Spin-Free Self-Consistent-Field Theory

R. D. POSHUSTA

Department of Chemistry and Department of Physics, Washington State University, Pullman, Washington

AND

R. W. KRAMLING

Department of Chemistry, Washington State University, Pullman, Washington

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Hartree —Fock (HF) equations are derived for an N-electron system with a spin-free Hamiltonian. All eigenstates of $S²$ are considered for both open- and closed-shell states. States which possess two or more spin-coupling schemes (structures) are treated by mixed-structure kets. A procedure to optimize the mixture of structures is incorporated into the HF equations. A certain mixed-structure ket called the immanant is defined and used to derive the HF equations for a spin-projected Slater determinant. The conventional equations for single determinants and doubly occupied orbitals are derived as special cases. The spin-free method is used throughout: Permutational symmetry of a spin-free ket establishes the corresponding spin eigenvalue.

INTRODUCTION

IN the conventional approach to self-consistent-field (SCF) theory,^{1,2} one constructs a wave function from one or more Slater determinants. One then derives an expression for the nonrelativistic energy of a system in terms of the spin-free orbitals. In the process the spin is completely eliminated by integration. Since the spin plays no dynamical role (as seen from the energy expression) it seems desirable for the sake of purity to eliminate spin from the beginning. In this paper we carry out this program.

A stronger motivation for eliminating spin in SCF theory is the ease with which "pure spin" states can be handled in the spin-free framework. The role of eigenkets of $S²$ is taken over in the spin-free method by spin-free kets with irreducible symmetry under the symmetric group S_N . Such a spin-free ket is equivalent to a spin eigenket for every spin-free observable. Matsen' and his collaborators have used the spin-free method to advantage in such diverse applications as atomic and molecular calculations,⁴ generalized Pauling numbers,⁵ density-matrix theory^{6,7} and even formulating an explicit spin property: spin density.⁸ In this paper we apply the spin-free method to the derivation of SCF equations.

Matsen and Cantu⁹ have given a careful symmetry analysis of the various types of SCF theory. The present paper is devoted exclusively to spin-free SCF theory.

Our method parallels the derivations of Roothaan^{1,2} and can be outlined as follows:

(1) Select a product of orthonormal spin-free orbitals (singly or doubly occupied). (2) Project from the orbital product its component(s) with pure permutational symmetry. Since the permutational symmetry of a spinfree ket determines the spin eigenvalues of the correspond ing spin ket, the projected spin-free ket will give the same energy as a pure spin eigenket. (3) Find the energy expression $\langle H \rangle$ over the spin-free ket. (4) Conduct a variation of the orbitals to minimize the energy and thereby produce a set of spin-free Hartree-Fock equations for the optimum spin-free orbitals.

Our equations could have been derived from the conventional spin kets as has been done in certain special cases. We find the spin-free approach considerably simpler than the corresponding derivation from the spin route. Despite the equivalence of our approach and the spin approach we call our equations spin-free SCF equations.

IMMANANT WAVE FUNCTIONS

Consider the product of N distinct and orthonormal spin-free orbitals (double occupancy will be discussed in a later section):

$$
|\Phi\rangle \equiv |\varphi_1\rangle |\varphi_2\rangle \cdots |\varphi_N\rangle. \tag{1}
$$

Here $\mid \varphi_1 \rangle$ represents the state of electron one, $\mid \varphi_2 \rangle$ that of electron two, etc. If the Hamiltonian of the system commutes with all permutations of the electrons (the electrons are indistinguishable) then it follows that the eigenkets of the system have irreducible permutational symmetry. Hence, to approximate such an eigenket we must project $|\Phi\rangle$ onto its irreducible com-

¹ C. C. J. Roothaan, Rev. Mod. Phys. 23, 69 (1951).

² C. C. J. Roothaan, Rev. Mod. Phys. 32, 179 (1960).
³ F. A. Matsen, *Advances in Quantum Chemistry*, edited by
Per O. Löwdin (Academic Press Inc., New York, 1964), Vol. I;
also F. A. Matsen and R. D. Poshusta, Tech. R

Phys. Group, U. of Texas, Austin, Tex., 1965 (unpublished).
⁴ For orbital products, the spin-free method possesses no computational advantages over the linear combination of Slater determinants. Recently, one of the authors (RDP) has used the method for many-electron calculations (unpublished) with Singer-type "polymals. "K. Singer, Proc. Roy. Soc. (London) A258, ⁴¹² (1960). See also I. G. Kaplan, Tekhno. Theoret. Exp. Chem. 1, $\dot{6}$ 19 (1965).

F. A. Matsen, A. A. Cantu, and R. D. Poshusta, J. Phys. Chem. **70**, 1558 (1966).

⁶ R. D. Poshusta and F. A. Matsen, J. Math. Phys. 7, 711

^{(1966).}

 $\begin{array}{l} 7 \ \text{R. D. Poshusta, J. Math, Phys. 8, 955 (1967).} \end{array}$ ⁸ F. A. Matsen and A. A. Cantu, J. Phys. Chem. 72 (1968).

⁹ F. A. Matsen and A. A. Cantu, J. Phys. Chem. (to be published).

ponents. The simplest projector¹⁰ for this is the "principle idempotent" defined by

$$
e^{\alpha} \equiv \frac{f^{\alpha}}{N!} \sum_{P} \chi_{P}{}^{\alpha} P \,, \tag{2}
$$

where the sum is taken over all permutations, P , of S_N . The projection defined by¹⁰

$$
|\Phi;\alpha\rangle \equiv \frac{\sqrt{N!}}{f^{\alpha}} e^{\alpha} |\Phi\rangle \tag{3}
$$

is called the immanant¹¹ of $|\Phi\rangle$. When $\alpha = \{1^N\}$, the characters χ_{p}^{α} become ϵ_{p} (the parity of P) and $|\Phi\,;\,\{1^N\}\rangle$ is a determinant. When $\alpha\!=\!\{N\}$ the character χ_{P}^{α} become 1, and $|\Phi$; $\{N\}\rangle$ is a permanant.

For electrons, the Pauli principle excludes all permutational symmetries except those of the form'

$$
\alpha = \{2^p, 1^{N-2p}\},\tag{4}
$$

where p is variously called the permutation quantum number, the pair quantum number, or the number of bonds, and $\overline{N} - 2\overline{p}$ is sometimes called the valence of the "permutation state" $|\Phi; \alpha\rangle$. The spin quantum number of the corresponding spin eigenket is given by $S = \frac{1}{2}N - p$.

$$
S = \frac{1}{2}N - \rho. \tag{5}
$$

The immanant $|\Phi; \alpha\rangle$ plays the same role for spinfree SCF theory as the single-Slater determinant plays for the conventional SCF theory with this important difference: $|\Phi; \alpha\rangle$ always represents a pure spin state. Harris¹² has shown that the immanant projector, e^{α} , is the spin-free counterpart of Löwdin's¹³ projection operator, $O_{\boldsymbol{s}}$.

Now let H be any linear operator which commutes with all permutations, $HP = PH$. The expectation value of H over the immanant of $|\Phi\rangle$ is given by

$$
\langle H \rangle = \langle \Phi; \alpha | H | \Phi; \alpha \rangle
$$

=
$$
\frac{N!}{(f^{\alpha})^2} \langle \Phi | H e^{\alpha} | \Phi \rangle
$$

=
$$
\frac{1}{f^{\alpha}} \sum_{P} \chi_{P}^{\alpha} \langle \Phi | H P | \Phi \rangle.
$$
 (6)

When H is the identity operator we find that our immanant is normalized:

$$
\langle 1 \rangle = \langle \Phi; \alpha | \Phi; \alpha \rangle
$$

=
$$
\frac{1}{f^{\alpha}} \sum_{P} \chi_{P} \alpha \langle \Phi | P | \Phi \rangle.
$$

Since the orbitals are distinct and orthonormal, only the identity permutation contributes a nonzero term the fuentity permutation contributes a honzero
to the sum. By definition $f^{\alpha} = X_{\beta}{}^{\alpha}$ so that $\langle 1 \rangle = 1$.

The spin-free Hamiltonian of an N -electron system is assumed to have the form

$$
H = \sum_{i=1}^{N} h_i + \frac{1}{2} \sum_{i \neq j}^{N} g_{ij}.
$$
 (7)

The energy expression for the immanant now becomes

$$
E_{\alpha} = \frac{1}{f^{\alpha}} \sum_{P} \chi_{P}^{\alpha} \left[\sum_{i} \langle \Phi | h_{i} P | \Phi \rangle + \frac{1}{2} \sum_{i \neq j} \langle \Phi | g_{ij} P | \Phi \rangle \right].
$$

Again the orthonormality of the orbitals simplifies this expression as in the conventional spin formulation to

$$
E_{\alpha} = \sum_{i} H_i + \frac{1}{2} \sum_{i \neq j} \left(J_{ij} + \frac{\chi_2 \alpha}{f^{\alpha}} K_{ij} \right), \tag{8}
$$

where

and

$$
H_i \equiv \langle \varphi_i | h | \varphi_i \rangle ,
$$

$$
J_{ij} \equiv \langle \varphi_i \varphi_j | g | \varphi_i \varphi_j \rangle
$$

$$
K_{ij} \equiv \langle \varphi_i \varphi_j | g | \varphi_j \varphi_i \rangle.
$$

The coefficient $\chi_2 \alpha / f^{\alpha}$ is the ratio of the character of transpositions to the character of the identity. This ratio measures the degree of permutation correlation' in the immanant. When $\alpha = \{1^N\}$, $\chi_2 \alpha / f^{\alpha} = -1$, the correlation is greatest and if $K_{ij} > 0$ the energy is lowest. For other symmetries, α , the correlation decreases (the energy increases) until the extreme case $\alpha = \{N\}$ is reached when $\chi_2^{\alpha}/f^{\alpha}=1$. This ordering of energies due to correlation is known as Hund's rule. It is often stated in the spin form: States of highest multiplicity lie lowest.

SPIN-FREE SCF EQUATIONS FOR IMMANANTS

Spin-free self-consistent-field theory for immanants results when we ask for the orbitals which minimize the spin-free energy expression, Eq. (8). The minimization procedure is very familiar from the conventional SCF theory.¹ Let $|\delta\varphi_i\rangle$ be an arbitrary variation of the orbitals $|\varphi_i\rangle$. The energy expression then undergoes a variation δE_{α} given by

$$
\delta E_{\alpha} = \sum_{i} \langle \delta \varphi_{i} | h + \sum_{j \neq i} \left(J_{j} + \frac{\chi_{2}^{\alpha}}{f^{\alpha}} K_{j} \right) | \varphi_{i} \rangle
$$

$$
+ \sum_{i} \langle \varphi_{i} | h + \sum_{j \neq i} \left(J_{j} + \frac{\chi_{2}^{\alpha}}{f^{\alpha}} K_{j} \right) | \delta \varphi_{i} \rangle, \quad (9)
$$

where for convenience we have defined the operators J and K by

$$
\langle \varphi_k | J_j | \varphi_i \rangle \equiv \langle \varphi_k \varphi_j | g | \varphi_i \varphi_j \rangle \tag{10}
$$

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¹⁰ For a description of permutations, permutation algebras and the transformations of N -electron kets by permutations, see

Ref. 3.

¹¹ D. E. Littlewood, *The Theory of Group Characters* (Clarendon

Press, Oxford, England, 1940).

¹² F. Harris, *Advances in Quantum Chemistry*, edited by P. O.

Löwdin (Academic Press Inc., New York, 1967), Vol. 3.
¹³ P. O. Löwdin, Phys. Rev. 97, 1509 (1955).

and

$$
\langle \varphi_k | K_j | \varphi_i \rangle \equiv \langle \varphi_k \varphi_j | g | \varphi_j \varphi_i \rangle.
$$

The variation must be conducted subject to the condition that the orbitals remain orthonormal:

$$
\langle \delta \varphi_i | \varphi_j \rangle + \langle \varphi_i | \delta \varphi_j \rangle = 0. \tag{11}
$$

We introduce the matrix of Lagrangian multipliers, ϵ_{ij} , and require that

$$
\delta E_{\alpha}^{\prime} = \delta E_{\alpha} - \sum_{ij} \epsilon_{ij} (\langle \delta \varphi_i | \varphi_j \rangle + \langle \varphi_i | \delta \varphi_j \rangle)
$$

should vanish. Hence, in the usual way we find the spin-free Hartree-Fock equations

$$
\left[h+\sum_{j\neq i}\left(J_j+\frac{\chi_2\alpha}{f^{\alpha}}K_j\right)\right]|\varphi_i\rangle=\sum_j\epsilon_{ji}|\varphi_j\rangle. \qquad (12)
$$

The spin-free immanant Pock operator may be introduced: To find the norm of $|\Phi; \star\rangle$ we form

$$
F^{\alpha} | \varphi_i \rangle = \sum \epsilon_{ji} | \varphi_j \rangle , \qquad (13)
$$

where

and

$$
F^{\alpha} \equiv L + \sum_{i} \left(J_{j} + \frac{\chi_{2}^{\alpha}}{f^{\alpha}} K_{j} \right).
$$

$$
L | \varphi_{i} \rangle \equiv h | \varphi_{i} \rangle - \left(1 + \frac{\chi_{2}^{\alpha}}{f^{\alpha}} \right) \langle \varphi_{i} | g | \varphi_{i} \varphi_{i} \rangle.
$$

Only in special cases is it possible to transform Eq. (13) into an eigenfunction-eigenvalue equation. For example, suppose $\alpha = \{1^N\}$ which means our immanant becomes a determinant (maximum multiplicity). Then $\chi_2^{\alpha}/f^{\alpha} = -1$ and $L=h$ so that Eq. (13) becomes

$$
\left[h + \sum_{j} (J_j - K_j) \right] |\varphi_i\rangle = \sum_{j} \epsilon_{ji} |\varphi_j\rangle. \tag{14}
$$

Now recall that the determinant is that immanant which is left unchanged (except for phase) by all unitary transformations of orbitals. In particular, we may apply that transformation which diagonalizes the ϵ_{ij} 's. At the same time $\sum_j (J_j - K_j)$ is left invariant by a unitary transformation of orthonormal orbitals.¹ Hence in this case Eq. (13) becomes

$$
F|\varphi_i\rangle\!=\!\epsilon_i|\varphi_i\rangle.
$$

This is the familiar result from the conventional theory applied to a single-Slater determinant with all spins parallel.

STRUCTURE KETS

In general $|\Phi\rangle$ has several independent components with permutational symmetry α . These components correspond to the independent spin-coupling schemes for eigenkets of S^2 . The immanant is an arbitrary (but simple) choice of one such component. The best spinfree ket is that particular component which gives the

lowest energy. To find this component we introduce the spin-free structure kets. '

Within a given permutational symmetry α , a structur projector, denoted by κ , is defined in terms of permutations. This operator has been thoroughly discussed in other places $3-5$ and will not be defined here. A pure structure component of $|\Phi\rangle$ is defined to be
 $|\Phi; \kappa\rangle \equiv \kappa |\Phi\rangle.$ (15)

$$
|\Phi; \kappa \rangle \equiv \kappa |\Phi\rangle. \tag{15}
$$

There are f^{α} independent pure structure components of $|\Phi\rangle$ (or fewer if $|\Phi\rangle$ contains repeated orbitals) each of which corresponds to a different spin-coupling scheme in the conventional formulation. To find the closest approximation to the true Hamiltonian eigenket we must optimize the linear coefficients τ_{κ} in the mixed structure ket:

$$
|\Phi; \tau\rangle \equiv \sum_{\kappa} \tau_{\kappa} |\Phi; \kappa\rangle. \tag{16}
$$

$$
\langle \Phi; \tau | \Phi; \tau \rangle = \sum_{\kappa} \sum_{\kappa'} \tau_{\kappa}^* \tau_{\kappa'} \langle \Phi | \kappa^{\dagger} \kappa' | \Phi \rangle. \tag{17}
$$

Since each of κ^{\dagger} and κ' are linear combinations of permutations, their product can be written in the form

$$
\kappa^{\dagger}\kappa' = \sum_{P} (P)_{\kappa\kappa'} P \,, \tag{18}
$$

where $(P)_{\kappa\kappa'}$ is a numerical coefficient called a Pauling number.⁵ When Eq. (18) is substituted into Eq. (17) we find

$$
\langle \Phi; \tau | \Phi; \tau \rangle = \sum_{P} T_{P} \langle \Phi | P | \Phi \rangle, \qquad (19)
$$

where¹⁴

$$
T_P \equiv \sum_{\kappa} \sum_{\kappa'} \tau_{\kappa}^{*}(P)_{\kappa\kappa'} \tau_{\kappa'}.
$$
 (20)

Once again, the orthonormality of orbitals annihilates all terms of Eq. (19) except that contributed by the identity. Hence the norm becomes

$$
\langle \Phi\,;\, \tau\, \big| \, \Phi\,;\, \tau\rangle \!=\! T_{\theta}\,.
$$

We adopt the normalizing condition

$$
T_{\mathcal{S}} = \sum_{\kappa} \sum_{\kappa'} \tau_{\kappa}^{*}(\mathcal{S})_{\kappa \kappa'} \tau_{\kappa'} \tag{21}
$$

$$
= 1
$$

on the coefficients τ_{κ} .

The expectation value of the Hamiltonian, Eq. (7), on the mixed structure ket is given by

= 1
\nficients
$$
\tau_k
$$
.
\ncitation value of the Hamiltonian, Eq. (7),
\ned structure ket is given by
\n
$$
E_{\tau} = \sum_i H_i + \frac{1}{2} \sum_{i \neq j} (J_{ij} + T_{(ij)} K_{ij}).
$$
\n(22)

Equation (22) was derived in the same way as Eq. (8) was derived for the imrnanant. The degree of correla-

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¹⁴ The coefficients T_p are intimately related to the "Sanibe coefficients," denoted by $\langle \Theta | O_S P | \Theta \rangle$, and might be called spinfree Sanibel coefficients. See F. Sasaki and K. Ohno J. Math. Phys. 4, 1140 (1963).

tion in the mixed structure is determined by the coefficients τ_{κ} . We will vary these coefficients [subject to the normalizing condition, Eq. (21) to optimize the energy.

Let $\delta \tau$ be an arbitrary variation in τ . Then the corresponding variation in E_r is

$$
\delta E_{\tau} = \frac{1}{2} \sum_{i \neq j} \left[\delta T_{(ij)} \right] K_{ij}.
$$

The norm of $\ket{\Phi; \tau}$ will be unchanged if $\delta T_{\phi} = 0$. Hence we introduce the Lagrangian multiplier θ and require that

$$
\delta E' = \delta E_{\tau} - \theta \delta T_{\mathcal{S}}
$$

should vanish. This leads to the equation

$$
\frac{1}{2}\sum_{\kappa} \delta \tau_{\kappa}^* \left[\sum_{\kappa'} \left(\sum_{ij} K_{ij}(ij)_{\kappa \kappa'} - \theta(\mathcal{G})_{\kappa \kappa'}\right) \tau_{\kappa'}\right] + \text{c.c.} = 0. \tag{23}
$$

Since the variations $\delta \tau_{\kappa}^{*}$ are arbitrary we find

$$
\sum_{\mathbf{s}'} \left(\sum_{ij} K_{ij}(ij)_{\mathbf{xx'}} - \theta(\mathbf{\theta})_{\mathbf{xx'}} \right) \tau_{\mathbf{x}'} = 0
$$

or in matrix notation

$$
(\mathbf{K} - \theta \mathbf{I})\boldsymbol{\tau} = 0. \tag{24}
$$

Therefore the Lagrangian multiplier must be a root of the secular determinant

$$
\det(\mathbf{K} - \theta \mathbf{I}) = 0. \tag{25}
$$

 K_{ii} is an exchange energy and θ is a function of these exchange energies. It is reasonable to interpret θ as an average exchange energy. There are f^{α} roots of Eq. (25) and hence f^{α} sets of mixing coefficients τ_{κ} . For a given set of orbitals the lowest energy is achieved when θ has its lowest value. We say that the optimum mixed structure $|\Phi; \tau\rangle$ is that set of mixing coefficients τ which minimizes the average exchange energy.

Equations very similar to our SCF equations for structure kets also hold for matric basis projections¹⁵ from $|\Phi\rangle$. We prefer the structure kets because (1) κ is a simpler element than a matric basis element, (2) κ corresponds to the bonding structures of chemistry, and (3) κ generates the familiar Pauling numbers.

SPIN-FREE SCF EQUATIONS FOR STRUCTURE KETS

Equation (22) expresses the energy of a mixed structure ket in terms of brackets between spin-free orbitals and the structure-mixing coefficients which are determined by Eqs. (24) and (25). The best spin-free orbitals will now be determined.

When the orbitals are subjected to the variations $|\delta\varphi_i\rangle$, the energy undergoes the variation

$$
\delta E_{\tau} = \sum_{i} \left\langle \delta \varphi_{i} \left| M + \sum_{j} \left(J_{j} + T_{(ij)} K_{j} \right) \right| \varphi_{i} \right\rangle + \text{c.c.}
$$

Here we have introduced the new operator M defined by

$$
M|\varphi_i\rangle = h|\varphi_i\rangle - 2\langle\varphi_i|g|\varphi_i\varphi_i\rangle
$$

and J_j , K_j are defined as before. The variations are arbitrary except for the orthonormality condition, Eq. (11). Once again we introduce the Lagrangian multipliers ϵ_{ij} and find that the energy is minimized when

$$
\left[M + \sum_{i} (J_{j} + T_{(ij)}K_{j})\right] |\varphi_{i}\rangle = \sum_{i} \epsilon_{ij} |\varphi_{j}\rangle. \tag{26}
$$

Equation (26) is the spin-free mixed structure Hartree-Fock equation.

SOLUTION OF THE SPIN-FREE SCF EQUATIONS

The coupling between the spin-free SCF equations in the immanant case, Eq. (12), and the structure case, Eq. (26), is a complication which always appears in open-shell wave functions.¹⁶ Various methods have been open-shell wave functions. Various methods have been proposed to solve the coupled equations arising in the conventional formulation. Roothaan' was able to uncouple the equations by a suitable redefinition of the Fock operator. Lefebvre¹⁷ proposed a perturbation approach which is applicable to the coupled equations. Both methods can be applied to the spin-free equations as we will now show.

For Roothaan's method it is necessary to examine in detail the transformation properties of the spinfree kets under transformations of the orbitals. Any nonsingular transformation, A , on the N orbitals sends $|\Phi\rangle$ into $|\Phi_A\rangle$. The collection of all such transformations forms the general linear group in N dimensions, $GL(N)$. forms the general linear group in N dimensions, $GL(N)$.
As is well-known,¹⁸ the irreducible subspaces of $\{ | \Phi_A \rangle$, all $A \in GL(N)$ are precisely those which have been adapted to the symmetric group S_N . [Certain subgroups of $GL(N)$, such as the unitary group $U(N)$ and the special unitary group $SU(N)$ are also completely reduced by adaptation to S_N . Hence a linear transformation of orbitals merely moves $|\Phi; \alpha\rangle$ and $|\Phi; \tau\rangle$ through the space of all spin-free kets with permutational symmetry α . This is equivalent to moving through the space of all different spin-coupling schemes with a given spin quantum number in the conventional formulation.

Roothaan's scheme is effective for a single structure. Without loss of generality we confine our attention to

¹⁵ W. A. Goddard, III, Phys. Rev. 157, 73 (1967).

 16 In Goddard's method Ref. (15) of G operators this difficulty is circumvented by relaxing the orthonormality condition among
the orbitals. By requiring only that the orbitals remain normalized
the Lagrangian multipliers are automatically "diagonal." The
resulting simplification is ba in the energy expression by nonorthogonal orbitals. Goddard's method may also be derived in the spin-free framework by re-moving the orthogonality of the orbitals from our immanant ket. His equations may also be generalized to mixed structures by

corresponding changes in our structure kets.

¹⁷ R. Lefebvre and C. M. Moser, J. Chim. Phys. 53, 393 (1956).

¹⁸ H. Boerner, *Representations of Groups* (North-Holland Publishing Co., Amsterdam, 1963).

FIG. 1. First standard structures of three electrons in a doublet state, of four electrons in a triplet, and of five electrons in a doublet.

the "first" structure, $\kappa = I$ which is defined as follows. Let T_I be the Young tableau of shape $\alpha = \{2^p, 1^{N-2p}\},$ in which the integers $1, 2, \cdots N$ appear in the "lowest" order. That is, 1 and 2 lie in the first row, 3 and 4 in the second, \cdots and 1, 3, 5, \cdots lie in the first column. For example, the first structures of the permutation states $\{2,1\}$ for three electrons, $\{2,1,1\}$ for four electrons, and (2,2,1} for five electrons are shown in Fig. 1. The row operator, P , of this tableau is the symmetrizer on rows: $P = (g+(12))[g+(34)] \cdots$. The column operator, N , of T_I is the antisymmetrizer on columns: $N = [g - (13) - (15) - (35) + (135) + (153)$. $\lceil g - (24) \cdots \rceil$. Then the structure projector is defined \overline{t} to be¹⁹

 $I=PN$.

Two-columned tableaux such as those required for ⁷ electrons divide the orbitals $\{ |\varphi_1\rangle, |\varphi_2\rangle, \cdots, |\varphi_N\rangle \}$ into two sets. Those which "belong" to the 6rst column $\{|\varphi_1\rangle, |\varphi_3\rangle, \cdots\}$ and those which "belong" to the second $\{|\varphi_2\rangle, |\varphi_\kappa\rangle, \cdots\}$. Since N consists of the product of the antisymmetrizers on these two sets it follows Equation $(27a)$ can now be written that

$$
N|\Phi\rangle\!=\!\det\!\left\{\,\left|\,\varphi_1\right\rangle\right|\varphi_3\rangle\cdots\right\}\times\!\det\!\left\{\,\left|\,\varphi_2\right\rangle\right|\varphi_4\rangle\cdots\}\,.
$$

Consider now a transformation A which transforms orbitals of the first column among one another and orbitals of the second column among one another but does not transform between columns. For such a transformation it follows that

$$
N|\Phi_A\rangle = (\text{det}A)N|\Phi\rangle.
$$

The structure ket $|\Phi,I\rangle$ then has the property

$$
|\Phi_A, I\rangle = PN |\Phi_A\rangle
$$

= (det A)PN |\Phi\rangle
= (det A) |\Phi, I\rangle.

In other words, $|\Phi, I\rangle$ is merely scaled by a linear transformation which does not mix orbitals of different columns. Hence the energy expression E_I is left unchanged by such a transformation. Transformations of this kind can be used to diagonalize the ϵ matrix of Eq. (26) within the two blocks on the diagonal which pertain to the two columns of the tableau T_I . Roothaan's method will now be used to eliminate those ϵ 's which mix orbitals between columns.

For the single structure $\kappa = I$, the coefficients $T_{(ij)}$ simplify to $(ij)_{II}$ since $\tau_I = 1$. There are simple rules to compute these coefficients by group-theoretic methods.⁵ The energy expression now becomes

$$
\begin{aligned} \left[M + \sum_{j} \left(J_j + (kj)_{II} K_j \right) \right] | \varphi_k \rangle \\ = \sum_{j} \epsilon_{lk} | \varphi_l \rangle + \sum_{j} \epsilon_{nk} | \varphi_n \rangle \end{aligned} \tag{27a}
$$

and

$$
\begin{aligned} \left[M + \sum_{j} \left(J_j + (mj)_{II} K_j \right) \right] | \varphi_m \rangle \\ &= \sum_{l} \epsilon_{lm} | \varphi_l \rangle + \sum_{n} \epsilon_{nm} | \varphi_n \rangle. \end{aligned} \tag{27b}
$$

Here we have used the indices k, l for orbitals of the first column and m , n for the second while i , j refer to orbitals from both sets.

From Eq. (27a) it follows that

$$
\langle \varphi_m | M + \sum (J_j + (kj)_{II} K_j) | \varphi_k \rangle = \epsilon_{mk}.
$$

Hence

$$
\sum_{m} \epsilon_{mk} |\varphi_m\rangle = \sum_{m} |\varphi_m\rangle\langle\varphi_m| M + p \sum_{j} (J_j + (kj)_{II} K_j) |\varphi_k\rangle.
$$

We define the new operator

$$
E_1 = 1 - \sum_m |\varphi_m\rangle\langle\varphi_m| \,.
$$
 (28)

$$
E_1[M + \sum_j (J_j + (kj)_{II} K_j)] \, |\, \varphi_k \rangle = \sum_l \, \epsilon_{lk} \, |\, \varphi_l \rangle. \tag{29a}
$$

Similarly, the orbitals of the first row can be transferred to the left side of Eq. $(27b)$:

$$
E_2[M + \sum_j (J_j + (mj)_{II} K_j)] | \varphi_m \rangle = \sum_n \epsilon_{nm} | \varphi_n \rangle, \quad (29b)
$$

where

$$
E_2 = 1 - \sum_{l} |\varphi_l\rangle\langle\varphi_l|.
$$
 (30)

The diagonalization of columns may be applied to the two parts of Eq. (29) to convert them to eigenvalueeigenket equations of the form

and

$$
F_1|\varphi_k\rangle = \epsilon_k|\varphi_k\rangle \tag{31a}
$$

$$
F_2|\varphi_m\rangle = \epsilon_m|\varphi_m\rangle. \tag{31b}
$$

We will not manipulate these equations any further though it might be convenient to derive a unified Pock. operator so that orbitals of both columns are eigenkets of the same equation.

Next we take up the perturbation approach which we will apply to structure kets. It is easily modified for immanants. The energy expression for a mixed struc-

¹⁹ Matsen *et al.*, Ref. (5) chose the alternate definition, $I=NP$, because that choice leads to the Pauling numbers. The reverse order is the natural choice for examining the transformation properties of $|\Phi; \tau\rangle$.

ture ket is \lceil Eq. (22).

$$
E_{\tau} = \sum_{i} H_{i} + \frac{1}{2} \sum_{i \neq j} (J_{ij} + T_{(ij)} K_{ij}).
$$

Now let the orbitals $\{|\varphi_1\rangle, |\varphi_2\rangle, \dots, |\varphi_N\rangle\}$ be augmented to make a complete set $\{|\varphi_1\rangle, \cdots, |\varphi_N\rangle, |\varphi_{N+1}\rangle, \cdots\}.$ A variation of $|\varphi_i\rangle$ may be written

$$
|\varphi_{i}\rangle = |\varphi_{i}\rangle + \sum_{k \neq i} c_{ik} |\varphi_{k}\rangle \tag{32}
$$

with $c_{ik}=-c_{ki}$ (to preserve orthonormality—to first order). The new mixed structure ket constructed from these orbitals is

$$
|\Phi'; \tau\rangle = |\Phi; \tau\rangle + \sum_{i=1}^{N} \sum_{k \neq i}^{\infty} c_{ik} |\Phi^{i,k}; \tau\rangle
$$

+ higher-order terms. (3)

Here $|\Phi^{i,k};\tau\rangle \equiv \sum_{\kappa} \tau_{\kappa}(\Phi^{i,k})$ and $|\Phi^{i,k}\rangle$ differs from $|\Phi\rangle$ only in having $|\varphi_i\rangle$ replaced by $|\varphi_k\rangle$.

The corresponding change in energy is

$$
\Delta E_{\tau} = \sum_{i=1}^{N} \sum_{k \neq i} c_{ik} \eta_{ik} , \qquad (34)
$$

where

$$
\eta_{ik} = \langle \varphi_i | h | \varphi_k \rangle + \frac{1}{2} \sum_{j \neq i} \left(\langle \varphi_i \varphi_j | g | \varphi_k \varphi_j \rangle \right) + T_{\langle ij \rangle} \langle \varphi_i \varphi_j | g | \varphi_j \varphi_k \rangle \right).
$$

The SCF orbitals are those for which $\eta_{ik}=0$. If the initial orbitals $|\varphi_i\rangle$ are sufficiently close to the true SCF orbitals, they are improved by using the familiar perturbation coefficients: ~'

$$
c_{ik} = -\eta_{ik}/\eta_{kk} - \eta_{ii}.\tag{35}
$$

Both Roothaan's separation technique and Lefebvre's perturbation method utilize an iterative method to find the SCF orbitals. From an initial guess of $|\varphi_i\rangle$ the Eqs. (31) or (35) are used to find improved orbitals. When the orbitals cease to change, the spin-free SCF orbitals have been achieved. These spin-free SCF orbitals are, of course, the same as the SCF orbitals which would arise in the conventional theory from pure spin components of a single-Slater determinant.

DOUBLE OCCUPANCY

The Pauli principle for spin-free kets requires permutational symmetries of the restricted kind $\alpha = \{2^p, 1^{N-2p}\}.$ It follows from this that if $|\Phi\rangle$ has more than two identical orbitals then

 $e^{\alpha}|\Phi\rangle = 0$

and

$$
\kappa |\Phi\rangle = 0.
$$

Hence neither an immanant nor a structure function may be constructed with more than two electrons occupying the same orbital. Suppose there are $n \leq \frac{1}{2}N$)

doubly occupied orbitals. Then we write

$$
\Phi\rangle = |\varphi_1\rangle |\varphi_1\rangle |\varphi_2\rangle |\varphi_2\rangle \cdots
$$

$$
|\varphi_n\rangle |\varphi_2\rangle |\varphi_{2n+1}\rangle |\varphi_{2n+2}\rangle \cdots |\varphi_N\rangle. (36)
$$

The transpositions (12) , (34) , \cdots , $(2n-1, 2n)$ and all their products form a group which leaves $|\Phi\rangle$ invariant This group of permutations is called the invariance subgroup of $|\Phi\rangle$ and is denoted by S_{Φ} . The order of S_{Φ} is clearly $n_{\Phi}=2^{n}$.

The left cosets of S_{Φ} in S_N are denoted by $\{P_fS_{\Phi},\}$ $f=1, 2, \dots, n^{\Phi}$, where n^{Φ} is the index of S_{Φ} given by $n^{\Phi}n_{\Phi}=N!$ Two permutations P_i and P_j have the same effect on $|\Phi\rangle$ if and only if P_i and P_j belong to the same left coset:

$$
P_i|\Phi\rangle = P_j|\Phi\rangle \Longrightarrow P_j^{-1}P_i|\Phi\rangle = |\Phi\rangle. \tag{37}
$$

(33) That is $P_i^{-1}P_i \in S_{\Phi}$ or $P_i \in P_jS_{\Phi}$. The set of left coset from generators $\{P_f, f=1, 2, \dots, n^2\}$ brings about a unique expression for every permutation in terms of a P_f expression for every permutation in terms of a P_f and an element of S_{Φ} . That is, if P is any permutation there exists a unique pair P_f and $S \in S_{\Phi}$ such that

$$
P = P_f S.
$$

Hence we can write the immanant as $\lceil \sec E_q \rceil$, (3)

$$
|\Phi; \alpha\rangle = \frac{1}{\sqrt{N!}} \sum_{f} \chi_f \alpha P_f |\Phi\rangle, \qquad (38)
$$

where $\chi_f \equiv \sum_s \chi_{P_f} s^{\alpha}$ (the sum of characters of elements in the $P_f S_{\Phi}$ coset). The terms in the sum \sum_f are distinct whereas those in \sum_{P} are repeated. The normalization of $|\Phi; \alpha\rangle$ is now given by

$$
\langle \Phi; \alpha | \Phi; \alpha \rangle = \frac{1}{f^{\alpha}} \sum_{f} \chi_{f} \alpha \langle \Phi | P_{f} | \Phi \rangle. \tag{39}
$$

Again we assume orthonormality of different orbitals so that $\langle \Phi | P_f | \Phi \rangle$ vanishes unless P_f generates S_{Φ} i.e., P_f leaves $|\Phi\rangle$ unchanged. Hence
 $\langle \Phi ; \alpha | \Phi ; \alpha \rangle = \chi_1 \alpha / f^{\alpha}$,

$$
\langle \Phi\,;\alpha\,|\,\Phi\,;\alpha\rangle = \chi_1\alpha/f^\alpha\,,
$$

where X_1^{α} is the sum of the characters of permutations which leave $|\Phi\rangle$ invariant.

The energy expression for an immanant wave function with doubly occupied orbitals becomes

$$
E_{\alpha} = \langle \Phi; \alpha | H | \Phi; \alpha \rangle / \langle \Phi; \alpha | \Phi; \alpha \rangle
$$

=
$$
\frac{1}{\chi_1^{\alpha} f} \sum_{j} \chi_j^{\alpha} \langle \Phi | H P_j | \Phi \rangle
$$

=
$$
\sum_{i} \nu_i H_i + \sum_{ij} (\lambda_{ij} J_{ij} + \omega_{ij} K_{ij}), \qquad (40)
$$

where

 $\nu_1=2$ if $i\leq n$ =1 if $i>n$, i.e., v_i is the occupation number of the spinless orbital $|\varphi_i\rangle$. The numbers λ_{ij} and ω_{ij} are derived in the Appendix.

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In the special cases when $p=n$, i.e., the spin states $S=\frac{1}{2}(N-2n)$ there is only one structure, which is identical to the immanant. These spin-free kets correspond to single-Slater determinants in which the singly occupied orbitals are all given the same spin. For example, suppose $N=2n$, then $v_i=2$, $\lambda_{ij}=2$ (except for $j=i$ when $\lambda_{ii}=1$) and $\omega_{ij}=-1$ (except for $j=i$ when ω_{ii} =0). Hence E_{α} becomes

$$
E_{\alpha} = 2\sum_{i} H_{i} + \sum_{ij} (2J_{ij} - K_{ij}), \qquad (41)
$$

which is the familiar result for a single-Slater determinant of doubly occupied orbitals.

The structure kets with double occupancy have norms:

$$
\langle \Phi; \tau | \Phi; \tau \rangle = \sum_{f} T_{f} \langle \Phi | P | \Phi \rangle, \tag{42}
$$

where $T_f \equiv \sum_s T_{P_f,s}$. Again, orthonormality of orbitals. allows only the single term to survive:

$$
\langle \Phi;\tau|\Phi;\tau\rangle = T_1.
$$

The energy expression becomes

$$
E_{\tau} = \frac{1}{T_1} \sum_{f} T_f \langle \Phi | H P_f | \Phi \rangle
$$

= $\sum_{i} \nu_i H_i + \sum_{ij} (\lambda_{ij} J_{ij} + \Omega_{ij} K_{ij}),$ (43)

where ν_i is the occupation number of the orbital $|\varphi_i\rangle$, Ω_{ij} is defined in the Appendix.

The HF equations for double occupancy now become, first for immanants:

$$
\left[L' + \sum_{j} (\lambda_{ij} J_j + \omega_{ij} K_j)\right] |\varphi_i\rangle = \sum_{j} \epsilon_{ji} |\varphi_j\rangle, \quad (44)
$$

where L' is defined by

$$
L'|\varphi_i\rangle = h|\varphi_i\rangle - (\lambda_{ii} + \omega_{ii})\langle\varphi_i|\nu|\varphi_i\varphi_i\rangle
$$

and J_i and K_i are as before.

And second, for structure functions:

$$
\left[M' + \sum_{j} \left(\lambda_{ij} J_j + \Omega_{ij} K_{ij}\right)\right] |\varphi_i\rangle = \sum_{j} \epsilon_{ji} |\varphi_j\rangle. \tag{45}
$$

Here M' is defined by

$$
M' |\varphi_i\rangle = h |\varphi_i\rangle - (\lambda_{ii} + \Omega_{ii})\langle \varphi_i | g |\varphi_i \varphi_i\rangle.
$$

SYMMETRY CONSIDERATIONS

Our spin-free SCF equations satisfy half of the requirements for the restricted Hartree-Fock method. Namely, they correspond to eigenkets of S^2 . Spatial symmetry must be achieved separately as, for example, with Löwdin's O_L projection operator.

Symmetry under the full rotation group (i.e. , angular momentum) is of special interest. It often happens that adaptation with respect to S_N simultaneously brings about pure rotational symmetry. For example, in the open-shell $p⁴$ configuration²⁰ the permutation states with $\alpha = \{1^4\}$ and $\alpha = \{2,1^2\}$ are already eigenkets of L^2 and correspond to 5S and 3P states with no further projection. Similarly, an adaptation with respect to S_N and the subgroup C_∞ (i.e., L_z) may produce an eigenket of L^2 as happens for the ${}^{1}D$ state in the $p⁴$ configuration.

Finite space-group symmetries can be treated by methods similar to that used for permutational symmethods similar to that used for permutational symmetry.²¹ Matric basis projectors can be used to give the desired symmetry and the appropriate energy expressions are easily derived. A complicating feature of such symmetries is the nonorthogonality of orbitals adapted to a space group. Nevertheless a form of SCF theory may be derived for such orbitals. '5

DISCUSSION

We have derived SCF equations for pure spin states of open-shell atoms and molecules. Spin was eliminated from the beginning in our derivation rather than being integrated away in the energy expression as in the conventional SCF theory. The spin-free approach removes the complexity of the double symmetry aspect—spinpermutational symmetry and space-permutational symmetry—which would be required to derive our equations by the conventional method.

States in which several structures are possible (spincoupling schemes in the conventional vocabulary) are best described by a mixed structure. This mixing of structures is included in our formulation. For this purpose we dehned an average exchange energy which is optimized to achieve the best mixture of structures. It is not necessary then to use the arbitrary mixture which results by projection with Löwdin's projection operator 0_s . The spin-free equivalent of Löwdin's projected spin eigenket is the immanant for which we have also derived SCF equations. Immanants are appropriate for states with only one structure.

Open-shell kets are not only useful for atoms and molecules with partially filled shells. In another context they are often applied to what are usually thought of as closed-shell states. We refer to the diferent orbitals for different spins (DODS) scheme.⁹ The merits of this method for molecular calculations have been discussed in relation to SCF theory by Goddard.¹⁵ Open-shell (or DODS) spin-free kets provide smooth correlation between molecular states and separated atom states hence giving improved dissociation limits over the conventional closed-shell kets.

Our results can be modified for use in nuclear calculations. Additional terms appear in the energy expression

²⁰ F. A. Matsen, J. Phys. Chem. 70, 1568 (1966).
²¹ P. O. Löwdin, *Quantum Theory of Atoms, Molecules and the*
Solid State (Academic Press Inc., New York, 1966).

when Bartlett, Heisenberg and Majorana potentials are introduced. More general permutational symmetries $\alpha = \{4^{p_4}, 3^{p_3}, 2^{p_2}, 1^{p_1}\}$ and tableaux with four columns must also be considered. Equations similar to our electron SCF equations may be derived for nucleons.

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APPENDIX: DERIVATION OF v_i , λ_{ij} , ω_{ij} , AND Ω_{ij}

The energy of an immanant ket with double occupancy is \lceil Eq. (40) \rceil :

$$
E_{\alpha} = \frac{1}{\chi_1^{\alpha}} \sum_{f} \chi_f^{\alpha} \langle \Phi | H P_f | \Phi \rangle,
$$

where P_f is the generator of a left coset of the invariance subgroup S_{Φ} , and χ_f^{α} is the sum of characters of permutations belonging to the fth coset. On substituting the form of the Hamiltonian, Eq. (7) , into $\lceil \text{Eq. } (40) \rceil$

we have

$$
E_{\alpha} = \sum_{i} \frac{1}{\chi_{1}^{\alpha}} \sum_{j} \chi_{j}^{\alpha} \langle \Phi | h_{i} P_{j} | \Phi \rangle + \sum_{i < j} \frac{1}{\chi_{1}^{\alpha}} \sum_{j} \langle \Phi | g_{ij} P_{j} | \Phi \rangle.
$$

The one-electron bracket $\langle \Phi | h_i P_f | \Phi \rangle$ vanishes unless The one-electron bracket $\langle \Psi | n_i t_j | \Psi \rangle$ vanishes unless $P_j = \oint$. Hence the first term becomes

$$
\sum_{i} \langle \Phi | h_i | \Phi \rangle = \sum_{i} \langle \varphi_1 \varphi_1 \varphi_2 \varphi_2 \cdots \varphi_n \varphi_n \varphi_{2n+1} \cdots \varphi_N | h_i |
$$

$$
\times \varphi_1 \varphi_1 \varphi_2 \varphi_2 \cdots \varphi_n \varphi_n \varphi_{2n+1} \cdots \varphi_N
$$

$$
= \sum_{i}^{N} \nu_i \langle \varphi_i | h | \varphi_i \rangle,
$$

where ν_i is the number of times $|\varphi_i\rangle$ appears in $|\Phi\rangle$. That is v_i is the occupation number of the orbital $|\varphi_i\rangle$ and is given by

$$
\nu_i = 2, \text{ if } i \leq n
$$

 $= 1$, if $2n < i \leq N$.

Two cosets give nonvanishing results for each g_{ii} . First, consider the identity coset generated by $P_f = \mathcal{J}$. For this coset

$$
\sum_{i
$$

 $+\cdots+\langle\varphi_{n}\varphi_{n}|_{\textit{\textbf{S}}2n-1,2n}|~\varphi_{n}\varphi_{n}\rangle{+}\langle\varphi_{1}\varphi_{2}|g_{13}+g_{23}+g_{14}+g_{24}|~\varphi_{1}\varphi_{2}\rangle{+}\langle\varphi_{1}\varphi_{3}|g_{15}+g_{25}+g_{16}+g_{26}|~\varphi_{1}\varphi_{3}\rangle{+}\cdots$

- $+\langle\varphi_{n-1}\varphi_n|_{\mathcal{S}^{2n-3, 2n-1}}+g_{2n-2, 2n-2}+g_{2n-3, 2n}+g_{2n-2, 2n}|\varphi_{n-1}\varphi_n\rangle+\langle\varphi_1\varphi_{2n+1}|_{\mathcal{S}^{1,2n+1}}+g_{2, 2n+1}|\varphi_1\varphi_{2n+1}\rangle+\cdots$
- $+\langle\varphi_n\varphi_N|g_{2n-1,N}+g_{2n,n}|\varphi_n\varphi_N\rangle+\langle\varphi_{2n+1}\varphi_{2n+2}|g_{2n+1,2n+2}|\varphi_{2n+1}\varphi_{2n+2}\rangle+\cdots+\langle\varphi_{N-1}\varphi_N|g_{N-1,N}|\varphi_{N-1}\varphi_N\rangle$

$$
= \sum_{i=1}^{n} J_{ii} + 4 \sum_{i < j}^{n} J_{ij} + 2 \sum_{i=1}^{n} \sum_{j=2n+1}^{N} J_{ij} + \sum_{i=2n+1}^{N} \sum_{j > i} J_{ij}
$$

More briefly,

$$
\sum_{i < j} \langle \Phi | g_{ij} | \Phi \rangle = \sum_{i=1}^n J_{ii} + \sum_{i < j} \nu_i \nu_j J_{ij}.
$$

Finally, consider the remaining terms. These arise from cosets which permute pairs of distinct orbitals. For example,

and

and

 $\bra{\varphi_{1}\varphi_{1}\cdots\varphi_{n}\varphi_{n}}\varphi_{2n+1}\varphi_{2n+2}\cdots\varphi_{N}\ket{g_{2n+1,2n+2}}\varphi_{1}\varphi_{1}\cdots\varphi_{n}\varphi_{2n}\varphi_{2n+2}\varphi_{2n+1}\cdots\varphi_{N}}\!=\!K_{2n+1,2n+2}.$

The exchange bracket K_{ij} appears $v_i v_j$ times $(i \neq j)$ with coefficients $\chi_{ij}^{\alpha}/\chi_1^{\alpha}$ where χ_{ij}^{α} is the sum of characters of permutations in the coset which interchanges orbitals $|\varphi_i\rangle$ and $|\varphi_i\rangle$, there being $\nu_i\nu_i$ such cosets. Hence we have

$$
E_{\alpha} = \sum_{i} \nu_{i} \langle \varphi_{i} | h | \varphi_{j} \rangle + \sum_{i \leq j} (\lambda_{ij} J_{ij} + \omega_{ij} K_{ij}),
$$

where

$$
\lambda_{ij} = 1, \text{ if } i = j \le n
$$

= 0, if $i = j > n$
= $v_i v_j$, if $i \neq j$

and

$$
\omega_{ij}
$$
 = (sum of characters of all permutations which interchange $|\varphi_i\rangle$ and $|\varphi_j\rangle$ in $|\Phi\rangle$)/

(sum of characters of all permutations which leave $|\Phi\rangle$ unchanged)

$$
=0 \text{ if } i=j.
$$

The energy of a mixed structure ket is

$$
E_{\tau} = \frac{1}{T_1} \sum_{f} T_f \langle \Phi | HP_f | \Phi \rangle,
$$

where $T_f = \sum_S T_{P_f S}$; the sum on S yielding the sum of all T_P with P a member of the $P_f S_\Phi$ coset. The same term survive in this sum as in the case of immanants except with different coefficients. A derivation parallel to that for immanants gives

$$
E_{\tau} = \sum_{i} \nu_{i} \langle \varphi_{i} | h | \varphi_{i} \rangle + \sum_{i \leq j} (\lambda_{ij} J_{ij} + \Omega_{ij} K_{ij}),
$$

where

 Ω_{ij} = (sum of T_p over all permutations which interchange the orbitals $|\varphi_i\rangle$ and $|\varphi_j\rangle$)/

(sum of T_p over all permutations which leave $|\Phi\rangle$ unchanged).