>	87.701	94.603	17.197	18.606	24.469	26.322
CI CV + CC + T						
SD[11 <i>f</i>]						
∪ T[4]	88.596	94.668	17.514	18.755	24.838	26.474
∪ T[5 <i>f</i>]	90.073	94.594	17.943	18.874	25.395	26.616
∪ T[6 <i>f</i>]	90.908	94.316	18.083	18.803	25.675	26.599
Others						
CI [1]		94.04		18.80		25.79
SD [38]		94.99		18.84		26.85
SD [37]		92.4		19.3		
CCSD [36]		93.02		18.318		26.14
Expt. [44,45]		94.42(19)		18.79(12)		

APPENDIX: THE WAY TO COMPLETENESS: A SODIUM EXAMPLE

A small test on the ground state of sodium $1s^2 2s^2 2p^6 3s^2 S_{1/2}$ is used to demonstrate the need for the completeness of the active space to support rotations of the orbital basis. The active space is built very simply by allowing excitations from the $2p_{1/2}$ and $2p_{3/2}$ orbitals to the $3p_{1/2}$ and $3p_{3/2}$ orbitals. The number of simultaneous substitutions is progressively increased from one to six. The corresponding active space is labeled by the number of allowed excitations and built as follows:

- (0) $1s^2 2s^2 2p^6 3s^2 S_{1/2}$,
- (1) + $1s^2 2s^2 2p^5 3s 3p^2 S_{1/2}$,
- (2) + $1s^2 2s^2 2p^4 3s 3p^2 S_{1/2}$,
- (3) + $1s^2 2s^2 2p^3 3s 3p^3 S_{1/2}$,
- (4) + $1s^2 2s^2 2p^2 3s 3p^4 S_{1/2}$,
- (5) + $1s^2 2s^2 2p 3s 3p^5 S_{1/2}$,
- (6) + $1s^2 2s^2 3s 3p^6 S_{1/2}$.

Starting from the reference configuration, the above configurations are progressively added such that the active space (6) becomes a CAS. For each active space (1 → 6), the orbital basis set is such that the $\{1s, 2s, 2p_{1/2}, 2p_{3/2}, 3s\}$ orbitals are solutions to the DHF equations while the orbitals $\{3p_{1/2}, 3p_{3/2}\}$ are solutions to the corresponding multiconfig-

uration equations. The $p_{1/2}$ and $p_{3/2}$ orbitals are then rotated according to

$$\begin{cases} 2p'_\kappa = \frac{1}{\sqrt{2}} 2p_\kappa + \frac{1}{\sqrt{2}} 3p_\kappa \\ 3p'_\kappa = -\frac{1}{\sqrt{2}} 2p_\kappa + \frac{1}{\sqrt{2}} 3p_\kappa \end{cases} \quad (\text{A1})$$

for $\kappa = 1, -2$, inducing a large mixing. For each active space, the energies before and after rotations are computed as well as their difference ΔE . They are displayed in Table IX. Although expected, going from the active space 5 to the active space 6 is quite spectacular. Indeed, the extra configuration $1s^2 2s^2 3s 3p^6 S_{1/2}$ generates only one CSF. This CSF alone allows us to recover the invariance of the energy and the wave function. Its mixing coefficient is -0.000289

TABLE IX. Energy differences induced by rotations within the p symmetries either with a 50:50 mixing or a transformation to natural orbitals, as a function of an increased active space.

Active space	ΔE (cm ⁻¹)	ΔE_{NO} (cm ⁻¹)
1	612 036	0.00
2	995 555	0.20
3	441 332	0.02
4	134 728	0.00
5	18 731	0.00
6	0	0.00

($\approx 0.000008\%$) in the LBL basis and -0.082971 ($\approx 0.65\%$) in the rotated basis. A similar analysis is performed when evaluating the total binding energy in the natural orbital basis. For each active space, the natural orbitals are computed,

inducing a mixing within the p symmetries. The corresponding rotations have smaller angles than the 50:50 example, leading to energy differences ΔE_{NO} close to zero. They are displayed in Table IX beside ΔE .

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