Markovianity of the reference state, complete positivity of the reduced dynamics, and monotonicity of the relative entropy

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Consider the set $S = \{\rho_{SE}\}$ of possible initial states of the system-environment, *steered* from a tripartite *reference state* ω_{RSE} . Buscemi [F. Buscemi, Phys. Rev. Lett. **113**, 140502 (2014)] showed that the reduced dynamics of the system, for each $\rho_S \in \text{Tr}_E S$, is always completely positive if and only if ω_{RSE} is a *Markov state*. There, during the proof, it has been assumed that the dimensions of the system and the environment can vary through the evolution. Here, we show that this assumption is necessary: we give an example for which, though ω_{RSE} is not a Markov state, the reduced dynamics of the system and the environment remain unchanged. As our next result, we show that the result of Muller-Hermes and Reeb [A. Muller-Hermes and D. Reeb, Ann. Henri Poincare **18**, 1777 (2017)], of monotonicity of the quantum relative entropy under positive maps, cannot be generalized to the Hermitian maps, even within their physical domains.

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I. INTRODUCTION

Consider a closed finite-dimensional quantum system which evolves as

$$\rho \to \rho' = \operatorname{Ad}_U(\rho) \equiv U \rho U^{\dagger},$$
 (1)

where ρ and ρ' are the initial and final states (density operators) of the system, respectively, and U is a unitary operator. $(UU^{\dagger} = U^{\dagger}U = I$, where I is the identity operator.)

In general, the system is not closed and interacts with its environment. We can consider the whole system-environment as a closed quantum system which evolves as Eq. (1). So the reduced state of the system after the evolution is given by

$$\rho_{S}' = \operatorname{Tr}_{E} \circ \operatorname{Ad}_{U}(\rho_{SE}) = \operatorname{Tr}_{E}(U\rho_{SE}U^{\dagger}), \qquad (2)$$

where ρ_{SE} is the initial state of the combined systemenvironment quantum system and U acts on the whole Hilbert space of the system-environment.

There was a tendency to assume that the reduced dynamics of the system can always be written as a completely positive trace-preserving (CP) map; i.e., it can be written as

$$\rho_S' = \sum_i E_i \, \rho_S \, E_i^{\dagger}, \quad \sum_i E_i^{\dagger} E_i = I_S, \tag{3}$$

where $\rho_S = \text{Tr}_E(\rho_{SE})$ is the initial state of the system. In addition, E_i are linear operators and I_S is the identity operator on the Hilbert space of the system \mathcal{H}_S [1].

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But, in general, this is not the case. In fact, the CP-ness of the reduced dynamics has been proven only for some restricted sets of initial states of the system-environment [2-8].

A remarkable result in this context is that given in [6]. Consider the set S of possible initial states of the systemenvironment, *steered* from a tripartite state ω_{RSE} . There, it has been shown that, for all $\rho_{SE} \in S$, the reduced dynamics of the system is CP, for arbitrary U, if and only if ω_{RSE} is a so-called *Markov state*.

The above result is important, not only because it includes all its previous results [7], but also because it is, in fact, the most general possible result [9], at least, within the framework of [10]. In the next section, we will review this result.

During the proof of the above result in [6], it has been assumed that the dimensions of the Hilbert spaces of the system \mathcal{H}_S and the environment \mathcal{H}_E can vary during the system-environment evolution U, in general. In [11], we have questioned whether this assumption can be relaxed or not. In Sec. III, we show that this assumption is necessary for the result of [6]: we give an example, for which, though ω_{RSE} is not a Markov state, the reduced dynamics is CP, for any evolution U, which does not change d_S and d_E , the dimensions of \mathcal{H}_S and \mathcal{H}_E , respectively.

We give our next result, on monotonicity of *quantum* relative entropy, in Sec. IV. The quantum relative entropy of the state ρ to another state σ is defined as

$$S(\rho||\sigma) = \operatorname{Tr}(\rho \log_2 \rho) - \operatorname{Tr}(\rho \log_2 \sigma), \tag{4}$$

if $\operatorname{supp}[\rho] \subseteq \operatorname{supp}[\sigma]$, otherwise it is defined to be $+\infty$ [1] ($\operatorname{supp}[\eta]$, the support of the state η , is the subspace spanned by the eigenvectors of η with nonzero eigenvalues).

It was known that the relative entropy is monotone under CP maps Ψ [1,12]:

$$S(\rho||\sigma) \ge S(\Psi(\rho)||\Psi(\sigma)), \tag{5}$$

for arbitrary states ρ and σ . Recently, the above result has been generalized to the case of positive trace-preserving maps, too [13]; i.e., in Eq. (5), Ψ can be a positive trace-preserving map. Positive maps are those which map any positive operator to a positive operator. If we consider positive maps as the most general physical time evolutions, then this result means that the relative entropy is monotone under any physical evolution.

But, in [14], it has been shown that any Hermitian tracepreserving map Φ is physical within its *physical domain*. By a Hermitian map, we mean a map which maps any Hermitian operator to a Hermitian operator. Therefore, Φ may map a state to a nonpositive operator. So, in [14], the physical domain of Φ is defined as the set of states which are mapped by Φ to density operators.

In Sec. IV, using a theorem proven in [6], we show that one can find physical evolutions, given by Hermitian tracepreserving maps Φ , for which the relative entropy increases after the evolution. So, the result of [13] cannot be generalized to the Hermitian trace-preserving evolution, in general. In addition, we illustrate this result, using the example given in Sec. III.

In the example considered in Sec. IV, d_S and d_E vary through the evolution. In Sec. V, we give another example in which the Hermitian nonpositive evolution does not change d_S and d_E , but the monotonicity of relative entropy is again violated. This shows that the variation of d_S and d_E is not necessary for the nonmonotonicity of relative entropy, under Hermitian nonpositive evolution.

Finally, in Sec. VI, we end our paper, with a summary of our results.

II. REDUCED DYNAMICS OF AN OPEN QUANTUM SYSTEM

A. Reduced dynamics for a steered set

Consider the tripartite state ω_{RSE} , on the Hilbert space of the reference-system-environment $\mathcal{H}_R \otimes \mathcal{H}_S \otimes \mathcal{H}_E$, where \mathcal{H}_R is an ancillary Hilbert space. The set of *steered states* from performing measurements on the part *R* of ω_{RSE} is [6]

$$S = \left\{ \rho_{SE} = \frac{\operatorname{Tr}_{R}[(P_{R} \otimes I_{SE})\omega_{RSE}]}{\operatorname{Tr}[(P_{R} \otimes I_{SE})\omega_{RSE}]}, P_{R} > 0 \right\}, \qquad (6)$$

where P_R is arbitrary positive operator on \mathcal{H}_R such that $\text{Tr}[(P_R \otimes I_{SE})\omega_{RSE}] > 0$ and I_{SE} is the identity operator on $\mathcal{H}_S \otimes \mathcal{H}_E$. Note that, up to a positive factor, P_R can be considered as an element of a positive operator-valued measure.

In [6], it has been shown that the reduced dynamics of the system, for all $\rho_S \in S_S \equiv \text{Tr}_E S$ and arbitrary *U*, is CP if and only if ω_{RSE} is a *Markov state*, i.e., if it can be written as [15]

$$\omega_{RSE} = \mathrm{id}_R \otimes \Lambda_S^{\mathrm{CP}}(\omega_{RS}), \tag{7}$$

where $\omega_{RS} = \text{Tr}_E(\omega_{RSE})$, id_R is the identity map on $\mathcal{L}(\mathcal{H}_R)$, and $\Lambda_S^{\text{CP}} : \mathcal{L}(\mathcal{H}_S) \to \mathcal{L}(\mathcal{H}_S \otimes \mathcal{H}_E)$ is a CP map. [$\mathcal{L}(\mathcal{H})$ is the space of linear operators on \mathcal{H} .]

When ω_{RSE} is a Markov state, then there exists a decomposition of the Hilbert space of the system *S* as $\mathcal{H}_S = \bigoplus_k \mathcal{H}_{s_k} =$ $\bigoplus_k \mathcal{H}_{s_k^l} \otimes \mathcal{H}_{s_k^r}$ such that

$$\omega_{RSE} = \bigoplus_{k} \lambda_k \; \omega_{Rs_k^l} \otimes \omega_{s_k^r E}, \tag{8}$$

where $\{\lambda_k\}$ is a probability distribution $(\lambda_k \ge 0, \sum_k \lambda_k = 1)$, $\omega_{Rs_k^i}$ is a state on $\mathcal{H}_R \otimes \mathcal{H}_{s_k^i}$, and $\omega_{s_k^r E}$ is a state on $\mathcal{H}_{s_k^r} \otimes \mathcal{H}_E$ [15].

Let us summarize the result of this subsection, for later reference [6].

Theorem 1. Assume that the set of possible initial states of the system-environment is given by S, in Eq. (6), which is the steered set from a tripartite state ω_{RSE} . The reduced dynamics of the system, in Eq. (2), is CP, for arbitrary U and any $\rho_{SE} \in S$, if and only if ω_{RSE} is a Markov state, as Eq. (8).

The following point is also worth noting. In this paper, when we say that the reduced dynamics is given by a CP map Ψ , we mean that there exists a CP extension of Ψ to the whole $\mathcal{L}(\mathcal{H}_S)$, as Eq. (3), such that the reduced dynamics of the system, for each $\rho_S \in S_S$, is given by this CP map.

B. Reduced dynamics for an arbitrary set

A general framework for linear (Hermitian) reduced dynamics, when both the system and the environment are finite dimensional, has been introduced in [10]. In this paper, we will restrict ourselves to the case that there is a one to one correspondence between the system initial states ρ_S and the system-environment initial states ρ_{SE} . So, in this subsection, we review the framework of [10] for this case.

Consider the set $S = \{\rho_{SE}\}$ of possible initial states of the system-environment. Since, both the system and the environment are finite dimensional, a finite number *m* of the members of *S*, where the integer *m* is $0 < m \leq (d_S)^2 (d_E)^2$, are linearly independent. Let us denote this linearly independent set as $S' = \{\rho_{SE}^{(1)}, \rho_{SE}^{(2)}, \dots, \rho_{SE}^{(m)}\}$. Therefore, any $\rho_{SE} \in S$ can be written as $\rho_{SE} = \sum_{i=1}^{m} a_i \rho_{SE}^{(i)}$, where a_i are real coefficients.

We restrict ourselves to the case that all $\rho_S^{(i)} = \text{Tr}_E(\rho_{SE}^{(i)}) \in S'_S \equiv \text{Tr}_E S', i = 1, ..., m \leq (d_S)^2$, are also linearly independent. Therefore, there is a one to one correspondence between the members of S and the members of $S_S = \text{Tr}_E S$. It is worth noting that when S is a steered set, as Eq. (6), from a Markov state ω_{RSE} , as Eq. (7), then the above correspondence holds [9].

The subspace \mathcal{V} is defined as the subspace spanned by $\rho_{SE}^{(i)} \in \mathcal{S}'$ [10]. Therefore, each $X \in \mathcal{V}$ can be expanded as $X = \sum_{i=1}^{m} c_i \rho_{SE}^{(i)}$, with complex coefficients c_i . In addition, for each $x = \operatorname{Tr}_E(X) \in \mathcal{V}_S \equiv \operatorname{Tr}_E \mathcal{V}$, we have $x = \sum_{i=1}^{m} c_i \rho_S^{(i)}$.

Let us denote the set of density operators in $\mathcal{L}(\mathcal{H}_S \otimes \mathcal{H}_E)$ and $\mathcal{L}(\mathcal{H}_S)$ by \mathcal{D}_{SE} and \mathcal{D}_S , respectively. Note that $\mathcal{S} \subseteq \mathcal{V} \cap \mathcal{D}_{SE}$ and $\mathcal{S}_S \subseteq \mathcal{V}_S \cap \mathcal{D}_S$. So, that which we show for the whole \mathcal{V} and \mathcal{V}_S is also valid for their subsets \mathcal{S} and \mathcal{S}_S , respectively.

Since all $\rho_S^{(i)} \in S'_S$ are linearly independent, as all $\rho_{SE}^{(i)} \in S'$, for each $x \in \mathcal{V}_S$, there is only one $X \in \mathcal{V}$ such that $\operatorname{Tr}_E(X) = x$. This allows us to define the linear assignment map Λ_S as below. We define $\Lambda_S(\rho_S^{(i)}) = \rho_{SE}^{(i)}$, $i = 1, \ldots, m$. So, for any $x = \sum_{i=1}^m c_i \rho_S^{(i)} \in \mathcal{V}_S$, we have

$$\Lambda_{S}(x) = \sum_{i=1}^{m} c_{i} \Lambda_{S}(\rho_{S}^{(i)}) = \sum_{i=1}^{m} c_{i} \rho_{SE}^{(i)} = X.$$
(9)

 Λ_S is a Hermitian map, by construction. It is defined on the whole \mathcal{V}_S , and even if $m < (d_S)^2$ it can be simply extended to the whole $\mathcal{L}(\mathcal{H}_S)$ [16].

Although the assignment map Λ_S , in Eq. (9), is only a mathematical tool which acts as the reverse of Tr_E , it has an important physical consequence. It allows us to assign to each $\rho_S \in \operatorname{Tr}_E(\mathcal{D}_{SE} \cap \mathcal{V})$ a $\rho_{SE} \in \mathcal{D}_{SE} \cap \mathcal{V}$ such that $\operatorname{Tr}_E(\rho_{SE}) =$ ρ_S . So, for any $\rho_S \in \text{Tr}_E(\mathcal{D}_{SE} \cap \mathcal{V})$, and any unitary evolution U of the whole system-environment, the reduced dynamics of the system, using Eqs. (2) and (9), is given by

$$\rho_S' = \operatorname{Tr}_E \circ \operatorname{Ad}_U \circ \Lambda_S(\rho_S) \equiv \Phi_S(\rho_S). \tag{10}$$

The unitary evolution U and the partial trace Tr_E are CP maps [1]. We have seen that the assignment map Λ_S is, in general, Hermitian. Therefore, the *dynamical map* Φ_S is, in general, a Hermitian map.

It is worth noting that for [the extension to the whole $\mathcal{L}(\mathcal{H}_S)$ of] each linear trace-preserving Hermitian map, as Φ_S , there exists an operator sum representation such that

$$\rho_{S}^{\prime} = \sum_{i} e_{i} \tilde{E}_{i} \rho_{S} \tilde{E}_{i}^{\dagger}, \quad \sum_{i} e_{i} \tilde{E}_{i}^{\dagger} \tilde{E}_{i} = I_{S}, \quad (11)$$

where \tilde{E}_i are linear operators on \mathcal{H}_S and e_i are real coefficients [10,17,18]. Only for the special case that all of the coefficients e_i in Eq. (11) are positive, then we can define $E_i = \sqrt{e_i} \tilde{E}_i$ and so the reduced dynamics of the system is CP, as Eq. (3). [Also, for the Hermitian map Λ_S , there exists a similar operator sum representation, as Eq. (11), with linear operators $\tilde{E}_i : \mathcal{H}_S \rightarrow$ $\mathcal{H}_S \otimes \mathcal{H}_E.$]

C. Reference state

In [9], introducing the reference states ω_{RSE} and ω_{RS} = $Tr_E(\omega_{RSE})$, we have connected the results of [6,10], reviewed in the two previous subsections. ω_{RS} is defined as [9]

$$\omega_{RS} = \sum_{l=1}^{m} \frac{1}{m} |l_R\rangle \langle l_R| \otimes \rho_S^{(l)}, \qquad (12)$$

where $\rho_S^{(l)} \in \mathcal{S}'_S$ and $\{|l_R\rangle\}$ is an orthonormal basis for the reference Hilbert space \mathcal{H}_R . In addition, the reference state ω_{RSE} is defined as [9]

$$\omega_{RSE} = \mathrm{id}_R \otimes \Lambda_S(\omega_{RS}) = \sum_{l=1}^m \frac{1}{m} |l_R\rangle \langle l_R| \otimes \rho_{SE}^{(l)}, \qquad (13)$$

where $\rho_{SE}^{(l)} \in S'$ is such that $\operatorname{Tr}_{E}(\rho_{SE}^{(l)}) = \rho_{S}^{(l)}$. We can construct subspaces \mathcal{V}_{S} and \mathcal{V} as the *generalized* steered sets, from ω_{RS} and ω_{RSE} , respectively. We have [9]

$$\mathcal{V}_S = \{ \mathrm{Tr}_R[(A_R \otimes I_S)\omega_{RS}] \},\tag{14}$$

and

$$\mathcal{V} = \{ \mathrm{Tr}_R[(A_R \otimes I_{SE})\omega_{RSE}] \}, \tag{15}$$

where A_R are arbitrary linear operators in $\mathcal{L}(\mathcal{H}_R)$.

When ω_{RSE} , in Eq. (13), is a Markov state, as Eq. (7), i.e., when there exists a CP assignment map, then, using Eq. (10), the reduced dynamics Φ_S is, consequently, CP.

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Comparing Eqs. (6) and (15) shows that, for the steered set S from the reference state ω_{RSE} in Eq. (13), we have $S \subseteq D_{SE} \cap V$. So, when the reduced dynamics, for all $\rho_{SE} \in$ $\mathcal{D}_{SE} \cap \mathcal{V}$, is CP, then, from Theorem 1, we conclude that ω_{RSE} is a Markov state, as Eq. (8).

In summary, we have [9].

Theorem 2. Consider the subspace $\mathcal{V} \subseteq \mathcal{L}(\mathcal{H}_S \otimes \mathcal{H}_E)$, in Eq. (15). The reduced dynamics of the system, in Eq. (10), is CP, for arbitrary U and any $\rho_{SE} \in \mathcal{D}_{SE} \cap \mathcal{V}$, if and only if the reference state ω_{RSE} in Eq. (13) is a Markov state, as Eq. (8).

III. MARKOVIANITY OF THE REFERENCE STATE AND COMPLETE POSITIVITY OF THE REDUCED DYNAMICS

Theorem 2 is based on Theorem 1. In [6], during the proof of Theorem 1, it has been assumed that, in general, the unitary time evolution $U: \mathcal{H}_S \otimes \mathcal{H}_E \to \mathcal{H}'_S \otimes \mathcal{H}'_E$ is such that the final Hilbert spaces of the system \mathcal{H}'_S and the environment \mathcal{H}'_E may differ from their initial ones, \mathcal{H}_S and \mathcal{H}_E , respectively.

In [11], we have questioned whether the above assumption can be relaxed or not. In other words, if the reduced dynamics, in Eq. (10), is CP, for arbitrary $U : \mathcal{H}_S \otimes \mathcal{H}_E \to \mathcal{H}_S \otimes \mathcal{H}_E$ and any $\rho_{SE} \in \mathcal{D}_{SE} \cap \mathcal{V}$, then whether we can conclude that the reference state ω_{RSE} in Eq. (13) is a Markov state, as Eq. (8), or not.

In this section, we consider an example, which is, in fact, example 4 of [10], for which we see that, though the reference state is not a Markov state, the reduced dynamics is CP, for arbitrary $U : \mathcal{H}_S \otimes \mathcal{H}_E \to \mathcal{H}_S \otimes \mathcal{H}_E$ and any $\rho_{SE} \in \mathcal{D}_{SE} \cap \mathcal{V}$. Therefore, the assumption of variability of Hilbert spaces of the system and the environment, during the time evolution $U: \mathcal{H}_S \otimes \mathcal{H}_E \to \mathcal{H}'_S \otimes \mathcal{H}'_E$, is necessary, for validity of Theorems 1 and 2.

Assume that the set S' is given by $S' = \{\rho, \sigma\}$, where $\rho =$ $\frac{1}{d_S d_E} I_{SE}$ and $\sigma = |1_S \rangle \langle 1_S | \otimes |1_E \rangle \langle 1_E |, |1_S \rangle \in \mathcal{H}_S$, and $|1_E \rangle \in \mathcal{H}_S$ \mathcal{H}_E . \mathcal{V} is the subspace spanned by \mathcal{S}' , and \mathcal{V}_S is spanned by $S'_{S} = \{\tilde{\rho} = \frac{1}{d_{s}}I_{S}, \tilde{\sigma} = |1_{S}\rangle\langle |1_{S}|\}. S'_{S}$ is a linearly independent set, as \mathcal{S}' . So, there is a one to one correspondence between the members of \mathcal{V} and \mathcal{V}_{S} . Therefore, from Sec. II B, the reduced dynamics Φ_S , in Eq. (10), is given by a Hermitian map, as Eq. (11), for arbitrary U and any $\rho_{SE} \in \mathcal{D}_{SE} \cap \mathcal{V}$.

It can be shown simply that the assignment map Λ_S , in Eq. (9), is nonpositive on \mathcal{V}_S [10]: for $a \ge 0$ and $-\frac{a}{d_s} \le b < 0$ $-\frac{a}{d_{S}d_{E}}, x = a\tilde{\rho} + b\tilde{\sigma} \ge 0$, but $\Lambda_{S}(x) = a\rho + b\sigma \ge 0$. So, any extension of Λ_S , to the whole $\mathcal{L}(\mathcal{H}_S)$, is also nonpositive, at least, on \mathcal{V}_S . Therefore, we expect that the reference state ω_{RSE} , in Eq. (13), is not a Markov state, as Eq. (8). In the following, we show this, explicitly. We have

$$\omega_{RSE} = \frac{1}{2} (|1_R 1_S 1_E\rangle \langle 1_R 1_S 1_E| + \frac{1}{d_S d_E} |2_R\rangle \langle 2_R| \otimes I_{SE}).$$
(16)

Note that $\langle 1_R 1_E | \omega_{RSE} | 1_R 1_E \rangle = \frac{1}{2} | 1_S \rangle \langle 1_S |$. If ω_{RSE} is a Markov state, then, from Eq. (8), we have

$$\langle 1_R 1_E | \omega_{RSE} | 1_R 1_E \rangle = \bigoplus_k \lambda_k \ \eta_{s_k^l} \otimes \eta_{s_k^r} = \frac{1}{2} | 1_S \rangle \langle 1_S |, \quad (17)$$

where $\eta_{s_k^l}$ and $\eta_{s_k^r}$ are positive operators on $\mathcal{H}_{s_k^l}$ and $\mathcal{H}_{s_k^r}$, respectively. Therefore

$$|1_{S}\rangle = |1_{s_{k_{0}}^{l}}\rangle|1_{s_{k_{0}}^{r}}\rangle, \qquad (18)$$

where $|1_{s_{k_0}^l}\rangle \in \mathcal{H}_{s_{k_0}^l}$ and $|1_{s_{k_0}^r}\rangle \in \mathcal{H}_{s_{k_0}^r}$, for some k_0 , and other $\eta_{s_k^l}$ and $\eta_{s_k^r}$, for $k \neq k_0$, are zero. Now, Eqs. (8) and (18) result that $\langle 1_S | \omega_{RSE} | 1_S \rangle$ must be as $\eta_R \otimes \eta_E$, where η_R and η_E are positive operators on \mathcal{H}_R and \mathcal{H}_E , respectively. But, from Eq. (16), it can be shown easily that $\langle 1_S | \omega_{RSE} | 1_S \rangle$ cannot be written in a product form $\eta_R \otimes \eta_E$. Therefore, ω_{RSE} , in Eq. (16), is not a Markov state.

Though ω_{RSE} is not a Markov state, it can be shown that the reduced dynamics, for arbitrary $U : \mathcal{H}_S \otimes \mathcal{H}_E \to \mathcal{H}_S \otimes \mathcal{H}_E$, is CP. Note that, if we do not extend Λ_S to the whole $\mathcal{L}(\mathcal{H}_S)$, the dynamical map Φ_S , in Eq. (10), is a map on \mathcal{V}_S . Now, by CP-ness of Φ_S , we mean that there exists an extension of Φ_S to the whole $\mathcal{L}(\mathcal{H}_S)$, as $\tilde{\Phi}_S$, such that $\tilde{\Phi}_S$ is a completely positive trace-preserving map, as Eq. (3).

A simple way of extending Φ_S is what is called *zero* extension [10]. First, we define the orthonormal projection \mathcal{P} : $\mathcal{L}(\mathcal{H}_S) \rightarrow \mathcal{V}_S$ (according to the Hilbert-Schmidt inner product

[1]), as below. For any $A \in \mathcal{L}(\mathcal{H}_S)$, we have

$$\mathcal{P}(A) = \sum_{i=1}^{2} \operatorname{Tr}(P_{i}A)P_{i}, \qquad (19)$$

where $P_1 = |1_S\rangle\langle 1_S|$ and $P_2 = \frac{1}{\sqrt{d_S-1}}\sum_{j=2}^{d_S}|j_S\rangle\langle j_S|$. { $|j_S\rangle$ } is an orthonormal basis for \mathcal{H}_S , including $|1_S\rangle$. \mathcal{P} is CP, as Eq. (3), and, for each $x \in \mathcal{V}_S$, we have $\mathcal{P}(x) = x$. Now, the zero extension of Φ_S to the whole $\mathcal{L}(\mathcal{H}_S)$ is

$$\tilde{\Phi}_S = \operatorname{Tr}_E \circ \operatorname{Ad}_U \circ \Lambda_S \circ \mathcal{P}.$$
(20)

From Eqs. (10) and (19), it is obvious that, for each $x \in \mathcal{V}_S$, we have $\tilde{\Phi}_S(x) = \Phi_S(x)$.

In [10], by constructing the Choi matrix (operator) [19], it has been shown that $\tilde{\Phi}_S$ is CP, for any $U : \mathcal{H}_S \otimes \mathcal{H}_E \to \mathcal{H}_S \otimes \mathcal{H}_E$. Consider the ket $|\xi\rangle = \sum_{i=1}^{d_S} |i_R\rangle |i_S\rangle \in \mathcal{H}_R \otimes \mathcal{H}_S$, which is, up to a normalization factor, the maximally entangled state. The Choi matrix, for the map $\tilde{\Phi}_S$, is [10]

$$\mathrm{id}_{R} \otimes \tilde{\Phi}_{S}(|\xi\rangle\langle\xi|) = |1_{R}\rangle\langle 1_{R}| \otimes \mathrm{Tr}_{E}(U\sigma U^{\dagger}) + (I_{R} - |1_{R}\rangle\langle 1_{R}|) \otimes \frac{d_{S}\mathrm{Tr}_{E}(U\rho U^{\dagger}) - \mathrm{Tr}_{E}(U\sigma U^{\dagger})}{d_{S} - 1}.$$
(21)

When the final Hilbert spaces of the system and the environment are the same as their initial ones, i.e., for all $U: \mathcal{H}_S \otimes \mathcal{H}_E \to \mathcal{H}_S \otimes \mathcal{H}_E$, then $d_S \operatorname{Tr}_E(U\rho U^{\dagger}) = I_S$. So, the Choi matrix is positive, since it is the summation of two positive operators. Therefore, $\tilde{\Phi}_S$ is CP.

According to Theorem 2, we expect, from the non-Markovianity of the reference state in Eq. (16), that there exists, at least, one unitary evolution $U : \mathcal{H}_S \otimes \mathcal{H}_E \to \mathcal{H}'_S \otimes$ \mathcal{H}'_E for which the reduced dynamics is non-CP. Assume U_0 is such that $\mathcal{H}'_S = \mathcal{H}_S \otimes \mathcal{H}_E$, and \mathcal{H}'_E is a trivial onedimensional Hilbert space. (In fact, this U_0 is what has been used in [6], during the proofs of Theorem 3, below, and, consequently, Theorem 1.) Then, the reduced dynamics of the system, for any $\rho_S \in \operatorname{Tr}_E(\mathcal{D}_{SE} \cap \mathcal{V})$, is given by

$$\rho_{S'}' = \Phi_S(\rho_S) = \operatorname{Tr}_{E'} \circ \operatorname{Ad}_{U_0} \circ \Lambda_S(\rho_S) = \Lambda_S(\rho_S), \quad (22)$$

which is nonpositive, since (any extension of) Λ_S is nonpositive.

Also, note that we have $d_S \operatorname{Tr}_{E'}(U_0 \rho U_0^{\dagger}) = \frac{1}{d_E} I_{SE}$, and $\operatorname{Tr}_{E'}(U_0 \sigma U_0^{\dagger}) = \sigma = |1_S\rangle \langle 1_S| \otimes |1_E\rangle \langle 1_E|$. So, the second term, on the right-hand side of Eq. (21), is nonpositive. Therefore, the zero extension $\tilde{\Phi}_S$, for U_0 , is non-CP, too.

Using this fact that when there exists a positive assignment map Λ_S then the reference state ω_{RSE} , in Eq. (13), is a Markov state [16], we can summarize the results of this section as below.

Proposition 1. Consider the subspace $\mathcal{V} \subseteq \mathcal{L}(\mathcal{H}_S \otimes \mathcal{H}_E)$, in Eq. (15). The reduced dynamics of the system, in Eq. (10), is Hermitian, for arbitrary U and any $\rho_S \in \text{Tr}_E(\mathcal{D}_{SE} \cap \mathcal{V})$. If the reference state ω_{RSE} in Eq. (13), is not a Markov state, as Eq. (8), then there exists, at least, one $U_0 : \mathcal{H}_S \otimes \mathcal{H}_E \rightarrow$ $\mathcal{H}'_S \otimes \mathcal{H}'_E$ for which the reduced dynamics is nonpositive. But, the non-Markovianity of ω_{RSE} does not guarantee the non-CPness of the reduced dynamics, when the unitary evolution Uis such that $\mathcal{H}'_S = \mathcal{H}_S$ and $\mathcal{H}'_E = \mathcal{H}_E$. Note that Proposition 1 includes a generalization of Theorems 1 and 2. Theorems 1 and 2 state that when ω_{RSE} is not a Markov state then there exists, at least, one U_0 such that the reduced dynamics is non-CP. But, Proposition 1 states that the non-Markovianity of ω_{RSE} leads to the nonpositivity of the reduced dynamics, for, at least, one U_0 , as Eq. (22), since the non-Markovianity of ω_{RSE} results in the nonpositivity of the assignment map Λ_S [16].

IV. NON-MARKOVIANITY OF THE REFERENCE STATE AND MONOTONICITY OF THE RELATIVE ENTROPY

In [13], it has been shown that the relative entropy, Eq. (4), is monotone under positive trace-preserving maps, as Eq. (5). As we have seen in Sec. II B, the dynamical map Φ_S , in Eq. (10), is, in general, a Hermitian trace-preserving map. Therefore, the question arises as to whether the relative entropy is monotone under Hermitian maps, too, or not.

In this section, we show that the result of [13] cannot be generalized to the Hermitian trace-preserving maps, in general. In other words, there exist physically admissible processes for which the relative entropy is not monotone.

First, note that, when the system and the environment undergo the unitary time evolution U, jointly, the reference state ω_{RSE} , in Eq. (13), evolves as

$$\omega'_{RSE} = \mathrm{id}_R \otimes \mathrm{Ad}_U(\omega_{RSE}). \tag{23}$$

This can be considered as an actual time evolution, for a tripartite closed quantum system of reference-system-environment, during which the reference remains unchanged.

From Eqs. (13) and (23), we have

$$\omega_{RSE}' = \sum_{l=1}^{m} \frac{1}{m} |l_R\rangle \langle l_R| \otimes \rho_{SE}'^{(l)}, \qquad (24)$$

where $\rho_{SE}^{\prime(l)} = \operatorname{Ad}_U(\rho_{SE}^{(l)})$. Therefore, the evolution of ω_{RS} , in Eq. (12), is given by

$$\omega_{RS}' = \operatorname{Tr}_{E}(\omega_{RSE}') = \sum_{l=1}^{m} \frac{1}{m} |l_{R}\rangle \langle l_{R}| \otimes \rho_{S}^{\prime(l)}$$
$$= \operatorname{id}_{R} \otimes \Phi_{S}(\omega_{RS}) \equiv \Phi_{RS}(\omega_{RS}), \quad (25)$$

where $\rho_{S}^{\prime(l)} = \text{Tr}_{E}(\rho_{SE}^{\prime(l)})$, and Φ_{S} is given in Eq. (10). Note that Φ_{S} is a Hermitian map, in general, and so is Φ_{RS} .

In addition, from Eq. (25), we have $\omega'_R = id_R(\omega_R) = \omega_R$ and $\omega'_S = \Phi_S(\omega_S)$, where $\omega_R = \operatorname{Tr}_S(\omega_{RS})$, $\omega'_R = \operatorname{Tr}_S(\omega'_{RS})$, $\omega_S = \operatorname{Tr}_R(\omega_{RS})$, and $\omega'_S = \operatorname{Tr}_R(\omega'_{RS})$. So, the evolution of the state $\sigma_{RS} = \omega_R \otimes \omega_S$ is also given by Φ_{RS} ; i.e., $\sigma'_{RS} = \Phi_{RS}(\sigma_{RS})$. Equivalently, we can consider the tripartite state $\sigma_{RSE} = \omega_R \otimes \omega_{SE}$, where $\omega_{SE} = \operatorname{Tr}_R(\omega_{RSE})$, which evolves as Eq. (23): $\sigma'_{RSE} = id_R \otimes \operatorname{Ad}_U(\sigma_{RSE})$. Now, it can be shown easily that $\sigma'_{RS} = \operatorname{Tr}_E(\sigma'_{RSE}) = \Phi_{RS}(\sigma_{RS})$.

Next, using Eq. (4), it can be shown that

$$S(\omega_{RS}||\sigma_{RS}) = S(\omega_{RS}||\omega_{R} \otimes \omega_{S}) = S(\omega_{R}) + S(\omega_{S}) - S(\omega_{RS})$$
$$= I(R:S)_{\omega}, \tag{26}$$

where $S(\rho) = -\text{Tr}(\rho \log_2 \rho)$ is the von Neumann entropy, and $I(R:S)_{\omega}$ is the *mutual information*, for the bipartite state ω_{RS} [1]. Similarly, we have $S(\omega'_{RS}||\sigma'_{RS}) = I(R:S)_{\omega'}$.

We want to verify whether the monotonicity relation, Eq. (5), is also valid for the Hermitian map Φ_{RS} , within its physical domain, or not. We examine the monotonicity for the two states ω_{RS} and σ_{RS} . So, using Eq. (26), we want to verify whether

$$I(R:S)_{\omega} \ge I(R:S)_{\omega'}.$$
(27)

The following theorem, proven in [6], will be helpful.

Theorem 3. Consider the tripartite state ω_{RSE} , which evolves as Eq. (23). The inequality (27), for the bipartite state ω_{RS} , holds, for arbitrary $U : \mathcal{H}_S \otimes \mathcal{H}_E \to \mathcal{H}'_S \otimes \mathcal{H}'_E$, if and only if ω_{RSE} is a Markov state, as Eq. (8).

Theorem 3 states that when ω_{RSE} is not a Markov state, e.g., Eq. (16), then there exists, at least, one U, for which the inequality (27) is violated. In other words, there exists, at least, one Hermitian map Φ_{RS} , for which we have

$$S(\Phi_{RS}(\omega_{RS})||\Phi_{RS}(\sigma_{RS})) = I(R:S)_{\omega'}$$

> $I(R:S)_{\omega} = S(\omega_{RS}||\sigma_{RS}).$ (28)

Therefore, the relative entropy is not monotone, under Hermitian maps, in general.

Let us illustrate Eq. (28), using the example considered in the previous section. Assuming that the system-environment evolution is given by U_0 , using Eqs. (12), (13), and (22), we can easily show that

$$\Phi_{RS}(\omega_{RS}) = \omega_{RSE},$$

$$\Phi_{RS}(\sigma_{RS}) = \sigma_{RSE} = \omega_R \otimes \omega_{SE}.$$
 (29)

So, as Eq. (26),

$$S(\Phi_{RS}(\omega_{RS})||\Phi_{RS}(\sigma_{RS})) = S(\omega_{RSE}||\omega_R \otimes \omega_{SE})$$

= $S(\omega_R) + S(\omega_{SE}) - S(\omega_{RSE}).$ (30)

Now, from Eqs. (26) and (30), we have

$$S(\Phi_{RS}(\omega_{RS})||\Phi_{RS}(\sigma_{RS})) - S(\omega_{RS}||\sigma_{RS})$$

= $S(\omega_{RS}) + S(\omega_{SE}) - S(\omega_{RSE}) - S(\omega_{S}).$ (31)

The right-hand side is always non-negative, using the *strong* subadditivity relation [1]. In fact, only when ω_{RSE} is a Markov state, as Eq. (8), the right-hand side is zero; otherwise, it is greater that zero [15]. So, e.g., for ω_{RSE} in Eq. (16), the inequality (28) is satisfied, when the evolution of the reference-system-environment is given by $id_R \otimes Ad_{U_0}$. For this ω_{RSE} , the right-hand side of Eq. (31) is 0.2375, when $d_S = d_E = 2$.

V. NONMONOTONICITY OF THE RELATIVE ENTROPY FOR A HERMITIAN EVOLUTION WHICH DOES NOT CHANGE INITIAL HILBERT SPACES

In the previous section, we have seen that the result of [13], of monotonicity of relative entropy under positive maps, cannot be generalized to Hermitian maps, in general. The example, which we gave, illustrating this result, was for the case that the final Hilbert spaces \mathcal{H}'_S and \mathcal{H}'_E differ from their initial ones \mathcal{H}_S and \mathcal{H}_E , respectively. In this section, we give another example, for which inequality (28) is satisfied, while $\mathcal{H}'_S = \mathcal{H}_S$ and $\mathcal{H}'_E = \mathcal{H}_E$, during the evolution.

We consider the example given in [20], in which both the system and the environment are qubits. An arbitrary state of the system can be written as

$$\rho_S = \frac{1}{2}(I_S + \vec{\alpha}.\vec{\sigma}_S),\tag{32}$$

where $\vec{\sigma}_S = (\sigma_S^{(1)}, \sigma_S^{(2)}, \sigma_S^{(3)}), \sigma_S^{(i)}$ are the Pauli operators, and the *Bloch vector* $\vec{\alpha} = (\alpha^{(1)}, \alpha^{(2)}, \alpha^{(3)})$ is a real three-dimensional vector such that $|\vec{\alpha}| \leq 1$ [1].

Consider the following (linear trace-preserving) Hermitian assignment map Λ_S :

$$\Lambda_{S}(\sigma_{S}^{(i)}) = \frac{1}{2}\sigma_{S}^{(i)} \otimes I_{E} \quad (i = 1, 2, 3),$$
$$\Lambda_{S}(I_{S}) = \frac{1}{2} \left(I_{SE} + a \sum_{i=1}^{3} \sigma_{S}^{(i)} \otimes \sigma_{E}^{(i)} \right), \quad (33)$$

(34)

where *a* is a fixed real constant. So,

1

$$\tau_{SE} \equiv \Lambda_S(\rho_S)$$

= $\frac{1}{4} \left(I_{SE} + \sum_{i=1}^3 \alpha^{(i)} \sigma_S^{(i)} \otimes I_E + a \sum_{i=1}^3 \sigma_S^{(i)} \otimes \sigma_E^{(i)} \right)$

When $a \ge 0$, τ_{SE} is positive for $|\vec{\alpha}| \le \sqrt{(1+a)(1-3a)}$, and, when $a \le 0$, τ_{SE} is positive for $|\vec{\alpha}| \le (1+a)$ [10,20]. Therefore, for $a \ne 0$, Λ_S is a nonpositive map.

The reference state ω_{RSE} , for this example, is constructed in [9]:

$$\omega_{RSE} = \sum_{l=1}^{3} \frac{1}{16} |l_R\rangle \langle l_R|$$
$$\otimes \left(I_{SE} + \alpha^{(l)} \sigma_S^{(l)} \otimes I_E + a \sum_{i=1}^{3} \sigma_S^{(i)} \otimes \sigma_E^{(i)} \right)$$
$$+ \frac{1}{16} |4_R\rangle \langle 4_R| \otimes \left(I_{SE} + a \sum_{i=1}^{3} \sigma_S^{(i)} \otimes \sigma_E^{(i)} \right), \quad (35)$$



FIG. 1. (a) Mutual information $I(R : S)_{\omega(\theta)}$, as a function of θ , for a = -0.8, $\alpha^{(1)} = 0.15b$, $\alpha^{(2)} = 0.25b$, and $\alpha^{(3)} = -0.6b$, where b = 1 + a, in Eq. (35). (b) Three of the eigenvalues of the Choi matrix, which are negative, for some values of θ , for a = -0.8.

where $\alpha^{(l)}$ are arbitrary real constants such that, for $a \ge 0$, $0 < |\alpha^{(l)}| \le \sqrt{(1+a)(1-3a)}$ and, for $a \le 0$, $0 < |\alpha^{(l)}| \le (1+a)$. From the nonpositivity of the assignment map Λ_S , in Eq. (33), we expect that the reference state ω_{RSE} is non-Markovian. In [9], it has been shown that ω_{RSE} , in Eq. (35), is not a Markov state, as Eq. (8).

According to Theorem 2, the non-Markovianity of ω_{RSE} results in existence of, at least, one U, for which the reduced dynamics Φ_S , in Eq. (10), is non-CP. In [20], a class of unitary evolutions of the system-environment, as

$$U(\theta) = \begin{pmatrix} 1 & 0 & 0 & 0\\ 0 & \cos\theta & \sin\theta & 0\\ 0 & -\sin\theta & \cos\theta & 0\\ 0 & 0 & 0 & 1 \end{pmatrix},$$
 (36)

has been introduced, where, for some values of θ , the reduced dynamics of the system $\Phi_S(\theta) = \text{Tr}_E \circ \text{Ad}_{U(\theta)} \circ \Lambda_S$ is non-CP [10,20]. The non-CP-ness of $\Phi_S(\theta)$ can be detected by calculating the eigenvalues of the Choi matrix of it. For this example, the Choi matrix is given explicitly in [10]. When, at least, one of the eigenvalues of the Choi matrix is negative, then $\Phi_S(\theta)$ is non-CP. For this example, the eigenvalues of the Choi matrix can be calculated analytically. In Fig. 1(b), three of the eigenvalues of the Choi matrix, which are negative, for some values of θ , are plotted, for a = -0.8. (The fourth one is always positive.)

Non-CP-ness of $\Phi_S(\theta)$ results in nonpositivity of $\Phi_{RS}(\theta) = \mathrm{id}_R \otimes \Phi_S(\theta)$, since $d_R = 4 > 2 = d_S$. From Eq. (25), we have $\omega_{RS}(\theta) = \Phi_{RS}(\theta)[\omega_{RS}]$, where $\omega_{RS} = \mathrm{Tr}_E(\omega_{RSE})$, and ω_{RSE} is given in Eq. (35). Fortunately, for this example, the eigenvalues of $\omega_{RS}(\theta)$ and $\omega_S(\theta) = \mathrm{Tr}_R[\omega_{RS}(\theta)]$ can be calculated analytically. Therefore, from Eq. (26), $I(R:S)_{\omega(\theta)}$, where $\omega(\theta) = \omega_{RS}(\theta)$ can, also, be calculated analytically. In Fig. 1(a), the mutual information $I(R:S)_{\omega(\theta)}$ is plotted as the function of θ . Figure 1(a) shows that $I(R:S)_{\omega(\theta)}$ exceeds its initial value, for some values of θ . So, for these values of θ , the inequality (28) is satisfied. Note that the unitary evolution $U(\theta)$, in Eq. (36), does not change \mathcal{H}_S and \mathcal{H}_E .

Let us summarize the result of the two last sections.

Proposition 2. The result of [13], of monotonicity of the relative entropy under positive trace-preserving maps, cannot be generalized to the Hermitian trace-preserving nonpositive maps, within their physical domains, in general. Inequality (28) can be satisfied, both when \mathcal{H}_S and \mathcal{H}_E vary, during the nonpositive evolution $\Phi_{RS} = id_R \otimes \Phi_S$, and when they do not vary.

To achieve the above result, first, we have considered the time evolution of reference-system-environment as Eq. (23), which allows us to use Theorem 3. Second, we have considered the two appropriate states ω_{RS} and σ_{RS} , for which we can write Eq. (26), both before and after the evolution $\Phi_{RS} = id_R \otimes \Phi_S$. Therefore, we could write the monotonicity relation, Eq. (5), as the inequality (27), which, from Theorem 3, we know is violated for a non-Markovian ω_{RSE} , for, at least, one U.

Note that $\sigma_S = \text{Tr}_R(\sigma_{RS}) = \omega_S$ and, so, $\sigma'_S = \Phi_S(\sigma_S) = \omega'_S$. Therefore, for the two equal states ω_S and σ_S (and the evolution Φ_S) the monotonicity relation, Eq. (5), is, trivially, satisfied. But, as we have seen, the evolution $\Phi_{RS} = \text{id}_R \otimes \Phi_S$ can lead to the violation of the inequality (5), for the two states ω_{RS} and σ_{RS} .

VI. SUMMARY

In [9], we have introduced the reference states ω_{RSE} , Eq. (13), and ω_{RS} , Eq. (12). There, we have used them to connect the results of [6,10], as reviewed in Sec. II. In this paper, we have given two other results, using these reference states.

First, in Sec. III, giving an explicit example, we have shown that, even when ω_{RSE} is not a Markov state, as Eq. (8), the reduced dynamics of the system can be CP, for arbitrary system-environment unitary evolution U, which does not change d_S and d_E .

This shows that the assumption of variability of Hilbert spaces of the system and the environment, during the time evolution $U : \mathcal{H}_S \otimes \mathcal{H}_E \to \mathcal{H}'_S \otimes \mathcal{H}'_E$, is necessary, for validity of Theorems 1 and 2.

Second, in Sec. IV, considering the time evolution of the reference states ω_{RSE} and ω_{RS} , and using Theorem 3, proven in [6], we have shown that, when ω_{RSE} is not a Markov state, then there exists, at least, one Hermitian nonpositive map $\Phi_{RS} = id_R \otimes \Phi_S$, for which the inequality (28) is satisfied. Note that ω_{RS} and σ_{RS} , in Eq. (28), are in the physical domain of Φ_{RS} . Therefore, the relative entropy is not monotone, under Hermitian nonpositive maps, even within their physical domains, in general.

When ω_{RSE} is not a Markov state, any possible assignment map Λ_S is nonpositive [16]. So, choosing $\Phi_S = \Lambda_S$, as Eq. (22), results in a nonpositive Φ_{RS} . In Sec. IV, we have seen that, at least, for this Φ_{RS} , inequality (28) is satisfied.

In addition to the above example, which includes changes in d_S and d_E after the evolution, in Sec. V, we have given another example, for which inequality (28) is satisfied, while \mathcal{H}_S and \mathcal{H}_E remain unchanged, during the evolution.

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