Localized solutions of the Dirac equation in free space and electromagnetic space-time crystals

G. N. Borzdov ^{®*}

Department of Theoretical Physics and Astrophysics, Belarusian State University, 4 Nezavisimosti Avenue, 220030 Minsk, Belarus

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Localized solutions of the Dirac equation for an electron moving in free space and electromagnetic field lattices with periodic dependence on space-time coordinates (electromagnetic space-time crystals) are treated using the expansions in basis wave functions. The techniques for calculating these functions with any prescribed accuracy are presented. It is shown that in the crystals created by two counterpropagating plane electromagnetic waves with the same or the opposite circular polarizations, the Dirac equation describing the basis functions reduces to matrix ordinary differential equations. These functions and the corresponding mean values of velocity, momentum, energy, and spin operators are found for both types of crystals. Localized solutions describing the families of orthonormal beams in electromagnetic space-time crystals and free space, defined by a given set of orthonormal complex scalar functions on a two-dimensional manifold, are obtained. By way of illustration the orthonormal beams in free space and various localized states with complex vortex structure of probability currents, defined by the spherical harmonics, are presented. The obtained solutions have high-probability density only in very small core regions. The evolution of wave packets with one-dimensional localization in both types of crystals created by two circularly polarized waves is described.

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I. INTRODUCTION

An electromagnetic space-time crystal (ESTC), which is an electromagnetic field lattice with periodic dependence on space-time coordinates, can be created by counterpropagating plane waves. Due to the periodicity of the vector potential in both spatial coordinates and time, one can treat the motion of electrons in ESTCs by analogy with the crystals of solidstate physics, described by the Schrödinger equation with a periodic electrostatic scalar potential. The natural in this context term "space-time crystal" was introduced in Ref. [1], where the electron wave functions for the ESTC created by two linearly polarized plane waves were calculated by using the first-order perturbation theory for the Schrödinger-Stueckelberg equation. The harmonic time dependence of the Hamiltonian is a generic feature of ESTCs. In a different context, the terms "time crystal" and "space-time crystal" have been used in the recent discussion [2-6] around the question of whether time-translation symmetry might be spontaneously broken in a time-independent, conservative classical system and a closed quantum mechanical system, such as chains of trapped ions [4–6].

In Refs. [7–12], we presented the fundamental solution of the Dirac equation for the ESTC created by six plane waves with the same frequency ω_0 and the four-dimensional wave vectors,

$$\boldsymbol{K}_{\alpha} = (k_0 \boldsymbol{e}_{\alpha}, ik_0), \quad \boldsymbol{K}_{\alpha+3} = (-k_0 \boldsymbol{e}_{\alpha}, ik_0), \quad (1)$$

where $k_0 = \omega_0/c = 2\pi/\lambda_0$, *c* is the speed of light in vacuum, \mathbf{e}_{α} are the orthonormal basis vectors, $\alpha = 1, 2, 3$. In this case

the periodic vector potential is given by the relation

$$\mathbf{A}' \equiv \frac{e}{m_e c^2} \mathbf{A} = \sum_{j=1}^{6} (\mathbf{A}_j e^{iK_j \cdot \mathbf{x}} + \mathbf{A}_j^* e^{-iK_j \cdot \mathbf{x}}), \qquad (2)$$

where *e* is the electron charge, m_e is the electron rest mass, $\mathbf{x} = (\mathbf{r}, ict)$, $\mathbf{r} = x_1\mathbf{e}_1 + x_2\mathbf{e}_2 + x_3\mathbf{e}_3$, and x_1 , x_2 , and x_3 are the Cartesian coordinates. The plane waves may have any polarization, so that their complex amplitudes are specified by dimensionless real constants a_{jk} and b_{jk} as follows:

$$\mathbf{A}_{j} = \sum_{k=1}^{3} (a_{jk} + ib_{jk})\mathbf{e}_{k}, \quad j = 1, 2, ..., 6,$$
(3)

where $a_{jj} = b_{jj} = a_{j+3j} = b_{j+3j} = 0$, j = 1, 2, 3. In the general case, Eqs. (1)–(3) describe a four-dimensional ESTC (4D-ESTC), i.e., with periodic dependence on all four spacetime coordinates. The condition $\mathbf{A}_3 = \mathbf{A}_6 = 0$ reduces it to a 3D-ESTC with periodic dependence on x_1, x_2, x_4 , whereas the condition $\mathbf{A}_2 = \mathbf{A}_3 = \mathbf{A}_5 = \mathbf{A}_6 = 0$ results in a 2D-ESTC periodic in x_1, x_4 . In the simplest case, when \mathbf{A}_1 is the only nonzero amplitude, the Dirac equation has the well-known Volkov solution [13]. There exist different representations of this solution [11,14,15].

Calculation of quantum electrodynamics (QED) processes occurring in strong laser beams by using the Furry representation [16] requires the exact analytical solution of the Dirac equation in the presence of the background electromagnetic field, which describes the so-called laser-dressed electron state. To this end the analytical tools for studying strongfield QED processes in tightly focused laser beams, applying the Wentzel-Kramers-Brillouin (WKB) approximation to find such electron states, have been presented in [17,18]. In [19] the analytical solution for the Klein-Gordon equation in

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^{*}BorzdovG@bsu.by

counterpropagating plane waves is obtained, which can be used to derive the solution of the Dirac equation in the same electromagnetic field by the method presented in Ref. [17]. The techniques presented in [8–12] provide the tools to find the electron wave functions which describe the laser-dressed states in calculation of QED processes in various ESTCs.

In the past two decades, considerable attention has been focused on the localized solutions of the Dirac equations, such as the free-electron vortex beams carrying orbital angular momentum [20–23]. The free-electron vortex states have promising applications in electron microscopy and provide new directions to study fundamental interaction phenomena: (i) the interaction of vortex electrons with intense laser beams and (ii) radiation processes with vortex electrons [21]. In Ref. [20] the exact Bessel beam solution of the Dirac equation is constructed from plane wave functions. A different approach to constructing relativistic electron wave packets carrying angular momentum and twisted three-dimensionally localized solutions is suggested in Refs. [22,23]. In the frame of this approach one starts with a solution of the scalar Klein-Gordon (KG) equation. Then, the bispinor solution of the Dirac equation is expressed in terms of this scalar wave function and its derivatives with spatial coordinates and time.

In Refs. [24,25] we have proposed an approach to designing localized fields, that provides a broad spectrum of tools to construct electromagnetic fields with a high degree of twodimensional and tree-dimensional spatial localization (2D and 3D localized fields) and promising practical applications. It enables one to obtain a set of orthonormal beams defined by a set of orthonormal scalar functions on a two-dimensional or three-dimensional manifold (beam manifold) and various families of localized fields: three-dimensional standing waves, moving and evolving whirls. In particular, it can be used in designing fields to govern motions of charged and neutral particles. Some illustrations for relativistic electrons in such localized fields have been presented in Ref. [25]. The proposed approach can be applied to any linear field, such as electromagnetic waves in free space, isotropic, anisotropic, and bianisotropic media [24–26], elastic waves in isotropic and anisotropic media [27,28], sound waves [28], weak gravitational waves [24], etc. In the present article, we extend this approach to the Dirac equation in free space and electromagnetic space-time crystals. In Sec. II, we discuss the techniques for calculating the basic wave functions in the ESTCs and free space, mean values of operators velocity, momentum, energy, and spin with respect to these wave functions, and the dispersion relations. Various localized solutions composed of the basis functions are presented in Sec. III.

II. BASIS FUNCTIONS

A. 4D-ESTC

1. Fundamental solutions

In analysis of localized solutions to the Dirac equation in the ESTCs, there exist two natural units of space-time intervals $\lambda_0 = 2\pi/k_0$ and $\lambda_e = 2\pi/\kappa_e$, related by the parameter

$$\Omega = \frac{\lambda_e}{\lambda_0} = \frac{k_0}{\kappa_e} = \frac{\hbar\omega_0}{m_e c^2},\tag{4}$$

where $\kappa_e = m_e c/\hbar$ and \hbar is the Planck constant. It is convenient to use the dimensionless coordinates $X_k = x_k/\lambda_e$, k = 1, 2, 3, $\mathbf{R} = \mathbf{r}/\lambda_e$, and $X_4 = ct/\lambda_e$, so that the Dirac equation takes the form

$$\mathcal{D}\Psi = 0,$$

$$\mathcal{D} = \sum_{k=1}^{3} \alpha_{k} \left(-\frac{i}{2\pi} \frac{\partial}{\partial X_{k}} - A_{k}' \right) + \alpha_{4} - \frac{i}{2\pi} U \frac{\partial}{\partial X_{4}}, \quad (5)$$

where U is the 4×4 unit matrix, and

$$\alpha_{1} = \begin{pmatrix} 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 \end{pmatrix}, \quad \alpha_{2} = \begin{pmatrix} 0 & 0 & 0 & -i \\ 0 & 0 & i & 0 \\ 0 & -i & 0 & 0 \\ i & 0 & 0 & 0 \\ i & 0 & 0 & 0 \\ \end{pmatrix},$$
$$\alpha_{3} = \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & -1 \\ 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \end{pmatrix}, \quad \alpha_{4} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix}.$$

For a given four-dimensional wave vector,

$$\mathbf{K} = (\mathbf{k}, i\omega/c) = \kappa_e \mathbf{Q}, \quad \mathbf{Q}, = (\mathbf{q}, iq_4), \tag{6}$$

Eq. (5) has the solution [8,11,12]

$$\Psi = \Psi_0 e^{i\mathbf{x}\cdot\mathbf{K}}, \quad \Psi_0 = \sum_{n \in \mathcal{L}} c(n) e^{i\mathbf{x}\cdot\mathbf{G}(n)}, \tag{7}$$

where

$$\mathbf{q} = q_1 \mathbf{e}_1 + q_2 \mathbf{e}_2 + q_3 \mathbf{e}_3 = \frac{\hbar \mathbf{k}}{m_e c}, \quad q_4 = \frac{\hbar \omega}{m_e c^2}, \quad (8)$$
$$\mathbf{x} \cdot \mathbf{K} = 2\pi (\mathbf{q} \cdot \mathbf{R} - q_4 X_4),$$

$$\mathbf{x} \cdot \mathbf{G}(n) = 2\pi \,\Omega(\mathbf{n} \cdot \mathbf{R} - n_4 X_4),\tag{9}$$

 $G(n) = (k_0\mathbf{n}, ik_0n_4), \quad \mathbf{n} = n_1\mathbf{e}_1 + n_2\mathbf{e}_2 + n_3\mathbf{e}_3, \text{ points } n = (n_1, n_2, n_3, n_4) \text{ of the integer lattice } \mathcal{L} \text{ have even values of the sum } n_1 + n_2 + n_3 + n_4, \text{ and } c(n) \text{ are the Fourier amplitudes (bispinors). The bispinor function } \Psi_0 \text{ is periodic in } X_1, X_2, X_3, \text{ and } X_4 \text{ with the period } \tau = 1/\Omega.$

Substitution of A' (2) and Ψ (7) in Eq. (5) results in the infinite system of homogeneous matrix equations relating bispinors c(n) [8,11,12]. In the general case, each amplitude c(n) enters in 13 different equations of this system. The set $C = \{c(n), n \in \mathcal{L}\}$ of the Fourier amplitudes c(n) can be treated as an element of an infinite-dimensional complex linear space V_C . Since for any given $n \in \mathcal{L}$, c(n) is the bispinor, $C \in V_C$ is called the multispinor. The fundamental solution Sis the Hermitian operator of projection ($\mathcal{S}^{\dagger} = \mathcal{S}^2 = \mathcal{S}$) onto the solution subspace of the multispinor space V_C . For any $C_0 \in V_C$, $C = SC_0$ is the exact particular solution specified by the multispinor C_0 , i.e., the function Ψ Eq. (7) with the set of amplitudes $\{c(n), n \in \mathcal{L}\} = SC_0$ satisfies Eq. (5) for the problem under consideration. The fundamental solution Shas been expressed in terms of an infinite series of projection operators calculated by a recurrent process based on a fractal approach. This technique has been detailed and applied to various ESTCs in Refs. [8–12].

2. Particular solutions

Numerical implementation of the presented technique implies the replacement of the infinite system of matrix equations by its subsystem defined by some finite solution domain $\mathcal{L}' \subset \mathcal{L}$, whereas outside \mathcal{L}' bispinor amplitudes c(n) are assumed vanishing. The recurrent process gives the exact fundamental solution of the subsystem, which is an approximate solution of the corresponding infinite system. In this case, the electron wave function is approximated by a bispinor function with a limited discrete Fourier spectrum. When the amplitude C_0 is localized at the point $n_o = (0, 0, 0, 0)$, it is specified by one bispinor as $C_0 = \{c(n_o)\}$ and the solution domain can be given as

$$\mathcal{L}' = \{ n = (n_1, n_2, n_3, n_4), 0 \leqslant g_{4d}(n) \leqslant g_{\max} \},$$
(10)

where $g_{4d}(n_1, n_2, n_3, n_4) = \max\{|n_1| + |n_2| + |n_3|, |n_4|\}$ and g_{max} is the integer specifying the domain size and hence the accuracy of such approximations [10–12].

For an approximate solution

$$\Psi = \Psi'_0 e^{i\mathbf{x}\cdot\mathbf{K}}, \quad \Psi'_0 = \sum_{n \in \mathcal{L}'} c'(n) e^{i\mathbf{x}\cdot\mathbf{G}(n)}, \tag{11}$$

the functional

$$R: \Psi \mapsto R[\Psi] = \frac{\|\mathcal{D}\Psi\|}{\|\Psi\|},\tag{12}$$

where

$$\|\Psi\| = \left(\sum_{n \in \mathcal{L}'} c'^{\dagger}(n) c'(n)\right)^{1/2},$$
(13)

evaluates the relative residual at the substitution of Ψ Eq. (11) into Eq. (5). It provides a convenient fitness criterion to accurately compare various approximate solutions of this equation [9–12]. If $R[\Psi] \ll 1$, then the function Ψ may be treated as a reasonable approximation to the exact solution for which $R[\Psi] = 0$, and the smaller is $R[\Psi]$, the more accurate is the approximation.

Since the system of matrix equations in c(n) is homogeneous, **q** and q_4 are related by a dispersion relation which manifests itself in the spectral distribution of c(n) for each exact particular solution Ψ Eq. (7). In the general case, this Fourier spectrum is nonlocalized. In numerical calculations instead of an exact particular solution, we obtain its approximation with a localized Fourier spectrum bounded by the truncation condition $g_{4d}(n) \leq g_{\text{max}}$ for all $n \in \mathcal{L}'$. Consequently, the dispersion interrelation of **q** and q_4 is defined by the minimum of the fitness function $R(\xi) = R[\Psi(\mathbf{x}, \xi)]$ with graphical representation in the form of a spectral curve of approximate solutions [10–12], where

$$\xi = q_4 - \sqrt{1 + \mathbf{q}^2} = \frac{\hbar\omega}{m_e c^2} - \sqrt{1 + \left(\frac{\hbar \mathbf{k}}{m_e c}\right)^2}.$$
 (14)

The ESTCs created by circularly polarized waves possess the spin birefringence, i.e., at a given quasimomentum **q**, the dispersion equation has two solutions q_{4a} and q_{4b} , which specify bispinor wave functions describing electron states with different energies and mean values of momentum and spin operators [10–12].

3. Orthogonality relation

Let us consider two solutions of Eq. (5),

$$\Psi_a = \Psi_{0a} e^{i \mathbf{x} \cdot \mathbf{K}_a}, \quad \Psi_b = \Psi_{0b} e^{i \mathbf{x} \cdot \mathbf{K}_b}, \tag{15}$$

where $\mathbf{K}_a = (\kappa_e \mathbf{q}_a, i\kappa_e q_{4a})$ and $\mathbf{K}_b = (\kappa_e \mathbf{q}_b, i\kappa_e q_{4b})$. Substitution of Ψ_a in Eq. (5) results in the identity $\mathcal{D}_{0a}\Psi_{0a} \equiv 0$, where

$$\mathcal{D}_{0a} = \sum_{k=1}^{3} \alpha_k \left[-\frac{i}{2\pi} \frac{\partial}{\partial X_k} + (q_{ka} - A'_k)U \right] + \alpha_4 - q_{4a}U - \frac{i}{2\pi}U \frac{\partial}{\partial X_4}.$$
 (16)

In a similar manner, $\mathcal{D}_{0b}\Psi_{0b} \equiv 0$ and hence the identity $(\Psi_{0a}^{\dagger}\mathcal{D}_{0b}\Psi_{0b})^* - \Psi_{0b}^{\dagger}\mathcal{D}_{0a}\Psi_{0a} \equiv 0$ takes the form

$$\sum_{k=1}^{3} \frac{i}{2\pi} \frac{\partial}{\partial X_{k}} (\Psi_{0b}^{\dagger} \alpha_{k} \Psi_{0a}) + \sum_{k=1}^{3} (q_{kb} - q_{ka}) \Psi_{0b}^{\dagger} \alpha_{k} \Psi_{0a}$$
$$-(q_{4b} - q_{4a}) \Psi_{0b}^{\dagger} \Psi_{0a} + \frac{i}{2\pi} \frac{\partial}{\partial X_{4}} (\Psi_{0b}^{\dagger} \Psi_{0a}) \equiv 0. \quad (17)$$

Let now K_a and K_b be two different solutions of the dispersion equation and

$$\Psi_{0a} = \sum_{n \in \mathcal{L}} a(n) e^{i \mathbf{x} \cdot \mathbf{G}(n)}, \quad \Psi_{0b} = \sum_{m \in \mathcal{L}} b(m) e^{i \mathbf{x} \cdot \mathbf{G}(m)}.$$
(18)

Taking into account the periodicity of these amplitude functions, upon integrating Eq. (17) over X_1, X_2, X_3 , and X_4 from 0 to τ , we obtain the orthogonality relation

$$\sum_{n \in \mathcal{L}} b^{\dagger}(n) \Biggl[\sum_{k=1}^{3} (q_{kb} - q_{ka}) \alpha_k - (q_{4b} - q_{4a}) U \Biggr] a(n) = 0.$$
(19)

If $\mathbf{q}_a = \mathbf{q}_b = \mathbf{q}$ and $q_{4b} \neq q_{4a}$, then it reduces to [11]

$$\sum_{n \in \mathcal{L}} b^{\dagger}(n)a(n) = 0.$$
⁽²⁰⁾

B. 2D-ESTC

1. Evolution equations

In the 2D-ESTC, the potential \mathbf{A}' Eq. (2) takes the form:

$$\mathbf{A}' = \mathbf{A}_{1} e^{i(\varphi_{1}-\varphi_{4})} + \mathbf{A}_{1}^{*} e^{i(-\varphi_{1}+\varphi_{4})} + \mathbf{A}_{4} e^{i(-\varphi_{1}-\varphi_{4})} + \mathbf{A}_{4}^{*} e^{i(\varphi_{1}+\varphi_{4})},$$
(21)

where $\varphi_j = 2\pi \Omega X_j$, j = 1, 2, 3, 4. For this case, we present below two families of solutions to the Dirac equation,

$$\Psi = \Psi_0 e^{2\pi i q_1 X_1}, \quad \Psi_0 = V(X_4) + i e^{i s \varphi_1} W(X_4), \quad (22)$$

and

$$\Psi = \Psi_0 e^{-2\pi i q_4 X_4}, \quad \Psi_0 = V(X_1) + i e^{-is\varphi_4} W(X_1), \quad (23)$$

where $s = \pm 1$. Substitutions of Eqs. (22) and (23) in Eq. (5) result in two ordinary differential equations which have nonzero solutions only in the 2D-ESTCs created by circularly polarized waves ($\mathbf{A}_1^2 = \mathbf{A}_4^2 = 0$). Without the loss of generality, the appropriate amplitudes can be written as follows:

$$\mathbf{A}_1 = a_{12}(\mathbf{e}_2 + ig\mathbf{e}_3), \quad \mathbf{A}_4 = a_{42}(\mathbf{e}_2 + igL\mathbf{e}_3), \quad (24)$$

where a_{12} and a_{42} are real numbers, $g = \pm 1$. The solutions Ψ Eq. (22) exist when the counterpropagating waves have the same circular polarization (L = -1), whereas the solutions Ψ Eq. (23) exist in the case of the waves with left and right circular polarizations (L = 1).

The bispinor functions $V = V(X_4)$ and $W = W(X_4)$ satisfy the evolution equation

$$\frac{d}{dX_4} \begin{pmatrix} V \\ W \end{pmatrix} = 2\pi i \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} V \\ W \end{pmatrix},$$
 (25)

where

$$a = -q_1 \alpha_1 - \alpha_4, \quad d = -(q_1 + s\Omega)\alpha_1 - \alpha_4,$$

$$b = c^{\dagger} = (i\alpha_2 + p\alpha_3)(a_{12}e^{is\varphi_4} + a_{42}e^{-is\varphi_4}), \quad (26)$$

and p = gs. The functions $V = V(X_1)$ and $W = W(X_1)$ satisfy the equation

$$\begin{pmatrix} \alpha_1 & 0\\ 0 & \alpha_1 \end{pmatrix} \frac{d}{dX_1} \begin{pmatrix} V\\ W \end{pmatrix} = 2\pi i \begin{pmatrix} a & b\\ c & d \end{pmatrix} \begin{pmatrix} V\\ W \end{pmatrix}, \quad (27)$$

where

$$a = q_4 U - \alpha_4, \quad d = (q_4 + s\Omega)U - \alpha_4, b = c^{\dagger} = (i\alpha_2 + p\alpha_3)(a_{12}e^{-is\varphi_1} + a_{42}e^{is\varphi_1}).$$
(28)

Both the families of solutions are subject to the same conditions,

$$(i\alpha_2 + p\alpha_3)V = 0, \quad (i\alpha_2 - p\alpha_3)W = 0,$$
 (29)

which can be taken properly into account using the basis

$$u_{1} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1\\ 0\\ 0 \end{pmatrix}, \quad u_{2} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1\\ -1\\ 0\\ 0 \end{pmatrix},$$
$$u_{3} = \frac{1}{\sqrt{2}} \begin{pmatrix} 0\\ 0\\ 1\\ 1 \end{pmatrix}, \quad u_{4} = \frac{1}{\sqrt{2}} \begin{pmatrix} 0\\ 0\\ 1\\ -1 \end{pmatrix}.$$
(30)

Since

$$\frac{1}{2}(\alpha_3 + i\alpha_2) = \frac{1}{2}(\alpha_3 - i\alpha_2)^{\dagger} = u_2 \otimes u_3^{\dagger} + u_4 \otimes u_1^{\dagger}, \quad (31)$$

the bispinors V and W can be written as

$$V = V_1 u_1 + V_3 u_3, \quad W = W_2 u_2 + W_4 u_4,$$
 (32)

for p = -1, and

$$V = V_2 u_2 + V_4 u_4, \quad W = W_1 u_1 + W_3 u_3, \tag{33}$$

for p = 1. Because of this, Eqs. (25) and (27) reduce to the similar evolution equations,

$$\frac{d}{dX_j}Z = 2\pi i M_j Z,\tag{34}$$

where j = 1 for L = 1, and j = 4 for L = -1,

$$Z = \begin{pmatrix} V_1 \\ V_3 \\ W_2 \\ W_4 \end{pmatrix} \text{for } p = -1, \quad Z = \begin{pmatrix} V_2 \\ V_4 \\ W_1 \\ W_3 \end{pmatrix} \text{for } p = 1, \quad (35)$$

$$M_{1} = \begin{pmatrix} 0 & -pq_{4} - p & -F_{1,s}^{*} & 0\\ -pq_{4} + p & 0 & 0 & -F_{1,s}^{*}\\ F_{1,s} & 0 & 0 & pq'_{4} + p\\ 0 & F_{1,s} & pq'_{4} - p & 0 \end{pmatrix},$$
(36)

$$M_{4} = \begin{pmatrix} -1 & pq_{1} & 0 & pF_{4,s} \\ pq_{1} & 1 & pF_{4,s} & 0 \\ 0 & pF_{4,s}^{*} & -1 & -pq_{1}' \\ pF_{4,s}^{*} & 0 & -pq_{1}' & 1 \end{pmatrix},$$
(37)

$$F_{j,s} = 2(a_{12}e^{\iota s\varphi_j} + a_{42}e^{-\iota s\varphi_j}),$$
(38)

 $q'_j = q_j + s\Omega$ and $F^*_{j,s} \equiv F_{j,-s}$.

2. Fundamental solutions

The evolution operator \mathcal{F}_j [the fundamental solution of Eq. (34)] describes the dependence Z on X_j for the whole family of particular solutions as

$$Z = \mathcal{F}_i(X_i)Z(0), \tag{39}$$

where Z(0) may be prescribed arbitrarily and

$$\mathcal{F}_j(X_j) = \int_0^{\overleftarrow{X_j}} (U + 2\pi i M_j dX_j)$$
(40)

is a multiplicative integral. The multiplicative integral of a matrix function P = P(t) is defined as follows [29]:

$$\int_{t_0}^{\overleftarrow{e}} (U + Pdt)$$

= $\lim_{\Delta t_k \to 0} [U + P(t_n)\Delta t_n] \dots [U + P(t_1)\Delta t_1],$ (41)

where U is the unit matrix, $t_1, t_2, \ldots, t_{n-1}$ are arbitrary intermediate points splitting the interval $[t_0, t]$ into *n* parts, $\Delta t_k = t_k - t_{k-1}, \ k = 1, 2, \dots, n; t_n = t$. If the matrix P is independent of t, then this integral reduces to the exponential $\exp[(t - t_0)P]$. The above definition provides the direct way to close numerical approximations of multiplicative integrals by using sufficiently small steps Δt_k . However, in analytical investigation and numerical calculation of evolution operators for superpositions of counterpropagating waves, like electromagnetic fields in a plane stratified bianisotropic medium, it is useful first to apply the wave splitting technique [30] based on the integration by parts for multiplicative integrals [29]. In particular, it reduces multiplicative integrals of 4×4 matrix functions with strong ("fast") dependence on the integration variable to multiplicative integrals of 2×2 matrix functions with weak ("slow") dependence on this variable.

3. Particular solutions

Owing to the periodicity of the matrix functions $M_1 = M_1(X_1)$ Eq. (36) and $M_4 = M(X_4)$ Eq. (37), from the Lyapunov theorem [29] it follows that \mathcal{F}_j Eq. (40) can be written as

$$\mathcal{F}_j = \mathcal{P}_j(X_j) e^{2\pi i X_j C_j},\tag{42}$$

where \mathcal{P}_j is a periodic matrix function in X_j with the period τ , C_j is a matrix independent of X_j , and j = 1, 4. It is significant that M_j and hence C_j are specified by a given q_n , where n = 5 - j. We use below these related indices to describe both the families of solutions in a concise form.

Let us consider the particular solutions of Eq. (34) defined by the eigensystem of matrix C_j as $C_j Z(0) = \eta_j Z(0), \eta_1 \equiv q_1$ and $\eta_4 \equiv -q_4$. In this case, from Eqs. (39) and (42) follows

$$Z = Y e^{2\pi i \eta_j X_j},\tag{43}$$

where $Y = \mathcal{P}_j(X_j)Z(0)$ is the periodic function in X_j with the period τ and hence it can be written as

$$Y = \begin{pmatrix} y_1 \\ y_2 \\ y_3 \\ y_4 \end{pmatrix} = \sum_{k=-\infty}^{+\infty} Y_k e^{ik\varphi_j}.$$
 (44)

Substituting these relations in Eqs. (22), (23), and (32)–(35) gives

$$\Psi = e^{2\pi i (q_1 X_1 - q_4 X_4)} \{ V_0(\varphi_j) + i W_0(\varphi_j) \exp[(-1)^j i s \varphi_n] \}, \quad (45)$$

where

$$V_0 = y_1 u_{\alpha} + y_2 u_{\beta}, \quad W_0 = y_3 u_{\gamma} + y_4 u_{\delta},$$
 (46)

$$\{\alpha, \beta, \gamma, \delta\} = \{1, 3, 2, 4\} \text{ for } p = -1,$$
$$= \{2, 4, 1, 3\} \text{ for } p = 1.$$
(47)

In the 2D-ESTC created by two waves with the same circular polarization $[L = -1 \text{ in Eq. } (24), j = 4, \text{ and } n = 1] M_4, C_4$, and q_4 depend on q_1 , hence, this defines a dispersion relation $q_4 = q_4(q_1)$. In the 2D-ESTC created by two waves with left and right circular polarizations $(L = 1, j = 1, \text{ and } n = 4) M_1, C_1$, and q_1 depend on q_4 , and this defines a different dispersion relation $q_1 = q_1(q_4)$.

The matrix functions M_1 and M_4 can be written as

$$M_{j} = M_{j0} + M_{j-}e^{-i\varphi_{j}} + M_{j+}e^{i\varphi_{j}}, \qquad (48)$$

where j = 1, 4, and

$$M_{10} = p \begin{pmatrix} 0 & -q_4 - 1 & 0 & 0 \\ -q_4 + 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & q'_4 + 1 \\ 0 & 0 & q'_4 - 1 & 0 \end{pmatrix}, \quad (49)$$
$$M_{1\pm} = p \begin{pmatrix} 0 & 0 & -f_{\mp} & 0 \\ 0 & 0 & 0 & -f_{\mp} \\ f_{\pm} & 0 & 0 & 0 \\ 0 & f_{\pm} & 0 & 0 \end{pmatrix}, \quad (50)$$
$$\begin{pmatrix} -1 & pq_1 & 0 & 0 \\ 0 & 0 & 0 & -q'_{\mp} \\ f_{\pm} & 0 & 0 & 0 \\ 0 & f_{\pm} & 0 & 0 \end{pmatrix}$$

$$M_{40} = \begin{pmatrix} pq_1 & 1 & 0 & 0\\ 0 & 0 & -1 & -pq_1'\\ 0 & 0 & -pq_1' & 1 \end{pmatrix},$$
(51)

$$M_{4\pm} = \begin{pmatrix} 0 & 0 & 0 & f_{\pm} \\ 0 & 0 & f_{\pm} & 0 \\ 0 & f_{\mp} & 0 & 0 \\ f_{\mp} & 0 & 0 & 0 \end{pmatrix},$$
(52)

$$f_{\pm} = g[a_{12}(s \pm 1) + a_{42}(s \mp 1)].$$
(53)

Substitution of Z Eq. (43) in Eq. (34) results in the infinite system of matrix equations

$$Q_j(k) = 0, \quad k = 0, \pm 1, \pm 2, \dots,$$
 (54)

where

$$Q_{j}(k) = N_{j}(k)Y_{k} + M_{j+}Y_{k-1} + M_{j-}Y_{k+1},$$

$$N_{j}(k) = M_{j0} - (\eta_{j} + k\Omega)U.$$
(55)

It can also be written as

$$Y_k = T_{-}(k)Y_{-1} + T_{+}(k)Y_1, \quad k = 0, \pm 1, \pm 2, \dots,$$
 (56)

where $T_{-}(-1) = T_{+}(1) = U$, $T_{-}(1) = T_{+}(-1) = 0$, and

$$T_{\mp}(0) = -[N_j(0)]^{-1}M_{j\pm}, \qquad (57)$$

$$T_{\mp}(k-1) = -M_{j+}^{-1}[N_j(k)T_{\mp}(k) + M_{j-}T_{\mp}(k+1)], \quad (58)$$

for k = -1, -2, ..., and

$$T_{\mp}(k+1) = -M_{j-}^{-1}[N_j(k)T_{\mp}(k) + M_{j+}T_{\mp}(k-1)], \quad (59)$$

for k = 1, 2, ... Because of this, the particular solution Z Eq. (43) and hence the wave function Ψ Eq. (45) are uniquely defined by η_i and the Fourier amplitudes $Y_{\pm 1}$.

To find these parameters, let us replace the exact solution Z by an approximate solution

$$Z' = \sum_{k=-k_m}^{k_m} Y_k e^{2\pi i (\eta_j + k\Omega) X_j},$$
 (60)

obtained from Eqs. (43) and (44) by the truncation conditions $Y_k = 0$ and $T_{\pm}(k) = 0$ for $|k| > k_m$. For this function, Eqs. (55)–(59) result in the identities $Q_j(k) \equiv 0$ for $|k| \le k_m - 1$ and $|k| > k_m + 1$, whereas

$$Q_{j}(\pm k_{m}) = N_{j}(\pm k_{m})Y_{\pm k_{m}} + M_{j\pm}Y_{\pm(k_{m}-1)},$$

$$Q_{j}(k_{m}+1) = M_{j+}Y_{k_{m}},$$

$$Q_{j}(-k_{m}-1) = M_{j-}Y_{-k_{m}}$$
(61)

remain nonzero. The norm of Z' can be written as

$$||Z'|| = (\mathcal{Y}^{\dagger} \mathcal{N} \mathcal{Y})^{1/2}, \quad \mathcal{Y} = \begin{pmatrix} Y_{-1} \\ Y_1 \end{pmatrix}, \tag{62}$$

where

$$\mathcal{N} = \sum_{k=-k_m}^{k_m} \begin{pmatrix} T_-^{\dagger}(k) \\ T_+^{\dagger}(k) \end{pmatrix} [T_-(k) \quad T_+(k)].$$
(63)

Since Eq. (34) can be rewritten as $d_i Z = 0$, where

$$d_j = M_j + \frac{i}{2\pi} U \frac{d}{dX_j},\tag{64}$$

the relative residual R at the substitution of Z' into this equation is defined by the relation

$$R = \frac{\|d_j Z'\|}{\|Z'\|} = \left(\frac{\mathcal{Y}^{\dagger} \mathcal{R} \mathcal{Y}}{\mathcal{Y}^{\dagger} \mathcal{N} \mathcal{Y}}\right)^{1/2},\tag{65}$$

where

$$\mathcal{R} = \mathcal{S}(-k_m - 1) + \mathcal{S}(-k_m) + \mathcal{S}(k_m) + \mathcal{S}(k_m + 1), \quad (66)$$

$$\mathcal{S}(k) = \begin{pmatrix} D_{-}^{\dagger}(k) \\ D_{+}^{\dagger}(k) \end{pmatrix} (D_{-}(k) \quad D_{+}(k)), \tag{67}$$

$$D_{\mp}(k) = N_j(k)T_{\mp}(k) + M_{j+}T_{\mp}(k-1) + M_{j-}T_{\mp}(k+1).$$
(68)

Since \mathcal{N} and \mathcal{R} are Hermitian positively definite matrices, the characteristic equation det $(\mathcal{R} - \lambda \mathcal{N}) = 0$ has positive roots specifying the generalized eigenvalues λ_i , $i = 1, 2, ..., \leq 8$. Let λ_1 and \mathcal{Y}_1 be the minimal eigenvalue and the corresponding generalized eigenvector, i.e., $\mathcal{RY}_1 = \lambda_1 \mathcal{NY}_1$. This provides the values of Y_{-1} and Y_1 , which give the most accurate approximate solution at the prescribed values of q_1 and q_4 . Substituting $\mathcal{Y} = \mathcal{Y}_1$ in Eq. (65) evaluates the fitness parameter $R = R(q_1, q_4)$ of this solution and thus makes possible to find the dispersion relations $q_4 = q_4(q_1)$ and $q_1 = q_1(q_4)$ as described below.

4. Dispersion relations

It follows from Eqs. (2) and (4) that the intensity I_j of the plane harmonic wave $\mathbf{A}_j e^{i\mathbf{K}_j \cdot \mathbf{x}} + \mathbf{A}_j^* e^{-i\mathbf{K}_j \cdot \mathbf{x}}$ is specified by the dimensionless parameters Ω and $|\mathbf{A}_j|^2$ as

į

$$I_j = 9.2962227 \,\Omega^2 |\mathbf{A}_j|^2 \times 10^{29} \frac{W}{cm^2}.$$
 (69)

The intensity parameter

$$I_A = 2\sum_{j=1}^{6} |A_j|^2$$
(70)

of the electromagnetic lattice **A'** Eq. (2) plays an important role in the dispersion relations for various ESTCs [10,11]. In Ref. [31], the probability of electron-positron pair creation by a focused laser pulse was calculated. It was shown that this process starts playing a role at intensities of the order of 10^{27} W/cm². Such QED effects can be neglected at intensities treated in the presented article. As an example, let us consider the 2D-ESTC with parameters L = -1 and g = 1 in Eq. (24), hence p = s, j = 1, n = 4 in Eqs. (34)– (68), and $I_A = 4(a_{12}^2 + a_{42}^2)$. At $a_{12} = a_{42}$ and $I_A = 0.0064$, the parameter $\Omega = 0.1$ specifies the x-ray lattice with the wavelength $\lambda_0 = 2.426310 \times 10^{-11}$ m, created by two circularly polarized waves with intensities $I_1 = I_2 = 1.487395 \times 10^{25}$ W/cm².

The plots of function $R = R(s, q_4)$ in the form of two spectral curves of approximate solutions for s = -1 and s = 1at fixed $q_1 = 0.024$ are shown in Fig. 1. In this article, we consider only the positive frequency solutions $(q_4 > 0)$. Each curve has two domains ("valeys") called spectral line 1 and line 2, where *R* reaches local minima q_4 and q'_4 , respectively. The width of these valleys is rapidly decreasing function of k_m hence we use $k_m = 3$ only for illustrative purposes in Fig. 1, but in other cases we set $k_m \leq 14$ to obtain approximate particular solutions satisfying the fitness condition $R < 10^{-17}$, whose deviations from the corresponding exact solutions are negligibly small. As |k| increases, norms $|Y_k|$ of the Fourier amplitudes Y_k in Eqs. (44) and (60) constitute a decreasing



FIG. 1. Fitness parameter *R* against q_4 : (A) line 1 for s = -1, (B) line 2 for s = -1, (C) line 1 for s = 1, and (D) line 2 for s = 1; L = -1; g = 1; $\Omega = 0.1$; $a_{12} = a_{42}$; $I_A = 0.0064$; $q_1 = 0.024$; $k_m = 3$.

sequence which begins with $|Y_0| \approx 1$ and tends to zero. The rate of decrease becomes greater as I_A is reduced, for example, at $\Omega = 0.1$ and $q_1 = 0$, for $I_A = 0.0256$, 0.0064, and 6.25 × 10⁻⁶, the condition $|Y_k| < 10^{-16}$ is satisfied for k > 18, 14, and 6, respectively. Some further examples for various types of ESTCs and values of I_A and Ω are given in Refs. [10–12], in particular, see in Ref. [10] Tables I and II comparing results of different truncations and Fig. 1 illustrating the limiting case at $I_A \rightarrow 0$.

It follows from the results of computer simulation that the dispersion relations can be written in terms of the representation $(1 + d)^2 q_4^2 = 1 + I_A + q_1^2$ as

$$q_{4\pm} = (1 + d_{4\pm})^{-1} \sqrt{1 + I_A + q_1^2},$$

$$q'_{4\pm} = (1 + d'_{4\pm})^{-1} \sqrt{1 + I_A + (q_1 \pm \Omega)^2},$$
(71)

where $q_{4\pm}$ and $q'_{4\pm}$ are the solutions of the dispersion equations for $s = \pm 1$, specified by the positions of minima for lines 1 and 2, respectively. The functions $d_{4\pm}$ and $d'_{4\pm}$ are small and depend only weakly on q_1 ; see Fig. 2. They vanish at $I_A = 0$, when Eqs. (71) reduce to the free-space dispersion



FIG. 2. Function d_4 against q_1 : (A) $d_4 = d_{4-}(q_1)$, (B) $d_4 = d'_{4-}(q_1)$, (C) $d_4 = d_{4+}(q_1)$, and (D) $d_4 = d'_{4+}(q_1)$; L = -1; g = 1; $\Omega = 0.1$; $a_{12} = a_{42}$; $I_A = 0.0064$.



FIG. 3. Function $d_4 = d_4(I_A, \Omega)$ and difference $\delta d_4 = \delta d_4(I_A)$ against $\log_{10} I_A$: (A) $d_4 = d_{4+}(I_A, 0.1)$, (B) $\delta d_4 = d_{4+}(I_A, 0.1) - d'_{4+}(I_A, 0.1)$, (C) $\delta d_4 = d_{4+}(I_A, 0.01) - d_{4+}(I_A, 0.1)$; L = -1; g = 1; $a_{12} = a_{42}$; $q_1 = 0$.

relations for given q_1 and $q'_{1\pm} = q_1 \pm \Omega$. For preliminary localization of spectral lines, one can substitute $d_{4\pm} = d'_{4\pm} = 0$ in Eqs. (71).

When the particular solutions are found with the required accuracy, the parameters

$$d_{4\pm} = -1 + (q_{4\pm})^{-1} \sqrt{1 + I_A + q_1^2},$$

$$d'_{4\pm} = -1 + (q'_{4\pm})^{-1} \sqrt{1 + I_A + (q_1 \pm \Omega)^2}$$
(72)

provide a convenient graphic description of the dispersion interrelations; see Figs. 2 and 3. In particular, it follows from Fig. 2 and Eqs. (71) that $d_{4-} = d_{4+} \neq d'_{4-} = d'_{4+}$ and hence $q_{4-} = q_{4+} \neq q'_{4-} = q'_{4+}$ at $q_1 = 0$. Although lines A and C appear coinciding in Fig. 1, their minima do not coincide since $d_{4-} \neq d_{4+}$ and $q_{4-} \neq q_{4+}$ at $q_1 = 0.024$. The differences $|d_{4+} - d_{4-}|$ and $|d'_{4+} - d'_{4-}|$ are small, Fig. 3 illustrates the dependence of d_{4+} and d'_{4+} on I_A for two values of the lattice frequency Ω .

5. Integrals of motion and mean values of operators

Let us impose the normalization condition

$$\langle \Psi^{\dagger}\Psi\rangle \equiv \frac{1}{2\pi} \int_{0}^{2\pi} \Psi^{\dagger}\Psi d\varphi_{j} = \sum_{k=-\infty}^{+\infty} Y_{k}^{\dagger}Y_{k} = 1 \qquad (73)$$

on the electron wave functions Ψ Eq. (45) of both types $\{L, j, n\} = \{-1, 4, 1\}$ and $\{1, 1, 4\}$. It follows from Eqs. (22) and (25) that $d(\Psi^{\dagger}\Psi)/d\varphi_4 = 0$, and hence

$$\Psi^{\dagger}\Psi = \sum_{k=-\infty}^{+\infty} Y_k^{\dagger} Y_k = 1$$
(74)

is the integral of motion at L = -1. Similarly, it follows from Eqs. (23) and (27) that $d(\Psi^{\dagger}\alpha_{1}\Psi)/d\varphi_{1} = 0$, and hence

$$\Psi^{\dagger} \alpha_{1} \Psi = p \sum_{k=-\infty}^{+\infty} Y_{k}^{\dagger} \begin{pmatrix} 0 & -1 & 0 & 0 \\ -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{pmatrix} Y_{k}$$
(75)

is the integral of motion at L = 1.

The Hermitian forms for the operators of probability current density (velocity) $j_k = c\alpha_k$ and spin $S_k = \frac{\hbar}{2}\Sigma_k$ with respect to Ψ Eq. (45) result in the vector fields $\mathbf{j} = c\mathbf{v}$ and $\mathbf{S} = \frac{\hbar}{2}\mathbf{s}$, where

$$\mathbf{v} = \sum_{k=1}^{3} \mathbf{e}_{k} (\Psi^{\dagger} \alpha_{k} \Psi)$$
$$= v_{1} \mathbf{e}_{1} + 2v_{0} (\mathbf{e}_{2} p \cos \Phi - \mathbf{e}_{3} \sin \Phi), \qquad (76)$$

$$v_{1} = 2p \operatorname{Re}(-y_{1}^{*}y_{2} + y_{3}^{*}y_{4}), \quad v_{0} = |y_{1}^{*}y_{4} + y_{2}^{*}y_{3}|,$$

$$\Phi = \delta + (-1)^{j} s \varphi_{n}, \quad e^{i\delta} = (y_{1}^{*}y_{4} + y_{2}^{*}y_{3})/v_{0}, \quad (77)$$

$$\mathbf{s} = \sum_{k=1}^{3} \mathbf{e}_{k} (\Psi^{\dagger} \Sigma_{k} \Psi)$$

= $s_{1} \mathbf{e}_{1} + 2s_{0} (\mathbf{e}_{2} p \cos \Phi' - \mathbf{e}_{3} \sin \Phi'),$ (78)

 $s_{1} = p(-|y_{1}|^{2} - |y_{2}|^{2} + |y_{3}|^{2} + |y_{4}|^{2}), \quad s_{0} = |y_{1}^{*}y_{3} + y_{2}^{*}y_{4}|,$ $\Phi' = \delta' + (-1)^{j}s\varphi_{n}, \quad e^{i\delta'} = (y_{1}^{*}y_{3} + y_{2}^{*}y_{4})/s_{0}.$ (79)

Here, v_0, v_1, δ , and s_0, s_1, δ' are periodic functions in φ_j . However, at L = 1, the velocity component $v_1 = \Psi^{\dagger} \alpha_1 \Psi$ Eq. (77) is independent of φ_1 owing to Eq. (75).

The mean values of Hamiltonian

$$H = c \sum_{k=1}^{3} \alpha_k p_k + m_e c^2 \alpha_4,$$
 (80)

operators of kinetic momentum

$$p_k = -i\hbar \frac{\partial}{\partial x_k} - \frac{e}{c} A_k, \tag{81}$$

velocity j_k , and spin S_k with respect to the wave function Ψ Eq. (45) can be conveniently expressed in terms of 4×4 matrix

$$C = \langle YY^{\dagger} \rangle = \sum_{-\infty}^{+\infty} Y_l Y_l^{\dagger}, \qquad (82)$$

with the unit trace and components $C_{lm} = \langle y_l y_m^* \rangle$; l, m = 1, 2, 3, 4. Because of the foregoing normalization condition, the mean value $\langle L \rangle$ of a linear operator L with respect to the wave function Ψ reduces to the mean value of the corresponding Hermitian form:

$$\langle L \rangle = \frac{1}{4\pi^2} \int_0^{2\pi} d\varphi_1 \int_0^{2\pi} d\varphi_4 \Psi^{\dagger} L \Psi.$$
 (83)

The mean values $\langle j_k \rangle$, $\langle p_k \rangle$, and $\langle S_k \rangle$ are zero at k = 2, 3 for both types of wave function, whereas the nonzero normalized mean values of the velocity V_1 , the momentum P_1 , and the spin Σ_{10} are defined by the relations

$$V_1 = \langle j_1 \rangle / c = 2p \operatorname{Re}(C_{43} - C_{21}) \text{ for } L = \pm 1,$$
 (84)

$$P_1 = \langle p_1 \rangle / (m_e c) = q_1 + s \Omega(C_{33} + C_{44})$$
 for $L = -1$,

$$= q_1 + \Omega \sum_{k=-\infty}^{+\infty} k Y_k^{\dagger} Y_k \quad \text{for} \quad L = 1,$$
(85)

$$\langle S_1 \rangle = \frac{\hbar}{2} \Sigma_{10}, \quad \Sigma_{10} = p(-C_{11} - C_{22} + C_{33} + C_{44}), \quad (86)$$



FIG. 4. Deviation $\delta \Sigma$ against q_1 : (A) $\delta \Sigma = \Sigma_{10} - \Sigma_{1m}$ for q_{4-} solution, (B) $\delta \Sigma = \Sigma_{10} + \Sigma_{1m}$ for q'_{4-} solution, (C) $\delta \Sigma = \Sigma_{10} + \Sigma_{1m}$ for q_{4+} solution, and (D) $\delta \Sigma = \Sigma_{10} - \Sigma_{1m}$ for q'_{4+} solution; L = -1; g = 1; $\Omega = 0.1$; $a_{42}/a_{12} = 0.25$; $I_A = 0.0064$.

for $L = \pm 1$. The normalized energy E is given by

$$E = \langle H \rangle / (m_e c^2) = \left\langle \frac{i}{2\pi} U \frac{\partial}{\partial X_4} \right\rangle$$
$$= q_4 - \Omega \sum_{k=-\infty}^{+\infty} k Y_k^{\dagger} Y_k \quad \text{for} \quad L = -1,$$
$$= q_4 + s \Omega (C_{33} + C_{44}) \quad \text{for} \quad L = 1.$$
(87)

For the particular solutions defined by Eqs. (71) and illustrated in Figs. 1 and 2, the mean values of spin are independent of q_1 and take the following values: $\langle S_1 \rangle = \frac{\hbar}{2} \Sigma_{1m}$ for q_{4-} and q'_{4+} solution, $\langle S_1 \rangle = -\frac{\hbar}{2} \Sigma_{1m}$ for q'_{4-} and q'_{4+} solution, $\langle S_1 \rangle = -\frac{\hbar}{2} \Sigma_{1m}$ for q'_{4-} and q_{4+} solution, where $\Sigma_{1m} = |\Sigma_{10}| = 0.99366079$. However, if $a_{12} \neq a_{42}$, then these mean values depend on q_1 and deviate from the above-listed values as shown in Fig. 4.

Figures 5–7 illustrate the dependence of energy *E* on q_1 for these four solutions. The mean values of momentum P_1 linearly depend on q_1 and can be written as $P_1 = q_1 \pm p_{10}$ and $P_1 = q_1 \pm (\Omega - p_{10})$ for $q_{4\pm}$ and $q'_{4\pm}$ solutions, respectively,



FIG. 5. Energy *E* against q_1 : (A) q_{4-} solution, (B) q'_{4-} solution, (C) q_{4+} solution, and (D) q'_{4+} solution; L = -1; g = 1; $\Omega = 0.1$; $a_{42} = a_{12}$; $I_A = 0.0064$. Curves A and C appear coinciding here, their difference is illustrated in Fig. 6.



FIG. 6. Energy *E* against q_1 : (A) q_{4-} solution, (C) q_{4+} solution; L = -1; g = 1; $\Omega = 0.1$; $a_{42} = a_{12}$; $I_A = 0.0064$.

where $p_{10} = 0.00031696$. They vanish at the minimum point of the corresponding energy function $E = E(q_1)$. The minimum energy value $E_{\min} = 1.00319228$ is the same for all these four functions.

It follows from Eqs. (25) and (26) that the same wave function Ψ Eq. (22) can be specified by two different sets of parameters {s, q_1 } and { \check{s} , \check{q}_1 } = {-s, $q_1 + s\Omega$ }. Similarly, from Eqs. (27) and (28) follows that the same wave function Ψ Eq. (23) can be specified by both the sets of parameters {s, q_4 } and { \check{s} , \check{q}_4 } = {-s, $q_4 + s\Omega$ }. The corresponding amplitude functions are related as $\check{V} = iW$ and $\check{W} = -iV$. Figures 5–7 illustrates in terms of energy *E* the relation of (A) q_{4-} solution with (D) q'_{4+} solution and the relation of (C) q_{4+} solution with (B) q'_{4-} solution.

III. LOCALIZED SOLUTIONS

A. 4D-ESTC

Let (u_k) be a set of complex scalar functions on a real manifold \mathcal{B} , satisfying the orthonormality condition

$$\int_{\mathcal{B}} u_k^* u_l d\mathcal{B} = \delta_{kl}, \qquad (88)$$



FIG. 7. Energy *E* against q_1 for q'_{4-} solution; L = -1; g = 1; $\Omega = 0.1$; $a_{42} = a_{12}$; $I_A = 0.0064$.

where $d\mathcal{B}$ is the infinitesimal element of $d\mathcal{B}$, u_k^* is the complex conjugate function to u_k , and δ_{kl} is the Kronecker symbol. Let us consider a superposition of particular solutions Ψ Eq. (7) (termed below the "beam" for the sake of brevity),

$$\Psi_k = \int_{\xi_1}^{\xi_2} d\xi \int_{\mathcal{B}} d\mathcal{B} v u_k \sum_{n \in \mathcal{L}} c_n e^{i \mathbf{x} \cdot \mathbf{K}_n}, \tag{89}$$

where $\mathbf{K}_n = \mathbf{K} + \mathbf{G}_n = \kappa_e(\mathbf{q}, iq_4) + k_0(\mathbf{n}, in_4)$, the function $\mathbf{q} = \mathbf{q}(\xi, b)$ defines $q_4 = q_4(\xi, b)$ owing to the dispersion relation $q_4 = q_4(\mathbf{q})$, and $c_n = c(n, \xi, b)$ are the bispinor Fourier amplitudes for given real $\xi \in [\xi_1, \xi_2]$ and $b \in \mathcal{B}$. The set of particular solutions forming the beam (beam base) is specified by functions \mathbf{K}_n and c_n . The beam states are prescribed by the set of complex functions $u_k = u_k(b)$ and a real function $v = v(\xi, b)$ which is used below to obtain orthonormal beam sets. By setting the beam manifold, the beam base, and the beam states in various ways, one can obtain a multitude of localized solutions to the Dirac equation.

Let \mathcal{B} be a two-dimensional manifold with the local coordinates $b = \{b_1, b_2\}$, and $d\mathcal{B} = g(b)db_1db_2$. To find the function ν , we use the following designations:

$$\mathcal{I}_{3}[f] = \int_{\mathbb{R}^{3}} f(X_{1}, X_{2}, X_{3}, X_{4}) dX_{1} dX_{2} dX_{3},$$

$$\mathcal{I}_{4}[f] = \Omega \int_{0}^{1/\Omega} f(X_{1}, X_{2}, X_{3}, X_{4}) dX_{4},$$
 (90)
$$\mathcal{I}_{34}[f] = \mathcal{I}_{3}[\mathcal{I}_{4}[f]].$$

Let us assume that a Hermitian operator \mathcal{O} has the restriction \mathcal{O}_n to the function $\exp(i\mathbf{x} \cdot \mathbf{K}_n)$, independent of \mathbf{x} and defined by the relation

$$\mathcal{O}e^{i\mathbf{x}\cdot\mathbf{K}_n} = e^{i\mathbf{x}\cdot\mathbf{K}_n}\mathcal{O}_n. \tag{91}$$

It follows from Eqs. (89)–(91) that

- 8

$$\mathcal{I}_{34}[\Psi_{k}^{\dagger}\mathcal{O}\Psi_{l}] = \int_{\xi_{1}}^{\xi_{2}} d\xi \int_{\mathcal{B}} d\mathcal{B}\nu u_{k}^{*} \sum_{m \in \mathcal{L}} c_{m}^{\dagger}$$

$$\times \int_{\xi_{1}}^{\xi_{2}} d\xi' \int_{\mathcal{B}} d\mathcal{B}'\nu' u_{l}' \sum_{n \in \mathcal{L}} \mathcal{O}_{n}' c_{n}'$$

$$\times \delta[\mathbf{q}' - \mathbf{q} + \Omega(\mathbf{n} - \mathbf{m})] \mathcal{I}_{4}[e^{i\Phi_{4}}], \qquad (92)$$

where

$$\Phi_4 = -2\pi X_4 [q'_4 - q_4 + \Omega(n_4 - m_4)].$$
(93)

For simplicity sake let us preset the function $\mathbf{q} = \mathbf{q}(\xi, b)$ such that the argument of the Dirac δ function in Eq. (92) vanishes if and only if $\mathbf{q}' = \mathbf{q}$ and $\mathbf{n} = \mathbf{m}$. The condition $\mathbf{q}' = \mathbf{q}$ results in $q'_4 - q_4 \equiv q_4(\mathbf{q}') - q_4(\mathbf{q}) = 0$ and hence $\mathcal{I}_4[\exp(i\Phi_4)] = \delta_{m_4n_4}$. Because of this, upon integrating with respect to ξ' and b' we obtain

$$\mathcal{I}_{34}[\Psi_k^{\dagger}\mathcal{O}\Psi_l] = \int_{\xi_1}^{\xi_2} d\xi \int_{\mathcal{B}} d\mathcal{B} u_k^* u_l v^2 \frac{g}{J} \sum_{m \in \mathcal{L}} c_m^{\dagger} \mathcal{O}_m c_m, \quad (94)$$

where $J = \partial(q_1, q_2, q_3)/\partial(\xi, b_1, b_2)$ is the Jacobian determinant of the mapping $(\xi, b) \mapsto \mathbf{q}$.

From Eqs. (88) and (94) follows that the function

$$\nu = \sqrt{\frac{J}{g\Delta\xi \sum_{m\in\mathcal{L}} c_m^{\dagger} \mathcal{O}_m c_m}},\tag{95}$$

where $\Delta \xi = \xi_2 - \xi_1$, defines the orthonormal beam set satisfying the condition

$$\mathcal{I}_{34}[\Psi_k^{\dagger}\mathcal{O}\Psi_l] = \delta_{kl}.$$
(96)

In particular, the function

$$\nu = \sqrt{\frac{J}{g\Delta\xi \sum_{m\in\mathcal{L}} c_m^{\dagger} c_m}}$$
(97)

gives the beam set satisfying the condition

$$\mathcal{I}_{34}[\Psi_k^{\dagger}\Psi_l] = \delta_{kl}. \tag{98}$$

In this case, the mean value of operator \mathcal{O} with respect to the beam Ψ_k can be written as

$$\begin{aligned} \langle \mathcal{O} \rangle &= \mathcal{I}_{34}[\Psi_k^{\dagger} \mathcal{O} \Psi_k] \\ &= \frac{1}{\Delta \xi} \int_{\xi_1}^{\xi_2} d\xi \int_{\mathcal{B}} d\mathcal{B} |u_k|^2 \frac{\sum_{m \in \mathcal{L}} c_m^{\dagger} \mathcal{O}_m c_m}{\sum_{m \in \mathcal{L}} c_m^{\dagger} c_m}. \end{aligned} \tag{99}$$

B. Free space

By way of illustration let us consider localized solutions of the Dirac equation in free space, defined by the spherical harmonics Y_i^m .

1. Beam base

For a plane wave function

$$\Psi = \Psi_0 e^{2\pi i (\mathbf{q} \cdot \mathbf{R} - q_4 X_4)}, \quad \Psi_0 = \begin{pmatrix} v_0 \\ w_0 \end{pmatrix}, \tag{100}$$

Eq. (5) reduces to the matrix equation

$$D\Psi_0 = 0, \quad D = \begin{pmatrix} (1 - q_4)I & \mathbf{q} \cdot \boldsymbol{\sigma} \\ \mathbf{q} \cdot \boldsymbol{\sigma} & -(1 + q_4)I \end{pmatrix}, \quad (101)$$

where

$$I = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \quad \mathbf{q} \cdot \boldsymbol{\sigma} = \sum_{k=1}^{3} q_k \sigma_k,$$

$$\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}.$$

The nonzero solutions are described by the relation

$$w_0 = \frac{\mathbf{q} \cdot \boldsymbol{\sigma}}{1 + q_4} v_0, \tag{102}$$

where $q_4 = \sqrt{1 + \mathbf{q}^2}$ and the spinor v_0 may be prescribed arbitrarily.

The spherical harmonics $Y_l^m = Y_l^m(\vartheta, \varphi)$ satisfy the relations

$$\int_0^{2\pi} d\varphi \int_0^{\pi} \sin \vartheta d\vartheta Y_l^{m*} Y_{l'}^{m'} = \delta_{ll'} \delta_{mm'}, \qquad (103)$$

i.e., the manifold $\mathcal{B} = S^2$ is a unit sphere, $d\mathcal{B} = \sin \vartheta d\vartheta d\varphi$, and $g = \sin \vartheta$ [see Eq. (88)].

Since q_4 depend only on the magnitude $q = |\mathbf{q}|$ of the vector $\mathbf{q} = q\mathbf{e}_q$, we specify its direction by spherical coordinates θ and ϕ as

$$\mathbf{e}_q = \mathbf{e}_1 \cos \theta + \sin \theta (\mathbf{e}_2 \cos \phi + \mathbf{e}_3 \sin \phi). \tag{104}$$

Taking into consideration Eqs. (100)–(102) and (104), we define two linearly independent solutions for each given **q** by amplitudes

$$\Psi_p = \frac{1}{N_0} \begin{pmatrix} v_p \\ p \varrho_0 v_p \end{pmatrix},\tag{105}$$

where p = -1, 1, and

$$\varrho_0 = \frac{q}{q_4 + 1}, \quad N_0 = \sqrt{1 + \varrho_0^2},$$
(106)

$$v_{p} = \exp\left(-\frac{i\phi}{2}\sigma_{1}\right)\exp\left(-\frac{i\theta}{2}\sigma_{3}\right)\exp\left(p_{h}\frac{i\phi}{2}\sigma_{1}\right)v_{p}(0)$$
$$= v_{p}(0)\cos\frac{\theta}{2}\exp\left[p\frac{i\phi}{2}(p_{h}-1)\right]$$
$$-iv_{-p}(0)\sin\frac{\theta}{2}\exp\left[p\frac{i\phi}{2}(p_{h}+1)\right],$$
$$v_{p}(0) = \frac{1}{\sqrt{2}}\binom{1}{p},$$
(107)

and a real coefficient p_h may be set arbitrarily. The bispinor amplitudes Ψ_p and the spinors v_p satisfy the relations

$$\Psi_p^{\dagger}\Psi_p = v_p^{\dagger}v_p = 1, \quad \Psi_p^{\dagger}\Psi_{-p} = v_p^{\dagger}v_{-p} = 0,$$

$$(\mathbf{e}_q \cdot \boldsymbol{\sigma})v_p = pv_p, \tag{108}$$

for any values of θ , ϕ , and p_h . The spinors v_p and v_{-p} are interrelated as $v_p(\theta + \pi, \phi + 2\pi) = iv_{-p}(\theta, \phi)$ at $p_h = 0$.

The function $\mathbf{q} = \mathbf{q}(\xi, b)$ can be prescribed by various mappings $\{\xi, \vartheta, \varphi\} \mapsto \{q, \theta, \phi\}$. In this article, let us consider the beams with $\xi = q, \theta = \chi \vartheta, \phi = \varphi$, where χ is some real parameter, $0 < \chi \leq 1$. From Eqs. (89), (97), (100), and (104)–(108) we obtain the localized solutions

$$\Psi_{l,p}^{m} = \int_{q_{a}}^{q_{b}} dq \int_{0}^{\pi} d\vartheta \, \nu \sin\vartheta \int_{0}^{2\pi} d\varphi Y_{l}^{m} \Psi_{p} e^{i\Phi}, \quad (109)$$

where

$$\nu = \frac{q}{\sqrt{2\delta q}} \nu_{\chi}, \quad \nu_{\chi} = \sqrt{\frac{\chi \sin \chi \vartheta}{\sin \vartheta}},$$

$$\Phi = 2\pi (qR_q - q_4X_4), \quad R_q = \mathbf{R} \cdot \mathbf{e}_q,$$

$$\delta q = (q_b - q_q)/2, \quad q_0 = (q_q + q_b)/2. \quad (110)$$

They satisfy the orthonormality condition

$$\mathcal{I}_3\left[\Psi_{l,p}^{m\,\dagger}\Psi_{l',p'}^{m'}\right] = \delta_{ll'}\delta_{mm'}\delta_{pp'}.$$
(111)

2. Probability density and vortex currents

The spherical harmonics are defined by the relations

$$Y_{l}^{m} = N_{lm}P_{l}^{|m|}(\cos\vartheta)e^{im\varphi}, \quad N_{lm} = \sqrt{\frac{(2l+1)(l-|m|)!}{4\pi(l+|m|)!}},$$
(112)



FIG. 8. Relative probability density ρ' for l = 0, m = 0, and $p = \pm 1$ against X_k along the coordinate axes X_1 (solid line), X_2 (dashed line), and X_3 (dash-and-dot line); $p_h = 0$; $\chi = 1$; $q_0 = 1$; $\delta q = 10^{-8}$; $\rho_{00} = 9.05507 \times 10^{-8}$.

where $P_l^{|m|}$ is the associated Legendre function [32]. The phase factor $e^{im\varphi}$ in Y_l^m and the spinors v_p Eq. (107) preset the initial phases of plane waves in $\Psi_{l,p}^m$ Eq. (109) as functions of ϑ and φ . In particular, v_p become periodic in φ with the period 2π at $p_h = \pm 1$.

It is convenient to compare probability densities of beams defined by different spherical harmonics in terms of the relative density $\rho' = |\Psi_{l,p}^m|^2 / \rho_{00}$, where $\rho_{00} = |\Psi_{0,p}^0|^2$ is the probability density of the beam $\Psi_{0,p}^0$ at the origin of coordinates $\mathbf{x} = 0$ (see Fig. 8). Figures 8–13 illustrate probability density for quasimonochromatic ($\delta q \ll q_0$) three-dimensionally localized beams defined by some spherical harmonics at $p_h = 0$ and $p_h = -1$ for $\chi = 1/2$ and $\chi = 1$.

In the case $p_h = 0$ and $\chi = 1$, illustrated in Figs. 8–10, ρ_{00} and ρ' are the same for both beam states p = -1 and p = 1. The functions $\rho' = \rho'(l, m, p, X_k)$ are symmetric about the axis X_k for m = 0. This symmetry breaks at $m \neq 0$, in particular, for the X_3 axis at l = m = 1 (see Fig. 10). The probability densities of beams $\Psi_{1,p}^{-1}$ and $\Psi_{1,p}^{1}$ are related as $\rho'(1, -1, p, X_3) = \rho'(1, 1, p, -X_3)$.



FIG. 9. Relative probability density ρ' for l = 1, m = 0, and $p = \pm 1$ against X_k , k = 1, 2, 3; the other parameters and the notations are the same as described in the caption of Fig. 8.



FIG. 10. Relative probability density ρ' for l = 1, m = 1, and $p = \pm 1$ against $X_k, k = 1, 2, 3$; the other parameters and the notations are the same as described in the caption of Fig. 8.

The parameter χ relating the polar angle $\theta = \chi \vartheta$ in Eq. (104) with the angle ϑ in Eq. (112) specifies, in particular, the solid angle Ω_q which embraces all propagation directions of the plane waves creating the beams. Figures 8 and 11 illustrate the probability density changes for the beam $\Psi_{0,1}^0$ in passing from $\chi = 1$, $\Omega_q = 4\pi$ to $\chi = 0.5$, $\Omega_q = 2\pi$. In the latter case, the beam states p = -1 and p = 1 have different densities which are related as $\rho'(0, 0, -1, X_3) = \rho'(0, 0, 1, -X_3)$ along the X_3 axis.

Figures 10, 12, and 13 illustrate the probability density changes for the beams $\Psi_{1,-1}^1$ and $\Psi_{1,1}^1$ when in use $p_h = -1$ instead of $p_h = 0$, i.e., the spinors v_p become periodic in φ .

One can obtain the beams localized with respect to all space-time coordinates by integrating over a wide range δq . The probability density for the four-dimensionally localized beams $\Psi_{0,n}^0$ is shown in Fig. 14.

The localized states defined by the spherical harmonics $\Psi = \Psi_{l,p}^m$ have a complex vortex structure of probability currents $v_k = \Psi^{\dagger} \alpha_k \Psi$, k = 1, 2, 3. Figures 15–20 illustrate



FIG. 11. Relative probability density ρ' for l = 0, m = 0, and p = -1 against $X_k, k = 1, 2, 3; \chi = 0.5; \rho_{00} = 4.3949 \times 10^{-8}$; the other parameters and the notations are the same as described in the caption of Fig. 8.



FIG. 12. Relative probability density ρ' for l = 1, m = 1, and p = -1 against X_k ; $p_h = -1$; $\rho_{00} = 1.0928 \times 10^{-7}$; the other parameters and the notations are the same as described in the caption of Fig. 8.

these vortex currents for the beam $\Psi_{1,-1}^1$. To simplify these graphic representations, we use the normalized components $V'_k = v_k/\rho_{00}$.

3. Mean values

The mean value of the spin operator **S** with respect to the wave function $\Psi_{l,p}^m$ Eq. (109) is given by

$$\langle S_1 \rangle = \frac{\hbar}{2} \langle \Sigma_1 \rangle = \frac{p\hbar}{2} Z_l^{|m|}(\chi), \quad \langle S_2 \rangle = \langle S_3 \rangle = 0, \quad (113)$$

where

$$Z_l^{|m|}(\chi) = 2\pi \int_0^\pi |Y_l^m|^2 \cos \chi \vartheta \sin \vartheta d\vartheta.$$
(114)

The operator of the orbital angular momentum

$$\mathbf{L} = -i\hbar\mathbf{r} \times \frac{\partial}{\partial\mathbf{r}} \tag{115}$$



FIG. 13. Relative probability density ρ' for l = 1, m = 1, and p = 1 against X_k ; $p_h = -1$; $\rho_{00} = 1.0928 \times 10^{-7}$; the other parameters and the notations are the same as described in the caption of Fig. 8.



FIG. 14. Probability density ρ for l = 0, m = 0, and $p = \pm 1$ against X_k along the coordinate axes X_1 (solid line), X_2 (dashed line almost coincident with solid one), X_3 (dash-and-dot line), X_4 (dotted line); $p_h = 0$; $\chi = 1$; $q_0 = \delta q = 1$.

has the mean value given by

$$\langle L_1 \rangle = \hbar \Big[m' - \frac{p}{2} Z_l^{|m|}(\chi) \Big], \quad \langle L_2 \rangle = \langle L_3 \rangle = 0, \quad (116)$$

where $m' = m + pp_h/2$. Because of this, the operator of the total angular momentum $\mathbf{J} = \mathbf{L} + \mathbf{S}$ has the mean value depending only on the parameters m, p, and p_h as

$$\langle J_1 \rangle = \hbar m' = \hbar \left(m + \frac{pp_h}{2} \right), \quad \langle J_2 \rangle = \langle J_2 \rangle = 0.$$
 (117)

The operators of Hamilton, velocity, and momentum have the following mean values:

$$\langle H \rangle = \frac{m_e c^2}{4\delta q} [q_b q_{4b} - q_a q_{4a} + \sinh^{-1} q_b - \sinh^{-1} q_a],$$

$$\langle v_1 \rangle = c \frac{q_a + q_b}{q_{4a} + q_{4b}} Z_l^{|m|}(\chi), \quad \langle v_2 \rangle = \langle v_3 \rangle = 0,$$

$$\langle p_1 \rangle = m_e c \frac{q_a + q_b}{2} Z_l^{|m|}(\chi), \quad \langle p_2 \rangle = \langle p_3 \rangle = 0,$$

$$(118)$$



FIG. 15. Component V'_1 of the probability current as a function of X_2 and X_3 ; $X_1 = X_4 = 0$; l = 1, m = 1, p = -1; $p_h = -1$; $\chi = 0.5$; $q_0 = 1$; $\delta q = 10^{-8}$; $\rho_{00} = 1.90536 \times 10^{-8}$.



FIG. 16. Component V'_2 of the probability current as a function of X_2 and X_3 ; the other parameters are the same as described in the caption of Fig. 15.

where $q_{4a} = \sqrt{1 + q_a^2}$ and $q_{4b} = \sqrt{1 + q_b^2}$. The dependence of Z_l^m on χ is shown in Fig. 21.

For the localized solutions $\Psi_{l,p}^m$ illustrated in Figs. 8–10 and Figs. 12–14, $Z_l^{|m|}(1) = 0$ and in consequence the mean values of the operators of spin, velocity, and momentum vanish. These mean values are non-zero for the solutions illustrated in Figs. 11 and Figs. 15–20, since $Z_0^0(0.5) = 2/3$, $Z_1^0(0.5) = 22/35$, and $Z_1^1(0.5) = 24/35$. The operators of the orbital and total angular momentums have coinciding nonzero mean values $\langle L_1 \rangle = \langle J_1 \rangle = \hbar$ and $\langle L_1 \rangle = \langle J_1 \rangle = \hbar(1 - p/2)$ with respect to the wave functions $\Psi_{1,p}^1$ depicted in Fig. 10 and Figs. 12, 13, respectively.

4. Wavelet representation

Since the spinors v_p Eq. (106) are independent of q, whereas the function ν Eq. (110) is independent of q and φ ,



FIG. 17. Component V'_3 of the probability current as a function of X_2 and X_3 ; the other parameters are the same as described in the caption of Fig. 15.



FIG. 18. Component V'_1 of the probability current as a function of X_1 and X_2 ; $X_3 = X_4 = 0$; the other parameters are the same as described in the caption of Fig. 15.

the wave function $\Psi_{l,p}^m$ Eq. (109) can be written as

$$\Psi_{l,p}^{m} = \int_{0}^{\pi} d\vartheta \sqrt{\chi \sin \vartheta \sin \chi \vartheta} \int_{0}^{2\pi} d\varphi Y_{l}^{m} \mathcal{W}_{p}, \qquad (119)$$

where

$$\mathcal{W}_p = e^{i\Phi_0} \begin{pmatrix} f_1 v_p \\ p f_2 v_p \end{pmatrix},\tag{120}$$

$$\begin{pmatrix} f_1 \\ f_2 \end{pmatrix} = \frac{1}{\sqrt{2\delta q}} \int_{-\delta q}^{\delta q} \frac{q}{N_0} \binom{1}{\varrho_0} e^{i\delta\Phi} d\eta,$$
 (121)

$$\Phi_0 = 2\pi (q_0 R_q - q_{40} X_4), \quad q_{40} = \sqrt{1 + q_0^2}, \quad (122)$$

$$\delta \Phi = 2\pi (\eta R_q - \delta q_4 X_4), \quad \eta = q - q_0,$$

$$\delta q_4 = q_4 - q_{40} = \frac{\eta (2q_0 + \eta)}{q_{40} + q_4}.$$
(123)



FIG. 19. Component V'_2 of the probability current as a function of X_1 and X_2 ; $X_3 = X_4 = 0$; the other parameters are the same as described in the caption of Fig. 15.



FIG. 20. Component V'_3 of the probability current as a function of X_1 and X_2 ; $X_3 = X_4 = 0$; the other parameters are the same as described in the caption of Fig. 15.

These relations describe the wave function $\Psi_{l,p}^m$ as a superposition of plane wavelets \mathcal{W}_p with the wave normals

$$\mathbf{e}_q = \mathbf{e}_1 \cos \chi \vartheta + \sin \chi \vartheta (\mathbf{e}_2 \cos \varphi + \mathbf{e}_3 \sin \varphi), \qquad (124)$$

where $\vartheta \in [0, \pi]$ and $\varphi \in [0, 2\pi]$. The wavelet $W_p = W_p(\vartheta, \varphi, R_q, X_4)$ is the wave packet obtained by integrating the corresponding plane harmonic wave function on the quasimomentum $q \in [q_a, q_b]$. As a first approximation for quasimonochromatic beams with $\delta q \ll q_0$, Eq. (121) can be written as

$$\binom{f_1}{f_2} = \sqrt{2\delta q} \frac{q_0}{N_{00}} \binom{1}{\varrho_{00}} \frac{\sin D_q}{D_q},$$
(125)

where

$$D_q = 2\pi \delta q \left(R_q - \frac{q_0}{q_{40}} X_4 \right),$$

$$\varrho_{00} = \frac{q_0}{q_{40} + 1}, \quad N_{00} = \sqrt{1 + \varrho_{00}^2}.$$
 (126)



FIG. 21. Plot of Z_l^m against χ for l = m = 0 (solid line), l = 1, m = 0 (dashed line), and l = m = 1 (dash-and-dot line).



FIG. 22. Relative probability density $\rho' = \Psi_2^{\dagger} \Psi_2 / \rho_{00}$ as a function of X_1 and X_4 ; $\rho_{00} = 0.00063392$; the other parameters are the same as described in the caption of Fig. 6.

C. Wave packets in 2D-ESTC

In this section, we present the wave packets Ψ_s which can be composed from the basis wave functions $\Psi = \Psi(s, q_n)$ defined by Eq. (22) for $\{L, j, n\} = \{-1, 4, 1\}$ and by Eq. (23) for $\{L, j, n\} = \{1, 4, 1\}$ as

$$\Psi_{s} = \nu_{s} \int_{q_{a,s}}^{q_{b,s}} \Psi(s, q_{n}) dq_{n}, \quad \nu_{s} = \frac{1}{\sqrt{q_{b,s} - q_{a,s}}}, \quad (127)$$

where $\Psi(s, q_n)$ satisfy the normalization condition Eq. (73), and $q_{b,s} - q_{a,s} < \Omega$. Owing to Eqs. (46) and (47), these wave packets satisfy the normalization condition

$$\mathcal{J}_{in}(\Psi_s^{\dagger}\Psi_s) = 1, \qquad (128)$$

where

$$\mathcal{J}_{jn}(f) = \frac{1}{2\pi} \int_0^{2\pi} d\varphi_j \int_{-\infty}^{+\infty} f(\varphi_j, X_n) dX_n.$$
(129)

The mean value $\langle L \rangle_s$ of a linear operator L with respect to the wave function Ψ_s can be expressed in terms of mean values $\langle L \rangle$ of L with respect to the basis wave functions Ψ as

$$\langle L \rangle_s = \mathcal{J}_{jn}(\Psi_s^{\dagger} L \Psi_s) = \frac{1}{q_{b,s} - q_{a,s}} \int_{q_{a,s}}^{q_{b,s}} \langle L \rangle dq_n.$$
(130)

To this end, one can use the mean values $\langle L \rangle$ presented in Sec. III B 3: the velocity V_1 Eq. (84), the momentum P_1 Eq. (85), the spin $\langle S_1 \rangle$ Eq. (86), and the energy *E* Eq. (84).

In Ref. [12], the superpositions of two basic wave functions $\Psi(-1, q_1)$ and $\Psi(1, q_1)$ describing different spin states and corresponding to (i) the same quasimomentum q_1 (unidirectional electron states with the spin precession) and (ii) the two equal-in-magnitude but oppositely directed quasimomenta (bidirectional electron states) are presented. Such electron states can be extended to the wave packets Ψ_s Eq. (127) as follows:

$$\Psi_2 = \Psi_{-1} e^{i\delta} \cos \alpha + \Psi_1 \sin \alpha, \qquad (131)$$

where $\alpha \in [0, \pi/2]$ and $\delta \in [0, 2\pi]$. Let q_{n-} and q_{n+} be integration variables for Ψ_{-1} and Ψ_1 , respectively. If the condition $|q_{n+} - q_{n-}| < \Omega$ is fulfilled for any $q_{n\pm} \in [q_{a,\pm 1}, q_{b,\pm 1}]$, then



FIG. 23. The Hermitian form $s_1' = \Psi_2^{\dagger} \Sigma_1 \Psi_2 / \rho_{00}$ as a function of X_1 and X_4 ; the other parameters and notations are the same as described in the captions of Figs. 6 and 22.

the wave function Ψ_2 Eq. (131) satisfies the normalization condition $\mathcal{J}_{jn}(\Psi_2^{\dagger}\Psi_2) = 1$, and the mean value $\langle L \rangle_2$ of a linear operator *L* with respect to Ψ_2 is given by

$$\langle L \rangle_2 = \mathcal{J}_{jn}(\Psi_2^{\dagger} L \Psi_2) = \langle L \rangle_{-1} \cos^2 \alpha + \langle L \rangle_1 \sin^2 \alpha.$$
 (132)

1. 2D-ESTC with L = -1

As an example let us consider first the wave packets $\Psi_{\mp 1}$ composed of $q_{4\mp}$ solutions illustrated in Fig. 6. In this case, L = -1, j = 4, n = 1, and the mean value of momentum P_1 vanishes at $q_1 = \pm p_{10}$ for $q_{4\mp}$ solutions, respectively. To obtain a bidirectional electron state Ψ_2 , we set $q_{a,-1} = -2p_{10}$, $q_{b,-1} = q_{a,1} = 0$, $q_{b,1} = 2p_{10}$, $\alpha = \pi/4$, and $\delta = 0$. The electron states with the wave functions $\Psi_{\mp 1}$ have the mean values of velocity $V_1 = \pm 0.000316462$, the spin $\langle S_1 \rangle = \pm \frac{\hbar}{2} \Sigma_{1m}$, and the same values of the momentum $P_1 = 0$ and the energy E = 1.00319230. Because of this, the function Ψ_2 describes the electron state with the vanishing mean values of the velocity, the momentum, and the spin.

Figures 22 and 23 illustrate the localization at the X_1 axis and the evolution with time X_4 of the probability density $\rho = \Psi_2^{\dagger}\Psi_2$ and the Hermitian form $\Psi_2^{\dagger} S_1\Psi_2 = \frac{\hbar}{2}s_1'$ of the spin operator $S_1 = \frac{\hbar}{2}\Sigma_1$. Whereas in free space an electron has the minimum energy E = 1 at $q_1 = 0$, in the 2D-ESTC under consideration it has two different states with the minimum energy E_{\min} at $q_1 = \pm p_{10}$ and with the mean values of spin opposite in sign (see Sec. III B 3). In terms of the localized solution Ψ_2 , this manifests itself with time as the splitting of the central domain with the maximum probability density in two domains with s_1' of opposite sign and negligibly small variations of s_1' during the time interval $\Delta X_4 = \tau = 1/\Omega =$ 10; see Fig. 23.

2. 2D-ESTC, L = 1

In the case L = 1, j = 4, and n = 1, Eqs. (71) and (72) are replaced by the relations

$$q_{1\pm} = \sqrt{q_4^2 (1+d_{1\pm})^2 - 1 - I_A},$$

$$q'_{1\pm} = \sqrt{(q_4 \pm \Omega)^2 (1+d'_{1\pm})^2 - 1 - I_A},$$
(133)



FIG. 24. Function d_1 against q_4 : (A) $d_1 = d_{1-}(q_4)$, (C) $d_1 = d_{1+}(q_4)$; L = 1; g = 1; $\Omega = 0.1$; $a_{12} = a_{42}$; $I_A = 0.0064$.

and

$$d_{1\pm} = -1 + \frac{1}{q_4}\sqrt{1 + I_A + q_{1\pm}^2},$$

$$d'_{1\pm} = -1 + \frac{1}{q_4 \pm \Omega}\sqrt{1 + I_A + {q'}_{1\pm}^2},$$
(134)

respectively. The quasimomentums $q_{1\pm}$ and $q'_{1\pm}$ specify the wave functions Ψ Eq. (23) for a given q_4 at $s = \pm 1$. The functions $d_{1\pm}$ and $d'_{1\pm}$ are small $(|d_{1\pm}| \ll 1, |d'_{1\pm}| \ll 1)$ and depend only weakly on q_4 ; see Fig. 24. They can be found by using the fitness parameter R Eq. (65) in much the same way as $d_{4\pm}$ and $d'_{4\pm}$. The quasimomentums $q_{1\pm}$ and q'_{1-} tend to zero as q_4 is reduced. For the 2D-ESTC under consideration, the ground states of the Dirac electron with $q_1 = 0$ and the vanishing mean values of the operators of velocity and momentum are treated at various values of the frequency Ω and the intensity I_A in Ref. [11].

Let us now consider the neighborhood of the ground state with $|q_1| < \Omega/2 = 0.05$. The minimum values $q_{4m-} =$ 1.002887854 and $q_{4m+} = 1.002987379$ of q_4 are specified by the condition $q_1 = 0$ for the q_{1-} and q_{1+} solutions, respectively. Figures 24 and 25 illustrate the functions $d_1 = d_{1\pm}(q_4)$ and the mean value $P_1 = P_1(q_4)$ Eq. (85) of the momentum operator for these two solutions. There are no solutions q'_{1-} in the q_4 domain under consideration, whereas solutions q'_{1+} are



FIG. 25. Momentum P_1 against q_4 : (A) s = -1, (C) s = 1; the other parameters are the same as described in the caption of Fig. 24.

specified by Eq. (133), where the function d'_{1+} can be linearly approximated as

$$d'_{1+} = 0.0001631225(q_4 - 1.0828569), \tag{135}$$

with deviations smaller than 10^{-9} .

The mean values of spin are independent of q_4 and take the following values: $\langle S_1 \rangle = -\frac{\hbar}{2} \Sigma_{1m}$ for q_{1+} solution, $\langle S_1 \rangle = \frac{\hbar}{2} \Sigma_{1m}$ for q_{1-} and q'_{1+} solutions, where $\Sigma_{1m} = 0.99366079$ coincides with the similar parameter for $q_{4\pm}$ and $q'_{4\pm}$ solutions at L = -1. The normalized energy E (87) linearly depends on q_4 as

$$E = q_4 \pm \delta E \quad \text{for} \quad q_{1\pm} \text{ solution},$$

= $q_4 + \Omega - \delta E \quad \text{for} \quad q'_{1\pm} \text{ solution}, \qquad (136)$

where $\delta E = 0.000316960$. The mean values $\langle S_1 \rangle$ and E, as well as the functions $d_{1\pm}$ and $d'_{1\pm}$ are independent of the sign of q_1 . The mean values of the operators of velocity and momentum have the same sign as q_1 .

Let us now consider the bidirectional superposition Ψ_2 Eq. (131) of the wave packets $\Psi_{\pm 1}$ Eq. (127) obtained by integrating $\Psi(\pm 1, q_4)$ over the q_4 domains illustrated in Figs. 24 and 25. We use the negative branch of the square root in Eq. (133) for q_{1-} , the positive one for q_{1+} and set $q_{a,-1} = q_{4m-}$, $q_{b,-1} = q_{4m-} + 0.00113$, $q_{a,1} = q_{4m+}$, $q_{b,1} = q_{4m+} + 0.00116$.

As for the described above superposition Ψ_2 in 2D-ESTC with L = -1, both packets have the same range of q_1 magnitudes: $|q_{1\mp}| < 0.05$. However, the velocity, momentum, and energy operators now have different magnitudes of mean values $V_{1\mp}$, $P_{1\mp}$, and E_{\mp} with respect to the functions Ψ_{-1} and Ψ_1 :

$$V_{1-} = -0.030350831, V_{1+} = 0.031237500,$$

 $P_{1-} = -0.030449571, P_{1+} = 0.031362632,$
 $E_{-} = 1.0031429, E_{+} = 1.0038919.$

Since the mean values of the spin operator with respect to Ψ_{-1} and Ψ_1 are equal in magnitude and opposite in direction, the bidirectional state Ψ_2 Eq. (131) with the parameters $\alpha = \pi/4$, and $\delta = 0$ has the vanishing mean value of spin, but nonvanishing mean values of velocity $V_1 = 0.00044333427$ and momentum $P_1 = 0.00045653041$. This localized state has the energy E = 1.0035174. Figures 26 and 27 illustrate the splitting of the central domain with the maximum probability density in two domains with s_1' of opposite sign.

IV. CONCLUSION

To construct localized solutions of the Dirac equation in the ESTCs or free space, it is necessary to calculate first the basis wave functions Ψ Eq. (7) specified by a set of four-dimensional vectors \mathbf{Q} , = (\mathbf{q} , iq_4). To attain these ends in the general case of 4D-ESTCs one can use the solutions and techniques presented in Refs. [7–11]. It is shown in Sec. II A 3 that these wave functions satisfy the orthogonality relations Eq. (19). In free space, the basis functions reduce to the plane waves Ψ Eq. (100) which obey the dispersion equation $q_4^2 = 1 + \mathbf{q}^2$.



FIG. 26. Relative probability density $\rho' = \Psi_2^{\dagger} \Psi_2 / \rho_{00}$ as a function of X_1 and X_4 ; $\rho_{00} = 0.00063392$; the other parameters are the same as described in the caption of Fig. 24.

It is shown in Sec. II B that there exist families of wave functions Ψ Eq. (22) and Ψ Eq. (23) in the 2D-ESTCs created by two counterpropagating circularly polarized plane waves, for which the Dirac equation reduces to matrix ordinary differential equations. If these two electromagnetic waves have the same circular polarization [L = -1 in Eq. (24)], then Eq. (25) defines amplitudes $V = V(X_4)$ and $W = W(X_4)$ of the wave function Ψ Eq. (22). However, if they have left and right circular polarizations (L = 1), then Eq. (27) defines amplitudes $V = V(X_1)$ and $W = W(X_1)$ of the function Ψ Eq. (23). The dispersion equations for both families can be written in the form $q_4^2(1+d)^2 = 1 + q_1^2$, where $|d| \ll 1$. The technique presented in Sec. II B is based on the use of the fitness criterion R Eq. (12) and Fourier expansions of amplitudes V and W. It makes possible to calculate with any prescribed accuracy the basis wave functions Ψ Eq. (22) for a given q_1 at L = -1as well as Ψ Eq. (23) for a given q_4 at L = 1. In this article we present integrals of motion and mean values of velocity, momentum, energy, and spin operators with respect to these wave functions.

In Sec. III A, we extend the general approach to designing and characterizing localized solutions of wave equations [24,25] to the Dirac equation in the ESTC and free space. The presented technique uses the basis wave functions to compose a set of orthonormal beams and various localized states with complex vortex structure of probability currents, defined by a given set of orthonormal complex scalar functions on a twodimensional manifold. By way of illustration various localized solutions in free space, defined by the spherical harmonics, are presented in Sec. III B.

To compose a localized solution of the basis wave functions Ψ , one must specify their vectors **Q**, the normalized amplitudes (bispinors in free space and multispinors in the



FIG. 27. The Hermitian form $s_1' = \Psi_2^{\dagger} \Sigma_1 \Psi_2 / \rho_{00}$ as a function of X_1 and X_4 ; the parameters are the same as described in the captions of Figs. 24 and 26.

ESTC), magnitudes given by real scalar factors, and initial phases. At a given \mathbf{Q} , the amplitude subspace is onedimensional in the 4D-ESTC and the 2D-ESTC treated in this article. Since it is two-dimensional in free space, we defined two linearly independent amplitudes for each given \mathbf{Q} and obtained two families of beams $\Psi_{l,p}^m$ with $p = \pm 1$, defined by the spherical harmonics Y_l^m . They constitute the ortonormal system satisfying Eq. (111) and in consequence can be used as a basis in characterizing and designing even more complicated localized solutions. These beams have high probability density only in very small core regions; see Figs. 8–14. The beams $\Psi_{l,p}^m$ with $m \neq 0$ have complex vortex structures of probability currents; see Figs. 15–20. We also presented the beams localized with respect to all four space-time coordinates, which can be described as flash electron states; see Fig. 14.

The solutions Ψ Eq. (45) are special cases of the function Ψ Eq. (7), for which the Fourier expansions of the bispinor amplitude Ψ_0 are specified by the subsets $\mathcal{L}_{-1} = \{(0, 0, 0, 2k), (s, 0, 0, 2k + 1); k = 0, \pm 1, \pm 2, \ldots\} \subset \mathcal{L}$ in the 2D-ESTCs with L = -1 and $\mathcal{L}_1 = \{(2k, 0, 0, 0), (2k + 1, 0, 0, -s); k = 0, \pm 1, \pm 2, \ldots\} \subset \mathcal{L}$ in the 2D-ESTCs with L = 1. They are described by the dispersion relations $q_4 = q_4(s, q_1)$ and $q_1 = q_1(s, q_4)$ with given q_1 and q_4 , respectively. The mean values of velocity, momentum, energy, and spin operators with respect to both families of basis wave functions and the one-dimensionally localized wave packets are obtained. The similarities and distinctions of these 2D-ESTCs are illustrated also in terms of the bidirectional electron states.

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