

REFLECTION OF RADIATION FROM A FINITE NUMBER OF EQUALLY SPACED PARALLEL PLANES

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ABSTRACT

Equations are derived for the fractions of the incident energy which are absorbed, reflected and transmitted by $n+1$ equally spaced parallel planes, taking account of all possible internal reflections, in terms of the corresponding fractions for a single plane, a , r and t respectively. When a and r are very small, as in the case of x-rays incident on a crystal surface, they may be computed from measurements of the reflected fraction R_N for N large, and of the transmitted fraction T_n , for n small.

1. *Introduction.* In dealing with the reflection, transmission and absorption of radiation in a crystalline medium, we assume the molecules of the medium to be situated in a number of equally spaced parallel planes (and to have the familiar lattice arrangement). We assume that when a ray of intensity I strikes one of these planes, a part of it, which we denote by rI , is reflected, another part tI transmitted, and the remaining part aI absorbed, so that

$$r+t+a=1. \quad (1)$$

These constants r , t and a are assumed to be the same for all planes.

Let us consider $n+1$ of these planes, numbered $1, 2, \dots, n, n+1$, and a ray of intensity I striking the first plane; taking into account all possible modes of reflection at one or more of the planes, a certain part $T_n I$ will be transmitted across the $n+1$ plane, another part $R_n I$ will be reflected, that is, will emerge on the same side of the first plane as the incident ray I , and the remaining part $A_n I$ is absorbed by the $n+1$ planes; we evidently have

$$R_n + T_n + A_n = 1. \quad (2)$$

Our problem is to express R_n and T_n (and hence, by (2), also A_n) in terms of r , t and n , and it is obviously permissible to assume $I=1$ in the following discussion.

2. *Determination of R_n and T_n for $n=0$ and $n=1$.* In the case of a single plane ($n=0$) it follows at once from the definitions that

$$R_0=r, \quad T_0=t. \quad (3)$$

In the case of two planes, numbered 1 and 2, a ray of unit intensity striking plane 1 gives a reflected ray r and a ray t penetrating plane 1, and when the latter ray strikes plane 2, it gives a transmitted ray $t \cdot t = t^2$ and a ray tr reflected from plane 2 toward plane 1. At the latter plane, this tr gives a ray $t \cdot tr = t^2r$ penetrating the plane toward the side of the incident ray, so that t^2r is a component of the total reflected ray R_1 , while a ray $r \cdot tr = tr^2$ is reflected toward plane 2. This tr^2 penetrates plane 2 in the amount $t \cdot tr^2 = t^2r^2$, which forms a component of the total transmitted ray T_1 , while a ray $r \cdot tr^2 = tr^3$ is reflected toward plane 1, giving at the latter a component $t \cdot tr^3 = t^2r^3$ of R_1 and a ray $r \cdot tr^3 = tr^4$ reflected toward plane 2, where it gives a component of T_1 equal to $t \cdot tr^4 = t^2r^4$ and a ray $r \cdot tr^4 = tr^5$ reflected toward plane 1. Continuing in this manner, we find for the sums of the components of the transmitted and reflected rays the expressions

$$\begin{aligned} T_1 &= t^2 + t^2r^2 + t^2r^4 + \dots, \\ R_1 &= r + t^2r + t^2r^3 + t^2r^5 + \dots, \end{aligned}$$

or summing the geometric series to the right

$$T_1 = t^2/(1-r^2), \quad R_1 = r + t^2r/(1-r^2). \quad (4)$$

3. *The two difference equations for R_n and T_n .* Proceeding to the general case, our first step consists in setting up two difference equations (that is, recurrent formulas) connecting T_{n+1} and R_{n+1} with T_n and R_n . Consider the $n+2$ planes numbered 1, 2, . . . , $n+1$, $n+2$; these we divide in two layers, the first layer consisting of the planes numbered 1, 2, . . . , $n+1$, and the second of those numbered $n+1$ and $n+2$. The plane numbered $n+1$, common to both layers, is called the boundary plane between them. It will be convenient to separate the reflected ray R_n in the ray r reflected directly at plane 1 and the remainder R'_n reflected at other planes after penetrating into the layer, so that

$$R_n = r + R'_n, \quad R_{n+1} = r + R'_{n+1}, \quad (5)$$

and (3) and (4) show that

$$R'_0 = 0, \quad R'_1 = t^2r/(1-r^2). \quad (6)$$

Now consider a ray of unit intensity striking the first layer at plane 1; the ray T_{n+1} transmitted through both layers is made up of components which we classify according to the number of times they cross the boundary plane between the first layer and the second. The component crossing the boundary plane once is obtained thus: let t'_n be a ray which is transmitted into the second layer after a certain number of trans-

missions and reflections in the planes of the first layer. Since the intensity of a transmitted ray is multiplied by t in the passage through a plane, it follows that the intensity of t_n' immediately before passing through the boundary plane is $t_n' \cdot t^{-1}$. Passing through the second layer, which consists of two planes, the intensity becomes $t_n' t^{-1} \cdot T_1$, and our component is the sum of $t_n' t^{-1} \cdot T_1$ extended over all partial rays t_n' ; the sum of the latter being T_n , the component of T_{n+1} arising from all partial rays crossing the boundary plane once is $T_n t^{-1} \cdot T_1$. Any ray transmitted through both layers must evidently cross the boundary plane an odd number of times. Hence the next component of T_{n+1} crosses the boundary plane three times and is obtained by taking the component $T_n t^{-1}$ arriving at the boundary plane and multiplying it by $R_1' t^{-1}$, thus forming a component which has crossed the boundary plane and been reflected in the interior of the second layer, but has not yet crossed the boundary plane the second time. Observe that we multiply by $R_1' t^{-1}$ and not by $R_1 t^{-1} = (r + R_1') t^{-1}$, since we are not concerned with those rays that are reflected at the boundary plane without penetrating into the second layer. This component $T_n t^{-1} \cdot R_1' t^{-1}$ we multiply by $R_n' t^{-1}$, thus obtaining a component which has crossed the boundary plane twice and been reflected in the interior of the first layer, but has not yet crossed the boundary plane for the third time. The third crossing of the boundary plane and transmission through the second layer multiplies our component by T_1 , so that the component of T_{n+1} resulting from crossing the boundary plane three times will be

$$T_n t^{-1} \cdot R_1' t^{-1} \cdot R_n' t^{-1} \cdot T_1,$$

where each multiplication point stands at a crossing of the boundary plane. Similarly, the component which crosses the boundary plane five times is

$$T_n t^{-1} \cdot R_1' t^{-1} \cdot R_n' t^{-1} \cdot R_1' t^{-1} \cdot R_n' t^{-1} \cdot T_1,$$

and so on. Finally T_{n+1} is the sum of all these components, so that

$$T_{n+1} = T_n t^{-1} T_1 [1 + R_1' R_n' t^{-2} + (R_1' R_n' t^{-2})^2 + \dots],$$

or by (4) and (6)

$$T_{n+1} = \frac{t T_n}{1 - r^2} \left[1 + \frac{r R_n'}{1 - r^2} + \left(\frac{r R_n'}{1 - r^2} \right)^2 + \dots \right].$$

The geometric series to the right converges, since $R_n < 1$ by (2), so that $R_n' < 1 - r$ by (5) and $r R_n' / (1 - r^2) < r / (1 + r) < 1$; summing the series, we obtain

$$T_{n+1} = \frac{t}{1-r^2} \cdot \frac{T_n}{1 - \frac{r}{1-r^2} R_n'} \quad (7)$$

Turning our attention to the reflected ray R_{n+1} and reasoning in exactly the same manner, we have first the component R_n which does not cross the boundary plane, then the component crossing the boundary plane twice which is $T_n t^{-1} \cdot R_1' t^{-1} \cdot T_n$, next the component $T_n t^{-1} \cdot R_1' t^{-1} \cdot R_n' t^{-1} \cdot R_1' t^{-1} \cdot T_n$ crossing the boundary plane four times, and so on, their sum being

$$R_{n+1} = R_n + T_n^2 R_1' t^{-2} [1 + R_1' R_n' t^{-2} + (R_1' R_n' t^{-2})^2 + \dots],$$

whence, replacing R_n and R_{n+1} by $r + R_n'$ and $r + R_{n+1}'$ according to (6) and observing that the series to the right is the same as in the expression for T_{n+1} ,

$$R_{n+1}' = R_n' + \frac{r}{1-r^2} \cdot \frac{T_n^2}{1 - \frac{r}{1-r^2} R_n'} \quad (8)$$

Equations (7) and (8) are the desired difference equations.

4. *Solution of the difference equations for R_n and T_n .* We now introduce the notation

$$\rho_n = 1 - \frac{r}{1-r^2} R_n' \quad (9)$$

whence, by (6),

$$\rho_0 = 1, \quad \rho_1 = 1 - \frac{t^2 r^2}{(1-r^2)^2}; \quad (10)$$

since $0 < R_n' < 1 - r$, it follows from (9) that

$$\frac{1}{1+r} < \rho_n < 1. \quad (11)$$

Introducing ρ_n in Eqs. (7) and (8), these take the form

$$T_{n+1} = \frac{t}{1-r^2} \cdot \frac{T_n}{\rho_n}, \quad (12)$$

$$\rho_n - \rho_{n+1} = \frac{r^2}{(1-r^2)^2} \cdot \frac{T_n^2}{\rho_n}, \quad (13)$$

From these, we form a difference equation of the second order for ρ_n by eliminating T_n in the following manner: in (13), replace n by $n+1$ and in the result, substitute the value of T_{n+1} from (12), whence

$$\rho_{n+1} - \rho_{n+2} = \frac{r^2}{(1-r^2)^2} \cdot \frac{T_{n+1}^2}{\rho_{n+1}} = \frac{l^2 r^2}{(1-r^2)^4} \cdot \frac{T_n^2}{\rho_{n+1} \rho_n^2}.$$

In the last expression, we substitute the value of T_n^2 taken from (13) obtaining

$$\rho_{n+1} - \rho_{n+2} = \frac{l^2}{(1-r^2)^2} \cdot \frac{\rho_n - \rho_{n+1}}{\rho_{n+1} \rho_n} = \frac{l^2}{(1-r^2)^2} \left(\frac{1}{\rho_{n+1}} - \frac{1}{\rho_n} \right).$$

Transposing terms, we obtain the difference equation of the second order

$$\rho_{n+2} + \frac{l^2}{(1-r^2)^2} \cdot \frac{1}{\rho_{n+1}} = \rho_{n+1} + \frac{l^2}{(1-r^2)^2} \cdot \frac{1}{\rho_n}, \quad (14)$$

which shows that the expression to the right remains unchanged in value when n is increased by unity. Starting with $n=0$, and increasing it by a unit at a time, it follows that the right hand member of (14) has the same value for any n as for $n=0$, so that

$$\rho_{n+1} + \frac{l^2}{(1-r^2)^2} \cdot \frac{1}{\rho_n} = \rho_1 + \frac{l^2}{(1-r^2)^2} \cdot \frac{1}{\rho_0},$$

and calculating the expression to the right by means of (10), we find

$$\rho_{n+1} + \frac{l^2}{(1-r^2)^2} \cdot \frac{1}{\rho_n} = 1 + \frac{l^2}{1-r^2}. \quad (15)$$

This difference equation of the first order is thus a first integral of (14), and is in its turn reduced to a directly integrable linear difference equation in the following manner. From (11) it is seen that ρ_n is positive, and from (13) that $\rho_n > \rho_{n+1}$. The sequence $\rho_0, \rho_1, \dots, \rho_n, \dots$ is thus decreasing toward a limit ρ :

$$\lim_{n \rightarrow \infty} \rho_n = \rho, \quad (16)$$

and (11) shows that

$$\frac{1}{1+r} \leq \rho < 1. \quad (17)$$

From (15) and (16) it is seen that ρ satisfies the equation

$$\rho + \frac{l^2}{(1-r^2)^2} \cdot \frac{1}{\rho} = 1 + \frac{l^2}{1-r^2}, \quad (18)$$

and solving this quadratic in ρ ,

$$2(1-r^2)\rho = 1 + l^2 - r^2 \pm \sqrt{(1+l^2-r^2)^2 - 4l^2}.$$

To determine the sign of the radical, we observe that $t < 1 - r$ by (1), so that (17) gives

$$> \frac{t}{1-r^2}; \quad (19)$$

on the other hand, the product of the roots of (18) equals $t^2/(1-r^2)^2$, and consequently (19) shows that the second root of (18) is less than $t/(1-r^2)$. Hence ρ is the greater of the two roots of (18) and corresponds to the plus sign before the radical. Resolving the expression under the radical sign into factors, we thus obtain

$$2(1-r^2)\rho = 1 + t^2 - r^2 + \sqrt{(1+t+r)(1+t-r)(1-t+r)(1-t-r)}. \quad (20)$$

As $n \rightarrow \infty$, R_n tends toward a limit R , which equals $r + (1-r^2)(1-\rho)/r$ by (5) and (9), so that, according to (20),

$$R = \frac{1}{2r} [1 - t^2 + r^2 - \sqrt{(1+t+r)(1+t-r)(1-t+r)(1-t-r)}]. \quad (21)$$

An expression for this R , which is the reflection in an infinite number of equally spaced parallel planes, was found in an entirely different way by K. W. Lamson¹ in form of an infinite series, the sum of which was shown by the author to be (20).²

Returning to Eq. (15), we subtract from it Eq. (18), obtaining

$$\rho_{n+1} - \rho = \frac{t^2}{(1-r^2)^2} \left(\frac{1}{\rho} - \frac{1}{\rho_n} \right);$$

making the substitution

$$\rho_n = \rho \left(1 + \frac{1}{\omega_n} \right), \quad (22)$$

the preceding equation becomes

$$\frac{\rho}{\omega_{n+1}} = \frac{t^2}{(1-r^2)^2} \cdot \frac{1}{\rho} \cdot \frac{1}{\omega_n + 1},$$

and writing

$$\mu = \frac{1-r^2}{t} \rho, \quad (23)$$

¹ K. W. Lamson, *Physical Review*, N. S. **17**, 624 (1921), Eqs. (2) and (3).

² Lamson, *l.c.* Eq. (5). This formula contains two misprints: the minus sign between t^2 and r^2 should read plus, and a minus sign should be inserted between r^2 and the square root. For the proof, see T. H. Gronwall, *Annals of Mathematics*, ser. 2, **23**, 282 (1922).

so that $\mu > 1$ by (19), this reduces to the linear difference equation in ω_n :

$$\omega_{n+1} = \mu^2(\omega_n + 1). \quad (24)$$

This may be written

$$\omega_{n+1} + \frac{\mu^2}{\mu^2 - 1} = \mu^2 \left(\omega_n + \frac{\mu^2}{\mu^2 - 1} \right),$$

and this last equation is integrated immediately, giving

$$\omega_n + \frac{\mu^2}{\mu^2 - 1} = \mu^{2n} \left(\omega_0 + \frac{\mu^2}{\mu^2 - 1} \right).$$

Since $\rho_0 = 1$ by (10), we have $\omega_0 = \rho / (1 - \rho)$ by (22), so that finally

$$\omega_n + \frac{\mu^2}{\mu^2 - 1} = \left(\frac{\rho}{1 - \rho} + \frac{\mu^2}{\mu^2 - 1} \right) \mu^{2n}. \quad (25)$$

Having thus determined ω_n explicitly as a function of r , t and n , we obtain R_n by the combination of (5), (9), and (22):

$$R_n = \frac{1}{r} - (1 - r^2)\rho \left(1 + \frac{1}{\omega_n} \right). \quad (26)$$

For T_n , we find from (13) and (22)

$$\frac{r^2}{(1 - r^2)^2} T_n^2 = \rho^2 \cdot \frac{1 + \omega_n}{\omega_n} \cdot \frac{\omega_{n+1} - \omega_n}{\omega_n \omega_{n+1}},$$

or replacing ω_{n+1} by its expression from (24) and using (23),

$$T_n^2 = \frac{t^2}{r^2} \cdot \frac{(\mu^2 - 1)\omega_n + \mu^2}{\omega_n^2}. \quad (27)$$

5. *Approximate formulas for calculating r and a from experimental values of R and T_n .* In experimental work, it is possible to determine the reflection in a section of the material of sufficient thickness to allow us to regard the number N of planes contained in it as infinite, that is, R is measured. Then the transmission T_n is measured in a section as thin as possible (n of the order 10^5). Now both r and a are very small (of the order of magnitude 10^{-6}), and a is several times less than r . This suggests writing

$$a/r = \alpha, \quad (28)$$

so that $\alpha < 1$, and expanding ρ , μ etc. in powers of r . By (1) and (28) we have

$$t = 1 - (1 + \alpha)r. \quad (29)$$

whence

$$\begin{aligned} t^{-1} &= 1 + (1+a)r + (1+a)^2r^2 + (1+a)^3r^3 + \dots, \\ t^{-2} &= 1 + 2(1+a)r + 3(1+a)^2r^2 + 4(1+a)^3r^3 + \dots \end{aligned} \tag{30}$$

It is convenient to introduce the notation

$$\beta = \sqrt{a(2+a)}, \quad (1+a)^2 = \beta^2 + 1, \tag{31}$$

and from (29) we find

$$\sqrt{(1+t+r)(1+t-r)(1-t+r)(1-t-r)} = 2\beta r \sqrt{1 - [(1+a)r - \frac{1}{4}\beta^2r^2]},$$

or expanding by the binomial theorem

$$\begin{aligned} &\sqrt{(1+t+r)(1+t-r)(1-t+r)(1-t-r)} \\ &= 2\beta r \left[1 - \frac{1}{2}(1+a)r - \frac{1}{8}r^2 - \frac{1}{16}(1+a)r^3 - \dots \right], \end{aligned} \tag{32}$$

whence by (20) and (21)

$$(1-r^2)^\rho = 1 - (1+a-\beta)r - \frac{\beta}{2}(1+a-\beta)r^2 - \frac{1}{8}\beta r^3 - \dots, \tag{33}$$

$$R = 1 + a - \beta + \frac{1}{2}\beta(1+a-\beta)r + \frac{1}{8}\beta r^2 + \dots \tag{34}$$

In the last equation, it is sufficient to retain the constant term, so that $R = 1 + a - \sqrt{a(2+a)}$, whence, solving for a ,

$$a = (1-R)^2/2R, \quad \beta = 1 + a - R = (1-R^2)/2R. \tag{35}$$

This determines a in terms of the measured R .³ To find r from the measured T_n , we expand μ in powers of r by means of (23), (30) and (33):

$$\mu = 1 + \beta r + \frac{1}{2}\beta(1+a+\beta)r^2 + \dots, \tag{36}$$

whence

$$\frac{\mu^2}{\mu^2-1} = \frac{1}{2\beta r} \left[1 - \frac{1}{2}(1+a-2\beta)r + \dots \right]. \tag{37}$$

From (33) and (37) it follows that

$$\frac{\rho}{1-\rho} + \frac{\mu^2}{\mu^2-1} = \frac{1}{r} \left(1 + a + \beta + \frac{1}{2\beta} + \dots \right), \tag{38}$$

³ Actually, (34) gives a as a power series in r , the constant term being (35), and the next term $(1-R^2)^2/8R^2 \cdot r$ which is small enough to be neglected.

where the terms not written out contain the first and higher powers of r . Solving (27) for ω_n , we find

$$\omega_n = \frac{t^2}{2r^2 T_n^2} [\mu^2 - 1 + \sqrt{(\mu^2 - 1)^2 + 4\mu^2 r^2 T_n^2 t^{-2}}],$$

where the radical is taken with the plus sign, since ω_n is positive by (24). Using (36) and (30), we find that the lowest term in r inside the bracket is $(2\beta + 2\sqrt{\beta^2 + T_n^2})r$, so that, with the aid of (37),

$$\omega_n + \frac{\mu^2}{\mu^2 - 1} = \frac{1}{r} \left(\frac{\beta + \sqrt{\beta^2 + T_n^2}}{T_n^2} + \frac{1}{2\beta} + \dots \right). \tag{39}$$

Dividing (39) by (38), taking the natural logarithm on both sides and expanding the logarithm of the right hand member in powers of r , we find

$$\log \frac{\omega_n + \frac{\mu^2}{\mu^2 - 1}}{\frac{\rho}{1 - \rho} + \frac{\mu^2}{\mu^2 - 1}} = \log \frac{\frac{\beta + \sqrt{\beta^2 + T_n^2}}{T_n^2} + \frac{1}{2\beta}}{1 + a + \beta + \frac{1}{2\beta}} + \dots$$

By (25), the expression to the left equals $2n \log \mu$, and since $\log \mu = \beta r + \dots$ by (36), we finally find, retaining only the lowest powers of r on either side,

$$r = \frac{1}{2n\beta} \cdot \log \frac{\frac{\beta + \sqrt{\beta^2 + T_n^2}}{T_n^2} + \frac{1}{2\beta}}{1 + a + \beta + \frac{1}{2\beta}}. \tag{40}$$

Since a and β are known by (35), this determines r in terms of the measured T_n , and finally (28) and (29) give $a = ar$, $t = 1 - (1 + a)r$.