(on the absolute scale) of small  $k$  values for which the resolution of the neutron scattering technique is usually rather poor. Most hopefully, the effect could be observed in the a direction (near  $T_0$ ), in which the ferroelectric mode and the longitudinal acoustic mode are polarized perpendicularly to each other. Therefore, arranging the experiment in such a way that only phonons with particular polarization are detected, the neutron inelastic scattering peak from one branch should decrease rapidly near  $k_0$ , and the peak from the other branch should increase as the mode polarizaton changes gradually from one to the other. As a result, one could expect to observe near  $k_0$  two fairly close peaks with different intensities. However, because the individual peaks have nonzero widths, certainly comparable with their frequency separation near  $k_0$ , one unresolved, markedly asymmetric peak most likely will be observed. (This asymmetry will change drastically with k near  $k_0$ .) Similar conclusions about the form of the differential cross section (two peaks or one

unresolved asymmetric peak, depending on the damping of modes) can be made<sup>10</sup> if the anharmonic, essentially electrostrictive, interaction (not considered explicitly in this paper) between close mixed branches near  $k_0$  is taken into account. Therefore, to analyze properly the observed form of the cross section, anharmonic effects should be considered explicitly. Anharmonic effects, in general, will also remove the accidental degeneracy of the ferroelectric and acoustic branches in the cubic phase of  $BaTiO<sub>3</sub>$ , in which the piezoelectric effect is missing.

### ACKNOWLEDGMENTS

The author thanks Dr. G. Shirane of the Brookhaven National Laboratory for sending paper prior to publication and Dr. S. H. Chen of the Physics Department of the University of Waterloo for helpful discussions.

<sup>10</sup> Yu. Kagan and A. P. Zhernov, Zh. Eksperim. i Teor. Fiz.<br>**48,** 971 (1965) [English transl.: Soviet Phys.—JETP 21, 646<br>(1965)].

#### PHYSICAL REVIEW VOLUME 167, NUMBER 2 10 MARCH 1968

# Theory of Symmetry Change in Second-Order Phase Transitions in Perovskite Structure\*

FREDRIC E. GOLDRICHT

University College of Arts and Science, New York University, New York, New York

AND

JOSEPH L. BIRMAN<sup>+</sup> Physics Department, New York University, New York, New York (Received 20 July 1967)

An analysis is presented of the compatible subgroups which can arise by second-order phase transition from the perovskite structure, without change of unit cell. The symmetry analysis is based upon the subductioncriterion simpli6cation of the thermodynamic Landau theory. The possible displacements from the perovskite structure are taken as the normal modes at  $\mathbf{k}=(0, 0, 0)$ . Additional criteria for selecting compatible subgroups are developed, based on a chain of subgroups. Only eight space groups can arise in this manner, of which six can be ferroelectric. An error in the previous theoretical analysis by Haas is eliminated. Our results are compared to the meager experimental determinations of compatible subgroups, but more such determinations are needed.

#### 1. INTRODUCTION AND METHOD

IN the present paper we report on a theoretical analysis carried out in the framework of the thermodynamic Landau<sup>1,2</sup> theory of second-order phase transitions in crystals, to determine the possible lower-symmetry space groups which can arise from the perovskite space group  $Pm3m - O_h$ <sup>1</sup>, without change of unit cell.

The Landau theory<sup>3</sup> assumes the existence of a single thermodynamic potential (e.g., Gibbs or Helmholtz free energy)  $G$  which is capable of describing the thermodynamic state of both high- and low-symmetry phases of a solid. By hypothesis, this potential is a function of sets of quantities  $c_{\alpha}^{(k_{\sigma})(m)}$ , which are chosen to span an irreducible linear vector space of the highsymmetry space group  $\mathfrak{G}_0$ . That is, the set

$$
\{c_{\alpha}^{(k_{\sigma})(m)}\}\qquad \alpha=1\cdots l_m,\qquad \sigma=1\cdots s\qquad (1)
$$

spans the irreducible linear vector space

$$
\Sigma^{(\star k)(m)},\tag{2}
$$

<sup>\*</sup>This paper is based upon part of a Senior Honors Thesis submitted by I".E. Goldrich to the University College of Arts and Science, New York University, in April 1967, in partial fulfillmen<br>of the requirements for the degree of Bachelor of Arts with honors The thesis was awarded the Albert S. Borgman Memorial Prize, June 1967.

t Present address: Physics department, Princeton University, Princeton, N.J.

t Supported in part by the U.S. Army Research Office, Durham,<br>and the Aerospace Research Laboratories, Wright-Patterson Air

Force Base, Dayton, Ohio. ' L. D. Landau, Z. Physik 11, 26 (1937);L. D. Landau and E. M. Lifshitz, Statistical Physics (Pergamon Press, Inc., New York,

<sup>1958),</sup> Chap. XIV.<br>2 C. Ya. Lyubarski, Application of Group Theory in Physic (Pergamon Press, Inc., New York, 1960), Chap. XII.

<sup>&</sup>lt;sup>3</sup> Also reviewed by J. L. Birman, in Ferroelectricity, edited by , Inc. , New York, 1967),pp. 20-61.

if

and

and

so that the potential

$$
G(\lbrace c_{\alpha}^{(\mathbf{k}_{\sigma})(m)} \rbrace)
$$
 (3)

is a scalar invariant of the group  $\mathfrak{G}_0$  when the crystal is in its high-symmetry state, and of the group  $\mathfrak{G}_1$  when the crystal is in its low-symmetry state. Then  $G({c_a^{(k_p)(m)}})$  may be expanded into a series of scalar invariant, homogeneous polynomials in the  ${c_{\alpha}^{(k_{\sigma})(m)}}$ :

$$
G = G^{(0)} + G^{(1)} + G^{(2)} + \cdots + G^{(s)} + \cdots, \qquad (4)
$$

where  $G^{(s)}$  is of sth degree. Consonant with this theory, it suffices to work with the quantities  ${c_{\alpha}^{(k_{\sigma})(m)}}$ , which span a single space-group irreducible representation,  $\mathfrak{D}^{(\star k)(m)}$ . On the other hand, the density function  $\rho(\mathbf{r})$ , also capable of describing high- and low-symmetry phases, can be written

$$
\rho(\mathbf{r}) = \rho_0(\mathbf{r}) + \delta \rho(\mathbf{r}), \qquad (5)
$$

where  $\delta \rho(\mathbf{r})$  is a *linear* function of the  ${c_{\alpha}}^{(k_{\sigma})(m)}$ :

$$
\delta \rho(\mathbf{r}) = \sum_{\alpha \sigma} c_{\alpha}^{(k_{\sigma})(m)} \psi_{\alpha}^{(k_{\sigma})(m)}(\mathbf{r}). \qquad (6)
$$

The symmetry group  $\mathfrak G$  of the crystal is the set of all space-group operations  $\{\phi | t\}$  such that  $\rho(r)$  is invariant under  $\{\phi | t\}.$ 

The thermodynamic Landau theory can then be epitomized<sup>4</sup> in a series of exact conditions  $(A)$ - $(D)$  on the high-symmetry group  $\mathfrak{G}_0$ , the compatible subgroups  $\mathfrak{G}_1$ , the acceptable irreducible representations  $\mathfrak{D}^{(*k)(m)}$ of  $\mathfrak{G}_0$ , and the space-group reduction coefficients in  $\mathfrak{G}_0$ :

 $\mathfrak{G}_1$  is a subgroup of  $\mathfrak{G}_0$ :  $(A)$ 

 $([\star \mathbf{k}m]_{(3)} | \Gamma 1+)=0;$  $(B)$ 

 $(\begin{bmatrix} \star \mathbf{k}m \end{bmatrix}_{2}^{z} | \Gamma v) = 0$ (C)

 $\mathfrak{D}^{(\star\mathbf{k})(m)}$  of  $\mathfrak{G}_0$  subduces  $\mathfrak{D}^{(\mathbf{\Gamma})(1+)}$  of  $\mathfrak{G}_1$ : (D)

also, if an acceptable  $\mathfrak{D}^{(\star k)(m)}$  is one-dimer sional, or if  $\mathfrak{D}^{(\star k)(m)}$  of  $\mathfrak{G}_0$  subduces only a multiple of  $\mathfrak{D}^{(\Gamma)(1+)}$  of  $\mathfrak{G}_1$ , then the acceptable  $\mathfrak{G}_1$  is normal in  $\mathfrak{G}_0$ , (D.1)

Now we present a new general result, based on the existence of a chain of subgroups. Thus, let  $\mathfrak{D}^{(\star k)(m)}$  of  $\mathfrak{G}_0$  subduce  $\mathfrak{D}^{(\mathbf{\Gamma})(1+)}$  of  $\mathfrak{G}_1$  once, and let the same  $\mathfrak{D}^{(\star k)(m)}$ of  $\mathfrak{G}_0$  subduce  $\mathfrak{D}^{(\Gamma)(1+)}$  of  $\mathfrak{G}_1'$  *once*, where  $\mathfrak{G}_1'$  is a subgroup of  $\mathfrak{G}_1$ . Then consider the density function of  $\mathfrak{G}_1'$ , viz.  $\rho(\mathfrak{G}_1')$ . Since the given irreducible space  $\Sigma^{(\star k)(m)}$  by hypothesis contains only one invariant vector for  $\mathfrak{G}_1$ , namely  $\rho(\mathfrak{G}_1)$ , and since  $\mathfrak{G}_1'$  is a subgroup of  $\mathfrak{G}_1$ , it is clear that the invariant vector  $\rho(\mathfrak{G}_1')$  must be identical to  $\rho(\mathcal{B}_1)$ . That is, given the density  $\rho(\mathcal{B}_1')$ , one finds it invariant also under all elements in  $\mathfrak{G}_1$ . Hence the density function  $\rho(\mathcal{B}'_1)$  actually is invariant under the larger group. Alternatively put, this eliminates the transition  $\mathfrak{G}_0 \rightarrow \mathfrak{G}_1'$ . The possibility of the transition  $\mathfrak{G}_1 \rightarrow \mathfrak{G}_1'$  succeeding  $\mathfrak{G}_0 \rightarrow \mathfrak{G}_1$  must be examined separately.

To summarize: let  $\mathcal{G}_0\supset \mathcal{G}_1$  mean that  $\mathcal{G}_1$  is a subgroup of  $\mathfrak{G}_0$ , and  $\downarrow$  mean "subduces." We conclude that

$$
\mathbb{G}_0\!\!\supset\!\!\mathbb{G}_1\!\!\supset\!\!\mathbb{G}_1'
$$

 $\mathfrak{D}^{(\star k)(m)}$  of  $\mathfrak{G}_0 \downarrow \mathfrak{D}^{(\mathbf{\Gamma})(1+)}$  of  $\mathfrak{G}_1$  (once)

$$
\mathfrak{D}^{(\star k)(m)} \text{ of } \mathfrak{G}_0 \downarrow \mathfrak{D}^{(\Gamma)(1+)} \text{ of } \mathfrak{G}_1' \text{ (once)},
$$

then the transition

$$
\mathfrak{G}_0 \rightarrow \mathfrak{G}_1' \text{ is eliminated.} \tag{D.2}
$$

Condition (D) will be referred to as the subduction criterion. Conditions (D.1) and (D.2) are related to it, and we found (D.2) to be particularly valuable in reducing the number of potentially compatible subgroups.

To  $(A)$ – $(D)$ , we add the rule<sup>4</sup>

$$
\mathfrak{D}^{(*k)(m)} \text{ of } \mathfrak{G}_0 \text{ corresponds}
$$
\nto a physical tensor field. (E)

For a displacive phase transition, a complete set of variables with which to describe the shifts in atom positions within the unit cell are the normal modes. Another general manner by which some subgroups have been eliminated is by recognizing that the assumed shift from their positions in perovskite, as given by the amplitude pattern of a normal mode, leaves the atoms in such positions of high symmetry that added symmetry elements are necessarily present. In this way we recognized that the lower-symmetry group actually must be augmented and so becomes some group of higher symmetry, which is also a compatible subgroup of  $\mathfrak{G}_0$ . This will be illustrated below.

Observe that  $(A)$ ,  $(B)$ ,  $(C)$ ,  $(D)$ ,  $(D.1)$ , and  $(D.2)$ are exact, within the thermodynamic Landau theory. They may be considered as simultaneous restrictions on the acceptable  $\mathfrak{D}^{(*k)(m)}$  and on the compatible  $\mathfrak{G}_1$ , and they serve to eliminate the tedious minimization usually needed in this analysis.<sup>2</sup> Our analysis of perovskite was carried out in the framework of the Landau theory to obtain new results for a case of considerable current theoretical5 and experimental' interest. No critical evaluation of the assumptions of the Landau theory will be given.<sup>7</sup>

### 2. ANALYSIS AND RESULTS

We are concerned, in the perovskite structure<sup>8</sup>  $O_h^1$ – Pm3m, with the possibility of second-order phase transition without change of unit cell; the transition is

<sup>&</sup>lt;sup>4</sup> J. L. Birman, Phys. Rev. Letters 17, 1216 (1966).

<sup>&</sup>lt;sup>6</sup> P. W. Kwok and P. B. Miller, Phys. Rev. 151, 387 (1966); R. C. Casella, *ibid.* 154, 743 (1967).

<sup>&</sup>lt;sup>6</sup> G. Samara, Phys. Rev. 181, 378 (1966); A. Frova and P. J. Boddy, *ibid.* 153, 606 (1967).<br>
<sup>7</sup> V. L. Ginzburg, Fiz. Tverd. Tela 2, 2031 (1960) [English transl.: Soviet Phys.—Solid State 2, 1824 (1961)]; L. P. Kadanoff

| Representations of $O_h$<br>subducing $\mathfrak{D}^{(\Gamma)(1+)}$                          | Point group               |                  | Space group               |                  |
|--|---------------------------|------------------|---------------------------|------------------|
| $A_{1g}, A_{1u}$   | ο                         | 432              | O <sup>1</sup>            | P432             |
| $A_{1g}, A_{2g}$   | $T_{h}$                   | m3               | $T_{h}^1$                 | Pm3              |
| $A_{1g},\,F_{2g}$  | $\,D_{3d}$                | $\bar{3}m$       | $D_{3d}{}^5$              | $R\overline{3}m$ |
| $A_{1q}, A_{2u}, F_{2q}, F_{1u}$   | $C_{3v}$                  | 3m               | $C_{3v}^{\phantom{15v}5}$ | R3m              |
| $A_{1g}, A_{2g}, A_{1u}, A_{2u}, F_{1g}, F_{2g},$<br>$F_{1u}$ , $F_{2u}$                     | $C_{3}$                   | 3                | $C_3^4$                   | R3               |
| $A_{1g}, E_{g}, F_{1g}$  | $C_{4h}$                  | 4/m              | $C_{4h}$ <sup>1</sup>     | P4/m             |
| $A_{1g}, A_{1u}, E_g, E_u$   | $\boldsymbol{D_4}$        | 422              | $D_4^{\ {\bf 1}}$         | P422             |
| $A_{1g}, A_{2u}, E_{g}, E_{u}, F_{2u}$   | $D_{2d}$                  | 42m              | $D_{2d}$ <sup>1</sup>     | P42m             |
| $A_{1g}, A_{2u}, E_{g}, E_{u}, F_{2u}$   | $D_{2d}$                  | 42m              | $D_{2d}{}^5$              | C42m             |
| $A_{1g}, A_{2u}, E_{g}, E_{u}, F_{1g}, F_{2u}$   | $\mathcal{S}_4$           | 4                | $S_4$ <sup>1</sup>        | P4               |
| $A_{1g}, A_{2g}, E_g, F_{2g}$  | $D_{2h}$                  | mmm              | $D_{2h}$                  | Pmmm             |
| $A_{1g}, A_{2g}, E_{g}, F_{2g}$  | $D_{2h}$                  | mmm              | $D_{2h}$ 19               | Cmmm             |
| $A_{1q}$ , $A_{2q}$ , $A_{1u}$ , $A_{2u}$ , $E_q$ , $E_u$ ,<br>$F_{2g}, F_{2u}$              | $D_2$                     | 222              | $D_2^1$                   | P222             |
| $A_{1g}, A_{2g}, A_{1u}, A_{2u}, E_{g}, E_{u},$<br>$F_{2g}, F_{2u}$                          | $D_{2}$                   | 222              | $D_2{}^6$                 | C222             |
| $A_{1g}, A_{2g}, A_{2u}, E_{g}, E_{u}, F_{2g},$<br>$F_{1u}$ , $F_{2u}$                       | $C_{2v}$                  | mm2              | $C_{2v}$                  | Pmm2             |
| $A_{1g}, A_{2g}, A_{2u}, E_g, E_u, F_{2g},$<br>$F_{1u}$ , $F_{2u}$                           | $C_{2v}$                  | mm2              | $C_{2v}$ 11               | Cmm2             |
| $A_{1g}, A_{2g}, A_{2u}, E_{g}, E_{u}, F_{2g},$<br>$F_{1u}, F_{2u}$                          | $C_{2v}$                  | mm2              | $C_{2v}$ <sup>14</sup>    | Amm2             |
| $A_{1g}, A_{2g}, E_{g}, F_{1g}, F_{2g}$  | $C_{2h}$                  | 2/m              | $C_{2h}$ <sup>1</sup>     | P2/m             |
| $A_{1g}, A_{2g}, E_{g}, F_{1g}, F_{2g}$  | $C_{2h}$                  | 2/m              | $C_{2h}$ <sup>3</sup>     | C2/m             |
| $A_{1q}, A_{2q}, E_{q}, F_{1q}, F_{2q}, A_{1u}$<br>$A_{2u}, E_{u}, F_{1u}, F_{2u}$           | $\scriptstyle{C_2}$       | 2                | $C_2^1$                   | P2               |
| $A_{1g}, A_{2g}, E_{g}, F_{1g}, F_{2g}, A_{1u},$<br>$A_{2u}$ , $E_{u}$ , $F_{1u}$ , $F_{2u}$ | $\mathit{C}_2$            | 2                | $C_2^3$                   | C2               |
| $A_{1g}, A_{2g}, E_{g}, F_{1g}, F_{2g}, A_{2u},$<br>$E_u$ , $F_{1u}$ , $F_{2u}$              | $\mathit{C}_{\mathit{s}}$ | $\boldsymbol{m}$ | $C_{\bullet}^1$           | Pm               |
| $A_{1g}, A_{2g}, E_{g}, F_{1g}, F_{2g}, A_{2u},$<br>$E_u, F_{1u}, F_{2u}$                    | $\,c_{\color{red} s}$     | m                | $C_{\bullet}^3$           | Cm               |
| $A_{1g}, A_{2g}, E_{g}, F_{1g}, F_{2g}$  | $\mathit{C}_i$            | ī                | $C_{\mathbf{i}}^{1}$      | PĪ               |
| $A_{1g}, A_{2u}$   | $\scriptstyle T_d$        | 43m              | $T_d$ <sup>1</sup>        | P43m             |
| $A_{1g}, A_{2g}, A_{1u}, A_{2u}$   | Т                         | 23               | $T^{\mathbf{1}}$          | P23              |
| $A_{1g}, A_{2g}, A_{1u}, A_{2u}, F_{2g}, F_{2u}$   | $\,D_3\,$                 | 32               | $D_{3}^{\,7}$             | R32              |
| $A_{1g}, A_{2g}, F_{1g}, F_{2g}$   | $C_{3\boldsymbol{i}}$     | 3                | $C_{31}^{\phantom{1}2}$   | R3               |
| $A_{1g},E_{g}$   | $D_{4h}$                  | 4/mmm            | $D_{4h}$                  | P4/mmm           |
| $A_{1g}$ , $A_{1u}$ , $E_g$ , $E_u$ , $F_{1g}$ , $F_{1u}$                                    | $C_{4}$                   | 4                | $C_4^1$                   | Ρ4               |
| $A_{1q}, E_{q}, F_{1u}$  | $C_{4v}$                  | 4mm              | $C_{4v}$ <sup>1</sup>     | P4mm             |
| $A_{1q}, A_{2q}, E_{q}, F_{1q}, F_{2q}, A_{1u}$  | $\mathit{C}_1$            | 1                | $C_1^1$                   | Ρ1               |
| $A_{2u}, E_{u}, F_{1u}, F_{2u}$  |                           |                  |                           |                  |

TABLE I. The 32 subgroups of  $O_h^1$  with the same unit cell, and the irreducible representations of  $O_h$  which subduce the identity representation on each subgroup.<sup>8</sup>

<sup>a</sup> Reference 11.

of the displacive type.<sup>9</sup> Since the unit cell does not change, we may, as in another recent analysis,<sup>4</sup> restrict consideration to the irreducible representation of  $O_h$ <sup>1</sup> with star  $\mathbf{\Gamma}$   $\begin{bmatrix} \mathbf{k} = (0, 0, 0) \end{bmatrix}$ , i.e., to the irreducible representations of the point group  $O_h$ . The space group

 $O<sub>h</sub><sup>1</sup>$  has 32 subgroups with the same unit cell.<sup>10</sup> In Table I we list the subgroups of  $O_h^1$  with the same unit cell, and the irreducible representations subducing the identity representation on each subgroup.<sup>11</sup> identity representation on each subgroup.<sup>11</sup>

Of the 10 irreducible representations of  $O_h$ , the representations  $A_{1g}$ ,  $E_g$ , and  $F_{2g}$  are unacceptable since they do not satisfy  $(B)$ . As the physical tensor field which plays a decisive role in the displacive transition, it is natural to choose the optic-branch normal tion, it is natural to choose the optic-branch normal<br>modes at  $\Gamma$ , in perovskite.<sup>12</sup> The symmetry species are<sup>12</sup>

$$
optic modes: 3F_{1u} \oplus F_{2u}.
$$
 (7)

Since Table I shows that many potentially compatible subgroups can only be achieved by use of unacceptable representations (e.g., the space group  $O^1$ , etc.) we may eliminate all but 18 such subgroups. In this manner Table II is obtained.

tble II is obtained.<br>To continue the analysis,<sup>13</sup> we examine each of the remaining subgroups in Table II to ascertain whether the occupiable sites in that subgroup are consistent with the assumptions that they represent atoms slightly displaced from their initial positions in  $O_h^1$ . In other words, we are attempting to determine some of the

TABLE II. Those subgroups of  $O_h^1$  with the same unit cell upon which a normal-mode species subduces the identity representation, and the corresponding species.

| Normal-mode species<br>subducing $\mathfrak{D}^{(\Gamma)(1+)}$ |                        | Space group       |
|--|------------------------|-------------------|
| $F_{1u}$   | $C_{3v}$ <sup>5</sup>  | R3m               |
| $F_{1u}, F_{2u}$   | $C_3^4$                | R3                |
| $F_{2u}$   | $D_{2d}$ <sup>1</sup>  | $P\bar{4}3m$      |
| $F_{2u}$   | $D_{2d}{}^5$           | $C\overline{4}3m$ |
| $F_{2u}$   | $S_4^{\,1}$            | P4                |
| $F_{2u}$   | $D_2^{\, 1}$           | P222              |
| $F_{2u}$   | $D_2{}^6$              | C <sub>222</sub>  |
| $F_{1u}$ , $F_{2u}$  | $C_{2v}$ <sup>1</sup>  | Pmm               |
| $F_{1u}$ , $F_{2u}$  | $C_{2v}$ <sup>11</sup> | Cmm               |
| $F_{1u}, F_{2u}$   | $C_{2v}$ <sup>14</sup> | Amm               |
| $F_{1u}$ , $F_{2u}$  | $C_2^1$                | P2                |
| $F_{1u}$ , $F_{2u}$  | $C_2^3$                | C2                |
| $F_{1u}, F_{2u}$   | $C_{n}^{1}$            | Pm                |
| $F_{1u}$ , $F_{2u}$  | $C_{\rm s}^3$          | Cm                |
| $F_{2u}$   | $D_{3}^{\ \tau}$       | R32               |
| $F_{1u}$   | $C_4$ <sup>1</sup>     | Ρ4                |
| $F_{1u}$   | $C_{4v}$ <sup>1</sup>  | P4mm              |
| $F_{1u}, F_{2u}$   | $C_1^1$                | $_{P1}$           |
|  |                        |                   |

<sup>10</sup> International Tables for X-Ray Crystallography, edited by N. F. M. Henry and K. Lonsdale (Kynoch Press, Birmingham,

England, 1952), Vol. 1.<br>
<sup>11</sup> Notation used is that of E. B. Wilson, J. C. Decius, and P. C.<br>Cross, *Molecular Vibrations* (McGraw-Hill Book Co., Inc., New

York, 1955).<br>
<sup>12</sup> B. D. Silverman and G. F. Koster, Z. Physik 165, 334 (1961);<br>
V. Dvorak, Phys. Status Solidi 3, 2235 (1965); also reviewed in<br>
Ref. 3.

Ref. 3.<br><sup>13</sup> Additional details are contained in: Senior Honors Thesis in Physics, submitted by Fredric E. Goldrich to University College of Arts and Science, New York University, April 1967 (unpublished) .

W. Kanzig, in Solid State Physics, edited by F. Seitz and D. Turnbull (Academic Press Inc., New York, 1957), Vol. 4, p. 1;<br>F. Jona and G. Shirane, *Ferroelectric Crystals* (Pergamon Press,<br>Inc., New York, 1962).

The cases of  $D_2^6$ ;  $D_{2d}^1$ ; and  $D_2^1$  are instructive. We The cases of  $D_2^6$ ;  $D_{2d}^1$ ; and  $D_2^1$  are instructive. W<br>see, by inspecting the tables,<sup>10</sup> that the only allowed sites are, in fact, the sites originally occupied in  $O<sub>h</sub>$ <sup>1</sup>. There are thus two possibilities. Either the symmetry of the system is truly tetragonal  $(c/a \ne 1)$ , or it is actually cubic. If the system possesses tetragonal symmetry, but the coordinates of the positions of the atoms with respect to the crystal axes do not change, then the symmetry change corresponds to a deformation of the unit cell without atomic displacement, or, in other words, a strain. The strain tensor, however, transforms according to irreducible representations which were eliminated by earlier criteria, so that a strain may not take part in the transition.

If the symmetry after the transition is cubic, and the atoms have not moved, then there is no transition, because there is no synnnetry change—both states are identical. Therefore, the space groups  $D_{2d}$ <sup>1</sup>,  $D_2$ <sup>1</sup>, and  $D_2^6$  have been eliminated. We will say in the future that  $D_{2d}$ <sup>1</sup>,  $D_2$ <sup>6</sup>, and  $D_2$ <sup>1</sup> are "included in  $O_h$ <sup>1</sup>."

In a similar fashion, it is found that the occupiable sites in  $C_4$ <sup>1</sup> actually correspond to  $C_{4v}$ <sup>1</sup>; that is, given available atoms in BaTiO<sub>3</sub>, a slight displacement to  $C_4^1$  actually produces the higher symmetry  $C_{4v}^1$ . This is a case of a lower-symmetry group included in a higher-symmetry group, and twelve possible displacement patterns have been ruled out through similar arguments. One of these is  $C_{2v}^{11}$ , which Haas<sup>14</sup> incorrectly identified as the orthorhombic phase of  $BaTiO<sub>3</sub>$ . In fact, not only is it impossible to achieve BaTiO<sub>3</sub>. In fact, not only is it impossible to achiever  $C_{2v}$ <sup>11</sup> from  $O_h$ <sup>1</sup> by means of a second-order phase transi tion, but the experimental identification of the space group<sup>9</sup> is  $C_{2v}^{14}$ .

The final step in the analysis is to verify that indeed each of the remaining eight space groups can be obtained by small displacements which transform as a row of a single acceptable irreducible representation. Using the relevant projection operator for the third row of each of  $F_{1u}$  and  $F_{2u}$ , this was done. The result is given in Table III, which summarizes our work. Table IV gives the atomic displacements from  $O_h^1$  to each of the compatible subspace groups.

### 3. DISCUSSION

Of the eight space groups presented in Table III, two have been given by Haas  $(C_{3v}^5$  and  $C_{4v}^1$ . The remainder are new. Only those space groups which can be achieved by mode  $F_{1u}$  can correspond to a ferrobe achieved by mode  $F_{1u}$  can correspond to a ferro-<br>electric lower-symmetry phase.<sup>15</sup> It follows that a ferro-

TABLE III. The eight subgroups of  $O_h$ <sup>1</sup> with the same unit cell allowed by the subduction criterion, and the corresponding irreducible representations.



electric second-order phase transition without cell change can be achieved from the high-symmetry perovskite  $O_h^1$  structure to each of those space group identified by  $F_{1u}$ .

The space groups which may be achieved by mode  $F_{2u}$  do not correspond to the occurrence of ferroelectric polarization. In the cubic system the normal-mode representation  $F_{2u}$  corresponds to the transformation of components of a second-rank pseudotensor, such as would be constructed from the cross components of macroscopic electric and magnetic fields,  $E_iH_j$ . Thus a transition to space group  $D_{2d}^5$ ;  $D_3^7$ ;  $C_{2v}^{\phantom{21}14}$ ;  $C_3^1$  or  $C_1^1$ may be accompanied by the appearance of a macroscopic crystal physical property of the symmetry of a second-rank pseudotensor.

It appears that the results given in Table III are as far as one can go if one confines oneself rigorously to the symmetry theory contained in the Landau theory. To go further requires the construction and minimization of the thermodynamic potential G, in order to narrow down still further the possible predictions. For such minimization to be meaningful, one should possess sufhcient microscopic information to be able to obtain the exact form of the coefficients in the expansion  $(4)$ and so to decide among alternative compatible subgroups. No such completely rigorous theory has yet been advanced, or at any rate none is known to us. In the absence of the complete analysis, it has been proposed<sup>16</sup> that among the compatible subgroups one should choose certain "maximal" ones. This criterion does not seem to be justifiable from a fundamental point of view, but it may possibly be a useful way of selecting more likely candidates in the absence of a rigorous theory. It may be, however, that some physically implausible transitions [e.g.,  $O_h^1 \rightarrow C_1^1$  which (Table III) according to our result may occur as second order) can only be eliminated depending upon quantitative calculations, based on the full theory.

We conclude by emphasizing the importance of obtaining experimental information on the full space-

<sup>&</sup>lt;sup>14</sup> C. Haas, Phys. Rev. 140, A863 (1965).

<sup>&</sup>lt;sup>15</sup> A. F. Devonshire, Advan. Phys. 3, 85 (1965); W. Cochran, ibid. 9, 387 (1960).

<sup>&</sup>lt;sup>16</sup> E. Ascher, Phys. Letters 20, 352 (1966); and private communication (to be published).

| Irreducible<br>representation | Allowed<br>space group |                   | Displacement from higher-symmetry position<br>Ba<br>Tï |              | O <sub>3</sub>  |  |
|-------------------------------|------------------------|-------------------|--|--------------|---|--|
| $\mathcal{F}_{1u}$            | $\mathcal{C}_1{}^1$    | P <sub>1</sub>    | (x, y, z)  | (x', y', z') | (x'', y'', z'')<br>$(x^{\prime\prime\prime},y^{\prime\prime\prime},z^{\prime\prime})$ |  |
| $F_{2u}$                      | $\mathcal{C}_1{}^1$    | P1                | (0, 0, 0)  | (0, 0, 0)    | (x''', y'', z''')<br>$(0, \bar{y}, z)$<br>$(x, 0, \overline{z})$                      |  |
| $\mathcal{F}_{1u}$            | $C_{\pmb{\cdot}}{}^1$  | Pm                | (x, y, 0)  | (x', y', 0)  | $(\bar{x}, y, 0)$<br>(x'', y'', 0)<br>(x''', y''', 0)                                 |  |
| $F_{2u}$                      | $C_{\pmb s}$           | Pm                | (0, 0, 0)  | (0, 0, 0)    | (x''', y'', 0)<br>$(0, \bar{y}, 0)$<br>$(\bar{x}, 0, 0)$                              |  |
| $\mathcal{F}_{1u}$            | $C_{\bullet}$ 3        | Cm                | (x, x, z)  | (x', x', z') | (x, y, 0)<br>(x'', y, z'')<br>(y, x'', z'')   |  |
| $F_{1u}$                      | $C_{2v}$ <sup>14</sup> | Amm2              | (0, y, y)  | (0, y', y')  | (y, y, z''')<br>(0, y'', y'')<br>(0, z, y'')  |  |
| $F_{2u}$                      | $C_{2v}$ <sup>14</sup> | Amm2              | (0, 0, 0)  | (0, 0, 0)    | (0, y'', z)<br>(0, y, y)<br>$(0, 0, \bar{y})$   |  |
| $F_{1u}$                      | $\mathcal{C}_{4v}{}^1$ | $P4mm$            | (0, 0, z)  | (0, 0, z')   | $(0, \bar{y}, 0)$<br>(0, 0, z'')<br>(0, 0, z'')                                       |  |
| $F_{2u}$                      | $\mathcal{D}_{2d}{}^5$ | $C\overline{4}2m$ | (0, 0, 0)  | (0, 0, 0)    | (0, 0, z''')<br>(0, 0, z)<br>$(0, 0, \bar{z})$  |  |
| $F_{2u}$                      | $\mathcal{D}_3{}^{7}$  | $R32\;$           | (0, 0, 0)  | (0, 0, 0)    | (0, 0, 0)<br>$(0, y, \bar{y})$<br>$(\bar{y},0,y)$                                     |  |
| $\mathcal{F}_{1u}$            | $C_{3v}{}^5$           | $R3m$             | (x, x, x)  | (x', x', x') | $(y, \bar{y}, 0)$<br>(z, x'', x'')<br>(x'', z, x'')                                   |  |
|                               |                        |                   |  |              | (x'', x'', z)   |  |

TABLE IV. Atomic displacements for symmetry-lowered BaTiO<sub>3</sub>.

group symmetry (not merely the "crystal class") of lower symmetry phases which have arisen by secondorder transitions. Very few such determinations are available, against which the predictions of theory can be checked. Some exceptions are: the tetragonal phase of BaTiO<sub>3</sub>, identified<sup>17</sup> experimentally as  $C_{4v}$ <sup>1</sup>, and the orthorhombic phase of BaTiO<sub>3</sub>, identified<sup>17</sup> as  $C_{2v}$ <sup>14</sup>. However, in BaTiO<sub>3</sub> the transition  $O_h^1 \rightarrow C_{4v}^1$  is first order. In the binary system  $K(NbTa)O_3$ , a second-order

transition has been identified<sup>18</sup> as a tetragonal phase, but the space group of the latter is merely surmised<sup>18</sup> to be that of the analogous tetragonal perovskite. This illustrates and emphasizes the need for precise structural information.

## ACKNOWLEDGMENT

One of us (J.I..B.) acknowledges <sup>a</sup> conversation with Dr. C. Haas.

<sup>18</sup> S. Triebwasser, Phys. Rev. 114, 63 (1959); and private communication.

<sup>&</sup>lt;sup>17</sup> H. T. Evans, Acta. Cryst. 14, 1019 (1961); B. C. Frazer, H.<br>R. Danner, and R. Pepinsky, Phys. Rev. 100, 745 (1955); also<br>H. Danner and H. T. Evans (private communication).