

Spectroscopic Studies of SrTiO₃ Using Impurity-Ion Probes*

S. E. STOKOWSKI† AND A. L. SCHAWLOW

Department of Physics, Stanford University, Stanford, California 94305

(Received 1 July 1968)

Sharp-line fluorescence and absorption in the region of 7935 Å were observed in Cr³⁺-doped and undoped SrTiO₃. It is reasonably established that the fluorescence and absorption are due to the ²E ↔ ⁴A₂ transition (*R* line) of the Cr³⁺ ion. Polarization and absorption measurements indicate that the *R*-line transition is magnetic dipole. Fluorescence from Mn⁴⁺-doped SrTiO₃ was seen at about 7230 Å. At low temperatures, a temperature-dependent splitting of the *R*-line transition of Cr³⁺ is seen. It is shown that this is due to the lifting of the degeneracy of the ²E state by the temperature-dependent tetragonal field present in SrTiO₃ below 103±2°K. These results are compared with previous measurements on rare-earth ions in SrTiO₃. The possible origin of the tetragonal transition is discussed.

I. INTRODUCTION

THE interesting properties of the substance SrTiO₃ have made it a popular subject for study in the past few years. The presence of a temperature-dependent soft optical lattice mode in SrTiO₃ has been of primary interest to researchers.^{1,2} The temperature dependence of this mode is manifested in many properties of the crystal, such as the dielectric constant, electrostriction,³ and thermal conductivity.⁴ At room temperature SrTiO₃ has the cubic perovskite structure (space group, O_h¹), but transforms into a tetragonal structure at about 103°K (probable space group, D_{4h}¹⁸). This transition is apparently of second order or higher and has been studied by several methods.⁵⁻⁷

The work reported herein was undertaken with the idea of studying the properties of SrTiO₃ with the aid of impurity ions as probes of the local crystalline environment. The transition-metal ions of the (3d³) configuration were chosen as probes because they normally have sharp-line optical transitions and have been studied in a large number of materials. However, until recently fluorescence from SrTiO₃ had been seen only with rare-earth doping. Broad-band optical absorption, but no sharp-line absorption, had been observed in SrTiO₃ doped with Cr³⁺ and Mn⁴⁺. The absence of the familiar chromium spectrum was thought to be unusual, and therefore a more careful search for sharp-line absorption or fluorescence was undertaken. Samples of SrTiO₃ doped with from 0.005 to 0.05 wt% of Cr₂O₃, nominally pure samples, and one doped with 0.01 wt% of MnO₂ were used in this search. Both sharp-line fluorescence and absorption were subsequently found in the Cr-doped SrTiO₃, and fluorescence was observed from the Mn-doped and nominally "pure" SrTiO₃.⁸⁻¹⁰

In this paper we describe some of the characteristics of the fluorescence and absorption spectra in SrTiO₃ and discuss the possible sources of the transitions.

Previous work on Cr³⁺ and Mn⁴⁺ in SrTiO₃ was mainly concerned with the electron spin-resonance properties of these ions in their ground states. Müller¹¹ showed that the Cr³⁺ ion is in a site of cubic symmetry at room temperature. Because of the cubic symmetry and narrow linewidth of the spin resonance, it is unlikely that the Cr³⁺ ion goes into an interstitial site. Also, since the Cr³⁺ ion has almost the same ionic radius as Ti⁴⁺, it should replace Ti⁴⁺ rather than Sr²⁺. However, the replacement of Ti⁴⁺ by Cr³⁺ introduces a charge defect into the lattice. The defect is compensated, probably by an oxygen vacancy, either locally or at a distant site. Because the spin resonance shows the Cr³⁺ ion is in a cubic site, the compensation takes place nonlocally.

II. EXPERIMENTAL TECHNIQUES AND EQUIPMENT

The doped and undoped single crystals of SrTiO₃ were grown by the National Lead Co. The fluorescence spectra of the SrTiO₃ samples were excited by a 1000-W PEK Labs AH-6 high-pressure mercury arc. The light from this source passed through a saturated CuSO₄ solution, which filtered out most of the red and infrared light. The fluorescence from the sample was analyzed by a $\frac{3}{4}$ -m or a 1.8-m focal length grating spectrometer and detected by a cooled RCA 7102 photomultiplier. In order to improve the signal-to-noise ratio in absorption measurements, a Nuclear Data model-800 Enhance-tron signal averager was utilized. The Enhance-tron summed the signal from repeated scans of the spectrometer. In some cases a Bausch and Lomb dual-grating spectrograph with dispersions of 4 and 8 Å/mm was used, and the spectra were recorded on 1-N photographic plates.

The sample was cooled by cold helium gas from the boil-off of liquid helium. The flow rate of the gas pro-

* Research supported by the Army Research Office, Durham.

† National Science Foundation Predoctoral Fellow.

¹ R. A. Cowley, *Phys. Rev.* **134**, A981 (1964).² J. M. Worlock and P. A. Fleury, *Phys. Rev. Letters* **19**, 1176 (1967).³ G. Rupprecht and W. H. Winter, *Phys. Rev.* **155**, 1019 (1967).⁴ E. F. Steigmeier, *Phys. Rev.* **168**, 523 (1968).⁵ L. Rimai and G. A. deMars, *Phys. Rev.* **127**, 702 (1962).⁶ H. Unoki and T. Sakudo, *J. Phys. Soc. Japan* **23**, 546 (1967).⁷ R. Bell and G. Rupprecht, *Phys. Rev.* **129**, 90 (1963).⁸ S. E. Stokowski and A. L. Schawlow, *Bull. Am. Phys. Soc.* **11**, 886 (1966).⁹ L. H. Grabner, *Bull. Am. Phys. Soc.* **12**, 1069 (1967).¹⁰ Y. T. Sihvonen, *J. Appl. Phys.* **38**, 443 (1967).¹¹ K. A. Müller, in *Paramagnetic Resonance*, edited by W. Low (Academic Press Inc., New York, 1963), Vol. I.

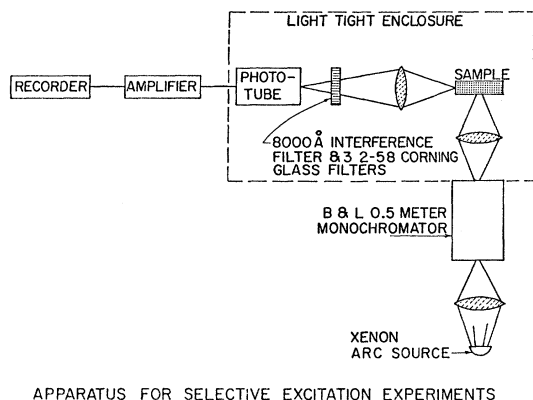


FIG. 1. Apparatus for selective excitation experiments.

vided the course control on the temperature; and a heater attached to the sample holder, the fine control. The temperature of sample was measured by means of an Au (2.1% Co) versus Cu thermocouple attached to the sample with G.E. 7031 glue.

In order to help in the identification of the impurity center, experiments were done in which the fluorescence was selectively excited by narrow-band radiation. Figure 1 shows the experimental arrangement.

The lifetime of the fluorescence was also determined. The fluorescence was excited by a 200- μ sec pulse from a xenon flash tube. The output from a photomultiplier detector was amplified by a logarithmic amplifier and displayed on an oscilloscope. The log amplifier was limited to lifetimes longer than 0.5 msec.

III. $\text{SrTiO}_3:\text{Cr}^{3+}$

Figure 2 shows the fluorescence spectrum of SrTiO_3 doped with 0.05 wt% of Cr_2O_3 . At 20°K the spectrum consists of a zero-phonon doublet split by 2.9 cm^{-1} at 12 595.8 cm^{-1} plus associated phonon sidebands. The linewidth of the no-phonon lines is about 0.5 cm^{-1} at 4.2°K. Only a single line is seen at 77°K with a width of 5 cm^{-1} . The lifetime of this fluorescence is 18.5 msec at 4.2°K, 18 msec at 77°K, and drops to 5 msec at 175°K.

The sharp lines also appear in the absorption spectrum at the same energy as the emission lines; i.e., there is no Stokes shift. The peak absorption coefficient at 2°K is about 0.026 cm^{-1} for a SrTiO_3 sample doped with 0.05% Cr_2O_3 . A sample doped with 0.005% Cr_2O_3 gave a peak absorption coefficient of 0.003 cm^{-1} . This shows that the concentration of the absorbing center is roughly proportional to the concentration of Cr^{3+} ions. Assuming that the lines have a Gaussian shape and that the density of Cr^{3+} ions is given by the nominal value of doping, the calculated oscillator strength (f) of the transitions is approximately 1.4×10^{-9} . This number is a lower limit of f because the actual number of Cr^{3+} ions in cubic sites is usually lower than the nominal value of doping.

The same fluorescence, but no absorption, was also seen in undoped samples of SrTiO_3 . However, the intensity of fluorescence was much weaker and temperature-dependent. The intensity drops by a factor of about 100 from 77 to 20°K. This is similar to the data obtained by Sihvonen.¹⁰ Other than the temperature variation of the intensity, the fluorescence spectrum in the undoped samples is identical with that seen in the Cr-doped SrTiO_3 .

The presence of a no-phonon doublet means that there is a splitting of the excited state or the ground state or that the lines originate from ions in slightly inequivalent sites. If there is rapid thermalization between the energy levels, then the population of the levels will have a Boltzmann distribution. If this splitting is due to ions in inequivalent sites, then the energy transfer must be rapid compared to the lifetime of the excited state in order that a Boltzmann distribution be attained. This is rather unlikely for a dilute impurity system because of the low probability of energy exchange. Because 2.9 cm^{-1} is equivalent to 4.2°K, there should be change in the relative intensities of the two lines if the temperature is lowered from 20 to 2°K. Experimentally it is found that in absorption the relative intensities remain constant, but that in fluorescence the intensity of the higher-frequency component decreases relative to that of the lower-frequency component. This indicates that the energy splitting is in the excited state.

The data presented so far indicate that the fluorescence and absorption are due to the ${}^2E \leftrightarrow {}^4A_2$ transition (R line) of the Cr^{3+} ion. The 2E excited state would be split by the tetragonal field present in SrTiO_3 below 103°K, which is what is observed. The fact that the fluorescence can be seen in undoped SrTiO_3 may seem unusual. But this does not rule out the possibility that it is the chromium fluorescence. Cr^{3+} has broad pumping bands and a narrow emission line which often shows nearly unity quantum efficiency. The undoped SrTiO_3 crystals contain on the order of 1 ppm of Cr impurities.

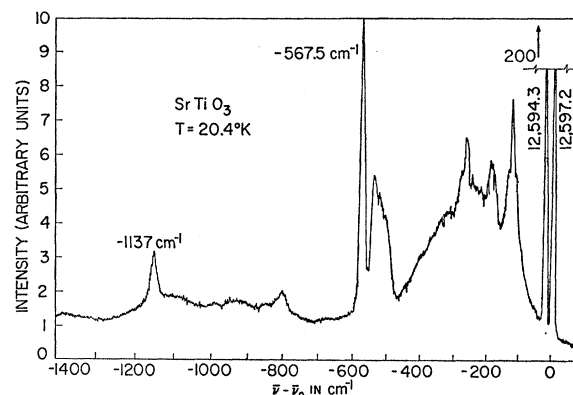


FIG. 2. The fluorescence spectrum of SrTiO_3 doped with 0.005-wt% Cr_2O_3 (the splitting of the doublet has been exaggerated for clarity).

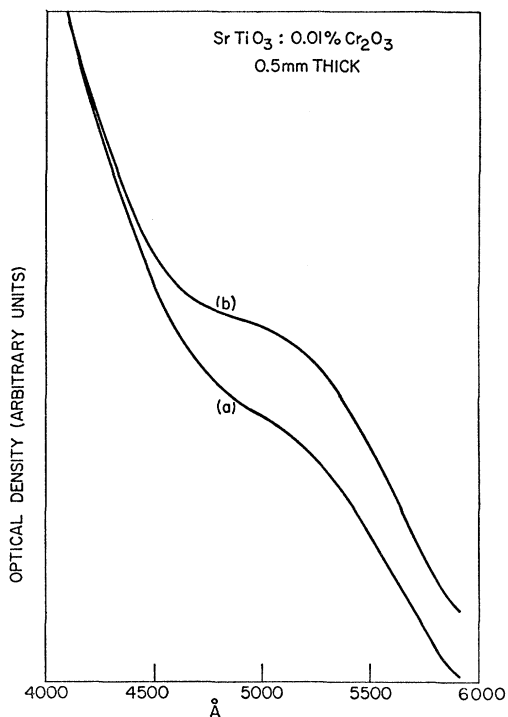


FIG. 3. Absorption of $\text{SrTiO}_3:\text{Cr}^{3+}$ (a) after being in dark for four hours; (b) after exposure to white light.

With an efficient mechanism of energy excitation and high quantum efficiency, the fluorescence from an impurity of this concentration could still be seen. The quantum efficiency of Cr^{3+} in Al_2O_3 and in MgO is so high that fluorescence can be seen very easily in samples with 1 ppm of chromium.

Chromium-doped SrTiO_3 , though, is unusual in at least one respect. The position of the R lines is much farther to the red than normally found. Nevertheless, this low energy for the R lines is not unreasonable. For Cr^{3+} surrounded by six nearest-neighbor ions, the known position of the R lines varies from 6807 Å in emerald to 7337 Å in LaAlO_3 .^{12,13} In the highly covalent substance $\text{K}_3[\text{Cr}(\text{CN})_6]$ the R -line energy is about 12 300 cm^{-1} .¹⁴ According to the crystal theory as worked out by Tanabe and Sugano,¹⁵ a low value of the R -line energy indicates a low value for the parameter B , which is a measure of the electron-electron interaction between the valence d electrons. The value of B would have to be about 600 cm^{-1} in order to explain the low energy of the R lines. The electron-electron interaction between the d electrons could be reduced because of increased covalency in SrTiO_3 . Increasing the covalency normally lowers the $e-e$ interaction, because the impurity ion

electrons spend some of their time on the ligands, thereby becoming less localized.¹⁶

In order to get a better estimate of the crystal-field parameters, Dq and B , of Cr^{3+} ion in SrTiO_3 , it is helpful to know the energies of the 4T_2 and 4T_1 states. However, the 4T absorption bands, normally seen in Cr^{3+} -doped materials, are not seen in the room-temperature absorption of $\text{SrTiO}_3:\text{Cr}^{3+}$. Instead there is a strong broad-band absorption which has a slight peak at 5150 Å as is shown in Fig. 3(a). This peak also appears in reduced samples of SrTiO_3 . After heating the crystals of $\text{SrTiO}_3:\text{Cr}^{3+}$ at 950°C in vacuum, this absorption decreases. The crystal can be returned to its original state by heating in air or oxygen. This is similar to the effect seen by Gandy¹⁷ in cobalt- and iron-doped samples of SrTiO_3 .

This absorption is also affected by exposure to visible light as shown in Fig. 3. The same effect is also seen in Co-doped SrTiO_3 . This photo-effect is rather long lived, on the order of minutes. It could be due to the excitation by infrared radiation of an electron from the valence band to an acceptor site. The increased absorption at 5100 Å would then correspond to the excitation of this electron into the conduction band. The presence of such an acceptor site could also lead to quenching of photoconductivity by infrared radiation. This is illustrated in Fig. 4. Such a quenching has been observed by Grabner.¹⁸

It is likely that the strong broad-band absorption seen in Cr-doped SrTiO_3 is not due to the Cr^{3+} ion, but to the Ti^{3+} ion or a defect introduced in the growth process. A 0.01% Cr_2O_3 -doped sample has a nominal concentration of 4×10^{18} Cr^{3+} ions/ cm^3 . The 4T_1 and 4T_2 bands should have absorption coefficients of about 0.3 and 0.6 cm^{-1} , respectively, based on Cr^{3+} in Al_2O_3 .¹⁹ However, the absorption coefficient of a 0.01% $\text{Cr}_2\text{O}_3:\text{SrTiO}_3$ sample is about 16 cm^{-1} at 6150 Å and 37 cm^{-1} at 4700 Å. Therefore, the Cr^{3+} 4T band absorption is completely masked by this other absorption.

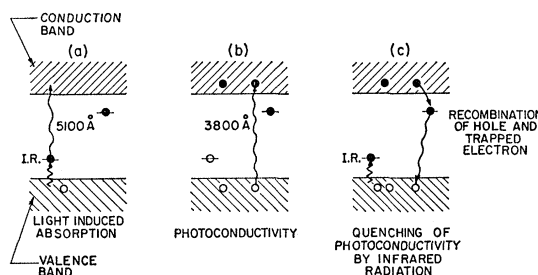


FIG. 4. Properties of SrTiO_3 due to the presence of acceptor and donor sites.

¹² D. L. Wood, *J. Chem. Phys.* **42**, 3404 (1965).

¹³ L. Couture, F. Brunetiere, F. Forrat, and P. Trévoux, *Compt. Rend.* **256**, 3046 (1963).

¹⁴ R. M. Macfarlane (private communication).

¹⁵ Y. Tanabe and S. Sugano, *J. Phys. Soc. Japan* **9**, 753 (1954); **11**, 864 (1956).

¹⁶ V. V. Druzhinin, *Fiz. Tverd. Tela.* **9**, 2457 (1967) [*Soviet Phys.—Solid State* **9**, 1938 (1968)].

¹⁷ H. W. Gandy, *Phys. Rev.* **113**, 795 (1959).

¹⁸ L. H. Grabner, in *Interaction of Radiation with Solids*, edited by A. Bishnay (Plenum Press, Inc., New York, 1967), p. 155.

¹⁹ D. M. Dodd, D. L. Wood, and R. L. Barns, *J. Appl. Phys.* **35**, 1183 (1964).

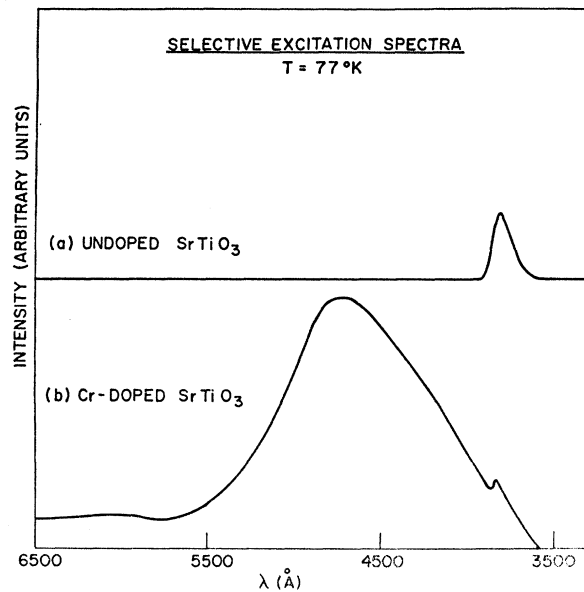


FIG. 5. Selective excitation spectra of undoped and Cr-doped SrTiO_3 (uncorrected for system response).

In order to determine what the energy of the 4T_1 and 4T_2 bands might be, the fluorescence was selectively excited by narrow-band radiation. The results are shown in Fig. 5 for doped and undoped SrTiO_3 . The peaks in the selective excitation spectrum of the Cr-doped SrTiO_3 occur at 6150, 4700, and 3800 Å. The undoped sample has a peak only at 3800 Å. The 4T_2 and 4T_1 bands of the Cr^{3+} ion could be identified with the maxima at 6150 and 4700 Å, respectively. The parameters, Dq and B , would then have the approximate values 1530 and 560 cm^{-1} , respectively.

The 3800-Å peak corresponds to excitation of electrons into the conduction band. It is likely that energy is being transferred from the conduction band to the impurity system. For the undoped sample this is the primary mechanism for excitation. The strong fluorescence of the Cr^{3+} ion in undoped SrTiO_3 could be explained by an efficient host-impurity energy transfer. This energy-transfer hypothesis is supported by some results obtained by Weber.²⁰ He noticed that some undoped samples showed a millisecond buildup in the fluorescence after a 5- μsec pulsed excitation. This was not seen in Cr-doped samples.

The intensity decrease of the fluorescence at low temperature is due to the loss of this energy transfer from the conduction band. Below 80°K Sihvonen¹⁰ and Grabner¹⁸ observed a broad-band fluorescence at 5400 Å which increased in intensity as the temperature was lowered. The infrared and green emissions may be competing processes for the energy absorbed at the band edge. The energy transfer to the infrared emission may require the assistance of a phonon, which explains why it disappears at low temperature.

²⁰ M. J. Weber (private communication).

We would like to summarize some of the evidence that the fluorescent center in SrTiO_3 is the Cr^{3+} ion.

1. The sharp-line absorption is proportional to the concentration of Cr^{3+} ions.

2. Only two no-phonon transitions are seen. This is consistent with the known energy-level scheme of the Cr^{3+} ion. The 2E_g excited state is split by the tetragonal field present in SrTiO_3 below 103°K. The ${}^4A_{2g}$ ground state is also split by the field; however, the splitting¹¹ is $8 \times 10^{-4} \text{ cm}^{-1}$ at 77°K, which is too small to be observed optically.

3. The selective excitation spectrum has two peaks, which can be ascribed to the 4T_2 and 4T_1 bands.

IV. POLARIZATION OF R LINES

Because of the tetragonal distortion at low temperatures the 2E_g level is split into two components, normally given the labels u and v . In general the transitions from these levels to the ground state are polarized with respect to the tetragonal axis. However, SrTiO_3 has tetragonal domains. This means that the tetragonal axis lies along different directions in different domains. Therefore, if the three differently oriented domains are equally probable, then no polarization of the transitions will be seen because then the material is essentially isotropic.

Experimentally this is not the situation. The intensities of the R -line components, R_u and R_v , do vary with the polarization. Each line reaches its maximum or minimum when the polarization is parallel to one of the cubic axes. The intensity ratio $I_u:I_v$ also varies with the polarization. For instance, in one experiment $I_u:I_v$ was equal to two for the polarization vector parallel to [001]. By rotating the plane of polarization by 90°, the ratio $I_u:I_v$ became $\frac{1}{2}$. However, the intensity ratio for a given polarization varied from sample to sample, and even varied in the same sample after heating and cooling through the tetragonal transition. This indicates that the polarization is due to unequal numbers of the three differently oriented domains. The relative numbers of these domains can change each time the crystal is heated and cooled through the 107°K transition. Because of the observed polarization of the R lines, something can be said about the magnetic or electric dipole character of the transitions.

TABLE I. Relative intensity of R lines split by a tetragonal field.

		The light is emitted along the X axis			
		c axis parallel to			
		E vector Z axis		E vector Y axis	
		u	v	u	v
Magnetic dipole	z axis (Z)	3	1	0	4
	y axis (Y)	0	4	3	1
	x axis (X)	3	1	3	1
Electric dipole	z axis (Z)	0	0	3	1
	y axis (Y)	3	1	0	0
	x axis (X)	3	1	3	1

The intensities of the R_u and R_v lines for polarization parallel and perpendicular to the tetragonal axis has been calculated by Imbusch *et al.*²¹ Two different results are obtained depending on whether the transitions are magnetic or electric dipole. An odd component of the crystalline field could allow the electric dipole transition, and it is assumed that this odd field is parallel to the tetragonal axis. The intensity ratios of the two R lines from the differently oriented systems are given in Table I.

First consider magnetic dipole transitions. If the amount of emission from each domain is the same, then the intensity is $(3+3+0)=6$ for the u component and $(1+1+4)=6$ for the v component. The intensities of the lines are equal. A change of the polarization vector \mathbf{E} from the z to the y axis reverses the contribution from (Y) and (Z) domains. If there is an equal amount of light from each, then there is no change in the relative intensity of the u and v components. However, if the (Y) and (Z) domains contribute an unequal amount to the total intensity, then the u component will be stronger in one polarization and the v component stronger in the other.

Now consider electric dipole transitions. With equal amounts of the three domains the intensity ratio is 3:1 in favor of the u component. However, if the light from the (Y) and (Z) domains is not equal, then rotating the \mathbf{E} vector by 90° will change the intensity of the R lines, but *not* the ratio of the intensities. Therefore, because the intensity ratio *does* change when the polarization is changed, the transition must be mainly of magnetic dipole character. This indicates that the Cr³⁺ ion must be situated in a site which has approximate inversion symmetry.

V. TEMPERATURE DEPENDENCE OF R-LINE SPLITTING

Rimai and deMars⁵ found that the field of tetragonal symmetry seen by the Gd³⁺ ion in SrTiO₃ is temperature-dependent. Near the transition temperature the field varies as $(T_c - T)^{1/2}$ where $T_c = 110 \pm 2.5^\circ\text{K}$ from their experimental data. Since Gd³⁺ is believed to substitute for the Sr²⁺ ion and Cr³⁺ for the Ti⁴⁺ ion, it is interesting to compare the temperature dependence of the tetragonal field at the Sr²⁺ site with that at the Ti⁴⁺

TABLE II. Splittings of the 2E_g and ${}^4A_{2g}$ states of Cr³⁺ in MgO and SrTiO₃.

	MgO	SrTiO ₃
	Uniaxial pressure (77°K)	(80°K)
	[$\text{cm}^{-1}/10^{-3}(a/c-1)$]	(cm^{-1})
$\Delta({}^2E_g)$	1.3 ^a	1.5 ^c
$2D({}^4A_{2g})$	1.8×10^{-3} ^b	8.0×10^{-4} ^d

^a Reference 23.
^b Reference 24.

^c This work.
^d Reference 11.

²¹ G. F. Imbusch, A. L. Schawlow, A. D. May, and S. Sugano, Phys. Rev. **140**, A830 (1965).

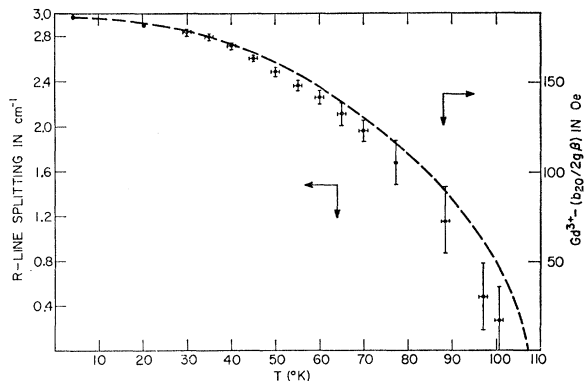


Fig. 6. R -line splitting of Cr³⁺ in SrTiO₃ versus temperature (points with error bars). The tetragonal splitting of the Gd³⁺ ground state versus temperature is shown as a dashed line. (Gd³⁺ data taken from Rimai and deMars, Ref. 5.)

site. Because the degeneracy of the 2E state of Cr³⁺ ion is lifted by an axial field, the R -line splitting can be used for observing any variation of the tetragonal field with temperature. The R -line splitting is temperature-dependent as shown in Fig. 6. It has a temperature dependence similar to that of the tetragonal splitting of the Gd³⁺ spin-resonance spectrum, also shown in Fig. 6. However, the transition temperature appears to be slightly lower in our samples, perhaps because of the impurity doping.

A word should be said on how these results were obtained since the two R lines cannot be resolved above 65°K. It is fortunate that the intensity ratio of the two lines can be varied by rotating the plane of polarization, as mentioned in the previous section. Therefore, above 65°K the peak of the observed line shifts if the plane of polarization is rotated. The true separation of the R lines can then be calculated from the observed shift and the relative intensity of the two components as a function of polarization. The relative intensity was measured at 20°K, where the lines are completely resolvable.

The results of Unoki and Sakudo²² indicate that the tetragonal transition consists primarily of a rotation of the TiO₆ octahedra. If these octahedra remain regular, then the tetragonal field at the titanium site is only provided by the ions beyond the nearest-neighbor oxygen ions. In order to determine whether or not the oxygen octahedron might be distorted, the magnitude of the R -line splitting in SrTiO₃ should be compared to that of Cr³⁺ in a substance where the amount of tetragonal distortion can be determined. MgO:Cr³⁺ is such a material. Since MgO is cubic, the tetragonal field is only present if uniaxial pressure is applied along a cubic axis. Table II compares the splittings of the 2E and 4A_2 states in MgO and SrTiO₃.

Under an axial pressure along $[100]$ of 22×10^8 dyn/cm² the 2E splitting of Cr³⁺:MgO is 1.4 cm⁻¹. The

²² H. Unoki and T. Sakudo, J. Phys. Soc. Japan **23**, 546 (1967).

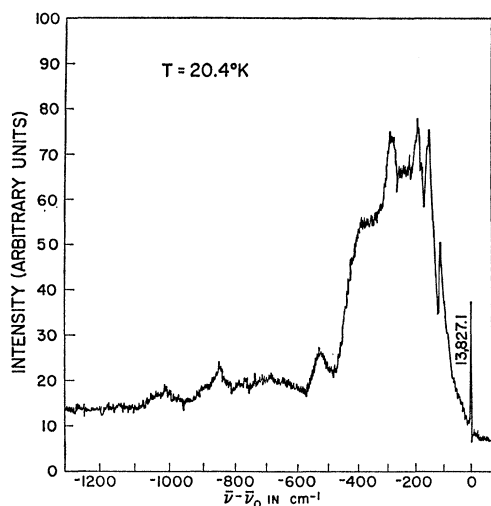


FIG. 7. Fluorescence spectrum of SrTiO₃ doped with 0.01% MnO₂.

tetragonal ($a/c-1$) at this pressure, as calculated from the elastic constants given in Refs. 23 and 24 is approximately 10^{-3} . The corresponding splitting in SrTiO₃ is 1.5 cm^{-1} for a $(c/a-1) \cong 3 \times 10^{-4}$. The order of magnitude of the R -line splitting is about the same in the two materials. However, in MgO the nearest-neighbor oxygen octahedron is tetragonally distorted by the uniaxial pressure. Therefore, it is likely that the oxygen octahedron in SrTiO₃ is not regular below the tetragonal transition.

VI. TETRAGONAL PHASE TRANSITION IN SrTiO₃

The "soft-mode" theory of ferroelectricity has been very successful in explaining the properties of ferroelectrics above their Curie temperatures. This is because ferroelectricity in the perovskites is essentially the result of the relative displacement of positive and negative ions. Thus, it can be analyzed in terms of the normal modes of the lattice. The transition to ferroelectricity can be considered as the result of an instability in one of the lattice modes of vibration. The soft mode is just this mode. Therefore, the displacements of the ions below the transition temperature might be expected to resemble the displacements in the soft-mode vibration. Also, by looking at the distorted structure in the ferroelectric state, something can be said about the possible symmetry of the soft mode.

But the ferroelectric transition is not the only one of interest in the perovskites. The other common transition is one in which the ideal cubic perovskite lattice transforms into an orthorhombic or tetragonal form. The transition is normally from the space group O_h^1 to D_{2h}^{16} or D_{4h}^{18} . This transition is essentially characterized by

²³ A. L. Schawlow, A. H. Piksís, and S. Sugano, Phys. Rev. **122**, 1469 (1961).

²⁴ G. D. Watkins and E. Feher, Bull. Am. Phys. Soc. **7**, 29 (1962).

a rotation and tilting of the oxygen octahedra, and given a name, "puckering" transition, by Francombe and Lewis.²⁵ They ascribed the transition to an instability in the B - O - B ion chains. (They use the formula ABO_3 to represent a general perovskite.) This occurs because if the A ion is small, the B - O - B chains can buckle in order to maintain a given B - O bond length as the crystal contracts.

Because this transition is characterized by a regular displacement of the ions, it can be analyzed in terms of the lattice modes. However, in contrast to the case of ferroelectricity, the size of the unit cell increases. Thus, the unstable lattice mode would not be at $k=0$, but near the zone boundary. This concept has been used by Silverman²⁶ in explaining the occurrence of antiferroelectricity. It has some support from the calculations of Cowley.¹ For SrTiO₃ he did a shell-model calculation of the phonon dispersion curves in high-symmetry directions. He noted that some of the models gave negative frequencies for k vectors at the zone boundary along $[110]$ and $[111]$ at 90°K . The displacements of the ions in these unstable modes consist primarily of a rotation of the TiO₆ octahedra.

After the present manuscript had been submitted, Fleury, Scott, and Worlock²⁷ reported on Raman scattering in SrTiO₃ at low temperatures. Their data indicate strongly the existence of a temperature-dependent soft mode at the corner of the Brillouin zone. Such soft modes may be found in other perovskites, such as KMnF₃,²⁸ which have a transition similar to that in SrTiO₃.

VII. Mn⁴⁺ IN SrTiO₃

The fluorescence from SrTiO₃ doped with 0.01% MnO₂ is shown in Fig. 7. It has only been seen in manganese-doped SrTiO₃. The no-phonon line has an energy of $13\,827.1 \text{ cm}^{-1}$ at 20.4°K . At 4.2°K a doublet split by 1.2 cm^{-1} is observed. The lifetime of the fluorescence is about 5 msec at 77°K . The selective excitation spectrum has a peak at 5785 \AA , but no peak at 3800 \AA . If the energies of the 2E and 4T_2 states are taken to be $13\,827$ and $17\,300 \text{ cm}^{-1}$, respectively, then $B \cong 670 \text{ cm}^{-1}$ and $Dq \cong 1730 \text{ cm}^{-1}$. Using these values for Dq and B , the predicted energy for the 4T_1 band is $25\,400 \text{ cm}^{-1}$ (3950 \AA). This is where the strong absorption to the conduction band begins. The energy absorbed at this frequency is obviously not transferred to the Mn⁴⁺ ion as it is for the chromium.

There are other differences between the Mn⁴⁺ and Cr³⁺ fluorescences. The no-phonon transition of Mn⁴⁺ is very much weaker compared to its phonon sidebands; whereas, for Cr³⁺, the peak R -line intensity is much

²⁵ M. H. Francombe and B. Lewis, J. Electronics **2**, 387 (1957).

²⁶ B. D. Silverman, Phys. Rev. **128**, 638 (1962).

²⁷ P. A. Fleury, J. F. Scott, and J. M. Worlock, Phys. Rev. Letters **21**, 16 (1968).

²⁸ K. S. Aleksandrov, L. M. Reshchikova, and B. V. Beynosikov, Phys. Status Solidi **18**, K17 (1966).

larger than that of its sidebands. The sidebands of SrTiO₃:Mn⁴⁺ also have appreciable intensity out more than 2000 cm⁻¹ from the no-phonon line. This may be the same effect noted by Yamamoto *et al.*²⁹ in rare-earth emission from SrTiO₃.

VIII. PHONON SIDEBANDS

Figure 8 gives a comparison of the phonon sidebands of Mn⁴⁺ and Cr³⁺ in SrTiO₃. The two sidebands have many common features, but there are some major differences. The sharp peak at 568 cm⁻¹ could be due to a local mode around the Cr³⁺ ion. However, the local mode is probably not the result of a mass defect because Cr³⁺ has a mass of 52 and Mn⁴⁺, 55. They both replace the Ti⁴⁺ ion of mass 48, but only the Cr fluorescence has this 568-cm⁻¹ peak. More likely the mode would be due to a change in the force constants or to the presence of the charge defect of Cr³⁺. This 568-cm⁻¹ phonon also appears in the two-phonon spectrum at 1137 cm⁻¹.

The intensity at a given frequency in the sideband depends on the magnitude of the electron-phonon cou-

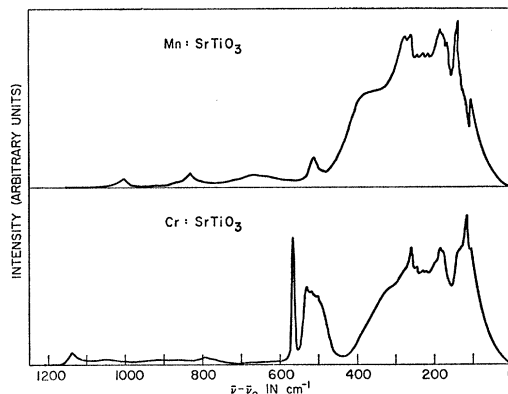


FIG. 8. Comparison of phonon sidebands for Cr³⁺ and Mn⁴⁺ in SrTiO₃.

TABLE III. Comparison of peaks of phonon sidebands in SrTiO₃ with phonon frequencies obtained by other methods (cm⁻¹).

Fluorescence ^a	Cr ³⁺	Mn ⁴⁺	Neutron ^b		Point in Brillouin zone	ir ^c
			91.0	42.3 ^d		
108.3	106.9					
110.8			115		X TA, X TO ₁	
119.6	119.7					
123.5	126				Δ TO ₁	
136.0	132	133				
	144	~150			X LA	
177	180	167			Γ LO ₁	172.8
					Γ TO ₂	176
187	188	187			X TO ₂	
218	218					
231	230	230			2X TA, 2X TO ₁	
248	245					
262	263	266			Γ LO ₂ , Γ TO ₂	
276	276	270, 283			X LO ₁ , X LO ₂	
316						
	386				Γ LO ₃	473
501						
518	512				X LO ₃	
533					Γ TO ₄	544
567.5						
676	672	656			2X TO ₃	
746						
796	836				Γ LO ₄	804
922	870					
1063	1002					
1137	1242					

^a T = 20.4°K.

^b Reference 1, T = 296°K.

^c Reference 23.

^d T = 90°K.

²⁹ H. Yamamoto, S. Makishima, and S. Shionoya, J. Phys. Soc. Japan 23, 1321 (1967).

pling and the phonon density of states at the phonon frequency. Normally peaks in the density of phonon states will occur at high-symmetry points in the Brillouin zone, such as at the center of the zone or at the boundary. Some of the peaks in the sidebands should, therefore, correspond to the frequencies of phonons at the Γ point (center of zone) or the X point (zone boundary along [001]). Table III makes a comparison between the phonon sideband peaks and the frequencies of phonons at the Γ or X points obtained by neutron scattering¹ or infrared measurements.³⁰

A number of the peaks correspond to known phonon frequencies at the zone center and at the zone boundary. Most of the other peaks are due to combinations or overtones of these phonons. Further information about the phonon frequencies at the zone boundary in SrTiO₃ has been obtained by Nilsen and Skinner³¹ using Raman scattering. In particular, the sideband peaks at 518 and 512 cm⁻¹ in Cr³⁺- and Mn⁴⁺-doped samples, respectively, coincide with Nilsen and Skinner's assignment of 516 cm⁻¹ as the energy of the LO₃ phonon branch at the zone boundary.

IX. CONCLUSION

There is a considerable amount of evidence that the sharp-line transitions seen in Cr-doped and undoped SrTiO₃ are the R lines of the Cr³⁺ ion. The primary objections to the fluorescent center being Cr³⁺ is that the R lines are low in energy and that the emission can be seen in undoped SrTiO₃. However, it is likely that covalency may be the cause of the crystal-field parameters being much reduced in SrTiO₃. The selective excitation results indicate that there is an efficient energy transfer from the host to the fluorescent center which helps to explain why the fluorescence can still be seen in undoped SrTiO₃. Besides the Cr³⁺ ion other

³⁰ A. S. Barker, Jr., Phys. Rev. 145, 391 (1966).

³¹ W. G. Nilsen and J. G. Skinner, J. Chem. Phys. 48, 2240 (1968).

types of impurity or defect centers are present as evidenced by the broad-band fluorescence and absorption in the region around 5200 Å. The magnitude of the tetragonal splitting of the *R* line does indicate that the oxygen octahedra do distort in the tetragonal phase. The properties and character of the tetragonal transition in SrTiO₃ and other perovskites are interesting enough to deserve further study. Certainly, a search

should be made in other perovskites, such as KMnF₃, for a soft phonon mode at the Brillouin zone corner.

ACKNOWLEDGMENTS

The authors would like to thank Dr. R. M. Macfarlane for several helpful discussions and Dr. M. J. Weber for communicating his results to us.

PHYSICAL REVIEW

VOLUME 178, NUMBER 2

10 FEBRUARY 1969

Dielectric-Related Optical Line Shifts in SrTiO₃:Cr³⁺ †

S. E. STOKOWSKI* AND A. L. SCHAWLOW

Department of Physics, Stanford University, Stanford, California 94305

(Received 1 July 1968)

Very unusual and large shifts of the *R* lines of Cr³⁺ with temperature and applied electric fields were observed. Below the tetragonal transition temperature, these shifts were found to have a behavior similar to that of the reciprocal dielectric constant of SrTiO₃. The electric field shift is shown to be due to the ionic polarization induced by the electric field. The *R*-line thermal shift cannot be explained by the usual theory of interaction with the acoustic lattice modes. The temperature dependence of the dielectric constant and the *R*-line shift is discussed in terms of interaction with the low-frequency optical-phonon branch. The tetragonal transition of SrTiO₃ at 107°K is instrumental in producing a discontinuity in the slope of the *R*-line shift versus temperature.

I. INTRODUCTION

A MEMBER of the perovskite family, SrTiO₃ has been the subject of a large variety of investigations in recent years. This interest in SrTiO₃ has occurred for several reasons. One is that the lattice dynamical theory of ferroelectricity introduced by Cochran¹ and Anderson² was first confirmed in SrTiO₃. This theory, suggested by the Lyddane-Sachs-Teller³ relation,[†] predicts that

$$\epsilon_0 \omega_s^2 \cong \text{constant}, \quad (1)$$

where ϵ_0 is the static dielectric constant and ω_s is the frequency at the zone center of a transverse optical mode (soft mode). Because the dielectric constant of SrTiO₃ is strongly temperature- and electric-field-dependent, a similar behavior should be seen in the frequency ω_s . Such a temperature-dependent mode was found by spectroscopic measurements of Barker and Tinkham⁴ and those of Spitzer *et al.*⁵ The recent mea-

surements⁶ of ω_s using an electric-field-induced Raman effect have confirmed the validity of Eq. (1) down to 8°K and up to applied electric fields of 10 kV/cm.

At about 107°K, SrTiO₃ undergoes a transition from a cubic to tetragonal structure. This structural transition has been one of the more studied characteristics of SrTiO₃. The transition has been observed in measurements of the elastic constant,⁷ spin resonance of impurity ions,^{8,9} optical birefringence,¹⁰ piezoresistivity,¹¹ Raman scattering,¹² and Brillouin scattering.¹³ The phase transition, though, has no effect at all on the measured dielectric constant.⁷ A plot of the reciprocal dielectric constant against temperature does not even have a change of slope at 107°K.

The most useful measurements have been made on the electron spin resonance of Fe³⁺ and Gd³⁺ in SrTiO₃.^{14,8} These measurements show that the tetragonal distortion from cubic symmetry increases as the temperature is lowered. The transition is at least of second order because the tetragonal distortion goes smoothly to zero at the transition temperature and no thermal hysteresis

† Research supported by the Army Research Office, Durham.

* National Science Foundation Predoctoral Fellow.

¹ W. Cochran, *Advan. Phys.* **9**, 387 (1960); **10**, 401 (1961).

² P. W. Anderson, in *Proceedings of the All-Union Conference on the Physics of Dielectrics* (Academy of Sciences, Moscow, USSR, 1958), p. 290.

³ R. H. Lyddane, R. G. Sachs, and E. Teller, *Phys. Rev.* **59**, 673 (1941).

⁴ A. S. Barker, Jr., and M. Tinkham, *Phys. Rev.* **125**, 1527 (1962).

⁵ W. G. Spitzer, R. C. Miller, D. A. Kleinman, and T. E. Howard, *Phys. Rev.* **126**, 1710 (1962).

⁶ J. M. Worlock and P. A. Fleury, *Phys. Rev. Letters* **19**, 1176 (1967).

⁷ R. Bell and G. Rupprecht, *Phys. Rev.* **129**, 90 (1963).

⁸ L. Rimai and G. A. deMars, *Phys. Rev.* **127**, 702 (1962).

⁹ K. A. Müller, *Phys. Rev. Letters* **2**, 341 (1959).

¹⁰ F. W. Lytle, *J. Appl. Phys.* **35**, 2212 (1964).

¹¹ O. N. Tufte and E. L. Stelzer, *Phys. Rev.* **141**, 675 (1966).

¹² P. A. Fleury, J. F. Scott, and J. M. Worlock, *Phys. Rev. Letters* **21**, 16 (1968).

¹³ W. Kaiser and R. Zurek, *Phys. Letters* **23**, 668 (1966).